15-150 Spring 2012 Homework 06

Out: Saturday, 25 February 2012 Due: Saturday, 3 March 2012, 23:59 EST

1 Introduction

This homework will focus on using higher order functions and continuations to solve interesting problems elegantly. The assignment will also help you mesh writing code with proving its correctness.

In Sections 3 and 4, you will investigate the idea that

Recursion templates can be represented by higher-order functions.

for several different recursive types. When you write out an instance of a template, you repeat a lot of code. By abstracting repeated patterns into a higher-order function, you can make your code shorter and easier to read and maintain.

In general, the problems on this assignment will be tricky but the solutions will not be that long when you're done.

1.1 Getting The Homework Assignment

The starter files for the homework assignment have been distributed through our git repository as usual.

1.2 Submitting The Homework Assignment

To submit your solutions, place your hw06.pdf and modified hw06.sml files in your handin directory on AFS:

/afs/andrew.cmu.edu/course/15/150/handin/<yourandrewid>/hw06/

Your files must be named exactly hw06.pdf and hw06.sml. After you place your files in this directory, run the check script located at

/afs/andrew.cmu.edu/course/15/150/bin/check/06/check.pl

then fix any and all errors it reports.

Remember that the check script is *not* a grading script—a timely submission that passes the check script will be graded, but will not necessarily receive full credit.

Also remember that your written solutions must be submitted in PDF format—we do not accept MS Word files.

Your hw06.sml file must contain all the code that you want to have graded for this assignment and compile cleanly. If you have a function that happens to be named the same as one of the required functions but does not have the required type, it will not be graded.

1.3 Methodology

You must use the five step methodology for writing functions for every function you write on this assignment. In particular, you will lose points for omitting the purpose, examples, or tests even if the implementation of the function is correct.

1.4 Style

We will continue grading this assignment based on the style of your submission as well as its correctness. Please consult course staff, your previously graded homeworks, or the published style guide as questions about style arise.

1.5 Due Date

This assignment is due on Saturday, 3 March 2012, 23:59 EST. Note that this is not the normal day or time! Remember that this deadline is final and that we do not accept late submissions.

1.6 Characters

The type **char** represents single characters. Here are some useful functions involving characters:

```
String.explode : string -> char list
String.implode : char list -> string
Char.compare : char * char -> order
```

String.explode "blows up" a string into the list of characters in that string in order; String.implode is the inverse. Char.compare orders character.

2 Regular Expressions

In class, we introduced six different operators to describe regular expressions:

- The empty set 0
- The empty string 1
- Characters c
- Concatenation r_1r_2
- Alternative $r_1 + r_2$
- Repetition r^*

From time to time it is helpful to have some more constructs available to form regular expressions, such as

• A character wildcard symbol which accepts any one character:

$$L(\underline{\ }) = \{$$
 "c" | c is a character $\}$

• Intersection $r_1 \& r_2$ which accepts a string if and only if it is simultaneously in both $L(r_1)$ and in $L(r_2)$:

$$L(r_1 \& r_2) = \{s \mid s \text{ in } L(r_1) \text{ and } s \text{ in } L(r_2)\}$$

• A string wildcard **T** which accepts any string:

$$L(\mathbf{T}) = \{ s \mid s \text{ is a string } \}$$

The regular expression matcher match from class is included in the support code for the assignment. We have extended the datatype definition of regexp to include the new constructors Wild, Both, and Any, which correspond to _, &, and T, respectively. Your job is to extend match to deal with these new constructors, and prove parts of the correctness of your implementation. In the notes for Lecture 12, you will find the full statement of the correctness theorem for match, including both soundness and completeness. Here we will ask you to show cases of soundness:

Theorem 1 (Soundness). For all r: regexp, cs: char list, k: char list \to bool, if match r cs $k \cong$ true then there exist p, s such that $p@s \cong cs$ with $p \in L(r)$ and k $s \cong$ true.

