

Non-projective K3 surfaces containing Levi-flat hypersurfaces

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1 Main results

2 Motivation from neighborhoods theories

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K3 surfaces:

Compact complex manifold X of complex dimension 2 (i.e. compact complex surface) is said to be K3 surface if

- X is simply connected, and
- the canonical line bundle $K_X := \Lambda^2 T_X^*$ is holomorphically trivial.

- Any K3 surface is a Kähler surface (Siu).
- X, X' : K3 surfaces $\Rightarrow X \approx_{\text{diffeo}} X'$ (Kodaira, ...)
- $H^0(X, \mathbb{Z}) \cong H^4(X, \mathbb{Z}) \cong \mathbb{Z}$
- $H^1(X, \mathbb{Z}) \cong H^3(X, \mathbb{Z}) \cong 0$
- $H^2(X, \mathbb{Z}) \cong \mathbb{Z}^{22}$
- \exists (coarse) moduli space \mathcal{M} of (marked) K3 surfaces with $\dim \mathcal{M} = 20$.

Examples of K3 surfaces:

- A smooth quartic $X \subset \mathbb{P}^3$.
- Kummer surface (non-singular surface obtained by blowing-up 16 singular points of A/ι , where $A = \mathbb{C}^2/\Gamma$ is an abelian surface and ι is an involution of A).

Question

Let X be a K3 surface (which is not a Kummer surface).

- $\exists? H \subset X$: Levi-flat hypersurface?
- $\exists? F: \mathbb{C} \rightarrow X$: non-constant holomorphic map?

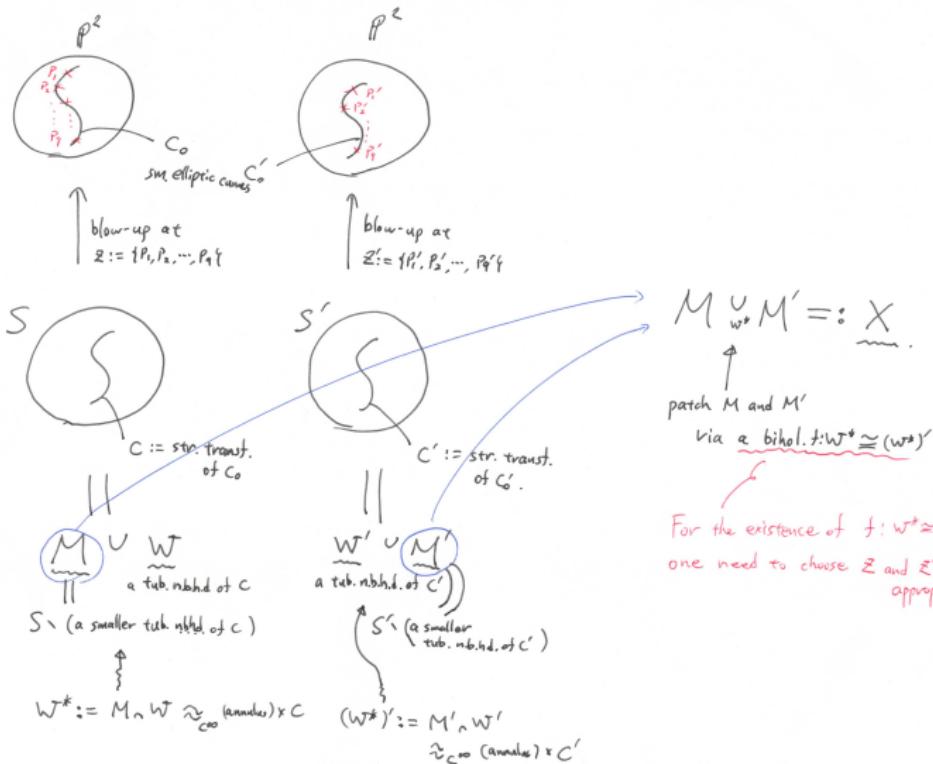
$H \subset X$: C^ω Levi-flat hypersurface if H is locally defined by $\text{Im } z_n = 0$ for some holomorphic local coordinates (z_1, z_2, \dots, z_n) .

Known examples of K3 surfaces except Kummer surfaces are too abstract to answer these questions.

