

# Final

20989977 Zhang Mingtao

2023/12/8

0.

```
library(reticulate)
```

1.

```

#1
# Random Forest:
#
# The idea of random forest: On the basis of bagging (changing training samples), the diversity
of the base learner is further enhanced
# by changing the modeling variables. Specifically: for each node of the base decision tree, fr
om the change of the node randomly
# select a subset containing k variables from the quantity set, and then select an optimal vari
able from this subset for branching.
#
# For each tree  $i = 1, \dots, T$ : (1) Use the Bootstrap method to extract n sample observatio
ns from all training sample observations to
# form the Bootstrap data set  $D^*$ ; (2) Based on data set  $D^*$  Construct a tree  $h_i$  and repeat the f
ollowing steps for each node in the tree
# until the stopping rule is met; (3) Output a combination of T trees.
#
# The computational complexity of random forest is:  $T(0(nk \log_2(n)) + 0(s) + 0(n))$ 
# The computational complexity of the base decision tree is  $0(nk \log_2(n))$ ; The complexity of Bo
otstrap sampling and voting/averaging
# is  $0(s)$ ; variables are randomly selected at the root node and intermediate nodes, with about
n nodes, Therefore the complexity is  $0(n)$ ;
# There are T base decision trees in total.

# Gradient Boosting Trees:
#
# Decision Tree (GBDT) is an additive model form:  $f_m(x) = f_{m-1}(x) + h_m(x)$ .
# Consider the squared loss function, The  $h_m(x)$  generated at step m should be in the direction
of the local maximum decrease of L
# with respect to  $f_{m-1}(x)$ . In summary, at the mth step:  $h_m(x)$  should be in the local direction
described by the gradient
#  $-g_m = y - f_{m-1}(x)$  up.  $h_m(x)$  should be a decision tree with  $\epsilon_m = y - f_{m-1}(x)$  as the dependent
variable.
#
# The computational complexity of the decision tree can be expressed as  $O(TNM \log(M))$ , where T i
s the number of iterations.

#2
# Decision trees have strong interpretability, while random forests are relatively weak in mode
l interpretability.

# Decision tree is a machine learning algorithm based on tree structure. Each node of the decis
ion tree represents a feature
# attribute, the branches of the node represent the value of the feature attribute, and the lea
f nodes represent the final
# classification or regression results. Due to the clear structure, we can directly observe the
judgment conditions and branch
# paths of each node to understand how the model makes predictions.

# Random forest is an ensemble learning method that consists of multiple decision trees. The fi
nal prediction result of
# a random forest is obtained by voting or averaged by all decision trees. Each decision tree m
ay adopt different features
# and parameter settings, so the interpretability of the entire model becomes more difficult. I
n addition, random forest
# introduces randomness in the construction process, including random selection of features and

```

random sampling of data,  
 # which also increases the difficulty of fully interpreting the model. Since the number of decision trees in a random forest  
 # is large and the contribution of each decision tree is relatively small, it is difficult to map the prediction results of  
 # the entire random forest to a single feature or decision.

#3

# Construct a Lagrangian function:  $Lagrange = L(Y, f) + \lambda (f_1 + f_2 + \dots + f_K)$ , minimize Lagrange.

# We take the partial derivatives of  $f_1, f_2, \dots, f_K$  and  $\lambda$  and set them equal to zero to get the following system of equations:

#

#  $\exp((-Y \cdot f)/K) \cdot (-Y_1/K) + \lambda = 0$

#  $\exp((-Y \cdot f)/K) \cdot (-Y_2/K) + \lambda = 0$

# ...

#  $\exp((-Y \cdot f)/K) \cdot (-Y_K/K) + \lambda = 0$

#  $f_1 + f_2 + \dots + f_K = 0$

#

# We can use numerical optimization methods to approximate the solution, such as gradient descent.

# The class probability can be expressed as  $P(Y = 1 \mid G = G_k) = P(G = G_k)$ .  $Y_k = 1$  or  $Y_k = -1/(K-1)$ . therefore:

#  $P(Y = 1 \mid G = G_k) = P(G = G_k) = (1 + 1/(K-1)) \cdot P(Y_k = 1)$

#  $P(Y = -1/(K-1) \mid G = G_k) = (1/(K-1)) \cdot P(Y_k = -1/(K-1))$

# By definition we have  $\sum P(Y_k = 1) = 1$ , therefore  $\sum P(G = G_k) = 1$ .

#

# When we minimize the loss function  $L(Y, f)$ , the value of the loss function increases for misclassified samples and decreases

# for correctly classified samples. This causes the weight of incorrectly classified samples to increase and the weight of

# correctly classified samples to decrease in the next iteration.

# Similar to Adaboost, we can calculate the weighting factor for each sample based on the classification error. Specifically,

# for sample  $i$ , we define the weight factor as:  $w_i = \exp((-Y_i \cdot f)/K)$ . This weight factor is consistent with the form of

# the loss function  $L(Y, f)$

#4

# Suppose there is an optimal classification hyperplane whose distance to the left is greater than the distance to the right.

# In this case, consider two projection points on the optimal classification hyperplane, which are located on the boundaries of the left and right intervals respectively.

# Let the projection point on the left be A and the projection point on the right be B.

# Since the distance on the left side is the largest distance on the right side, we can move the projection point A on the optimal

# classification hyperplane along the direction of the normal arrangement, and at the same time move the projection point B to the

# right until they are both located on their respective boundaries, instead of changing Classification results of data points.

# Doing this will cause the method of optimizing the classification hyperplane to change, but since we only made small adjustments,

# this new hyperplane will still be able to correctly classify the data points of both categories.

# However, this contradicts the definition of a maximum margin classifier.

```

#5
# Contains three types of support vectors:
# 1.Points lying on hyperplanes  $L+1$  and  $L-1$ . ( $0 < \lambda_i < C$  and  $\xi_i = 0$ );
#
# 2.Points that fall within the interval and are correctly classified. ( $\lambda_i = C$  and  $0 < \xi_i \leq 1$ );
#
# 3.Points that are not correctly classified. ( $\lambda_i = C$  and  $\xi_i > 1$ ).

#6
# From the perspective of loss function plus penalty:  $\xi_i$  can be expressed as:  $\xi_i = \max(0, 1 - y_i(\beta^T x_i + \beta_0))$ . This is Hinge Loss.
# Using hinge loss, the above objective can be rewritten as:  $\min(\beta, \beta_0) \{1 \sum_n (1 - y_i(x_i^T \beta + \beta_0)) + \lambda/2 \|\beta\|^2\}$ 

#7
# The fundamental difference between the two algorithms is that K-means is essentially unsupervised learning,
# while KNN is supervised learning; K-means is a clustering algorithm, and KNN is a classification (or regression) algorithm.
#
# KNN belongs to supervised learning, and the categories are known. By training and learning the data of known categories,
# we can find the characteristics of these different categories, and then classify the unclassified data.
#
# Kmeans belongs to unsupervised learning. It is not known in advance how many categories the data will be divided into,
# and the data is aggregated into several groups through cluster analysis. Clustering does not require training and learning from the data.

#8
# Principal components analysis is an unsupervised technique that projects raw data into several high vertical directions
# These high vertical directions are orthogonal, so the correlation of the projected data is very low or almost close to 0.
# These feature transformations are linear.

# An autoencoder is an unsupervised artificial neural network that compresses data into lower dimensions and then reconstructs
# the input. Autoencoders find lower-dimensional representations of data by removing noise and redundancy on important features.

# PCA can only perform linear transformations, while autoencoders can perform both linear and nonlinear transformations;

# The PCA algorithm is fast to calculate, while the autoencoder needs to be trained through the gradient descent algorithm,
# so it takes longer time;

# PCA projects the data into several orthogonal directions, while the data dimensions are not necessarily orthogonal
# after autoencoder dimensionality reduction;

# The only hyperparameter of PCA is the number of orthogonal vectors, while the hyperparameters of the autoencoder are

```

```

# the structural parameters of the neural network;

# Autoencoders can also be used on complex, large data sets.

#9
# Bias: As the number of layers of a neural network increases, the complexity of the model increases and it usually fits
# the training data better, so the bias gradually decreases. Deeper networks can learn more complex features and patterns,
# thereby increasing the flexibility and expressiveness of the model.

# Variance: When the number of layers of a neural network increases, the complexity of the model also increases, which may
# lead to overfitting to the training data. Overfitting means that the model adapts too well to the details and noise of the
# training data, resulting in reduced generalization ability on new unseen data. Therefore, the variance may increase.

#10

# Input: training set  $D = \{(x_n, y_n), \text{ verification set } V, \text{ learning rate } \alpha, \text{ regularization coefficient } \lambda, \text{ number of network layers } L,$ 
#       number of neurons  $M_l, 1 \leq l \leq L$ 

# Randomly initialize  $W, b$ ;
# Repeat:
#     Randomly reorder the samples in the training set  $D$ ;
#     for  $n = 1 \dots N$  do:
#         Select samples  $(x_n, y_n)$  from the training set  $D$ ;
#         Feedforward calculates the net input  $z_l$  and activation value  $a_l$  of each layer until the last layer;
#         Back propagation calculates the error  $\delta_l$  of each layer;
#         Calculate the derivative of each layer parameter;
#         # Any  $l, \frac{dL(y_n, \hat{y}_n)}{dW_l} = \delta_l \cdot (a_{l-1})^{T'}$ ;
#         # Any  $l, \frac{dL(y_n, \hat{y}_n)}{db_l} = \delta_l$ ;
#         Update parameters;
#         #  $W_l \leftarrow W_l - \alpha (\delta_l \cdot (a_{l-1})^{T'} + \lambda W_l)$ ;
#         #  $b_l \leftarrow b_l - \alpha (\delta_l)$ ;
#     end;
# Until the error rate of the neural network model on the validation set  $V$  no longer decreases.

# Output  $W, b$ 