In each of the following coding tasks, we strongly recommend that you think through the correctness spec when you are writing the code. If you're stuck on the implementation, try doing the proof of soundness and/or completeness—this will guide you to the answer. However, we will only ask you to hand in soundness for each.

Task 2.1 (5%). Implement the case of match for the one-character wildcard_, that is, Wild.

Task 2.2 (5%). Complete the following case of soundness:

Case for Wild:

```
To show: For all cs: char list and k: char list \to bool, if match Wild cs k \cong true then \exists p, s such that p@s \cong cs and p \in L(\_) and k s \cong true Complete this case.
```

Solution 2.2

Proof. Assume match Wild $cs \ k \cong true$. By stepping

```
match Wild cs k \cong case Wild of ... | Wild \Rightarrow ... | ... \cong case cs of [] \Rightarrow false | c::cs' \Rightarrow k cs'
```

Therefore, by transitivity,

and $k \ cs' \Longrightarrow \mathsf{true}$.

```
(case cs of [] => false | c::cs' => k cs') \cong true
```

Because cs is a value of type char list, we have two cases to consider: either cs is [] or cs is c::cs'.

In the former, (case cs of [] => false | c::cs' => k cs') \cong false, so false \cong true, which is a contradiction.

In the latter, cs must have the form c:: cs' where c is some character. By stepping,

```
(case c::cs' of [] => false | c::cs' => k cs') \cong k cs' so by transitivity k \ cs' \cong true. We take p to be [c] and s to be cs'. Then p @ s \cong [c]@cs' \cong cs (by stepping \mathfrak{Q}), [c] \in L(_) by definition,
```

Task 2.3 (10%). Implement the case of match for intersection $r_1 \& r_2$, that is, Both (r_1, r_2) .

Task 2.4 (10%). Complete the following case of soundness:

```
Case for Both(r_1, r_2):
```

```
To show: for all cs: char list and k: char list \to bool, if match (\mathsf{Both}(r_1,r_2)) cs k\cong \mathsf{true} then \exists p,\ s such that p@s\cong cs and p\in L(\mathsf{Both}(r_1,r_2)) and k s\cong \mathsf{true} Complete this case.
```

Solution 2.4

Proof. Assume cs, k such that match $(Both(r_1, r_2))$ cs $k \cong true$. We need to show that $\exists p, s$ such that $p@s \cong cs$ with $p \in L(Both(r_1, r_2))$ and $k \subseteq true$.

Inductive Hypotheses (Soundness on r_1 and r_2):

- 1. $\forall cs_1$: char list, k_1 : char list \rightarrow bool, if match r_1 cs_1 $k_1 \cong$ true, then $\exists p_1, s_1 \ s.t.$ $p_1@s_1 \cong cs_1, p_1 \in L(r_1), k_1 \ s_1 \cong$ true
- 2. $\forall cs_2$: char list, k_2 : char list -> bool, if match r_2 cs_2 $k_2 \cong$ true, then $\exists p_2, s_2$ s.t. $p_2@s_2 \cong cs_2, p_2 \in L(r_2), k_2$ $s_2 \cong$ true

By stepping:

```
match (Both (r1, r2)) cs k 

\cong case (Both (r1, r2)) of ... | Both (r1, r2) => ... | ... 

\cong match r1 cs (fn s => match r2 cs (fn s' => charlisteq(s,s') and also k s'))
```

By assumption, match (Both (r1, r2)) cs $k \cong true$. So by transitivity

(i) match r1 cs (fn s => match r2 cs (fn s' => charlisteq(s,s') and also k s') \cong true

We can then apply IH1, taking k_1 to be

```
(fn s => match r2 cs (fn s' => charlisteq(s,s') andalso k s'))
```

and cs_1 to be cs, and using (i) to satisfy the premise. Then we know that $\exists p_1, s_1$ such that $p_1@s_1 \cong cs$, $p_1 \in L(r_1)$, and (fn s => match r2 cs (fn s' => charlisteq(s,s') and also k s')) $s_1 \cong true$.

