

# On a higher codim'l analogue of Veda theory and its application.

10:30 - 12:00.

... nbhd. of.

[Veda '83]  $Y: \text{cpt sm. curve} \hookrightarrow X: \text{sm. surf.}$   
with  $\deg N_{Y/X} = 0$ .

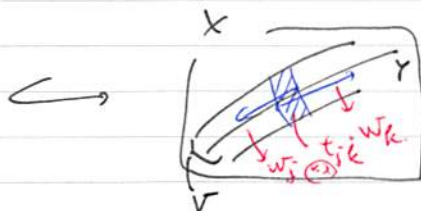
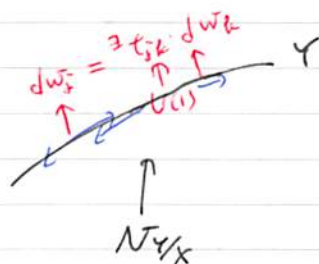
(c.f. H. Grauert '62  
O. Suzuki '75)

Veda classified the pair  $(Y, X)$  into the following two types:

$\begin{cases} \text{type}(Y, X) < \infty : [Y] \not\cong \widetilde{N}_{Y/X} \text{ formally along } Y. \\ \text{type}(Y, X) = \infty : [Y] \cong \widetilde{N}_{Y/X} \text{ formally along } Y, \end{cases}$

where

$[Y]:$  the line bdl /  $X$  corresp. to the divisor  $Y$ .  
 $\widetilde{N}_{Y/X}$ : the flat ext. of  $N_{Y/X} \in \text{Pic}^0(Y) \xrightarrow{\text{face}} H^1(Y, \mathcal{O}(1))$



$\widetilde{N}_{Y/X} \in H^1(V, \mathcal{O}(1))$   
tub. nbhd of  $Y$ .

## Veda's thm

$\begin{cases} \text{type}(Y, X) < \infty \Rightarrow Y \text{ admits a str. psd concave nbhd's system.} \\ \text{type}(Y, X) = \infty \Rightarrow \exists V: \text{tub. nbhd of } Y \text{ in } X \\ \text{+ some condits on } N_{Y/X}. \end{cases}$   
s.t.  $[Y] \cong \widetilde{N}_{Y/X}$  on  $V$ .

also true  
in the case $Y: \text{cpt mtd.}$ with  $r = \text{codim}_Y X$  $\Rightarrow 1$ 

[Okumura '07]

A rank on psd concv  
dom.s with analog  
J. Math. Kyoto U. $X: \text{cpt k.c.}$  $\Rightarrow X, Y \text{ is } 3\text{-b.c.} \Rightarrow$   
posi. eigen val  $\in \mathbb{R}^+$   
eig.  $\in \mathbb{R}^+$ 

Lewy-form

Goal

① Generalize Veda's classification

② Generalize the Veda's thm for  $\text{type}(Y, X) = \infty$ 

③ Apply it to the semi-positivity criterion. for net line bdl's.

(i)  $\exists \mathcal{F}$ : sm. hol. foliation on  $V$   
s.t.  $\{Y \in \mathcal{F} \text{ (i.e. } Y: \text{a leaf of } \mathcal{F})\}$   
 $\text{Hol}(Y, \mathcal{F}) = \frac{P_{N_{Y/X}}}{\text{monodromy of } (N_{Y/X}, \text{flat conn.})} : \pi_1(Y, *) \rightarrow \mathcal{O}(1)$   
along  $Y$ .  
(ii)  $[Y]|_V$  admits a metric  $h$   
with  $\nabla^2 \otimes_n \equiv 0$

- §1. Main result, application to the semi-positivity criterion.  
 §2. definition of Obsv. class and type  $(Y, X)$ .  
 §3. Outline of the prf.