```

```
#(2)
#1
documents=read.table("C:\\Users\\张铭韬\\Desktop\\学业\\港科大\\MSDM5054机器学习\\作业\\Final p
roject\\20newsgroup\\documents.txt", header = FALSE)
groupnames=read.table("C:\\Users\\张铭韬\\Desktop\\学业\\港科大\\MSDM5054机器学习\\作业\\Final
project\\20newsgroup\\groupnames.txt", header = FALSE)
newsgroups=read.table("C:\\Users\\张铭韬\\Desktop\\学业\\港科大\\MSDM5054机器学习\\作业\\Final
project\\20newsgroup\\newsgroups.txt", header = FALSE)
wordlist=read.table("C:\\Users\\张铭韬\\Desktop\\学业\\港科大\\MSDM5054机器学习\\作业\\Final pr
oject\\20newsgroup\\wordlist.txt", header = FALSE)

library(tidyr)
library(gtools)

tent=pivot_wider(documents, names_from = V2, values_from = V3, values_fill = 0)
df=as.data.frame(tent)
df=df[, -1]
sorted_cols=mixedsort(colnames(df))
df=df[, sorted_cols]

colnames(df)=wordlist$V1

newsgroups[newsgroups == 1]=groupnames[1,]
newsgroups[newsgroups == 2]=groupnames[2,]
newsgroups[newsgroups == 3]=groupnames[3,]
newsgroups[newsgroups == 4]=groupnames[4,]

df=as.data.frame(lapply(df, as.factor))

df$grouptype=newsgroups$V1

df$grouptype=as.factor(df$grouptype)

library(randomForest)
```

```
## randomForest 4.7-1.1
```

```
## Type rfNews() to see new features/changes/bug fixes.
```

```
library(caret)
```

```
## 载入需要的程辑包：ggplot2
```

```
##
## 载入程辑包：'ggplot2'
```

```
## The following object is masked from 'package:randomForest':
##
## margin
```

```
## 载入需要的程辑包：lattice
```

```
train_control=trainControl(method = "cv", number = 5)
param_grid=expand.grid(mtry = c(8, 10, 12))

set.seed(123)
rf_model1=train(x = df[, -101], y = df[, 101], method = "rf", ntree = 150, trControl = train_control, tuneGrid = param_grid)
rf_model1$results
```

```
##   mtry Accuracy      Kappa AccuracySD      KappaSD
## 1    8 0.8121536 0.7405424 0.006893363 0.009659618
## 2   10 0.8128924 0.7417440 0.005680786 0.007970848
## 3   12 0.8132002 0.7423514 0.006341950 0.008816919
```

```
set.seed(123)
rf_model2=train(x = df[, -101], y = df[, 101], method = "rf", ntree = 100, trControl = train_control, tuneGrid = param_grid)
rf_model2$results
```

```
##   mtry Accuracy      Kappa AccuracySD      KappaSD
## 1    8 0.8117226 0.7399285 0.006920613 0.009678856
## 2   10 0.8120920 0.7406228 0.005971920 0.008345824
## 3   12 0.8128924 0.7419563 0.006409030 0.008845819
```

```
set.seed(123)
rf_model3=train(x = df[, -101], y = df[, 101], method = "rf", ntree = 200, trControl = train_control, tuneGrid = param_grid)
rf_model3$results
```

```
##   mtry Accuracy      Kappa AccuracySD      KappaSD
## 1    8 0.8118457 0.7400971 0.006960800 0.009816271
## 2   10 0.8135697 0.7427086 0.005365292 0.007505029
## 3   12 0.8148625 0.7446613 0.005050184 0.007068843
```

```
# We choose the ntree = 200 and mtry = 12 to get the lowest cv-error = 1 - 0.8148625 = 0.1851375
```

```
set.seed(123)
rf_model=randomForest(grouptype~., data = df, mtry=12, ntree=200, importance=T, proximity=T)
rf_model
```

```
##
## Call:
## randomForest(formula = grouptype ~ ., data = df, mtry = 12, ntree = 200, importance =
T, proximity = T)
##           Type of random forest: classification
##           Number of trees: 200
## No. of variables tried at each split: 12
##
##           OOB estimate of  error rate: 18.65%
## Confusion matrix:
##           comp.* rec.* sci.* talk.* class.error
## comp.*    4142     73   195    195   0.1005429
## rec.*      300  2706   156    357   0.2310315
## sci.*      642   131  1488    396   0.4399699
## talk.*     258   126   200   4877   0.1069401
```

```
# OOB estimate of  error rate: 18.65%
# Confusion matrix:
#           comp.* rec.* sci.* talk.* class.error
# comp.*    4142     73   195    195   0.1005429
# rec.*      300  2706   156    357   0.2310315
# sci.*      642   131  1488    396   0.4399699
# talk.*     258   126   200   4877   0.1069401

sorted_MeanDecreaseAccuracy=rf_model$importance[order(rf_model$importance[,5], decreasing = TRUE), ]
sorted_MeanDecreaseGini=rf_model$importance[order(rf_model$importance[,6], decreasing = TRUE), ]

sorted_MeanDecreaseAccuracy[1:10,] # the same ↓
```

```
##           comp.*      rec.*      sci.*      talk.* MeanDecreaseAccuracy
## windows    0.03229535 0.03096465 0.03063397 0.031127670      0.03133656
## car        0.02791481 0.07113461 0.01662072 0.014315823      0.03084363
## god        0.03517044 0.02235538 0.02462732 0.021447018      0.02605346
## christian   0.02537361 0.02098339 0.02713232 0.024058559      0.02426748
## government 0.03195486 0.02320699 0.01459359 0.017853451      0.02247704
## team       0.02139258 0.01646405 0.01114233 0.018034239      0.01751500
## space      0.01090275 0.01251518 0.04764293 0.008482974      0.01645380
## jews       0.02299562 0.01843499 0.01760104 0.007508724      0.01591215
## graphics   0.01750839 0.01383242 0.01114834 0.014459737      0.01464551
## religion   0.02033723 0.01474761 0.01641379 0.006120233      0.01369789
##           MeanDecreaseGini
## windows           529.4252
## car               350.6786
## god               400.1818
## christian          383.6470
## government        334.6910
## team              285.7007
## space             196.7427
## jews              252.1002
## graphics          222.5499
## religion           190.3336
```



```
sorted_MeanDecreaseGini[1:10,]      # the same ↑
```

```
##           comp.*      rec.*      sci.*      talk.* MeanDecreaseAccuracy
## windows    0.03229535 0.03096465 0.03063397 0.031127670      0.03133656
## god         0.03517044 0.02235538 0.02462732 0.021447018      0.02605346
## christian    0.02537361 0.02098339 0.02713232 0.024058559      0.02426748
## car         0.02791481 0.07113461 0.01662072 0.014315823      0.03084363
## government  0.03195486 0.02320699 0.01459359 0.017853451      0.02247704
## team        0.02139258 0.01646405 0.01114233 0.018034239      0.01751500
## jews        0.02299562 0.01843499 0.01760104 0.007508724      0.01591215
## graphics    0.01750839 0.01383242 0.01114834 0.014459737      0.01464551
## space       0.01090275 0.01251518 0.04764293 0.008482974      0.01645380
## religion    0.02033723 0.01474761 0.01641379 0.006120233      0.01369789
##           MeanDecreaseGini
## windows           529.4252
## god               400.1818
## christian          383.6470
## car               350.6786
## government        334.6910
## team              285.7007
## jews              252.1002
## graphics          222.5499
## space             196.7427
## religion           190.3336
```

```
# So the ten most important keywords based on variable importance are:
```

```
# windows, god, christian, car, government, team, jews, graphics, space, religion.
```

```
#2
train_control2=trainControl(method = "cv", number = 5)
param_grid2=expand.grid(n.trees = c(100, 150, 200), interaction.depth = c(1,2,3), shrinkage = c
(0.01,0.05,0.1), n.minobsinnode = c(15))

set.seed(123)
gbm_model=train(x = df[, -101], y = df[, 101], method = "gbm", trControl = train_control2, tuneGrid
= param_grid2, verbose = FALSE)

gbm_model$results
```

##	shrinkage	interaction.depth	n.minobsinnode	n.trees	Accuracy	Kappa
## 1	0.01	1	15	100	0.5738208	0.3844925
## 10	0.05	1	15	100	0.7482448	0.6468091
## 19	0.10	1	15	100	0.7861719	0.7026985
## 4	0.01	2	15	100	0.6771327	0.5421739
## 13	0.05	2	15	100	0.7864178	0.7026910
## 22	0.10	2	15	100	0.8058736	0.7323159
## 7	0.01	3	15	100	0.7055779	0.5845913
## 16	0.05	3	15	100	0.7996549	0.7228541
## 25	0.10	3	15	100	0.8106758	0.7392044
## 2	0.01	1	15	150	0.6165496	0.4492386
## 11	0.05	1	15	150	0.7728727	0.6827977
## 20	0.10	1	15	150	0.7997165	0.7229461
## 5	0.01	2	15	150	0.7036076	0.5813294
## 14	0.05	2	15	150	0.8000244	0.7233939
## 23	0.10	2	15	150	0.8104296	0.7389196
## 8	0.01	3	15	150	0.7447971	0.6420190
## 17	0.05	3	15	150	0.8083978	0.7358106
## 26	0.10	3	15	150	0.8122766	0.7416213
## 3	0.01	1	15	200	0.6587852	0.5132910
## 12	0.05	1	15	200	0.7872184	0.7039172
## 21	0.10	1	15	200	0.8045805	0.7304356
## 6	0.01	2	15	200	0.7266958	0.6153271
## 15	0.05	2	15	200	0.8059350	0.7323624
## 24	0.10	2	15	200	0.8113531	0.7403126
## 9	0.01	3	15	200	0.7568647	0.6593792
## 18	0.05	3	15	200	0.8107376	0.7394059
## 27	0.10	3	15	200	0.8132616	0.7430476
##	AccuracySD	KappaSD				
## 1	0.009379922	0.014123813				
## 10	0.009299975	0.013365184				
## 19	0.006642032	0.009337847				
## 4	0.011708544	0.017826167				
## 13	0.007779747	0.010869979				
## 22	0.007051318	0.009645575				
## 7	0.009387068	0.013723561				
## 16	0.007656894	0.010703235				
## 25	0.005306819	0.007233715				
## 2	0.012705015	0.019029272				
## 11	0.008569324	0.012332924				
## 20	0.008065616	0.011319931				
## 5	0.007008193	0.010043459				
## 14	0.006944677	0.009619840				
## 23	0.004912889	0.006708386				
## 8	0.006383247	0.009314182				
## 17	0.004517136	0.006220609				
## 26	0.004486879	0.006162411				
## 3	0.014277344	0.021274373				
## 12	0.007984490	0.011382984				
## 21	0.006680739	0.009221109				
## 6	0.010053031	0.014611909				
## 15	0.004517278	0.006021629				
## 24	0.004953803	0.006814072				
## 9	0.008019587	0.011619573				

```
## 18 0.005143209 0.007052146
## 27 0.006182338 0.008587141
```

```
gbm_model
```

```
## Stochastic Gradient Boosting
##
## 16242 samples
## 100 predictor
## 4 classes: 'comp.*', 'rec.*', 'sci.*', 'talk.*'
##
## No pre-processing
## Resampling: Cross-Validated (5 fold)
## Summary of sample sizes: 12993, 12994, 12994, 12993, 12994
## Resampling results across tuning parameters:
##
## shrinkage interaction.depth n.trees Accuracy Kappa
## 0.01 1 100 0.5738208 0.3844925
## 0.01 1 150 0.6165496 0.4492386
## 0.01 1 200 0.6587852 0.5132910
## 0.01 2 100 0.6771327 0.5421739
## 0.01 2 150 0.7036076 0.5813294
## 0.01 2 200 0.7266958 0.6153271
## 0.01 3 100 0.7055779 0.5845913
## 0.01 3 150 0.7447971 0.6420190
## 0.01 3 200 0.7568647 0.6593792
## 0.05 1 100 0.7482448 0.6468091
## 0.05 1 150 0.7728727 0.6827977
## 0.05 1 200 0.7872184 0.7039172
## 0.05 2 100 0.7864178 0.7026910
## 0.05 2 150 0.8000244 0.7233939
## 0.05 2 200 0.8059350 0.7323624
## 0.05 3 100 0.7996549 0.7228541
## 0.05 3 150 0.8083978 0.7358106
## 0.05 3 200 0.8107376 0.7394059
## 0.10 1 100 0.7861719 0.7026985
## 0.10 1 150 0.7997165 0.7229461
## 0.10 1 200 0.8045805 0.7304356
## 0.10 2 100 0.8058736 0.7323159
## 0.10 2 150 0.8104296 0.7389196
## 0.10 2 200 0.8113531 0.7403126
## 0.10 3 100 0.8106758 0.7392044
## 0.10 3 150 0.8122766 0.7416213
## 0.10 3 200 0.8132616 0.7430476
##
## Tuning parameter 'n.minobsinnode' was held constant at a value of 15
## Accuracy was used to select the optimal model using the largest value.
## The final values used for the model were n.trees = 200, interaction.depth =
## 3, shrinkage = 0.1 and n.minobsinnode = 15.
```

```
# The final values used for the model were n.trees = 200, interaction.depth = 3, shrinkage = 0.1 and n.minobsinnode = 15.
```

