

# CAREER: On the Foundations of Semantic Code Search

PI: Kathryn Stolee

## A. Project Summary

**Overview:** Programmers frequently use search to find code to support their development activities. Search is pervasive in development and used to support debugging, reuse, and design activities. Current search tools support textual queries with some filtering, but ignore a critical component of source code: its behavior.

Semantic code search finds code based on behavior, described using input/output examples. The engine behind the search is a constraint solver; code fragments (e.g., methods, blocks) are indexed using symbolic analysis to obtain a constraint representation of the code behavior. Given input/output examples and constraints for a code fragment, the solver returns **sat** if the code satisfies the specification. The PI has implemented semantic code search for subsets of the Yahoo! Pipes web mashup language, Java, C, and MySQL. It has been evaluated in the context of code reuse in Java and program repair in C. For code reuse, code returned by semantic search is more relevant than code found by other search approaches. In program repair, patches are higher quality when compared to patches from other approaches.

While promising, in exploring semantic code search, some fundamental challenges have emerged. Specifically, 1) *finding close matches* when an exact match does not exist; 2) *using more expressive specifications*; 3) *characterizing the differences* between two code fragments; and 4) *navigating a solution space* of search results. The PI proposes to perform basic research in each area, exploring techniques to relax program encodings, more precisely characterize desired code, determine how code fragments differ in behavior, and quickly navigate a potentially large solution space. To begin, the PI will evaluate these techniques with end-user programmers who work with smaller languages such as Excel, MySQL, VisualBasic, and Python. Next, the PI will generalize techniques to languages for professional programmers, such as Java and C#.

This proposal lays the foundation for the PI's research and mentoring visions. Her research vision is to bring the benefits and power of semantic analysis to software engineering for all programmers. Instead of searching for code based on syntactic features, behavior-driven search will promote more effective code reuse. Her mentoring vision is to create an environment of inclusion and community for female students in computer science through small-scale but personal mentoring interactions. She plans to scale the impact by sharing experiences with other faculty and running mentorship sessions at academic conferences.

**Intellectual Merit:** The proposed work has four expected intellectual merit contributions:

1. Models and approximate encodings for source code to amplify the search space during semantic code search, making semantic code search more powerful with limited database sizes.
2. Expressive query formats to augment input/output examples and more precisely describe desired code.
3. Techniques to characterize behavioral differences between code fragments, including proving equivalence independent of language, identifying differences between fragments, and characterizing similarity.
4. Approaches to navigate the solution space after semantic search or synthesis to quickly converge on the desired solution, including human-in-the-loop and human-out-of-the-loop approaches.

**Broader Impact:** The proposed work has three expected broader impact contributions:

1. The resulting techniques will have a significant impact on the millions of end-user programmers and professional programmers, allowing them to more effectively write and understand their programs.
2. The PI will integrate semantic reasoning into software testing courses at the undergraduate and graduate level as a way to show the importance of test suites that adequately exercise code.
3. The PI will lay the groundwork for a career-long mentoring program for women in computer science. Building on her track record in working with female undergraduate and graduate research assistants and attending the Grace Hopper conference with university students, the PI will develop a structure to support her mentoring of women in computer science. She plans to add 1-2 mentees per year, schedule activities to promote community, and scale by organizing mentoring sessions at academic conferences.

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## C. Project Description

### 1 Introduction

Programmers frequently use code search to support software development activities, including during debugging, design, or reuse [2, 72]. In code search, a specification or query describes the desired code. *Syntactic* code search uses a textual query as a specification and matches based on syntactic features such as keywords and variable names. In contrast, *semantic* search uses behavioral properties as the specification. For example, a syntactic query to find code that computes triangle types could be: “**Type of Triangle in MySQL**”, whereas a behavioral specification could provide an example input, {20, 20, 23} and output, “**isosceles**”.

Semantic code search can be achieved through executing source code [69] or mapping source code into constraints representing its behavior and using a constraint solver to identify matches for a query [39, 88, 90, 93, 94]. Results are methods or code fragments that all behave as specified by the example(s). Figure 1 illustrates a high-level architecture of semantic code search via constraint solver. A *constraint database* is built by *symbolic analysis* over *source code*; this is the *indexing* phase of search. Given a query in the form of *input/output examples*, the search algorithm will *bind* the variables in a code fragment to the input/output examples. Then, an SMT *solver* will determine if the code satisfies the specification. A result of *sat* means it is a result, and *unsat* or *unknown* means it is not a result.

Semantic code search via constraint solver has been explored for two applications: code reuse [69, 88, 90, 93, 94] and program repair [39]. Semantic search has targeted end-user programming languages [90, 93] and professional programming languages [39, 69, 93, 94]. Even though end-user programmers lack formal training in programming [6, 41], they have similarities to professional programmers in their development activities, especially concerning search. All programmers search for code to reuse [43, 72], choose which languages and APIs to use [43, 71], and maintain their code over time [62, 91]. As the population of end-user programmers was estimated to be 90 million in 2012 [76], together with the population of professional programmers, the potential for impact in supporting their development activities is large.

I propose to build on my prior work in semantic code search via constraint solver [12, 39, 88, 90, 93, 94] and my expertise in end-user programming [29, 34, 44, 89, 91, 95–98]. In all applications of semantic code search, fundamental challenges arise when 1) the desired code does not exist; 2) the input/output query is not expressive enough; 3) it is difficult to differentiate between similar snippets; and 4) there are too many results to navigate efficiently. To address these challenges, **I propose to 1) find approximate solutions to semantic queries; 2) enable richer query models; 3) use the constraints to characterize the differences and similarities in behavior between code snippets; and 4) efficiently navigate the space of potential solutions.** This proposal describes four research thrusts that target these fundamental challenges in semantic code search. These techniques will be piloted and evaluated with smaller end-user programming languages (years 1–4) and then generalized to professional programming languages (year 5).

**Thrust 1: Amplifying the Search Space:** For when there is not enough diversity in programs to find a match, abstractions and mutations can expand the search space. **I propose to develop techniques to amplify the search space by approximating code semantics, abstracting program behavior, and mutating programs.** Abstractions identify code that is behaviorally close whereas mutations identify code that is syntactically close to the desired code. Such innovations take advantage of the constraint-based representation of source code in semantic code search and use the solver to identify changes that can lead to desired code.

**Thrust 2: Enabling Richer Specifications:** One challenge with input/output examples is that they are a weak specification. In part this is what makes them so attractive and accessible; users already think in terms of input/output when specifying issues on StackOverflow [93, 94, 104]. Yet, there can be many

