

Non-projective K3 surfaces containing Levi-flat hypersurfaces

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January 18, 2018

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Goal of this talk:

Gluing construction of non-projective K3 surfaces.

We will construct a K3 surface X by holomorphically patching two open complex surfaces, say M and M' .

- M (M') is the complement of a (appropriate) tubular neighborhood of an elliptic curve in the blow-up S (S') of the projective plane \mathbb{P}^2 at (appropriate) nine points.
- Neither S nor S' admit elliptic fibration structure (nine points are “general”)
- In order to patch M and M' holomorphically, we need to take “nice neighborhood”. For this purpose, we need to choose nine points carefully.
- Use a technique from Complex Dynamics for taking “nice neighborhood” (Arnol'd's theorem).

Remarks, Known results

- For the case where S and S' are elliptic, a similar construction of K3 surfaces is known. The resulting K3 surfaces are also elliptic.
- M. Doi showed that a similar construction of K3 surfaces if one admit (slight) deformations of the complex structures of M and M' .

(Doi, Mamoru, Gluing construction of compact complex surfaces with trivial canonical bundle. J. Math. Soc. Japan 61 (2009), no. 3, 853–884)

- The idea to use Arnol'd-type theorem for patching two open manifolds is also used by H. Tsuji in order to study complex structures on $S^3 \times S^3$.

(H. Tsuji, Complex structures on $S^3 \times S^3$, Tohoku Math. J. (2) Volume 36, Number 3 (1984), 351–376)

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Take a smooth elliptic curve $C_0 \subset \mathbb{P}^2$ and nine points

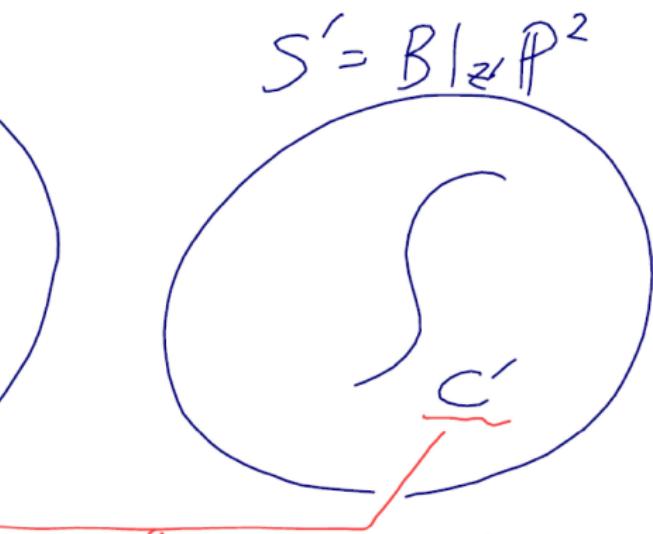
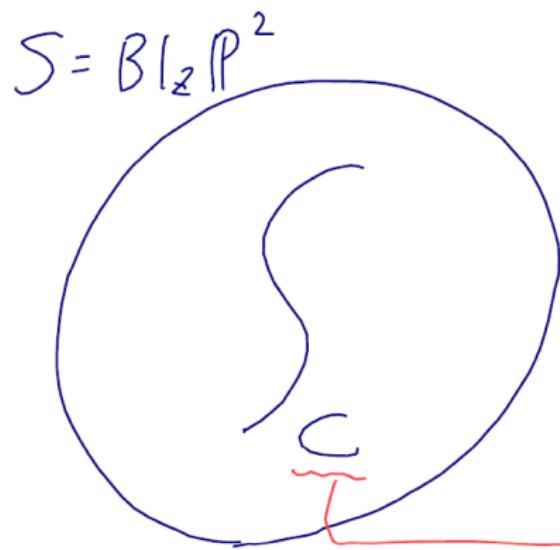
$$Z := \{p_1, p_2, \dots, p_9\} \subset C_0.$$

- $S := \text{Bl}_Z \mathbb{P}^2 \xrightarrow{\pi} \mathbb{P}^2$: blow-up at Z

- $C := \pi_*^{-1} C_0$: the strict transform of C_0

Note that $N_{C/S} \cong \mathcal{O}_{\mathbb{P}^2}(3)|_{C_0} \otimes \mathcal{O}_{C_0}(-p_1 - p_2 - \dots - p_9)$. When Z is special, S is an elliptic surface ($N_{C/S} \in \text{Pic}^0(C)$ is torsion in this case). We are interested in the case where Z is general.