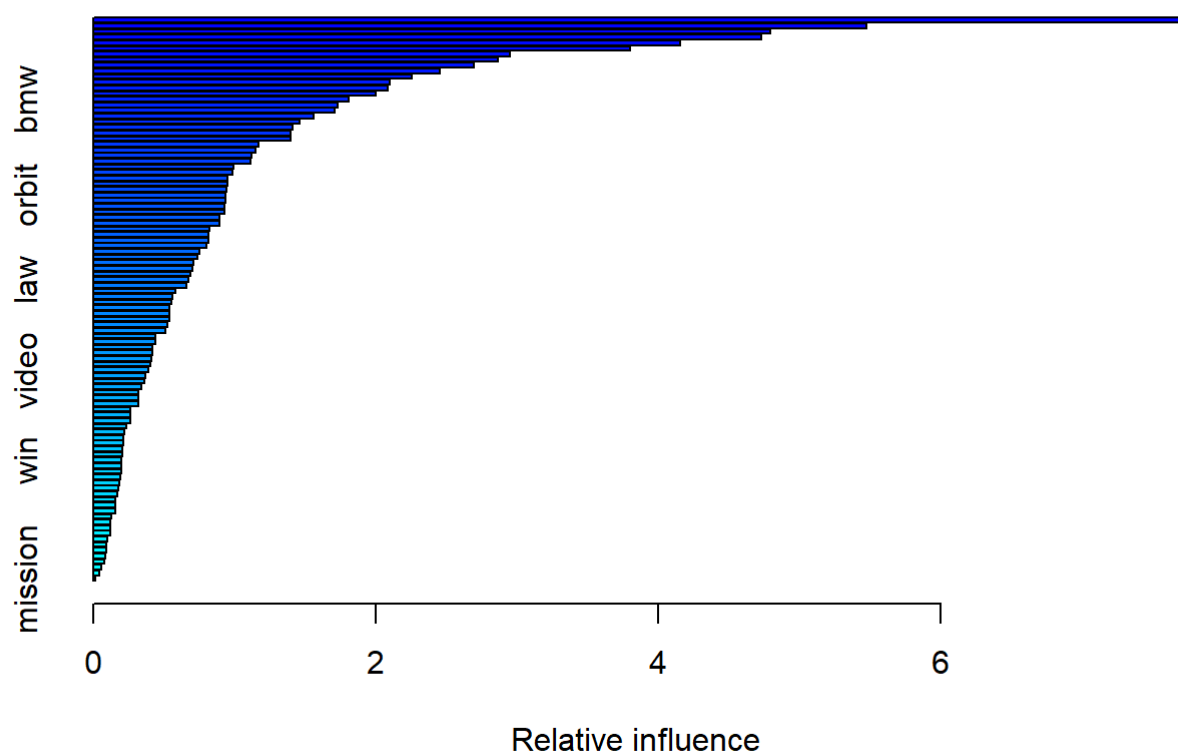
```
library(gbm)
```

```
## Loaded gbm 2.1.8.1
```

```
set.seed(123)  
gbm_model2=gbm(grouptype~., data = df, distribution = "multinomial",n.trees=200, interaction.depth=3, shrinkage = 0.1)
```

```
## Warning: Setting `distribution = "multinomial"` is ill-advised as it is  
## currently broken. It exists only for backwards compatibility. Use at your own  
## risk.
```

```
summary(gbm_model2)
```



```
##          var      rel.inf
## windows      windows 7.76830423
## god           god 5.47813458
## car           car 4.79586424
## christian     christian 4.73438358
## government   government 4.15566518
## team         team 3.80285496
## jews         jews 2.95343128
## graphics     graphics 2.86835188
## space        space 2.69446992
## gun          gun 2.45298056
## baseball     baseball 2.25918380
## religion     religion 2.10315069
## mac          mac 2.08606114
## hockey       hockey 2.00185731
## bmw          bmw 1.81238261
## games        games 1.72910012
## season       season 1.70978899
## card         card 1.56451244
## children     children 1.46171761
## players      players 1.41188087
## israel       israel 1.39942468
## software     software 1.39514316
## engine       engine 1.16907795
## honda        honda 1.15280929
## pc           pc 1.12227389
## bible        bible 1.11413200
## jesus        jesus 0.99583387
## computer     computer 0.98733590
## evidence     evidence 0.95458364
## nasa         nasa 0.95313038
## doctor       doctor 0.94674961
## orbit        orbit 0.94013142
## president    president 0.93464514
## files        files 0.93027890
## medicine     medicine 0.92825594
## shuttle      shuttle 0.89728783
## dos          dos 0.89668126
## email        email 0.82305278
## disease      disease 0.81529309
## scsi         scsi 0.81320844
## war          war 0.80301519
## program      program 0.75604806
## health       health 0.73611568
## rights       rights 0.71038343
## disk         disk 0.70646825
## server       server 0.69038198
## law          law 0.67562059
## moon         moon 0.66066827
## help         help 0.57909248
## nhl          nhl 0.56424510
## memory       memory 0.55252587
## msg          msg 0.53861626
## format       format 0.53753358
## drive        drive 0.53727699
```

```
## fact          fact 0.52555104
## hit           hit 0.51373660
## league        league 0.44025515
## insurance     insurance 0.43769136
## patients      patients 0.42230895
## display       display 0.41981329
## image         image 0.41109948
## version       version 0.40751874
## video         video 0.38880402
## solar         solar 0.37027877
## problem       problem 0.36432840
## launch        launch 0.34028202
## ftp           ftp 0.32090847
## phone         phone 0.32058865
## fans          fans 0.31857985
## water         water 0.26355555
## power         power 0.26271650
## case          case 0.26235176
## system        system 0.23556983
## data          data 0.21973287
## cancer        cancer 0.21571308
## world         world 0.21140762
## research      research 0.20670492
## science       science 0.20561366
## win           win 0.20169982
## human         human 0.19686561
## dealer        dealer 0.19654442
## state         state 0.19317984
## food          food 0.18408411
## course        course 0.17797347
## satellite     satellite 0.17220098
## oil           oil 0.16026728
## won           won 0.15809727
## driver        driver 0.15545104
## puck          puck 0.13054977
## studies       studies 0.12428429
## lunar         lunar 0.12136138
## mars          mars 0.11892162
## question      question 0.09842825
## university    university 0.09184271
## technology     technology 0.09026141
## earth         earth 0.08279134
## aids          aids 0.08051708
## number        number 0.05425566
## vitamin       vitamin 0.04564852
## mission       mission 0.01826669
```

```
# So the ten most important keywords based on variable importance are:
# windows, god, christian, car, government, team, jews, graphics, space, gun (not religion).

predicted_classes=predict(gbm_model2, newdata = df, type = "response")
```

```
## Using 200 trees...
```

```

predicted_classes=colnames(predicted_classes)[apply(predicted_classes, 1, which.max)]

confusion_matrix=table(predicted_classes, df$grouptype)
confusion_matrix

```

```

##
## predicted_classes comp.* rec.* sci.* talk.*
##      comp.*    4142    275    553    231
##      rec.*      62   2739    117    111
##      sci.*     217    160   1622    257
##      talk.*    184    345    365   4862

```

```

# 计算错误率
error_rate=1 - sum(diag(confusion_matrix)) / sum(confusion_matrix)
print(paste("Error rate:", error_rate))

```

```

## [1] "Error rate: 0.177133357960842"

```

```

# predicted_classes comp.* rec.* sci.* talk.*
#      comp.*    4142    275    553    231
#      rec.*      62   2739    117    111
#      sci.*     217    160   1622    257
#      talk.*    184    345    365   4862
#
#      Error rate: 0.177133357960842

#3
# Time : the gbm(Error rate: 0.177) is much slower and a bit more accurate than random forest
(Error rate: 0.1851375).
# Variable importance: the first 9 keywords are the same.

#4
library(MASS)

ctrl=trainControl(method = "cv", number = 5, verboseIter = FALSE)
lda_model=train(grouptype ~ ., data = df, method = "lda", trControl = ctrl)
lda_model

```

```
## Linear Discriminant Analysis
##
## 16242 samples
## 100 predictor
## 4 classes: 'comp.*', 'rec.*', 'sci.*', 'talk.*'
##
## No pre-processing
## Resampling: Cross-Validated (5 fold)
## Summary of sample sizes: 12992, 12995, 12994, 12993, 12994
## Resampling results:
##
## Accuracy Kappa
## 0.7976864 0.7213268
```

```
lda_model$results
```

```
## parameter Accuracy Kappa AccuracySD KappaSD
## 1 none 0.7976864 0.7213268 0.008227586 0.01140499
```



```

# Accuracy: 0.7974388
# Misclassification Error = 1 - 0.7974388 = 0.2025612

#5
# I must reduce the dimensionality first otherwise qda will report an error: Error in qda.default(x, grouping, ...) : rank deficiency in group comp.*

df1=as.data.frame(tent)
df1=df1[, -1]
sorted_colsl=mixedsort(colnames(df1))
df1=df1[, sorted_colsl]
colnames(df1)=wordlist$V1

# df1=as.data.frame(lapply(df1, as.factor))

df1$groupype=newsgroups$V1
df1$groupype=as.factor(df1$groupype)

# 进行主成分分析 (PCA)
pca_result=prcomp(df1[, -which(names(df1) == "groupype")], scale. = TRUE) # 选择去除响应变量
后的预测变量列

# 选择保留的主成分数量或方差百分比
# 这里以保留方差百分比为例，比如保留累积方差达到90%的主成分
variance_threshold=0.9
cumulative_variance=cumsum(pca_result$sdev^2) / sum(pca_result$sdev^2)
num_components=which(cumulative_variance >= variance_threshold)[1]

# 使用选定的主成分数量进行降维
reduced_data=as.data.frame(predict(pca_result, newdata = df1)[, 1:num_components])

# 将响应变量添加回降维后的数据框
reduced_data$groupype=df$groupype

# 训练 QDA 模型
qda_model=train(groupype ~ ., data = reduced_data, method = "qda", trControl = ctrl)
qda_model

```

```

## Quadratic Discriminant Analysis
##
## 16242 samples
## 84 predictor
## 4 classes: 'comp.*', 'rec.*', 'sci.*', 'talk.*'
##
## No pre-processing
## Resampling: Cross-Validated (5 fold)
## Summary of sample sizes: 12994, 12993, 12992, 12994, 12995
## Resampling results:
##
## Accuracy Kappa
## 0.7712093 0.6839627

```

```
qda_model$results
```

```
##   parameter Accuracy      Kappa AccuracySD      KappaSD
## 1      none 0.7712093 0.6839627 0.008590708 0.01184045
```

```
# Accuracy: 0.7710264
# Misclassification Error = 1 - 0.7710264 = 0.2289736
```

```
#6
library(e1071)
```

```
##
## 载入程辑包: 'e1071'
```

```
## The following object is masked from 'package:gtools':
##
##      permutations
```

```
tune_ctrl=tune.control(sampling = "cross", cross = 5)

set.seed(1)
tune.out=tune(svm, grouptype~.,data=df, kernel="linear", scale=TRUE, ranges=list(cost=c(1, 5, 10, 15, 20, 25, 30)), tunecontrol=tune_ctrl)
tune.out$performances
```

```
##   cost      error dispersion
## 1    1 0.1918484 0.008922389
## 2    5 0.1912325 0.009696162
## 3   10 0.1911094 0.009961910
## 4   15 0.1919099 0.010134537
## 5   20 0.1916636 0.009597767
## 6   25 0.1920330 0.009913662
## 7   30 0.1913557 0.009783619
```

```
tune.out$best.performance
```

```
## [1] 0.1911094
```

```
tune.out$best.model
```

```
##
## Call:
## best.tune(method = svm, train.x = grouptype ~ ., data = df, ranges = list(cost = c(1,
##      5, 10, 15, 20, 25, 30)), tunecontrol = tune_ctrl, kernel = "linear",
##      scale = TRUE)
##
##
## Parameters:
##      SVM-Type:  C-classification
##      SVM-Kernel:  linear
##      cost:  10
##
## Number of Support Vectors:  5712
```

```
# Accuracy: 0.8088906
# Misclassification Error = 0.1911094

# set.seed(1)
# tune.out=tune(svm, grouptype~.,data=df, kernel="radial",ranges=list(cost=c(0.1,1,10,100),gamma=c(0.5,1,2,3,4)),tunecontrol=tune_ctrl)
# summary(tune.out)

#7
#
#      MODEL      Accuracy  Time cost to train models
#
# Random Forest  0.8148625    Middle
#      GBM      0.8228666    Large
#      LDA      0.7974388    Small
#      QDA      0.7710264    Small
#      SVM      0.8088906    Large

# The GBM has the best accuracy, however it needs the most time to train the model. Random Forest is better.
# The SVM also takes lots of time while its performance is not so good as Random Forest.

write.csv(df, file = "C:\\Users\\张铭韬\\Desktop\\学业\\港科大\\MSDM5054机器学习\\作业\\Final project\\group_data.csv", row.names = FALSE)
```

### 3.

```

import numpy as np
import pandas as pd
import random
import matplotlib.pyplot as plt
import math

#(3)
#1
def getEuclidean(point1, point2):
    dimension = len(point1)
    dist = 0.0
    for i in range(dimension):
        dist += (point1[i] - point2[i]) ** 2
    return math.sqrt(dist)

def k_means(df, k, iteration):
    #初始化簇心向量
    index = random.sample(list(range(len(df))), k)
    vectors = []
    for i in index:
        vectors.append(list(df.loc[i,].values))

    #初始化类别
    labels = []
    for i in range(len(df)):
        labels.append(-1)

    while(iteration > 0):
        #初始化簇
        C = []
        for i in range(k):
            C.append([])
        for labelIndex, item in enumerate(df.to_numpy()):
            classIndex = -1
            minDist = 1e6
            for i, point in enumerate(vectors):
                dist = getEuclidean(item, point)
                if(dist < minDist):
                    classIndex = i
                    minDist = dist
            C[classIndex].append(item)
            labels[labelIndex] = classIndex

        for i, cluster in enumerate(C):
            clusterHeart = []
            dimension = df.shape[1]
            for j in range(dimension):
                clusterHeart.append(0)
            for item in cluster:
                for j, coordinate in enumerate(item):
                    clusterHeart[j] += coordinate / len(cluster)
            vectors[i] = clusterHeart

        iteration -= 1
    return C, labels