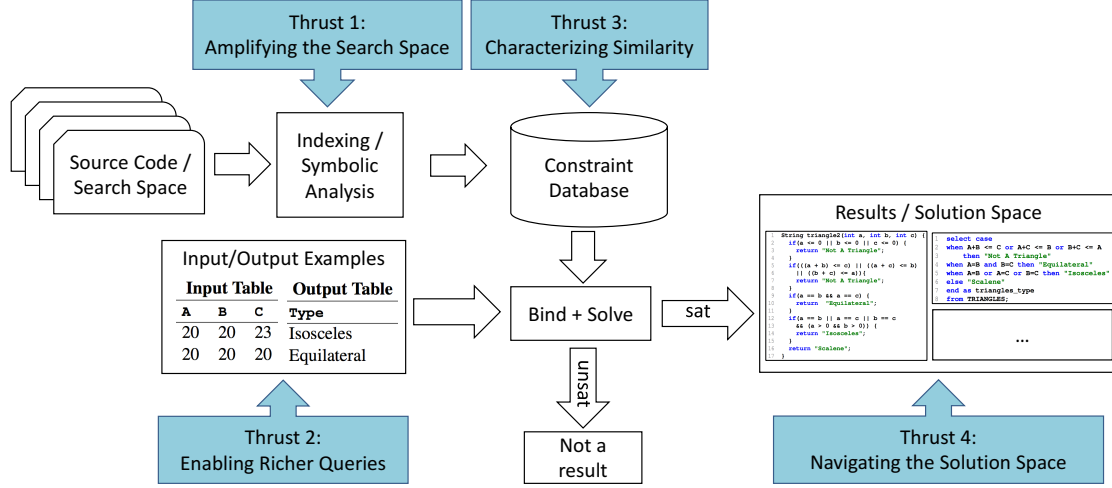


Figure 1: Overview of semantic code search in its current state (white boxes). Blue boxes with arrows represent the research thrusts in this proposal. Building the database used in the search involves indexing source code through semantic analysis. Thrust 1 amplifies the search space through abstraction. Given a constraint database and an input/output example(s), an SMT solver determines which code satisfies the specification. Thrust 2 enables richer specifications than input/output examples. Thrust 3 involves characterizing behavioral similarity between code fragments in the database, which is used in search and other applications. Thrust 4 helps navigate the space of solutions. The example shown comes from [StackOverflow](#).

solutions that satisfy weak input/output specifications. **I propose to expand the query models supported by semantic code search**, which will enable programmers to more precisely describe the code they want without requiring use of formal specifications.

**Thrust 3: Characterizing Semantic Similarity:** One learning barrier for programmers is the selection barrier [43], suggesting that assistance is needed in choosing between solutions. **I propose to use the constraint representations of code snippets to characterize program similarity and differences**, which will enable characterization of behavioral differences between two solutions. It will also facilitate cross-language clone detection and refactoring verification.

**Thrust 4: Navigating Solution Space:** For when there are too many solutions for a specification, techniques are needed to prune and organize the potentially large solution space [79]. **I propose to develop techniques to rapidly prune the solution space by identifying inputs that maximally fragment the space and to rank order programs within semantic clusters.** As an aside, techniques for this thrust are also applicable to program synthesis approaches based on input/output examples, which abound [22, 79, 81, 104].

*This proposal lays the foundation for semantic code search to be applied in broader contexts and to a wide range of developers.* Through addressing the fundamental challenges facing semantic code search, it will extend the applications that benefit from search to also include cross-language clone detection and refactoring verification (Thrust 3). It will allow more precise code specification (Thrust 2) and make semantic search more performant (Thrust 4). It will enable semantic code search to operate in a constrained environment with low quantities of existing code (Thrust 1). Finally, it is aligned with my overall career goals to make programming easier, more accessible, and to bring the benefits of software engineering analyses and techniques to the millions of end-user programmers (years 1–4) and professional programmers (year 5).

*This proposal also lays the foundation for a mentoring program that targets women in computer science.* I currently mentor two female graduate research assistants. Each semester, I also reach out to 1-2 women

in the undergraduate and graduate programs at my University. We meet in person, discuss their goals, and I invite them for a meal. My plan is to define a structure around these efforts to also include monthly informal check-ins and a summer retreat. As this form of mentoring is deeply personal, I intend to keep the group small, adding 1-2 students each year. It is intended to reduce some of the barriers women face in computer science, such as conflicts between professional and family life [77] and feelings of isolation [78]. I will share my experiences with faculty at my University during department lunches. In the research community, I piloted an NSF-sponsored one-on-one mentoring program at FSE 2016 and will continue those efforts.

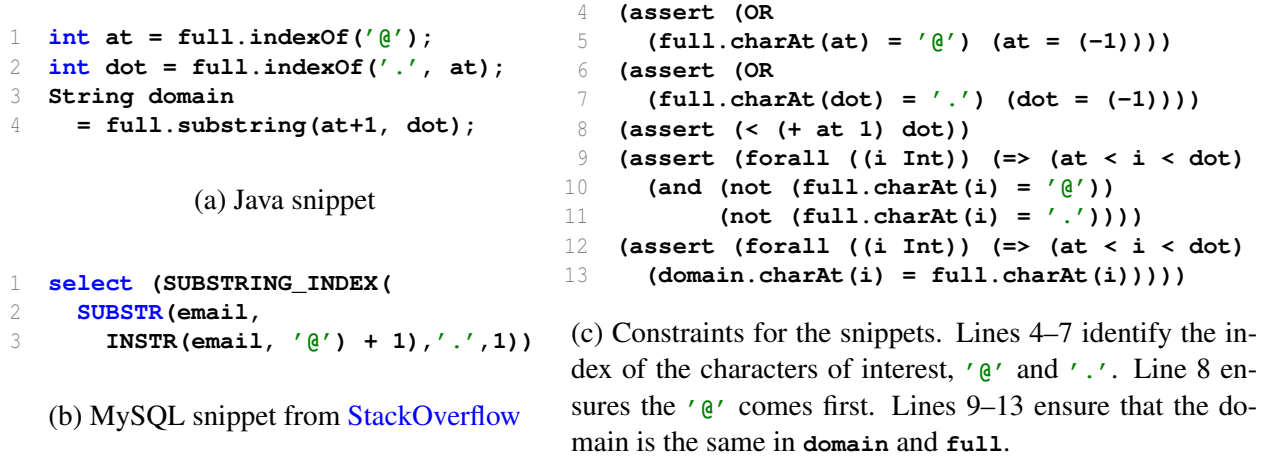


Figure 2: Example constraint-based encoding for extracting the domain from an email address

## 2 Preliminaries: Semantic Code Search

The goal of semantic code search is to find code described by a behavioral specification [90, 93]. As illustrated in Figure 1, it uses input/output examples as queries, indexes code snippets using constraints to represent behavior, and matches code to queries using a constraint solver. For indexing, symbolic execution pre-processes source code snippets (i.e., at the method level or below) into constraints representing their behavior. For example, consider the code and constraints in Figure 2 for a StackOverflow question about extracting the domain from an email address<sup>1</sup>. Figure 2(a) presents a Java solution, Figure 2(b) presents a MySQL solution (from StackOverflow), and Figure 2(c) presents the constraints encoding the behavior. Since the snippets have the same behavior, in a constraint representation, they would be the same except for naming conventions. Each translated snippet is stored in a database (i.e., *Constraint Database* in Figure 1).

Given an input/output query, such as “`sporty@spice.uk`” and “`spice`”, the search engine will first identify all snippets that take a string as input and a string as output; Figure 2(a) and Figure 2(b) would be among the set of snippets to check. From there, it translates the input and output into constraints with assigned variables and binds those variables to each of the snippets’ constraints (e.g., *bind* in Figure 1). With the example in Figure 2(c), the input could be bound to `full` and the output to `domain`. Together with the program encoding, the input/output constraints and bindings are given to a constraint solver; current implementations use the Z3 Satisfiability Module Theory (SMT) solver [13]. A result of **sat** indicates the code behaves as specified; **unsat** implies it does not. A response of **unknown** is assumed to not be a match.