# §1 Main result

Main Thm #  $Y \subset X$ ; cpe subnd of  $\begin{cases} \text{codim} = r \geq 1. \\ N_{Y/X} \in H^1(Y, U(r)). \end{cases}$

Assume

- $\text{type}(Y, X) = \infty$
- $N_{Y/X}$  satisfies

"torsion condition" #  $\text{Image}(P_{N_{Y/X}} : \pi_*(Y, +) \rightarrow U(r)) < \infty$ .

or

"Diophantine condition"

$$\exists N_1, N_2, \dots, N_r \in H^1(Y, U(1))$$

$$\exists A > 0 \text{ s.t.}$$

$$N_{Y/X} \cong N_1 \oplus N_2 \oplus \dots \oplus N_r$$

$$\forall \alpha = (\alpha_1, \dots, \alpha_r) \in \mathbb{Z}^r,$$

$$d(1_Y, \bigotimes_{\lambda=1}^r N_{Y/X}^{\alpha_\lambda}) \geq \frac{1}{(2|\alpha|)^A}$$

$$(|\alpha| := \alpha_1 + \dots + \alpha_r \geq 1).$$

Then

(i)  $\exists V$ : a nbhd of  $Y \subset X$ .

$\exists \mathcal{F}_1$ : sm. hol. foliation s.t.  $\begin{cases} Y \in \mathcal{F}_1 \\ H_0(\mathcal{F}_1, Y) = P_{N_{Y/X}} \end{cases}$   
 on  $V$  of  $\text{codim} = r$ .

(ii)  $\forall S$ : sm. hyp. surf  $\subset V$  with  $\begin{cases} Y \subset S \\ N_{Y/S} \in H^1(Y, U(r-1)) \end{cases}$

$$\begin{pmatrix} w_1^1 \\ \vdots \\ w_r^1 \end{pmatrix} = \begin{pmatrix} w_1^1 \\ \vdots \\ w_r^1 \end{pmatrix} \begin{pmatrix} w_1^1 \\ \vdots \\ w_r^1 \end{pmatrix}$$

$U(r)$

$\exists V_S \subset V$ :  $Y$ -nbhd.

$\exists \mathcal{F}_S$ : sm. hol. foliation of  $\text{codim} = 1$  on  $V_S$ .

s.t.  $\begin{cases} S \cap V_S \in \mathcal{F}_S \\ \text{Hol}_{S \cap V_S, \mathcal{F}_S, S \cap V_S} : U(1)\text{-linear} \\ \text{each leaf of } \mathcal{F}_S \text{ is the union of leaves of } \mathcal{F}_1 \end{cases}$

$$\mathcal{F}_1 = \{w_j^1 = \text{const}\} \text{ (loc.)}$$

$$S = \{w_j^1 = 0\} \text{ locally}$$

$$T_{\mathcal{F}_1} = \begin{pmatrix} 0 & 0 \\ 0 & U(r-1) \end{pmatrix}$$

$$\mathcal{F}_S = \{w_j^1 = \text{const}\} \text{ (loc.)}$$

$$\Rightarrow [S, V_S] \in H^1(V_S, U(1)) \text{ "flat"}$$

Schedule §1. Some examples

§2. definition of Obsv. classes and type  $(Y, X)$ .

§3.

Rmk @  $\text{type}(Y, X) = \infty$  if  $H^1(Y, N_{Y/X} \otimes S^{n+1} N_{Y/X}^*) = 0$   
for  $\forall n \geq 1$ .

- $\uparrow$
- (Y: sm. ellipt. curve,  
+  $N_{Y/X}$  satisfies "Dioph. cond.")
- ① Anol'd '76; <sup>Mainthm (i)</sup> for this case. ( $r=1$ ?)
- ② Ueda '83; Mainthm (i) for  $\underline{r=1}$ .
- ③ (Katz '15)  
K—, N. Ogawa '16 ...  $\underline{r=2}$

e.g.  $\pi: X \rightarrow B$  : surj. hol. submersion.  
dom  $\subset \mathbb{C}^r$ .  $\pi^{-1}(x)$ : proj. for  $\forall x \in B$ ,  
 $Y := \pi^{-1}(0)$ : sm. fiber.

$\Rightarrow$  free  $N_{Y/X}$ : hol. triv,  $\text{type}(Y, X) = \infty$

In this case: ① Mainthm (i) --- triv. ( $\mathcal{F} = \{\pi^{-1}(x) \mid x \in B\}$ )

② Simple prf of Mainthm (i) in this case:

$S \subset X$ : hyp. surf as in (i).

$\leadsto [S] \mid \pi^{-1}(x)$ : top. triv. for  $\forall x$ .