Goal of this talk:

To construct a K3 surface X containing a real 1-parameter family of Levi-flat hypersurfaces by holomorphically patching two open complex surfaces, say M and M'

- M (M') is the complement of a (appropriate) tubular neighborhood of an elliptic curve in the blow-up S (S') of the projective plane \mathbb{P}^2 at (appropriate) nine points.
- Neither S nor S' admit elliptic fibration structure (nine points are “general”)
- In order to patch M and M' holomorphically, we need to take “nice neighborhood”. For this purpose, we need to choose nine points carefully.
- Use a technique from Complex Dynamics for taking “nice neighborhood” (Arnol'd's theorem).



Remarks, Known results

- For the case where S and S' are elliptic, a similar construction of K3 surfaces is known. The resulting K3 surfaces are also elliptic.
- M. Doi showed that a similar construction of K3 surfaces is possible for general Z and Z' if one admit (slight) deformations of the complex structures of M and M' .
(Doi, Mamoru, Gluing construction of compact complex surfaces with trivial canonical bundle. J. Math. Soc. Japan 61 (2009), no. 3, 853–884)
- The idea to use Arnol'd-type theorem for patching two open manifolds is also used by H. Tsuji in order to study complex structures on $S^3 \times S^3$.
(H. Tsuji, Complex structures on $S^3 \times S^3$, Tohoku Math. J. (2) Volume 36, Number 3 (1984), 351–376)

Remarks on our construction of K3 surfaces

- In our construction, “the tab for gluing” $W^* := M \cap M'$ is an open submanifold of X which admits an annulus bundle structure over an elliptic curve.
- $\exists \Phi: W^* \rightarrow I$ ($I \subset \mathbb{R}$: an interval): pluriharmonic .
- $H_t := \Phi^{-1}(t)$ is a compact Levi-flat hypersurface of $W^*(\subset X)$ which is diffeomorphic to $S^1 \times S^1 \times S^1$ for each $t \in I$.
- For each $t \in I$, any leaf of H_t is biholomorphic to \mathbb{C} or $\mathbb{C}^* := \mathbb{C} \setminus \{0\}$, and is dense in H_t .

Main results

We constructed K3 surfaces in such a manner with independent (at least) 18 parameters. As an conclusion of the construction, we have the following:

Theorem (K-, arXiv:1703.03663)

There exists a deformation $\pi: \mathcal{X} \rightarrow B$ of K3 surfaces over an 18 dimensional complex manifold B with injective Kodaira-Spencer map such that each fiber $X_b := \pi^{-1}(b)$ admits a holomorphic map $F_b: \mathbb{C} \rightarrow X_b$ with the following property: The Euclidean closure of $F_b(\mathbb{C})$ is a real analytic compact hypersurface of X_b . Especially, $F_b(\mathbb{C})$ is Zariski dense whereas it is not Euclidean dense. X_b is non-Kummer for general $b \in B$

“ F_b ” can be constructed by considering the immersion of a leaf of H_t into $W^* \subset X$ for each $X = X_b$.

Last June, we described 22 generators of the K3 lattice and could concretely compute the integrals of the nowhere vanishing holomorphic 2-form σ along 20 of them (joint w/Takato Uehara). As a result, we could improve the previous theorem.

Theorem (K-, Uehara (in progress))

There exists a deformation $\pi: \mathcal{X} \rightarrow B$ of K3 surfaces over a 19 dimensional complex manifold B with injective Kodaira-Spencer map such that each fiber $X_b := \pi^{-1}(b)$ admits a holomorphic map $F_b: \mathbb{C} \rightarrow X_b$ with the following property: The Euclidean closure of $F_b(\mathbb{C})$ is a real analytic compact hypersurface of X_b . Especially, $F_b(\mathbb{C})$ is Zariski dense whereas it is not Euclidean dense. X_b is non-Kummer and non-projective for general $b \in B$.

1 Main results

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Let

- S be a non-singular complex surface, and
- C be a compact complex curve embedded in S with
 $(C^2) := \deg N_{C/S} = c_1(N_{C/S}) = 0$.

There exists a (small) neighborhood W of C in S which is diffeomorphic to a neighborhood of the zero section in $N_{C/S}$ (tubular neighborhood theorem).

Our original interest:

What kind of complex analytic structure does W have?

