

Arnold's type thus on a nbhd of a curve
and gluing construction of $K3$ surfaces.

Goal of this talk

- (1) Give a "rigidity" result for the cpx str. of a small nbhd of a curve C surf.
(2) Apply it to the gluing construction of $K3$ surfaces (in progress, with T. Uehara)

§1.

$C \subset S$
cpx curve, reduced
hol. tub. nbhd.
non-sing cpx surf.

s.t. $N_{C/S} = [C]_C$
i.e. top. triv.

C.f. Thm1 (Grauert '62)

- $(C^2) := \deg N_{C/S} < 0 \Rightarrow C$ can be "contracted".
- $(C^2) < \min\{0, 4 - 4g(C)\} \Rightarrow C$ admits a hol. tub. nbhd.

i.e. $\exists V$: a nbhd of C in S ,
 $\exists V'$: a nbhd of $\tilde{C} := 0$ -section $C \subset N_{C/S}$.
s.t. $V \cong V'$
 $C \cong \tilde{C}$

Rank \nexists hol. tub. nbhd in general.

Thm2 (Arnold '76)

C : sm. ellipse.

s.t. $N_{C/S} \in \text{Pic}^0(C)$: Dioph.

$\Rightarrow C$ admits a hol. tub nbhd

(i.e. $\exists A > 0, \exists D > 0$
s.t. $\text{disc}(\text{disc}(N_{C/S}^n)) \geq A \cdot n^D$)

e.g. elliptic fibr. \leftarrow C : ellipse
Serres e.g. \leftarrow $N_{C/S} = 1$
Ueda's e.g. \leftarrow C : ellipse, $N_{C/S} \neq 1$
 $\in \text{Pic}^0(C)$:
non-triv.
"cpx str. Clemm cond."

Veda generalized Arnold's thm as: $\{t \in \mathbb{C} \mid |t| = 1\}$.

Thm 3 (Veda '83) $\left[\begin{array}{l} \text{cpt } \pi \text{ with } N_{Y_S}: U(1) \text{-flat} \\ (\in \text{cpt } \pi^{\text{kin}} \text{ with } \epsilon = 0 \text{ by } N_{Y_S}) \end{array} \right]$

$C: \text{cpt R.S. } C \subset S, \quad \epsilon^2 = 0.$

Assume N_{Y_S} : torsion or Dioph.

$$\forall n \geq 1, \quad \ddot{u}_n(C, S)'' = 0 \in H^1(C, N_{Y_S}^{-n})$$

$\left[\begin{array}{l} \text{difference between } \tilde{C} \subset N_{Y_S} \text{ in } n\text{-jet} \\ C \subset S. \end{array} \right]$

Then $\exists V_i$: open cov. of a nbhd V of C in S ,

$\exists w_i: V_i \rightarrow \mathbb{C}$: loc. def. func of C .

$$\text{s.t. } w_i = \underset{U(1)}{\exists t_{ij}} \cdot w_{jk} \text{ on } w_k.$$

c.f. C : ellipt. N_{Y_S} : non-tor $\Rightarrow H^1(C, N_{Y_S}^{-n}) \equiv 0$

c.f. (C, S) : Serre's ex.

$\Rightarrow C$ admits a ser. psl conv nbhd.

$$(S, C \cong \mathbb{C}^n \times \mathbb{C}^+)$$

Thm 4 (K-17) ^{Indiana}

Thm 2 also holds for, the case where

if $N_{Y_S}: U(1)$ -flat. C is a cycle of rad curves.

In this case,

$$\text{c.f. } \textcircled{a} H^1(C, L) = \begin{cases} \neq 0 & \text{if } L = \mathbb{I}_C \\ 0 & \text{if } L \neq \mathbb{I}_C \end{cases}$$

$$\Rightarrow N_{Y_S}: \text{non-tor} \Rightarrow H^1(C, N_{Y_S}^{-n}) \equiv 0,$$

$$\textcircled{b} \text{ Pic}^0(C) \xrightarrow{L} H^1(C, \mathbb{C}) = \mathbb{C}^{\dim \mathbb{C}(C)}$$

$$\{U(1)\text{-flat}\} \xrightarrow{\quad} U(1)$$

Rank [Veda '91]
K-17

C : rad cycle of P 's
 $(\epsilon(N_{Y_S})) \neq 1$

$\Rightarrow C$ admits ser. psl conv. nbhd.

