# Higher codimensional Ueda theory for a compact submanifold with unitary flat normal bundle (arXiv:1606.01837)

Takayuki Koike (Kyoto University)

# 1. Configurations and our main interest

X: complex manifold,

 $Y \subset X$ : compact complex submanifold of codimension  $r \geq 1$  with *unitary flat* normal bundle.

i.e.  $N_{Y/X} \in \text{Image}(H^1(Y, U(r)) \to H^1(Y, GL_r(\mathcal{O}_Y))) =: \mathcal{P}_r(Y)$ .

### Main interest

Compare a neighborhood of Y in X and a neighborhood of the zero section in  $N_{Y/X}$ .  $\hfill\Box$ 

## Main interest in local description:

Let  $\{U_j\}$  be an open covering of Y and  $\{V_j\}$  be a neighborhood of  $U_j$  in X. Is there a defining functions system  $w_j = (w_j^1, w_j^2, \dots, w_j^r)$  of  $U_j$  in  $V_j$  with  $w_j = T_{jk}w_k$ ?

(i.e.  $w_j^\lambda = \left(T_{jk}w_k\right)^\lambda := \sum_{\mu=1}^r (T_{jk})_\mu^\lambda \cdot w_k^\mu$  holds on each  $V_{jk}$ , where  $T_{jk} \in U(r)$  is the transition matrix of  $N_{Y/X}$ )

# 2. Obstruction classes and the type of the pair

As  $T_{jk} \in U(r)$  is the transition matrix of  $N_{Y/X}$ , one can take  $w_j$  with  $dw_j = T_{jk}dw_k$  on each  $U_{jk}$ : i.e.

$$(T_{jk}w_k)^{\lambda} = w_j^{\lambda} + O(|w_j|^2) = w_j^{\lambda} + \sum_{|\alpha| \ge 2} f_{kj,\alpha}^{\lambda}(z_j) \cdot w_j^{\alpha}$$

for some  $f_{kj,\alpha}^{\lambda}$  ( $\alpha\in\mathbb{Z}_{\geq0}^{r}$ ,  $|\alpha|:=\sum_{\lambda=1}^{r}\alpha_{\lambda}$ ,  $w_{j}^{\alpha}:=\prod_{\lambda=1}^{r}(w_{j}^{\lambda})^{\alpha_{\lambda}}$ ).

$$u_1(Y,X) := \left[ \left\{ (U_{jk}, \sum_{|\alpha|=2} \sum_{\lambda=1}^r f_{kj,\alpha}^{\lambda} \cdot (\partial/\partial w_j^{\lambda}) \otimes (dw_j)^{\alpha} \right\} \right]$$
  
 
$$\in H^1(Y, N_{Y/X} \otimes S^2 N_{Y/X}^*).$$

If  $u_1(Y,X)=0$ , then one can take  $\{w_j\}$  such that

$$(T_{jk}w_k)^{\lambda} = w_j^{\lambda} + O(|w_j|^3) = w_j^{\lambda} + \sum_{|\alpha| \ge 3} f_{kj,\alpha}^{\lambda}(z_j) \cdot w_j^{\alpha}.$$

$$u_2(Y,X) := \left[ \left\{ (U_{jk}, \sum_{|\alpha|=3} \sum_{\lambda=1}^r f_{kj,\alpha}^{\lambda} \cdot (\partial/\partial w_j^{\lambda}) \otimes (dw_j)^{\alpha} \right) \right\} \right]$$
  
 
$$\in H^1(Y, N_{Y/X} \otimes S^3 N_{Y/X}^*).$$

If  $u_2(Y, X) = 0, ...$ 

# Properties of $u_n(Y,X)$ and Definition of the type

- $u_n(Y,X) \in H^1(Y,N_{Y/X} \otimes S^{n+1}N_{Y/X}^*).$
- " $u_n(Y,X)=0$ " does not depend on the choice of  $\{w_i\}$ .
- type  $(Y, X) := \max\{n \mid u_{\nu}(Y, X) = 0 \ \forall \nu < n\} \in \mathbb{Z}_{>1} \cup \{\infty\}$

## 3. Main result

 $\mathcal{E}_0^{(r)}(Y) := \{E \in \mathcal{P}_r(Y) \mid \#(\operatorname{Image} \rho_E) < \infty\}, \text{ where } \rho_E \colon \pi_1(Y, *) \to U(r) \text{ is the monodromy of } E.$ 

$$\mathcal{E}_{1}^{(r)}(Y) := \bigcup \left\{ E \in \mathcal{P}_{r}(Y) \middle| \pi^{*}E \in \mathcal{S}_{A}^{(r)}(\widetilde{Y}) \text{ for some } A > 0 \right\}, \text{ where } \pi \colon \widetilde{Y} \to Y \text{:finite normal covering}$$

$$\mathcal{S}_{A}^{(r)}(\widetilde{Y}) := \left\{ \bigoplus_{\lambda=1}^{r} L_{\lambda} \middle| \begin{array}{l} L_{\lambda} \in \mathcal{P}_{1}(\widetilde{Y}), \ d\left(\mathbb{I}_{\widetilde{Y}}^{(1)}, \ \bigotimes_{\lambda=1}^{r} L_{\lambda}^{a_{\lambda}}\right) \geq \frac{1}{(2|a|)^{A}} \\ \text{for } a = (a_{\lambda})_{\lambda} \in \mathbb{Z}^{r} \text{ with } |a| \geq 1 \end{array} \right\}.$$

