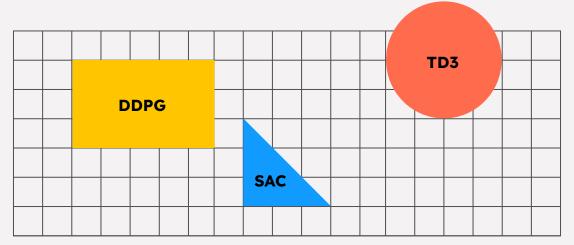
CSCE 642 Deep Reinforcement Learning DATE: November 30th 2024

# SAC-based hybrid approaches on Mujoco environments



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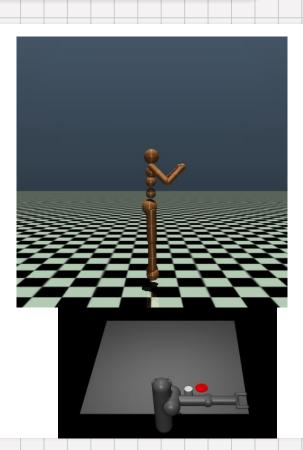


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This project is based on Aalto University's Mujoco ant model

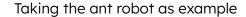
MuJoCo - Multi Join dynamics with Contact

- A physics engine that simulates articulates structures iterating with their environment
- Although the goal is focused on simulation to reality we just look at how to use PPO and TD3 as baseline to improve the total reward.
- This problem is inherently **sequential**.
- We used SAC to apply to the pusher and humanoid to be the baseline model.

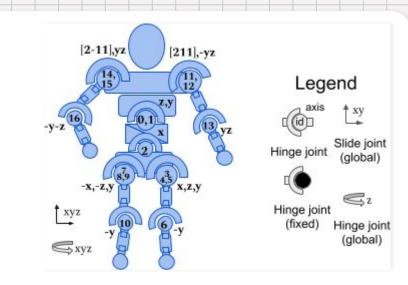


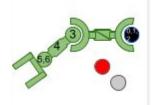
Twin-delayed deep deterministic (TD3) Proximal policy optimization (PPO) Soft Actor-Critic (SAC)

# Environments



- State Space
  - Angular velocity of different parts of robot
  - Coordinates of various body parts centers
- Action Space
  - Torque applied to 8 hinge points/rotatable joints
  - Motors in the physical robot
- Transition function and reward functions are predefined in Mujoco environment
- Original plan: Focus more on algorithms, running baseline PPO and TD3 with modifications to optimization process and introduce new regularization techniques.

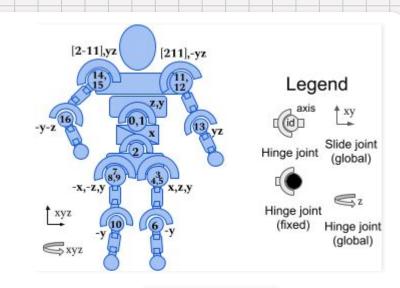


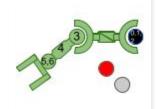


## Related work

But after reviewing more papers related to continuous control

- We still need to benchmark DDPG on Humanoid and pusher since the paper was 8 years as of 2024.
- We have a deeper understanding of TD3 and SAC in continuous control about how they improved the performance.
- We take inspiration from Monte Carlo and TRPO to develop hybrid method based on SAC.
- We also wish to add constraints in the surroundings to conserve energy but is not done.
- We found some algorithms that are proven to work in such constraint environments.





## **Reward Function**



#### Rewards

The reward consists of three parts:

- healthy\_reward: Every timestep that the humanoid is alive (see section Episode Termination for definition), it gets a reward of fixed value healthy\_reward
- forward\_reward: A reward of walking forward which is measured as forward\_reward\_weight \* (average center of mass before action average center of mass after action)/dt. dt is the time between actions and is dependent on the frame\_skip parameter (default is 5), where the frametime is 0.003 making the default dt = 5 \* 0.003 = 0.015. This reward would be positive if the humanoid walks forward (in positive x-direction). The calculation for the center of mass is defined in the .py file for the Humanoid.
- ctrl\_cost: A negative reward for penalising the humanoid if it has too large of a control force. If there
  are nu actuators/controls, then the control has shape nu x 1. It is measured as ctrl\_cost\_weight \*
  sum(control²).
- contact\_cost: A negative reward for penalising the humanoid if the external contact force is too large.
   It is calculated by clipping contact\_cost\_weight \* sum(external contact force<sup>2</sup>) to the interval specified by contact\_cost\_range.