Main result

Thm 1 (K-)

Improvement of Thm 1 (K-),
by analogue of Thm 2 (And's) (C, S) : as above, C : a cycle of P 's.
 (C', S')

s.t.

~~iff~~

$$C = C'$$

$$N_{C/S} \cong N_{C'/S'}$$

$$t(N_{C/S}) = t(N_{C'/S'}) = e^{2\pi i \theta}$$

for $\exists \theta \in \mathbb{R} \setminus \mathbb{Q}$: Dioph.

Then

 $\exists V$: nbhd of C in S , $\exists V'$: ~~nbhd~~ C' in S' ,

s.t.

$$V \cong V'$$

$$\bigcup C = \bigcup C'$$

H.

In the prt, we use some techniques from Cpx Dynamics.
originated from...

Thm 6 (Siegel '42)

~~Let~~ Ω : nbhd of 0 in \mathbb{C} .

$$f: \Omega \rightarrow \mathbb{C} : f(0) = 0$$

$$t := f'(0) \neq 0 : \text{Dioph. (or nbhd)} \\ \in U(1)$$

 $\Rightarrow f$: linble

i.e.

$$\exists \Omega' \in \Omega, \exists \varphi: \Omega' \rightarrow \mathbb{C} \quad \varphi(0) = 0, \quad \varphi(z) = z + O(z^2)$$

$$\text{s.t. } \varphi^{-1} \circ f \circ \varphi(w) = t \cdot w$$

"

c.f.

$$\varphi(w) = z + a_2 z^2 + \dots \quad f(z) = tz + h_2 z^2 + \dots$$

$$f \circ \varphi(w) = \varphi(t \cdot w)$$

"

"

$$t(w + a_2 w^2 + \dots) = t w + a_2 t^2 w^2 + \dots$$

$$+ h_2 (w^2 + \dots)$$

"small derivatives"

$$t a_2 + h_2 = a_2 t^2$$

$$a_2 = \frac{h_2}{t^2 - t}$$

C.f.

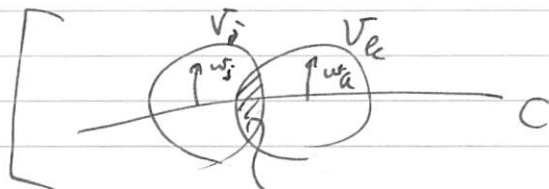
① Thm 2 (Arnold's thm)



univ. cov.

 $\langle F, G \rangle$ \Rightarrow linearize F and G !

② Thm 3 (Veda's thm)



$$w_i = \underbrace{t_{ik} w_k}_{\text{linearize!}} \neq 0 (w_k^2)$$

Problem: what for linearize
for cycle case?

Eg 7 (analogue of Veda's e.g.)

Easily we can construct an eg. of $S \supset C$ s.t. $\exists \tilde{F}$: hol. foliarn. on S

$$\text{i.s.t. } \begin{cases} \text{Sing } \tilde{F} = C_{\text{sing}} \\ \tilde{F} \supset C_{\text{loc}} \end{cases}$$

for

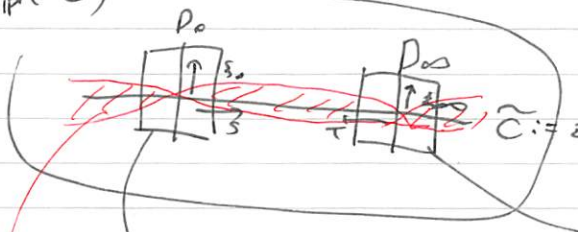
 $\forall L \in \tilde{F} \setminus C$: ellipse or \mathbb{C}^* .with "holonomy" = φ for $\forall \varphi(w) = tw + O(w^2)$ ($t \neq 1$).

t: "str. Crenel and"

 $\Rightarrow \varphi$ has "small cycles" around 0. $\Rightarrow \forall V$: nbhd of C , $\exists E \subset V \setminus C$: sm. ellipse $\Rightarrow \nexists V$: pnd flat $\Rightarrow \nexists w_i$ as in Thm 2,4.~~that is not~~ \nexists nbhd as "standard eg." in the following. E.g. 7.

dtd (4/20)

