Getting Started with PostgreSQL

O'Reilly Online Live Training



I'm Haki Benita

A software developer and a technical lead.

I'm interested in databases, web development, software design and performance tuning.

hakibenita.com

About Me

- Started as an Oracle DBA
- Worked on DWH and DSS systems
- Lead a team developers and DBAs
- Got into web development, mainly Python and Django
- Leading the development of a large ticketing platform

POLL

What is your job title?

- Developer
- Sysadmin / SRE
- Analyst / Data ops / Data scientist
- Student
- Management
- Other

POLL

What is your main use of data / SQL?

- Ad-Hoc Reports (sales reports, operational reports, etc.)
- Research / Analysis (BI / DWH / Dashboards/ Data exploration etc.)
- Development (Backend Development / ETL / Data pipelines etc.)

POLL

How would you rate your level of proficiency with databases?

- Novice
- Intermediate
- Advanced

The DBA Spectrum

Infrastructure

- Operating system, storage, network, installation...
- Infra DBA, SRE, Sysadmin

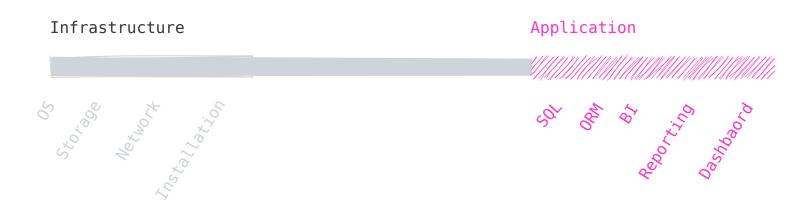
```
Infrastructure

While the second seco
```

The DBA Spectrum

Application

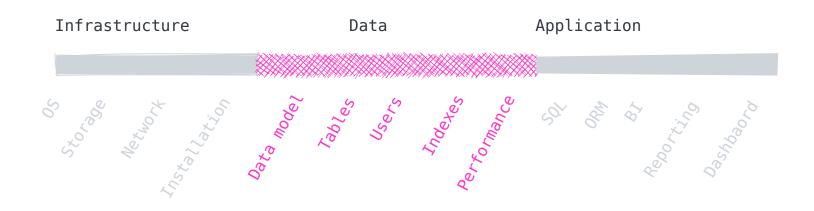
- SQL, ORMs, BI, dashboards, reporting...
- Developers, data scientists, analysts



The DBA Spectrum

Data

- Data model, tables, indexes, users, performance tunning
- Application DBAs, developers, data ops



What you'll gain from this training

- Get comfortable with PostgreSQL
- Perform basic administrative tasks
 - Create and manage users and permissions
 - Create and manage tables
 - Evaluate query performance
 - Create indexes to speed up query execution

Just the tip of the iceberg...

" Give a man a fish and you feed him for a day Teach a man to fish and you feed him for a lifetime »

In the process you will also

- Get comfortable with PostgreSQL CLI and documentation
- Figure out how to find answers on your own
- Evaluate different aspects of database performance

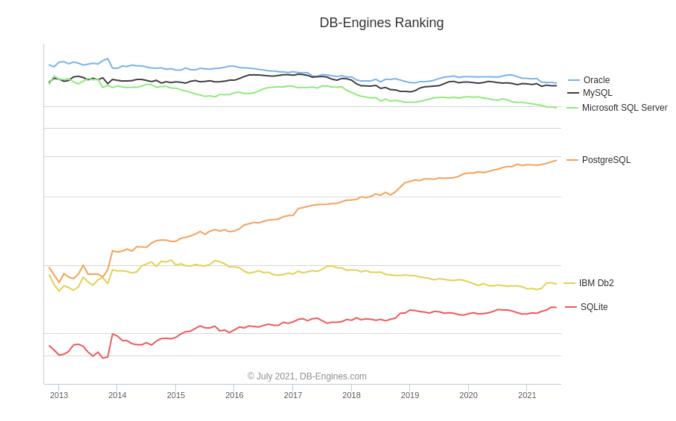
History

SQL

- Structured Query Language
- Used for interacting with relational databases (RDBMS)
- Invented in the early 70s at IBM based on work by Edgar F.
 Codd
- Became a standard in 1986 (ANSI-86)
- Standard revised in 1992 (ANSI-92)

PostgreSQL

- Based on the Berkeley POSTGRES project from 1986
- Originally named
 Postgres95
- Free Open Source
- Growing fast!

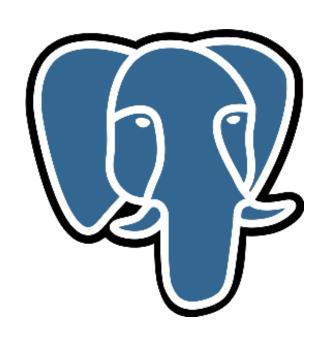


Tools

PostgreSQL Documentation

- Excellent resource
- Suited for both experts and beginners
- Use it! Bookmark it!

https://www.postgresql.org/docs/current/



psql

- PostgreSQL interactive terminal
- Comes with PostgreSQL installation

```
$ psql
psql (13.2)
Type "help" for help.

postgres=#
```

https://www.postgresql.org/docs/13/app-psql.html

Don't memorize anything!

List all commands

Don't memorize anything!

Get command syntax

```
postgres=# \h DELETE
Command: DELETE
Description: delete rows of a table
Syntax:
[ WITH [ RECURSIVE ] with_query [, ...] ]
DELETE FROM [ ONLY ] table_name [ * ] [ [ AS ] alias ]
    [ USING from_item [, ...] ]
    [ WHERE condition | WHERE CURRENT OF cursor_name ]
     RETURNING * | output_expression [ [ AS ] output_name ] [, ...] ]
URL: https://www.postgresql.org/docs/13/sql-delete.html
```

Don't memorize anything!

