

FIT3155: Week 2 Tutorial - Answer Sheet

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Question 2

In the above Z-algorithm, for any given input string if we found that $Z_2 = q$ (where $q > 0$), then the values of $Z_3, Z_4, \dots, Z_{q+1}, Z_{q+2}$ can be immediately obtained without additional character comparisons. Reason why?

If $Z[2] = q$, we know that the first q characters of the string match exactly the q characters starting from $k=2$. That is $\text{str}[1..q] = \text{str}[2..q+1]$.

This implies:

```
str[2] = str[1],
str[3] = str[2] (which we know from above = str[1])
str[4] = str[3] = str[2] = str[1]
...
str[q+1] = str[q] = str[q-1] = ... = str[1].
```

This implies that the first $q+1$ characters of the string repeat the same character, with $q+2$ being a different character. For example: "aaaaaaaaaab...".

Therefore, every $Z[k]$ values for $2 < k \leq q+1$ can be inferred without explicit comparisons, as we know that it will simply be the **length** of the string $\text{str}[k..q+1]$. That is:

```
Z[3] = q-1
Z[4] = q-2
...
Z[q+1]=1
```

Finally, by the definition of $Z[2]=q$, we know that $\text{str}[q+2]$ is different from $(\text{str}[q+1]=\text{str}[1])$. This implies $Z[q+2]$ has to be 0.

Question 3

Stare at the lecture slide handling the preprocessing CASE 2b of the Z-algorithm. When $Z_{k-l+1} \geq r-k+1$, the algorithm does explicit comparisons until it finds a mismatch. This is a reasonable way to organize the algorithm, but in fact CASE 2b can be refined so as to eliminate an unneeded character comparison. Argue that when $Z_{k-l+1} > r-k+1$, then $Z_k = r-k+1$, and hence no character comparisons are necessary. Therefore, explicit character comparisons are needed only in the case when $Z_{k-l+1} = r-k+1$.

See worked out illustration attached at the end.

Question 4

Reason a potential linear-time solution for the following problem: Given two equal-length strings α and β from a fixed alphabet, determine if α is a circular (or cyclic) rotation of β . For example, $\alpha = \text{defabc}$ is a circular rotation of $\beta = \text{abcdef}$.

Solution using Z-boxes:

If α is a cyclic rotation of β , then:

α is of the form **someprefix** + **somesuffix**

β is of the form **somesuffix** + **someprefix**

So, to check if α is a cyclic rotation of β , double up the string β to get $\beta\beta$ which will be of the form:

$\beta\beta = \text{somesuffix} + \text{someprefix} + \text{somesuffix} + \text{someprefix}$

This allows us to convert the problem into an exact pattern matching problem since we are search for α of the form:

someprefix + **somesuffix**

Thus, perform an exact pattern matching using Z-algorithm over $\alpha\beta\beta$ to find if α occurs in $\beta\beta$. If so, α is a circular rotation of β .

An "out of the (Z-)box" solution! (credited to Dijkstra, popularized by Gusfield) -- NOT EXAMINABLE BUT A VERY CLEVER TO NOTE HOW IT FUNCTIONS

There is another approach that works, related closely to the problem of finding the (lexicographically) smallest rotation of a given string.

Consider this logic (using 1-based indexes):

```
A =  $\alpha\alpha$ ;
B =  $\beta\beta$ ;
i = j = -1;
n = len( $\alpha$ )    // also = len( $\beta$ ), note
while(i < n and j < n ) {
    len = 1
    while (len < n && (A[i+len] == B [j+len])) len++
    if (len >= n) {
        print "YES" // smallest rotation at i+1, j+1
        break
    }
    else if (A[i+len] > B[j+len]) i = i+len
    else j = j+len
}
if (i >=n or j>=n) print "NO"
```

Key idea is that, this solution is answering a yes/no question by attempting to find the positions in $A=\alpha\alpha$ and $B=\beta\beta$ respectively of the *lexicographically smallest* string of length n (assuming α is a rotation of β). Note: $\alpha\alpha$ and $\beta\beta$ are doubled up here to avoid modular arithmetic, although the same logic can be implemented using modulo- n arithmetic on simply α and β strings.

Correctness: For a given (i,j) , the above finds the value of len where $A[i\dots i+len-1]$ is same as $B[j\dots j+len-1]$, before a mismatch is found (or when n -length string is found). If mismatch is found, and $A[i+len] > B[j+len]$, we know that, for a length n -string (circular rotation) starting at any $i+\delta$ (where $\delta=2\dots len-1$), there is always a corresponding string (circular rotation) that starts at $j+\delta$ that is lexicographically less than the one starting at $i+\delta$. This implies $i+\delta$ cannot be the starting point of the overall

smallest rotation/string, assuming alpha is a circular rotation of beta. This allows us to apply this rule:

```
else if (A[i+len] > B[j+1]) i = i+1
```

and by symmetry:

```
else if (A[i+len] < B[j+1]) j = j+1
```

If the assumption (that alpha is a rotation of beta) doesn't hold, it would never find a n-length string.

Question 5

Similar to the above exercise, give a linear-time algorithm to determine whether a linear string α is a SUBstring of a circular string β . Note: a circular string $\text{str}[1..n]$ is such that the character $\text{str}[n]$ precedes character $\text{str}[1]$.