Let (S', C') be another model which is constructed by another choice of an elliptic curve C'_0 and another nine points configuration $Z' := \{p'_1, p'_2, \dots, p'_9\} \subset C'_0$.



sm. ellipt. curve $\in |-k|$

Assumptions

In what follows, we always assume the following:

Assumption

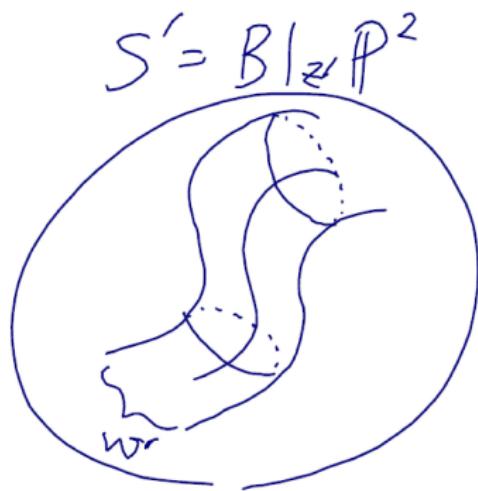
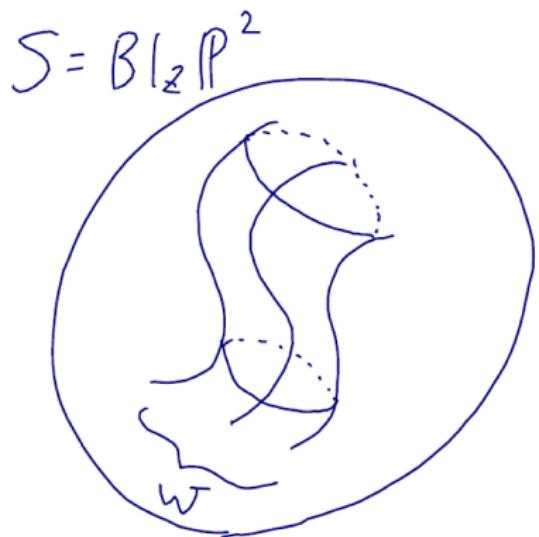
- $\exists g: C \cong_{\text{bihol.}} C'$
- $N_{C/S} = g^* N_{C'/S'}^{-1}$
- $N_{C/S} \in \text{Pic}^0(C)$ is Diophantine

$N_{C/S} \in \text{Pic}^0(C)$ is said to be *Diophantine* if $\exists A, \alpha > 0$ such that $\text{dist}(\mathbb{I}_C, N_{C/S}^{\otimes n}) \geq A \cdot n^{-\alpha}$ for $\forall n > 0$.

- $N_{C/S} \in \text{Pic}^0(C)$ is Diophantine for almost every choice of Z in the sense of Lebesgue measure.
- We will explain why do we need this condition latter.

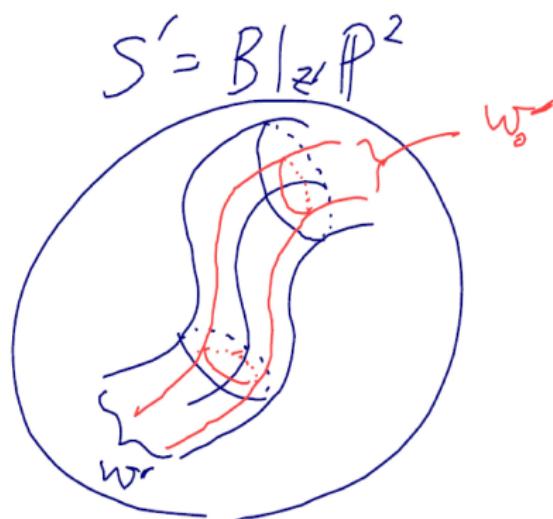
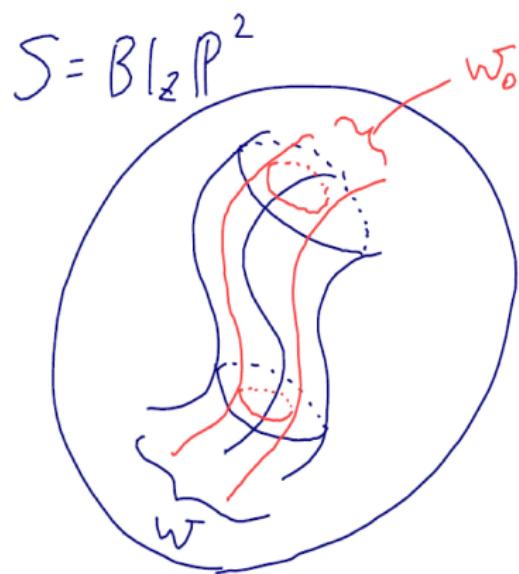
Outline of the construction –Step 1