```

```

#2
from sklearn.decomposition import PCA
from sklearn.preprocessing import StandardScaler
from sklearn.metrics import confusion_matrix
import time

df0 = pd.read_csv("C:\\Users\\张铭韬\\Desktop\\学业\\港科大\\MSDM5054机器学习\\作业\\Final project\\group_data.csv")
df = df0.iloc[:,0:100]

scaler = StandardScaler()

# 对数据进行标准化
scaled_df = pd.DataFrame(scaler.fit_transform(df), columns=df.columns)

start_time = time.time()

# 创建 PCA 模型并进行主成分分析
pca = PCA(n_components=4)
principal_components = pca.fit_transform(scaled_df)

# 将主成分数据转换为数据框
pc_df = pd.DataFrame(data=principal_components, columns=['PC1', 'PC2', 'PC3', 'PC4'])

random.seed(123)
C, labels = k_means(pc_df, 4, 20)

pc_df.loc[:, 'grouptype'] = labels

df0['grouptype'] = df0['grouptype'].replace('comp.*', 2)
df0['grouptype'] = df0['grouptype'].replace('talk.*', 3)
df0['grouptype'] = df0['grouptype'].replace('sci.*', 0)
df0['grouptype'] = df0['grouptype'].replace('rec.*', 1)

# 计算混淆矩阵
cm = confusion_matrix(df0.loc[:, 'grouptype'], labels)
print(cm)

# 计算误判率

```

```

## [[ 343 1882  153  279]
## [   0 3450   34   35]
## [  10 2469 2121    5]
## [  11 3661   14 1775]]

```

```

misclassification_rate = (np.sum(cm) - np.trace(cm)) / np.sum(cm)

print("misclassification_rate: " + str(misclassification_rate))

```

```

## misclassification_rate: 0.5265977096416697

```

```
end_time = time.time()
execution_time = end_time - start_time
print(f"Total cost time: {execution_time:.4f} seconds")
```

#3

```
## Total cost time: 3.4608 seconds
```

```
df0 = pd.read_csv("C:\\Users\\张铭韬\\Desktop\\学业\\港科大\\MSDM5054机器学习\\作业\\Final project\\group_data.csv")
df = df0.iloc[:,0:100]

scaler = StandardScaler()

# 对数据进行标准化
scaled_df = pd.DataFrame(scaler.fit_transform(df), columns=df.columns)

start_time = time.time()

# 创建 PCA 模型并进行主成分分析
pca = PCA(n_components=5)
principal_components = pca.fit_transform(scaled_df)

# 将主成分数据转换为数据框
pc_df = pd.DataFrame(data=principal_components, columns=['PC1', 'PC2', 'PC3', 'PC4', 'PC5'])

random.seed(123)
C, labels = k_means(pc_df, 4, 20)

pc_df.loc[:, 'grouptype'] = labels

df0['grouptype'] = df0['grouptype'].replace('comp.*', 2)
df0['grouptype'] = df0['grouptype'].replace('talk.*', 3)
df0['grouptype'] = df0['grouptype'].replace('sci.*', 0)
df0['grouptype'] = df0['grouptype'].replace('rec.*', 1)

# 计算混淆矩阵
cm = confusion_matrix(df0.loc[:, 'grouptype'], labels)
print(cm)

# 计算误判率
```

```
## [[ 343 2024  144  146]
##   [   0 3459   25   35]
##   [  10 2506 2084    5]
##   [  11 3391   14 2045]]
```

```
misclassification_rate = (np.sum(cm) - np.trace(cm)) / np.sum(cm)

print("misclassification_rate: " + str(misclassification_rate))
```

```
## misclassification_rate: 0.5116980667405492
```

```
end_time = time.time()
execution_time = end_time - start_time
print(f"Total cost time: {execution_time:.4f} seconds")
```

```
#4
#      MODEL      Accuracy  Time cost to train models
#
# Random Forest  0.8148625    Middle
#      GBM       0.8228666    Large
#      LDA       0.7974388    Small
#      QDA       0.7710264    Small
#      SVM       0.8088906    Large
#      K-means   0.4881788    Small
```

```
#5
# 使用 PCA 将数据投影到前三个主成分上
```

```
## Total cost time: 3.4262 seconds
```

```
pca = PCA(n_components=3)
df_pca = pca.fit_transform(scaled_df)

# 绘制投影图
fig = plt.figure(figsize=(8, 6))
ax = fig.add_subplot(111, projection='3d')

# 绘制散点图
ax.scatter(df_pca[:, 0], df_pca[:, 1], df_pca[:, 2], marker='o')

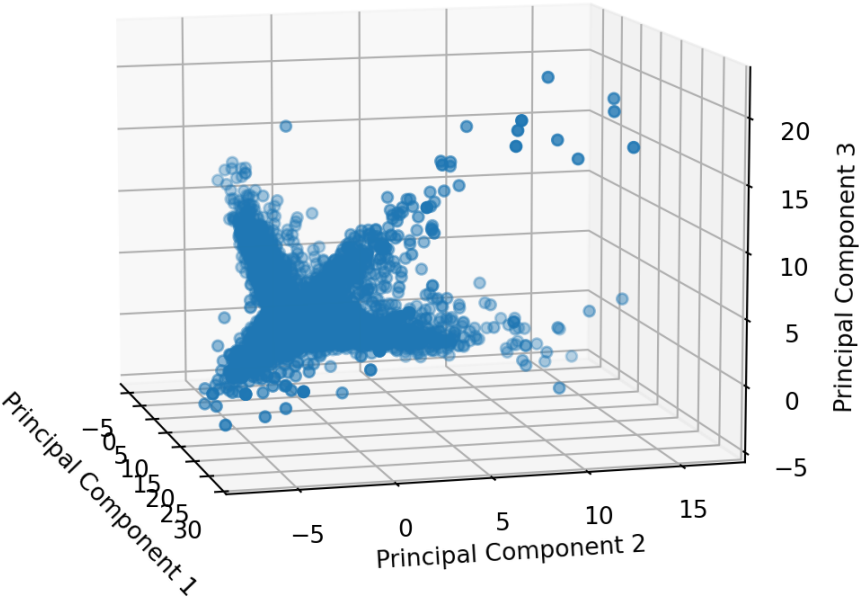
ax.set_xlabel('Principal Component 1')
ax.set_ylabel('Principal Component 2')
ax.set_zlabel('Principal Component 3')
ax.set_title('Projection of Data onto First Three Principal Components')

ax.view_init(elev=10, azim=-15)

plt.show()

# We can see the 4 clusters' structure.
```

Projection of Data onto First Three Principal Components





```
#(4)
#1
test=read.csv("C:\\Users\\张铭韬\\Desktop\\学业\\港科大\\MSDM5054机器学习\\作业\\Final project
\\MNIST\\test_resized.csv")
train=read.csv("C:\\Users\\张铭韬\\Desktop\\学业\\港科大\\MSDM5054机器学习\\作业\\Final project
\\MNIST\\train_resized.csv")

# train[, 2:ncol(train)][train[, 2:ncol(train)] != 0]= 1
# test[, 2:ncol(test)][test[, 2:ncol(test)] != 0]= 1

train_36=train[train$label==3 | train$label==6,]
test_36=test[test$label==3 | test$label==6,]

train_36$label=as.factor(train_36$label)
test_36$label=as.factor(test_36$label)

library(e1071)
library(caret)

start_time=Sys.time() # start time

tune_ctrl=tune.control(sampling = "cross", cross = 5)

set.seed(123)
tune.out=tune(svm, label~.,data=train_36,kernel="linear",scale=TRUE,ranges=list(cost=c(0.01,0.0
2,0.05,0.1,0.5,1,3,10)),tunecontrol=tune_ctrl)

end_time=Sys.time() # end time
execution_time = end_time-start_time
execution_time
```

```
## Time difference of 34.0482 secs
```

```
tune.out$performances
```

```
##      cost      error dispersion
## 1  0.01 0.005974003 0.001595727
## 2  0.02 0.005475802 0.002161809
## 3  0.05 0.006139978 0.002163735
## 4  0.10 0.006471790 0.002226099
## 5  0.50 0.008795167 0.003645619
## 6  1.00 0.009459067 0.003451907
## 7  3.00 0.009625042 0.003246368
## 8 10.00 0.009625042 0.003246368
```

```
tune.out$best.performance
```

```
## [1] 0.005475802
```

```
tune.out$best.model
```

```
##
## Call:
## best.tune(method = svm, train.x = label ~ ., data = train_36, ranges = list(cost = c(0.01,
##      0.02, 0.05, 0.1, 0.5, 1, 3, 10)), tunecontrol = tune_ctrl, kernel = "linear",
##      scale = TRUE)
##
##
## Parameters:
##      SVM-Type:  C-classification
##      SVM-Kernel:  linear
##      cost:  0.02
##
## Number of Support Vectors:  182
```

```
# choose cost = 0.02

svmfit1=svm(label~., data=train_36, kernel="linear", cost=0.02 , scale=TRUE)
### prediction
ypred1=predict(svmfit1,test_36)
table(predict=ypred1, truth=test_36$label) # confusion matrix
```

```
##      truth
## predict   3    6
##      3 1252    5
##      6   10 1195
```

```
sum(ypred1==test_36$label)/nrow(test_36) # accuracy
```

```
## [1] 0.9939074
```

```
1-sum(ypred1==test_36$label)/nrow(test_36) # the mis-classification error
```

```
## [1] 0.006092608
```

```
#2
start_time=Sys.time() # start time

tune_ctrl=tune.control(sampling = "cross", cross = 5)

set.seed(123)
tune.out=tune(svm, label~.,data=train_36,kernel="radial",scale=TRUE,ranges=list(cost=c(0.5,1,4,
9),gamma=c(0.001,0.01,0.1,0.5)),tunecontrol=tune_ctrl)

end_time=Sys.time() # end time
execution_time = end_time-start_time
execution_time
```

```
## Time difference of 21.48257 mins
```

```
tune.out$performances
```

```
##      cost gamma      error  dispersion
## 1    0.5 0.001 0.006471515 0.0032867076
## 2    1.0 0.001 0.005475802 0.0025960540
## 3    4.0 0.001 0.004646340 0.0009447263
## 4    9.0 0.001 0.004480502 0.0019102488
## 5    0.5 0.010 0.012944407 0.0027918781
## 6    1.0 0.010 0.009459342 0.0027283811
## 7    4.0 0.010 0.008795442 0.0023917095
## 8    9.0 0.010 0.008795580 0.0024630158
## 9    0.5 0.100 0.185862940 0.0083632723
## 10   1.0 0.100 0.132760953 0.0107151313
## 11   4.0 0.100 0.122970349 0.0117187260
## 12   9.0 0.100 0.122970349 0.0117187260
## 13   0.5 0.500 0.486393207 0.0107640049
## 14   1.0 0.500 0.419518039 0.0154608300
## 15   4.0 0.500 0.394625765 0.0140754032
## 16   9.0 0.500 0.394625765 0.0140754032
```

```
tune.out$best.performance
```

```
## [1] 0.004480502
```

```
tune.out$best.model
```

```
##
## Call:
## best.tune(method = svm, train.x = label ~ ., data = train_36, ranges = list(cost = c(0.5,
##      1, 4, 9), gamma = c(0.001, 0.01, 0.1, 0.5)), tunecontrol = tune_ctrl,
##      kernel = "radial", scale = TRUE)
##
##
## Parameters:
##   SVM-Type:  C-classification
##   SVM-Kernel: radial
##           cost: 9
##
## Number of Support Vectors: 235
```

```
# choose cost = 4, gamma = 0.001

svmfit2=svm(label~., data=train_36, kernel="radial", gamma=0.001, cost = 4, scale=TRUE)
### prediction
ypred2=predict(svmfit2, test_36)
table(predict=ypred2, truth=test_36$label) # confusion matrix
```

```
##          truth
## predict    3    6
##          3 1255    5
##          6    7 1195
```

```
sum(ypred2==test_36$label)/nrow(test_36)  # accuracy
```

```
## [1] 0.9951259
```

```
1-sum(ypred2==test_36$label)/nrow(test_36)  # the mis-classification error
```

```
## [1] 0.004874086
```

```
#3
# The method of radial kernel with the best parameters is a bit preciser than linear kernel. (9
9.51% > 99.39%)
# While the training time is much much longer than that of linear kernel.
```

```
#4
```

```
train_1258=train[train$label==1 | train$label==2 | train$label==5 | train$label==8,]
test_1258=test[test$label==1 | test$label==2 | test$label==5 | test$label==8,]
```

```
train_1258$label=as.factor(train_1258$label)
test_1258$label=as.factor(test_1258$label)
```

```
start_time=Sys.time()  # start time
```

```
tune_ctrl=tune.control(sampling = "cross", cross = 5)
```

```
set.seed(123)
tune.out=tune(svm, label~., data=train_1258, kernel="linear", scale=TRUE, ranges=list(cost=c(0.02,
0.05, 0.1, 0.5, 1, 3, 8)), tunecontrol=tune_ctrl)
```

```
end_time=Sys.time()    # end time
execution_time = end_time-start_time
execution_time
```

```
## Time difference of 5.8817 mins
```

```
tune.out$performances
```


```
##      cost      error  dispersion
## 1 0.02 0.03962056 0.002309402
## 2 0.05 0.03936870 0.002417061
## 3 0.10 0.04071158 0.003065830
## 4 0.50 0.04499254 0.002703312
## 5 1.00 0.04541239 0.002321090
## 6 3.00 0.04809839 0.003994784
## 7 8.00 0.05112029 0.004430556
```

```
tune.out$best.performance
```

```
## [1] 0.0393687
```

```
tune.out$best.model
```

```
##
## Call:
## best.tune(method = svm, train.x = label ~ ., data = train_1258, ranges = list(cost = c(0.02,
##      0.05, 0.1, 0.5, 1, 3, 8)), tunecontrol = tune_ctrl, kernel = "linear",
##      scale = TRUE)
##
##
## Parameters:
##   SVM-Type:  C-classification
##   SVM-Kernel: linear
##      cost:  0.05
##
## Number of Support Vectors:  1451
```



```
# choose cost = 0.05
```

```
svmfit3=svm(label~., data=train_1258, kernel="linear", cost=0.05 , scale=TRUE)
### prediction
ypred3=predict(svmfit3, test_1258)
table(predict=ypred3, truth=test_1258$label) # confusion matrix
```

```
##      truth
## predict  1    2    5    8
##      1 1343    5   10   17
##      2   12 1141   19   25
##      5    2   18 1063   45
##      8    6   21   34 1045
```

```
sum(ypred3==test_1258$label)/nrow(test_1258) # accuracy
```

```
## [1] 0.9554723
```

```
1-sum(ypred3==test_1258$label)/nrow(test_1258) # the mis-classification error
```

```
## [1] 0.04452767
```

```
#5

train$label=as.factor(train$label)
test$label=as.factor(test$label)

start_time=Sys.time() # start time

tune_ctrl=tune.control(sampling = "cross", cross = 5)

set.seed(123)
tune.out=tune(svm, label~.,data=train,kernel="linear",scale=TRUE,ranges=list(cost=c(0.02,0.05,
0.1,0.5,2,8)),tunecontrol=tune_ctrl)

end_time=Sys.time() # end time
execution_time = end_time-start_time
execution_time
```

```
## Time difference of 44.05747 mins
```

```
tune.out$performances
```

```
## cost error dispersion
## 1 0.02 0.06250000 0.003268112
## 2 0.05 0.06223333 0.004884215
## 3 0.10 0.06250000 0.004785685
## 4 0.50 0.06576667 0.003656425
## 5 2.00 0.06926667 0.002950047
## 6 8.00 0.07200000 0.002801289
```

```
tune.out$best.performance
```

```
## [1] 0.06223333
```

```
tune.out$best.model
```

```
##
## Call:
## best.tune(method = svm, train.x = label ~ ., data = train, ranges = list(cost = c(0.02,
##      0.05, 0.1, 0.5, 2, 8)), tunecontrol = tune_ctrl, kernel = "linear",
##      scale = TRUE)
##
##
## Parameters:
##   SVM-Type:  C-classification
##   SVM-Kernel: linear
##      cost:  0.05
##
## Number of Support Vectors:  5923
```

```
# choose cost = 0.05
```

```
svmfit4=svm(label~., data=train, kernel="linear", cost=0.05 , scale=TRUE)
### prediction
ypred4=predict(svmfit4,test)
table(predict=ypred4, truth=test$label) # confusion matrix
```

```
##      truth
## predict  0   1   2   3   4   5   6   7   8   9
##      0 1111   0   6   2   2   8   9   0   4   3
##      1   0 1340   2   6   3  12   2   3  14   5
##      2   1  10 1116  36  10   6  11  16  21   7
##      3   1   2   6 1137   1  38   0   3  22   8
##      4   1   0  21   0 1113   3   8   7   4  24
##      5   7   2   4  42   4 1015  14   5  34   6
##      6  10   1   6   4   7  18 1148   0   3   0
##      7   0   2   7   9   5   1   3 1184   3  31
##      8   7   5  14  17   1  19   4   1 1013   9
##      9   2   1   3   9  29   6   1  45  14 1060
```

```
sum(ypred4==test$label)/nrow(test) # accuracy
```

```
## [1] 0.9364167
```

```
1-sum(ypred4==test$label)/nrow(test) # the mis-classification error
```

```
## [1] 0.06358333
```

