

# Computer Science E-10b: Introduction to Computer Science Using Java, II



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## Where Does Java Come From?

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- In 1991, a group led by James Gosling and Patrick Naughton at Sun Microsystems designed a language (code-named "Green") for use in consumer devices such as intelligent television "set-top" boxes and home security systems.
  - No customer was ever found for this technology.
- The language was renamed "Oak" (after a tree outside Gosling's office), and was used to develop the HotJava browser which had one unique property: it could dynamically download and run programs ("applets") from the Web.



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## The "Other" Truth About Java

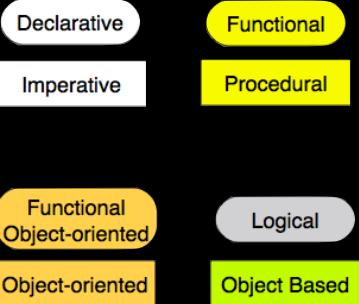
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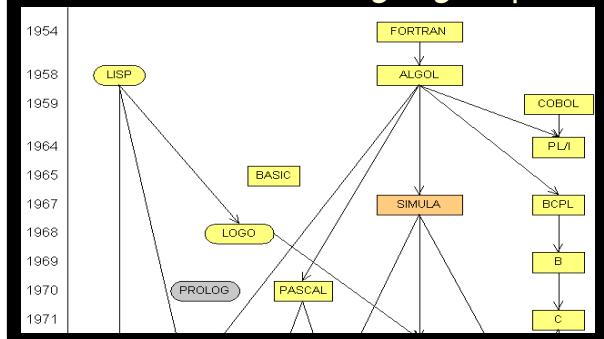
## Programming Paradigms

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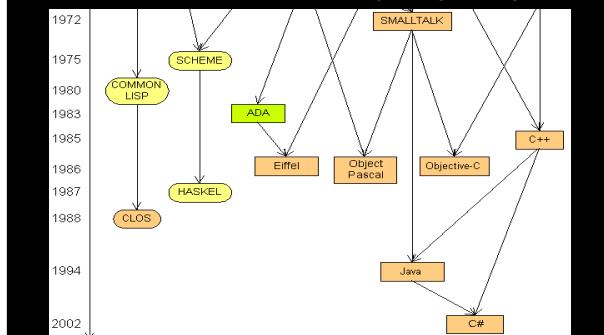
## Some Influential Languages, part 1

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## Some Influential Languages, part 2

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## Important Features of Java

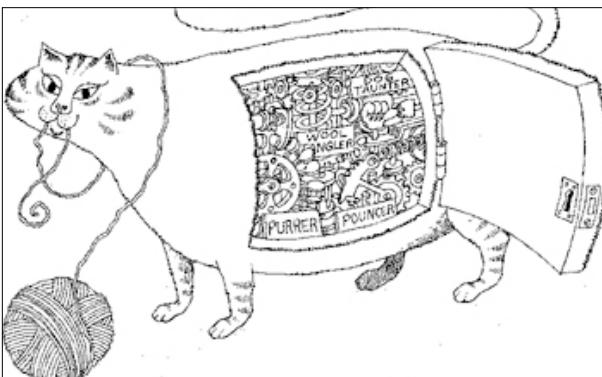
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- Simple
- Multi-threaded
- Recycles memory automatically
- Distributed and secure
- Robust
- Portable; programs are compiled into byte code.
  - Byte code is platform-neutral; it is executed by a program that pretends it's a computer based on the byte-code instruction set, namely a Java virtual machine.

## What is OOP?

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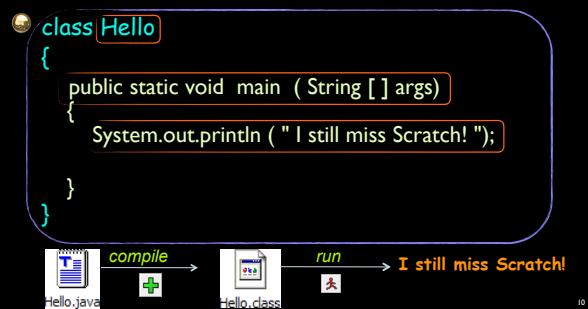
- Object-oriented programming technology can be summarized by three key concepts:
  - Objects that provide encapsulation of procedures and data; it allows hiding of the implementation details of an object from the clients of the object.
  - Classes that implement inheritance within class hierarchies.
  - Messages that support polymorphism across objects; it allows the same code to be used with several different types of objects and behave differently depending on the actual type being used.



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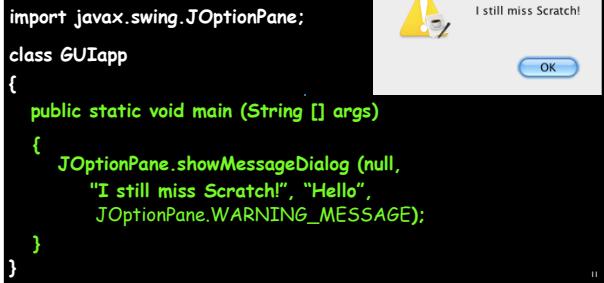
## A Trivial Java Application

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## Trivial Application with a GUI

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## A Trivial Java Applet

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```
import javax.swing.*;      // File TrivialApplet.java
import java.awt.*;
public class TrivialApplet extends JApplet
{
    public void paint( Graphics g )
    {
        g.setFont (new Font("Times", Font.BOLD, 50));
        g.setColor (Color.RED);
        g.drawLine ( 15, 10, 240, 10 );
        g.drawLine ( 15, 70, 240, 70 );
        g.setColor (Color.BLUE);
        g.drawString( "I *really* miss Scratch!", 50, 50 );
    }
}
<html>      <!-- File TrivialApplet.html -->
<applet code= "TrivialApplet.class" width=450 height=500>
</applet>
</html>
```

## Identifiers in Java

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- Identifiers must begin with a **letter** and may contain additional letters and digits.
- In place of a letter you may use \$, \_, or letters from other alphabets (such as Greek, Japanese, Arabic, etc. — all part of the Unicode character set).
- Keywords and literals cannot be used:
  - abstract, assert, boolean, break, byte, case, catch, char, class, const, continue, default, do, double, else, enum, extends, false, final, finally, float, for, goto, if, implements, import, instanceof, int, interface, long, native, new, null, package, private, protected, public, return, short, static, strictfp, super, switch, synchronized, this, throw, throws, transient, true, try, void, volatile, while

## Strings

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- ... are **objects** containing a sequence of characters.
- To construct a String: start and end with a double-quote "
- Examples:

```
"hello"  
"This is a rather looong string!"
```
- Restrictions:
  - May not span multiple lines.  

```
"This is not  
a legal String."
```
  - May not contain a " character.  

```
"This is not a "legal" String either."
```

## Escape Sequences

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- A special sequence of characters used to represent certain characters in a string. For example,

\t	tab character
\n	new line character
\"	quotation mark character
\\	backslash character

- Example: What does this print?  

```
System.out.println("\hello\nhow\tare \"you\"?\\\\\\");
```

# Unicode Example \u

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	037	038	039	03A	03B	03C	03D	03E	03F
0	F		i	Π	Ӯ	π	Ӷ	ӹ	ӻ
1	Ӯ		A	P	Ӯ	ρ	ӭ	Ӹ	Ӻ
2	T		B		Ӯ	ς	Ӯ	Ӯ	C
3	Ӯ		Γ	Σ	γ	σ	Ӯ	Ӯ	j
	0370		0390	03A0	03B0	03C0	03D0	03E0	03F0
	0371		0391	03A1	03B1	03C1	03D1	03E1	03F1
	0372		0392		03B2	03C2	03D2	03E2	03F2
	0373		0393	03A3	03B3	03C3	03D3	03E3	03F3

## Operator Precedence, part 1

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<span style="color: green;">[]</span> <span style="color: purple;">.</span> <span style="color: blue;">( ... )</span> <span style="color: red;">++ , --</span>	array indexing object member reference method invocation & expr evaluation postfix increment, decrement	<span style="color: blue;">L to R</span>
<span style="color: red;">++ , --</span> <span style="color: purple;">+ , -</span> <span style="color: purple;">~, !</span>	prefix increment, decrement unary plus, minus bitwise NOT, logical NOT	<span style="color: blue;">R to L</span>
<span style="color: blue;">new</span> <span style="color: green;">( type )</span>	object instantiation type-cast	<span style="color: blue;">R to L</span>
<span style="color: red;">*, /, %</span>	multiplication, division, remainder	<span style="color: blue;">L to R</span>

## Operator Precedence, part 2

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+	addition and string concatenation	L to R
-	subtraction	L to R
<<, >>	left shift, right shift	L to R
>>>	right shift with zero fill	L to R
<code>&lt;, &gt;, &gt;=, &gt;=</code> <code>instanceof</code>	less-than, greater-than, etc. type comparison	L to R
==, !=	equal, not-equal	L to R
&	bitwise AND, boolean AND	L to R
^	bitwise XOR, boolean XOR	L to R
	bitwise OR, boolean OR	L to R
&&	logical AND	L to R
	logical OR	L to R

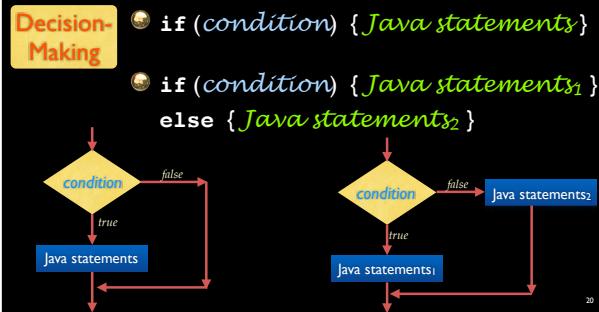
## Operator Precedence, part 3

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? :	conditional operator	R to L
=	assignment	
+=	addition / string concatenation	
-=	subtraction, then assignment	
*=	multiplication, then assignment	
/=	division, then assignment	
%=	remainder, then assignment	
<<=	left shift, then assignment	
>>=	right shift (sign), then assignment	
>>>=	right shift (zero), then assignment	
&=	bitwise / boolean AND, assignment	
^=	bitwise / boolean XOR, assignment	
=		R to L

## Control Flow in Java, part 1

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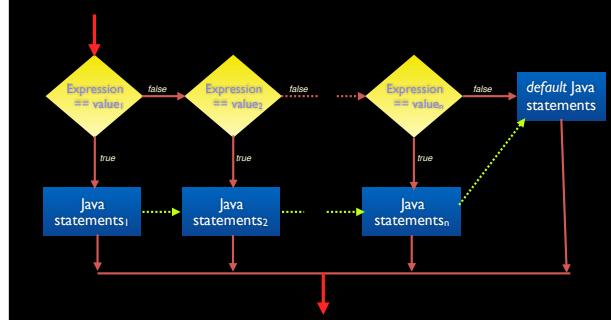
## Control Flow in Java, part 2

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## Control Flow in switch Statement

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## Control Flow in Java, part 3

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### Looping

- `for (initialize; boolean expr; increment)  
 {Java statements}`
- `while (condition) {Java statements}`
- `do {Java statements} while (condition);`

### Branching

- `continue optional-label;`
- `break optional-label;`
- `return optional-expression;`

## Primitive Data Types in Java

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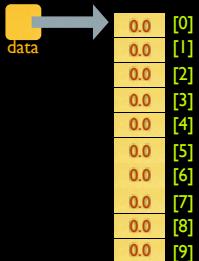
Type	Contains	Default	Size	Minimum	Maximum
<b>boolean</b>	true or false	false	1 bit		
<b>char</b>	Unicode character	\u0000	16 bits	\u0000	\uFFFF
<b>byte</b>	signed int	0	8 bits	-128	127
<b>short</b>	signed int	0	16 bits	-32768	32767
<b>int</b>	signed int	0	32 bits	-2,147,483,648	2,147,483,647
<b>long</b>	signed int	0	64 bits	-2 <sup>63</sup>	2 <sup>63</sup> - 1
<b>float</b>	IEEE 754 floating pt.	0	32 bits	-3.4 E38	3.4 E38
<b>double</b>	IEEE 754 floating pt.	0	64 bits	-1.7 E308	1.7 E308

## A Quick Review of Arrays

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- An array is a sequence of values of the same type

- Construct array: `new double[10]`



- Store in variable of type `double[]`:  
`double [] data = new double[10];`

- When array is created, all values are initialized depending on array type:

- Numbers: `0, 0.0`

- boolean: `false`

- Object References: `null`

## Single-Dimensioned Arrays, continued

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- If array `data` has  $n$  elements, the first one is `data[0]` and the last is `data[n-1]`. Array elements contain primitive data, or they contain references (pointers) to objects.

- What does the following code do?

