# On a neighborhood of an elliptic curve and a gluing construction of K3 surfaces

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- 1 Main results
- 2 Motivation from neighborhoods theories
- 3 Construction of the K3 surface X
- 4 22 generators of  $H_2(X,\mathbb{Z})$  and the period
- 5 Towards the "moduli space" of K3 surfaces constructed by our method

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### Goal of this talk:

To construct a (non-projective, non-Kummer) K3 surface X containing a real 1-parameter family of Levi-flat hypersurfaces by holomorphically patching two open complex surfaces, say M and M'. Also, to investigate how large is the set of all K3 surfaces constructed by this "gluing" method.

- M (M') is the complement of a (appropriate) tubular neighborhood of an elliptic curve in the blow-up S (S') of the projective plane  $\mathbb{P}^2$  at (appropriate) nine points.
- lacktriangle Neither S nor S' admit elliptic fibration structure (nine points are "general")
- lacktriangle In order to patch M and M' holomorphically, we need to take "nice neighborhood". For this purpose, we need to choose nine points carefully.
- Use a technique from Complex Dynamics for taking "nice neighborhood" (Arnol'd's theorem).

# Remarks on our construction of K3 surfaces

- In our construction, "the tab for gluing"  $W^* := M \cap M'$  is an open submanifold of X which admits an annulus bundle structure over an elliptic curve.
- $\blacksquare \exists \Phi \colon W^* \to I \ (I \subset \mathbb{R}: \text{ an interval}): \text{ pluriharmonic }.$
- $H_t := \Phi^{-1}(t)$  is a compact Levi-flat hypersurface of  $W^*(\subset X)$  which is diffeomorphic to  $S^1 \times S^1 \times S^1$  for each  $t \in I$ .
- For each  $t \in I$ , any leaf of  $H_t$  is biholomorphic to  $\mathbb{C}$  or  $\mathbb{C}^* := \mathbb{C} \setminus \{0\}$ , and is dense in  $H_t$ .

# Main results

We constructed K3 surfaces in such a manner with independent (at least) 19 parameters. As a result, we showed for example:

# Theorem (K-, T. Uehara)

There exists a deformation  $\pi\colon\mathcal{X}\to B$  of K3 surfaces over a 19 dimensional complex manifold B with injective Kodaira-Spencer map such that each fiber  $X_b:=\pi^{-1}(b)$  admits a holomorphic map  $F_b\colon\mathbb{C}\to X_b$  with the following property: The Euclidean closure of  $F_b(\mathbb{C})$  is a real analytic compact hypersurface of  $X_b$ . Especially,  $F_b(\mathbb{C})$  is Zariski dense whereas it is not Euclidean dense.  $X_b$  is non-Kummer and non-projective for general  $b\in B$ .

" $F_b$ " can be constructed by considering the immersion of a leaf of  $H_t$  into  $W^* \subset X$  for each  $X = X_b$ .

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#### Let

- $lue{S}$  be a non-singular complex surface, and
- C be a compact complex curve embedded in S with  $(C^2) := \deg N_{C/S} = c_1(N_{C/S}) = 0.$

There exists a (small) neighborhood W of C in S which is <u>diffeomorphic</u> to a neighborhood of the zero section in  $N_{C/S}$  (tubular neighborhood theorem).

### Our original interest:

What kind of complex analytic structure does W have?

### Remark

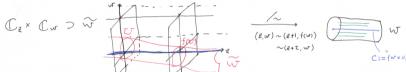
In general,  $ot \exists W$  which is  $ot \underline{biholomorphic}$  to a neighborhood of the zero section in  $N_{C/S}$ .