5.

```

#(5)
#1
import numpy as np
import pandas as pd
import random
import time

import torch
import torch.nn as nn
import torch.nn.functional as F
from torch.autograd import Variable
from sklearn.model_selection import train_test_split
import matplotlib.pyplot as plt

test=pd.read_csv("C:\\Users\\张铭韬\\Desktop\\学业\\港科大\\MSDM5054机器学习\\作业\\Final project\\MNIST\\test_resized.csv")
train=pd.read_csv("C:\\Users\\张铭韬\\Desktop\\学业\\港科大\\MSDM5054机器学习\\作业\\Final project\\MNIST\\train_resized.csv")

trainy=train.loc[:, "label"].values
testy=test.loc[:, "label"].values

tent1 = train.iloc[:, 1:].values
tent1 = (tent1 - np.mean(tent1, axis=1)[:, np.newaxis]) / np.std(tent1, axis=1)[:, np.newaxis]
tent2 = test.iloc[:, 1:].values
tent2 = (tent2 - np.mean(tent2, axis=1)[:, np.newaxis]) / np.std(tent2, axis=1)[:, np.newaxis]

trainx=np.array(tent1.reshape(30000, 12, 12))
testx=np.array(tent2.reshape(12000, 12, 12))

featuresTrain = torch.from_numpy(trainx)
targetsTrain = torch.from_numpy(trainy).type(torch.LongTensor) # data type is long

featuresTest = torch.from_numpy(testx)
targetsTest = torch.from_numpy(testy).type(torch.LongTensor) # data type is long

# Pytorch train and test TensorDataset
train = torch.utils.data.TensorDataset(featuresTrain, targetsTrain)
test = torch.utils.data.TensorDataset(featuresTest, targetsTest)

#####

# Hyper Parameters
# batch_size, epoch and iteration
LR = 0.01
batch_size = 100
n_iters = 20000
num_epochs = n_iters / (len(featuresTrain) / batch_size)
num_epochs = int(num_epochs)

# Pytorch DataLoader
train_loader = torch.utils.data.DataLoader(train, batch_size = batch_size, shuffle = True)
test_loader = torch.utils.data.DataLoader(test, batch_size = batch_size, shuffle = True)

```



```
#####

# Create CNN Model
class CNN_Model(nn.Module):
    def __init__(self):
        super(CNN_Model, self).__init__()
        # Convolution 1 , input_shape=(1,12,12)
        self.cnn1 = nn.Conv2d(in_channels=1, out_channels=32, kernel_size=5, stride=1, padding=
0) #output_shape=(32,8,8)
        self.relu1 = nn.ReLU() # activation
        # Max pool 1
        self.maxpool1 = nn.MaxPool2d(kernel_size=2) #output_shape=(32,4,4)
        # Convolution 2
        self.cnn2 = nn.Conv2d(in_channels=32, out_channels=64, kernel_size=3, stride=1, padding
=0) #output_shape=(64,2,2)
        self.relu2 = nn.ReLU() # activation
        # Max pool 2
        self.maxpool2 = nn.MaxPool2d(kernel_size=2) #output_shape=(64,1,1)
        # Fully connected 1 ,#input_shape=(64*1*1)
        self.fcl = nn.Linear(64 * 1 * 1, 10) #output 0-9

    def forward(self, x):
        # Convolution 1
        out = self.cnn1(x)
        out = self.relu1(out)
        # Max pool 1
        out = self.maxpool1(out)
        # Convolution 2
        out = self.cnn2(out)
        out = self.relu2(out)
        # Max pool 2
        out = self.maxpool2(out)
        out = out.view(out.size(0), -1)
        # Linear function (readout)
        out = self.fcl(out)
        return out

#####

model = CNN_Model()
print(model)
```

```
## CNN_Model(
##   (cnn1): Conv2d(1, 32, kernel_size=(5, 5), stride=(1, 1))
##   (relu1): ReLU()
##   (maxpool1): MaxPool2d(kernel_size=2, stride=2, padding=0, dilation=1, ceil_mode=False)
##   (cnn2): Conv2d(32, 64, kernel_size=(3, 3), stride=(1, 1))
##   (relu2): ReLU()
##   (maxpool2): MaxPool2d(kernel_size=2, stride=2, padding=0, dilation=1, ceil_mode=False)
##   (fcl): Linear(in_features=64, out_features=10, bias=True)
## )
```

```

optimizer = torch.optim.Adam(model.parameters(), lr=LR)    # optimize all cnn parameters
loss_func = nn.CrossEntropyLoss()    # the target label is not one-hot
input_shape = (-1, 1, 12, 12)

#####

def fit_model(model, loss_func, optimizer, input_shape, num_epochs, train_loader, test_loader):
    # Training the Model
    # history-like list for store loss & acc value
    training_loss = []
    training_accuracy = []
    validation_loss = []
    validation_accuracy = []
    for epoch in range(num_epochs):
        # training model & store loss & acc / epoch
        correct_train = 0
        total_train = 0
        for i, (images, labels) in enumerate(train_loader):
            # 1. Define variables
            train = Variable(images.view(input_shape)).float()
            labels = Variable(labels)
            # 2. Clear gradients
            optimizer.zero_grad()
            # 3. Forward propagation
            outputs = model(train)
            # 4. Calculate softmax and cross entropy loss
            train_loss = loss_func(outputs, labels)
            # 5. Calculate gradients
            train_loss.backward()
            # 6. Update parameters
            optimizer.step()
            # 7. Get predictions from the maximum value
            predicted = torch.max(outputs.data, 1)[1]
            # 8. Total number of labels
            total_train += len(labels)
            # 9. Total correct predictions
            correct_train += (predicted == labels).float().sum()
        # 10. store val_acc / epoch
        train_accuracy = 100 * correct_train / float(total_train)
        training_loss.append(train_loss.data)

        # evaluate model & store loss & acc / epoch
        correct_test = 0
        total_test = 0
        for images, labels in test_loader:
            # 1. Define variables
            test = Variable(images.view(input_shape)).float()
            # 2. Forward propagation
            outputs = model(test)
            # 3. Calculate softmax and cross entropy loss
            val_loss = loss_func(outputs, labels)
            # 4. Get predictions from the maximum value
            predicted = torch.max(outputs.data, 1)[1]

```

```

        # 5.Total number of labels
        total_test += len(labels)
        # 6.Total correct predictions
        correct_test += (predicted == labels).float().sum()
    #6.store val_acc / epoch
    val_accuracy = 100 * correct_test / float(total_test)
    validation_accuracy.append(val_accuracy)
    # 11.store val_loss / epoch
    validation_loss.append(val_loss.data)
    print('Train Epoch: {}/{} Traing_Loss: {} Traing_acc: {:.6f}% Val_Loss: {} Val_accu
racy: {:.6f}%'.format(epoch+1, num_epochs, train_loss.data, train_accuracy, val_loss.data, val_acc
uracy))
    return training_loss, training_accuracy, validation_loss, validation_accuracy

#####

start_time = time.time()

training_loss, training_accuracy, validation_loss, validation_accuracy = fit_model(model, loss_
func, optimizer, input_shape, num_epochs, train_loader, test_loader)

```

## Train Epoch: 1/66 Traing\_Loss: 0.26835739612579346 Traing\_acc: 91.519997% Val\_Loss: 0.188462  
12327480316 Val\_accuracy: 94.941666%

## Train Epoch: 2/66 Traing\_Loss: 0.03799760341644287 Traing\_acc: 96.946663% Val\_Loss: 0.080664  
5080447197 Val\_accuracy: 96.983330%

## Train Epoch: 3/66 Traing\_Loss: 0.012601058930158615 Traing\_acc: 97.653336% Val\_Loss: 0.19024  
935364723206 Val\_accuracy: 97.083336%

## Train Epoch: 4/66 Traing\_Loss: 0.025363482534885406 Traing\_acc: 97.860001% Val\_Loss: 0.37105  
029821395874 Val\_accuracy: 97.574997%

## Train Epoch: 5/66 Traing\_Loss: 0.1273987889289856 Traing\_acc: 97.836670% Val\_Loss: 0.2299192  
100763321 Val\_accuracy: 96.699997%