- ```
String [] strArr = new String [5];
for (int k = 0; k < strArr.length; k++)
{ strArr[k] = "Hello" + k + 1; }
```

- You can't directly

- change the size of an array at execution time
- compare arrays for equality using `==`
- print an array simply using `print` or `println`

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## The `java.util.Arrays` class

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| Method Name                              | Description                                                            |
|------------------------------------------|------------------------------------------------------------------------|
| <code>binarySearch</code> (array, value) | returns the index of the given value in a SORTED array                 |
| <code>copyOf</code> (array, newLength)   | returns a new copy of an array (possibly truncated or padded)          |
| <code>equals</code> (array1, array2)     | returns true if the 2 arrays contain the same elements                 |
| <code>fill</code> (array, value)         | sets every element of an array to a particular value                   |
| <code>toString</code> (array)            | returns a string representation of the contents of the specified array |

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## Arrays Get Passed by Reference

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- Changes made in the method are also seen by the caller. E.g.,

```
public static void main (String [] args)
{   int[] iq = {3, 5, 7};
    increase (iq, 3);
    System.out.println ( Arrays.toString(iq) );
}

public static void increase (int [] a, int f) {
    for (int i = 0; i < a.length; i++)
        { a[i] *= f;   }
}
```

- Output?

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## Two-Dimensional Arrays

- Arrays can be used to store data in two dimensions (2D) like a spreadsheet
  - Rows and Columns
  - Also known as a “matrix”

|         | Gold | Silver | Bronze |
|---------|------|--------|--------|
| Canada  | 1    | 0      | 1      |
| China   | 1    | 1      | 0      |
| Germany | 0    | 0      | 1      |
| Korea   | 1    | 0      | 0      |
| Japan   | 0    | 1      | 1      |
| Russia  | 0    | 2      | 1      |
| U.S.A.  | 2    | 1      | 0      |



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## Declaring Two-Dimensional Arrays

- Use two “pairs” of square braces
  - final int COUNTRIES = 7;
  - final int MEDALS = 3;
  - int [][] counts = new int [COUNTRIES][MEDALS];

- You can alternatively initialize the array when it gets declared

```
int [][] counts =
{
    { 1, 0, 1 },
    { 1, 1, 0 },
    { 0, 0, 1 },
    { 1, 0, 0 },
    { 0, 1, 1 },
    { 0, 2, 1 },
    { 2, 1, 0 }
};
```

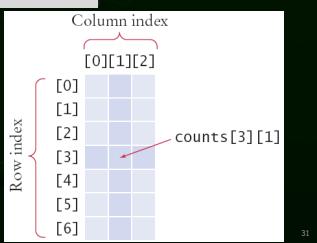
|         | Gold | Silver | Bronze |
|---------|------|--------|--------|
| Canada  | 1    | 0      | 1      |
| China   | 1    | 1      | 0      |
| Germany | 0    | 0      | 1      |
| Korea   | 1    | 0      | 0      |
| Japan   | 0    | 1      | 1      |
| Russia  | 0    | 2      | 1      |
| U.S.A.  | 2    | 1      | 0      |

## Accessing Elements

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- Use two index values (Row then Column):

```
int value = counts[3][1];
```



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## To Print

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- Use nested for-loops

- Outer: i'th row
- Inner: j'th column

```
for (int i = 0; i < COUNTRIES; i++)  
{  
    // Process the ith row  
    for (int j = 0; j < MEDALS; j++)  
    {  
        // Process the jth column in the ith row  
        System.out.printf("%d", counts[i][j]);  
    }  
    System.out.println(); // Start a new line at the end of the row  
}
```

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## Locating Neighboring Elements

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- Some programs that work with two-dimensional arrays need to locate the elements that are adjacent to an element

- This task is particularly common in games

- You are at loc  $i, j$

|             |           |             |
|-------------|-----------|-------------|
| [i-1] [j-1] | [i-1] [j] | [i-1] [j+1] |
| [i] [j-1]   | [i] [j]   | [i] [j+1]   |
| [i+1] [j-1] | [i+1] [j] | [i+1] [j+1] |

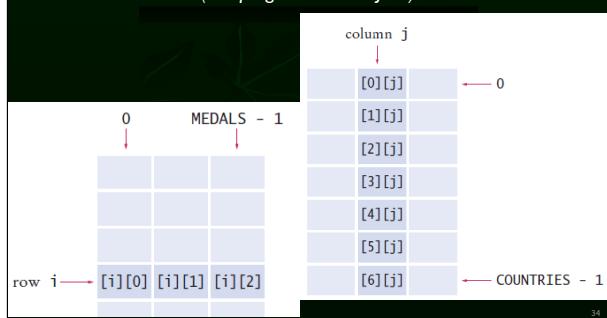
- Watch out for edges!

- No negative indexes!

- Not off the 'board'

## Adding Rows and Columns (see program Medals.java)

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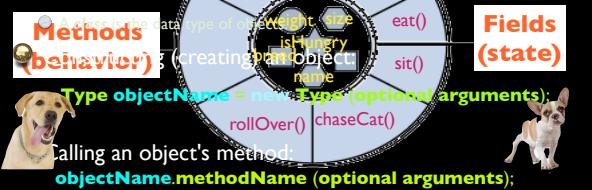
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## Review of Objects (briefly)



**Object:** An entity that contains **data** (variables inside the object) and **behavior** (methods inside the object).

- You interact with the methods
- The data is hidden in the object



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## Class `java.lang.String`

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```
int length()  
String trim()  
boolean equalsIgnoreCase(String other)  
int compareTo(String other)  
String substring(int begin)  
String substring(int begin, int pastEnd)  
String toLowerCase()  
String toUpperCase()  
boolean endsWith(String suffix)  
boolean startsWith(String prefix)  
boolean startsWith(String prefix, int offset)  
boolean equals(String other)
```

*See StringTest.java*

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## Class `java.lang.StringBuilder`

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- 3 constructors
- `int length()`
- `int capacity()`
- `char charAt (int index)`
- `char setCharAt (int index, char ch)`
- `StringBuilder append (String str)`
- `StringBuilder append (char ch)`
- `StringBuilder insert (int offset, String str)`
- `StringBuilder insert (int offset, char ch)`
- `StringBuilder reverse ()`
- `String substring (int start)`
- `String substring (int start, int end)`

See `StringBuilderTest.java`

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## Where are the Other Classes?

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- Java programs contain one or more **classes** that contain definitions of **methods** (each is a sequence of instructions that describes how to carry out a computation). Every Java app contains at least one class with a method named **main**.
- Java **classes** are grouped into **packages**. If you use a class from a package other than `java.lang`
  - “import” the class; or
  - use the FULL name (both package and class names) whenever you use a class. For example,

```
* java.util.Scanner s =  
    new java.util.Scanner (System.in);
```

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## Java API Packages

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- Java contains many predefined classes grouped into packages. Together, these packages are referred to as the Java applications programming interface (Java API). Some examples:
  - `java.applet`
  - `java.swing` (contains classes for creating GUIs)
  - `java.awt, java.awt.event` and `java.awt.image`
  - `java.io` (contains classes for dealing with files)
  - `java.util` (contains Scanner class)
  - `java.net`
  - `java.lang` (automatically imported by the compiler)

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This document is the API specification for the Java™ Platform, Standard Edition 7.

See: Description

| Packages              | Package               | Description                                                                 |
|-----------------------|-----------------------|-----------------------------------------------------------------------------|
| java.awt              | java.awt              | Provides the classes an applet uses to control its appearance and behavior. |
| java.awt.color        | java.awt.color        | Contains all of the classes for painting graphics and colors.               |
| java.awt.datatransfer | java.awt.datatransfer | Provides classes for                                                        |

## Some of the New Features in Java ≥1.5

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- ⌚ Enumeration Types
  - ⌚ Autoboxing
  - ⌚ Variable Length Parameter Lists
  - ⌚ New **for-each** Loop
  - ⌚ Class **Scanner**
  - ⌚ Formatted output using **printf**
  - ⌚ Generic Types

## Enumerated Types

- 42
- ⌚ An **enumerated type** creates a new type of variable and establishes all possible values by listing them. Common examples include *compass directions* (values of **NORTH**, **SOUTH**, **EAST**, and **WEST**) and the *days of the week*.

```
enum Grade { A, B, C, D, F } ;  
Grade myGrade = Grade.A;  
if (myGrade == Grade.F)  
    System.out.println("You fail");  
System.out.println(myGrade);
```

## Enumerated Types, continued

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- No invalid value can be assigned
- Internally, each value has a corresponding ordinal value, starting at 0
  - You cannot use the ordinal values directly. Instead you can use the `ordinal()` method
- Java also provides a `name()` method
- `enum` types cannot be declared locally
- You can pass enum variables and values as arguments

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## Enumerated Type Example

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```
class Enumerated
{
    enum Grade { A, B, C, D, F}

    public static void main(String [] args)
    {
        Grade myGrade = Grade.A;

        if (myGrade == Grade.F)
            System.out.println("You fail");
        else System.out.println("You pass");

        System.out.println("myGrade: " + myGrade);
        System.out.println("myGrade.ordinal(): " +
                           myGrade.ordinal());
        System.out.println("myGrade.name(): " +
                           myGrade.name());
    }
}
```

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## Autoboxing

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- ... the automatic conversion from a primitive value to the corresponding “wrapper” object

```
Integer myInt;
int number = 5;
myInt = number;
```



- Unboxing converts from an object to the primitive type, e.g.,

```
Integer myInt2 = new Integer(15);
int number = myInt2;
```

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## Variable Length Arguments

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- Java methods can now accept a *variable number of arguments*

- These arguments will be stored in an *array*. For example,

```
public static void main (String [] args)
{
    myMethod ("A", "B", "C", "D");
}

public static void myMethod (String ... data)
{
    for (int i = 0; i < data.length; i++)
        { System.out.println (data[i]); }
}
```

OUTPUT  
A  
B  
C  
D

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## Variable Length Arguments, cont'd.

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- Methods can accept other parameters, in addition to the *variable length parameter*

- The variable length parameter must come *last* in the argument list

- Methods can accept only one variable length parameter, e.g.,

```
void myMethod (int a, double b,
               int ... numbers)
{
```

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## The New “For Each” Loop

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- To iterate through a sequence of elements, such as those in an array or an *ArrayList*:

```
int [] list = {1, 2, 3, 4, 5};

for (int num : list)
{
    System.out.println(num);
}
```

OUTPUT  
1  
2  
3  
4  
5

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## Keyboard Input (the old way)

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```
import java.io.*;  
class Hello  
{  
    public static void main (String [] args)  
        throws IOException  
    {  
        BufferedReader br = new BufferedReader( new  
            InputStreamReader(System.in));  
  
        System.out.print("How old are you? ");  
        int age = Integer.parseInt(br.readLine());  
  
        System.out.println("Wow, next year you'll "  
            + "be " + (age+1) + "!");  
    }  
}
```

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## Keyboard Input a la Java ≥ 1.5

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```
import java.util.Scanner;  
class Hello  
{  
    public static void main (String [] args)  
    {  
        Scanner keyboard = new Scanner(System.in);  
  
        System.out.print("How old are you? ");  
        int age = keyboard.nextInt();  
  
        System.out.println("Wow, next year you'll "  
            + "be " + (age+1) + "!");  
    }  
}
```

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## Scanner Class

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- **Scanner** helps “iterate” over collection of items

- **String nextLine()**

- Returns the remaining keyboard input line as a **String**. Note: ‘\n’ is read and discarded.

- **boolean nextBoolean()**

- **double nextDouble()**

- **int nextInt()**

- others ...

- Preview: these methods throw an **unchecked InputMismatchException** if the next token isn’t of the correct type.

## More on the Scanner Class

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- ➊ boolean methods `hasNextInt()`, etc. Returns true if the next "token" in this scanner's input can be interpreted as an int value in the specified radix using the `nextInt()` method. The scanner does not advance past any input.
- ➋ **useDelimiter (String aString)**
  - Makes `aString` the only `delimiter` used to separate input. `aString` is often a "regular expression."
- ➌ **next()**
  - Returns input up to, but not including, the first `delimiter` (any whitespace character is the `default`)

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## Program to Demonstrate useDelimiter()

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```
import java.util.Scanner;
class Delimit
{ public static void main(String [] args)
{
    Scanner in = new Scanner(System.in);
    String word1,word2;
    in.useDelimiter ("#");
    System.out.print ("Enter two words: ");
    word1 = in.next(); word2 = in.next();
    System.out.println ("FIRST you entered: " + word1);
    System.out.println ("THEN you entered:" + word2);
}}
```

**OUTPUT Example1**  
Enter two words: one#two#  
one was entered first  
Then you entered two

**OUTPUT Example2**  
Enter two words: one  
two#  
three#  
one  
two was entered first  
Then you entered  
three

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## Regular Expressions (regex)

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- ➊ A *regular expression* is a sequence of characters that define a search pattern. Usually this pattern is used by algorithms that perform "find" or "find and replace" operations, or for input validation. They contain both
  - literal characters
  - meta-characters such as: \* ? [ ] |
- ➋ In Delimit.java, try these delimiters: ## [#?\t] [#?\t]+
- ➌ Write code to read "words" intelligently using a regular expression as delimiter. Then try using " " as delimiter.

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## Formatted Output Using printf

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- System.out.printf ("format string", expr1, expr2, ... exprn);
  - The **format string** contains ordinary characters that get printed, and **placeholders** that tell how each value should be printed.
  - Each **placeholder** begins with a % character and ends with a letter that indicates the format **type**. For example, %d or %x
  - Flags, width, and .precision specifiers are options BEFORE the type that indicate exceptions to the default output. For example,

|        |                                                                     |
|--------|---------------------------------------------------------------------|
| %,d    | format as decimal integer with commas                               |
| %-9.2f | format as floating-point, left-justified with 2 digits of precision |

## printf Example

56

```
class PrintfDemo
{
    public static void main (String [] args)
    {
        System.out.printf ("I have %.2f bugs to fix!\n",
                           47568.09876);

        System.out.printf ("I have %,.2f bugs to fix!\n",
                           47568.09876);

        System.out.printf ("I have %,15.3f bugs to fix!\n",
                           47568.09876);
    }
}
```

## Simple Recursive Methods

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- A **recursive definition** is a statement in which something is defined in terms of *smaller (simpler) versions of itself*.