#### ✓ Main Theorem

Assume type  $(Y,X)=\infty$  and  $N_{Y/X}\in\mathcal{E}_0^{(r)}(Y)\cup\mathcal{E}_1^{(r)}(Y)$ . Then the following holds:

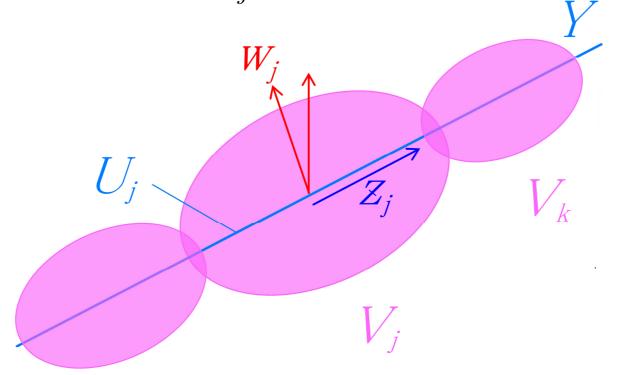
- (i) There exists a non-singular holomorphic foliation  $\mathcal F$  of codimension r on some neighborhood V of Y which includes Y as a leaf with  $\operatorname{Hol}_{\mathcal F,Y}=\rho_{N_{Y/X}}$ .
- (ii) For each hypersurface S such that  $Y \subset S$  and  $N_{Y/S}$  is unitary flat, there exists a non-singular holomorphic foliation  $\mathcal{G}_S$  of codimension 1 on V with the following properties by shrinking V if necessary:  $\mathcal{G}_S$  includes  $S \cap V$  as a leaf with U(1)-linear holonomy, and each leaf of  $\mathcal{F}$  is holomorphically immersed into a leaf of  $\mathcal{G}_S$ .

# The assertions (i) and (ii) in local description:

- (i) means that one can take  $\{w_j\}$  with  $w_j = T_{jk}w_k$  holds on each  $V_{jk}$  (The leaves of  $\mathcal{F}$  is locally defined by  $\{w_j = \mathrm{constant}\}$ ).
- (ii) means that there exists a convergent power series  $F^{\lambda} \in \mathbb{C}\{X^1,X^2,\dots,X^r\}$  such that, by setting

$$\widehat{w}^{\lambda} := F^{\lambda}(w_j^1, w_j^2, \dots, w_j^r)$$
,

 $\{\widehat{w}_j\}$  is a new defining functions system with  $w_j=\widehat{T}_{jk}w_k$  holds on each  $V_{jk}$  for some  $\widehat{T}_{jk}\in U(r)$  and  $\{\widehat{w}_j^1=0\}=S\cap V_j$  (The leaves of  $\mathcal{G}_S$  is locally defined by  $\{w_j^1=\mathrm{constant}\}$ ).



## 4. History

**Arnol'd**: The case where Y is an elliptic curve [A].

**Ueda**: The case where r = 1 [U].

**K-, Ogawa**: The case where r = 2 [K], [KO].

# 5. Application

Theorem (ii) can be applied to the "semi-positivity problem" on a nef line bundle, since the assertion (ii) implies the unitary flatness of the line bundle [S] on V. For example:

## Corollary (Application to the semi-positivity problem) -

Let X be a complex manifold of dimension n and L be a holomorphic line bundle on X. Take  $D_1, D_2, \ldots, D_{n-1} \in |L|$ . Assume that  $C := \bigcap_{\lambda=1}^{n-1} D_\lambda$  is a smooth elliptic curve,  $L|_C \in \mathcal{E}_1^{(1)}(C)$ , and  $\{D_\lambda\}_{\lambda=1}^{n-1}$  intersects transversally along C. Then L is semi-positive (i.e. L admits a  $C^\infty$  Hermitian metric with semi-positive curvature).

Note that L as in Corollary has C as a stable base locus:  $C = SB(L) := \bigcap_{m \ge 1} \operatorname{Bs} |L^m|$ .

#### **Example**

Let (V,L) be a del Pezzo manifold of degree 1 (i.e. V is a projective manifold of dimension n and L is an ample line bundle on V with  $K_V^{-1} \cong L^{n-1}$  and the self-intersection number  $(L^n)$  is equal to 1), and  $C \subset V$  be an intersection of general n-1 elements of |L|. For each point  $q \in C$  with  $L|_C \otimes [-q] \in \mathcal{E}_1^{(0)}(C) \cup \mathcal{E}_1^{(1)}(C)$ , the anti-canonical bundle of the blow-up of V at q is semi-positive.  $\square$ 

This example can be regarded as a generalization of the known semi-positivity criterion for the anti-canonical bundle of the blow-up of  $\mathbb{P}^2$  at 9 points [A], [U], [B].

## References

- [A] V. I. ARNOL'D, Bifurcations of invariant manifolds of differential equations and normal forms in neighborhoods of elliptic curves, Funkcional Anal. i Prilozen., 10-4 (1976).
- [B] M. Brunella, On Kähler surfaces with semipositive Ricci curvature, Riv. Mat. Univ. Parma, 1 (2010), 441–450.
- [K] T. KOIKE, Toward a higher codimensional Ueda theory, Math. Z., 281, 3 (2015), 967–991.
- [KO] T. KOIKE, N. OGAWA, Local criteria for non embeddability of Levi-flat manifolds, arXiv:1603.09692.
- [U] T. UEDA, On the neighborhood of a compact complex curve with topologically trivial normal bundle, Math. Kyoto Univ., **22** (1983), 583–607.