Remark

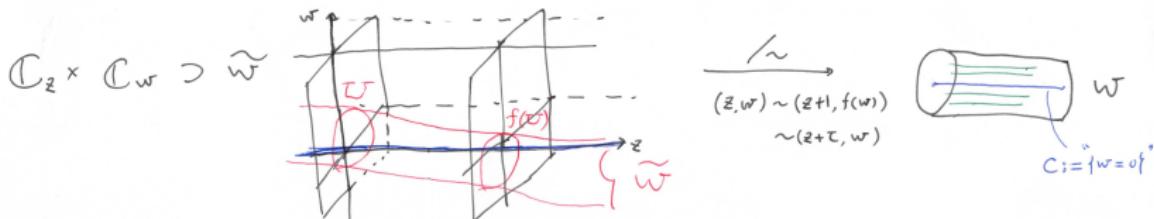
In general, $\exists W$ which is biholomorphic to a neighborhood of the zero section in $N_{C/S}$.

Ueda's example

Fix $\tau \in \mathbb{C}$ with $\text{Im } \tau > 0$ and $f(w) = a_1 w + a_2 w^2 + \dots \in \mathcal{O}_{\mathbb{C},0}$ ($|a_1| = 1$). Take a neighborhood \widetilde{W} of $\mathbb{C} \times \{0\}$ in $\mathbb{C} \times \mathbb{C}$.

$W := \widetilde{W} / \sim$, $(z, w) \sim (z + 1, f(w)) \sim (z + \tau, w)$

$C \subset W$: the image of $\mathbb{C} \times \{0\}$ (smooth elliptic curve)



Fact (K-)

C admits a *holomorphic tubular neighborhood* (i.e. $\exists W$ which is biholomorphic to a neighborhood of the zero section)
 iff f is *linearizable* around the origin (i.e. $\exists \varphi \in \mathcal{O}_{\mathbb{C},0}$ such that $\varphi(0) = 0$ and $\varphi^{-1} \circ f \circ \varphi(w) = a_1 \cdot w$)

Our main interest is in the following example:

Take a smooth elliptic curve $C_0 \subset \mathbb{P}^2$ and nine points

$$Z := \{p_1, p_2, \dots, p_9\} \subset C_0.$$

- $S := \text{Bl}_Z \mathbb{P}^2 \xrightarrow{\pi} \mathbb{P}^2$: blow-up at Z

- $C := \pi_*^{-1} C_0$: the strict transform of C_0

Note that $N_{C/S} \cong \mathcal{O}_{\mathbb{P}^2}(3)|_{C_0} \otimes \mathcal{O}_{C_0}(-p_1 - p_2 - \dots - p_9)$. When Z is special, S is an elliptic surface ($N_{C/S} \in \text{Pic}^0(C)$ is torsion in this case). We are interested in the case where Z is general.

Theorem (Brunella, 2010)

Assume that $S \setminus C$ has no compact complex curve.

K_S^{-1} admits a C^∞ Hermitian metric with semi-positive curvature iff C has a pseudoflat neighborhoods system

(i.e. \exists fundamental system of neighbourhoods $\{W_\varepsilon\}_{\varepsilon > 0}$ of C such that ∂W_ε is Levi-flat).

Note that C has a pseudoflat neighborhoods system if C admits a holomorphic tubular neighborhood.

Question

When does C admit a holomorphic tubular neighborhood?

Theorem (Arnol'd (1976))

Assume C is a smooth elliptic curve and $N_{C/S} \in \text{Pic}^0(C)$ is Diophantine (i.e. $\exists A, \alpha > 0$ such that $\text{dist}(\mathbb{I}_C, N_{C/S}^{\otimes n}) \geq A \cdot n^{-\alpha}$ for $\forall n > 0$, where dist is the Euclidean distance of $\text{Pic}^0(C)$). Then C admits a holomorphic tubular neighborhood.

For the previous Ueda's example, this theorem can be directly deduced from Siegel's linearization theorem.

Question

For the previous example ($C_0, Z = \{p_1, p_2, \dots, p_9\}, C, S$), does C admit a holomorphic tubular neighborhood when $N_{C/S} \in \text{Pic}^0(C)$ is not Diophantine?

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Let $(C_0, Z = \{p_1, p_2, \dots, p_9\}, C, S)$ and $(C'_0, Z' = \{p'_1, p'_2, \dots, p'_9\}, C', S')$ be as in the previous section.