When a method has multiple input values of the same type, the assignment of input values to parameter values is decided by the solver [93]. Thus, parameter ordering in the specification is not an issue, as it can be with search that involves execution [69]. Consider an input {`5`, `3`, `4`}, an output `true`, and a method `def isPythagorean(a, b, c)`, which returns `true` if  $c = \sqrt{a^2 + b^2}$  and `false` otherwise. Semantic code

<sup>1</sup><https://stackoverflow.com/questions/41975445/get-domain-name-from-the-email-list>

search encodes all possible mappings of the input values to the method arguments and lets the solver select one that works. Here, six mappings are encoded:  $(a = 5 \wedge b = 3 \wedge c = 4) \vee (a = 5 \wedge b = 4 \wedge c = 3) \vee (a = 3 \wedge b = 5 \wedge c = 4) \vee (a = 3 \wedge b = 4 \wedge c = 5) \vee (a = 4 \wedge b = 5 \wedge c = 3) \vee (a = 4 \wedge b = 3 \wedge c = 5)$ . This is important since only two mappings where  $c = 5$  return **sat**. We make only one solver call to find a combination that works, instead of executing the method up to five times before finding a correct parameter ordering.

Originally implemented in Yahoo! Pipes, a (now deprecated) web mashup language, further efforts evolved semantic code search to subsets of Java [93, 94] and MySQL [93], and C [39]. Current language support in Java encodes methods with the following datatypes: **int**, **float**, **String**, **char**, and **boolean**; library: **java.lang.String**; and constructs: if-statements, arithmetic and multiplicative operators [94]. For program repair in C, SearchRepair [39] supports all C primitives, structs, console output, **char\*** variables, and the string library functions: **isdigit**, **islower**, **isupper**, **strcmp**, and **strncmp**. For MySQL, the current implementation supports simple **select** statements [93]. As Yahoo! Pipes is a deprecated platform, it is omitted from this proposal, but language support included filtering, sorting, and combining RSS feeds [93].

The limitations with current semantic search rest largely on the limitations of symbolic execution. As symbolic execution gets more powerful, so does semantic code search. For Java, SPF [36, 65, 103] is the state-of-the-art tool, and recent efforts aim to improve, for example, heap summaries [30, 31] and efficiency through statistical probabilities [4, 9, 16, 57]. For C, KLEE [7] is the state-of-the-art and recent efforts have improved, for example, performance [70] and the underlying theories, such as arrays [14]. While symbolic execution continues to mature, and semantic code search benefits from these efforts, approaches to dealing with language analysis limitations are needed. Current solutions include the use of only subsets of a language or to select languages that are smaller and less expressive than Java and C, such as Yahoo! Pipes (already explored) and MySQL (lightly explored), or languages such as VisualBasic, Python, or Excel (all yet to be explored). Using smaller languages removes the convenience associated with having existing analysis tools. However, writing code to transform programs into constraints for smaller languages is achievable. In fact, this is where semantic code search started, with a custom translator to map Yahoo! Pipes data flow programs into constraints for an SMT solver, effectively creating a symbolic execution engine for a small language.

### 3 Proposed Research

*My broad research vision is to bring the benefits of semantic analysis to all programmers to facilitate informed code changes, promote reuse and adaptation of high-quality artifacts, and reduce developer effort.*

This proposal focuses on all programmers, starting with end-user languages in years 1–4 and professional languages in year 5. The targeted end-user languages are MySQL, Python, VisualBasic, and Excel. A small subset of MySQL is supported already, but more indicative of its potential is the presence of synthesis engines for MySQL queries [104]. If it can be synthesized, it can be searched, though synthesis tends to overfit to a specification [82] (a problem that does not plague semantic search [39, 94]). Excel formulas and VisualBasic functions for spreadsheets reference cell location, providing unique opportunities for abstraction. Python’s dynamic typing creating opportunities for abstraction in the type encoding (e.g., since a variable could be an integer or a string). For simplicity in the beginning, we focus on low levels of granularity, such as methods or functions, though scaling to class, sub-system, and system levels is within the long-term vision of this work. For instance, ABB has an interest in this research, specifically cross-language clone detection. Their particular context, described in Section 3.3.4, requires support for C/C++, C# and Java. In year 5, we will extend support for semantic code search to those languages, and have some evidence that it is realistic based on the application of semantic code search to program repair of C programs [39].

#### 3.1 Thrust 1: Amplifying the Search Space

Searching for code to reuse in an open-source environment takes advantage of the quantity and variety of code available. However, for end-user programmers, large repositories of code in their language(s) may not exist at all [41]. In an academic setting, it may be desirable for a student to limit reuse to code written by

Input (formatted as Time):

Output (formatted as Text):

(a) Input from the question; output based on highest voted answer. Input is in spreadsheet cell [A1].

```
1 =TEXT(A1, "h:mm:ss")
2 =TEXT(A1, "h:mm:ss AM/PM") //
3 =TEXT(A1, "hh:mm:ss AM/PM")
4 =CONCATENATE(TEXT(A1, "hh:mm:ss"),
5     IF(A1>="12:00:00", " PM", " AM")) //
6 Function GetMyTimeField()
7     Dim myTime As Date, myStrTime As String
8     myTime = [A1]
9     myStrTime = Format(myTime, "hh:mm")
10    myStrTime = myStrTime & " Nice!"
11    GetMyTimeField = myStrTime
12 End Function
```

(b) Three solutions from StackOverflow answers and two solutions added for illustration, marked with //. Four use built-in functions and one uses VisualBasic

Figure 3: Excel Example for Type Conversion with Formatting, Inspired by a [StackOverflow post](#)

them. In an industrial setting, it may be desirable to limit code reuse to within an organization. In these scenarios, the quantity and variety of code is likely more limited, increasing the likelihood that the desired code does not exist. However, semantically close code may be available. In a preliminary exploration of semantic code search in C [39] applied to repairing bugs in the ManyBugs [51] benchmark dataset, 17/41 bugs were patched. One of the main reasons for the unpatched bugs was a lack of a match in the database. For more constrained environments, it is reasonable to assume a similar situation could occur.

Finding approximate matches requires a notion of similarity between code and a specification to determine how well code meets a specification (which is notably different than similarity between two pieces of code, explored in Thrust 3). A *semantic match* means the code satisfies the specification. *Semantic similarity* means that *with some modification*, the code satisfies the specification.

For example, Figure 3 illustrates a StackOverflow question<sup>2</sup> about converting the contents of a spreadsheet cell, formatted as time, to text. The input provided is shown in Figure 3(a). Since an output was not provided, it was generated based on the highest-voted answer (the accepted answer was a work-around involving copy-paste to Notepad to remove formatting). The community proposed the answers starting on lines 1, 3, and 6 in Figure 3. The other two, starting on lines 2 and 4, were added for illustration. These solutions span languages, either being built-in functions (solutions on lines 1 – 5) or written in VisualBasic (solution on lines 6 – 12). Given this specification, the code on lines 1 and 6 do not behave as specified; they omit the :ss and/or the AM/PM components. This leaves three possible answers that would be returned by a semantic code search engine. However, an abstraction on the string values would allow the solver to identify string values that could make the two non-solutions become solutions.

Thrust 1 explores how to make this possible, exploring abstractions and mutations to amplify the search space. Ultimately, the abstractions and mutations are hidden from the programmers; the impact is seen in the search results. Abstraction and mutation create new code that was generated by modifying existing code, creating new search results that would not be there otherwise.