By stepping

```
(fn s => match r2 cs (fn s' => charlisteq(s,s') and also k s'))s_1 \cong match r2 cs (fn s' => charlisteq(s_1,s') and also k s')
```

Thus, by transitivity,

(ii) match r2 cs (fn s' => charlisteq(
$$s_1$$
,s') and also k s') \cong true

We can then apply IH2, taking k_2 to be

```
(fn s' => charlisteq(s_1,s') andalso k s')
```

and cs_2 to be cs and using (ii) to satisfy the premise. Then we know that $\exists p_2, s_2$ such that $p_2@s_2 \cong cs$, $p_2 \in L(r_2)$, and (fn s' => charlisteq(s_1 ,s') and also k s') $s_2 \cong \text{true}$.

By stepping

```
(fn s' => charlisteq(s_1, s_2) and also k s')s_2
\cong charlisteq(s_1, s_2) and also k s_2
```

Thus, by transitivity, charlisteq (s_1, s_2) and also k s_2 evaluates to true. By inversion on and also, charlisteq $(s_1, s_2) \cong$ true and k $s_2 \cong$ true. By correctness of charlisteq, $s_1 \cong s_2$. By lemma, since $p_1@s_1 \cong cs$, $p_2@s_2 \cong cs$, and $s_1 \cong s_2$, it must be the case that $p_1 \cong p_2$ —if there are two splittings of cs with the same suffix, then the prefixes must be the same.

```
So, take p to be p_1 and s to be s_1. Then p = p_1 \in L(r_1) and p = p_1 \cong p_2 \in L(r_2). So p@s \cong p_1@s_1 \cong cs, p \in L(Both(r_2, r_2)) by definition, and k \mathrel{s} \cong k \mathrel{s_1} \cong true.
```

Do this proof carefully! There is a plausible-looking, but incorrect, implementation of Both; this case of the proof will fail if your code has this bug.

Note: we will go over the code for **Star** in lecture on Tuesday; you may want to wait until after then for the next two tasks.

As in the case with Star, the case for Any should use a helper function called matchany. This function should do all the work of matching Any with the given continuation, k.

Task 2.5 (10%). Define the function matchany so that it evaluates to true if and only if there is some suffix (possibly the whole list) of its argument that satisfies the given continuation.

To prove the soundness of the Any case, we will prove the following lemma about matchany in the scope of a given continuation k:

Lemma 1. For all cs: char list, if matchany $cs \cong$ true then $\exists p, s \text{ such that } p@s \cong cs \text{ with } k \text{ } s \cong$ true.

Task 2.6 (10%). Prove Lemma 1 by structural induction on cs.

Solution 2.6 We use the following definition of matchtop:

```
fun matchtop cs' =
  case cs' of
    [] => k []
    | _::cs'' => k cs' orelse matchtop cs''
```

Proof. Case for []: Assume matchtop [] \cong true.

To Show: $\exists p, s \text{ such that } p@s \cong [] \text{ with } k s \cong \text{true.}$

```
matchtop [] \cong case [] of [] => k [] | ... \cong k []
```

Therefore, by transitivity, **k** [] evaluates to true. Take p and s to be []. Thus, $p@s \cong ||@|| \cong ||$ and $k s \cong k || \cong$ true, completing the base case.

Case for c :: cs':

Inductive Hypothesis: If matchtop cs' \cong true, then $\exists p', s'$ such that $p'@s' \cong cs'$ with $k \ s' \cong$ true.

To Show: Assume matchtop $(c :: cs') \cong \mathsf{true}$; we must show $\exists p, s$ such that $p@s \cong c :: cs'$ with $k \ s \cong \mathsf{true}$.

By stepping

```
matchtop (c::cs') 

\cong case c::cs' of ... | c::cs' => k (c::cs') orelse matchtop cs' 

\cong k (c::cs') orelse matchtop cs'
```

By transitivity, (k (c::cs') orelse matchtop cs') \cong true. By inversion on orelse, we have two cases to consider, one where each disjunct evaluates to true:

Case 1: k (c::cs') \cong true

We take p to be [], and s to be c::cs'. Observe that []@ $(c::cs')\cong c::cs'$, so $p@s\cong c::cs'$, and that k $s\cong {\tt true}$.