Assumption

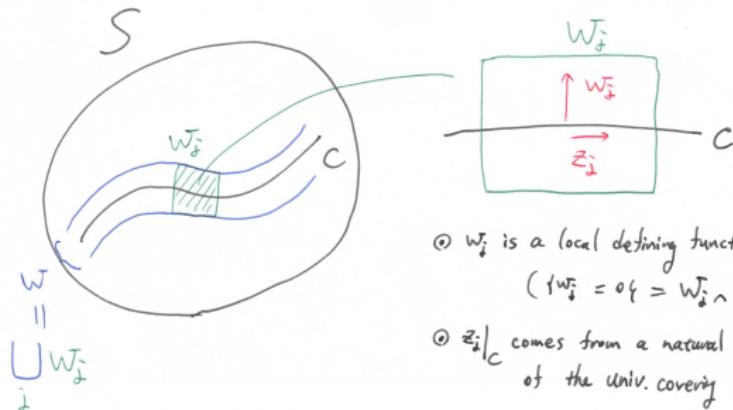
- $\exists g: C \cong C'$
- $N_{C/S} = g^* N_{C'/S'}^{-1}$
- $N_{C/S} \in \text{Pic}^0(C)$ is Diophantine

Then, it follows from Arnol'd's theorem that there exist holomorphic tubular neighborhoods $W \subset S$ of C and $W' \subset S'$ of C' .

Take a local charts systems $\{(W_j, (z_j, w_j))\}$ of W and $\{(W'_j, (z'_j, w'_j))\}$ of W' such that

$$\begin{cases} z_j = z_k + A_{jk} \\ w_j = t_{jk} \cdot w_k \end{cases}, \quad \begin{cases} z'_j = z'_k + A_{jk} \\ w'_j = t_{jk}^{-1} \cdot w'_k \end{cases}$$

for some constants $A_{jk} \in \mathbb{C}$ and $t_{jk} \in U(1) := \{t \in \mathbb{C} \mid |t| = 1\}$ on $W_{jk} := W_j \cap W_k$ and $W'_{jk} := W'_j \cap W'_k$ as follows:



① w_j is a local defining function of C
 $(\{w_j = 0\} = W_j \cap C)$

② $z_j|_C$ comes from a natural coordinate
 of the univ. covering \mathbb{C} of C .

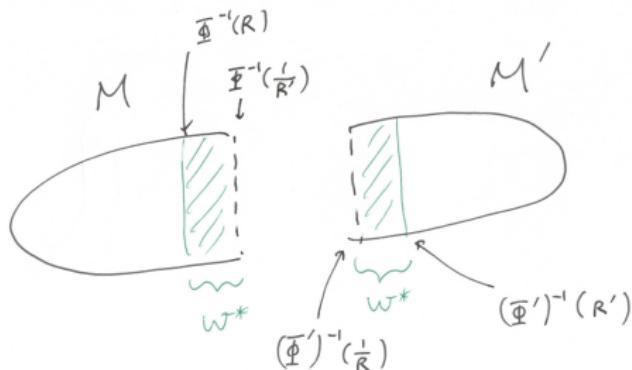
- $\Phi: W \rightarrow \mathbb{R}: (z_j, w_j) \mapsto |w_j|$: globally defined on W .
- $\Phi': W' \rightarrow \mathbb{R}: (z'_j, w'_j) \mapsto |w'_j|$: globally defined on W' .
- By scaling, we may assume that
 $\Phi^{-1}([0, R]) \Subset W, (\Phi')^{-1}([0, R']) \Subset W'$ ($R, R' > 1$).
- Replace W with $\Phi^{-1}([0, R])$ and W' with $(\Phi')^{-1}([0, R'])$.

Define $M \subset S$ and $M' \subset S'$ by

$$M := S \setminus \Phi^{-1} \left(\left[0, \frac{1}{R'} \right] \right), \quad M' := S' \setminus (\Phi')^{-1} \left(\left[0, \frac{1}{R} \right] \right).$$

Identify $W \cap M = \Phi^{-1}((1/R', R))$ and
 $W' \cap M' = (\Phi')^{-1}((1/R, R'))$ by the isomorphism

$f: \Phi^{-1}((1/R', R)) \rightarrow (\Phi')^{-1}((1/R, R')) : (z_j, w_j) \mapsto \left(g(z_j), \frac{1}{w_j}\right)$
and denote it by W^* .



$X := M \cup_{W^*} M'$: a compact complex manifold obtained by
patching M and M' via f .