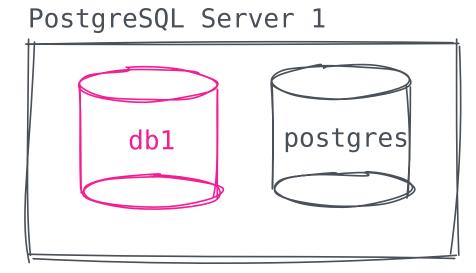
Use auto complete

Also works with column and table names

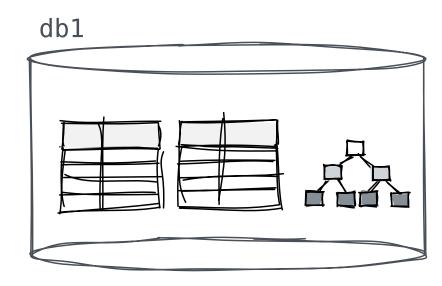
Architecture

Cluster

- PostgreSQL installation
- Define user / roles and their permissions
- Can contain multiple named databases

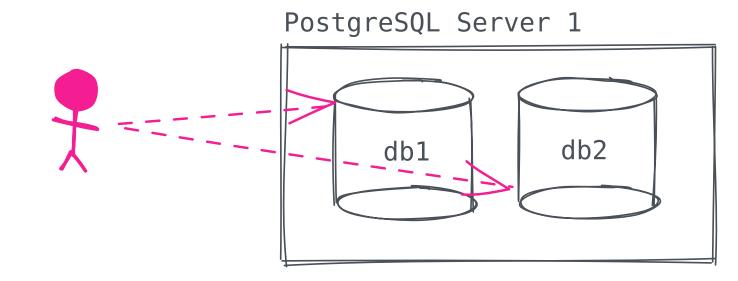


- Has a designated storage area on the file system
- Contains database objects and definitions such as tables, indexes and sequences
- Owned by a user



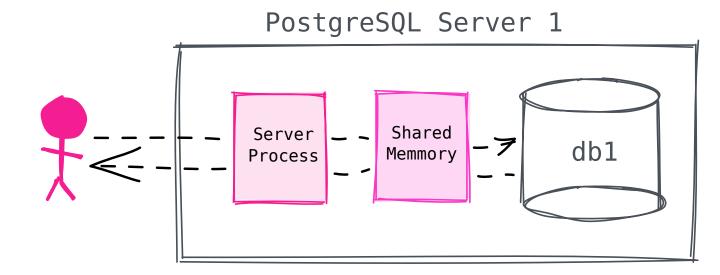
User

- Granted object and system permissions
- Used to authenticate a client application

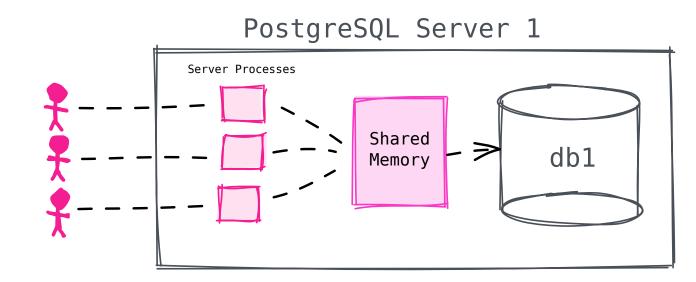


Client Server

- Client application establish connection with database server
- Database server allocate a server process for the session
- Can have many concurrent active connections (show max_connections)

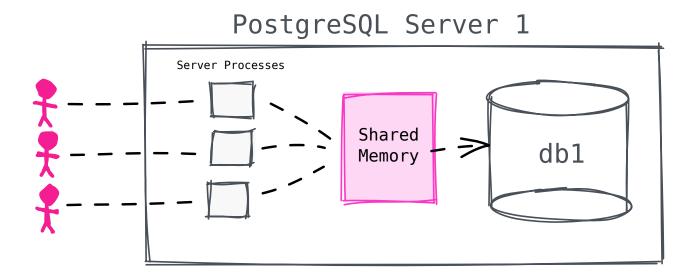


- Shared memory: Shared by all server processes
- Local memory: Used by a single server process



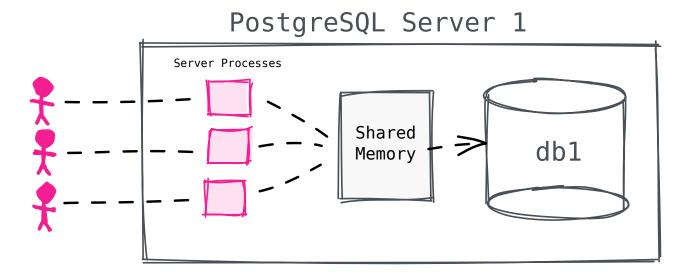
Shared Buffers

- Keep frequently accessed objects in memory for fast retrieval
- Shared by all server processes
- Default 128MB
- show shared_buffers



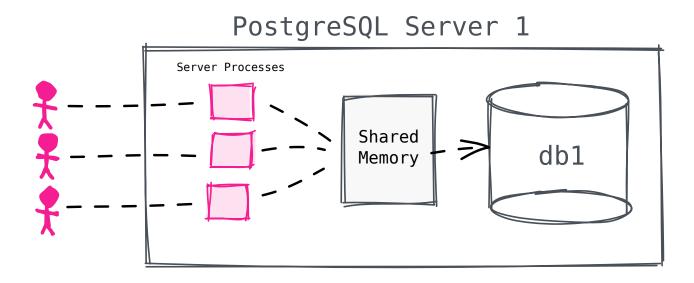
Work Mem

- Allocated for each backend process
- Used for sorts and hash tables
- Default 1MB
- show work_mem



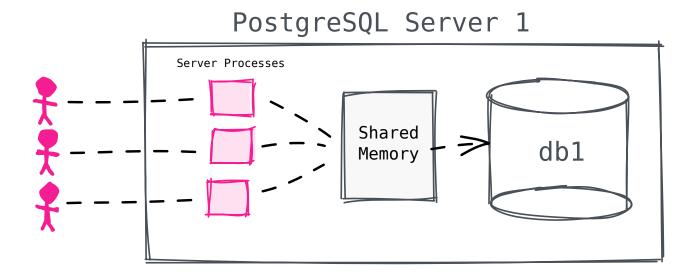
Temp Buffers

- Allocated for each backend process
- Used for storing temporary tables
- Default 8MB
- show temp_buffers



Maintenance Work Memory

- Allocated for each backend process
- Used for vacuum and create index operations
- Default 64MB
- show maintenance_work_mem