- A recursive alternative to the built-in method

**Math.pow** to compute  $x^n$ :

- Base case:

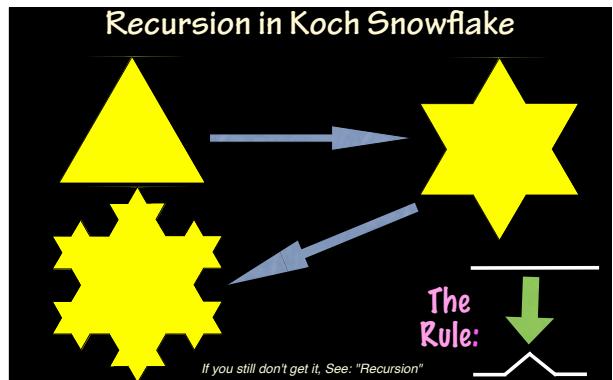
- Recursive case[s]:

- static double power (double x, int n)**  
{ // finish this off

## Printing Strings in Reverse

58

```
public static void reverse (Scanner input)
{
    if (input.hasNextLine())
    {
        String line = input.nextLine();
        reverse (input);
        System.out.println (line);
        return;
    }
    else return;
} // see file Reverse.java
```



59

## When to Use Recursion

60

- Often, the decision to use recursion is suggested by the "appropriate" nature of the problem itself:
  - It must be possible to decompose the original into simpler instances of the same problem.
  - Once each of these simpler subproblems has been solved, it must be possible to combine these solutions to produce a solution to the original problem.
  - As the large problem is broken down into successively less complex ones, those subproblems must eventually become so simple that they can be solved without further subdivision.

## General Scheme for Recursion

61

```
data-type recursiveSolve (instanceOfaProblem i)
{
    if (instance i is trivial) { return (solve i directly); }
    else
    {
        Break instance i into smaller instances i1, i2, ... in
        data-type s1 = recursiveSolve (i1);
        data-type s2 = recursiveSolve (i2);
        ...
        return the result of combining/assembling the sn solutions;
    }
}
```

61

## Towers of Hanoi

62

➲ The book Mathematical Recreations and Essays (11th ed.) gives a history of the puzzle, introduced in 1883:

- "In the great temple of Benares . . . rests a brass plate in which are fixed 3 diamond needles, each a cubit high and as thick as the body of a bee. On one of these needles, at the creation, God placed 64 disks of pure gold, the largest disk resting on the brass plate, and the others getting smaller and smaller up to the top one. This is the Tower of Brahmah. Day and night unceasingly the priests transfer the disks from one diamond needle to another according to the fixed and immutable laws of Brahmah, which require that the priest on duty must not move more than 1 disk at a time, and that he must place this disk on a needle so that there is no smaller disk below it. When the 64 disks shall have been thus transferred from the needle on which at the creation God placed them, to one of the other needles, tower, temple, and Brahmins alike will crumble into dust, and with a thunderclap the world will vanish!"

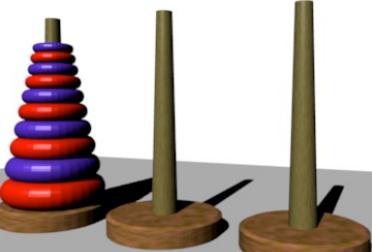
62

## Towers of Hanoi Model

63

18,446,744,073,709,551,615

<http://haubergs.com/hanoi>



63

## Towers of Hanoi, continued

64

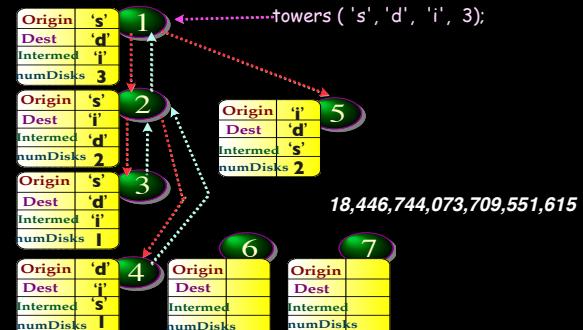
- Recursive algorithm:

```
static void towers(char origin, char dest,
                  char intermed, int numDisks)
{
    if ( numDisks == 1 ) // base case
        System.out.println ("Move disk #1 from "
            + origin + " to " +
            dest );
    else
    {
        towers ( _____ );
        System.out.println ("Move disk #"
            + numDisks + " from " + origin +
            " to " + dest);

        towers ( _____ );
    }
}
```

65

## Flow of Control in Hanoi.java



## Numbers as Character Strings

66

- To recursively print the int `n` in decimal as a character sequence, view `n` as consisting of the units digit (`n % 10`), and everything else (`n / 10`):

- “if needed,” print (`n/10`) recursively
- print the units digit, (`n % 10`)

- Now substitute any “base” from binary to decimal for 10, and write the whole thing in Java:

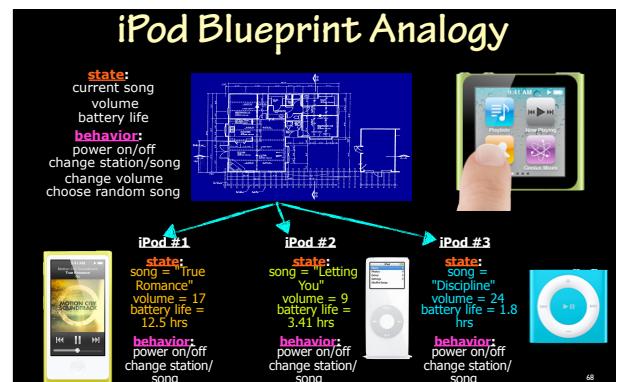
```
static void writeBase (int n, int base)
{
    if (n >= base) writeBase (
        char [] digits = {'0', '1', '2', '3', '4',
                          '5', '6', '7', '8', '9'};
        System.out.print ( digits [n % base] );
    }
}
```

## Classes and Objects Revisited

67

- **class:** A program entity that represents
  - A program, *or*
  - A template for a new type of objects. Eg., the **Movie** class is a template for creating new **Movie** objects.
- **object:** An entity that combines state and behavior.
- **object-oriented programming (OOP):** Programs that perform their behavior as interactions between objects.

67



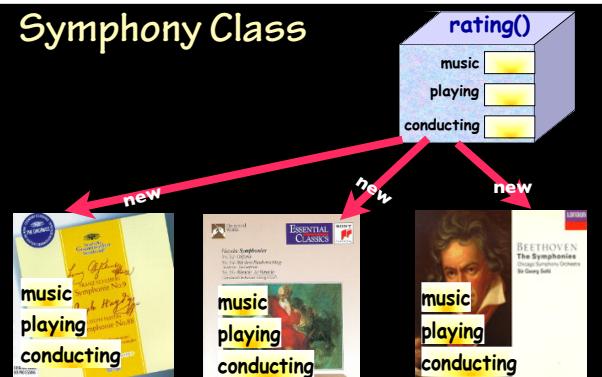
68



69

## Symphony Class

70

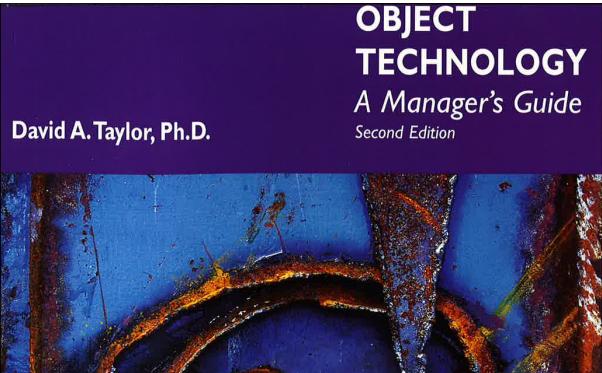


## What Goes in a Class Definition?

71

- Class definitions can include **class** and **instance** variables/constants plus **class** and **instance** methods. Each can have "public" or "private" access. For example,

```
class Symphony
{
    private int music, playing, conducting;           // instance variables
    private static int numberofSymphonies = 0;        // class variable
    public Symphony (int m, int p, int c)            // constructor
    {
        music = m; playing = p; conducting = c;
        numberofSymphonies++;
    }
    public static int getNumberofSymphonies ()
    {
        return numberofSymphonies;
    }
    public double rating (double scale)              // instance method
    {
        return (music + playing + conducting) * scale;
    }
}
```

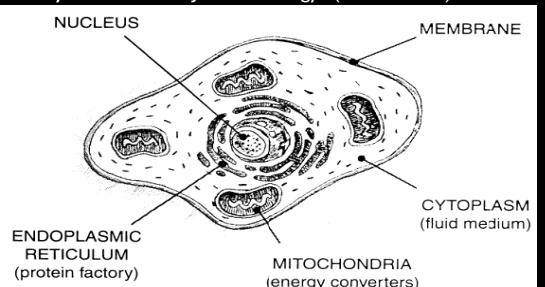


72

## Objects as Biological Cells

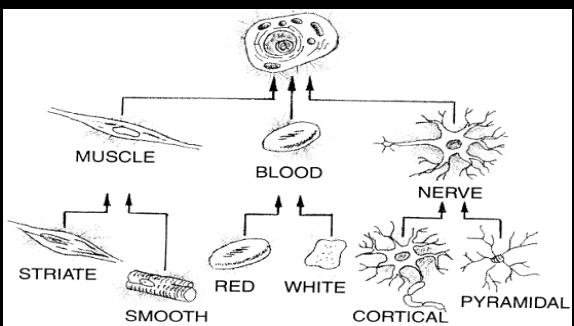
73

- See Taylor, David: *Object Technology* (2nd edition)



## Cell Hierarchies and Inheritance

74

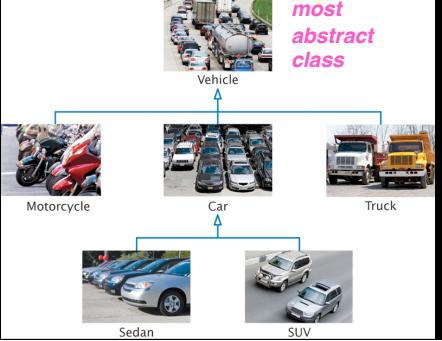


## What is Inheritance?

75

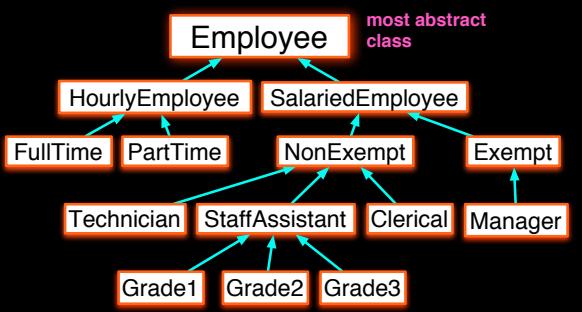
- inheritance:** A way to form new classes based on existing classes, taking on their attributes/behavior.
  - a way to group related classes
  - a way to share code between  $\geq 2$  classes
- One class can extend another, absorbing its data/behavior.
  - superclass:** The parent class being extended.
  - subclass:** The child class that extends the superclass and inherits its behavior.
  - Subclass gets a copy of every *non-private* field and method from superclass

## Inheritance Example #1



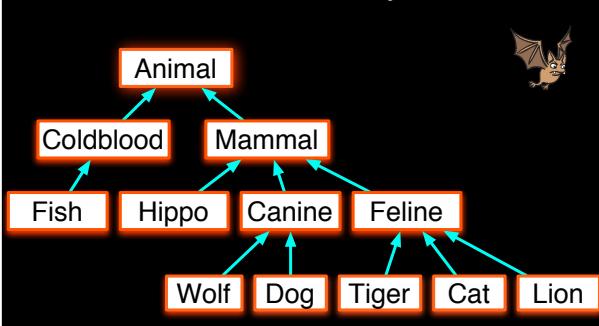
76

## Inheritance Example #2



77

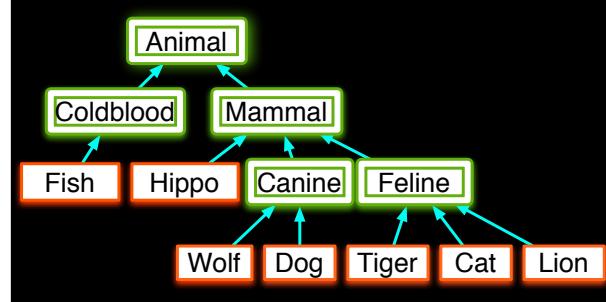
## Inheritance Example #3



78

## Abstract Classes (a Preview)

79



## Why Use Inheritance? An Example

80



## Inheritance Example, continued

81

- Suppose both the **Movie** class and the **Symphony** class each contain **timeInMinutes** and **name** instance variables, along with companion methods **getMinutes()**, **setMinutes()**, etc.
- The problem is that these instance variables and instance methods are exact duplicates in both classes. *Maintaining multiple copies makes software development and maintenance difficult as you try to correct bugs, add features, improve performance, change behavior, etc.*
- Instead: tie together classes in hierarchies such that instance features declared in one class automatically appear in instances belonging to another; this allows one to create "natural" category hierarchies by creating a subclass to direct-superclass relationship, using the pattern:

```
class SubclassName extends SuperclassName { ... }
```

81

## Movies and Symphonies are Attractions

82

- For example,  

```
public class Movie2 extends Attraction { ... }
```

- One can say that movies and symphonies are both “attractions,” and define both instance variables and appropriate getter/setter methods just in this class:

```
public class Attraction  
{   protected String name;  
    protected int timeInMinutes;  
    public Attraction () { timeInMinutes = 75; }  
    public int getHours () { ???? }  
    public void setMinutes(int d) {minutes=d; }  
}
```

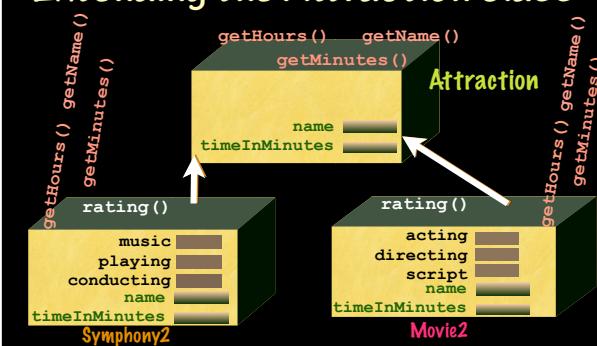
- A class will inherit *public* and *protected* instance variables and methods from all of its superclasses.