Observation

W^* admits a natural foliation \mathcal{F} whose leaves are locally defined by “ $\{w_j = \text{constant}\}$ ”. As each leaf is biholomorphic to \mathbb{C} or \mathbb{C}^* , we have a holomorphic map $F: \mathbb{C} \rightarrow W^* \subset X$ as in Main Theorem.

It is easily observed that $\pi_1(X) = 0$. Therefore, for proving that X is a K3 surface, it is sufficient to show the following:

Proposition

There exists a nowhere vanishing holomorphic 2-form σ on X such that

$$\sigma|_{W^* \cap W_j} = \frac{dz_j \wedge dw_j}{w_j}$$

holds on each $W^* \cap W_j \subset W^* \subset X$.

Key Lemma

$$H^0(W^*, \mathcal{O}_{W^*}) = \mathbb{C}.$$

Key Lemma is shown by pulling back a holomorphic function on W^* by $F: \mathbb{C} \rightarrow W^*$ and considering the Maximum principle. \square

Proof of Proposition: As $K_S = -C$, there exists a meromorphic 2-form η on S with $\text{div}(\eta) = -C$. It follows from Key Lemma that the function

$$\frac{\eta|_{W^*}}{\left(\frac{dz_j \wedge dw_j}{w_j} \right)}$$

is a constant map. Thus we may assume that $\eta|_{W^*} = \frac{dz_j \wedge dw_j}{w_j}$.

Similarly, one can show the existence of a meromorphic 2-form η' on S' with $\text{div}(\eta') = -C'$ such that $\eta'|_{W^*} = \frac{dz'_j \wedge dw'_j}{w'_j}$.

σ is obtained by patching $\eta|_M$ and $-\eta'|_{M'}$. \square

“Degrees of freedom” in our construction

- Choice of C_0, C'_0 , and a Diophantine line bundle L on C_0 (**dimension=1** because of $C_0 \cong C'_0$ and Dioph. condition).
- Choice of points $p_1, p_2, \dots, p_8 \in C_0$ (**dimension=8**).
- Choice of points $p'_1, p'_2, \dots, p'_8 \in C'_0$ (**dimension=8**).
- Points $p_9 \in C_0$ and $p'_9 \in C'_0$ are automatically decided by the condition $N_{C/S} = g^* N_{C'/S'}^{-1} = L$ (**dimension=0**).
- Choice of an isomorphism $g: C \cong C'$ (**dimension=1**).
- Choice of (the “scaling” of) the coordinates w_j 's and w'_j 's ($R, R' \dots$, **dimension=1**)

Remark

Independence of these 19 parameters (in the sense of Kodaira–Spencer's local deformation theory) is non-trivial.

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In this section, we give 22 cycles

$$A_{\alpha,\beta}, A_{\beta,\gamma}, A_{\gamma,\alpha},$$

$$B_\alpha, B_\beta, B_\gamma,$$

$$C_{1,2}, C_{2,3}, \dots, C_{7,8} \text{ and } C_{6,7,8},$$

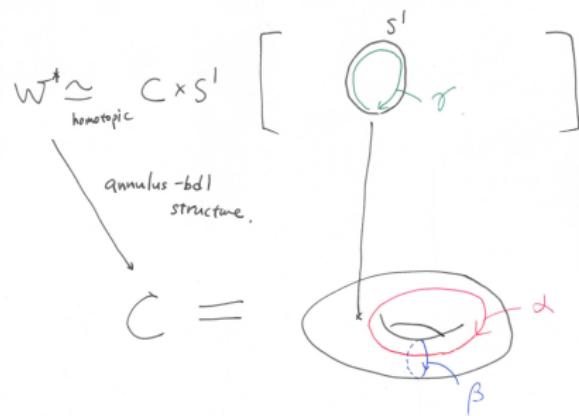
$$C'_{1,2}, C'_{2,3}, \dots, C'_{7,8} \text{ and } C'_{6,7,8}$$

which generates $H_2(X, \mathbb{Z})$, and compute the integration of the nowhere vanishing 2-form σ along these.

