

21-301A Combinatorics  
Week 1

The notation  $\#$  means the word “number”. Let  $X$  be a set of size  $n$ . Write  $[n] = \{1, 2, 3, \dots, n\}$ .

**Lec 1 (M). Binomial coefficients**

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- Define  $2^X := \{A : A \subset X\}$  and show  $|2^X| = 2^{|X|} = 2^n$ .
- Define  $\binom{X}{k} := \{A \subset X : |A| = k\}$ .
- **Fact:**  $|\binom{X}{k}| = \binom{n}{k} = \frac{n!}{k!(n-k)!}$ . That is: the binomial coefficient  $\binom{n}{k}$  presents the number of selections of size  $k$  out of  $n$  distinct elements.

In its proof, we also show that  $\#$  of ordered  $k$ -tuple  $(x_1, \dots, x_k)$  with  $x_i \in X$  is equal to  $(n)_k := n(n-1)\dots(n-k+1)$ .

- If  $n < k$ , then  $\binom{n}{k} = 0$ .
- **Fact:** the binomial coefficient  $\binom{n}{k}$  also equals  $\#$  of integer solutions  $(x_1, x_2, \dots, x_n)$  to  $x_1 + x_2 + \dots + x_n = k$  with each  $x_i \in \{0, 1\}$ .
- **Fact:**  $\#$  of integer solutions  $(x_1, \dots, x_n)$  to equation  $x_1 + \dots + x_n = k$  with each  $x_i \geq 0$  =  $\#$  of labellings of  $k$  identical objects using  $n$  distinct labels =  $\binom{n+k-1}{n-1}$

In its proof, we define a bijection from the set of solutions to  $\binom{n+k-1}{n-1}$ . It is suggested to read another proof in the book on page 69.

**Lec 2 (W). Some properties of binomial coefficients and counting functions**

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- **Fact:**  $\binom{n}{k} = \binom{n}{n-k}$ .
- **Fact:**  $2^n = \sum_{k=0}^n \binom{n}{k}$ .  
We use a combinatorial proof; here we count the same combinatorial object using two ways, which give us two expressions of the same value.
- **Fact:**  $\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$ .
- We mention the **Pascal Triangle**, whose number in the  $n$ th row and  $k$ th column is the binomial coefficient  $\binom{n}{k}$ . This can be explained by using the previous fact.
- Define  $X^Y$  to be the set of all functions  $f : Y \rightarrow X$ . Let  $|X| = n$  and  $Y = [r]$ .
- We also can view  $X^Y$  as the set of all strings  $x_1x_2\dots x_r$  with elements  $x_i \in X$ , indexed by elements of  $Y$ .
- **Fact:**  $|X^Y| = n^r = |X|^{|Y|}$ .
- **Fact:** The number of **injective** functions  $f \in X^Y$  is equal to  $(n)_r$ .
- **Definition (The Stirling number of the second kind).** Let  $S(r, n)$  be the number of partitions of a set of size  $r$  into  $n$  non-empty parts.

- Exercise.  $S(r, 2) = \frac{1}{2} \sum_{i=1}^{r-1} \binom{r}{i}$ .
- **Theorem.** The number of **surjective** functions  $f \in X^Y$  is equal to  $S(r, n)n!$ .

- Any injection  $f : X \rightarrow X$  is called a **Permutation** of  $X$  (also a bijection).

We mention that we may view a permutation in two ways: it is a function from  $X$  to  $X$ ; it also can be think of an arrangement of the elements of  $X$ .

- The # of permutations of  $[n]$  is  $n!$ .

### Lec 3 (F). Multiplying polynomials and the Binomial Theorem

- Define  $[x^k]f$  to be the coefficient of term  $x^k$  in a polynomial  $f(x)$ .
- **Fact 1:** For  $j = 1, 2, \dots, n$ , let

$$f_j(x) := \sum_{i \in I_j} x^i$$

be a polynomial (note the coefficient of each term is either 1 or 0), where  $I_j$  is a set containing nonnegative integers (finite many or infinity). Let  $f(x) = f_1 f_2 \dots f_n$  be the product of polynomials.

Then  $[x^k]f$  is the number of solutions  $(i_1, \dots, i_n)$  to  $i_1 + \dots + i_n = k$  with  $i_j \in I_j$  for  $j = 1, 2, \dots, n$ .

- **Fact 2:** Let  $f = f_1 f_2 \dots f_n$  be a product of polynomials. Then

$$[x^k]f = \sum_{i_1 + \dots + i_n = k} \left( \prod_{j=1}^n [x^{i_j}]f_j \right).$$

This is a more general formula than the Fact 1.

- **The Binomial Theorem.** It holds for any real  $x$  and any positive integer  $n$  that

$$(1+x)^n = \sum_{k=0}^n \binom{n}{k} x^k.$$

In the proof we show, we use the Fact 1.

- A nice Exercise. Prove  $(1+x)_n = \sum_{k=0}^n \binom{n}{k} (x)_k$  for any real  $x$  and integer  $n \geq 1$ , where  $(x)_k = x(x-1)\dots(x-k+1)$  denotes a polynomial.

- **Fact.**  $\binom{2n}{n} = \sum_{i=0}^n \binom{n}{i}^2$ .

In its proof, we use the Fact 2 as well as the binomial theorem.

- **Fact.**  $\sum_{\text{all odd } k} \binom{n}{k} = \sum_{\text{all even } k} \binom{n}{k} = 2^{n-1}$ .
- **Fact.**  $n2^{n-1} = \sum_{k=1}^n k \binom{n}{k}$ .

### Some notices.

- In week 1, Sections 3.1-3.3 and 12.1 from textbook are covered.
- Besides homework problems, the following problems from book are also fun to work on: 2,4,6 in Section 3.1; 1,3,5(b),7(b) in Section 3.2; 3,4,16,17,18 in Section 3.3; 5 in Section 12.1.