## Train Epoch: 6/66 Traing\_Loss: 0.02357202209532261 Traing\_acc: 98.173332% Val\_Loss: 0.006181  
970704346895 Val\_accuracy: 97.708336%

## Train Epoch: 7/66 Traing\_Loss: 0.08227313309907913 Traing\_acc: 98.283333% Val\_Loss: 0.067126  
51252746582 Val\_accuracy: 97.291664%

## Train Epoch: 8/66 Traing\_Loss: 0.05351273715496063 Traing\_acc: 98.400002% Val\_Loss: 0.061115  
69330096245 Val\_accuracy: 97.033333%

## Train Epoch: 9/66 Traing\_Loss: 0.03841734305024147 Traing\_acc: 98.550003% Val\_Loss: 0.081580  
77299594879 Val\_accuracy: 97.541664%

## Train Epoch: 10/66 Traing\_Loss: 0.025341562926769257 Traing\_acc: 98.396667% Val\_Loss: 0.1952  
4423778057098 Val\_accuracy: 97.741669%

## Train Epoch: 11/66 Traing\_Loss: 0.008716057986021042 Traing\_acc: 98.650002% Val\_Loss: 0.1380  
0537586212158 Val\_accuracy: 97.375000%

## Train Epoch: 12/66 Traing\_Loss: 0.0657801404595375 Traing\_acc: 98.516670% Val\_Loss: 0.226968  
57154369354 Val\_accuracy: 97.183334%

## Train Epoch: 13/66 Traing\_Loss: 0.007539425510913134 Traing\_acc: 98.620003% Val\_Loss: 0.0306  
46901577711105 Val\_accuracy: 97.183334%

## Train Epoch: 14/66 Traing\_Loss: 0.027419723570346832 Traing\_acc: 98.373337% Val\_Loss: 0.0251  
35379284620285 Val\_accuracy: 97.574997%

## Train Epoch: 15/66 Traing\_Loss: 0.022285137325525284 Traing\_acc: 98.766670% Val\_Loss: 0.1899  
373084306717 Val\_accuracy: 97.658333%

## Train Epoch: 16/66 Traing\_Loss: 0.032083846628665924 Traing\_acc: 98.706665% Val\_Loss: 0.1593  
4127569198608 Val\_accuracy: 97.541664%

## Train Epoch: 17/66 Traing\_Loss: 0.01242726482450962 Traing\_acc: 98.669998% Val\_Loss: 0.25502  
52676010132 Val\_accuracy: 97.599998%

## Train Epoch: 18/66 Traing\_Loss: 0.2558763027191162 Traing\_acc: 98.726669% Val\_Loss: 0.013362  
575322389603 Val\_accuracy: 97.508331%

## Train Epoch: 19/66 Traing\_Loss: 0.023177793249487877 Traing\_acc: 98.766670% Val\_Loss: 0.0118  
3711364865303 Val\_accuracy: 97.599998%

## Train Epoch: 20/66 Traing\_Loss: 0.010979310609400272 Traing\_acc: 98.983330% Val\_Loss: 0.1983  
9254021644592 Val\_accuracy: 97.533333%

## Train Epoch: 21/66 Traing\_Loss: 0.26897984743118286 Traing\_acc: 98.783333% Val\_Loss: 0.17049  
957811832428 Val\_accuracy: 97.358330%

## Train Epoch: 22/66 Traing\_Loss: 0.09984376281499863 Traing\_acc: 98.769997% Val\_Loss: 0.06100  
162863731384 Val\_accuracy: 97.775002%

## Train Epoch: 23/66 Traing\_Loss: 0.012712283991277218 Traing\_acc: 98.916664% Val\_Loss: 0.0153  
8266334682703 Val\_accuracy: 97.316666%

## Train Epoch: 24/66 Traing\_Loss: 0.057563796639442444 Traing\_acc: 98.796669% Val\_Loss: 0.1353  
0300557613373 Val\_accuracy: 97.741669%

## Train Epoch: 25/66 Traing\_Loss: 0.026566771790385246 Traing\_acc: 99.110001% Val\_Loss: 0.0072  
38347083330154 Val\_accuracy: 97.599998%

## Train Epoch: 26/66 Traing\_Loss: 0.000487062701722607 Traing\_acc: 99.036667% Val\_Loss: 0.0475  
4852131009102 Val\_accuracy: 97.633331%

## Train Epoch: 27/66 Traing\_Loss: 0.17444534599781036 Traing\_acc: 98.636665% Val\_Loss: 0.26782  
917976379395 Val\_accuracy: 97.449997%

## Train Epoch: 28/66 Traing\_Loss: 0.008254734799265862 Traing\_acc: 98.846664% Val\_Loss: 0.1245

3123182058334 Val\_accuracy: 97.474998%  
## Train Epoch: 29/66 Traing\_Loss: 0.028483949601650238 Traing\_acc: 99.293335% Val\_Loss: 0.0017275752034038305 Val\_accuracy: 97.841667%  
## Train Epoch: 30/66 Traing\_Loss: 0.011739697307348251 Traing\_acc: 99.156670% Val\_Loss: 0.014179255813360214 Val\_accuracy: 97.408333%  
## Train Epoch: 31/66 Traing\_Loss: 0.0024520272854715586 Traing\_acc: 99.106667% Val\_Loss: 0.006436588242650032 Val\_accuracy: 97.783333%  
## Train Epoch: 32/66 Traing\_Loss: 8.50549986353144e-06 Traing\_acc: 99.063332% Val\_Loss: 0.13895674049854279 Val\_accuracy: 97.550003%  
## Train Epoch: 33/66 Traing\_Loss: 0.006649973802268505 Traing\_acc: 99.080002% Val\_Loss: 0.09432844817638397 Val\_accuracy: 97.241669%  
## Train Epoch: 34/66 Traing\_Loss: 0.047929905354976654 Traing\_acc: 98.976669% Val\_Loss: 0.2778361439704895 Val\_accuracy: 97.800003%  
## Train Epoch: 35/66 Traing\_Loss: 0.01643337681889534 Traing\_acc: 99.056664% Val\_Loss: 0.5919769406318665 Val\_accuracy: 97.691666%  
## Train Epoch: 36/66 Traing\_Loss: 0.003279791446402669 Traing\_acc: 99.230003% Val\_Loss: 0.1676289439201355 Val\_accuracy: 97.633331%  
## Train Epoch: 37/66 Traing\_Loss: 0.030749347060918808 Traing\_acc: 99.019997% Val\_Loss: 0.7285674214363098 Val\_accuracy: 97.400002%  
## Train Epoch: 38/66 Traing\_Loss: 0.030358687043190002 Traing\_acc: 99.120003% Val\_Loss: 0.23379994928836823 Val\_accuracy: 97.224998%  
## Train Epoch: 39/66 Traing\_Loss: 0.09197022765874863 Traing\_acc: 99.186668% Val\_Loss: 0.05310463905334473 Val\_accuracy: 97.375000%  
## Train Epoch: 40/66 Traing\_Loss: 0.02250530570745468 Traing\_acc: 99.216667% Val\_Loss: 1.2086222171783447 Val\_accuracy: 97.258331%  
## Train Epoch: 41/66 Traing\_Loss: 0.08936404436826706 Traing\_acc: 99.029999% Val\_Loss: 0.24217456579208374 Val\_accuracy: 97.625000%  
## Train Epoch: 42/66 Traing\_Loss: 0.04795249551534653 Traing\_acc: 99.080002% Val\_Loss: 0.04305948689579964 Val\_accuracy: 97.058334%  
## Train Epoch: 43/66 Traing\_Loss: 0.00023507399600930512 Traing\_acc: 99.286667% Val\_Loss: 0.9402304291725159 Val\_accuracy: 97.591667%  
## Train Epoch: 44/66 Traing\_Loss: 0.0656762346625328 Traing\_acc: 99.266670% Val\_Loss: 0.9684227705001831 Val\_accuracy: 97.625000%  
## Train Epoch: 45/66 Traing\_Loss: 0.13509349524974823 Traing\_acc: 99.266670% Val\_Loss: 0.2370346039533615 Val\_accuracy: 97.525002%  
## Train Epoch: 46/66 Traing\_Loss: 0.0931258499622345 Traing\_acc: 99.276665% Val\_Loss: 0.18237200379371643 Val\_accuracy: 97.858330%  
## Train Epoch: 47/66 Traing\_Loss: 0.039196763187646866 Traing\_acc: 99.099998% Val\_Loss: 0.0011002643732354045 Val\_accuracy: 97.483330%  
## Train Epoch: 48/66 Traing\_Loss: 3.355223725520773e-06 Traing\_acc: 99.213333% Val\_Loss: 0.18598422408103943 Val\_accuracy: 97.866669%  
## Train Epoch: 49/66 Traing\_Loss: 4.50523339168285e-06 Traing\_acc: 99.446663% Val\_Loss: 0.3839084506034851 Val\_accuracy: 97.608330%  
## Train Epoch: 50/66 Traing\_Loss: 0.029315821826457977 Traing\_acc: 99.176666% Val\_Loss: 6.079665837432913e-08 Val\_accuracy: 97.441666%  
## Train Epoch: 51/66 Traing\_Loss: 0.122636578977108 Traing\_acc: 99.336670% Val\_Loss: 0.5312579274177551 Val\_accuracy: 97.500000%  
## Train Epoch: 52/66 Traing\_Loss: 0.00019646035798359662 Traing\_acc: 99.423332% Val\_Loss: 0.42392289638519287 Val\_accuracy: 97.616669%  
## Train Epoch: 53/66 Traing\_Loss: 0.03544505685567856 Traing\_acc: 99.273331% Val\_Loss: 0.014532673172652721 Val\_accuracy: 97.158333%  
## Train Epoch: 54/66 Traing\_Loss: 0.06683409959077835 Traing\_acc: 99.303337% Val\_Loss: 0.6091975569725037 Val\_accuracy: 97.416664%  
## Train Epoch: 55/66 Traing\_Loss: 0.21645088493824005 Traing\_acc: 99.246666% Val\_Loss: 0.14232087135314941 Val\_accuracy: 96.983330%  
## Train Epoch: 56/66 Traing\_Loss: 0.00018999268650077283 Traing\_acc: 99.333336% Val\_Loss: 0.09

```
483062475919724 Val_accuracy: 97.574997%
## Train Epoch: 57/66 Traing_Loss: 0.0033052668441087008 Traing_acc: 99.326668% Val_Loss: 0.106
03413730859756 Val_accuracy: 97.683334%
## Train Epoch: 58/66 Traing_Loss: 0.038259051740169525 Traing_acc: 99.506668% Val_Loss: 0.6757
61342048645 Val_accuracy: 97.558334%
## Train Epoch: 59/66 Traing_Loss: 0.10571465641260147 Traing_acc: 99.496666% Val_Loss: 3.18285
2879035636e-07 Val_accuracy: 97.824997%
## Train Epoch: 60/66 Traing_Loss: 0.0006334431236609817 Traing_acc: 99.300003% Val_Loss: 0.004
058730788528919 Val_accuracy: 97.574997%
## Train Epoch: 61/66 Traing_Loss: 0.0011370916618034244 Traing_acc: 99.470001% Val_Loss: 0.080
7742029428482 Val_accuracy: 97.516670%
## Train Epoch: 62/66 Traing_Loss: 0.014317493885755539 Traing_acc: 99.500000% Val_Loss: 1.1938
966512680054 Val_accuracy: 97.191666%
## Train Epoch: 63/66 Traing_Loss: 0.0003460788866505027 Traing_acc: 99.406670% Val_Loss: 0.660
5290174484253 Val_accuracy: 97.658333%
## Train Epoch: 64/66 Traing_Loss: 0.00037960114423185587 Traing_acc: 99.459999% Val_Loss: 0.24
798643589019775 Val_accuracy: 97.491669%
## Train Epoch: 65/66 Traing_Loss: 1.2504273172453395e-06 Traing_acc: 99.273331% Val_Loss: 0.22
926448285579681 Val_accuracy: 97.408333%
## Train Epoch: 66/66 Traing_Loss: 6.258870143938111e-06 Traing_acc: 99.246666% Val_Loss: 0.849
0675091743469 Val_accuracy: 97.683334%
```

```
end_time = time.time()
runtime = end_time - start_time
print("程序运行时间：", runtime, "秒")
```

```
#2
# VAE to visulization:
```

```
## 程序运行时间： 144.91736102104187 秒
```

```
import keras
```

```
## WARNING:tensorflow:From D:\app\python\Lib\site-packages\keras\src\losses.py:2976: The name t
f.losses.sparse_softmax_cross_entropy is deprecated. Please use tf.compat.v1.losses.sparse_soft
max_cross_entropy instead.
```

```
from keras import layers

from keras.datasets import mnist
import numpy as np

original_dim = 12 * 12
intermediate_dim = 64
latent_dim = 2

inputs = keras.Input(shape=(original_dim,))
```

```
## WARNING:tensorflow:From D:\app\python\Lib\site-packages\keras\src\backend.py:1398: The name
tf.executing_eagerly_outside_functions is deprecated. Please use tf.compat.v1.executing_eagerly
_outside_functions instead.
```

```
h = layers.Dense(intermediate_dim, activation='relu')(inputs)
z_mean = layers.Dense(latent_dim)(h)
z_log_sigma = layers.Dense(latent_dim)(h)

from keras import backend as K

def sampling(args):
    z_mean, z_log_sigma = args
    epsilon = K.random_normal(shape=(K.shape(z_mean)[0], latent_dim),
                               mean=0., stddev=0.1)
    return z_mean + K.exp(z_log_sigma) * epsilon

z = layers.Lambda(sampling)([z_mean, z_log_sigma])

# Create encoder
encoder = keras.Model(inputs, [z_mean, z_log_sigma, z], name='encoder')

# Create decoder
latent_inputs = keras.Input(shape=(latent_dim,), name='z_sampling')
x = layers.Dense(intermediate_dim, activation='relu')(latent_inputs)
outputs = layers.Dense(original_dim, activation='sigmoid')(x)
decoder = keras.Model(latent_inputs, outputs, name='decoder')

# instantiate VAE model
outputs = decoder(encoder(inputs)[2])
vae = keras.Model(inputs, outputs, name='vae_mlp')
vae.summary()
```

```
## Model: "vae_mlp"
##
## Layer (type)                Output Shape                Param #
## =====
## input_1 (InputLayer)        [(None, 144)]               0
##
## encoder (Functional)        [(None, 2),
##                          (None, 2),
##                          (None, 2)]      9540
##
## decoder (Functional)        (None, 144)                 9552
##
## =====
## Total params: 19092 (74.58 KB)
## Trainable params: 19092 (74.58 KB)
## Non-trainable params: 0 (0.00 Byte)
##
```

```
reconstruction_loss = keras.losses.binary_crossentropy(inputs, outputs)
reconstruction_loss *= original_dim
kl_loss = 1 + z_log_sigma - K.square(z_mean) - K.exp(z_log_sigma)
kl_loss = K.sum(kl_loss, axis=-1)
kl_loss *= -0.5
vae_loss = K.mean(reconstruction_loss + kl_loss)
vae.add_loss(vae_loss)
```

```
## WARNING:tensorflow:From D:\app\python\Lib\site-packages\keras\src\engine\base_layer_utils.py:384: The name tf.executing_eagerly_outside_functions is deprecated. Please use tf.compat.v1.executing_eagerly_outside_functions instead.
```

```
vae.compile(optimizer='adam')
```

```
## WARNING:tensorflow:From D:\app\python\Lib\site-packages\keras\src\optimizers\__init__.py:309: The name tf.train.Optimizer is deprecated. Please use tf.compat.v1.train.Optimizer instead.
```



```

(x_train, y_train), (x_test, y_test) = mnist.load_data()

x_train = x_train.astype('float32') / 255.
x_test = x_test.astype('float32') / 255.
x_train = x_train.reshape((len(x_train), np.prod(x_train.shape[1:])))
x_test = x_test.reshape((len(x_test), np.prod(x_test.shape[1:])))

def change(input_arr):

    # 缩放后每行的长度
    scaled_length = 144

    # 生成插值的位置
    interpolation_indices = np.linspace(0, input_arr.shape[1] - 1, scaled_length)

    # 进行线性插值
    scaled_arr = np.zeros((input_arr.shape[0], scaled_length))
    for i, row in enumerate(input_arr):
        scaled_arr[i] = np.interp(interpolation_indices, np.arange(row.shape[0]), row)

    # 输出结果
    return scaled_arr

train=pd.read_csv("C:\\Users\\张铭韬\\Desktop\\学业\\港科大\\MSDM5054机器学习\\作业\\Final project\\MNIST\\train_resized.csv")
test=pd.read_csv("C:\\Users\\张铭韬\\Desktop\\学业\\港科大\\MSDM5054机器学习\\作业\\Final project\\MNIST\\test_resized.csv")
trainy=train.loc[:, "label"].values
testy=test.loc[:, "label"].values
tent1 = train.iloc[:, 1:].values
tent1 = (tent1 - np.mean(tent1, axis=1)[:, np.newaxis]) / np.std(tent1, axis=1)[:, np.newaxis]
tent2 = test.iloc[:, 1:].values
tent2 = (tent2 - np.mean(tent2, axis=1)[:, np.newaxis]) / np.std(tent2, axis=1)[:, np.newaxis]

x1 = change(x_train)
x2 = change(x_test)
total = np.vstack((x1, x2))
totallabel = np.concatenate((y_train, y_test))

vae.fit(total, total,
        epochs=100,
        batch_size=32,
        validation_data=(total, total),
        verbose=2)

