



# Graph Theory and Algorithms

Traversal, Toposort, Shortest Path, Max Flow, Spanning Tree

**Prof. Karl Ezra Pilario, Ph.D.**

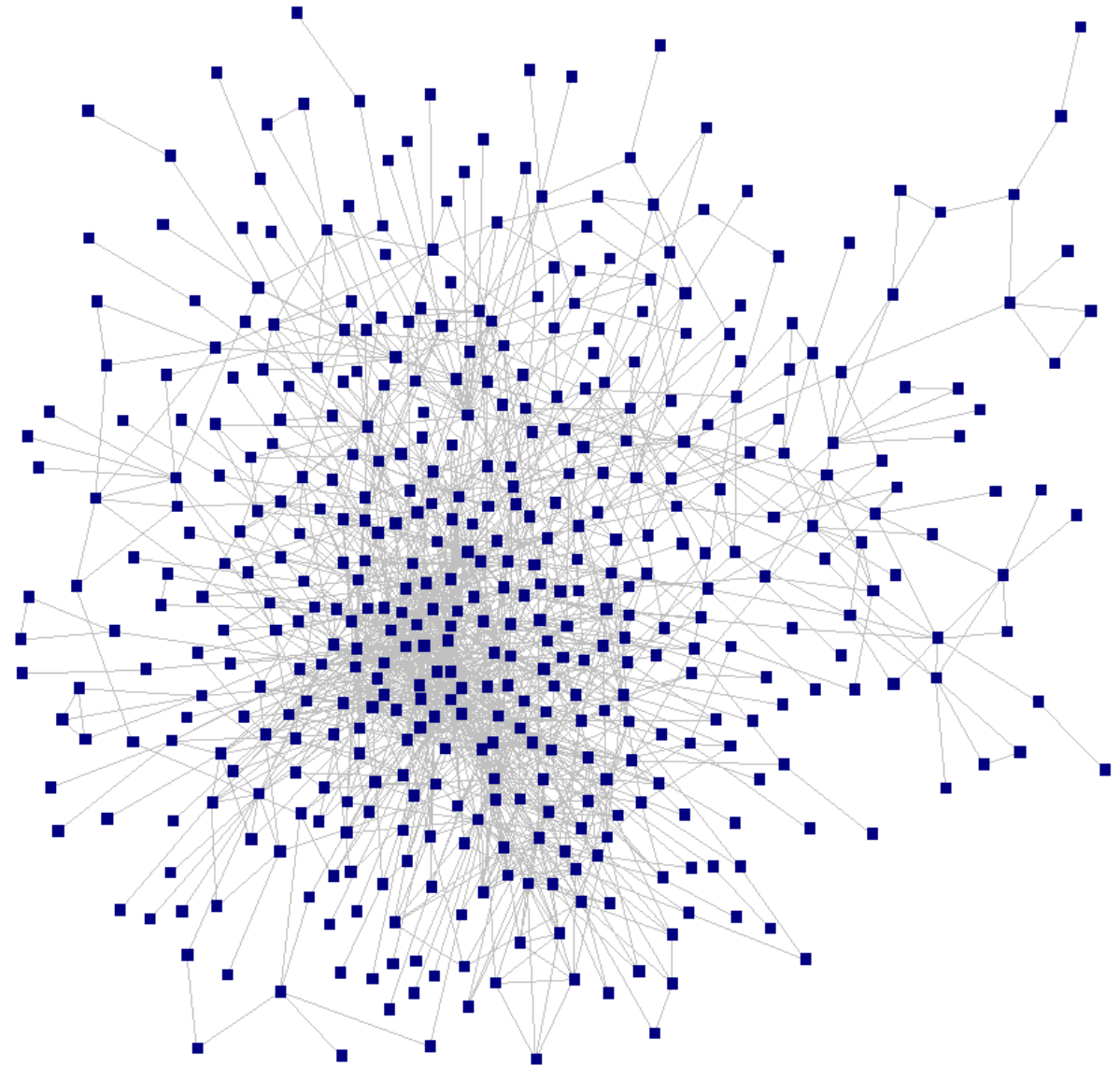
Process Systems Engineering Laboratory  
Department of Chemical Engineering  
University of the Philippines Diliman

# What is a graph?

A collection of nodes and edges.

## Examples:

	Node	Edge
Social networks	Person	Friendship
Contagions	Person	Contact
Country map	City	Roads
Chemical plant	Equipment	Piping
Reaction network	Compound	Reaction
Power grid	Sources/loads	Power lines



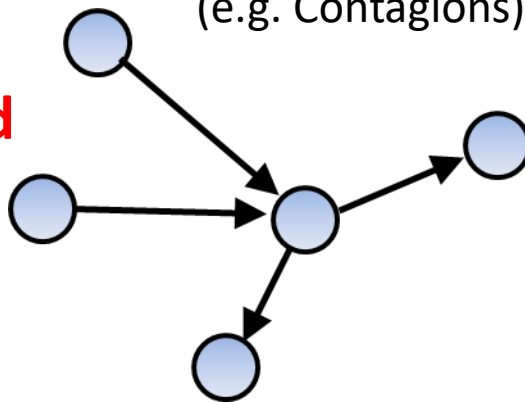
# Graph Terminologies

Different kinds of graphs:

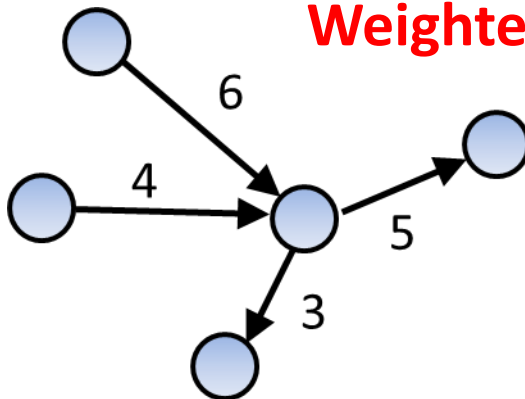
## Directed graph

(e.g. Contagions)

**Unweighted**



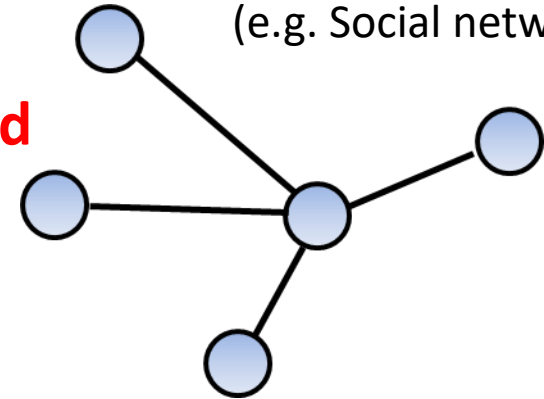
**Weighted**



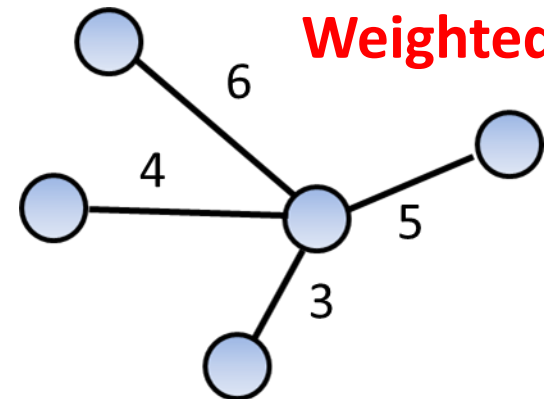
## Undirected graph

(e.g. Social networks)

**Unweighted**



**Weighted**

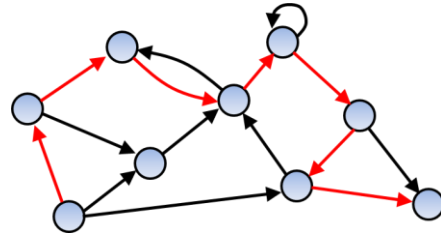


# Graph Terminologies

## Other definitions:

### Path

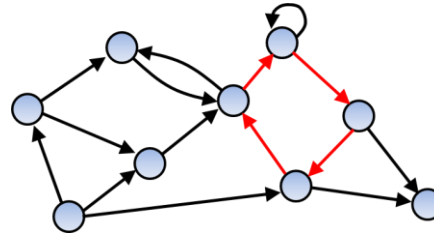
An ordered sequence of nodes connected by edges.



A path in which *all nodes are distinct*, except possibly the start and end nodes, is called a *simple path*.

### Cycle

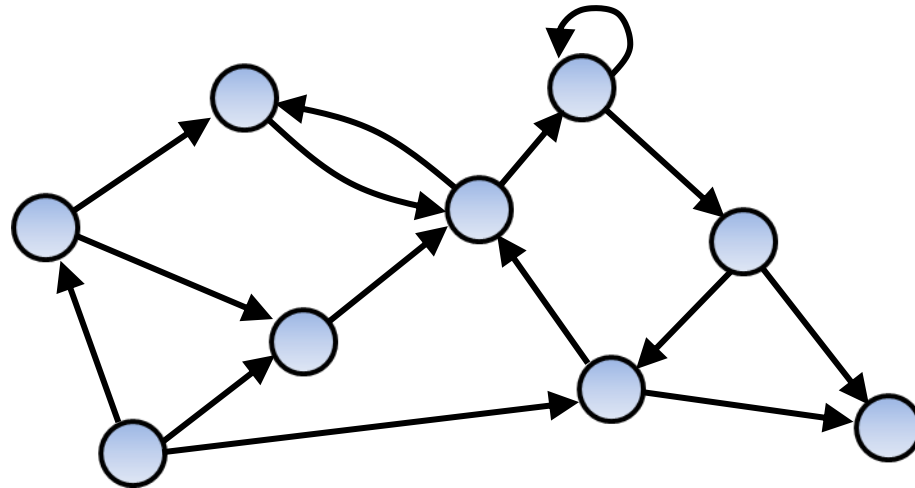
A path with the same start and end node.



A simple path with the same start and end node is a *simple cycle*.

Any graph with no self-loop is called a *simple graph*.

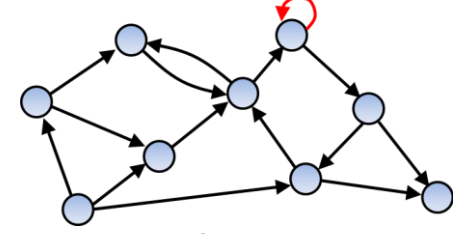
A digraph no cycles is called a *DAG (directed acyclic graph)*.