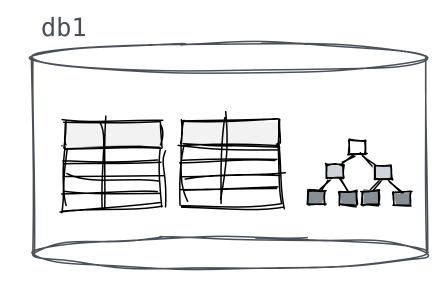
Write Ahead Log (WAL)

- A log of all changes to tables and indexes
- Used to restore the database in case of disaster
- Can be used to maintain replication
- Enables point in time recovery

Recap

- Architecture and Terminology
 - Cluster -> database -> schema -> table
- Memory structures
 - Shared memory
 - Local memory
- WAL

- Contains database objects and definitions such as tables, indexes and sequences
- Owned by a user



Creating a database

```
postgres=# CREATE DATABASE db1 OWNER postgres;
CREATE DATABASE

postgres=# \connect db1
You are now connected to database "db1" as user "postgres".
db1=#
```

Database

Creating a database from the terminal

\$ createdb db1 -0 postgres

• -o: database owner

Database

List databases

postgres=# \l List of	databases	
Name	Owner	Encoding
db1 postgres	postgres postgres	UTF8 UTF8
template0 template1	postgres postgres	UTF8

- Data in relational database is stored in tables
- Tables have columns
- Can define constraints
- Use indexes to speed access to data stored in tables

Creating a table

```
db1=# CREATE TABLE users (
  id INT GENERATED ALWAYS AS IDENTITY PRIMARY KEY,
  active BOOLEAN,
  name TEXT
);
CREATE TABLE
```

Inspecting a table

```
db1=# \d users
                             Table "public.users"
Column
                    Nullable
                                         Default
           Type
 id
          integer
                    not null
                              generated always as identity
          boolean
 active
          text
name
Indexes:
    "users_pkey" PRIMARY KEY, btree (id)
```

Listing all tables

```
db1=# \dt
    List of relations
Schema | Name | Type | Owner

public | users | table | postgres
```

Searching for tables

```
db1=# \dt foo*
Did not find any relation named "foo*"

db1=# \dt u*
    List of relations
Schema | Name | Type | Owner

public | users | table | postgres
```

Alter an existing table

```
db1=# ALTER TABLE users ALTER COLUMN active SET DEFAULT true;
ALTER TABLE
db1=# \d users
                          Table "public.users"
Column
                    Nullable
           Type
                                          Default
                    not null
                                generated always as identity
 id
          integer
          boolean
 active
                                true
          text
 name
```

Default value is used when not explicitly provided

Good defaults can prevent errors and confusion!

- A named query
- Results are not materialized

Creating a view

```
db1=# CREATE VIEW active_users AS
   SELECT id, name
   FROM users
   WHERE active;
CREATE VIEW
```

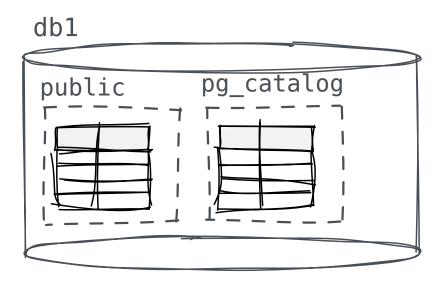
Querying a view

```
db1=# INSERT INTO users (name, active) VALUES ('Bob Bar', false);
INSERT 0 1
db1=# SELECT * FROM active_users;
 id
      name
     Haki Benita
(1 row)
db1=# SELECT * FROM users;
      active
 id
               name
               Haki Benita
               Bob Bar
(2 rows)
```

View details

```
db1=# \d+ active_users
                         View "public.active_users"
 Column
                    Collation
                                Nullable
                                           Default
                                                     Storage
                                                                Description
           Type
 id
                                                     plain
          integer
          boolean
 active
                                                     plain
          text
                                                     extended
 name
View definition:
 SELECT users.id, users.name
   FROM users
  WHERE users.active;
```

- A namespace within the database
- Database can use multiple schemas
- The default schema is called "public"



Creating a schema

Creating tables in a schema

```
db1=# CREATE TABLE restricted.credentials (
  id INT GENERATED ALWAYS AS IDENTITY PRIMARY KEY,
  user_id INT,
  password TEXT NOT NULL
CREATE TABLE
db1=# SELECT * FROM restricted.credentials;
     user_id
               password
id
(0 rows)
```

Referencing objects by name

Name	Pattern	Example
qualified	database.schema.table	db1.restricted.credentials
qualified	schema.table	restricted.credentials
unqualified	table	credentials

Schema search path

```
db1=# SHOW search_path;
    search_path
    "$user", public
```

- The schema order to look for unqualified object names
- "\$user": name of the current user
- public: the default schema

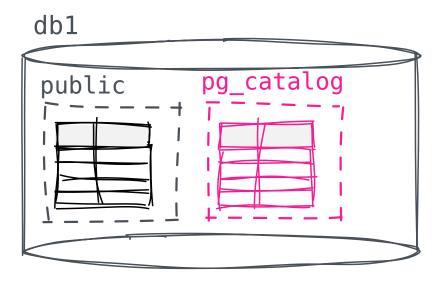
Schema search path

Create objects with similar names in different schemas:

- Can act as a synonym
- Can be used for multi-tenancy, online data migrations

"Synonyms" in PostgreSQL

- A special schema in every database "pg_catalog"
- Contains information about database objects



Information about tables

```
db1=# SELECT * FROM pg_tables WHERE tablename = 'users';
-[ RECORD 1 ]
schemaname | public
tablename | users
tableowner | postgres
tablespace | ¤
hasindexes | t
hasrules | f
hastriggers | t
rowsecurity | f
```

Information about indexes

```
db1=# SELECT * FROM pg_indexes WHERE tablename = 'users';
-[ RECORD 1 ]
schemaname | public
tablename | users
indexname | users_pkey
tablespace | ¤
indexdef | CREATE UNIQUE INDEX users_pkey ON public.users USING btree (id)
```

Information about all database objects:

```
db1=# SELECT relname, relkind FROM pg_class WHERE relname LIKE '%users%';
relname | relkind
users | r
users_pkey | i
users_id_seq | S
active_users | v
```

```
r =table, i =index, s =sequence, v =view, more...
```

Other useful tables

- pg_stat_activity: information about current activity
- pg_stat_all_table : table access statistics
- pg_stat_all_indexes : index access statistics
- pg_stats: statistics about table columns