# Ueda's example

Fix  $\tau \in \mathbb{C}$  with  $\operatorname{Im} \tau > 0$  and  $f(w) = a_1 w + a_2 w^2 + \cdots \in \mathcal{O}_{\mathbb{C},0}$  ( $|a_1| = 1$ ). Take a neighborhood  $\widetilde{W}$  of  $\mathbb{C} \times \{0\}$  in  $\mathbb{C} \times \mathbb{C}$ .

$$W := \widetilde{W} / \sim$$
,  $(z, w) \sim (z + 1, f(w)) \sim (z + \tau, w)$ 

 $C \subset W$ : the image of  $\mathbb{C} \times \{0\}$  (smooth elliptic curve)



### Fact [K-, N. Ogawa, arXiv:1808.10219]

C admits a holomorphic tubular neighborhood (i.e.  $\exists W$  which is biholomorphic to a neighborhood of the zero section) iff f is linearizable around the origin.

# Our main interest is in the following example:

Take a smooth elliptic curve  $C_0 \subset \mathbb{P}^2$  and nine points  $Z := \{p_1, p_2, \dots, p_9\} \subset C_0$ .

- lacksquare  $S:=\mathrm{Bl}_Z\mathbb{P}^2\xrightarrow{\pi}\mathbb{P}^2$ : blow-up at Z
- $C := \pi_*^{-1} C_0$ : the strict transform of  $C_0$

Note that  $N_{C/S} \cong \mathcal{O}_{\mathbb{P}^2}(3)|_{C_0} \otimes \mathcal{O}_{C_0}(-p_1-p_2-\cdots-p_9)$ . When Z is special, S is an elliptic surface  $(N_{C/S} \in \operatorname{Pic}^0(C)$  is torsion in this case). We are interested in the case where Z is general.

# Theorem (Arnol'd (1976))

Assume C is a smooth elliptic curve and  $N_{C/S} \in \operatorname{Pic}^0(C)$  is Diophantine (i.e.

 $\exists A, \alpha > 0$  such that  $\operatorname{dist}(\mathbb{I}_C, N_{C/S}^{\otimes n}) \geq A \cdot n^{-\alpha}$  for  $\forall n > 0$ , where  $\operatorname{dist}$  is the Euclidean distance of  $\operatorname{Pic}^0(C)$ ).

Then C admits a holomorphic tubular neighborhood.

For the previous Ueda's example, this theorem can be directly deduced from Siegel's linearization theorem.

### Question

For the previous example  $(C_0, Z = \{p_1, p_2, \dots, p_9\}, C, S)$ , does C admit a holomorphic tubular neighborhood when  $N_{C/S} \in \text{Pic}^0(C)$  is <u>not</u> Diophantine?

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Let  $(C_0, Z = \{p_1, p_2, \dots, p_9\}, C, S)$  and  $(C'_0, Z' = \{p'_1, p'_2, \dots, p'_9\}, C', S')$  be as in the previous section.

# Assumption

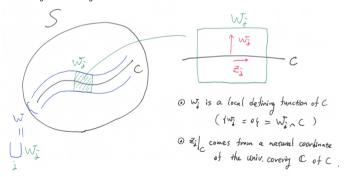
- $\exists g \colon C \cong C'$
- $N_{C/S} = g^* N_{C'/S'}^{-1}$
- $lacksquare N_{C/S} \in \operatorname{Pic}^0(C)$  is Diophantine

Then, it follows from Arnol'd's theorem that there exist holomorphic tubular neighborhoods  $W \subset S$  of C and  $W' \subset S'$  of C'.

Take a local charts systems  $\{(W_j,(z_j,w_j))\}$  of W and  $\{(W'_j,(z'_j,w'_j))\}$  of W' such that

$$\begin{cases} z_j = z_k + A_{jk} \\ w_j = t_{jk} \cdot w_k \end{cases}, \begin{cases} z'_j = z'_k + A_{jk} \\ w'_j = t_{jk}^{-1} \cdot w'_k \end{cases}$$

for some constants  $A_{jk} \in \mathbb{C}$  and  $t_{jk} \in U(1) := \{t \in \mathbb{C} \mid |t| = 1\}$  on  $W_{jk} := W_j \cap W_k$  and  $W'_{jk} := W'_j \cap W'_k$  as follows:

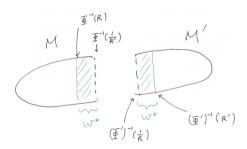


- $\Phi: W \to \mathbb{R}: (z_i, w_i) \mapsto |w_i|:$  globally defined on W.
- $lack \Phi' \colon W' o \mathbb{R} \colon (z'_i, w'_i) \mapsto |w'_i| \colon \text{globally defined on } W'.$
- By scaling, we may assume that  $\Phi^{-1}([0,R]) \subseteq W, (\Phi')^{-1}([0,R']) \subseteq W'$  (R,R'>1).
- Replace W with  $\Phi^{-1}([0,R))$  and W' with  $(\Phi')^{-1}([0,R'))$ .

Define  $M \subset S$  and  $M' \subset S'$  by

$$M:=S\setminus\Phi^{-1}\left(\left[0,\frac{1}{R'}\right]\right),\ M':=S'\setminus(\Phi')^{-1}\left(\left[0,\frac{1}{R}\right]\right).$$

Identify  $W\cap M=\Phi^{-1}((1/R',R))$  and  $W'\cap M'=(\Phi')^{-1}((1/R,R'))$  by the isomorphism  $f\colon \Phi^{-1}((1/R',R))\to (\Phi')^{-1}((1/R,R')):(z_j,w_j)\mapsto \left(g(z_j),\frac{1}{w_j}\right)$  and denote it by  $W^*$ .



 $X := M \cup_{W^*} M'$ : a compact complex manifold obtained by patching M and M' via f.

### Observation

 $W^*$  admits a natural foliation  $\mathcal F$  whose leaves are locally defined by " $\{w_j=\text{constant}\}$ ". As each leaf is biholomorphic to  $\mathbb C$  or  $\mathbb C^*$ , we have a holomorphic map  $F\colon\mathbb C\to W^*\subset X$  as in Main Theorem.

It is easily observed that  $\pi_1(X) = 0$ . Therefore, for proving that X is a K3 surface, it is sufficient to show the following:

# Proposition

There exists a nowhere vanishing holomorphic 2-form  $\sigma$  on X such that

$$\sigma|_{W^* \cap W_j} = \frac{dz_j \wedge dw_j}{w_j}$$

holds on each  $W^* \cap W_j \subset W^* \subset X$ .

# Key Lemma

$$H^0(W^*, \mathcal{O}_{W^*}) = \mathbb{C}.$$

Key Lemma is shown by pulling back a holomorphic function on  $W^*$  by  $F: \mathbb{C} \to W^*$  and considering the Maximum principle.

Proof of Proposition: As  $K_S = -C$ , there exists a meromorphic 2-form  $\eta$  on S with  $\operatorname{div}(\eta) = -C$ . It follows from Key Lemma that the function

$$\frac{\eta|_{W^*}}{\left(\frac{dz_j \wedge dw_j}{w_j}\right)}$$

is a constant map. Thus we may assume that  $\eta|_{W^*}=\frac{dz_j\wedge dw_j}{w_j}$ . Similarly, one can show the existence of a meromorphic 2-form  $\eta'$  on S' with  $\operatorname{div}(\eta')=-C'$  such that  $\eta'|_{W^*}=\frac{dz_j'\wedge dw_j'}{w'}$ .

 $\sigma$  is obtained by patching  $\eta|_M$  and  $-\eta'|_{M'}$ .