A directed graph (digraph)

### Self-loop

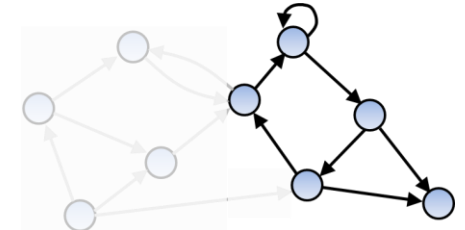
An edge connecting a node to itself.



A self-loop is a *cycle of length 1*.

### Subgraph

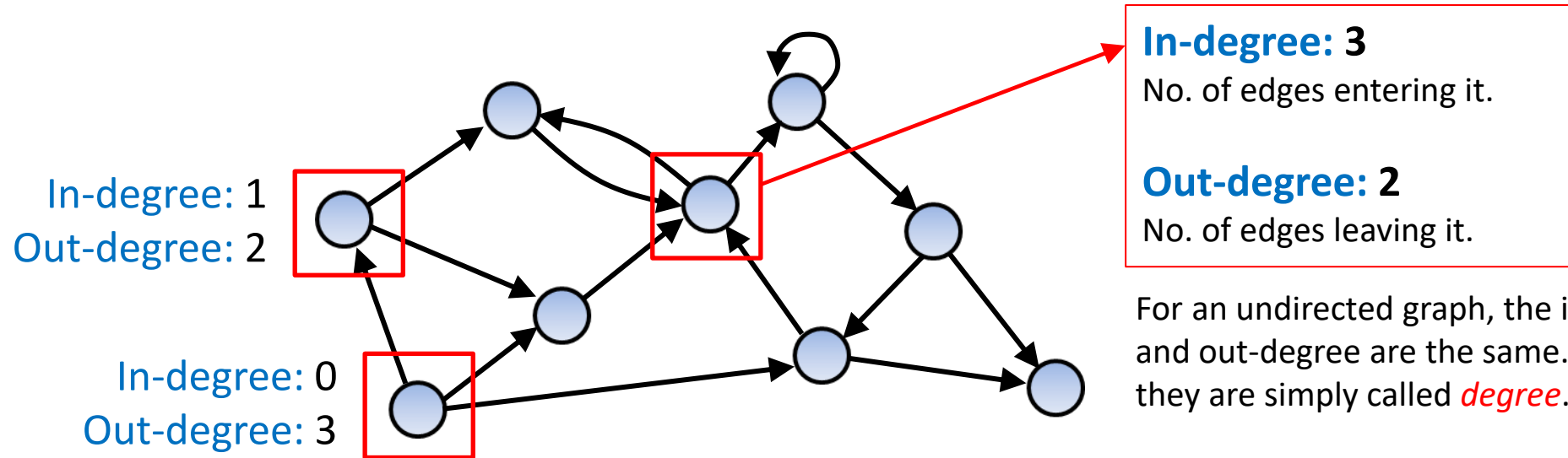
A graph containing only a subset of the edges and nodes of the original graph.



# Graph Terminologies

Other definitions:

A directed graph (digraph)

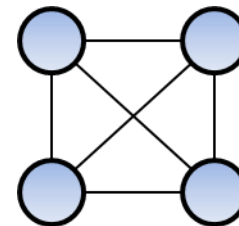


## Complete graph

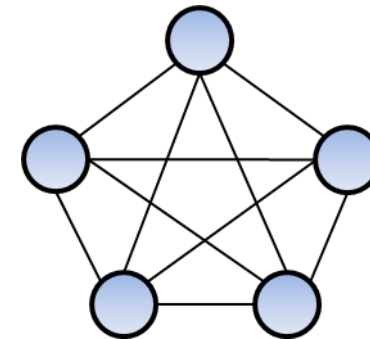
A graph where all possible edge connections between all nodes exist.

In a complete digraph, all the edges are bi-directional.

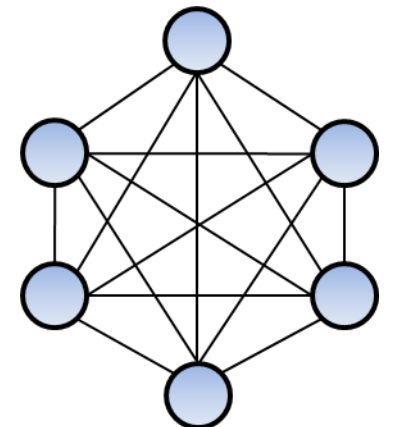
$$E = \binom{N}{2} = \frac{N(N-1)}{2}$$



4 nodes  
6 edges



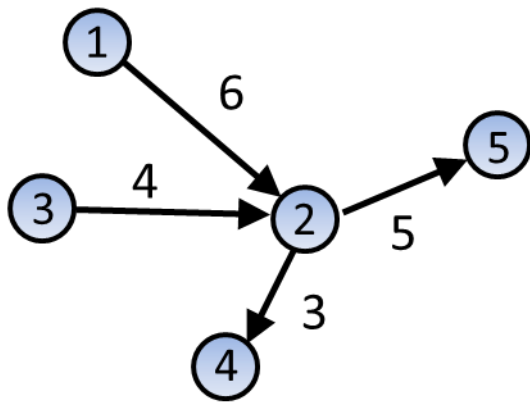
5 nodes  
10 edges



6 nodes, 15 edges

# Graph Representations

Different ways to represent graphs on code:



Source-Target-Weight Vectors

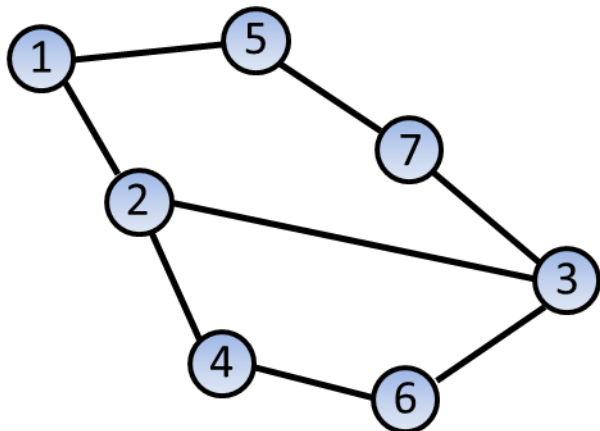
$s = [1 \ 3 \ 2 \ 2]$   
 $t = [2 \ 2 \ 4 \ 5]$   
 $w = [6 \ 4 \ 3 \ 5]$

Adjacency Matrix

	N1	N2	N3	N4	N5
N1	0	6	0	0	0
N2	0	0	0	3	5
N3	0	4	0	0	0
N4	0	0	0	0	0
N5	0	0	0	0	0

Adjacency Lists

N1 [2]  
N2 [4 5]  
N3 [2]  
N4 []  
N5 []



$s = [1 \ 1 \ 2 \ 2 \ 3 \ 3 \ 4 \ 5]$   
 $t = [2 \ 5 \ 3 \ 4 \ 6 \ 7 \ 6 \ 7]$

	N1	N2	N3	N4	N5	N6	N7
N1	0	1	0	0	1	0	0
N2	1	0	1	1	0	0	0
N3	0	1	0	0	0	1	1
N4	0	1	0	0	0	1	0
N5	1	0	0	0	0	0	1
N6	0	0	1	1	0	0	0
N7	0	0	1	0	1	0	0

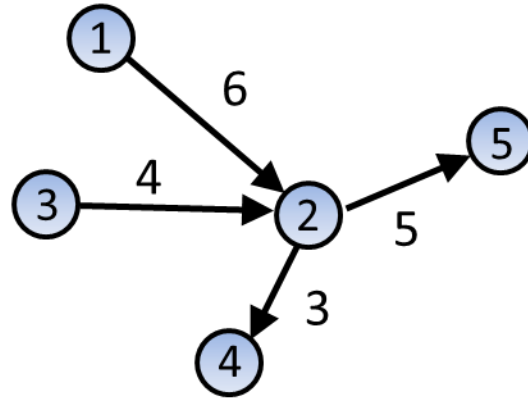
N1 [2 5]  
N2 [1 3 4]  
N3 [2 7 6]  
N4 [2 6]  
N5 [1 7]  
N6 [3 4]  
N7 [3 5]

# Graph Terminologies

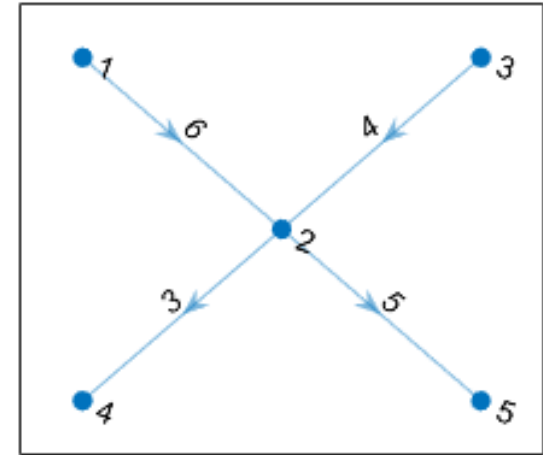
We can build graphs in MATLAB.

Enter the following codes:

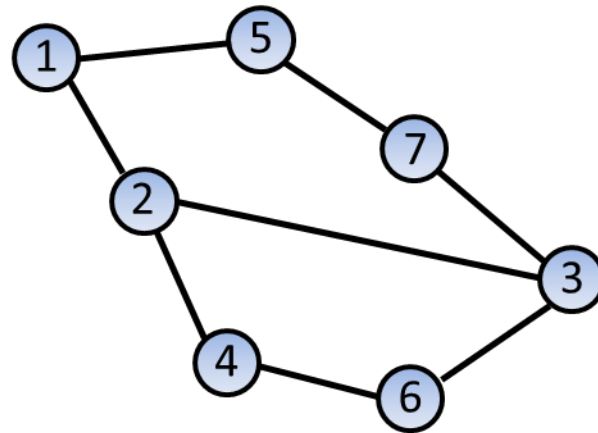
```
>> s = [1 3 2 2];  
>> t = [2 2 4 5];  
>> w = [6 4 3 5];  
>> G = digraph(s,t,w);  
>> plot(G, 'EdgeLabel', G.Edges.Weight);
```