Recap

- Create database, schema, tables and views
- Referencing objects using qualified and unqualified names
- Schema search path
- Inspect and list database objects using psql
- Using the information schema (catalog)

Exercise

COPY

- Export data to text, CSV or binary format
- Import data from text, CSV or binary format into tables
- Produce reports from query results

Exercise

Getting Started with PostgreSQL: Import and export data using COPY

Launch Katacoda scenario »

Import From CSV

```
postgres=# \COPY users FROM /tmp/users.csv WITH CSV HEADER
COPY 3
postgres=# \COPY users (active, name, id) FROM /tmp/users2.csv WITH CSV HEADER
COPY 2
postgres=# SELECT * FROM users;
id | active | name
 1 | t | Haki Benita
       | Bob Bar
       | Ben Smith
10 | t | Andres Lane
 11 | f | Jonathan Lane
```

Export Table to CSV

```
postgres=# \COPY users TO /tmp/all_users.csv WITH CSV HEADER
COPY 5

postgres=# \! cat /tmp/all_users.csv
id,active,name
1,t,Haki Benita
2,f,Bob Bar
3,t,Ben Smith
10,t,Andres Lane
11,f,Jonathan Lane
```

Export Query Results to CSV

```
postgres=# \COPY (SELECT id, name FROM users WHERE active) TO /tmp/active_users.csv WITH CSV HEADER
COPY 3

postgres=# \! cat /tmp/active_users.csv
id,name
1,Haki Benita
3,Ben Smith
10,Andres Lane
```

COPY vs. \COPY

The COPY command has two variations:

- COPY: executed on the server
- \COPY: psql command with similar api, executed on the client

What you usually want is \COPY

COPY Trick

You want to produce a report from a big query

```
SELECT u.id, u.name, count(*) AS credentials
FROM users u
  LEFT JOIN restricted.credentials c ON u.id = c.user_id
WHERE u.active
GROUP BY 1, 2
ORDER BY 3 DESC;
```

COPY Trick

\COPY command does not support multiple lines

```
db1=# \copy (SELECT u.id, u.name, count(*) AS credentials <enter>
\copy: parse error at end of line
```

COPY Trick

copy can accept multiple lines

```
db1=# COPY (SELECT u.id, u.name, count(*) AS credentials
FROM users u LEFT JOIN restricted.credentials c ON u.id = c.user_id
WHERE u.active
GROUP BY 1, 2
ORDER BY 3 DESC) TO STDOUT WITH CSV HEADER;
id, name, credentials
1, Haki Benita, 1
...
```

But you want to produce the report to a file, like \copy does...

COPY Trick

psql has an option to send query output to file

```
db1=# \?
...
\g [(OPTIONS)] [FILE]
  execute query (and send results to file or |pipe);
...
```

COPY Trick

Use \g to write COPY output to a local file!

```
db1=# COPY (SELECT u.id, u.name, count(*) AS credentials
FROM users u LEFT JOIN restricted.credentials c ON u.id = c.user_id
WHERE u.active
GROUP BY 1, 2
ORDER BY 3 DESC)
TO STDOUT WITH CSV HEADER \g report.csv
COPY 4
```

■ Use \copy With Multi-line SQL

Data Integrity

Database as the source of truth

The first line of defence

```
db1=# INSERT INTO users (name, active) VALUES ('foo', 'not a boolean');
ERROR: invalid input syntax for type boolean: "not a boolean"
```

Cannot insert invalid values!

Common types

- varchar(N), text
- smallint, integer, bigint
- decimal, real, double precision
- date, timestamp, timestamptz
- bytea
- boolean

Special types

- uuid
- jsonb
- range
- array
- more...

Set restrictions that make sense

```
db1=# ALTER TABLE users ALTER name TYPE VARCHAR(20);
ALTER TABLE

db1=# INSERT INTO users (name) VALUES ('probably invalid name');
ERROR: value too long for type character varying(20)
```

Postgres: Boundless text and Back Again

Constraints

- Maintain data integrity
- Keeps the data clean
- Complements types

Make fields required

NULL is a special values that indicates "missing value"

Add a "not null" constraint on an existing table

```
db1=# ALTER TABLE users ALTER active SET NOT NULL;
ALTER TABLE
db1=# \d users
                                  Table "public.users"
Column
                                   Nullable
                  Type
                                                        Default
 id
          integer
                                   not null
                                              generated always as identity
          boolean
 active
                                   not null
                                              true
          character varying (20)
name
Indexes:
    "users_pkey" PRIMARY KEY, btree (id)
```

Field is now required

```
db1=# INSERT INTO users (name, active) VALUES ('foo', NULL);
ERROR: null value in column "active" of relation "users" violates not-null constraint
DETAIL: Failing row contains (3, null, foo).
```

active must be set

Primary unique identifier

Set of fields that uniquely identify each row in the table

- Must be unique
- Must contain a value
- A table can only have one primary key
- A table does not have to have a primary key
- Can have multiple fields ("composite key")

We already defined a primary key

```
db1=# CREATE TABLE users (
  id INT GENERATED ALWAYS AS IDENTITY PRIMARY KEY,
  active BOOLEAN,
  name TEXT
);
```

We already defined a primary key

```
db1=# \d users
                                  Table "public.users"
Column
                                   Nullable
                  Type
                                                        Default
                                  not null
 id
          integer
                                              generated always as identity
          boolean
 active
                                              true
          character varying(20)
name
Indexes:
    "users_pkey" PRIMARY KEY, btree (id)
```

- Creates a unique index to enforce uniqueness
- Marks the fields as not null

Auto Incrementing Primary Key

```
db1=# CREATE TABLE users (
  id INT GENERATED ALWAYS AS IDENTITY PRIMARY KEY,
  active BOOLEAN,
  name TEXT
);
```

- Creates a sequence
- Automatically populate PK with next values
- Used to be serial (soft-deprecated starting PostgreSQL 10)

Ensure one or more fields are unique

Name must be unique

```
db1=# ALTER TABLE users ADD CONSTRAINT users_name_unique UNIQUE(name);
ALTER TABLE
```

```
db1=# \d users
                                 Table "public.users"
Column
                                  Collation
                                              Nullable
                                                                    Default
                  Type
 id
                                              not null
                                                          generated always as identity
          integer
          boolean
                                              not null
 active
                                                          true
          character varying(20)
name
Indexes:
    "users_pkey" PRIMARY KEY, btree (id)
    "users_name_unique" UNIQUE CONSTRAINT, btree (name)
```

Creates a unique index to enforce the constraint

```
db1=# INSERT INTO users (name) VALUES ('Haki Benita');
ERROR: duplicate key value violates unique constraint "users_name_unique"
DETAIL: Key (name)=(Haki Benita) already exists.
```

Cannot insert duplicate values!