# "Degrees of freedom" in our construction

- Choice of  $C_0, C'_0$ , and a Diophantine line bundle L on  $C_0$  (dimension=1 because of  $C_0 \cong C'_0$  and Dioph. condition).
- Choice of points  $p_1, p_2, \ldots, p_8 \in C_0$  (dimension=8).
- Choice of points  $p'_1, p'_2, \ldots, p_8' \in C'_0$  (dimension=8).
- Points  $p_9 \in C_0$  and  $p_9' \in C_0'$  are automatically decided by the condition  $N_{C/S} = g^*N_{C'/S'}^{-1} = L$  (dimension=0).
- Choice of an isomorphism  $g: C \cong C'$  (dimension=1).
- Choice of (the "scaling" of) the coordinates  $w_j$ 's and  $w_j$ 's (R, R', ..., dimension=1)

### Remark

Independence of these 19 parameters (in the sense of Kodaira–Spencer's local deformation theory) is non-trivial.

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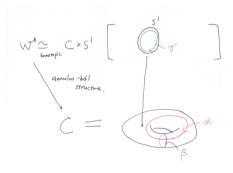
$$A_{lpha,eta}, A_{eta,\gamma}, A_{\gamma,lpha}, \ B_{lpha}, B_{eta}, B_{\gamma}, \ C_{1,2}, C_{2,3}, \dots, C_{7,8} \ ext{and} \ C_{6,7,8}, \ C_{1,2}', C_{2,3}', \dots, C_{7,8}' \ ext{and} \ C_{6,7,8}'$$

which generates  $H_2(X,\mathbb{Z})$ , and compute the integration of the nowhere vanishing 2-form  $\sigma$  along these.

In the following sense, these 22 cycles can be regarded as a "marking" of X:

$$H_2(X,\mathbb{Z}) = \langle A_{\alpha,\beta}, B_{\gamma} \rangle \oplus \langle A_{\beta,\gamma}, B_{\alpha} \rangle \oplus \langle A_{\gamma,\alpha}, B_{\beta} \rangle \oplus \langle C_{\bullet} \rangle \oplus \langle C_{\bullet}' \rangle.$$

# Let $\alpha, \beta$ and $\gamma$ be loops in $W^*$ defined as follows:



- $A_{\alpha,\beta} := \alpha \times \beta \subset W^* \subset X$
- $A_{\beta,\gamma} := \beta \times \gamma \subset W^* \subset X$
- $A_{\gamma,\alpha} := \gamma \times \alpha \subset W^* \subset X$

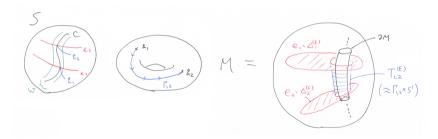
As  $A_{\alpha,\beta},A_{\beta,\gamma}$  and  $A_{\gamma,\alpha}$  are included in  $W^*$  and  $\sigma|_{W^*}=\frac{dz_j\wedge dw_j}{w_j}$ , one can explicitly compute the integrals.

#### where

- ullet au is a complex number with  $\mathrm{Im} au > 0$  such that  $C \cong \mathbb{C}/\langle 1, au \rangle$ ,
- $a_{\alpha}$  (resp.  $a_{\beta}$ ) is a real number such that the monodromy of the flat line bundle  $N_{C/S}$  along the loop  $\alpha$  (resp.  $\beta$ ) is  $\exp(2\pi\sqrt{-1}\cdot a_{\alpha})$  (resp.  $\exp(2\pi\sqrt{-1}\cdot a_{\beta})$ ).

Let  $e_{\nu}$  (resp.  $e'_{\nu}$ ) be the exceptional divisor corresponding to the point  $p_{\nu}$  ( $p'_{\nu}$ ) in S (resp. S'). Denote by h (resp. h') the preimage of a hyperplane in S (resp. S').

$$C_{1,2}\subset M\subset X$$
 is defined by  $C_{1,2}:=(e_1\setminus \Delta_1^{(\varepsilon)})\cup T_{1,2}^{(\varepsilon)}\cup (e_2\setminus \Delta_2^{(\varepsilon)}).$ 



Note that  $C_{1,2} \sim e_1 - e_2$  holds when we regard  $C_{1,2} \subset M$  as a cycle of S.