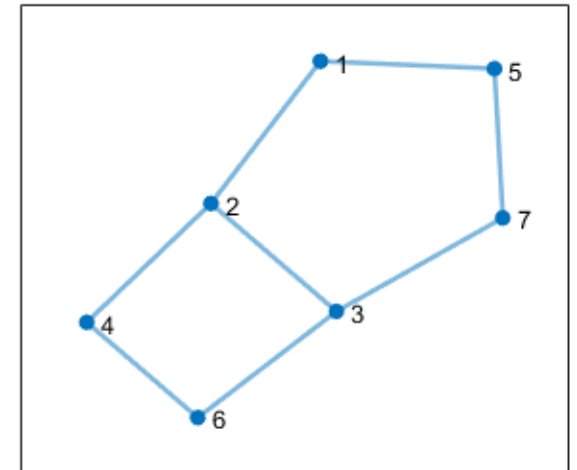
**MATLAB output:**  
(verify that this graph is the same as the one on the left)



```
>> s = [1 1 2 2 3 3 4 5];  
>> t = [2 5 3 4 6 7 6 7];  
>> G = graph(s,t); plot(G);
```



**MATLAB output:**  
(verify that this graph is the same as the one on the left)



# Graph Algorithms

- I. Reachability (Transitive Closure)
- II. Topological Sorting
- III. Shortest Path
- IV. Max Flow
- V. Minimum Spanning Tree



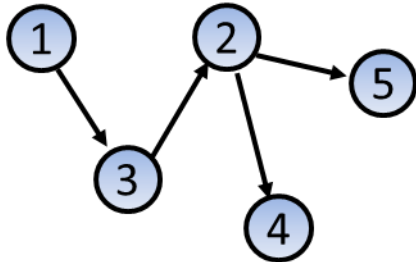
# Reachability

**Reachability:** Is Node 'T' reachable from Node 'S'?

## Definition: Transitive closure.

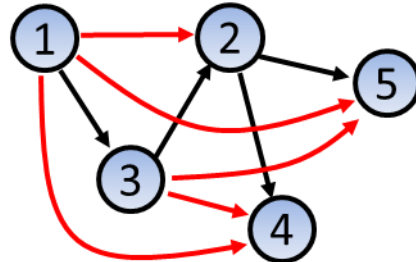
The transitive closure of a digraph  $G$  is another digraph with the same set of nodes, but where an edge from any  $S$  to  $T$  exists *if and only if*  $T$  is reachable from  $S$  in  $G$ .

Original Graph,  $G$



$$\begin{bmatrix} 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Transitive Closure of  $G$



$$\begin{bmatrix} 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

In this new adjacency matrix, an entry of '1' in row  $S$ , column  $T$  means that Node  $T$  is reachable from Node  $S$ .

## Related ChemE problems:

1. In a water distribution network, if a pumping station is down, which households are affected?
2. In a chemical plant, if a certain pipe is blocked, how will its effects propagate throughout the plant?
3. If a lake has been contaminated, which other sites in nature are affected?

## Solution:

```
>> s = [1 2 2 3];  
>> t = [3 4 5 2];  
>> G = digraph(s,t);  
>> H = transclosure(G);  
>> full(adjacency(H))
```

# Reachability

How can we traverse the entire graph from any starting Node **S**?

```
>> s = [1 1 2 3 3 5 5 6 7 8 9 9];  
>> t = [2 3 4 5 6 7 8 8 9 10 11 12];  
>> names = {'A','B','C','D','E','F',...  
            'G','H','I','J','K','L'};  
>> G = digraph(s,t,[],names)
```

- **Depth-first search (DFS)**

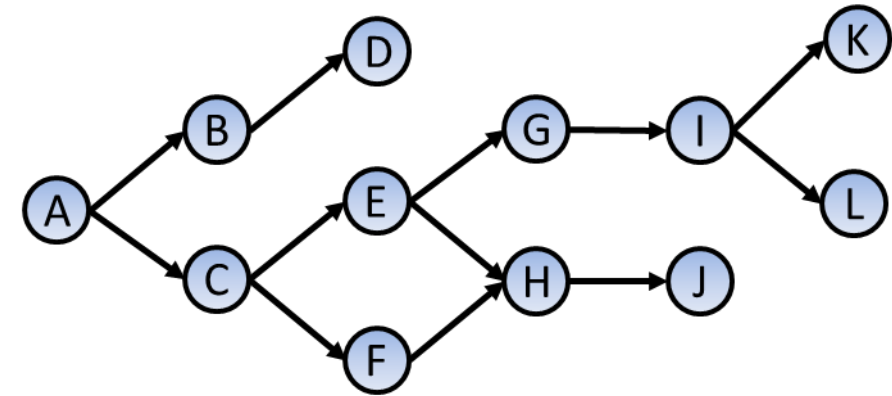
Go deep, move out, go deep again.

```
>> visit = dfsearch(G,'A')
```

- **Breadth-first search (BFS)**

View all options, go to next level, view all again.

```
>> visit = bfsearch(G,'A')
```



Order of nodes visited by **DFS** starting from Node A.



Order of nodes visited by **BFS** starting from Node A.

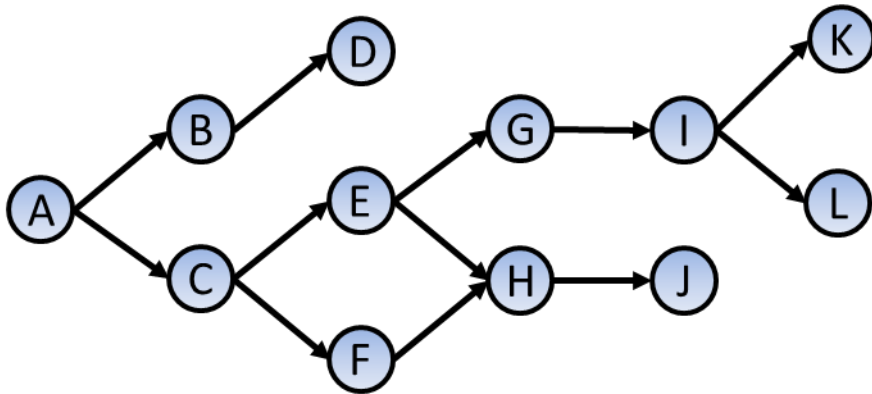


# Topological Sorting

Given a graph of dependencies, how do we sort the nodes from left to right without violating their dependencies?