Take  $\begin{cases} x \in B \\ \text{with } \pi^{-1}(x) \not\supset S \end{cases} \leadsto S \mid \pi^{-1}(x)$ : eff. div. on  $\pi^{-1}(x)$ .

$\leadsto S = \pi^{-1}(\pi(S))$

$\pi^{-1}(x) \cap S = \emptyset$

Application to the semi-positivity criterion

$X$ : cpx mtd of  $\dim = n$ .

$\uparrow$   
 $L$ : hol. line bdl,  $D_1, D_2, \dots, D_{n-1} \in |L|$   $C := \bigcap_{\lambda=1}^{n-1} D_\lambda$

$\leadsto \exists$  sing. Herm metric <sup>h.s.p.</sup> on  $L$  with analytic sing and  $\{h_{\text{sing}} = \infty\} = C$ .  
( $\Leftarrow$  "Bergman type cover.")

① If  $\exists V$ :  $C$ -nbhd s.t.  $L|_V$  is flat,

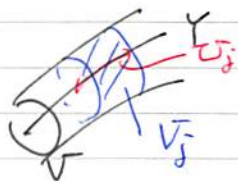
Then  $L$  is semi-positive (i.e.  $L$  admits a  $C^\infty$  Herm metric with s.p. curv.)  
( $\Leftarrow$  "max. constr.")



Cor Assume that  $D_1, \dots, D_{n-1}$  intersect transversally along  $C$ ,  
 $L|_C \in H^1(C, \mathcal{O}(1))$ , satisfies Dioph. cond.  
 Then  $L$  is semi-positive. //

e.g.  $(V, F)$  : sm. de Pezzo mfd of  $\dim = 1$  ( $F$  : ample /  $V^n$ ,  
 $p \in V$  : "general".  
 $\pi : X := \mathbb{B}_p V \longrightarrow V$ ,  $E := \pi^{-1}(p)$ ,  
 $L := \pi^* F \otimes [-E]$ .  $\implies$   $L$  : semi-positive  
 $(\underbrace{K_X^{-1}}_{\text{on } E})$  "

## §2. Definition of obser. class. and type $(Y, x)$



$V$ : tub. nbhd of  $Y$ , (suff. small)

 $\{V_j\}$ : open cov. of  $V$ ,

$$U_i := V_i \cap Y.$$

$$W_j^- = \begin{pmatrix} w_{j-}^1 \\ \vdots \\ w_{j-}^r \end{pmatrix} : \text{def. func. of } \bar{U}_j \text{ on } V_j.$$

$(N_{Y/X} \in H^1(Y, U(r)) \Rightarrow)$  ~~one~~ can take  $\{(v_j, w_j)\}$ .

$$s.t. \quad dw_j|_{\mathcal{U}_j} = \sum_{i \in \mathcal{U}(r)} T_{ji} \cdot dw_i|_{\mathcal{U}_i}.$$

$z_j$ : coord of  $U_j$

$$\Rightarrow \underbrace{T_{jk}}_{\substack{\text{on } V_{jk} \\ \text{ii}}} \cdot \underbrace{w_k}_{\substack{\text{on } V_k \\ \text{ii}}} = w_j + \sum_{\substack{\alpha \in \mathbb{Z}_{\geq 0}^r \\ |\alpha| \geq 2}} \underbrace{f_{kj,\alpha}(z_j)}_{\substack{\text{on } V_{jk} \\ \text{ii}}} \cdot w_j^\alpha$$

$$\Rightarrow \left\{ \sum_{\lambda, |\lambda|=2} t_{\lambda, \alpha} \cdot \frac{\partial}{\partial w_j^\lambda} \Big|_{z_{j_k}} \otimes (dw_j|_{z_{j_k}})^\alpha \right\}$$

Fact  $U_i(Y, x) = 0 \Rightarrow$  One can take  $\{V_j, w_j\}$  s.t.

$$T_{jk} \cdot w_k = w_j + \sum_{\alpha \in \Delta_3} f_{j,\alpha}(z_j) \cdot w_j^\alpha$$

$$\leadsto U_2(Y, X) = \left[ \sum_{|K|=3} H^1(Y, N_{Y/X}^{\otimes 3} \otimes S^3 N_{Y/X}^*) \right]$$