- See .java files [Attraction](#), [Movie2](#), [Symphony2](#), and [DemonstrateInheritance](#)

82

## Extending the Attraction Class

83



## Shadowing

84

- A class can have only one immediate superclass.

- When a subclass-superclass chain contains multiple instance methods with the same name, argument number, and argument types, the one closest to the target instance in the subclass-superclass chain is the one executed. All others are shadowed / overridden. Consider

```
public class StevenSpielbergMovie extends Movie2  
{  
    public int rating() { return 10 + acting +script; }  
}
```

```
StevenSpielbergMovie m = new StevenSpielbergMovie();  
int i = m.rating(); // which rating method is invoked?
```

84

## Abstract Classes

85

- To prevent the creation of instances of the **Attraction** class, define it as **abstract**.
- You can, however, create **Attraction** variables and assign to them either **Movie2** or **Symphony2** instances.
  - WHY is that legal?
- When you mark a class with the **final** keyword, such a class cannot be extended.
  - All classes form an inverted tree with the **Object** class at the root. **Final** classes appear as leaves.

85

## Abstract Methods

86

- Problem identified:

```
Attraction x = new Movie2();
System.out.println(x.rating()); // bug
```
- We need to define method **rating()** for **Attractions**
  - Undesirable, since this **rating()** method is never called.
  - Fortunately, Java allows you to define **rating()** as an **abstract** method of the abstract **Attraction** class: **public abstract int rating();**
  - Once you have defined an abstract method, Java forces you to define corresponding non-abstract methods in certain sub-classes of the abstract class.

86

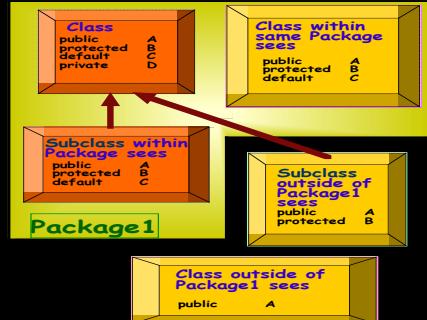
## It's All So ... **final**

87

- A **final variable** means you *cannot change* its value; this includes parameters as well as non-static variables
- A **final method** means you *cannot override* the method
- A **final class** means you *cannot extend* the class (i.e., you can't make a subclass)



## Scoping Visibility Rules



88

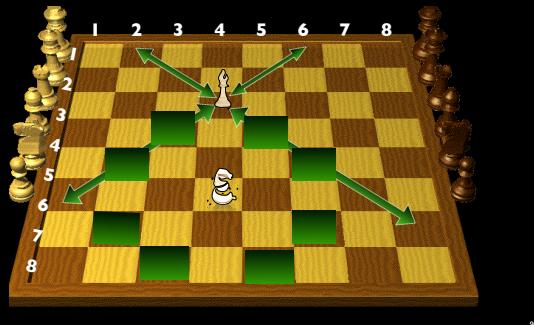
## Access Specifiers

89

- A **public** feature (data or method) can be accessed outside the class definition. A public class can be accessed outside the package in which it's declared.
- A **protected** feature can be accessed only within the class definition in which it appears, within other classes in the same package, or within the definition of subclasses.
- A **private** feature can be accessed only within the class definition in which it appears.
- A **default** feature can be accessed by subclasses and by other classes in the same package.

89

## Placing a Piece on a Chessboard



90

## How a Bishop Attacks



91

- For convenience, define

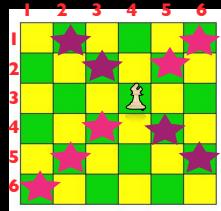
```
● columnDiff = pieceColumn - indexColumn;  
● rowDiff = pieceRow - indexRow;
```

- Then the diagonal \ satisfies ...

- And the diagonal / satisfies ...

- For other squares,

```
if (    // ???  
    System.out.print(" B");  
else System.out.print(" W");
```



91

## How a Knight Attacks



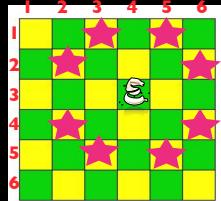
92

- Again we calculate

```
● columnDiff =  
  pieceColumn -  
  indexColumn;  
  
● rowDiff =  
  pieceRow - indexRow;
```

- Now the condition for printing a \* is

```
● if (  
    System.out.print( " *" );
```



92

## Constructors and Inheritance

93

- A child class can add new data and methods, but can also **override** methods defined in the parent class (so long as the name, return type and arguments match).

- When you want a constructor to hand one or more arguments to another constructor in the direct superclass, you put as the FIRST statement in the subclass constructor a statement consisting of

```
● super (argument list for parent's constructor);
```

- If, on the other hand, you want a constructor to call another constructor IN THE SAME CLASS explicitly:

```
● this (argument list for other constructor);
```

93

## The **super** Constructor

94

- ➊ A call to the base class constructor can never use the name of the base class, but uses the keyword **super** instead
- ➋ A call to **super** must always be the first action taken in a constructor definition
- ➌ An instance variable cannot be used as an argument to **super**
- ➍ See files **C.java** and **SuperDemo.java**

## The **super** Constructor, cont'd.

95

- ➊ If a derived class constructor does not include an invocation of **super**, then the no-argument constructor of the base class will automatically be invoked
  - This can result in an error if the base class has not defined a no-argument constructor
- ➋ Since the inherited instance variables should be initialized, and the base class constructor is designed to do that, then an explicit call to **super** should always be used
- ➌ NOTE: SUPER CAN BE USED TO INVOKE ORDINARY (non-constructor) METHODS IN A SUPERCLASS

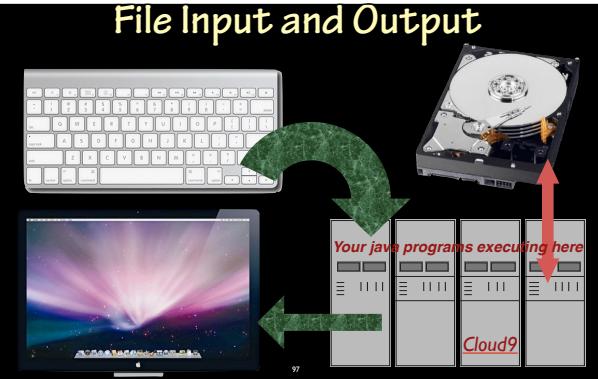
## The **this** Constructor

96

- ➊ Within the definition of a constructor for a class, **this** can be used as a name for invoking another constructor in the same class
  - The same restrictions on how to use a call to **super** apply to the **this** constructor
- ➋ If it is necessary to include a call to both **super** and **this**, the call using **this** must be made first, and then the constructor that is called must call **super** as its first action

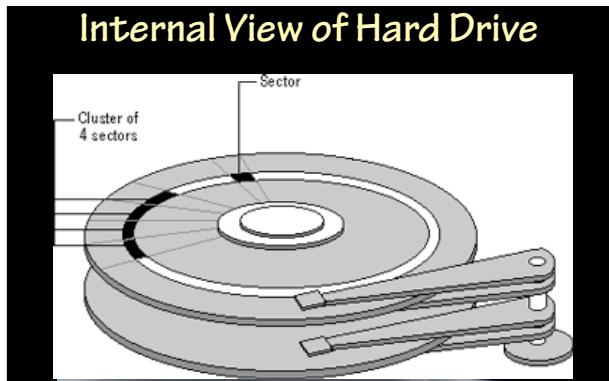
## File Input and Output

97



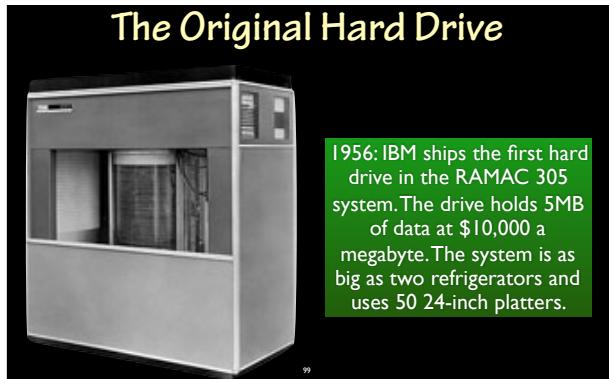
## Internal View of Hard Drive

98



## The Original Hard Drive

99



## File Input/Output (I/O)

```
import java.io.*;  
  
Create a File object to get info about a file on your drive  
(without actually creating a new file on the hard disk.)  
  
{  
    File f = new File ("data.txt");  
    if (f.exists() && f.canRead()) {  
        System.out.println("length = "  
                           + f.length());  
    }  
}
```

100

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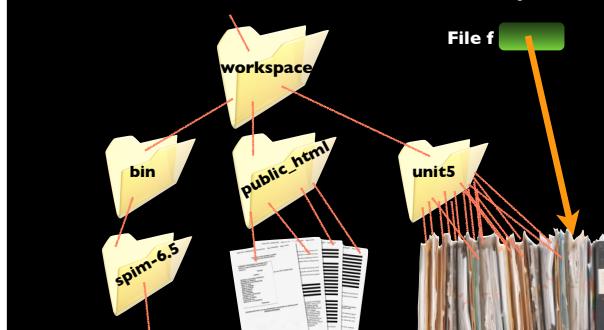
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## Files and Directories Example



101

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## Useful Methods of File Objects

| METHOD                         | DESCRIPTION                                |
|--------------------------------|--------------------------------------------|
| <code>isDirectory()</code>     | true if file represents a directory/folder |
| <code>isFile()</code>          | true if file represents an actual file     |
| <code>getAbsolutePath()</code> | full path of file's location               |
| <code>getName()</code>         | pathless name of file as String            |
| <code>delete()</code>          | deletes given file or directory            |
| <code>listFiles()</code>       | returns array of File objects from dir     |
| <code>length()</code>          | # of characters in this file               |
| <code>mkdirs()</code>          | creates directory, if it doesn't exist     |
| <code>renameTo(newName)</code> | changes this file's name to newName        |

102

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## Reading Files

103

- To read a file, pass a **File** when constructing a **Scanner**.

```
Scanner variable = new Scanner (new  
    File ("actual file name"));
```

- Example:

```
File f = new File ("mydata.txt");  
Scanner input = new Scanner (f);
```

- or (shorter):

```
Scanner input = new Scanner (new  
    File ("mydata.txt"));
```

103

## File Paths

104

- absolute path**: specifies a drive or a top "/" folder, e.g.,

```
C:/Documents.smith/hw6/stuff.txt
```

- relative path**: does not specify any top-level folder, e.g.

```
mydata.txt          or
```

```
input/kinglear.txt
```

- Assumed to be relative to the *current directory*: `Scanner input = new Scanner (new File("data/readme.txt"));`

- If our program is in `H:/hw6`,  
`Scanner` will look for `H:/hw6/data/readme.txt`

104

## Compiler Error with Files

105

```
import java.io.*;      // for File  
import java.util.*;    // for Scanner  
public class Readfile {  
    public static void main(String[] args) {  
        Scanner input = new Scanner (new  
            File ("data.txt"));  
        String text = input.nextLine();  
        System.out.println(text);  
    }  
}
```

- The compiler outputs the following error:

```
Readfile.java:6: unreported exception  
java.io.FileNotFoundException; must be caught or declared  
to be thrown  
Scanner input = new Scanner(new  
File("data.txt"));
```

105

## Exceptions



106

- exception: An object representing a ...

- dividing ...
- calling `substring` on a `String` and ...
- attempting to read the wrong type of value from ...
- trying to read a file that does not exist

- We say that a program with an error "throws" an exception.

- It is also possible to "catch" (handle) an exception.

- checked exception: An error that must be handled by our program — or else!

106

## The throws clause

107

- throws clause: Keywords on a method's header that state that it may generate an exception (and will not handle it).

- Syntax:

```
static type methodName (params) throws ExceptionType { ... }
```

- Example:

```
static void foobar (String [] s, int q)
    throws FileNotFoundException { ... }
```

- Like saying, "I hereby announce that this method might throw a `FileNotFoundException`, and I accept the consequences if this happens."