### 3.1.1 Abstractions

Abstractions expand the search space and enable finding a match that is behaviorally similar to existing code. I propose to model relationships between abstracted representations using a lattice and subsumption. If a specification is satisfied at one level of the lattice, it is also satisfied at all levels below it. Lower levels of the

<sup>2</sup><https://stackoverflow.com/questions/220672/convert-time-fields-to-strings-in-excel>



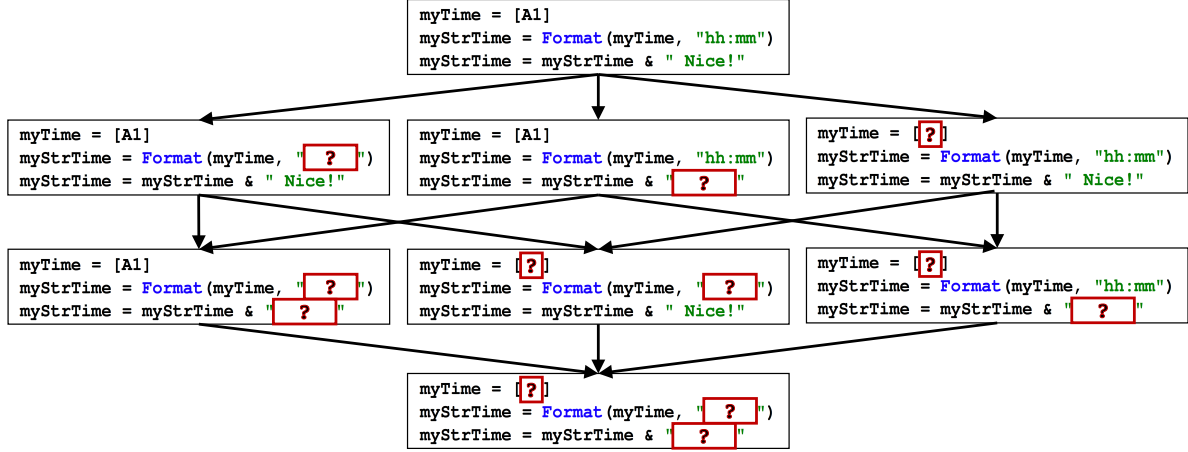


Figure 4: Abstraction lattice over strings and spreadsheet cells for VisualBasic code in Figure 3 on lines 6 – 12. The boxes with question marks represent abstracted values.

lattice are more likely to match but require adaptation [93]. Higher levels are less likely to match but return code closer to what a developer wrote. Since subsumption is a strong criteria, I will explore the precondition and postcondition matches outlined by Zaremski and Wing [107]. I propose the following abstractions:

**Variable Values:** A first step involves weakening hard-coded primitive values, such as integers, characters, or floats, so the solver can identify appropriate values for the satisfiable model. Suppose an end-user programmer wants a solution in VisualBasic to the input/output example in Figure 3(a). The code in Figure 3(b) on lines 6 – 12 does not behave as specified. The lattice in Figure 4 represents possible abstractions on the cell reference (i.e., `[A1]` on line 8) and the hard-coded string values in lines 9 and 10. Written as-is, the code at the top level of the lattice does not satisfy the specification. As values are relaxed, we move down the lattice, allowing the solver more liberty in modifying the code to meet a specification. The input/output example is satisfied only when the two strings are abstracted, which is true of the bottom level as well as moving up and to the left. The solver would identify that the string values of “`hh:mm:ss AM/PM`” and “” (empty string) would satisfy the specification. For the bottom level, the solver would identify `[A1]` as the spreadsheet cell. These abstractions allow this code to be part of the solution space.

**Interface:** Type signature abstraction involves deleting, modifying, or adding input parameters.

*Deleting* could involve a forward slice on a variable [105] would identify all the code that depends on the variable and could be removed. This is appropriate when the type signature of the input/output examples is a subset of the type signature of a method under consideration. The original code would appear at a higher level in the lattice, the code after forward slicing would appear lower.

*Modifying* could take advantage of behavioral subtyping [55] and change the types of existing parameters for when the specification and candidate snippet have different but compatible type signatures (e.g., changing a `float` to an `int`). The subtyped representation would appear higher in the lattice.

*Adding* could be useful when two pieces of code satisfy different parts of a specification; the snippets can be composed together to create a full match, borrowing techniques from conditional synthesis [56, 59, 60, 64, 106]. The code after adding would appear lower in the lattice.

**Relational Operators:** Recent work in mutation testing using relational operators showed that some mutations are harder to kill than others [101]. For example, changing `<=` to `<` is killed only when the values being compared are equivalent. These hard-to-kill mutations create behaviorally similar programs to the

original, possibly facilitating an abstraction lattice (if an abstraction lattice cannot be formed, this can be a mutation, as in Section 3.1.2). As with prior work [101], model counting will identify hard-to-kill mutants.

### 3.1.2 Mutations

Mutations expand the search space and enable finding a match that is structurally similar to existing code. The implementation is similar to abstraction in that the solver will identify how to modify the code. For example, an abstraction over arithmetic operators could replace the `+` operator with a selection from the group: `{-, +, *, /, %}`; the solver would select which to use in the satisfying model for a specification. In essence, this creates a lattice with two levels, one with original operator and another below it with a relaxed operator. To ensure the mutants indeed expand the search space we will verify that they are reachable and not equivalent. I propose to explore three mutations to expand the search space.

**Standard Mutations:** This is inspired by mutation testing [33]. We use standard mutation operators over arithmetic operators, array indices, and other standard constructs [35], giving the solver the option of which to use to satisfy a specification.

**Library Calls:** Abstracting the method itself would increase the search space. For example, in the `calendar.py` library, functions, `itermonthdates(month, days)`, `itermonthdays(months, days)` and `itermonthdays2(month, days)` have the same interface and could be treated as a mutation, where the solver can pick which is appropriate.

**Variable Replacement:** Abstracting variables in code means replacing them with another in-scope variable [50]. For example, abstracting a global variable means the solver selects another global variable to satisfy the specification. Swapping the parameter order is a built-in process, as described (Section 2).

### 3.1.3 Evaluation

To support the studies, stand-alone tools or plugins will be implemented. We will build a large repository of programs to be hosted on a secure server at North Carolina State University, which is dedicated to this project. This will allow us to update the repository of indexed programs without impacting the plugin users.

Once the lattices are built for each snippet, I propose to connect the lattices by proving equivalence between representations (Section 3.3.1). There are several dimensions to explore in abstraction, including

1. where to abstract/mutate (e.g., return statements, if-conditionals, cell references, operators),
2. how much to abstract (e.g., globally, instances, combinations of instances),
3. how many choices to offer (e.g., the solver can choose any spreadsheet cell, or only in column **A**),
4. what to abstract (e.g., values, types, interfaces, relational operators), and
5. where in the lattice to start the search (e.g., at the bottom, middle, or top).

Tradeoffs in speed, search space size, and solution space size will be explored. In preliminary work, I have explored abstraction for Yahoo! Pipes [88, 93] considering string and integer values, applying them globally. Solution space size and time were measured, revealing up-to 89x increase in the solution space and a significant slowdown in solver speed, especially when string values were abstracted.

Studies will use three scenarios: 1) writing a program from scratch, 2) searching without abstraction, and 3) searching with abstraction. Success will be measured in time, accuracy compared to the intended programs, and the syntactic delta between an original program and a modified program (for part 3).