Name is not really unique, so we can drop the constraint:

```
db1=# ALTER TABLE users DROP CONSTRAINT users_name_unique;
ALTER TABLE
```

Will also drop the index

Implement special validation logic

Name must contain at least one whitespace

```
db1=# ALTER TABLE users ADD CONSTRAINT must_contain_whitespace CHECK (name LIKE '% %');
ALTER TABLE
```

Name must contain at least one whitespace

```
db1=# \d users
                                 Table "public.users"
Column
                                  Nullable
                  Type
                                                        Default
                                             generated always as identity
         integer
 id
                                  not null
 active
          boolean
                                  not null
                                              true
          character varying(20)
name
Indexes:
    "users_pkey" PRIMARY KEY, btree (id)
Check constraints:
    "must_contain_whitespace" CHECK (name::text ~~ '% %'::text)
```

Name must contain at least one whitespace

```
db1=# INSERT INTO users (name) VALUES ('George');
ERROR: new row for relation "users" violates check constraint "must_contain_whitespace"
DETAIL: Failing row contains (4, t, George).
```

- Cannot set name without at least one whitespace
- Use meaningful names to make it easier to spot the problem
- Add Constraints Without Validating Immediately

TIP: Use a check constraint with unrestricted text type if the length may change in the future

```
CREATE TABLE student (
   id int,
   name text,
   CONSTRAINT name_length_check CHECK (length(name) < 20)
);

db1=# INSERT INTO student (id, name) VALUES (1, 'Haki Benita');
INSERT 0 1

db1=# INSERT INTO student (id, name) VALUES (2, 'Bernd Ottovordemgentschenfelde');
ERROR: new row for relation "student" violates check constraint "name_length_check"
DETAIL: Failing row contains (2, Bernd Ottovordemgentschenfelde).
```

TIP: It is easier to change a check constraint than a column's data type

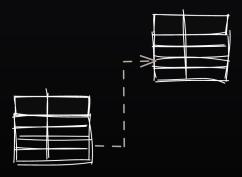
```
db1=# ALTER TABLE student DROP CONSTRAINT name_length_check;
ALTER TABLE

db1=# ALTER TABLE student ADD CONSTRAINT name_length_check CHECK(length(name) < 100);
ALTER TABLE

db1=# INSERT INTO student (id, name) VALUES (2, 'Bernd Ottovordemgentschenfelde');
INSERT 0 1</pre>
```

Gitlab database guide: Strings and the Text data type

Maintain relation between tables



Add a foreign key between users and their credentials

```
db1=# \d restricted.credentials
  Column
             Type
 id
            integer
 user_id
            integer
 password
            text
db1=# \d users
 Column
                    Type
 id
            integer
            boolean
 active
            character varying(20)
 name
Indexes:
    "users_pkey" PRIMARY KEY, btree (id)
```

Add a foreign key between users and their credentials

```
db1=# ALTER TABLE restricted.credentials ADD CONSTRAINT user_fk
    FOREIGN KEY (user_id) REFERENCES users(id)
    ON DELETE CASCADE;
ALTER TABLE
```

```
db1=# \d restricted.credentials
                      Table "restricted.credentials"
 Column
             Type
                      Nullable
                                           Default
                                generated always as identity
                      not null
            integer
 id
user_id
           integer
                      not null
 password
           text
Indexes:
    "credentials_pkey" PRIMARY KEY, btree (id)
Foreign-key constraints:
    "user_fk" FOREIGN KEY (user_id) REFERENCES users(id) ON DELETE CASCADE
```

```
db1=# ALTER TABLE restricted.credentials ADD CONSTRAINT user_fk
    FOREIGN KEY (user_id) REFERENCES users(id)
    ON DELETE CASCADE;

ALTER TABLE
```

Column in table users must have a corresponding value in the id

Cannot add credentials for non-existing users

```
db1=# INSERT INTO restricted.credentials (user_id, password) VALUES (99, 'secret'); ERROR: insert or update on table "credentials" violates foreign key constraint "user_fk" DETAIL: Key (user_id)=(99) is not present in table "users".
```

```
db1=# ALTER TABLE restricted.credentials ADD CONSTRAINT user_fk
   FOREIGN KEY (user_id) REFERENCES users(id)
   ON DELETE CASCADE;

ALTER TABLE
```

- CASCADE: When a user is deleted from the users table, delete the associated credentials in the credentials table
- RESTRICT: prevent deleting users with credentials

Foreign Key

Add a new user with credentials

Foreign Key

Delete user

Credentials were also deleted

Recap

- Make field required -> Not null constraint
- Custom validation logic -> Check constraint
- Ensuring uniqueness -> Unique constraint
- Maintain relation between tables -> Foreign key

Conclusion

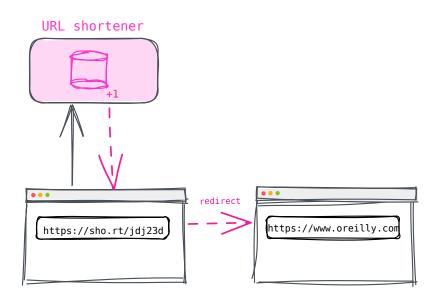
- Database is the source of truth
- Database is the last line of defence
- Data integrity is crucial

Constraints are good... use constraints!

Exercise

URL Shortener

- Create short URLs that redirect to longer URLs
- Keeps track of the number of clicks
- Common in SMS, Tweets
- Useful to keep track of campaigns



Exercise

Getting Started with PostgreSQL: Creating and managing tables

Launch Katacoda scenario »

Users and Privileges

User

Creating a user

```
db1=# CREATE USER app PASSWORD 'secret';
CREATE ROLE

db1=# \connect db1 app
You are now connected to database "db1" as user "app".
```

User

Connecting from terminal

```
$ psql -d db1 -U app -W
Password:
db1=>
```

- -d name of database
- -U name of user
- -w prompt for password

Types of privileges

- SELECT / UPDATE / DELETE / TRUNCATE on TABLE
- CONNECT on DATABASE
- CREATE on DATABASE
- more...