## Example:

If an edge denotes *dependency*, then A must be performed before B.



```
>> s = [1 1 2 3 3 5 5 6 7 8 9 9];  
>> t = [2 3 4 5 6 7 8 8 9 10 11 12];  
>> names = {'A','B','C','D','E','F',...  
            'G','H','I','J','K','L'};  
>> G = digraph(s,t,[],names)
```

## Related ChemE problems:

1. Given a set of tasks, where some must be performed before others, in which order should we perform them to minimize completion time?
2. In a batch process plant, how do we schedule the operation to complete all product orders in the shortest time possible?
3. Given a curriculum checklist for BS ChemE, find a possible ordering of subjects to be taken such that all pre-requisites are followed.

## Solution:

```
>> N = toposort(G);  
>> cell2mat(G.Nodes.Name(N,:))
```

```
'ACFEHJGILKBD'
```

```
>> N = toposort(G,'Order','stable');  
>> cell2mat(G.Nodes.Name(N,:))
```

If “stable”, smaller node labels go first.

```
'ABCDEFGHIJKL'
```

**Note:** Toposort does not require you to supply a starting node, whereas dfsearch does.

# Graph Algorithms

- I. Reachability (Transitive Closure)
- II. Topological Sorting
- III. Shortest Path**
- IV. Max Flow
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# Shortest Path

Which path from Node **S** to **T** has the minimum sum of weights?

## Example:

```
>> s = [1 1 1 2 2 2 3 3 4 5 6 7 7 8 8 9 9];  
>> t = [3 5 8 4 5 9 2 9 7 3 4 1 6 4 7 6 7];  
>> w = [7 20 13 12 7 6 4 8 3 5 5 6 5 7 12 10 10];  
>> G = digraph(s,t,w);  
>> p = plot(G, 'EdgeLabel', G.Edges.Weight);
```

To find the shortest path  
from Node 1 to Node 6:

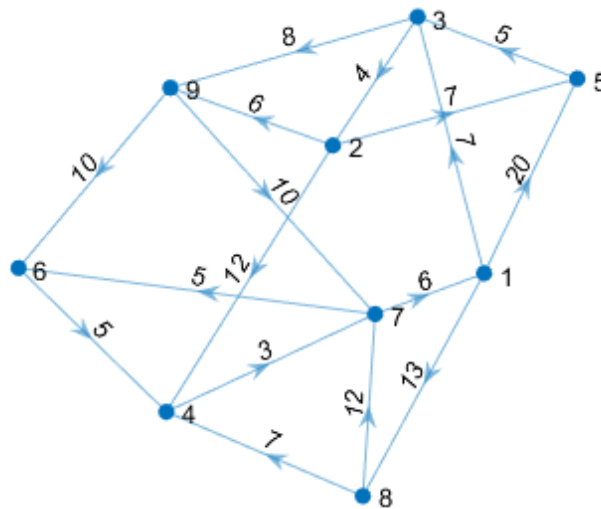
```
>> [path,d] = shortestpath(G,1,6);  
>> highlight(p,path,'EdgeColor','g');  
>> fprintf('Path length: %d\n',d);
```

Algorithms inside MATLAB's `shortestpath`:

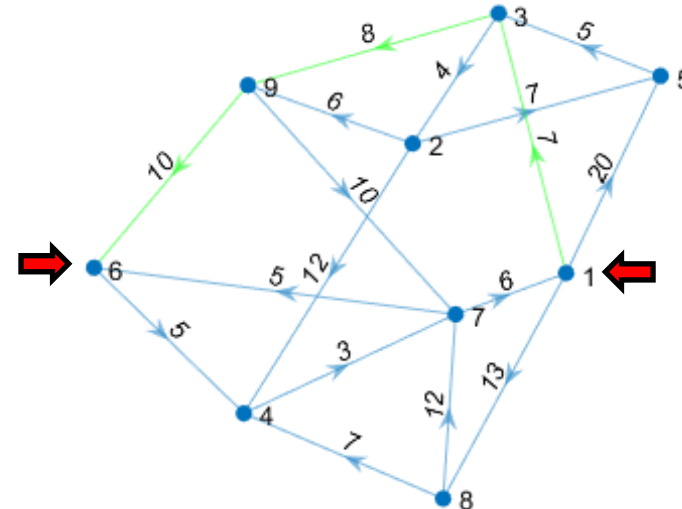
1. BFS (for unweighted)
2. Dijkstra (for digraph with all weights positive)
3. Bellman-Ford (for digraph with negative weights)

## Related ChemE problems:

1. What is the fastest route to move goods geographically from source to demand?
2. Which path of reaction steps should be taken so that the net energy needed to create a target compound is minimum?
3. Which value chain would give a maximum net profit from a given raw material?