Case 2: matchtop cs' \cong true

This fact allows us to use the inductive hypothesis to show that $\exists p', s'$ such that $p'@s' \cong cs'$ with $k \ s' \cong \texttt{true}$. Take p to be c :: p' and s to be s'. Then $p@s \cong (c :: p')@s' \cong c :: (p'@s')$ (by associativity) $\cong c :: cs'$. And $k \ s \cong k \ s' \cong \texttt{true}$.

3 File Systems

3.1 Structural Recursion on Trees

As a first example, consider structural recursion on trees, as in size:

```
datatype 'a tree =
   Leaf of 'a
   | Empty
   | Node of 'a tree * 'a tree

fun size (t : 'a tree) : int =
   case t of
    Leaf x => 1
   | Empty => 0
   | Node (l, r) => size l + size r
```

This pattern can be abstracted into a function mapreduce:

```
fun mapreduce (f : 'a -> 'b) (e : 'b) (n : 'b * 'b -> 'b) (t : 'a tree) : 'b =
    case t of
        Leaf x => f x
        | Empty => e
        | Node (1, r) => n (mapreduce f e n 1, mapreduce f e n r)
```

mapreduce takes three arguments: f says what to do in the Leaf case, as a function of the data stored at the leaf; e says what to do in the Empty case; n says how to combine the recursive results in the Node case. It returns a function 'a tree -> 'b that applies this process to the tree.

For example,¹

```
fun size (t : 'a tree) : int = mapreduce (fn _{-} => 1) 0 (op+) t
```

The name mapreduce comes from the fact that

```
mapreduce f e n \cong (reduce n e) o map f
```

for map and reduce defined in Lecture 10.

¹Note that op allows an infix operator to be used as a function (+ by itself, without arguments or op, doesn't parse); op+ is equivalent to fn $(x,y) \Rightarrow x + y$.

3.2 Structural Recursion on a File System

In this section we will use the following simple representation of objects in a filesystem:

```
datatype fsobject =
   File of string * int
   | Dir of (string * fsobject) tree
```

A fsobject is either a file consisting of the **contents** and size of the file (represented by a string and int, respectively) or a directory consisting of a collection of fsobject's, each paired with a name represented by a string. We represent this collection as a tree, so that if a directory had lots and lots of entries in it, we could process them in parallel. Note that individual Files do not have a name—the filename is part of the enclosing directory.

Analogous to reduce on trees and lists, we define fsreduce to operate on values of type fsobject:

Its first argument is a function that computes the result for a single file, from the file's contents and size. The second argument is a function that computes the result for a directory, from a tree corresponding to the contents of a directory, where each recursive occurence of an fsobject has been replaced by the result of a recursive call on it. The third argument is just the fsobject itself. As an example of defining functions using fsreduce, we consider the count_rec function that computes the number of names (of both files and directories) that satisfy the given predicate (i.e., function of type string \rightarrow bool). The code is given here for reference:

```
fun count_rec (match : string -> bool) (fso : fsobject) : int =
    let val case_for_leaf = fn (name : string, subcount : int) =>
             subcount + (case match name of true => 1 | false => 0)
    in
      case fso of
          File _ => 0
        | Dir t =>
              let fun loop t =
                   case t of
                       Node (t1, t2) \Rightarrow loop t1 + loop t2
                     | Leaf (n, fso') =>
                       case_for_leaf (n, count_rec match fso')
                     \mid Empty => 0
              in
                   loop t
              end
    end
```