```

```
## Epoch 1/100
## 2188/2188 - 6s - loss: 34.5308 - val_loss: 30.9322 - 6s/epoch - 3ms/step
## Epoch 2/100
## 2188/2188 - 4s - loss: 30.4939 - val_loss: 30.1457 - 4s/epoch - 2ms/step
## Epoch 3/100
## 2188/2188 - 4s - loss: 29.9721 - val_loss: 29.7935 - 4s/epoch - 2ms/step
## Epoch 4/100
## 2188/2188 - 4s - loss: 29.6639 - val_loss: 29.5278 - 4s/epoch - 2ms/step
## Epoch 5/100
## 2188/2188 - 4s - loss: 29.4217 - val_loss: 29.3046 - 4s/epoch - 2ms/step
## Epoch 6/100
## 2188/2188 - 4s - loss: 29.2599 - val_loss: 29.1784 - 4s/epoch - 2ms/step
## Epoch 7/100
## 2188/2188 - 4s - loss: 29.1319 - val_loss: 29.0647 - 4s/epoch - 2ms/step
## Epoch 8/100
## 2188/2188 - 4s - loss: 29.0238 - val_loss: 28.9754 - 4s/epoch - 2ms/step
## Epoch 9/100
## 2188/2188 - 4s - loss: 28.9391 - val_loss: 28.8647 - 4s/epoch - 2ms/step
## Epoch 10/100
## 2188/2188 - 4s - loss: 28.8538 - val_loss: 28.8073 - 4s/epoch - 2ms/step
## Epoch 11/100
## 2188/2188 - 4s - loss: 28.7872 - val_loss: 28.7593 - 4s/epoch - 2ms/step
## Epoch 12/100
## 2188/2188 - 4s - loss: 28.7223 - val_loss: 28.6763 - 4s/epoch - 2ms/step
## Epoch 13/100
## 2188/2188 - 4s - loss: 28.6670 - val_loss: 28.6152 - 4s/epoch - 2ms/step
## Epoch 14/100
## 2188/2188 - 4s - loss: 28.6106 - val_loss: 28.5482 - 4s/epoch - 2ms/step
## Epoch 15/100
## 2188/2188 - 4s - loss: 28.5677 - val_loss: 28.5145 - 4s/epoch - 2ms/step
## Epoch 16/100
## 2188/2188 - 4s - loss: 28.5271 - val_loss: 28.4713 - 4s/epoch - 2ms/step
## Epoch 17/100
## 2188/2188 - 4s - loss: 28.4887 - val_loss: 28.4643 - 4s/epoch - 2ms/step
## Epoch 18/100
## 2188/2188 - 4s - loss: 28.4471 - val_loss: 28.4362 - 4s/epoch - 2ms/step
## Epoch 19/100
## 2188/2188 - 4s - loss: 28.4137 - val_loss: 28.3839 - 4s/epoch - 2ms/step
## Epoch 20/100
## 2188/2188 - 4s - loss: 28.3840 - val_loss: 28.3707 - 4s/epoch - 2ms/step
## Epoch 21/100
## 2188/2188 - 4s - loss: 28.3540 - val_loss: 28.3275 - 4s/epoch - 2ms/step
## Epoch 22/100
## 2188/2188 - 4s - loss: 28.3285 - val_loss: 28.3078 - 4s/epoch - 2ms/step
## Epoch 23/100
## 2188/2188 - 4s - loss: 28.3014 - val_loss: 28.2576 - 4s/epoch - 2ms/step
## Epoch 24/100
## 2188/2188 - 4s - loss: 28.2850 - val_loss: 28.2773 - 4s/epoch - 2ms/step
## Epoch 25/100
## 2188/2188 - 4s - loss: 28.2546 - val_loss: 28.2159 - 4s/epoch - 2ms/step
## Epoch 26/100
## 2188/2188 - 4s - loss: 28.2323 - val_loss: 28.1920 - 4s/epoch - 2ms/step
## Epoch 27/100
## 2188/2188 - 4s - loss: 28.2218 - val_loss: 28.1670 - 4s/epoch - 2ms/step
## Epoch 28/100
```

```
## 2188/2188 - 4s - loss: 28.2045 - val_loss: 28.1537 - 4s/epoch - 2ms/step
## Epoch 29/100
## 2188/2188 - 4s - loss: 28.1845 - val_loss: 28.1589 - 4s/epoch - 2ms/step
## Epoch 30/100
## 2188/2188 - 4s - loss: 28.1836 - val_loss: 28.1170 - 4s/epoch - 2ms/step
## Epoch 31/100
## 2188/2188 - 4s - loss: 28.1550 - val_loss: 28.1518 - 4s/epoch - 2ms/step
## Epoch 32/100
## 2188/2188 - 4s - loss: 28.1489 - val_loss: 28.0950 - 4s/epoch - 2ms/step
## Epoch 33/100
## 2188/2188 - 4s - loss: 28.1301 - val_loss: 28.1043 - 4s/epoch - 2ms/step
## Epoch 34/100
## 2188/2188 - 5s - loss: 28.1123 - val_loss: 28.1210 - 5s/epoch - 2ms/step
## Epoch 35/100
## 2188/2188 - 4s - loss: 28.0983 - val_loss: 28.0771 - 4s/epoch - 2ms/step
## Epoch 36/100
## 2188/2188 - 4s - loss: 28.0916 - val_loss: 28.0342 - 4s/epoch - 2ms/step
## Epoch 37/100
## 2188/2188 - 4s - loss: 28.0731 - val_loss: 28.0397 - 4s/epoch - 2ms/step
## Epoch 38/100
## 2188/2188 - 4s - loss: 28.0649 - val_loss: 28.0416 - 4s/epoch - 2ms/step
## Epoch 39/100
## 2188/2188 - 4s - loss: 28.0536 - val_loss: 28.0395 - 4s/epoch - 2ms/step
## Epoch 40/100
## 2188/2188 - 4s - loss: 28.0465 - val_loss: 28.2344 - 4s/epoch - 2ms/step
## Epoch 41/100
## 2188/2188 - 4s - loss: 28.0297 - val_loss: 27.9941 - 4s/epoch - 2ms/step
## Epoch 42/100
## 2188/2188 - 4s - loss: 28.0082 - val_loss: 27.9771 - 4s/epoch - 2ms/step
## Epoch 43/100
## 2188/2188 - 4s - loss: 27.9984 - val_loss: 27.9368 - 4s/epoch - 2ms/step
## Epoch 44/100
## 2188/2188 - 4s - loss: 27.9797 - val_loss: 27.9370 - 4s/epoch - 2ms/step
## Epoch 45/100
## 2188/2188 - 4s - loss: 27.9660 - val_loss: 27.9184 - 4s/epoch - 2ms/step
## Epoch 46/100
## 2188/2188 - 4s - loss: 27.9554 - val_loss: 27.9298 - 4s/epoch - 2ms/step
## Epoch 47/100
## 2188/2188 - 4s - loss: 27.9403 - val_loss: 27.9499 - 4s/epoch - 2ms/step
## Epoch 48/100
## 2188/2188 - 4s - loss: 27.9280 - val_loss: 27.9110 - 4s/epoch - 2ms/step
## Epoch 49/100
## 2188/2188 - 4s - loss: 27.9145 - val_loss: 27.9929 - 4s/epoch - 2ms/step
## Epoch 50/100
## 2188/2188 - 4s - loss: 27.9120 - val_loss: 27.9022 - 4s/epoch - 2ms/step
## Epoch 51/100
## 2188/2188 - 4s - loss: 27.8947 - val_loss: 27.8550 - 4s/epoch - 2ms/step
## Epoch 52/100
## 2188/2188 - 4s - loss: 27.8858 - val_loss: 27.8963 - 4s/epoch - 2ms/step
## Epoch 53/100
## 2188/2188 - 4s - loss: 27.8828 - val_loss: 27.8679 - 4s/epoch - 2ms/step
## Epoch 54/100
## 2188/2188 - 4s - loss: 27.8713 - val_loss: 27.7817 - 4s/epoch - 2ms/step
## Epoch 55/100
## 2188/2188 - 4s - loss: 27.8533 - val_loss: 27.8104 - 4s/epoch - 2ms/step
## Epoch 56/100
```

```
## 2188/2188 - 4s - loss: 27.8446 - val_loss: 27.8092 - 4s/epoch - 2ms/step
## Epoch 57/100
## 2188/2188 - 4s - loss: 27.8369 - val_loss: 27.8419 - 4s/epoch - 2ms/step
## Epoch 58/100
## 2188/2188 - 4s - loss: 27.8300 - val_loss: 27.7955 - 4s/epoch - 2ms/step
## Epoch 59/100
## 2188/2188 - 4s - loss: 27.8077 - val_loss: 27.8440 - 4s/epoch - 2ms/step
## Epoch 60/100
## 2188/2188 - 4s - loss: 27.8112 - val_loss: 27.7634 - 4s/epoch - 2ms/step
## Epoch 61/100
## 2188/2188 - 4s - loss: 27.7878 - val_loss: 27.7459 - 4s/epoch - 2ms/step
## Epoch 62/100
## 2188/2188 - 4s - loss: 27.7810 - val_loss: 27.7408 - 4s/epoch - 2ms/step
## Epoch 63/100
## 2188/2188 - 4s - loss: 27.7702 - val_loss: 27.7352 - 4s/epoch - 2ms/step
## Epoch 64/100
## 2188/2188 - 4s - loss: 27.7593 - val_loss: 27.7320 - 4s/epoch - 2ms/step
## Epoch 65/100
## 2188/2188 - 4s - loss: 27.7535 - val_loss: 27.7500 - 4s/epoch - 2ms/step
## Epoch 66/100
## 2188/2188 - 4s - loss: 27.7423 - val_loss: 27.6872 - 4s/epoch - 2ms/step
## Epoch 67/100
## 2188/2188 - 4s - loss: 27.7358 - val_loss: 27.7598 - 4s/epoch - 2ms/step
## Epoch 68/100
## 2188/2188 - 4s - loss: 27.7226 - val_loss: 27.6784 - 4s/epoch - 2ms/step
## Epoch 69/100
## 2188/2188 - 4s - loss: 27.7177 - val_loss: 27.6872 - 4s/epoch - 2ms/step
## Epoch 70/100
## 2188/2188 - 4s - loss: 27.7139 - val_loss: 27.6827 - 4s/epoch - 2ms/step
## Epoch 71/100
## 2188/2188 - 4s - loss: 27.7011 - val_loss: 27.6786 - 4s/epoch - 2ms/step
## Epoch 72/100
## 2188/2188 - 4s - loss: 27.6889 - val_loss: 27.6322 - 4s/epoch - 2ms/step
## Epoch 73/100
## 2188/2188 - 4s - loss: 27.6864 - val_loss: 27.6307 - 4s/epoch - 2ms/step
## Epoch 74/100
## 2188/2188 - 4s - loss: 27.6825 - val_loss: 27.6235 - 4s/epoch - 2ms/step
## Epoch 75/100
## 2188/2188 - 4s - loss: 27.6708 - val_loss: 27.6130 - 4s/epoch - 2ms/step
## Epoch 76/100
## 2188/2188 - 4s - loss: 27.6645 - val_loss: 27.6301 - 4s/epoch - 2ms/step
## Epoch 77/100
## 2188/2188 - 4s - loss: 27.6662 - val_loss: 27.6567 - 4s/epoch - 2ms/step
## Epoch 78/100
## 2188/2188 - 4s - loss: 27.6533 - val_loss: 27.6211 - 4s/epoch - 2ms/step
## Epoch 79/100
## 2188/2188 - 4s - loss: 27.6476 - val_loss: 27.6007 - 4s/epoch - 2ms/step
## Epoch 80/100
## 2188/2188 - 4s - loss: 27.6392 - val_loss: 27.5730 - 4s/epoch - 2ms/step
## Epoch 81/100
## 2188/2188 - 4s - loss: 27.6299 - val_loss: 27.6033 - 4s/epoch - 2ms/step
## Epoch 82/100
## 2188/2188 - 4s - loss: 27.6371 - val_loss: 27.5696 - 4s/epoch - 2ms/step
## Epoch 83/100
## 2188/2188 - 4s - loss: 27.6234 - val_loss: 27.5649 - 4s/epoch - 2ms/step
## Epoch 84/100
```

```
## 2188/2188 - 4s - loss: 27.6259 - val_loss: 27.6249 - 4s/epoch - 2ms/step
## Epoch 85/100
## 2188/2188 - 4s - loss: 27.6104 - val_loss: 27.5729 - 4s/epoch - 2ms/step
## Epoch 86/100
## 2188/2188 - 4s - loss: 27.6121 - val_loss: 27.5984 - 4s/epoch - 2ms/step
## Epoch 87/100
## 2188/2188 - 4s - loss: 27.6001 - val_loss: 27.6317 - 4s/epoch - 2ms/step
## Epoch 88/100
## 2188/2188 - 4s - loss: 27.5964 - val_loss: 27.5727 - 4s/epoch - 2ms/step
## Epoch 89/100
## 2188/2188 - 4s - loss: 27.5949 - val_loss: 27.5392 - 4s/epoch - 2ms/step
## Epoch 90/100
## 2188/2188 - 4s - loss: 27.5829 - val_loss: 27.5992 - 4s/epoch - 2ms/step
## Epoch 91/100
## 2188/2188 - 4s - loss: 27.5800 - val_loss: 27.5386 - 4s/epoch - 2ms/step
## Epoch 92/100
## 2188/2188 - 4s - loss: 27.5771 - val_loss: 27.5053 - 4s/epoch - 2ms/step
## Epoch 93/100
## 2188/2188 - 4s - loss: 27.5709 - val_loss: 27.6011 - 4s/epoch - 2ms/step
## Epoch 94/100
## 2188/2188 - 4s - loss: 27.5706 - val_loss: 27.5469 - 4s/epoch - 2ms/step
## Epoch 95/100
## 2188/2188 - 4s - loss: 27.5632 - val_loss: 27.4965 - 4s/epoch - 2ms/step
## Epoch 96/100
## 2188/2188 - 4s - loss: 27.5651 - val_loss: 27.4895 - 4s/epoch - 2ms/step
## Epoch 97/100
## 2188/2188 - 4s - loss: 27.5497 - val_loss: 27.5238 - 4s/epoch - 2ms/step
## Epoch 98/100
## 2188/2188 - 4s - loss: 27.5456 - val_loss: 27.5189 - 4s/epoch - 2ms/step
## Epoch 99/100
## 2188/2188 - 4s - loss: 27.5418 - val_loss: 27.5173 - 4s/epoch - 2ms/step
## Epoch 100/100
## 2188/2188 - 4s - loss: 27.5441 - val_loss: 27.5420 - 4s/epoch - 2ms/step
## <keras.src.callbacks.History object at 0x00000002F2F2E0D0>
```

```
x_test_encoded = encoder.predict(total)
```

```

##
## 1/2188 [.....] - ETA: 3:09
## 60/2188 [.....] - ETA: 1s
## 119/2188 [>.....] - ETA: 1s
## 172/2188 [=>.....] - ETA: 1s
## 230/2188 [==>.....] - ETA: 1s
## 288/2188 [===>.....] - ETA: 1s
## 346/2188 [====>.....] - ETA: 1s
## 404/2188 [=====>.....] - ETA: 1s
## 462/2188 [=====>.....] - ETA: 1s
## 518/2188 [=====>.....] - ETA: 1s
## 577/2188 [=====>.....] - ETA: 1s
## 635/2188 [=====>.....] - ETA: 1s
## 694/2188 [=====>.....] - ETA: 1s
## 751/2188 [=====>.....] - ETA: 1s
## 811/2188 [=====>.....] - ETA: 1s
## 870/2188 [=====>.....] - ETA: 1s
## 927/2188 [=====>.....] - ETA: 1s
## 986/2188 [=====>.....] - ETA: 1s
## 1042/2188 [=====>.....] - ETA: 1s
## 1095/2188 [=====>.....] - ETA: 0s
## 1150/2188 [=====>.....] - ETA: 0s
## 1207/2188 [=====>.....] - ETA: 0s
## 1265/2188 [=====>.....] - ETA: 0s
## 1323/2188 [=====>.....] - ETA: 0s
## 1381/2188 [=====>.....] - ETA: 0s
## 1438/2188 [=====>.....] - ETA: 0s
## 1496/2188 [=====>.....] - ETA: 0s
## 1554/2188 [=====>.....] - ETA: 0s
## 1612/2188 [=====>.....] - ETA: 0s
## 1670/2188 [=====>.....] - ETA: 0s
## 1728/2188 [=====>.....] - ETA: 0s
## 1782/2188 [=====>.....] - ETA: 0s
## 1840/2188 [=====>.....] - ETA: 0s
## 1897/2188 [=====>....] - ETA: 0s
## 1954/2188 [=====>....] - ETA: 0s
## 2013/2188 [=====>...] - ETA: 0s
## 2073/2188 [=====>..] - ETA: 0s
## 2132/2188 [=====>.] - ETA: 0s
## 2188/2188 [=====] - 2s 877us/step