## 3.2 Thrust 2: Expressive Query Models

Input/output examples are a weak specification. When a programmer is writing the examples by hand, enumerating all possibilities is laborious. We have observed that programmers under-specify their code when asked to provide examples, that is, they prefer code that has additional control flow paths not exercised by their examples [94]. This demonstrates a need for more precise behavioral queries.



Suppose a programmer is looking for code that returns `true` for values in the range `[1, 10]` and `false` otherwise. To illustrate this behavior using examples and adhering to a boundary value testing approach [1] would require eight inputs, where the input is `MIN_INT`, `0`, `1`, `2`, `9`, `10`, `11`, and `MAX_INT`. A more precise way uses input ranges, such as, `[MIN_INT, 0] ⇒ false ∧ [1, 10] ⇒ true ∧ [11, MAX_INT] ⇒ false`. The particular query representation may need to change depending on the client (e.g., not all end-user programmers may be comfortable with propositional logic), but incorporating some formalism into query models may be advantageous to enhance the precision of the search.

Thrust 2 proposes techniques to increase the expressiveness of specifications supported by semantic code search through the use of conditional specifications, partial programs, and negation.

**Conditional Specifications:** Abstracting concrete values in input/output examples will yield a lightweight yet stronger method of specifying behavior. This means the programmer could provide symbolic inputs and outputs instead of specific test values. For example, consider a search for a program that returns the square-root of a number, or zero if the number is negative. A conditional specification could say that, for all input `x`, if `x ≥ 0`, the output is `sqrt(x)`, else it is `0`. This approach would reduce the over-specificity associated with input/output examples and reduce overfitting since the examples would cover a broader range of behaviors.

Any function that can be encoded as constraints could be used in a specification. Challenges include how to intuitively deliver this capability to the programmer and how to manage solver performance. That is, the range of values `[0, MAX_INT]` is quite large and may not be performant. Trimming to `[0, 9]` may improve the solver time and could be used as a first pass in the search before trying the whole value range.

**Negation:** I propose to explore specifications that support exceptions, error condition, or negated values. As an exceptions example, given an input of `null`, an output could be that `NullPointerException` should (or should not) be thrown. For error conditions, given an input of `null`, an output could specify that the program should terminate. For negated values, given an input of `0`, the output should anything except `0`. Allowing such specifications will enable developers to specify undesirable or disallowed behavior.

**Partial Programs** Developers may know part of a solution, but not the whole solution, and may benefit from a search that allows them to provide partial code and receive a completed piece of code as a result. This is similar to program synthesis by sketching [19,68,83,84,86], except that in our approach, the programmer would not need to specify the “holes”. Instead, we could find existing code that has the same pieces as the original and behaves as specified with input/output examples.

### 3.2.1 Evaluation

Evaluating the richer specifications requires putting them in the hands of users and determining if users can effectively use the new models to specify desired code. Success will be measured in time, effort, and accuracy. This will be evaluated for end-user programmers and professional programmers; it is possible that each group of programmers may benefit from different models.

## 3.3 Thrust 3: Characterizing Semantic Similarity

In a preliminary study on code search at Google, we found that developers frequently search for examples [72]. In MySQL, of 100 StackOverflow questions evaluated, we found that 74 were looking for examples of how to perform tasks; 53 of those contained input/output examples [93]. During search, when many code examples are returned, differentiating between them currently involves inspection or execution. This thrust addresses the challenge of determining similarity and differences between methods or code fragments.

Consider the example from Excel in Figure 3. A difference between solutions 2 and 3, or between 2 and 4, appears when the hour is a single-digit. A difference between 3 and 4 appears when the time is between midnight and 1am. For example, with the time `0:59:59 AM` as input, the solution 3 returns `12:59:59 AM` while solution 4 returns `00:59:59 AM`. Characterizing when and how these solutions behave differently, especially when provided in different languages, is important for selecting which to use [43].

```

1  ' VBA method
2  Function VB(ByVal x As Integer)
3      As Integer
4
5      Dim a As Integer, b As Integer
6      Dim c As Integer
7      a = x * 2
8      b = x * 3
9      c = a * b
10     VB = c
11 End Function
12
13 # Python method
14 def Py(x):
15     d = x * x
16     e = d * 6
17     return int(e)
18
19 (set-logic UFNIA)
20 (declare-fun VB (Int) Int)
21 (declare-fun Py (Int) Int)
22
23 (assert (forall ((x Int))
24     (exists ((a Int) (b Int) (c Int) (output Int))
25         (and
26             (= (VB x) output) (= a (* x 2))
27             (= b (* x 3)) (= c (* a b))
28             (= output c))))))
29 (assert (forall ((x Int))
30     (exists ((d Int) (e Int) (output2 Int))
31         (and
32             (= (Py x) output2) (= d (* x x))
33             (= e (* d 6)) (= output2 e))))))
34 (assert (forall ((x Int)) (= (VB x) (Py x))))
35 (check-sat)

```

Figure 5: Example programs for proving equivalence between VisualBasic and Python

Thrust 3 proposes techniques for characterizing similarities and differences, such as these, between code fragments, by proving equivalence, identifying differentiating inputs, or characterizing similarity using constraints. Anticipated benefits include explaining similarities and differences to the user, identifying connections between abstraction lattices, refactoring verification, and cross-language clone detection. Further, determining similarity between code fragments allows abstraction lattices to be combined (Section 3.1).

### 3.3.1 Proving Equivalence

We can use state-of-the-art SMT solvers to prove equivalence of some code snippets. This is useful for cross-language clone detection that is not dependent on the languages having a common intermediate language (e.g., VB .NET and C# .NET clone detection work exploits the common AST [46]). Representing code as semantic constraints provides a common abstraction for proving equivalence.

For example, consider the two methods in Figure 5. Method **VB** is in VisualBasic and **Py** is in Python. While structurally different, the return value for both is  $x \times x \times 6$ . The constraints on lines 21–26 represent method **VB** and lines 27–33 represent method **Py**. Line 32 asserts that for all integers, the two methods behave equivalently. When provided to Z3 [13], the outcome is **sat**, indicating these are functionally equivalent.<sup>3</sup> While a simple and contrived example, this shows the potential of using Z3 for cross-language clone detection. A more complex example appears in Figure 2 with snippets in Java and MySQL. As strings are more complex to represent as constraints for solvers [38, 108], for simplicity, we use an integer example.

In the event that the solver returns **unknown** due to constraint complexity, a back-up plan uses fuzz testing [21, 58] to determine with some empirical certainty if the methods are the same or behaviorally close; prior work has used fuzzing and semantic analysis for plagiarism detection [58]. Using fuzzing also allows us to measure similarity between compilable code fragments unsupported by the semantic encoding, though it is not an option for abstracted and mutated programs in which the solver fills in the “holes”.

### 3.3.2 Differentiating Input

When methods are not identical, there exists at least one input on which the methods behave differently. End-user programmers may want answers to the question, “how are these methods *different*?” [43]. Identifying one, or a class of inputs, can be informative describing how and when the methods’ behaviors differ. For example, using the solver, a differentiating input can be identified by changing line 32 in Figure 5 to

<sup>3</sup>For some fun, copy-paste the constraints into the online Z3 interpreter and see for yourself: <http://rise4fun.com/z3/tutorial>

```

1  int original() {
2      int x = 1;
3      int y = 2;
4      int z = y-2;
5      int r = x;
6      z = x + y;
7      return z;
8  }

```

(a) Method to illustrate backward slicing.

```

1  int sliced() {
2      int x = 1;
3      int y = 2;
4
5
6      z = x + y;
7      return z;
8  }

```

(b) Backward slice on variable **z** on line 6.

```

1  int addAB() {
2      int a = 2;
3      int b = 1;
4      int c = a + b;
5      return c;
6  }

```

(c) Method equivalent to the slice in part (b).