### Similarly, we define

- lacksquare  $C_{2,3}, C_{3,4}, \cdots, C_{7,8}$ , and  $C_{6,7,8} \subset M$  ( $C_{6,7,8} \sim -h + e_6 + e_7 + e_8$  as a cycle of S),
- $C'_{1,2}, C'_{2,3}, \cdots, C'_{7,8}$ , and  $C'_{6,7,8} \subset M'$ .

As  $C_{\bullet} \setminus W^*$  (resp.  $C'_{\bullet} \setminus W^*$ ) is an analytic subset of  $M \setminus W^*$  (resp.  $M' \setminus W^*$ ), we have that

$$\int_{C_{\bullet}} \sigma = \int_{C_{\bullet} \cap W^*} \frac{dz_j \wedge dw_j}{w_j}, \quad \int_{C'_{\bullet}} \sigma = \int_{C'_{\bullet} \cap W^*} \frac{dz'_j \wedge dw'_j}{w'_j}.$$

By using this description, we can calculate the integrals.

Denote by  $q_0$  a inflection point of C,  $q'_0$  a inflection point of C',  $q_{\nu}$  the intersection point  $C \cap e_{\nu}$ , and by  $q'_{\nu}$  the intersection point  $C' \cap e'_{\nu}$ . Then we have

$$\bullet$$
  $\frac{1}{2\pi\sqrt{-1}}\int_{C_{\nu,\nu+1}}\sigma=\int_{q_{\nu}}^{q_{\nu+1}}dz_j\ (1\leq\nu\leq7),$ 

$$lacksquare rac{1}{2\pi\sqrt{-1}} \int_{C'_{
u,
u+1}} \sigma = \int_{q'_
u}^{q'_{
u+1}} dz'_j \ (1 \le 
u \le 7),$$

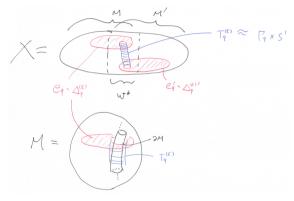
In what follows, we simply denote  $\int_{q_{
u}}^{q_{
u+1}} dz_j$  by " $q_{
u+1} - q_{
u}$ ", for example.

 $B_{\alpha}$  is defined as follows by using the fact that  $\pi_1(M) = \pi_1(M') = 0$ .

Similarly, we define  $B_{\beta}$ .

At this moment, we do not know how to calculate the integrals  $\int_{B_{\alpha}} \sigma$  and  $\int_{B_{\beta}} \sigma$ .

 $B_{\gamma}$  is defined by  $B_{\gamma} := (e_9 \setminus \Delta_9^{(\varepsilon)}) \cup T_9^{(\varepsilon)} \cup (e_9' \setminus \Delta_9^{(\varepsilon)}).$ 



By the same argument as in the  $C_{\bullet}$  case, we have that

$$\frac{1}{2\pi\sqrt{-1}}\int_{B_{\gamma}}\sigma = \int_{g(g_0)}^{q_9'}dz_j' \ (= "p_9' - g(p_9)").$$