Face ① " $U_n(Y, X) = 0$ " : ~~self-def~~ does not depend on  $\{W_i\}$ .  
 $H^1(Y, N_{Y/X}^{\otimes n} \otimes S^n N_{Y/X}^*)$

① Either  $\exists n \geq 1$  s.t.  $U_n(Y, X) \neq 0$ .  $\leftarrow \text{type}(Y, X) = n$   
or  $\forall n \geq 1, U_n(Y, X) = 0$ .  $\leftarrow \text{type}(Y, X) = \infty$

Rank  $I_Y$ : def. ideal of  $Y$  ( $\subset \mathcal{O}_X$ )

$$\begin{aligned} \leadsto 0 \rightarrow I_Y/I_Y^2 &\rightarrow \mathcal{O}_Y/I_Y^2 \rightarrow \mathcal{O}_Y/I_Y \rightarrow 0 \\ \leadsto H^0(\mathcal{O}_Y/I_Y^2) &\rightarrow H^0(\mathcal{O}_Y/I_Y) \rightarrow H^1(I_Y/I_Y^2) \\ \textcircled{\otimes} \tilde{N}_{Y/X} &\quad \textcircled{\otimes} \tilde{N}_{Y/X} \quad \textcircled{\otimes} \tilde{N}_{Y/X} \quad \textcircled{\otimes} \tilde{N}_{Y/X}^* \end{aligned}$$

$\{W_i\} \mapsto U_i \in H^1(Y, N_{Y/X}^{\otimes i} \otimes S^i N_{Y/X}^*)$

§3. Outline of the proof of Mainthm.

$\{W_i\}$ : as in §2.  $(T_{jk} \cdot W_k = W_j + \sum_{|\alpha| \geq 2} f_{j,\alpha} \cdot W_j^\alpha)$

proof of Mainthm(i) .... (c.f. proof of Ueda's thm).

Solve a "Schröder type functional equation"

$$W_j = U_j + \sum_{|\alpha| \geq 2} \underbrace{F_{j,\alpha}(z_j)}_{\substack{F_j^1 \\ \vdots \\ F_j^r}} \cdot U_j^\alpha \quad \text{--- (*)}$$

to construct  $\{U_j\}$

with  $\begin{cases} U_j: \text{def. func. system of } U_j \text{ in } V_j, \\ U_j = T_{jk} \cdot U_k \text{ on } V_{jk} \end{cases}$

① Existence of "nice"  $\{F_{j,\alpha}\}_{|\alpha|=n+1} \leftarrow U_n = 0$ .

② Conv. of  $X \mapsto X + \sum_{|\alpha| \geq 2} \|F_{j,\alpha}\|_{\infty} \cdot X^\alpha \leftarrow \begin{cases} \text{"torsion cond."} \\ \text{"or"} \\ \text{"Prop. cond."} \end{cases}$

prf of Mainthm (ii) ;

$$N_{Y/S} \in H^1(Y, U(r-1))$$

$\Rightarrow$  One can take an initial system  $\{w_j\}$  so that

$$\{w_j^1 = 0\} = S \cap V_j \text{ in } V_j.$$

$$T_{jk} = \begin{pmatrix} u_j & 0 \\ 0 & U(r-1) \end{pmatrix}.$$

$\left\{ \begin{array}{l} \text{Solve func. eq. (x)} \end{array} \right.$

Face  $\{u_j\}$  satisfies  $\{u_j^1 = 0\} = S \cap V_j$  in  $V_j$ .  
 the solution (+ T\_{jk} u\_k = u\_j)  
on  $V_{jk}$ .

Q  $\{u_j\}$  in Mainthm (i) v.s.  $\{u_j\}$  in Mainthm (ii) ?

$\uparrow$

$\{u_j\} \rightsquigarrow \hat{\pi}$

$\{u_j\} \rightsquigarrow \hat{\pi}$

Face  $\hat{u}_j = u_j + \sum_{|\alpha| \geq 2} a_j^\alpha (z_j) \cdot u_j^\alpha$   
 $\uparrow$  loc. const.

$$\Rightarrow \hat{\pi} = \hat{\pi}$$

//