In the following sense, these 22 cycles can be regarded as a “marking” of X :

- $H_2(X, \mathbb{Z}) = \langle A_{\alpha,\beta}, B_\gamma \rangle \oplus \langle A_{\beta,\gamma}, B_\alpha \rangle \oplus \langle A_{\gamma,\alpha}, B_\beta \rangle \oplus \langle C_\bullet \rangle \oplus \langle C'_\bullet \rangle$.
- $\langle A_{\alpha,\beta}, B_\gamma \rangle \cong \langle A_{\beta,\gamma}, B_\alpha \rangle \cong \langle A_{\gamma,\alpha}, B_\beta \rangle \cong U$,
- $\langle C_\bullet \rangle \cong \langle C'_\bullet \rangle \cong E_8(-1)$.

Let α, β and γ be loops in W^* defined as follows:



- $A_{\alpha,\beta} := \alpha \times \beta \subset W^* \subset X$
- $A_{\beta,\gamma} := \beta \times \gamma \subset W^* \subset X$
- $A_{\gamma,\alpha} := \gamma \times \alpha \subset W^* \subset X$

As $A_{\alpha,\beta}, A_{\beta,\gamma}$ and $A_{\gamma,\alpha}$ are included in W^* and $\sigma|_{W^*} = \frac{dz_j \wedge dw_j}{w_j}$, one can explicitly compute the integrals.

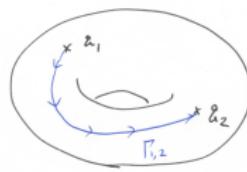
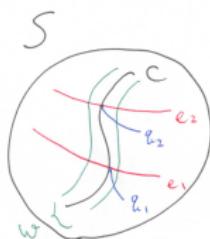
- $\frac{1}{2\pi\sqrt{-1}} \int_{A_{\alpha,\beta}} \sigma = a_\beta - \tau \cdot a_\alpha,$
- $\frac{1}{2\pi\sqrt{-1}} \int_{A_{\beta,\gamma}} \sigma = \tau,$
- $\frac{1}{2\pi\sqrt{-1}} \int_{A_{\gamma,\alpha}} \sigma = 1,$

where

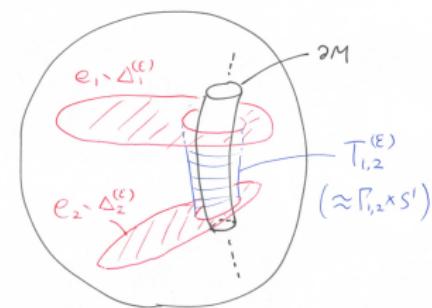
- τ is a complex number with $\text{Im}\tau > 0$ such that $C \cong \mathbb{C}/\langle 1, \tau \rangle$,
- a_α (resp. a_β) is a real number such that the monodromy of the flat line bundle $N_{C/S}$ along the loop α (resp. β) is $\exp(2\pi\sqrt{-1} \cdot a_\alpha)$ (resp. $\exp(2\pi\sqrt{-1} \cdot a_\beta)$).

Let e_ν (resp. e'_ν) be the exceptional divisor corresponding to the point p_ν (p'_ν) in S (resp. S'). Denote by h (resp. h') the preimage of a hyperplane in S (resp. S').

$C_{1,2} \subset M \subset X$ is defined by $C_{1,2} := (e_1 \setminus \Delta_1^{(\varepsilon)}) \cup T_{1,2}^{(\varepsilon)} \cup (e_2 \setminus \Delta_2^{(\varepsilon)})$.



$M =$



Note that $C_{1,2} \sim e_1 - e_2$ holds when we regard $C_{1,2} \subset M$ as a cycle of S .

Similarly, we define

- $C_{2,3}, C_{3,4}, \dots, C_{7,8}$, and $C_{6,7,8} \subset M$
 $(C_{6,7,8} \sim -h + e_6 + e_7 + e_8$ as a cycle of S),
- $C'_{1,2}, C'_{2,3}, \dots, C'_{7,8}$, and $C'_{6,7,8} \subset M'$.

As $C_\bullet \setminus W^*$ (resp. $C'_\bullet \setminus W^*$) is an analytic subset of $M \setminus W^*$ (resp. $M' \setminus W^*$), we have that