E.g. 8

 $C_0 \subset \mathbb{P}^2$: curve of deg = 3,sm. ellipse
or γ or X or A . \cup $Z := \{P_1, \dots, P_9\}$: nine pts. $C(C_0)_{\text{reg.}}$ $S := \mathbb{P}(Z \otimes \mathbb{P}^2) \xrightarrow{\pi} \mathbb{P}^2$: b-up. \cup $C := (\pi^{-1})^* C_0$: str. trans. $\rightarrow N_{C/S}$: top. triv, movesattains $\forall p.t. \in \text{Pic}^0(C_0)$ by chngg Z . //E.g. 9. (the standard model of a nbhd of C with
for C : a ratl curve with a node $t(N_{C/S}) = t \in \mathbb{C}^*$
given) $\pi: \mathcal{O}_{\mathbb{P}^1}(-2) \rightarrow \mathbb{P}^1$ non-homog. coord $S = T^{-1}$ fiber coord ξ_0 around $\{S=0\} =: D_0$
 ξ_{∞} around $\{S=\infty\} =: D_{\infty}$ $\mathcal{O}_{\mathbb{P}^1}(-2)$  $\tilde{W} := S \cdot \xi_0 = T \cdot \xi_{\infty}$
($\Leftarrow \xi_{\infty} = S^{-1} \cdot \xi_0$) $\tilde{C} := \text{zero-section}$ $\tilde{V}_0^+ := \{ |S| < \epsilon, |\xi_0| < \epsilon \}$ $\tilde{V}_0^- := \{ |T| < \epsilon, |\xi_{\infty}| < \epsilon \}$ $\tilde{V}_1 :=$ a small nbhd of \tilde{C} , $\{S=0, \infty\}$ $\tilde{V} := \tilde{V}_0^+ \cup \tilde{V}_1 \cup \tilde{V}_0^-$ $V := \tilde{V} / \sim$
 $i: \tilde{V} \rightarrow V$: natural $\tilde{V}_0^+ \ni (S, \xi_0) \sim F(S, \xi_0) := \left(\frac{t\xi_0}{S}, \frac{S}{t} \right)$
 $\in \tilde{V}_0^-$ $C := i(\tilde{C}) \subset V$; $t(N_{C/S}) = t$

(E.g. 9)

Obs $i: \tilde{V} \xrightarrow{\sim} V : \mathbb{Q}$

$$V_0 := i(\tilde{V}_0^+) = i(\tilde{V}_0^-) \quad \text{-- nblhd of } C_{\text{sig.}}$$

$$V_1 := i(V_1) \quad \text{-- -- } (C_{\text{reg}})\text{-nblhd.}$$

$$W_0 := "S \cdot \xi_0" \text{ on } V_0$$

$$W_1 := "S \cdot \xi_0" \text{ on } V_1$$

$$\Rightarrow W_1 = \begin{cases} 1 \cdot W_0 & \text{on } V^+ = i(\boxed{\text{---}}) \\ t \cdot W_0 & \text{on } V^- = i(\boxed{\text{---}}) \end{cases}$$

Q Classification of (C, S) with
opt s.t. C admits a "standard" nblhd?

Outline of the part of Thm 5 = Main result

Strategy Strategy from (general) (C, S) as in Thm 5,
we show the existence of "standard" nblhd.

Assume C : a vatr/c one with a node (for $\text{sig}(\text{id})$)

① V_0 : a nblhd of the vatr/c pt. C
 $= V_0^+ \cup V_0^-$

$$V_1 := C_{\text{reg.}}$$

$$V^\pm := V_0^\pm \cap V_1.$$

② V_j : a nblhd of V_j s.t. $V_j \cap C = V_j$.

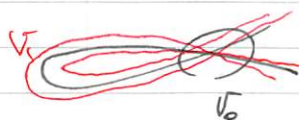
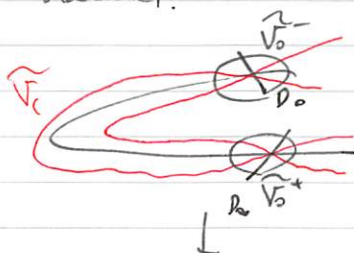
$$V_0 \cap V_1 = \underbrace{V_0^+}_{V^+} \cup \underbrace{V_0^-}_{V^-}$$

③ \tilde{V}_0^\pm : 2-copies of V_0 ,
 \tilde{V}_1 : a copy of V_1 ,

$$\tilde{V} := \tilde{V}_0^+ \sqcup \tilde{V}_1 \sqcup \tilde{V}_0^- \quad \leftarrow \text{gen'd by}$$

$\therefore \tilde{V} \rightarrow V$: natural.