lattice	cycle	$\frac{1}{2\pi\sqrt{-1}}\int \sigma$	corresponding parameter
U	$A_{eta,\gamma}$	au	choice of $C_0$ (and $C_0^\prime$ )
	$B_{\alpha}$	???	choice of $w_j$ 's $(R, R',)$
U	$A_{\gamma,\alpha}$	1	_
	$B_{\beta}$	???	choice of $w_j$ 's $(R, R',)$
	$C_{1,2}$	" $p_2-p_1$ " in $C$	choice of $p_2-p_1$
	$C_{2,3}$	" $p_3-p_2$ " in $C$	choice of $p_3-p_2$
$E_8(-1)$	:	i i	:
	$C_{7,8}$	" $p_8-p_7$ " in $C$	choice of $p_8-p_7$
	$C_{6,7,8}$	" $p_6 + p_7 + p_8$ " in $C$	choice of $p_6 + p_7 + p_8$
	$C'_{1,2}$	" $p_2'-p_1'$ " in $C'$	choice of $p_2^\prime - p_1^\prime$
	$C'_{2,3}$	" $p_3' - p_2'$ " in $C'$	choice of $p_3^\prime - p_2^\prime$
$E_8(-1)$	:	:	:
	$C'_{7,8}$	" $p_8' - p_7'$ " in $C'$	choice of $p_8^\prime - p_7^\prime$
	$C'_{6,7,8}$	" $p_6' + p_7' + p_8'$ " in $C$	choice of $p_6'+p_7'+p_8'$
U	$A_{\alpha,\beta}$	$a_{\beta} - \tau \cdot a_{\alpha}$	choice of $p_9$ and $p_9^\prime$ (i.e. $N_{C/S}$ and $N_{C^\prime/S^\prime}$ )
	$B_{\gamma}$	" $p_9' - g(p_9)$ "	choice of $g \colon C \cong C'$

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- Fix a Diophantine pair  $(p,q) \in \mathbb{R}^2$ . (i.e.  $-\log \operatorname{dist}((np,nq),\mathbb{Z}^2) = O(\log n)$  as  $n \to \infty$ )
- We first consider the subspace  $\Xi_{(p,q)}$  of the period domain  $\mathcal{D}_{\mathrm{period}}$  which is defined by considering all the K3 surfaces constructed by our method with

$$N_{C/S} \mapsto [p + q\tau] \in \mathbb{C}/\langle 1, \tau \rangle$$

by the isomorphism  $\operatorname{Pic}^0(C) \cong \mathbb{C}/\langle 1, \tau \rangle$  ( $\tau$  moves).

•  $\dim \Xi_{(p,q)} = 19$ , with coordinates system

$$(\tau, p_1, p_2, \ldots, p_8, p'_1, p'_2, \ldots, p'_8, s, x),$$

where (s, x) are the parameter for the gluing.

■ s is defined by changing  $g \colon C \to C'$  with the composition  $g \circ P_s$ , where  $P_s$  is the automorphism of C defined by " $z \mapsto z + s$ ".

### Lemma

$$\Xi_{(p,q)}\subset v_{(p,q)}^{\perp}$$
 , where  $v_{(p,q)}:=A_{lphaeta}+p\cdot A_{eta\gamma}-q\cdot A_{\gammalpha}$ 

lattice	cycle	$\frac{1}{2\pi\sqrt{-1}}\int \sigma$	corresponding parameter
U	$A_{eta,\gamma}$	au	choice of $C_0$ (and $C_0^\prime$ )
	$B_{\alpha}$	???=: $x - 2\tau$	choice of $w_j$ 's $(R, R',)$
U	$A_{\gamma,\alpha}$	1	_
	$B_{\beta}$	???=: $y-2$	choice of $w_j$ 's $(R, R',)$
	$C_{1,2}$	" $p_2 - p_1$ " in $C$	choice of $p_2-p_1$
	$C_{2,3}$	" $p_3 - p_2$ " in $C$	choice of $p_3 - p_2$
$E_8(-1)$	:	:	:
	$C_{7,8}$	" $p_8 - p_7$ " in $C$	choice of $p_8-p_7$
	$C_{6,7,8}$	" $p_6 + p_7 + p_8$ " in $C$	choice of $p_6 + p_7 + p_8$
	$C'_{1,2}$	" $p_2' - p_1'$ " in $C'$	choice of $p_2^\prime - p_1^\prime$
	$C'_{2,3}$	" $p_3' - p_2'$ " in $C'$	choice of $p_3^\prime - p_2^\prime$
$E_8(-1)$	:	:	:
	$C'_{7,8}$	" $p_8' - p_7'$ " in $C'$	choice of $p_8' - p_7'$
	$C'_{6,7,8}$	" $p_6' + p_7' + p_8'$ " in C	choice of $p_6' + p_7' + p_8'$
U	$A_{\alpha,\beta}$	$a_{eta} -  au \cdot a_{lpha}$	choice of $p_9$ and $p_9'$ (i.e. $N_{C/S}$ and $N_{C'/S'}$ )
	$B_{\gamma}$	$p_0' - q(p_0)'' =: s$	choice of $g: C \cong C'$