First, we take “nice” neighborhoods W of C in S and W' of C' in S' :

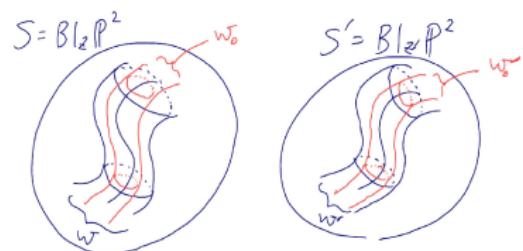


Outline of the construction –Step 2

Next, we take “nice” neighborhoods $W_0 \subset W$ of C and $W'_0 \subset W'$ of C' appropriately:



Outline of the construction –Step 3



$$\begin{aligned}
 M &:= S \cup w_0, \\
 \cup \\
 W^* &:= w \cup w_0 \\
 &\stackrel{\sim}{=} \\
 &\rightsquigarrow X := M \cup_{w^*} M'
 \end{aligned}$$

Handwritten notes below the equations:

- $M := S \cup w_0$, $M' := S' \cup w_0'$
- \cup
- $W^* := w \cup w_0 \stackrel{\sim}{=} w' \cup w_0'$
- $\rightsquigarrow X := M \cup_{w^*} M'$

Question

How should we choose “nice” neighborhoods W , W_0 , W' , and W'_0 (in order to patch M and M' holomorphically)?

Here we use the following:

Theorem (Arnol'd (1976))

Assume C is a smooth elliptic curve and $N_{C/S} \in \text{Pic}^0(C)$ is Diophantine.

*Then C admits a **holomorphic tubular neighborhood** W (i.e. W can be chosen so that W is biholomorphic to a neighborhood of the zero-section in $N_{C/S}$).*

Arnol'd's theorem is shown by using complex dynamical technique as in the proof of **Siegel's linearization theorem**, which is the reason why Diophantine condition is needed in our assumption.

What follows from Arnol'd's theorem and our assumptions

- “ $N_{C/S}$: Diophantine” + Arnol'd's thm
 $\Rightarrow W$, W_0 : holomorphic tubular neighborhoods of C
 $\Rightarrow W \setminus W_0 \cong_{\text{bihol.}} (\text{an annulus bundle over } C)$
- “ $N_{C/S}$: Diophantine” + “ $N_{C/S} = g^* N_{C'/S'}^{-1}$ ” + Arnol'd's thm
 $\Rightarrow W'$, W'_0 : holomorphic tubular neighborhoods of C'
 $\Rightarrow W' \setminus W'_0 \cong_{\text{bihol.}} (\text{an annulus bundle over } C')$
- “ $g: C \cong C''$ ” + “ $N_{C/S} = g^* N_{C'/S'}^{-1}$ ” + observations above
 $\Rightarrow (W^* :=) W \setminus W_0 \cong_{\text{bihol.}} W' \setminus W'_0$
 \Rightarrow One can glue M and M' holomorphically by using W^* as a “tab for gluing”.

Observation

W^* admits a foliation \mathcal{F} which is naturally defined by considering the flat connection on $N_{C/S}$. Each leaf is biholomorphic to \mathbb{C} or $\mathbb{C}^* := \mathbb{C} \setminus \{0\}$.

It is easily observed that $\pi_1(X) = 0$. Therefore, for proving that X is a K3 surface, it is sufficient to show the following:

Proposition

There exists a nowhere vanishing holomorphic 2-form σ on X .