Enforce privileges

```
db1=> \conninfo
You are connected to database "db1" as user "app".

db1=# SELECT * FROM users;
ERROR: permission denied for table users
```

Grant select privileges

```
db1=> \connect db1 postgres
You are now connected to database "db1" as user "postgres".

db1=# GRANT SELECT ON users TO app;
GRANT
```

Grant select privileges

Grant insert, update and delete privileges

```
db1=> \connect db1 postgres
You are now connected to database "db1" as user "postgres".

db1=# GRANT UPDATE, INSERT, DELETE ON users TO app;
GRANT
```

Use insert, update and delete privileges

```
db1=# \connect db1 app
You are now connected to database "db1" as user "app".
db1=> INSERT INTO users (active, name) VALUES (true, 'Jim');
INSERT 0 1
db1=> UPDATE users SET active = false WHERE name = 'Jim';
UPDATE 1
db1=> DELETE FROM users WHERE name = 'Jim';
DELETE 1
```

Revoke privileges

```
db1=> \connect db1 postgres
You are now connected to database "db1" as user "postgres".

db1=# REVOKE DELETE ON users FROM app;
REVOKE
```

Notice the user still has update, insert and select privileges

Revoke privileges

```
db1=# \connect db1 app
You are now connected to database "db1" as user "app".

db1=> DELETE FROM users;
ERROR: permission denied for table users
```

Check user privileges on table

Grant privileges on schema

```
db1=> \connect db1 postgres
You are now connected to database "db1" as user "postgres".

db1=> GRANT USAGE ON SCHEMA restricted TO app;
GRANT
```

Access to custom schemas require explicit USAGE privilege

Allow access to specific fields

```
db1=# GRANT SELECT (user_id) ON restricted.credentials TO app;
GRANT
```

Useful for tables with sensitive information such as PII or passwords.

Grant Permissions on Specific Columns

Allow access to specific fields

- Similar to user
- Don't have login privileges by default
- Should be thought of as a group of privileges a user can be a member of

Create role

```
db1=# \connect db1 postgres
You are now connected to database "db1" as user "postgres".

db1=# CREATE ROLE analyst;
CREATE ROLE
```

Grant privileges to role

```
db1=# GRANT SELECT ON ALL TABLES IN SCHEMA public TO analyst;
GRANT
db1=# GRANT UPDATE ON users TO analyst;
GRANT
```

Syntax is similar to granting privileges to users

Grant membership in a role:

```
db1=# CREATE USER bob;
CREATE ROLE

db1=# GRANT analyst TO bob;
GRANT
```

User bob now has all the privileges the role analyst has

List roles

- Notice analyst role cannot login
- Notice bob is member of analyst
- Notice postgres is a superuser

Recap

- Create users and roles
- Grant and revoke privileges and roles
- Grant privileges on specific columns

Where the magic happens...

The Path of a Query

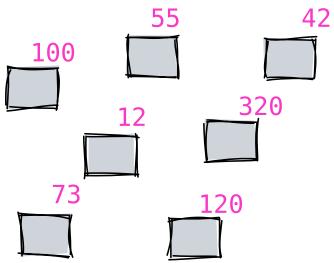
- Parser: Check for syntax errors
- Rewrite: Adjustments to the query (inline views etc.)
- Planner / Optimizer: Produce execution plan
- Executer: Execute the query according to the execution plan

1. Generate all possible execution plans

- This can take some time, depending on the query
- Cascade of doom: Postgres update that led to 70% failure

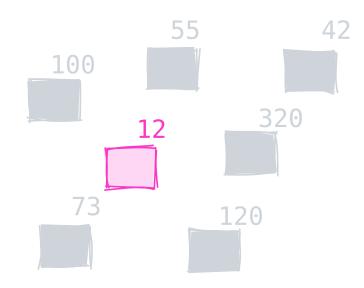
2. Estimate the cost for each plan

- Cost is measured in arbitrary units
- Using stats from analyzing tables and indexes
- Cost can be used to compare execution plans
- Mostly affected by IO



3. Choose the plan with the lowest cost

 The lower the cost, the faster the execution is (expected) to be



Execution Plan

Produce execution plan using the **EXPLAIN** command

- Only produces an execution plan
- Does not execute the query

Execution Plan

Produce execution plan and execute query

- Execute and time query
- Display executed plan
- Display estimated vs. actual estimates

Execution Plan

Reading an execution plan

- The database used the index on key to find the row
- The optimizer estimates 1 row in the result

How the optimizer estimates how many rows in the result

Query all short URLs

```
db1=# EXPLAIN SELECT * FROM shorturl;
QUERY PLAN

Seq Scan on shorturl (cost=0.00..199.00 rows=10000 width=48)
```

- Database plans to scan the entire table
- Optimizer estimates 10,000 rows in the result

Using statistics on tables and indexes:

Table is *estimated* to have 10,000 tuples (rows)

Find short URLs with no hits:

- Database plans to scan the entire table
- Optimizer estimates 98 rows in the result

Using statistics on table columns:

```
number of rows (10,000) * % hits eq 0 (0.0098) = 98 rows
```

- The database keeps statistics on tables, indexes and columns
- Statistics can be collected explicitly using ANALYZE
- The database can collect statistics in the background

Explicitly collect statistics on a table:

```
db1=# ANALYZE shorturl;
ANALYZE
```

Collect statistics automatically in the background:

```
db1=# show autovacuum;
autovacuum
on
```

- "autovacuum" is a routine maintenance task performed by the database
- The database can analyze tables and indexes as they are vacuumed

Check when analyzed:

Recap

- Optimizer uses statistics to produce execution plans
- Keeping statistics up to date has a significant effect on query performance
- Statistics can be collected explicitly using ANALYZE, or in the background by enabling autovacuum

Indexes

The need for speed

Indexes

- Speed us access to data
- Enforce constraints (Unique, Foreign Key)
- PostgreSQL offers many different types of indexes

The king of all indexes!