### Set

- $x := 2\tau + \frac{1}{2\pi\sqrt{-1}} \int_{B_{\alpha}} \sigma$  (a coordinate)
- $y := 2 + \frac{1}{2\pi\sqrt{-1}} \int_{B_{\beta}} \sigma \ (\text{"dummy"})$

The relation between x and y can be obtained by the relation

$$0 = (\sigma, \sigma) = 2\tau x + 2y + (\exists quadric function of \tau, q - p\tau, p_1 - p_2, ...)$$

The (normalized) volume is:

$$\operatorname{vol}(\sigma) := (\sigma, \overline{\sigma}) = 2\operatorname{Re}(\overline{\tau}x) + 2\operatorname{Re}(y) + (\exists \mathsf{quadric\ function\ of\ } \tau, q - p\tau, p_1 - p_2, \ldots)$$

 $\mathbf{vol}(\sigma)$  depends linearly on the coordinate x if one fix the other 18 coordinates.

Via the Poincare duality,

$$\frac{1}{2\pi\sqrt{-1}}\sigma = (2(q-p\tau)+s)A_{\alpha\beta} + xA_{\beta\gamma} + yA_{\gamma\alpha} 
+ \tau B_{\alpha} + B_{\beta} + (q-p\tau)B_{\gamma} 
+ \sum_{j=1}^{7} \exists c_{j,j+1}C_{j,j+1} + \exists c_{6,7,8}C_{6,7,8} 
+ \sum_{j=1}^{7} \exists c'_{j,j+1}C'_{j,j+1} + \exists c'_{6,7,8}C'_{6,7,8}$$

 $c_{\bullet}$ 's depends only on  $(p_1, p_2, \dots, p_8)$ , and  $c'_{\bullet}$ 's depends only on  $(p'_1, p'_2, \dots, p'_8)$ .

# Theorem (K-, T. Uehara)

 $\exists \widehat{V}_{(p,q)} \colon \mathbb{H}_{ au} imes \mathbb{C}^8_c imes \mathbb{C}^8_c imes \mathbb{C}^8_c imes \mathbb{C}_s o \mathbb{R}_{\geq 0}$ : conti. function depending only on (p,q) such that,

$$\forall \widehat{\sigma} = a_{\alpha\beta} A_{\alpha\beta} + a_{\beta\gamma} A_{\beta\gamma} + a_{\gamma\alpha} A_{\gamma\alpha} + b_{\alpha} B_{\alpha} + b_{\beta} B_{\beta} + b_{\gamma} B_{\gamma}$$

$$+ \sum_{j=1}^{7} c_{j,j+1} C_{j,j+1} + c_{6,7,8} C_{6,7,8} + \sum_{j=1}^{7} c'_{j,j+1} C'_{j,j+1} + c'_{6,7,8} C'_{6,7,8} \in \mathcal{D}_{Period},$$

it holds that  $\widehat{\sigma} \in \Xi_{(p,q)}$  iff the following holds:

- $b_{\beta} \neq 0$  (set  $b_{\beta} = 1$  by "normalizing"),
- $\blacksquare \operatorname{Im} b_{\alpha} > 0,$
- The normalized volume  $\operatorname{vol}(\widehat{\sigma})$  is larger than  $\widehat{V}_{(p,q)}(b_{\alpha}, c_{\bullet}, c'_{\bullet}, b_{\gamma})$ .