Answer: Path length: 25

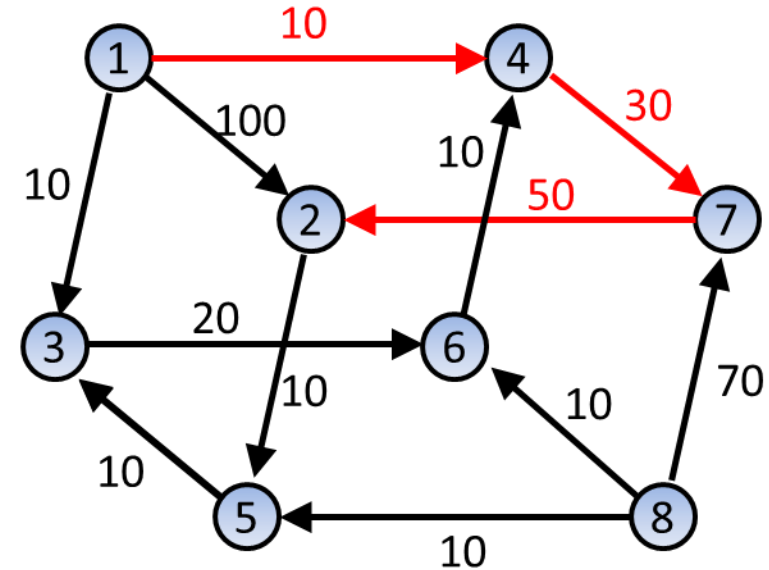


# Shortest Path

Which path from Node **S** to **T** has the minimum sum of weights?

## Example:

```
>> s = [1 1 1 2 5 3 6 4 7 8 8 8];  
>> t = [2 3 4 5 3 6 4 7 2 6 7 5];  
>> w = [100 10 10 10 10 10 20 10 30 50 10 70 10];  
>> G = digraph(s,t,w);  
>> plot(G, 'EdgeLabel', G.Edges.Weight)
```



To find the shortest path between  
any pair of nodes:

```
>> D = distances(G);
```

$D(i, j)$  is the length of the shortest path  
from node  $i$  to node  $j$ .

$d = 8 \times 8$

0	90	10	10	100	30	40	Inf
Inf	0	20	50	10	40	80	Inf
Inf	110	0	30	120	20	60	Inf
Inf	80	100	0	90	120	30	Inf
Inf	120	10	40	0	30	70	Inf
Inf	90	110	10	100	0	40	Inf
Inf	50	70	100	60	90	0	Inf
Inf	100	20	20	10	10	50	0

# Graph Algorithms

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# Max Flow

Given a *source* node **S**, a *sink* node **T**, and the flow capacity in each edge, find the maximum flow that can be pushed from **S** to **T**.

## Example:

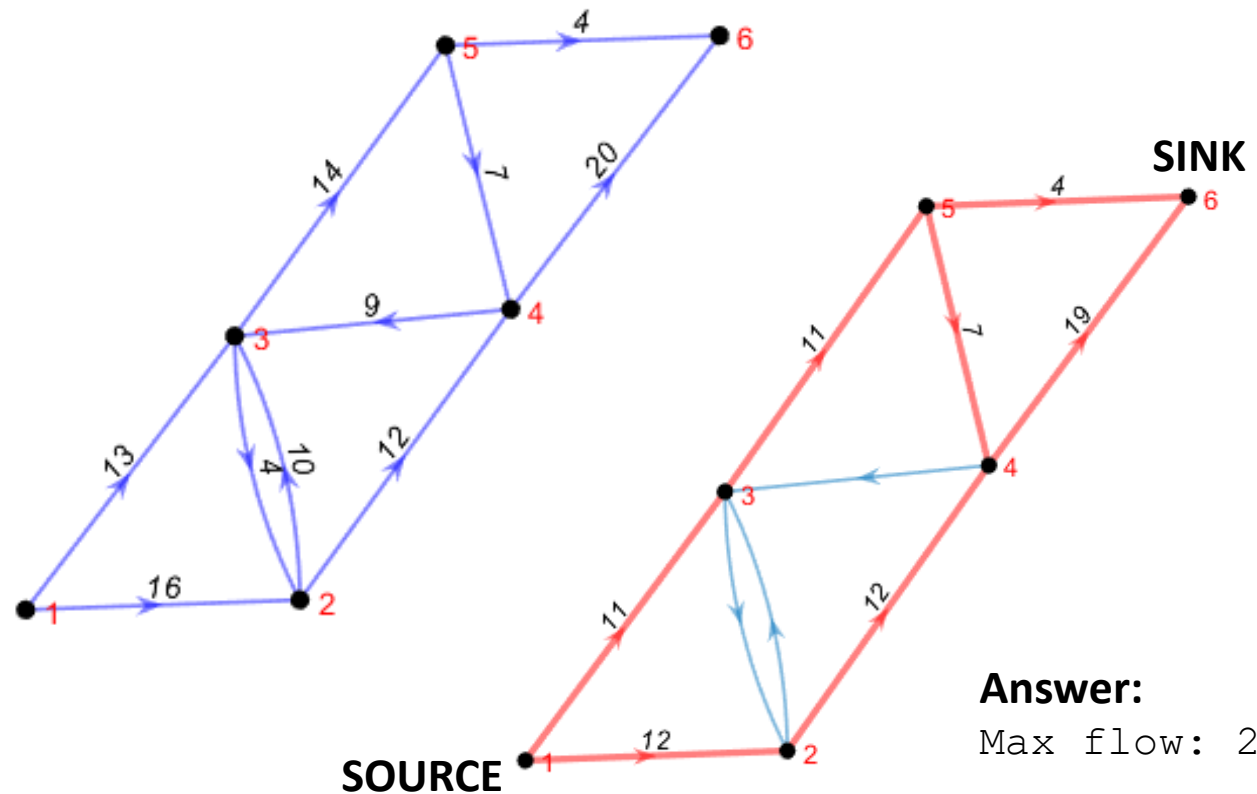
```
>> s = 1; t = 6;  
>> cap = [ 0 16 13 0 0 0; 0 0 10 12 0 0;  
          0 4 0 0 14 0; 0 0 9 0 0 20;  
          0 0 0 7 0 4; 0 0 0 0 0 0];  
>> G = digraph(cap);  
>> H = plot(G, 'EdgeLabel', G.Edges.Weight)
```