Figure 6: Example of backward slicing in parts (a) and (b), and a method in part (c) equivalent to the slice.

`(assert (exists ((x Int)) (not(= (VB x) (Py x)))))`. Instead of asserting the methods are identical for all integers, this revised line asks for an integer on which the methods differ. If **sat** is returned, the satisfiable model returned by the solver identifies a value on which **VB** and **Py** behave differently. Contrastingly, removing the **not()** operator will identify an input on which the methods **VB** and **Py** are the same, if one exists, leading to describing similarity (Section 3.3.3).

If the solver fails to identify a differentiating input (i.e., returning **unknown**), random input generation or fuzzing may be useful, though its not guaranteed to find an answer. We can guide the selection of inputs based on “magic” values in the code, such as the time **12:00:00** in solution 4 of Figure 3. Another idea uses Topes [75] to recognize categorical data and exploit known boundary values for a domain.

### 3.3.3 Similarity

Programmers often make simplifying and invalid assumptions about programming language structures [42], and that certain language constructs are more prone to invalid assumptions, specifically conditionals, Boolean operators, loops and data structures [43]. Demonstrating behavioral similarity between fragments within the same language can illustrate alternate implementations to aid comprehension.

Consider, for example, the methods in Figure 6(a)–(c). Part (a) and (c) show two methods from a hypothetical repository. Part (b) shows a backward slice on the variable **z** on line 6 from **original**. The backward slice is equivalent to the method in (c). I propose to quantify similarity using strong measures (e.g., via constraint representation, backward slicing) and weaker measures (e.g., via structural techniques or fuzzing) to characterize the differences between two pieces of code.

**Solver-based Similarity:** Rather than finding a single input on which the code snippets are the same, the solver could be used to determine classes of similarity. For example, we could assert that two methods are equivalent for all integers  $x|x < 0$ , or for all strings smaller than five characters, or for all lower-case letters in the English alphabet. Model counting [15] could help identify all the models on which two code fragments are similar. Patterns can be formed based on the models’ contents to characterize the conditions under which the methods are alike, inspired by equivalence class testing [1]. Challenges include identifying meaningful equivalence classes and division points between the classes for exploration. We will start with manual analysis and then identify the division points automatically based on values in **if** and loop conditionals.

Working from the constraints representation provides several options in describing similarity. If proving equivalence returns **unsat**, the unsat core identifies the set of assertions that are mutually unsatisfiable. Similarity between two methods could be measured based on the size of the unsatisfiable core. Another approach could use MaxSAT [53] to measure the maximum number of clauses, or the percent of clauses, that are satisfiable. Similarity could be measured using abstractions, as described in Section 3.1. For example, in Figure 6, the methods in (a) and (c) are equivalent after backward slicing on **z** in **original** to create **sliced**.

Thus, it is possible to conclude that the behavior of `sliced`  $\subset$  `original` and `sliced`  $\equiv$  `addAB`. Further, using a canonicalization on the constraint representations and longest common sequence of constraints could identify a notion of similarity. Constraint reuse may also provide a notion of similarity [102]. In all these approaches, the challenge is ensuring that the similarity in constraints is reflective of the similarity in actual code behavior, especially when the constraints have been abstracted.

**Structural Similarity:** A common way to explore structural similarity is to look for isomorphisms in data-flow and control-flow graphs [45, 47, 96]. My prior work in code similarity analysis [96] considers end-user programs in Yahoo! Pipes and looked for structural similarity using several levels of structural abstraction that could be adapted to the control flow or data flow graph for the targeted languages. Product lines [10] may be a practical formalism to describe code similarity when mutation describes the differences between programs. For the code that differs in small structural ways, such as an arithmetic operator, those methods form a product-line, where there is the variation is the operator to select. Re-framing Figure 6 as a product line, the code in part (b) or (c) could form the base program and lines 4–5 in part (a), which are removed during slicing, could be an optional add-on to the method. Paired with identifying a differentiating input per Section 3.3.2, it could illustrate an instance of how the code differs.

### 3.3.4 Evaluation

Evaluating Thrust 3 involves: cross-language clone detection, refactoring, and code change comprehension.

**Refactoring Validation:** Refactoring is a semantics preserving transformation over source code [17], and there is substantial evidence that end-user programmers maintain and restructure their code [28, 89, 96]. Best practices indicate a passing test suite should exist prior to refactoring, and that the code should still pass after refactoring. That is, as long as the code after refactoring passes, it is deemed to be a successful refactoring. However, as tests are often a weak specification for intended code behavior, soundness and completeness are not guaranteed, and not all end-user programming environments make testing easy. Using existing refactoring datasets from spreadsheets [25–28] and professional languages [37], we will determine if the proposed techniques are sufficient for proving equivalence or identifying differentiating inputs for refactored code. Success will be measured by how many refactorings can be validated by these techniques.

**Understanding Code Changes:** Given a method before and after a change, the similarity analysis reveals how and when the behavior is different from the original method. In the hands of users, this could aid code changes comprehension. The evaluation will use Python and VisualBasic repositories from GitHub. In an A/B test with and without the similarity analysis, we will ask participants to describe the impact of code changes. Success will be measured by accuracy in describing changes in program behavior.

**Cross-language clone detection:** Suppose a company acquires a competitor and needs to integrate features from a new product into an existing offering. In this scenario, a software architect must identify the relevant components of the acquired product, often in a different language from the existing codebase, and then integrate the new code into the architecture of the existing product. A key challenge in the identification step is understanding how the two systems implement their common features, which differ on language, naming conventions, and structure. This is a scenario frequently faced by my industrial partner, ABB. Of particular interest to them are the approaches that characterize similarity based on constraints, as this common representation would facilitate cross-language clone detection. ABB has offered access to industrial systems to test the techniques and access to developers for interviews, observation, and evaluation.

## 3.4 Thrust 4: Reducing and Navigating the Solution Space

As semantic code search can handle larger and more complex code and abstractions are applied to amplify the search space, the space of potential solutions for a weak specification will tend to increase. The collection of potential solutions is called the *solution space*. Navigating this space becomes a burden for the human

(a) Business Information Table (input 1)						
bus_id	name	address	city	state	latitude	longitude
16441	"HAWAIIAN DRIVE"	"2600 SAN BRUNO AVE"	SFO	CA	NA	-122.404101
61073	"FAT ANGEL"	"1740 O' FARRELL ST "	SFO	CA	0.0	-122.433243
66793	"CP - ROOM"	"CANDLE PARK"	SFO	CA	37.712613	-122.387477
1747	"NARA SUSHI"	"1515 POLK ST "	SFO	CA	37.790716	NA
509	"CAFE BAKERY"	"1365 NORIEGA ST "	SFO	CA	37.754090	0.0

(b) Inspection Table (input 2)		
bus_id	Score	date
509	85	20130506
1747	93	20121204
16441	94	20130424
61073	98	20130422
66793	100	20130112

(c) Output Table					
bus_id	name	Score	date	latitude	longitude
66793	"CP - ROOM"	100	20130112	37.712613	-122.387477

Figure 7: Inputs and Output Example

or algorithm using the search. Reducing this burden requires a more complete specification (e.g. more input/output examples). Asking end-user programmers for additional examples to refine the solution space is rapidly becoming the next bottleneck in semantic code search as well as program synthesis [79]. Thrust 4 proposes techniques to help clients of semantic code search (and synthesis) navigate the solution space, exploring approaches with the human-in-the-loop, such as identifying oracles for given inputs, and the human-out-of-the-loop, such as ranking. From the perspective of the end-user programmer, the impact will making fewer decisions before converging on a desired solution.