Exercise

Exercise

Getting Started with PostgreSQL: B-Tree Index Features

- Partial B-Tree index
- Inclusive B-Tree index
- Function based B-Tree index

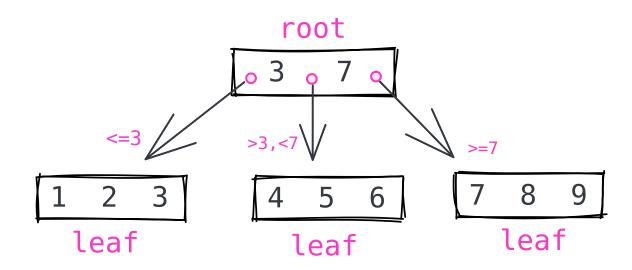
Launch Katacoda scenario »

How does a B-Tree index work?

1. You have these values:



2. Create a tree



Search for the value 5:

- 1. Scan the index root
- 2. Find the leaf block

3. Search the value in the leaf

1 2 3 4 5 6 7 8 9

Create a B-Tree index

```
db1=# CREATE INDEX shorturl_hits_ix ON shorturl USING btree(hits);
CREATE INDEX
```

Can omit USING btree(), this is the default

Partial Index

Index only a portion of the table

```
db1=# CREATE INDEX shorturl_unused_part_ix ON shorturl (id) WHERE hits = 0;
CREATE INDEX
```

Index only short URLs with zero hits

Partial Index

Smaller index

Partial Index

- Produce smaller indexes
- Limited to queries using the indexed rows
- Nullable columns are great candidates
- Use when possible
- The Unexpected Find That Freed 20GB of Unused Index Space

Inclusive Index

Store additional data in the index leafs:

```
db1=# CREATE UNIQUE INDEX shorturl_key_including_url_ix ON shorturl(key) INCLUDE (url);
CREATE INDEX
```

Index key and include the value of url in the index leaf

Inclusive Index

Fulfill queries using just the index:

Notice "Index Only Scan"

Inclusive Index

- Fulfill queries without accessing the table
- Index can get very big
- Great for large tables with frequent queries that only use a limited number of columns
- Use with caution
- Non-unique composite indexes can be good candidates for inclusive indexes

Function Based Index

Index an expression

```
db1=# CREATE INDEX shorturl_domain_ix ON shorturl (substring(url FROM '.*://([^/]+)'));
CREATE INDEX
```

Index domain part of URL using regular expression (regexp)

https://hakibenita.com/sql-for-data-analysis

Function Based Index

Can be used by queries using the same expression

```
-- No index
db1=# SELECT * FROM shorturl WHERE substring(url FROM '.*://([^/]+)') = 'hakibenita.com';
Execution Time: 48.918 ms
-- With index
db1=# SELECT * FROM shorturl WHERE substring(url FROM '.*://([^/]+)') = 'hakibenita.com';
Execution Time: 0.914
```

Much faster!

Function Based Index

- Index will only be considered only if the expressions is exactly the same
- Useful for existing applications (you can't change)
- Consider calculated columns as an alternative

Recap

- B-Tree is the default index type and what you normally need
- Used to enforce unique constraints
- Many features:
 - Partial
 - Function based
 - Inclusive
 - o more....

Performance

What's it all about

Performance

- CPU
- Memory
- Disk Space
- Cost

Not just speed!

Table Size

Functions to get table size

Function	Description		
pg_relation_size	Table size		
pg_table_size	Including TOAST		
pg_total_relation_size	Including TOAST & Indexes		

TOAST is extended storage for large values

The Surprising Impact of Medium-Size Texts on PostgreSQL Performance

Table Size

```
db1=# SELECT pg_table_size('shorturl');
pg_table_size
        851968
db1=# SELECT pg_size_pretty(pg_table_size('shorturl'));
 pg_size_pretty
 832 kB
db1=# \dt+ shorturl
                           List of relations
Schema
                                      Persistence
            Name
                     Type
                                                     Size
                             Owner
          shorturl
 public
                             haki
                     table
                                      permanent
                                                    832 kB
```

Index Size

Check index size

db1=# \di+ shorturl*								
List of relations								
Schema	Name	Туре	Owner	Table	Persistence	Size		
public	shorturl_key_key	index	haki	shorturl	permanent	312 kB		
public	shorturl_pkey	index	haki	shorturl	permanent	240 kB		

Naming convention makes this easy...

Hash Index

The Ugly Duckling of index types

Reverse Lookup

Find keys referencing a URL

```
SELECT *
FROM shorturl
WHERE url = 'https://hakibenita.com/postgresql-hash-index';
```

- URL is not unique, but it is almost unique
- URL can be a large text

No index

Full table scan

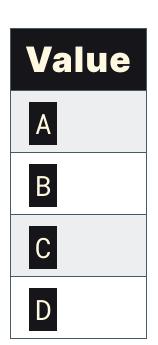
B-Tree index

Index scan using B-Tree index

Recap

Access method	Reverse lookup timing
Full table scan	15.506 ms
B-Tree index scan	0.109 ms

How does a Hash index work?



Apply a hash function on the values (hashchar)

Value	hashchar(value)	
Α	-201530951	
В	626080936	
С	2018813598	
D	2016322020	

Hash functions for other types

hashtext hashchar hash_array jsonb_hash timestamp_hash

Divide to N buckets using mod(N):

Value	hashchar(value)	Bucket mod(2)
A	-201530951	1
В	626080936	0
С	2018813598	0
D	2016322020	0

Build the index:

Bucket	Row Pointers
0	2, 3, 4
1	1

Search for the value B:

1. Find bucket

2. Scan rows in bucket 0 and search value:

Bucket	Row Pointers
0	2, 3, 4

Hash index

Index scan using Hash index

B-Tree vs. Hash Index speed

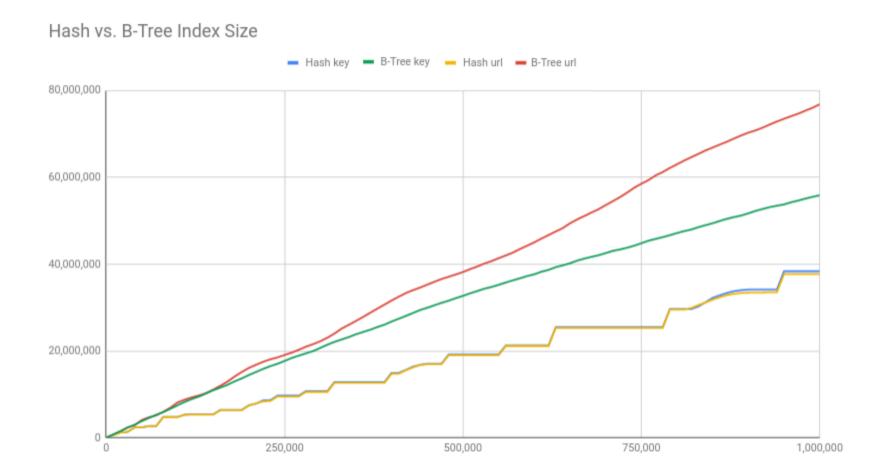
Access method	Reverse lookup timing
Full table scan	15.506 ms
B-Tree index scan	0.109 ms
Hash index scan	0.061 ms

Hash index is faster!