(univ. cov)



$$i^* C = \tilde{C} + D_0 + D_\infty$$

q.c. $\tilde{V}_0^+ \quad \tilde{V}_0^-$

$$\textcircled{a} \deg(i^* N_{C/S})|_{\tilde{C}} = 0$$

$$(\tilde{C}^2) + (\tilde{C} \cdot D_0) + (\tilde{C} \cdot D_\infty) \rightsquigarrow (\tilde{C}^2) = -2$$

1 1

< min{0, 4-4g}

Th-1 (cannot). \tilde{V} can be embedded in $\mathcal{O}_{\mathbb{P}^1}(-2)$

\cup \cup

\tilde{C} 0-section.

$$\textcircled{a} S = T^{-1}; \text{ non-hog. coord of } \tilde{C} \quad \text{s.t.} \quad D_0 \cap \tilde{C} = \{S=0\}$$

$$D_\infty \cap \tilde{C} = \{S=\infty\}$$

Claim

we can extend $S = T^{-1}$ to \tilde{V} .

s.t. $D_0 = \{S=0\}$, $D_\infty = \{T=0\}$.

by shrinking \tilde{V} if ness.

$\textcircled{a} \exists V$: str. psl cov nhd of \tilde{C} s.t. $V \supset \tilde{V}$.

Ohsawa's vanishing then $\implies H^1(V, \mathcal{O}_V) = 0$.

\rightsquigarrow exp-ex. seq. V top. triv. l.b./ V : hol. triv

$\rightsquigarrow \mathcal{O}_V(p_0 - D_\infty)$ has glob. section \leftarrow this for \tilde{V} .

def. function of τ_{\pm} in V_{\pm}

Thm 4 $\Rightarrow \exists \{W_0, W_1\}$ s.t. $W_p = \tau_{\pm}^* W_0$ on V^{\pm}
for $\exists \tau_{\pm} \in \text{Aut}$.

$\tilde{w} : \tilde{V} \rightarrow \mathbb{C}$: defined by $\tilde{w} = \begin{cases} \tau_+^* w_0 & \text{on } \tilde{V}_0^+ \\ w_1 & \text{on } \tilde{V}_1 \\ \tau_-^* w_0 & \text{on } \tilde{V}_0^- \end{cases}$

$$\left(\tau(N_{Y_S}) = \tau / \tau_- \right).$$

$$\textcircled{a} \xi_0 := \tilde{w} / S, \quad \xi_{\infty} := \tilde{w} / T.$$

$\textcircled{a} F : \tilde{V}_0^+ \xrightarrow{\cong} \tilde{V}_0^-$ "deck transf" of i .

$$(i^{-1}(i(p)) = \tau P \cdot F(p))$$

$$\leadsto F(S, \xi_0) = \left(\frac{\tau \xi_0}{G}, G \cdot S \right)$$

$$\text{for } \exists G : \tilde{V}_0^+ \rightarrow \mathbb{C}^*$$

\textcircled{a} by replacing \tilde{w} with $\tilde{w}/G(0,0)$, w.w.a. $G(0,0)=1$.

Enough to show: $G \equiv 1$ by doing S.T.

Obs. for $H : \tilde{V} \rightarrow \mathbb{C}^*$,

$$\hat{S} := H^{-1} \cdot S, \quad \hat{T} = H \cdot T.$$

$$\leadsto \hat{\xi}_0 := H \cdot \xi_0, \quad \hat{\xi}_{\infty} := H^{-1} \cdot \xi_{\infty} \quad (\tilde{w} \text{ fixed})$$

$$\begin{aligned} \leadsto F^* \hat{T} &= (F^* T) \cdot (F^* H) = \frac{\tau \cdot \xi_0}{G} (F^* H) \\ \hat{T} \cdot F &= \frac{F^* H}{G \cdot H} \cdot \tau \hat{\xi}_0. \end{aligned}$$

$$\leadsto \hat{G} = G \cdot \frac{H}{F^* H}$$

Enough to show:

$$\xrightarrow{\text{Prop (0)}} \lim_{\text{var}} H^1(V^*, \mathcal{O}_{V^*}) \xrightarrow{\text{var}} H^1(C, \mathcal{O}_C) : \text{inj} //$$

for $F_{\pm} : V^{\pm} \rightarrow C$, we construct a picture by using a technique from Cpx Dyn.