### 3.4.1 Input Selection

In semantic code search or synthesis via input/output example, it is often implied in the literature that a specification can be strengthened to prune the solution space by simply adding another example [81, 93]. However, not all examples prune the solution space effectively. For example, consider the specification in Figure 3 and the set of solutions. The solutions on lines 2, 3, and 4 would be returned by a semantic code search engine given the specification, but it is not entirely clear which should be the winner. Adding another test may or may not reduce the solution space. For example, an input of "11:01:02 PM" would retain solutions 2, 3, and 4. The challenge is identifying inputs that divide the solution space. The problem is traversing the space of solutions to find the desired solution. To address this challenge, I propose to automatically find an input that maximally fractures the solution space; when a user provides an output, the solution space is reduced as much as possible.

In preliminary work, with my collaborators, we tackled this challenge in the domain of data wrangling using Python [79]. Consider the two input and one output tables in Figure 7. The example is from the Zipfian Academy, a group that teaches Python novices how to analyze large data sets<sup>4</sup>. In this use case, a fictional end-user programmer wants to analyze San Francisco restaurant inspection data to understand the "cleanliness of the city". The data is available from the city of San Francisco's OpenData project. The challenge for the scientist is that the data needs some wrangling (e.g., merging, formatting, filtering) before it can be analyzed. For example, the scientist must join Figure 7(a), containing business information, and Figure 7(b), containing inspection data, and then filter rows with invalid latitude and longitude values to obtain the output shown in Figure 7(c). Given this example, our synthesis engine produces 4,418 Python programs that perform the transformation.

We explored an approach to input selection to this that permutes the input tables, creating up to 100 different inputs. Each of the programs in the solution space is executed against the inputs. Based on the

<sup>4</sup><http://nbviewer.ipynb.org/github/Jay-Oh-eN/happy-healthy-hungry/blob/master/h3.ipynb>

output values, the programs are clustered. The input that creates *the most* clusters is selected as the one to present to the user. In our case study with the input/output example in Figure 7 and using the program provided with the data set as the oracle, three more inputs reduces the solution space from 4,418 to two.

Efficiency of this approach is a challenge. In the worst case, given  $k$  programs in the solution space, the user will evaluate  $k - 1$  inputs (i.e., each input creates two clusters, one of size  $k - 1$ , and one of size 1). In the best case, the user will evaluate just one input, where the desired program is in its own cluster. Further, each program needs to be executed on each input, though the results can be cached. If this approach does not meet reasonable performance standards, there are several mitigation strategies. Concerning the number of inputs to generate, a larger number improves the probability that the “best” input can be identified to divide the space, but a smaller number of inputs reduces the time costs. Inputs could be generated using the differentiating input approach in Thrust 3 (Section 3.3.2) instead of randomly. Regarding clusters, we select the input leading to the number of clusters, but should also consider uniformity in cluster sizes. A cost-benefit analysis will be conducted to determine the impact of the input generation approach and the number of inputs on the effectiveness of the approach.

### 3.4.2 Ranking

For some applications of semantic code search, a human is not available to provide feedback on which solution is the best. Ranking may help identify the program with the highest probability of being correct. I propose to develop ranking techniques based on 1) behavioral similarity, 2) conformance to the specification, and 3) elements familiar to the developers. Ideal ranking may include some or all of these approaches, and possibly others.

**Ranking by Semantic Popularity:** Regardless of whether the solution space was built by finding existing code or abstraction/mutation were used, there are likely to exist behavioral similarities among the solutions. I propose to exploit behavioral similarity, as measured in Thrust 3, to create clusters. Sorting the clusters by size from largest to smallest and selecting a member from each of the top  $n$  clusters forms the top  $n$  results. Given the high redundancy in open source code [3, 18], it would make sense that someone has written the intended code, and possibly many people. Thus, semantic commonality could be useful for reuse.

**Conformance to the Specification:** Given a specification and a solution, how well that specification covers the paths or statements in the solution could provide insights for ranking. We already have some evidence that coverage matters in determining the relevance of Java code snippets to questions asked on StackOverflow. For code reuse, it was determined that code which provides *more* behavior than the specification in terms of program paths was the most relevant (i.e., the code had paths that were uncovered by the specification) [94]. Users often provided input/output specifications that under-approximated the desired behavior, so those solutions with more behavior are more likely to satisfy the intended specification. Initially this means that code with higher complexity is more relevant, but there is likely to be an upper bound on the ratio of covered to uncovered program paths. One goal is to discover this bound during evaluation.

**Familiar Programming Constructs:** In end-user programming, some characteristics of programs, such as counts of comments, code length, and parameters, are predictive of reuse for web macros [74]. We replicated that result on two different end-user language domains, web mashups, and GreaseMonkey scripts [34]; such program characteristics could be used for ranking based on reuse probability.

### 3.4.3 Evaluation

Navigating the solution space via input selection depends on user involvement. The assumption that the cost of creating/evaluating an output is lower than the cost of providing a differentiating input/output example from scratch requires exploration. To begin, we will design user studies that use real data sets, such as the San Francisco restaurant data used in Section 3.4.1. Success will be determined by measuring the time and effort to the user in selecting outputs for an input. This cost will be balanced with search space reduction

compared to non-guided input selection. In preliminary work, we have some evidence that developers can correctly identify an output for a given input for MySQL tables [92], but this was not evaluated against the cost of generating examples from scratch.

Success in ranking will use the input/examples examples from recent work in synthesizing SQL queries from input/output examples [104], and compare against semantic search for SQL queries [93]. Comparing the synthesized SQL queries to the searched-for SQL queries in terms of understandability, generalizability, and relevance will require human evaluation. In terms of study subjects, North Carolina State University has a Computer Science Masters program with a track in software engineering for which research or participation in research studies is a requirement. This free pool of qualified participants are currently employed full time in industry or looking to obtain an industry job, and thus are representative of people who would be performing code search tasks, thought not end-user programmers. If successful with trained programmers, we will evaluate these on students in entry-level computer science courses at North Carolina State University when they are first exposed to MySQL.

## 4 Related Work

Specifications used in previous semantic code search work include formal specifications [20,66,107] and test cases [67,69]. Formal specifications allow precise and sound matching but must be written by hand, which is difficult and error-prone. Test cases are more lightweight but require the code to be executed to identify a match, and thus cannot identify *close* or *partial* matches. Recent work in keyword-based search has begun to incorporate semantic information for finding working code examples from the Web [40] or reformulating queries for concept localization [23]. Several code search engines or example recommendations tools exploit structural information, such as Strathcona [32], Sourcerer [2], and XSnippet [73]

In addition to semantic search, other research uses input/output examples to describe desired program behavior. Program synthesis approaches depend on them to describe code to synthesize [22,81,104] and they are the cornerstone of programming-by-example systems that target novices or end-user programmers [11, 54]. Abstraction is similar to synthesis by sketching [19,85,87], except the code skeletons come from existing code whereas developers provide the skeletons in sketching.