This function definition exhibits a pattern of recurring over a fsobject that can be used to define many functions. It defines the result for a single File (i.e., 0), and then defines the result for a Dir with a recursive function that traverses the tree of fsobject's in the directory. For each Leaf of the tree, it performs some action on the name and the recursive result of the function on the nested fsobject. These traversals of fsobject's and tree's are represented more concisely using fsreduce and mapreduce in the following alternative definition:

```
val count_reduce : (string -> bool) -> (fsobject -> int) =
  fn match =>
  let
    val case_for_leaf = ... same as above ...
  in
    fsreduce (fn _ => 0) (mapreduce case_for_leaf 0 op+)
  end
```

The File branch of the outer case in the recursive version corresponds to the first function argument to fsreduce. The Leaf branch of loop corresponds to the first argument to mapreduce. The Empty branch of the loop corresponds to the second argument to mapreduce. Finally, the Node branch of loop corresponds to the third argument to mapreduce. Observe that we only partially apply mapreduce, so that the expression is of type int tree \rightarrow int. Similarly, we only partially apply fsreduce so the expression is of type fsobject \rightarrow int.

There are a few really important benefits of rewriting code in this style:

- 1. It's shorter (1 line instead of 11!).
- 2. It's easier to read: much of reading code is about finding familiar patterns that you understand, and using them to understand new code. In the first version, you have to puzzle out the fact that the outer recursion defines an fsreduce and the inner loop a mapreduce. The second version tells you what pattern it is using, which we can do because the pattern is expressed as a higher-order function!

In the next two tasks we will ask you to take some other examples of recursive code, extract the pattern of recursion, and express it using fsreduce with mapreduce.

3.3 du

We begin with the function totsize_rec, which computes the total size of all files in the given fsobject (cf. the unix command du). Here is the recursive version:

```
fun totsize_rec (fso : fsobject) : int =
    case fso of
    File (_, sz) => sz
```

```
| Dir t =>
    let fun loop t =
        case t of
        Node (t1,t2) => loop t1 + loop t2
        | Leaf (_ , fso') => totsize_rec fso'
        | Empty => 0
    in
        loop t
    end
```

Task 3.1 (10%). Define the function

```
totsize_reduce : fsobject -> int
```

to compute the total size of all the files in the given fsobject using fsreduce with mapreduce. The function definition should be no more than a couple lines.

3.4 grep

The unix command grep finds all files matching a given pattern, and prints their full paths along with their contents. We model this by the following recursive function, all_matches_rec, which computes a tree of all the names that match a given predicate along with their absolute paths:

```
fun all_matches_rec (match : string -> bool) (fso : fsobject)
    : (string * string) tree =
    let
      fun case_for_leaf (name : string, t' : (string * string) tree)
          : (string * string) tree =
          let
            val submatches =
                treemap (fn (name', path) => (name', "/" ^ name ^ path)) t'
          in
            case match name of
                true => Node (Leaf (name, "/" ^ name), submatches)
              | false => submatches
          end
    in
      case fso of
          File _ => Empty
        | Dir t =>
              let fun loop t =
                  case t of
```

Task 3.2 (10%). Define the function

```
all_matches_reduce : (string -> bool) -> fsobject -> (string * string) tree
```

to compute all the fsobject names that satisfy the given predicate along with the path to the fsobject. You should still use the case_for_leaf function for the leaves of the tree. Your code should be no more than a couple lines. You may wish to use your regular expression matcher to construct predicates on names for test cases.

4 Patterns of Recursion On Lists

4.1 Structural Recursion on Lists

Recall the following two functions from Homework 5:

```
fun ap (11 : 'a list, 12 : 'a list) : 'a list =
    case 11
    of [] => 12
        | x::xs => x::ap(xs,12)

fun concatap (1 : 'a list list) : 'a list =
    case 1
    of [] => []
        | x::xs => ap(x,concatap(xs))
```

Both functions are structurally recursive, in that they have the form

We can capture this pattern by lifting the e1 from the []-branch and the e2 from the x::xs-branch to be arguments. This is a new higher order function called fold, which has type

$$(\alpha * \beta \to \beta) \to \beta \to \alpha \ list \to \beta$$

The first argument is the function that we use to combine the elements with the recursive call; the second argument is the result for when there are no more elements; the third argument is the list to combine in this way.