```

```

plt.figure(figsize=(16, 16))
plt.scatter(x_test_encoded[0][:, 0], x_test_encoded[0][:, 1], c=totallabel, cmap='Set1', s=6)
plt.colorbar()

```

```

## <matplotlib.colorbar.Colorbar object at 0x00000002DE5F3B90>

```

```
plt.show()
```

```
#####
##### CAE example:

# import keras
# from keras import layers
#
# input_img = keras.Input(shape=(28, 28, 1))
#
# x = layers.Conv2D(16, (3, 3), activation='relu', padding='same')(input_img)
# x = layers.MaxPooling2D((2, 2), padding='same')(x)
# x = layers.Conv2D(8, (3, 3), activation='relu', padding='same')(x)
# x = layers.MaxPooling2D((2, 2), padding='same')(x)
# x = layers.Conv2D(8, (3, 3), activation='relu', padding='same')(x)
# encoded = layers.MaxPooling2D((2, 2), padding='same')(x)
#
# # at this point the representation is (4, 4, 8) i.e. 128-dimensional
#
# x = layers.Conv2D(8, (3, 3), activation='relu', padding='same')(encoded)
# x = layers.UpSampling2D((2, 2))(x)
# x = layers.Conv2D(8, (3, 3), activation='relu', padding='same')(x)
# x = layers.UpSampling2D((2, 2))(x)
# x = layers.Conv2D(16, (3, 3), activation='relu')(x)
# x = layers.UpSampling2D((2, 2))(x)
# decoded = layers.Conv2D(1, (3, 3), activation='sigmoid', padding='same')(x)
#
# autoencoder = keras.Model(input_img, decoded)
# autoencoder.compile(optimizer='adam', loss='binary_crossentropy')
#
# from keras.datasets import mnist
# import numpy as np
#
# (x_train, _), (x_test, _) = mnist.load_data()
#
# x_train = x_train.astype('float32') / 255.
# x_test = x_test.astype('float32') / 255.
# x_train = np.reshape(x_train, (len(x_train), 28, 28, 1))
# x_test = np.reshape(x_test, (len(x_test), 28, 28, 1))
#
# from keras.callbacks import TensorBoard
#
# autoencoder.fit(x_train, x_train,
#               epochs=50,
#               batch_size=128,
#               shuffle=True,
#               validation_data=(x_test, x_test),
#               verbose=2,
#               callbacks=[TensorBoard(log_dir='/tmp/autoencoder')])
#
# decoded_imgs = autoencoder.predict(x_test)
#
# n = 10
# plt.figure(figsize=(20, 4))
# for i in range(1, n + 1):
```

```

# # Display original
# ax = plt.subplot(2, n, i)
# plt.imshow(x_test[i+100].reshape(28, 28))
# plt.gray()
# ax.get_xaxis().set_visible(False)
# ax.get_yaxis().set_visible(False)
#
# # Display reconstruction
# ax = plt.subplot(2, n, i + n)
# plt.imshow(decoded_imgs[i++100].reshape(28, 28))
# plt.gray()
# ax.get_xaxis().set_visible(False)
# ax.get_yaxis().set_visible(False)
# plt.show()

```