End user software engineering: Researchers have focused on improving software engineering support, including introducing version control for web mashups [48], using assertions to improve the reliability of web macros [44], creating smell detection and refactoring tools for spreadsheets [25,26], and synthesizing string transformations [22].

Perhaps most similar to my proposed approach is CodeGenie [49,52], which is a test-driven approach to search based on Sourcerer. Queries are generated based on code features (e.g., naming conventions of missing method). The difference is in the query format, where semantic code search requires behavioral examples rather than textual queries.

This work builds on existing work in symbolic execution [7,36,65,103]. For code that has behavioral interface specifications, those could be exploited to compose constraint-based summaries of behavior [5, 24]. In the absence of code specifications, we characterize the behavior of code statically using symbolic execution.

(more to come)

## 5 Educational Benefits, Outreach, and Broader Impacts

This section describes proposed broader impact efforts in education, outreach, and technology transfer.

**Undergraduate Education:** My focus on undergraduate education related to this grant targets newcomers to programming, senior undergraduates, and REU students.

*Newcomers:* Code search that identifies multiple semantically identical implementations of reusable code could be valuable in education, especially for newcomers or end-user programmers. Characterizing the



dissimilarities between a developer’s code and an oracle (e.g., as in a programming class) would be useful as well to illustrate when and how a developer’s code diverges from the intended code. Test cases provide a sampling for showing when code behavior diverges, but the techniques to more precisely characterize code similarities and differences (Section 3.3) provide more useful information. The techniques will be piloted in entry-level programming classes at NCSU for non-majors, which teach Python.

*Senior Undergraduates:* I am designing a special topics course on software testing for undergraduates to be piloted in Spring 2018. This course will involve hands-on exercises in performance testing, unit testing, usability testing, integration testing, and regression testing of actual software projects. To demonstrate the importance of building complete test suites, I will design activities that use semantic search to expose students to the variety of code that can satisfy weak input/output specifications.

*REUs:* Each summer, the software engineering group at NCSU runs an NSF-funded REU (research experience for undergraduates) on the “Science of Software”. At this program, places are reserved for students from traditionally under-represented areas (e.g. economically challenged regions of the state of North Carolina) and/or students from universities lacking advanced research facilities. While some of the concepts of this grant would be too advanced for that group, the notion of using code search to support software maintenance and testing tasks would be suitable for lectures and REU projects.

**Graduate Education:** In Fall 2017, I piloted a graduate course, *Automated Program Repair*, for Masters and PhD students. The course was successful and led to a publication based on a student project [80]. A particularly successful unit in the course was on synthesis [59, 60, 64] and semantic code search [39] approaches to program repair. For Fall 2018, I plan to spin off and expand that unit into a graduate course on *Semantic Analysis in Software Engineering*, which will focus on how to use code search and synthesis for software engineering support. A primary focus of the course will be on semantic code search, and I will recruit research assistants from the enrolled students.

**Mentor at the Grace Hopper Conference:** In 2013, I traveled to the Grace Hopper Conference (GHC) with  $\approx 20$  female students from the Computer Science and Electrical and Computer Engineering departments at Iowa State University (though participation is open to all students, regardless of gender identity). In post-conference surveys, students report increased feelings of belonging and enthusiasm for their degree programs. I plan to continue involvement with GHC, support students in presenting their work in the poster sessions, and focus mentoring discussions on balancing an academic career and a family.

**Technology Transfer:** ABB has written a letter of support for this research, with a particular interest in its applications to cross-language clone detection. While the languages used by ABB are not the primary target of this proposal, extending support for these languages is a stretch goal. ABB has offered access to industrial systems to test techniques and access to developers for interviews, observation, and evaluation.

**Research Artifacts:** Reproducibility is a key component of the scientific process. I will make my tools available on an Open Source license. I will submit and disseminate techniques, data, results, and other artifacts associated with the research to top software engineering research venues. As I have done for my past experiments [89, 91–94], I will make all artifacts available on a central project website for the project for other researchers to use in replications or comparison studies.

**Student Mentorship:** The PI is a junior faculty and is establishing her research program. This project contributes to mentoring and supporting graduate and undergraduate students. The PI has, and will continue to engage undergraduate (including in REU settings) students, minority, and female students. Of her three PhD students at the time of submission, two are female and one is a veteran.

## 6 Results of Prior NSF Support

PI Stolee has an NSF grant that has been recommended for funding, titled, “*SHF: Small: Supporting Regular Expression Testing, Search, Repair, Comprehension, and Maintenance*” (total: \$499,996, July 1, 2017 – June 30, 2020). **Intellectual merit:** Advances in regular expression analysis [8] are proposed, including test criteria, similarity metrics, code smells and refactoring. **Broader Impact:** This work will fund two female PhD students. It has the potential to improve software quality and comprehension. *Code search for regular expressions is a small focus of the SHF-small grant; adapting code search to regular expressions for end-user programmers is absent from (and complementary to) this CAREER proposal.*

PI Stolee has a joint NSF grant titled, “*SHF: Medium: Collaborative Research: Semi and Fully Automated Program Repair and Synthesis via Semantic Code Search*” (total: \$1,200,000, PI Stolee’s portion NSF CCF-1563726 \$387,661, July 1, 2016 – June 30, 2020). This work extends another joint NSF grant titled, “*SHF: EAGER: Collaborative Research: Demonstrating the Feasibility of Automatic Program Repair Guided by Semantic Code Search*” (total: \$239,927, PI Stolee’s portion NSF CCF-1446932 \$87,539, July 1, 2014 – December 31, 2016). **Intellectual merit:** This funding has resulted in advances in adapting semantic code search to fix faults in professional programming languages [39]. understanding how professionals search for code [72], crowdsourcing [99, 100], and automated repair [3, 39, 51, 63, 82]. **Broader impact:** The benchmark datasets developed for automated program repair [51] will advance research in the automated repair field by standardizing evaluations and enabling comparison among techniques (e.g. [82]). *The proposed work in semantic code search for end-user programmers in this CAREER proposal is complementary to my efforts to adapt semantic code search to program repair in the SHF-medium grant, which focuses on professional programming languages. This CAREER proposal targets different languages, including MySQL, Excel, VisualBasic, and Python. The advances in this proposal, specifically the abstractions/mutations for end-user languages, distinguishing between matches, navigating the solution space and ranking, are new.*

## 7 Research Plan

(TODO: finish me) Figure 8 maps out the breakdown of work per year. Two graduate students and the PI will perform the proposed research under the following schedule:

**Year 1:** The focus will be on building abstractions and lattices to amplify the search space (Thrust 1).

**Year 2:** Similarity metrics and characterizing similarity (Thrust 2) will improve the expressiveness of the lattices.

**Year 3:** Solution space navigation will begin (Thrust 3).

**Year 4:** Continue to add abstractions, mutations, and techniques for building effective lattices. Evaluation will begin with ABB for cross-language clone detection.

**Year 5:** Continue to explore provable equivalence and evaluation in refactoring verification.

	Year 1	Year 2	Year 3	Year 4	Year 5
Abstractions (3.1.1)	x				
Mutations (3.1.2)		x			
Equivalence (3.3.1)		x			
Differencing (3.3.2)			x		
Similarity (3.3.3)			x		
Input Selection (3.4.1)				x	
Ranking (3.4.2)					x

Figure 8: Breakdown of proposed work per year

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