B-Tree vs. Hash Index size

Hash index is smaller!

Hash vs. B-Tree index size



Hash Index Restrictions

- X Unique
- X Composite
- X Sorting
- X Range search

- Ideal when values are almost unique
- Not affected by the size of the values
- Can be smaller and faster than a B-Tree
- Was discouraged prior to PostgreSQL 10, but no more!

Go Further:

- Re-Introducing Hash Indexes in PostgreSQL

Block Range Index

Keep range of values within a number of adjacent pages

Find short URLs that were created in a date range

```
SELECT *
FROM shorturl
WHERE created_at >= '2021-02-01 UTC'
AND created_at < '2021-03-01 UTC';</pre>
```

- Creation date set by the application when a row is added
- Creation date is naturally incrementing

No Index

Full table scan

B-Tree index

B-Tree Index scan

Recap

Access method	Index Size	Timing
Full table scan	_	19.003 ms
B-Tree index scan	2208 kB	2.178 ms

How does a BRIN index work?

1. You have these values in a column, each is single table page:



How does a BRIN index work?

1. You have these values in a column, each is single table page:

1 2 3 4 5 6 7 8 9

2. Divide the table into ranges of 3 adjacent pages:

[1,2,3] [4,5,6] [7,8,9]

How does a BRIN index work?

1. You have these values in a column, each is single table page:

1 2 3 4 5 6 7 8 9

2. Divide the table into ranges of 3 adjacent pages:

[1,2,3] [4,5,6] [7,8,9]

3. For each range, keep only the minimum and maximum values:

[1-3] [4-6] [7-9]

Use the index to search for the value 5:

- [1–3] Definitely not here
- [4–6] 😩 Might be here
- [7–9] Definitely not here

We only need to scan blocks 4, 5 and 6!

BRIN index

```
db1=# CREATE INDEX shorturl_created_at_ix ON shorturl USING brin(created_at);
CREATE INDEX
db1=# EXPLAIN (ANALYZE, TIMING) SELECT * FROM shorturl
WHERE created_at >= '2021-02-01 UTC' AND created_at < '2021-03-01 UTC';</pre>
                                 OUERY PLAN
 Bitmap Heap Scan on shorturl
   Recheck Cond: ((created_at >= '2021-02-01 00:00:00+00'::timestamp with time zone) AND ...
   Rows Removed by Index Recheck: 8921 Heap Blocks: lossy=128
   -> Bitmap Index Scan on shorturl_created_at_bix
         Index Cond: ((created_at \geq= '2021-02-01 00:00:00+00'::timestamp with time zone) AND ...
 Execution Time: 5.207 ms
```

Bitmap Index Scan on BRIN index

BRIN index size

BRIN is very small!

BRIN vs. B-Tree

Access method	Index Size	Timing
Full table scan	_	19.003 ms
B-Tree index scan	2208 kB	2.178 ms
BRIN index scan	48 kB	5.207 ms

- B-Tree is faster
- BRIN is smaller

What if the values are **not sorted**?

- 1. 2 9 5 1 4 7 3 8 6
- 2. [2,9,5] [1,4,7] [3,8,6]
- 3. [2-9] [1-7] [3-8]

Use the index to search for the value 5:

- [1–7] 😩 Might be here
- [3–8] 😩 Might be here

The index is useless!

Correlation

Correlation between logical and physical ordering:

1=incrementing -1=decreasing ~0=not correlated

What if we increase the number of pages per range to 5?

- 1. 1 2 3 4 5 6 7 8 9
- 2. [1,2,3,4,5] [6,7,8,9]
- 3. [1-5] [6,9]

Use the index to search for the value 5:

- [6-9] Definitely not here

We need to scan blocks 1, 2, 3, 4 and 5

What if we decrease the number of pages per range to 2?

- 1. 1 2 3 4 5 6 7 8 9
- 2. [1,2]
 [3,4]
 [5,6]
 [7,8]
 [9]
- 3. [1-2]
 [3-4]
 [5-6]
 [7-8]
 [9-9]

Use the index to search for the value 5:

- [1-2] ** Definitely not here
- [3-4] Pefinitely not here
- [5-6] 😩 Might be here
- [7-8] ** Definitely not here
- [9-9] ** Definitely not here

We only need to scan blocks 5 and 6

BRIN Index: Pages per range

```
CREATE INDEX shorturl_created_at_ix ON shorturl
USING brin(created_at) WITH (pages_per_range = 128);
```

- Low pages_per_range -> more accurate, bigger size
- High pages_per_range -> less accurate, smaller size
- Default is 128, minimum is 2
- Start small!

- Ideal when data is naturally sorted on disk
 - Find columns with high correlation in pg_stats.correlation
 - Columns for auto incrementing column (timestamps etc.)
- Ideal for tables that don't update frequently
- Adjust pages_per_range to find ideal range size

Go Further:

- Getting Started with PostgreSQL: Block range index Interactive Katacode scenario
- Index Columns With High Correlation Using BRIN
- <u>9 Django Tips for Working with Databases: BRIN indexes</u>
- Multi minmax and Bloom operators for BRIN index New in PostgreSQL 14

Conclusion

You learned

- Architecture and terminology
- Manage tables and indexes
- Create constraints and choose appropriate data types
- Manage users, roles and privileges
- How the database produces execution plans
- How to create different types of indexes

You also learned

- How to be productive using psql
- Maintain data integrity using data types and constraints
- Evaluate different aspects of query performance
- Optimize query performance using indexes

See you next time!

SQL Next Steps: Optimization

Online Live Training, Aug 2, 2022

Haki Benita

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