Outline of the proof: As $K_S = -C$, there exists a meromorphic 2-form η on S with $\text{div}(\eta) = -C$. We can also take a meromorphic 2-form η' on S' with $\text{div}(\eta') = -C'$. σ is obtained by patching $\eta|_M$ and $-\eta'|_{M'}$ after appropriate normalizations. \square

Some remark on the construction of the 2-form σ on X

For patching $\eta|_M$ and $-\eta'|_{M'}$ on W^* , we use the following:

Key Lemma

$$H^0(W^*, \mathcal{O}_{W^*}) = \mathbb{C}.$$

Key Lemma is shown by considering the restriction of a given holomorphic function on W^* to a leaf of \mathcal{F} and considering the Maximum principle.

By using this Key Lemma, one can describe the 2-form $\sigma|_{W^*}$ very explicitly.

⇒ We could explicitly compute the integrations $\int \sigma$ along 20 2-cycles of 22 appropriately chosen 2-cycles (“marking” of X).

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Main results

We constructed K3 surfaces in such a manner with independent (at least) 19 parameters. As an conclusion of the construction, we have the following:

Theorem (K-, T. Uehara. improved version of the main result in arXiv:1703.03663)

There exists a deformation $\pi: \mathcal{X} \rightarrow B$ of K3 surfaces over a (at least) 19 dimensional complex manifold B with injective Kodaira-Spencer map such that each fiber $X_b := \pi^{-1}(b)$ admits a holomorphic map $F_b: \mathbb{C} \rightarrow X_b$ with the following property: The Euclidean closure of $F_b(\mathbb{C})$ is a real analytic compact hypersurface of X_b . Especially, $F_b(\mathbb{C})$ is Zariski dense whereas it is not Euclidean dense. X_b is non-Kummer and non-projective for general $b \in B$.

“Degrees of freedom” in our construction

- Choice of C_0, C'_0 , and a Diophantine line bundle L on C_0 (**dimension=1** because of $C_0 \cong C'_0$ and Dioph. condition).
- Choice of points $p_1, p_2, \dots, p_8 \in C_0$ (**dimension=8**).
- Choice of points $p'_1, p'_2, \dots, p'_8 \in C'_0$ (**dimension=8**).
- Points $p_9 \in C_0$ and $p'_9 \in C'_0$ are automatically decided by the condition $N_{C/S} = g^* N_{C'/S'}^{-1} = L$ (**dimension=0**).
- Choice of an isomorphism $g: C \cong C'$ (**dimension=1**).
- Choice of the “size” of the tab for gluing W^* (**dimension=1**)

lattice	cycle	$\frac{1}{2\pi\sqrt{-1}} \int \sigma$	corresponding parameter
U	$A_{\beta,\gamma}$	τ	choice of C_0 (and C'_0)
	B_α	???	choice of w_j 's (R, R', \dots)
U	$A_{\gamma,\alpha}$	1	—
	B_β	???	choice of w_j 's (R, R', \dots)
$E_8(-1)$	$C_{1,2}$	" $p_2 - p_1$ " in C	choice of $p_2 - p_1$
	$C_{2,3}$	" $p_3 - p_2$ " in C	choice of $p_3 - p_2$
	\vdots	\vdots	\vdots
	$C_{7,8}$	" $p_8 - p_7$ " in C	choice of $p_8 - p_7$
	$C_{6,7,8}$	" $p_6 + p_7 + p_8$ " in C	choice of $p_6 + p_7 + p_8$
	$C'_{1,2}$	" $p'_2 - p'_1$ " in C'	choice of $p'_2 - p'_1$
	$C'_{2,3}$	" $p'_3 - p'_2$ " in C'	choice of $p'_3 - p'_2$
	\vdots	\vdots	\vdots
$E_8(-1)$	$C'_{7,8}$	" $p'_8 - p'_7$ " in C'	choice of $p'_8 - p'_7$
	$C'_{6,7,8}$	" $p'_6 + p'_7 + p'_8$ " in C	choice of $p'_6 + p'_7 + p'_8$
	$A_{\alpha,\beta}$	$a_\beta - \tau \cdot a_\alpha$	choice of p_9 and p'_9 (i.e. $N_{C/S}$ and $N_{C'/S'}$)
U	B_γ	" $p'_9 - g(p_9)$ "	choice of $g: C \cong C'$

Question

For the previous example $(C_0, Z = \{p_1, p_2, \dots, p_9\}, C, S)$, does C admit a holomorphic tubular neighborhood when $N_{C/S} \in \text{Pic}^0(C)$ is not Diophantine?