We can implement fold by following the above pattern with some new arguments:

We can then use fold to implement the two functions above very simply, by turning each branch of the case into a function argument to fold:²

```
fun ap (11 : 'a list, 12 : 'a list) : 'a list = fold (op ::) 12 11
val concatap : 'a list list -> 'a list = fold ap []
```

The SML basis library provides an implementation of fold as List.foldr

4.2 Tasks

For each task below, implement the specified function using List.foldr. You may not write recursive solutions to any of these tasks. You may use List.map and non-recursive helper functions unless otherwise indicated, but you may not use other built in library functions on lists.

If you get stuck on a task, we suggest that you implement the function recursively using the above template for structural recursion, and then turn the branches of the case into arguments to List.foldr.

Task 4.1 (2%). Implement a function foldmap : ('a -> 'b) -> 'a list -> 'b list such that

$$foldmap \cong List.map$$

You may not use List.map for this task.

Task 4.2 (2%). Implement a function foldid: 'a list -> 'a list that is the identity function for lists. Specifically,

$$foldid \cong (fn x \Rightarrow x)$$

Your implementation must run in linear, not constant, time.

Task 4.3 (3%). Implement a function foldfilter: ('a -> bool) -> 'a list -> 'a list that keeps all and only the elements of the list that satisfy the predicate, in their original order. For example,

foldfilter (fn x => x > 9)
$$[1,2,3,4,10,11,12] \cong [10,11,12]$$

Specifically,

$$foldfilter \cong List.filter$$

(op ::)
$$\cong$$
 (fn (x,y) => x::y)

²The op keyword is an extremely convenient way of taking an infix identifier, like ::, and using it as a normal function—if I is some infix identifier, op $I \cong fn (x,y) => x I y$. So, for example,

Task 4.4 (7%). Implement a function prodofsum: int list list -> int that, given a list of lists, computes the sum of each inner list, and then the product of those sums.³ For example,

```
prodofsum [[1,2,3],[],[],[\sim6]] \cong 6 * 0 * 0 * \sim6 \cong 0
```

Task 4.5 (8%). Implement a function cntchar: char list -> char -> int * int with one call to List.foldr. If there are n characters in a list of characters 1 and a particular character c appears in the list k times, then cntchar 1 c \cong (k,n).⁴ For example,

```
cntchar (explode "curry") #"r" \cong (2,5) cntchar (explode "howard") #"z" \cong (0,6)
```

4.3 Tail Recursion on Lists

Another common pattern of recursion on lists is tail recursion with an accumulator parameter. For example, recall the following tail recursive function from Lecture 11:

This is similar to fold above, in that we're combining every element of a list with some function and with some base case—here, integer addition and zero—but different in that we pass ourselves the partial results in an accumulator argument to make the function tail recursive. We can abstract that pattern out as another higher order foldtc function:

³Recall that the empty sum is 0 and the empty product is 1.

⁴Recall that #"x" is the SML notation for character literals.

We can then use this new foldtc to recapture our original tail-recursive sumlist function:

```
val sumlist : int list -> int = foldtc (op +) 0
```

The SML basis library provides an implementation of foldtc as List.foldl. It's important to note that while both functions have the same type, they encapsulate profoundly different—if related—patterns of recursion on lists.

4.4 More Tasks

For each task below, implement the specified function using List.foldr or List.foldl as appropriate. You may not write recursive solutions to these tasks. You may use @ but you may not use other built in functions on lists.

Task 4.6 (4%).

Implement a function foldrevslow: 'a list -> 'a list that reverses its argument in time quadratic in its length. Specifically,

$$foldrevslow \cong List.rev$$

Task 4.7 (4%).

Implement a function foldrevfast: 'a list -> 'a list that reverses its argument in time linear in its length. Specifically,

 $foldrevfast \cong List.rev$