107

## Easy File I/O: CopyFile.java

108

- To **read** text: use Scanner & File classes

```
File reader = new File ("input.txt");
Scanner in = new Scanner (reader);
```

- Use the Scanner methods to read data from file: `next()`, `nextLine()`, `nextInt()`, and `nextDouble()`

- To **write** to a file, construct a PrintWriter:

```
PrintWriter out = new PrintWriter ("output.txt");
```

- If file already exists, it is emptied before the new data are written into it; if file doesn't exist, an empty file is created

- Use `print` and `println` to write into a PrintWriter

- You must close a file when you are done processing it!

108

## Input tokens

109

- ➊ **token:** A unit of user input, separated by whitespace.

- A **Scanner** splits a file's contents into tokens.

- ➋ If an input file contains the following:

```
23    3.14
  "John Smith"
```

The **Scanner** can interpret the tokens as:

| Token  | Type(s)             |
|--------|---------------------|
| 23     | int, double, String |
| 3.14   | double, String      |
| "John  | String              |
| Smith" | String              |

## Files and input cursor

110

- ➊ Consider a file **weather.txt** that contains this text:

```
16.2 23.5
19.1 7.4 22.8
18.5 -1.8 14.9
```

- ➋ A **Scanner** views all input as a stream of characters:

```
16.2 23.5\n19.1 7.4 22.8\n 18.5 -1.8 14.9\n
```

^

- ➌ **input cursor:** The current position of the **Scanner**.

## Consuming Tokens

111

- ➊ **consuming input:** Reading input and advancing the cursor:

- Calling **nextInt()**, etc. moves the cursor past the current token. For example,

```
16.2 23.5\n19.1 7.4 22.8\n 18.5 -1.8 14.9\n
```



```
double d = input.nextDouble(); // 16.2
String s = input.next(); // "23.5"
...
```

## File Input Problem

112

- Consider input file `weather.txt`:

```
16.2 23.5
19.1 7.4 22.8
18.5 -1.8 14.9
```



- Write a program that prints the change in temperature between each pair of neighboring days.

```
16.2 to 23.5, change = 7.3
23.5 to 19.1, change = -4.4
19.1 to 7.4, change = -11.7
...
```

112

## Now to Actually Handle Errors

113

- Traditional approach: Method returns error code

- Problem: Forget to check for error code, so failure notification may go undetected
- Problem: Calling method may not be able to do anything about failure, so program must fail too and let its caller worry about it. Also many method calls would need to be checked

- Instead of programming for success, as in `x.doSomething()` you oftentimes program for failure:

```
if (!x.doSomething())
{ System.out.print
( "Aaaaarrggggghhh!!!" ); return false; }
```

## Throwing an Exception

114

```
if (amount > balance)
{
    throw new IllegalArgumentException (
        "Amount exceeds balance!");
}
balance = balance - amount;
```

When you throw an exception, the normal flow of control is terminated.

This line is not executed when exception is thrown



115

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## "Catching" an Exception

```
try
{
    Scanner in = new Scanner (new File ("x.dat"));
    String input = in.nextLine();
    process (input);
}
catch (IOException e)
{
    System.out.println ("Cannot open file!");
}
```

**Constructor can throw  
FileNotFoundException**

**When IOException is thrown, execution resumes here**

116

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## Catching Multiple Exceptions

- Surround Java code that can throw exceptions with a "try block" ...

```
try
{
    String filename = . . .;
    Scanner in = new Scanner(new File(filename));
    String input = in.nextLine(); FileNotFoundException
    int value = Integer.parseInt(input); NoSuchElementException
    NumberFormatException
    . .
}
catch (IOException exception)
{
    exception.printStackTrace();
}
catch (NumberFormatException exception)
{
    System.out.println("Input was not a number");
}
```

**Write 'catch blocks' for each possible exception.**

**It is customary to name the exception parameter either 'e' or 'exception' in the catch block. See [Exc.java](#)**

117

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## The Ariane Rocket Incident

Unmanned European rocket explodes on first flight

June 4, 1996  
Web posted at: 12:00 p.m. EDT (1600 GMT)

KOUROU, French Guiana  
(CNN) -- Europe's newest unmanned satellite-launching rocket, the Ariane 5, intentionally was blown up Tuesday just seconds after taking off on its maiden flight.

A spokesman for Arianespace said the rocket was destroyed by its controllers.

"It's been confirmed that the vehicle was deliberately destroyed by the safety people," said spokesman. "Why? I don't know. There were no, repeat no, injuries. Most of the debris went down in the mangroves and the sea, and the winds carried the flames away from people out over the sea."



The rocket exploded seconds after launching

RELATED SITES & STORIES

118

## Creating Your Own Exceptions

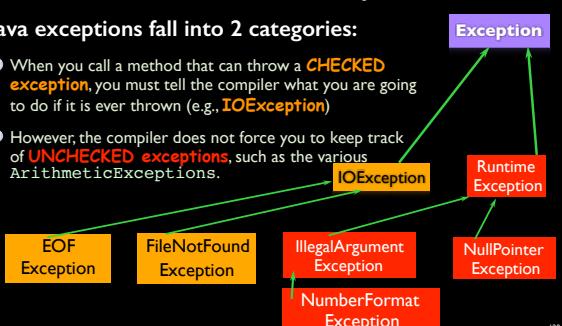
- ➊ See [ArrayExpansionDemo.java](#)
- ➋ To shut a program down, use `System.exit(0);`
- ➌ Create (and throw) your own exceptions:
  - ➍ Define: `class StrangeNewException extends Exception { }`
  - ➎ `throw (new StrangeNewException () )`
  - ➏ `catch (StrangeNewException e) { ... }`
- ➐ See programs [E.java](#), [E2.java](#)

119

## [Un]checked Exceptions

➊ Java exceptions fall into 2 categories:

- ➌ When you call a method that can throw a **CHECKED exception**, you must tell the compiler what you are going to do if it is ever thrown (e.g., `IOException`)
- ➍ However, the compiler does not force you to keep track of **UNCHECKED exceptions**, such as the various `ArithmeticsExceptions`.



120

## [Un]checked Exceptions, cont'd.

121

- ➊ Categories aren't perfect; e.g.,
  - ➌ Scanner's `nextInt()` throws unchecked `InputMismatchException`
  - ➌ Programmer cannot prevent users from entering incorrect input, but this choice makes the class easy to use for beginning programmers
  
- ➋ Deal with checked exceptions principally when programming with files and "streams"
  - ➌ For example, you need to deal with the `FileNotFoundException` when you attempt to open a file for "reading" information.

121

## PRIMITIVE Text File Input

122

- ➊ To read bytes from an input file, use pattern
  - ➌ `FileInputStream stream variable = new FileInputStream ("file specification");`
  
- ➋ To read characters from an input file,
  - ➌ `InputStreamReader reader variable = new InputStreamReader (stream variable);`
  
- ➌ To read characters more efficiently (see `IO.java`),
  - ➌ `BufferedReader bufReader variable = new BufferedReader (reader variable)`
  
- ➍ You still need to catch I/O exceptions; e.g., use `FileNotFoundException` or `IOException` class.

122

<http://docs.oracle.com/javase/7/docs/api/index.html>

123

java.io

### Class InputStreamReader

`java.lang.Object  
  java.io.Reader  
    java.io.InputStreamReader`

All Implemented Interfaces:

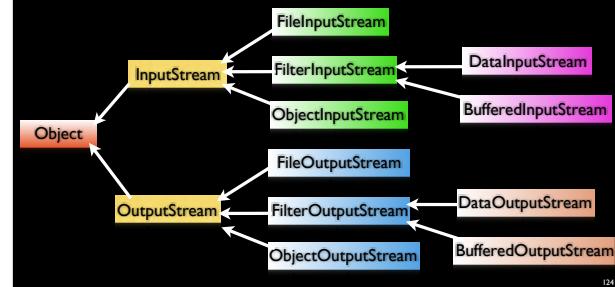
`Closeable, AutoCloseable, Readable`

Direct Known Subclasses:

`FileReader`

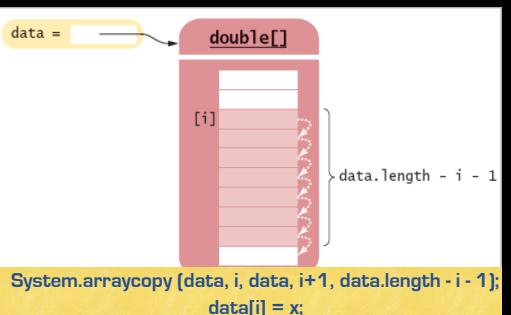
## Part of the java.io Hierarchy

Binary I/O Streams in Java (see Binary.java)



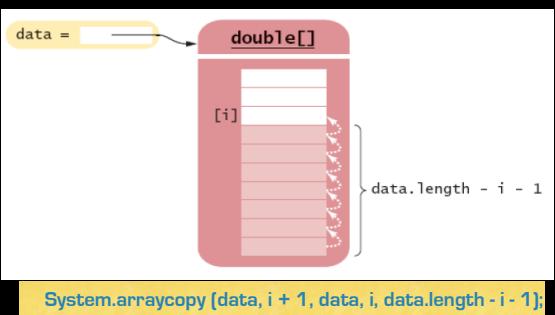
124

## Array Difficulties: Inserting



125

## Array Difficulties: Removing



126

## Array Difficulties: Growing

127

- If the array is full and you need more space, you can “grow” the array:

1.Create a new, larger array.

```
double[] newData = new double[2 * data.length];
```

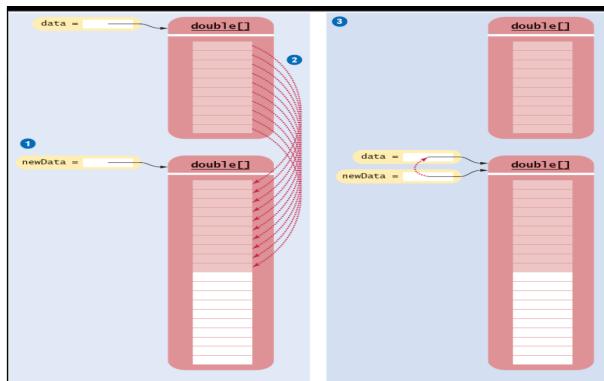
2.Copy all elements into the new array

```
System.arraycopy(data, 0,newData, 0,data.length);
```

3.Store the reference to the new array in the array variable

```
data = newData;
```

128



## ArrayLists: an Array Alternative

129

- The `ArrayList` class manages a sequence of objects
- Can grow and shrink as needed
- `ArrayList` class supplies methods for many common tasks, such as inserting and removing elements
- The `ArrayList` class is a *generic* class: `ArrayList<T>` collects objects of type `T`:

```
ArrayList<Movie2> myMovies = new ArrayList<Movie2>();
```

## ArrayList Methods

130

- To insert new objects into an *ArrayList*, use method `add`:
  - `myMovies.add (new Movie2 ("The Shape of Water"));`
  - `myMovies.add (new Movie2 ("The Post"));`
  - `myMovies.add (1, new Movie2 ("Fifty Shades of ... Java"));`
- `size()` yields number of elements
- `get()` retrieves one element
  - index starts at 0
  - `IndexOutOfBoundsException` can occur
- see file `Remove.java` (which contains a subtle bug)

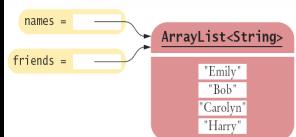
## Copying an ArrayList

131

- Remember that *ArrayList* variables hold a reference to an *ArrayList* (just like arrays)

- Copying a reference:

```
ArrayList<String> friends =  
    names;  
friends.add("Harry");
```



- To make a copy, pass the reference of the original *ArrayList* to the constructor of the new one:

```
ArrayList<String> newNames = new ArrayList<String>(names);
```

reference

## Adding ArrayList Elements

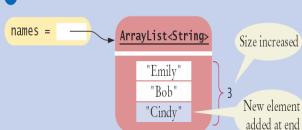
132

- Before add



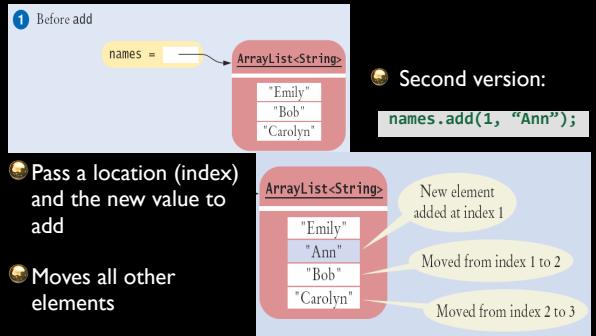
- The `add` method has two versions. First version: pass a new element to add to the end

- After add



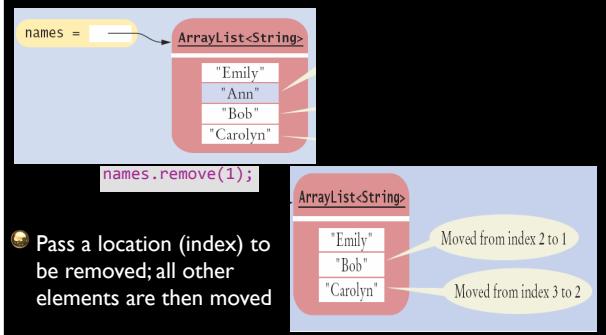
## Adding an ArrayList Element

133



## Removing ArrayList Elements

134



## ArrayList Methods, continued

135

- `set (int index, E element)` overwrites an existing value.
- `remove (int index)` removes an element
- `isEmpty ()` returns true if list has no elements
- `contains (Object element)` returns true if the list contains the specified element
- `toArray ()` returns an array of Object with all of the elements of the ArrayList

See `ArrayListDemo.java`

## Using Vectors (see VectorDemo.java)

136

- The `Vector` type is very similar to an `ArrayList` but might be slightly more inefficient due to synchronization. It has some additional methods:

- `Vector<type> vectorName = new Vector<type>();`

- To add elements into a vector, use one of

- `vectorName.addElement(object) // or add`
- `vectorName.insertElementAt(object, 0)`
- `vectorName.insertElementAt(object, index)`

- To retrieve an element from the front or back,

- `vectorName.firstElement()`
- `vectorName.lastElement()`

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## Using Vectors, continued

137

- To retrieve an element or replace an element, use

- `vectorName.elementAt(index) // or get`
- `vectorName.setElementAt(anObject, index)`

- To know the number of elements in a vector,

- `vectorName.size()`

- Elements of a vector might be instances of the `Object` class, so you may need to do casting:

- `(class name) vectorElement`

- To use every element of a vector in turn,

- `for (Object o : vectorName) { ... }`

137

## Stacks

138

- A collection based on the principle of adding elements and retrieving them in the opposite order.