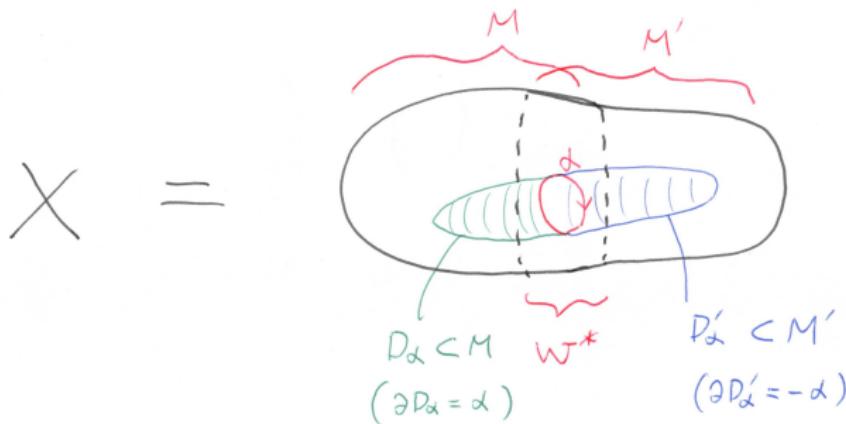
$$\int_{C_\bullet} \sigma = \int_{C_\bullet \cap W^*} \frac{dz_j \wedge dw_j}{w_j}, \quad \int_{C'_\bullet} \sigma = \int_{C'_\bullet \cap W^*} \frac{dz'_j \wedge dw'_j}{w'_j}.$$

By using this description, we can calculate the integrals.

Denote by q_0 a inflection point of C , q'_0 a inflection point of C' , q_ν the intersection point $C \cap e_\nu$, and by q'_ν the intersection point $C' \cap e'_\nu$. Then we have

- $\frac{1}{2\pi\sqrt{-1}} \int_{C_{\nu,\nu+1}} \sigma = \int_{q_\nu}^{q_{\nu+1}} dz_j \quad (1 \leq \nu \leq 7),$
- $\frac{1}{2\pi\sqrt{-1}} \int_{C_{6,7,8}} \sigma = \int_{q_0}^{q_6} dz_j + \int_{q_0}^{q_7} dz_j + \int_{q_0}^{q_8} dz_j,$
- $\frac{1}{2\pi\sqrt{-1}} \int_{C'_{\nu,\nu+1}} \sigma = \int_{q'_\nu}^{q'_{\nu+1}} dz'_j \quad (1 \leq \nu \leq 7),$
- $\frac{1}{2\pi\sqrt{-1}} \int_{C'_{6,7,8}} \sigma = \int_{q'_0}^{q'_6} dz'_j + \int_{q'_0}^{q'_7} dz'_j + \int_{q'_0}^{q'_8} dz'_j.$

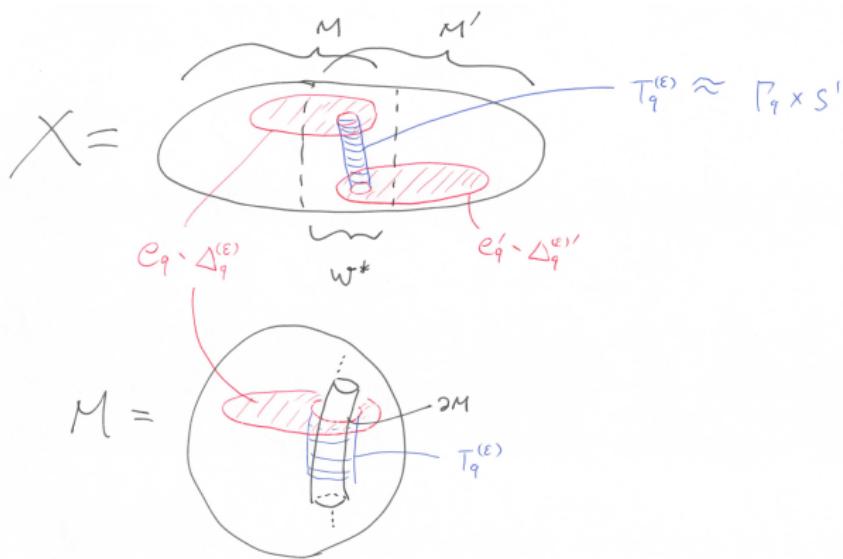
B_α is defined as follows by using the fact that
 $\pi_1(M) = \pi_1(M') = 0$.



Similarly, we define B_β .

At this moment, we do not know how to calculate the integrals
 $\int_{B_\alpha} \sigma$ and $\int_{B_\beta} \sigma$.