The total reward returned is **reward** = healthy\_reward + forward\_reward - ctrl\_cost - contact\_cost and info will also contain the individual reward terms

### **Rewards**

The total reward is: **reward** = reward\_dist + reward\_ctrl + reward\_near.

- reward\_near. This reward is a measure of how far the fingertip of the pusher (the unattached end) is from the object, with a more negative value assigned for when the pusher's fingertip is further away from the target. It is  $-w_{near} \|(P_{fingertip} P_{target})\|_2$ . Where  $w_{near}$  is the reward\_near\_weight (default is 0.5).
- reward\_dist. This reward is a measure of how far the object is from the target goal position, with a more negative value assigned if the object is further away from the target. It is  $-w_{dist} ||(P_{object} P_{target})||_2.$  where  $w_{dist}$  is the <code>reward\_dist\_weight</code> (default is 1).
- reward\_control: A negative reward to penalize the pusher for taking actions that are too large. It is measured as the negative squared Euclidean norm of the action, i.e. as  $-w_{control}\|action\|_2^2$ . where  $w_{control}$  is the <code>reward\_control\_weight</code> (default is 0.1).

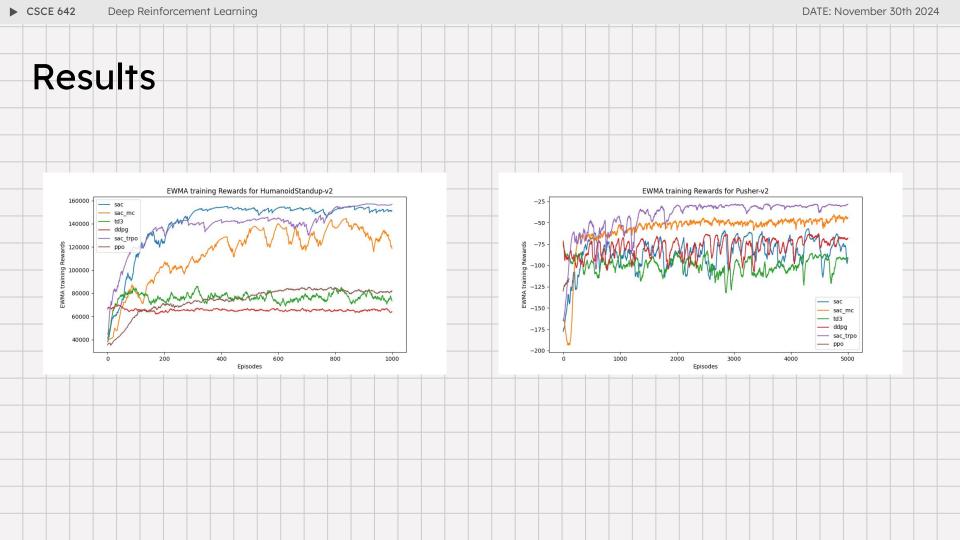
info contains the individual reward terms.



The first hybrid model introduced an enhancement to the SAC algorithm by incorporating **Monte Carlo (MC) returns** for updating the critic network. Monte Carlo methods involve estimating the value of a state by averaging the returns obtained from multiple complete episodes starting from that state.

Unlike **Temporal Difference (TD)** methods, which rely on bootstrapping and update estimates based on the immediate reward and the value of the next state, Monte Carlo returns provide an unbiased and accurate estimation by considering the entire sequence of rewards.

Building upon the first hybrid model, the second hybrid model introduced two key enhancements: the use of **n-step returns** and the incorporation of **Kullback-Leibler (KL) divergence** checks during parameter updates, inspired by the Trust Region Policy Optimization (TRPO) algorithm.



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# Demo of humanoid