# Remark

Fix  $C_0, C_0', Z, Z'$ , and g (Then  $S, S', \tau$ ,  $\mu := a_\beta - \tau \cdot a_\alpha$ , and  $\int_{\Gamma_9} dz$  are fixed). Let us denote by  $(X_x, \sigma_x)$  the K3 surface corresponding to the class represented by

$$\left(2\mu + \int_{\Gamma_9} dz^+\right) \cdot A_{\alpha\beta} + \mu \cdot B_{\gamma} + x \cdot A_{\beta\gamma} + \tau \cdot B_{\alpha} + y \cdot A_{\gamma\alpha} + B_{\beta} + \sum_{\alpha} c_{\bullet} C_{\bullet} + \sum_{\alpha} c_{\bullet}' C_{\bullet}',$$

where y is the constant defined by the linear equation  $y=-\tau\cdot x+N_1$  which comes from  $(\sigma,\sigma)=0$  ( $N_1$ : constant). Then

$$(\sigma_x, \overline{\sigma_x}) = 4 \operatorname{Im} \tau \cdot \operatorname{Im} x + N_2(N_2: \text{ real constant}).$$

As  $\operatorname{Im} \tau > 0$ , Theorem says that there exists a constant  $N_3 = N_3(C_0, C_0', Z, Z', g)$  such that  $(X_x, \sigma_x)$  obtained by the gluing method for any  $x \in \mathbb{C}$  with  $\operatorname{Im} x > N_3$ .

# Remark (continued)

Take x such that  $\operatorname{Im} x > N_3$ . Let  $M_x \subset S, M_x' \subset S'$ ,  $W_x^* \subset M_x$ ,  $(W_x^*)' \subset M_x'$ , and

$$f_x \colon W_x^* \ni (z_j, w_j) \mapsto (g(z_j), 1/w_j) \in (W_x^*)'$$

be the data by which  $X_x$  is constructed as in  $\S 3$ .

Denote by  $(X_x^{(a)}, \sigma_x^{(a)})$  the K3 surface constructed by patching the same  $M_x$  and  $M_x'$  by identifying  $W_x^*$  and  $(W_x^*)'$  via the biholomorphism

$$f_x^{(a)} : W_x' \ni (z_j, w_j) \mapsto (g(z_j), e^{\sqrt{-1}a}/w_j) \in (W_x^*)'$$

for each  $a \in \mathbb{R}$  (Note that  $(X_x^{(0)}, \sigma_x^{(0)}) = (X_x, \sigma_x)$ ).

# Proposition

It holds that  $(X_x^{(a)}, \sigma_x^{(a)}) = (X_{x-a}, \sigma_{x-a})$  for each  $a \in \mathbb{R}$ .

By compactifying  $\{x\in\mathbb{C}\mid \operatorname{Im} x>N_3\}/2\pi\mathbb{Z}$ , one obtain a degeneration of K3 surfaces of type II.

### Remark

$$\widehat{V}_{(p,q)}(b_{lpha},c_{ullet},c_{ullet}',b_{\gamma})=V_{(p,q)}+V_{(p,q)}'$$
 , where

- $V_{(p,q)} = \operatorname{vol}_{\eta}(S \setminus (\text{"the maximal hol. tub. n.b.h.d." of } C)),$
- $V'_{(p,q)} = \operatorname{vol}_{\eta'}(S' \setminus (\text{ "the maximal hol. tub. n.b.h.d." of } C'))$

### Remark

It is observed by a standard argument that a general member of  $\Xi_{(p,q)}$  corresponds to a K3 surface X with Picard number =0, which means that X is non-Kummer and non-projective.

### Question

- How large can one take a hol. tub. n.b.h.d. of C in S?
- Is  $V_{(p,q)}$  equal to zero? or > 0?
- How does  $V_{(p,q)}$  depend on the Diophantine pair (p,q)?

To investigate a Kähler-geometric approach to this kinds of problems, now I'm also interested in the following:

### Question

How is the relation between a Ricci-Flat Kähler metric on X and some "canonical metric" on S or  $S \setminus C$  (complete Ricci-Flat Kähler?)?