To find the maximum flow (**mf**) from **S** to **T** and the resulting flow graph (**GF**):

```
>> [mf, GF] = maxflow(G, s, t);  
>> H.EdgeLabel = {};  
>> highlight(H, GF, 'EdgeColor', 'r', 'LineWidth', 2);  
>> st = GF.Edges.EndNodes;  
>> labeledge(H, st(:,1), st(:,2), GF.Edges.Weight);  
>> fprintf('Max flow: %d\n', mf);
```

## Related ChemE problems:

1. Find the maximum production capacity of a plant, given the capacity of each pipe and equipment.
2. Find the maximum no. of goods that can flow from the supply to the demand side, given that only a limited amount of goods can flow on certain roads.



**Answer:**

Max flow: 23



# Graph Algorithms

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# Minimum Spanning Tree

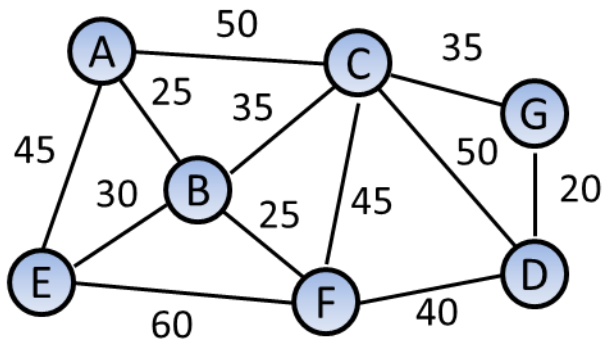
Given an undirected graph, find a **subset of the edges** such that the remaining graph is still connected but the total edge weight is minimum.

## Example:

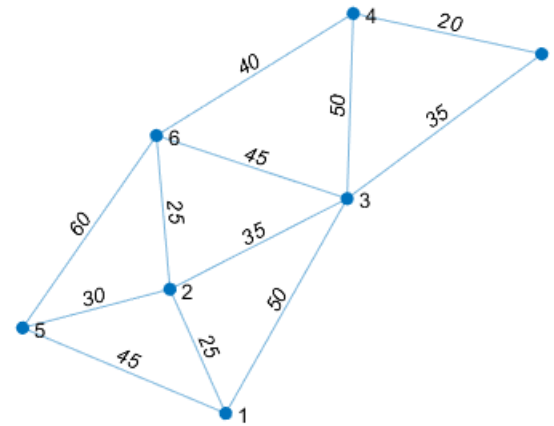
```
>> adj = [0 25 50 0 45 0 0; 25 0 35 0 30 25 0;  
          50 35 0 50 0 45 35; 0 0 50 0 0 40 20;  
          45 30 0 0 0 60 0; 0 25 45 40 60 0 0;  
          0 0 35 20 0 0 0];  
  
>> G = graph(adj);  
>> H = plot(G, 'EdgeLabel', G.Edges.Weight)
```

To find the minimum spanning tree, T:

```
>> T = minspanmtree(G);  
>> highlight(H, T);
```

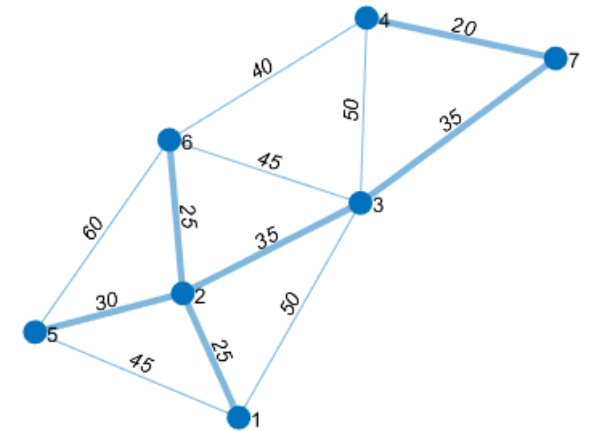


## MATLAB output:



## Minimum spanning tree:

Total weight: 170



# Summary

- There are optimization problems in ChemE that can be represented as graphs. Hence, graph algorithms are needed to solve them.
- You now have learned how to use new MATLAB built-in functions such as:

<code>graph</code>	<code>toposort</code>	<code>highlight</code>
<code>digraph</code>	<code>transclosure</code>	<code>adjacency</code>
<code>dfsearch</code>	<code>shortestpath</code>	<code>maxflow</code>
<code>bfsearch</code>	<code>distances</code>	<code>minspantree</code>