Can be addressed using the Z-box based solution to the previous question. For this problem, a circular string β can be of course represented in the form of $\beta\beta$. But in fact, you don't have to double up fully that string, and rather just append the prefix of β that is of the same size as α , and run the Z-algorithm as before.

Question 6

Give an algorithm that takes in two string α and β of lengths m and n , and finds the longest suffix of α that exactly matches a prefix of β . Reason the run-time of your algorithm.

If you concatenate $\beta\alpha$ and run the Z-algorithm, the largest $Z[k]$, for values of $k > |\beta|+1$, such that $Z[k]+k-1 = |\alpha|+|\beta|$ gives you the longest suffix of α that exactly matches the prefix of β .

Z-box computation takes $O(|\alpha|+|\beta|)$ time. The subsequent scanning starting from position $|\beta|+2$ to find the largest Z values that satisfies the above condition takes $O(|\alpha|)$ -time.

Question 7

Given a string `str[1..n]`, let `len(i)` denote the length of the largest suffix of `str[i..n]` that is also a prefix of `str`. Give an algorithm that computes `len(i)` values. Reason the run-time of your algorithm.

Recall that `Z[i]` gives the length of the longest substring that start from `i`, and matches with a prefix.

Let us first initialize `len` array of size `n`.

```
len = [ 0 0 0 ... 0]
```

and compute `Z`-array over `str[1..n]`.

Now, we are going to scan `Z`-array from right to left (from `n` to `1`), checking each `Z`-value to see if the `z`-box at `i` reaches the end, we can find each `len[i]` value (as follows):

If `Z[i]+i-1 = n` :

```
len[i] = Z[i] //found a new largest suffix matches a prefix
```

Else :

```
len[i] = len[i+1] //otherwise, previously found value is copied.
```

Time complexity:

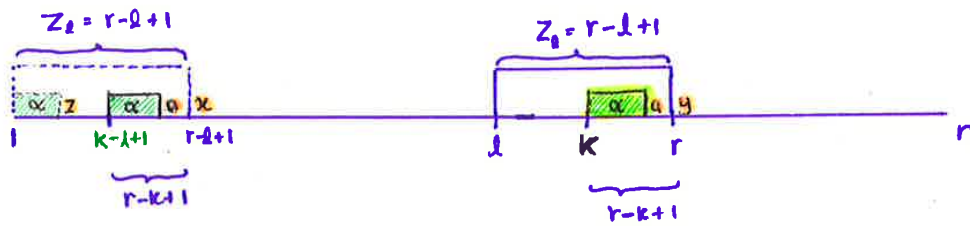
Computation of `Z`-values takes $O(n)$. Filling up `len` array in the reverse direction is also $O(n)$. Total = $O(n)$ time.

Question ③ - Tutorial 1

— Z-box
— prefix
— Newly determined
Z box $\equiv Z[k]$ box

Next character at end of a Z-box or prefix

①

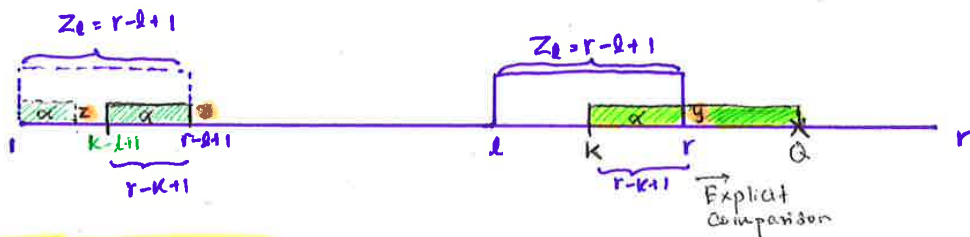


If $Z_{k-l+1} < r-k+1$

$$Z_k = Z_{k-l+1}$$

l and r left unchanged

②



If $Z_{k-l+1} == r-k+1$

If mismatch occurs at Q ,

$$\begin{matrix} x \neq y \\ z \neq x \end{matrix} \leftarrow \begin{matrix} \text{Z-box property} \\ \text{always ensures} \end{matrix}$$

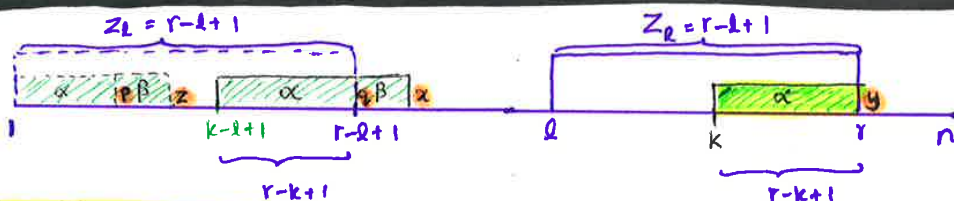
But we cannot say
if $z == y$ or $z \neq y$
until we explicitly
compare.

\therefore Start naive comparison
until a mismatch

$$Z_k = \alpha + (Q-1) - (r+1) + 1$$

$$\begin{matrix} r = Q-1 \\ l = k \end{matrix}$$

③



If $Z_{k-l+1} > r-k+1$

$$\begin{matrix} x \neq z \\ p = q \\ q \neq y \end{matrix} \leftarrow \begin{matrix} \text{Z-box property} \\ \text{ensures} \end{matrix}$$

Since $Str[r-l+2] \neq Str[r+1]$ (i.e. $q \neq y$)

We cannot extend Z_k -box beyond r

$$Z_k = r - k + 1$$

l and r left unchanged.