B_γ is defined by $B_\gamma := (e_9 \setminus \Delta_9^{(\varepsilon)}) \cup T_9^{(\varepsilon)} \cup (e'_9 \setminus \Delta_9^{(\varepsilon)'}).$



By the same argument as in the C_\bullet case, we have that

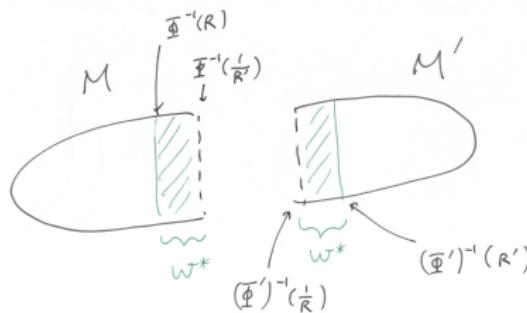
$$\frac{1}{2\pi\sqrt{-1}} \int_{B_\gamma} \sigma = \int_{g(q_9)}^{q_9'} dz'_j.$$

lattice	cycle	$\frac{1}{2\pi\sqrt{-1}} \int \sigma$	corresponding parameter
U	$A_{\beta,\gamma}$	τ	choice of C_0 (and C'_0)
	B_α	???	choice of w_j 's (R, R', \dots)
U	$A_{\gamma,\alpha}$	1	—
	B_β	???	choice of w_j 's (R, R', \dots)
$E_8(-1)$	$C_{1,2}$	" $p_2 - p_1$ " in C	choice of $p_2 - p_1$
	$C_{2,3}$	" $p_3 - p_2$ " in C	choice of $p_3 - p_2$
	\vdots	\vdots	\vdots
	$C_{7,8}$	" $p_8 - p_7$ " in C	choice of $p_8 - p_7$
	$C_{6,7,8}$	" $p_6 + p_7 + p_8$ " in C	choice of $p_6 + p_7 + p_8$
	$C'_{1,2}$	" $p'_2 - p'_1$ " in C'	choice of $p'_2 - p'_1$
	$C'_{2,3}$	" $p'_3 - p'_2$ " in C'	choice of $p'_3 - p'_2$
	\vdots	\vdots	\vdots
$E_8(-1)$	$C'_{7,8}$	" $p'_8 - p'_7$ " in C'	choice of $p'_8 - p'_7$
	$C'_{6,7,8}$	" $p'_6 + p'_7 + p'_8$ " in C	choice of $p'_6 + p'_7 + p'_8$
	$A_{\alpha,\beta}$	$a_\beta - \tau \cdot a_\alpha$	choice of p_9 and p'_9 (i.e. $N_{C/S}$ and $N_{C'/S'}$)
U	B_γ	" $p'_9 - g(p_9)$ "	choice of $g: C \cong C'$

An interesting direction of the deformation I

A deformation family $\pi_1: \mathcal{X}_1 \rightarrow \Delta$ on the disc $\Delta \subset \mathbb{C}$ can be constructed by

- fixing the choice of C_0, C'_0, Z, Z' , and $g: C \cong C'$, and
- only considering the change of the coordinates w_j 's (R, R', \dots)



- c.f. a degeneration of K3 to a nodal K3 “ $S \cup_C S'$ ”.
- We can concretely calculate $\frac{\partial}{\partial b} \int_{B_\alpha} \sigma$ and $\frac{\partial}{\partial b} \int_{B_\beta} \sigma (\neq 0)$
- $\int_{A_\bullet} \sigma, \int_{C_\bullet} \sigma$, and $\int_{B_\gamma} \sigma$ are fixed.

An interesting direction of the deformation II

By general theories (Kodaira-Spencer's local deformation theory, Torelli theorem...), there exists a deformation $\pi_2: \mathcal{X}_2 \rightarrow B$ such that $\frac{\partial}{\partial b} \int_{A_{\beta,\gamma}} \sigma \equiv 0$ and $\frac{\partial}{\partial b} \int_{A_{\alpha,\beta}} \sigma \neq 0$ for some direction.

Question

Which fiber $X_b := \pi_2^{-1}(b)$ can be constructed in the same manner as in the previous section? (i.e. \exists a subset such as " W^* " $\subset X_b$?)

This question is related to the previous question on the existence of a holomorphic tubular neighborhood of C in S .

Question (repeated)

For the previous example ($C_0, Z = \{p_1, p_2, \dots, p_9\}, C, S$), does C admit a holomorphic tubular neighborhood when $N_{C/S} \in \text{Pic}^0(C)$ is not Diophantine?