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## Stack Operations

139

### Basic stack operations:

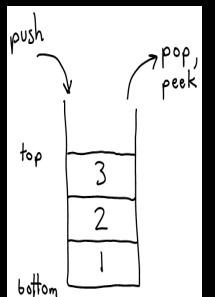
**push:** Add an element to the top.

**pop:** Remove the top element.

• Last-In, First-Out ("LIFO")

• The elements are stored in order of insertion, but we do not think of them as having indexes.

• We can only add/remove/examine the last element added (the "top").



## Inheritance vs. Composition

140

• If you find yourself using the phrase an **X** is a **Y** when describing the relation between two classes, then the first class is a subclass of the second.

• If you find yourself using the phrase an **X** has a **Y** when describing the relation between two classes, then instances of the second class appear as parts of instances of the first class.

• Deciding between these two relations is not always straightforward. See `Stack.java` and `Stack2.java` and `StackDemo.java`.

140

## Stacks in Computer Science

141

### Programming languages and compilers:

- method calls are placed onto a stack (call=push, return=pop)
- compilers use stacks to evaluate expressions

### Matching up related pairs of things:

- find out whether a string is a palindrome *see ParenChecker.java*
- examine a file to see if its braces { } and other operators match
- convert "infix" expressions to "postfix" or "prefix"

### Sophisticated algorithms:

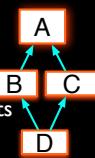
- searching through a maze with "backtracking"
- many programs use an "undo stack" of previous operations

141

## Interfaces

142

- Java does not allow “multiple inheritance” because it introduces problems as well as benefits. E.g.,



- Fortunately, Java allows you to impose requirements on a class from multiple classlike interfaces. An interface is like an `abstract` class: it can hold `abstract` method definitions that force other classes to implement them. But it has NO instance variables (though it can have static final members).

- All methods in an interface are `abstract` (they each have a name, parameters, and a return type, but no implementation)
- All methods in an interface are automatically `public`.

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## Interface Example

143

- For example, the `java.lang` package defines a `Comparable` interface as

```
public interface Comparable<T>
{ public int compareTo (T other); } // no implementation
```

- If you want an interface to impose requirements on a particular class, don't extend it; instead implement it:

```
public class someClassName implements
    InterfaceName1, InterfaceName2 { ... }
```

```
public class Movie3 extends Attraction
    implements Comparable<Movie3> { ...
public int compareTo (Movie3 otherMovie)
{ if (rating() < otherMovie.rating()) return -1;
  else if (rating() > otherMovie.rating()) return 1;
  else return 0; } ... }
```

143

## The Serializable Interface

144

- You can save an object's state in a file by “serializing” the object. (See `Serial.java`)



- You need an `ObjectOutputStream` from `java.io` constructed from a `FileOutputStream`

- Then use method `writeObject (anObject)`

- Restore serialized objects by constructing an `ObjectInputStream` from a `FileInputStream`

- Use method `readObject()` and do a “typecast”



## Building GUIs in Java

145

- A graphical user interface (GUI) presents a pictorial interface to a program, giving it a distinctive “look and feel.” Consistent and intuitive GUIs can help individuals to use programs more productively and to learn new apps faster.
- GUIs are built from components (or “widgets”) — visual objects the user interacts with via the mouse or keyboard.
  - **Label:** An area where uneditable text can be displayed
  - **Button:** An area that triggers an event when clicked
  - **TextField:** An area in which the user inputs data using the keyboard; it can also display information
  - **Choice:** A drop-down list of items user can select from

## GUI Elements

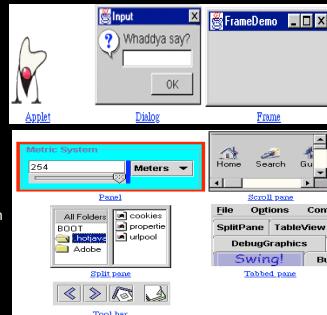
146

### Top-Level Containers

- The components at the top of any GUI containment hierarchy.

### General-Purpose Containers

- Intermediate containers that can be used under many different circumstances.

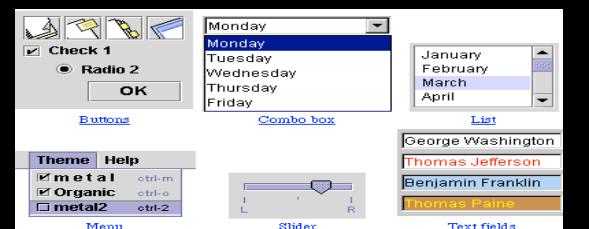


## GUI Widgets

147

### Basic Controls

- Atomic components that exist primarily to get input from the user; they generally also show simple state.

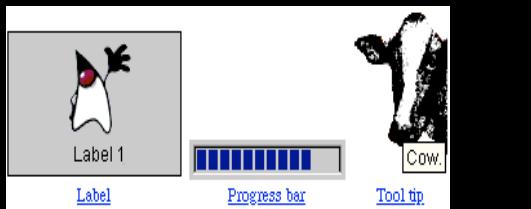


## GUI Widgets, continued

148

### Uneditable Information Displays

- Atomic components that exist solely to give the user information.

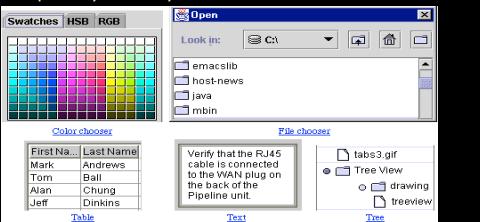


## Editable GUI Elements

149

### Editable Displays of Formatted Information

- Atomic components that display highly formatted information that can be optionally edited by the user.



## Simplest Graphical Container

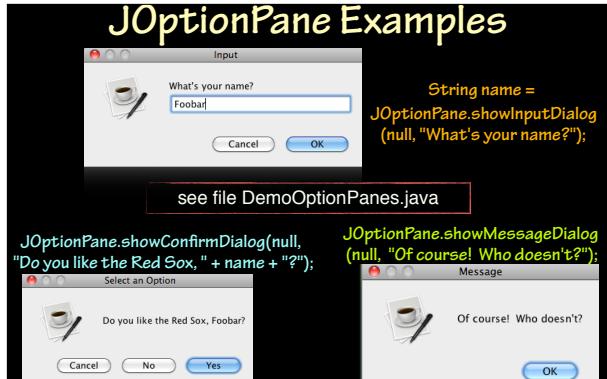
150

- An “option pane” is a simple graphical box that appears on screen to present a message or to request input from the user. The JOptionPane class has methods:

|                                        |                                                                                       |
|----------------------------------------|---------------------------------------------------------------------------------------|
| showMessageDialog<br>(parent, message) | displays message string in a box on the screen                                        |
| showInputDialog<br>(parent, message)   | displays input box with message & returns user input as a String                      |
| showConfirmDialog<br>(parent, message) | displays Yes/No/Cancel box with given message and returns one of 3 possible constants |

## JOptionPane Examples

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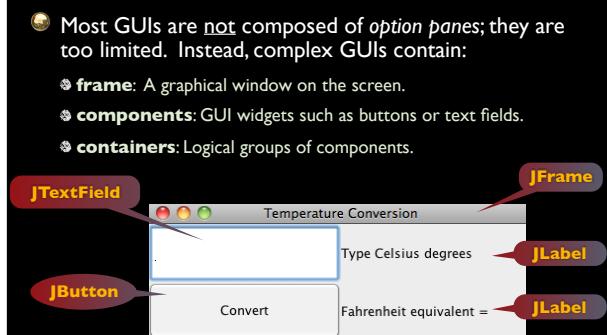


```
JOptionPane.showInputDialog(null, "What's your name?  
Foobar");
```

```
JOptionPane.showMessageDialog(null, "Of course! Who doesn't?");
```

## Onscreen GUI Elements

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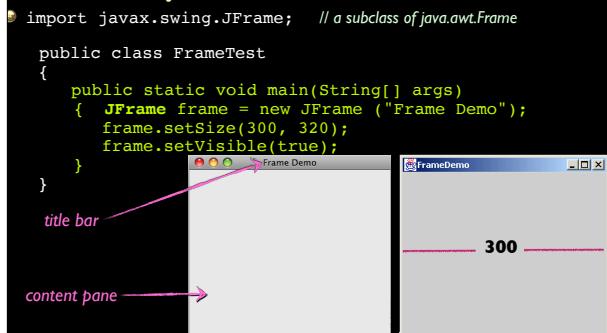


Most GUIs are not composed of *option panes*; they are too limited. Instead, complex GUIs contain:

- **frame**: A graphical window on the screen.
- **components**: GUI widgets such as buttons or text fields.
- **containers**: Logical groups of components.

## Opening a “Window”

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```
import javax.swing.JFrame; // a subclass of java.awt.Frame
```

```
public class FrameTest  
{  
    public static void main(String[] args)  
    {  
        JFrame frame = new JFrame ("Frame Demo");  
        frame.setSize(300, 320);  
        frame.setVisible(true);  
    }  
}
```

## JFrames, continued

154



155

- In Java, a **Container** is a **Component** that can contain other **Components**. **JFrames** inherit methods from several superclasses:

```
java.lang.Object
  |
  +--java.awt.Component
    |
    +--java.awt.Container
      |
      +--java.awt.Window
        |
        +--java.awt.Frame
          |
          +--javax.swing.JFrame
```

- The hard work of organizing elements within a container is the task of the **layout manager**. It determines: the overall size of the container, the size of each element in the container, and the spacing and positioning of the elements.

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## java.awt.BorderLayout

- Every container, by default, has a layout manager — an object that implements the **LayoutManager** interface — but you can easily replace it with another one.
- java.awt.BorderLayout**: arranges elements along the north, south, east, west, and center of the container. All extra space is placed in the center area. See program [BorderLayoutDemo.java](#)

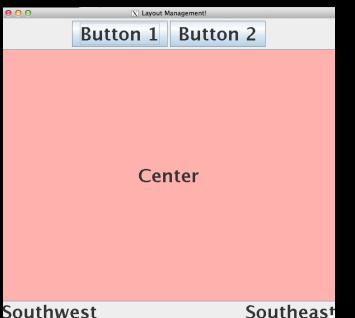


## Other Layout Managers

- **java.swing.BoxLayout**: arranges elements in 1 row or 1 column.
- **java.awt.CardLayout**: arranges elements like a stack of cards, with one visible at a time
- **java.awt.FlowLayout**: arranges left to right, top-bottom
- **java.awt.GridLayout**: arranges elements in a 2-dimensional grid of equally sized cells
- **java.awt.GridBagLayout**: arranges elements in a grid of variable-sized cells (complicated)
- Note: The Swing **Border** and **BorderFactory** classes can be used to put borders around almost any GUI element — this can make groupings of components more apparent and help guide (and inform) the user.
- See programs [LayoutManagerDemo.java](#) and [GridBagLayoutDemo.java](#)

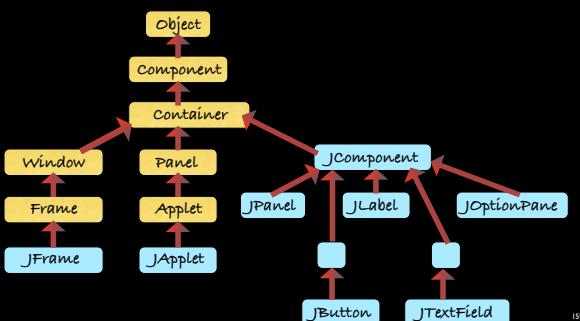
157

## Layout Mystery Problem



158

## Partial Class Hierarchy



159

## Methods of a Component

160

- A component (e.g., JButton) is an object having a graphical representation that can be displayed on the screen and can interact with the user.

- Color getForeground ()
- Color getBackground ()
- Font getFont()
- void setBackground (Color c)
- void setForeground (Color c)
- void setFont (Font f)
- boolean isVisible()
- void setVisible (boolean b)
- void setSize (int width, int height)
- void setName (String name)

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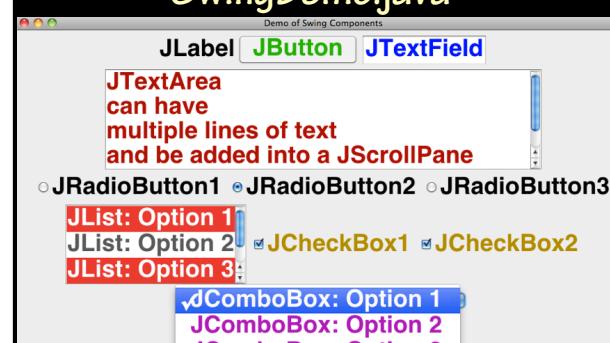
## Color Class: See program ColorDemo.java

161

- Colors enhance the appearance of a program and help to convey meaning.
- Note every color is created from a red, blue and green component (RGB), each an integer between 0 and 255 or a float value between 0.0 and 1.0.
- Color constants: ORANGE, PINK, CYAN, MAGENTA, YELLOW, BLACK, WHITE, GRAY, LIGHTGRAY, DARKGRAY, RED, GREEN, BLUE (RGB value of 0, 0, 255)
- Color Methods: several constructors; int getRed(), int getGreen(), int getBlue(); getColor and setColor(); brighter(), darker();

## SwingDemo.java

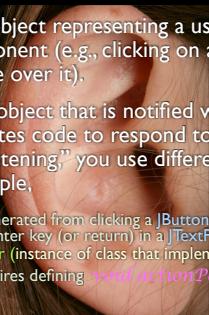
162



## Event-Driven Programming

- An “event” is an object representing a user’s interaction with a GUI component (e.g., clicking on a component or moving the mouse over it).
- A “listener” is an object that is notified when an event occurs, and executes code to respond to that event. To organize event “listening,” you use different **event listener** classes. For example,
- An **ActionEvent** is generated from clicking a **JButton**, selecting a menu item in a **JMenu**, hitting the Enter key (or return) in a **TextField**. To such components use **addActionListener** (instance of class that implements the **ActionListener** interface), which requires defining **void actionPerformed (ActionEvent e)**

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## Events and Listeners

- Mouse movement, mouse clicks & keystrokes cause different kinds of “events” to be generated. A Java program must install **event listener** objects in order to be notified about only certain of these events.
- To listen to window events, add a “window listener” object to the frame. The **WindowListener** interface has 7 methods, or you can just implement certain of these methods via the **WindowAdapter** “convenience class.”
- A “mouse listener” must implement all of the **MouseListener** interface (5 methods). Or it can implement just certain methods via the **MouseAdapter** class.
- When the user clicks a button, presses Return while typing in a text field, or chooses a menu item, an **ActionEvent** is generated. A listener must implement the **ActionListener** interface (which contains one method).

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## Event - Listener Examples

| Event Type                                         | Listener Type                                                                                                         | Some Methods                                                                 |
|----------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|
| Window Events<br>(e.g., <b>JFrame</b> <b>jf</b> )  | <b>jf.addWindowListener</b> (object of class that implements <b>WindowListener</b> or extends <b>WindowAdapter</b> ); | windowClosing<br>windowIconified<br>windowOpened<br>4 others                 |
| Action Events<br>(e.g., <b>JButton</b> <b>jb</b> ) | <b>jb.addActionListener</b> (object of class that implements <b>ActionListener</b> );                                 | actionPerformed                                                              |
| Mouse Events (e.g., Component <b>c</b> )           | <b>c.addMouseListener</b> (object of class that implements <b>MouseListener</b> or extends <b>MouseAdapter</b> );     | mouseEntered<br>mouseClicked<br>mouseExited<br>mousePressed<br>mouseReleased |

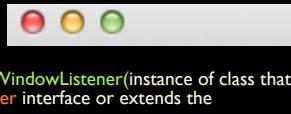
165

## Window Events

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- A **WindowEvent** is generated when you

- open a window for the first time,
- close it,
- iconify it,
- activate it, etc.



- To such components, use `addWindowListener`(instance of class that implements the **WindowListener** interface or extends the **WindowAdapter** class ).

- To listen to window events, add a “window listener” object to the frame. The **WindowListener** interface has 7 methods; or one may implement certain of these methods via the **WindowAdapter** “convenience class.”

- See [ActionEventDemo.java](#) for an example

## Implementing WindowListener Interface

167

- The first step in preparing an application to respond to Window events is to define a “listener” class that implements the **WindowListener** interface:

```
import java.awt.event.*;
public class WindowChatterBox implements WindowListener
{
    public void windowClosing (WindowEvent e)
    { System.out.println ("Window got CLOSED!"); System.exit(0); }
    public void windowActivated (WindowEvent e) { }
    public void windowClosed (WindowEvent e) { }
    public void windowDeactivated (WindowEvent e) { }
    public void windowDeiconified (WindowEvent e)
    { System.out.println ("Window got iconified!"); }
    public void windowIconified (WindowEvent e)
    { }
    public void windowOpened (WindowEvent e) { }
}
```

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## Some java.awt.event Classes

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| Components            | Events          | Description                      |
|-----------------------|-----------------|----------------------------------|
| Button, JButton       | ActionEvent     | User clicked button              |
| CheckBox, JCheckBox   | ItemEvent       | User toggled a checkbox          |
| ScrollBar, JScrollBar | AdjustmentEvent | User moved the scrollbar         |
| Component, JComponent | ComponentEvent  | Component was moved or resized   |
|                       | FocusEvent      | Component acquired or lost focus |
|                       | KeyEvent        | User typed a key                 |
| TextField, JTextField | ActionEvent     | User typed Enter key             |
| Window, JWindow       | WindowEvent     | User manipulated window          |

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## Some javax.swing.event Classes

169

| Components     | Events                | Description                      |
|----------------|-----------------------|----------------------------------|
| JPopupMenu     | PopupMenuEvent        | User selected a choice           |
| JComponent     | AncestorEvent         | An event occurred in an ancestor |
| JList          | ListSelectionEvent    | User double-clicked a list item  |
|                | ListDataEvent         | List's contents were changed     |
| JMenu          | MenuEvent             | User selected menu item          |
| JTextComponent | CaretEvent            | User clicked in text             |
| JTable         | TableModelEvent       | Items added/removed from table   |
|                | TableColumnModelEvent | A table column was moved         |

[49]

## [Anonymous] Inner Classes

170

- An inner class is one that's defined inside of another class. The syntax is ugly, but it provides a useful way of creating classes and objects "on the fly." The body of the class definition is put right after the `new` operator, as illustrated:

```
...  
JFrame jf = new JFrame ("test frame");  
jf.setSize(400, 300);  
jf.setVisible(true);  
jf.addWindowListener (  
    new WindowAdapter()  
    {  
        public void windowClosing (WindowEvent e)  
        { System.exit(0); }  
    }  
);  
...  
170
```

## Listening to Mouse Events

171

- An event is a change in status that can initiate a responsive action. For example, when you click a mouse button, you change the status of the mouse, thus launching a mouse event.
- Mouse events can be trapped for any GUI widget that derives from `Component`. Each of the following takes a `MouseEvent` object as its argument (which has the `x,y` coordinates of where the event occurred).
  - The `MouseListener` methods are `mousePressed`, `mouseClicked`, `mouseReleased`, `mouseEntered` and `mouseExited`.
  - The `MouseMotionListener` methods are `mouseDragged` and `mouseMoved`.

[50]

## The Graphics Object

172

- To draw shapes and lines, you need access to a **Graphics** object.



- This object is like a paintbrush; it can be dipped into any color, e.g., if **g** is the **Graphics** object:  
`g.setColor (Color.RED);`

`g.fillRect (10, 30, 60, 35);  
g.fillOval (80, 40, 50, 70);`

- The **Graphics** object is usually obtained inside the **paintComponent** method of a **JComponent** or **JPanel**

## How to Draw in a Window

173

- With Swing, the preferred method is to create a dedicated drawing area as a subclass of **JPanel**:

```
● public class subclassName extends JPanel  
{ ...  
    public void paintComponent (Graphics g)  
    { // NEVER invoke paintComponent directly  
        super.paintComponent( g ); // SOMETIMES needed  
        // your drawing code goes here  
    ...  
}
```

- If you draw on a **Canvas**, override the **paint()** method, not **paintComponent**.

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## Drawing Methods of a **Graphics** Object

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- `void drawLine (int x1, int y1, int x2, int y2)`
- `void drawRect (int x, int y, int width, int height)`
- `void fillRect (int x, int y, int width, int height)`
- `void fillRoundRect (int x, int y, int width, int height)`
- `void clearRect (int x, int y, int width, int height)`
- `void drawOval (int x, int y, int width, int height)`
- `void fillOval (int x, int y, int width, int height)`
- `void drawArc (int x, int y, int width, int height, int startA, int arcA)`
- `void drawString (String s, int x, int y)`
- `void setFont (Font f)`
- `void setColor (Color c)`

174

## Fonts (see Surprise.java)

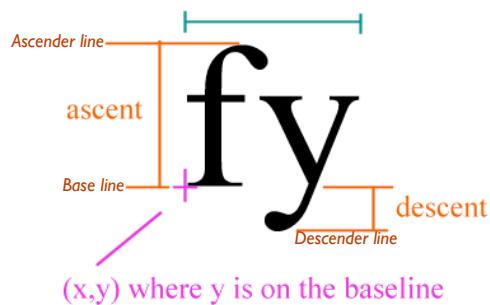
175

- To write a string, add a `drawString` statement to a paint or `paintComponent` method (defined in a subclass of `JPanel` or `Canvas`):
  - `graphicsContext.drawString (aString, x, y);`
- To determine the height of a font or width of a string, create an instance of the `FontMetrics` class and examine its instance variables:
  - `FontMetrics var = graphicsContext.getFontMetrics();`
  - `var.getHeight ()`
  - `var.stringWidth ("string")`
- `graphicsContext.setFont (new Font ("fontName", Font.style, size) );`

175

176

## stringWidth (from FontMetrics)



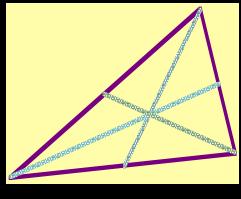
## Testing a Theorem with Graphics

177

- We can write methods that operate on points and `lines` in such a way that the underlying algebra remains hidden. E.g., to test the theorem: "The medians of a triangle meet at a point"

- Suppose we have 4 arrays:

```
• Point [] vertices =
  new Point [3];
• Point [] midpoints
  = new Point [3];
• Line [] medians = new Line [3];
• Point [] intersections
  = new Point [3];
```



177

## JSlider Controls

178

- JSiders enable the user to select from a range of integer values. When oriented horizontally, the minimum value is at the extreme left; vertical ones have the minimum value at the bottom.
  - The slider can show both major and minor tick marks between them. The # of values between the tick marks is controlled with setMajorTickSpacing(int n) & setMinorTickSpacing(int n). Use setPaintTicks(true).
- ```
JSlider (int orientation, int min, int max, int value)
int getValue ()          // Returns slider's current value.
void setValue (int n)   // Sets slider's current value.
```

178

## JSlider Controls, continued

179

- Sliders generate ChangeEvents when the user interacts with the control. An object of a class that implements interface ChangeListener and defines method public void stateChanged ( ChangeEvent e) can respond to ChangeEvents.

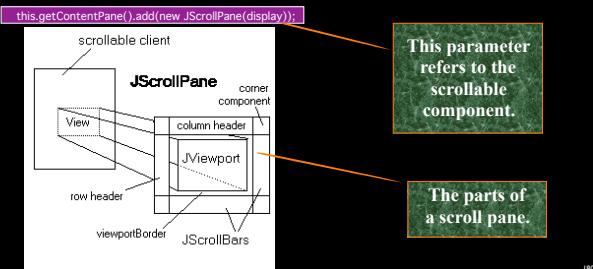
```
final JSlider s = new JSlider (
    SwingConstants.HORIZONTAL, 5, 200, 50);
s.addChangeListener ( new ChangeListener()
    { public void stateChanged(ChangeEvent e)
        { System.out.println(s.getValue()); } }
);
//see SliderTest.java
```

179

## Scroll Panes

180

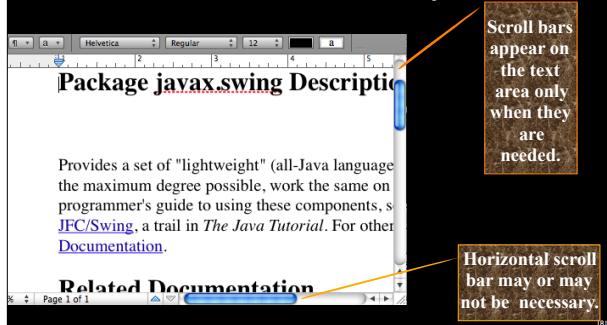
- A JScrollPane is an object that manages scrolling within a window or JTextArea.



180

## ScrollPane Example

181



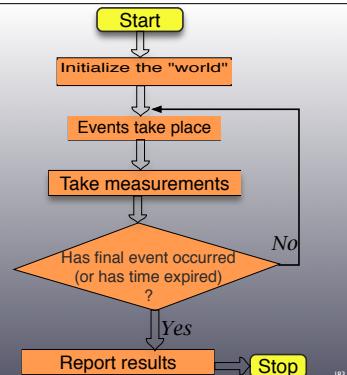
182

## JTextAreas (see TextIO.java)

- ➊ A `JTextArea` is a multiline text area that can be used for either input or output. It is almost identical to the AWT component, `TextArea`, except it does not contain scrollbars by default.
- ➋ `public JTextArea (String text, int rows, int columns)`
- ➌ If `jf` is a defined `JFrame`, and `jta` is a defined `JTextArea`, then `jf.add (new JScrollPane(jta))`; will add scrollbars to the text area.
- ➍ Additional useful methods:
  - ➎ `void append (String str)`      `int getRows()`
  - ➏ `int getColumns()`                `int getColumnWidth()`

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## Simulation

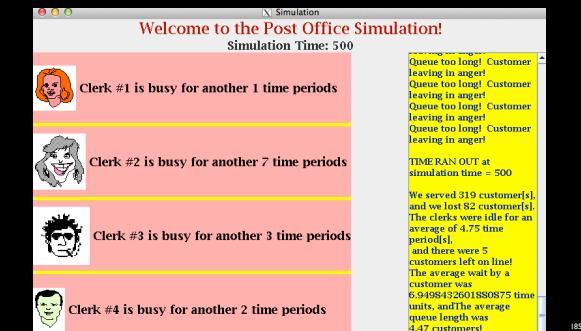


## The Queue Class



184

## PostOffice Simulation



185

## Animation (see Animate.java)

186

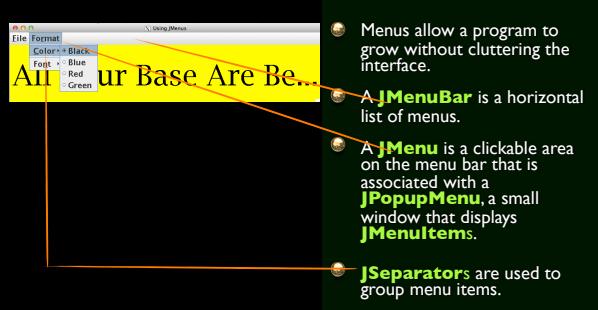
- The abstract class `Image` is the superclass of all classes that represent graphical images. You cannot create an object of class `Image`; instead you request that an `Image` be loaded (from a local file or a URL) via the `ImageIcon` class:

```
static ImageIcon [] mouse;
mouse = new ImageIcon [15];
for (int i=0; i < 15; i++)
    mouse[i] = new ImageIcon ( "T" + (i+1) + ".gif");
```

- You can also use method `paintIcon(Component c, Graphics g, int x, int y)` to draw the individual images on screen. See `ScratchAnimation.java`

## Menus (see MenuTest.java)

187



## Menu Example (MenuTest.java)

188

```
JMenuBar bar = new JMenuBar();           // create menubar
setJMenuBar( bar );
fileMenu.setMnemonic( 'F' );             // set the menubar for the JFrame
// create File menu and Exit menu item
JMenuItem fileMenuItem = new JMenuItem( "File" );
fileMenuItem.setMnemonic( 'F' );
JMenuItem aboutItem = new JMenuItem( "About..." );
aboutItem.setMnemonic( 'A' );
aboutItem.addActionListener(
    new ActionListener() {
        public void actionPerformed( ActionEvent e )
        {
            JOptionPane.showMessageDialog( MenuTest.this,
                "This is an example\nof using menus",
                "About", JOptionPane.PLAIN_MESSAGE );
        }
    }
);
fileMenuItem.add( aboutItem );
JMenuItem exitItem = new JMenuItem( "Exit" );
exitItem.addActionListener(
    new ActionListener() {
        public void actionPerformed( ActionEvent e )
        {
            System.exit( 0 );
        }
    }
);
```

## Chessboard GUI

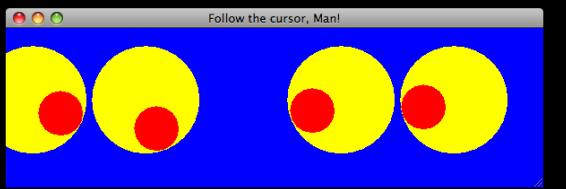
189



## Eyes that Follow the Mouse

190

- EyeDemo.java creates a `JPanel` that contains an instance variable (`cursor`) that contains the location of the mouse, and two instance variables of type `PairOfEyes` (`e1` and `e2`).

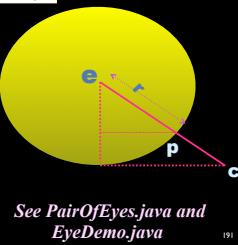


## Eyes that Follow the Mouse

191

- Consider the distance `d` from point `e` to point `c` is  $\sqrt{(c.x - e.x)^2 + (c.y - e.y)^2}$

We also know `r` is the radius of the eye. Using similar triangles, we see the center of the pupil is at  $(p.x, p.y)$ , where  
 $p.x = e.x + (c.x - e.x) * r/d$  and  
 $p.y = e.y + (c.y - e.y) * r/d$   
(Actually, `r` is `EYE_RADIUS-PUPIL_RADIUS`.)



See `PairOfEyes.java` and `EyeDemo.java`

191

## Sets

192

- In mathematics, an unordered collection of distinct elements is called a **set**.
  - Elements can be added, located and removed.
  - Sets don't have duplicates.
  - The order of the objects doesn't really matter (unlike an array or an `ArrayList`)
- In Java, sets are implemented both as "hash tables" and as "trees." Both `HashSet` and `TreeSet` implement the `Set` interface.

## Defining a *Set* Class in Java

193

- By defining `set` objects as a class we can use sets as an *abstract data type* in our Java programs, without having to worry about implementation details! See file `TestSets.java`. The main program loop looks roughly like this:

- case 1: ... `setA.readSet();` ... break;
- case 2: ... `setB.readSet();` ... break;
- case 3: ... `System.out.print( setA.intersect( setB ) );` ...
- case 4: ... `System.out.print( setA.union( setB ) );` ...
- case 5: ... `System.out.print( setA.difference( setB ) );` ...
- default: ...

- Additional methods for adding and removing a single element from a set (among others) are also defined (in addition to `union`, `difference`, and `intersect`).<sup>193</sup>

## Representing a *Set* Using a Single Byte

194

- A byte (8 bits) is the smallest addressable unit of main memory. The same byte (bit pattern) can represent various things:



- an unsigned integer
- a signed integer
- an ASCII character
- the SET whose elements are ...

194

## Basic Set Operations

195

- Set A = {2, 3, 4, 7}   A = `1 0 0 1 1 1 0 0`

- Set B = {2, 4, 5}      B = `0 0 1 1 0 1 0 0`  
                          `0 0 0 1 0 1 0 0`

- The UNION of the two sets (**A + B**) consists of all elements that are in *either* A or B. In Java, the operation on bytes can be expressed as ...

- The INTERSECTION of the two sets (**A \* B**) consists of all elements that are in *both* A and B. In Java, this operation can be expressed as ...

195

## Set Operations, continued

196

- ➊ The **SYMMETRIC DIFFERENCE** of two sets consists of elements that are in one set but not the other. In our example, this is {3, 5, 7}. This is expressed as ...
- ➋ The **COMPLEMENT** of a set consists of all elements not in the set. The complement of b can be expressed in Java as ...
- ➌ The **DIFFERENCE** of two sets (A - B) consists of elements that are in A but not in B. In Java this operation is ...
- ➍ In Java there are bitwise operations that incorporate assignment: |=, &=, ^=
- ➎ These are useful for setting, clearing, or complementing a single bit within a byte. For example,
  - `a |= (1 << n)` //sets what???

196

## Instance Data Members

197

- ➊ To have sets of a fixed size (say, those whose elements range from 0 to 255, requiring  $256/8 = 32$  bytes):

```
● class Bitset
  { private byte byteArray[] = new byte[32];
    ...
  }
```
- ➋ But to have sets of different sizes, use

```
● class Bitset
  { private int maxSize;
    private byte [] byteArray;
    ...
  }
```

197

## Representing Larger SETs

198

- ➊ Use nbytes consecutive bytes of memory to represent a set with  $8^n$ byte possible members.
  - For example, with 4 bytes we can have members from 0 to 31:

b <sub>31</sub>	b <sub>24</sub>	b <sub>23</sub>	b <sub>16</sub>	b <sub>15</sub>	b <sub>8</sub>	b <sub>7</sub>	b <sub>0</sub>
1	1	0	0	0	1	0	1
1	0	0	0	0	1	1	1
1	1	1	0	0	1	1	1
1	1	0	1	1	0	1	1

`byteArray[3] byteArray[2] byteArray[1] byteArray[0]`
- To find the byte in which element n is represented, the address we need is `byteArray [ ?? ]` and the bit number at that address is ... ???
- ➋ Now we can implement primitives like

```
void setBit (int n) // turn on appropriate bit for value n
{ int whichByte = n / 8;
  int whichBit = n % 8;
  byteArray[whichByte] |= (1 << whichBit);
}
```

198

## More Primitive Operations

199

- See file Bitset.java for the details:

```
boolean getBit ( int n )           // Returns the n'th bit value
{
    int whichByte = n / 8;
    int whichBit = n % 8;
    return ( (byteArray [whichByte] & (1 << whichBit) ) != 0 );
}

void clearBit ( int n )           // CLEARS the n'th bit
{
    int whichByte = n / 8;
    int whichBit = n % 8;
    byteArray [whichByte] &= ( (1 << whichBit) ^ 255 );
}
```

199

## Constructors for a SET

200

- The constructor that we use most of the time calculates how many bytes of memory are needed and allocates them using `new`. The set is cleared automatically to all zeroes, yielding the empty set:

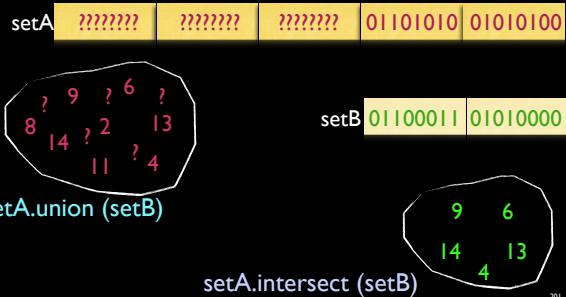
```
● Bitset ( int size )
{
    maxSize = size;
    int nbytes = (size + 7) / 8;
    byteArray = new byte[nbytes];
}
Bitset ()
{
    maxSize = 0;
    byteArray = null;
}
```

In case we declare an array of sets, we define a 0-arg constructor and an initialization function (named `setSize`). See [Bitset.java](#) for details.

200

## How Intersection/Union Works

201



201

## Defining the Operators

202

- The union, intersection and difference operations act on 2 sets to produce a third set. What's tricky is making sure these methods work correctly when they operate on sets of different sizes. For example,

- Bitset union (Bitset setB)  
{ Bitset temp = new Bitset (this.maxSize > setB.maxSize ? this : setB);  
  
int nbyte = Math.min (byteArray.length, setB.byteArray.length);  
for (int i = 0; i < nbyte; i++)  
{ temp.byteArray[i] = (byte) (byteArray[i] | setB.byteArray[i]);  
}  
return temp;  
}

202

## Other Useful Set Functions

203

- Frequently we need to operate on a single element of a set: to add it to the set, remove it from the set, or test whether it is present in the set. All these things are easy to do in terms of primitive **Bitset** operations:

- boolean member (int i)  
{  
if (i >= maxSize) return false;  
else return (getBit (i));  
}  
void include (int i)  
{ if (i >= maxSize) error("Too big!"); setBit(i); }  
void exclude (int i)  
{ if (i >= maxSize) error ("Too big!"); clearBit(i); }

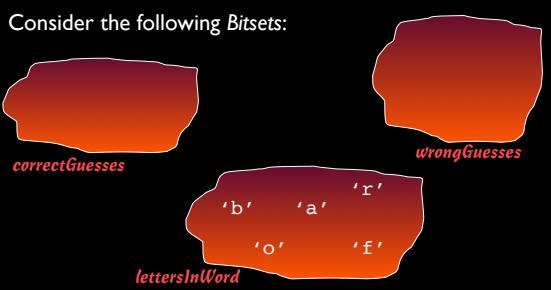
- All the above check parameter i's validity.

203

## Hangman: A Bitset Application

204

- Consider the following Bitsets:

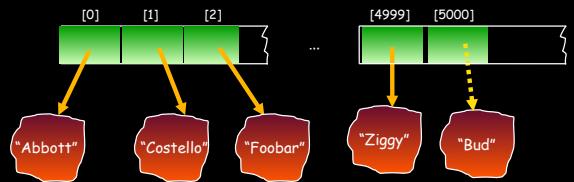


## Limitations of Arrays, revisited

1

- Consider "Employee" objects, sorted alphabetically by last name.

- How do we add / delete objects from the array?



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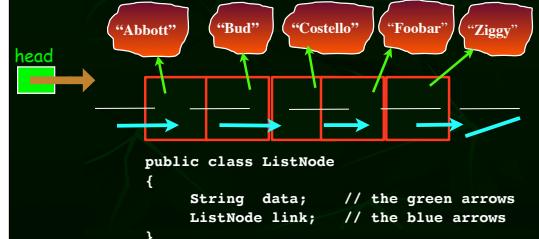
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## Linked-List as an Alternative

2



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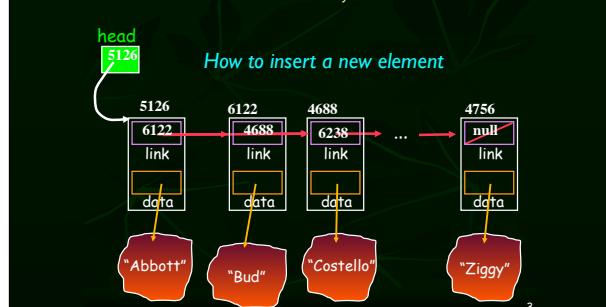
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## Linked-Lists, continued

3



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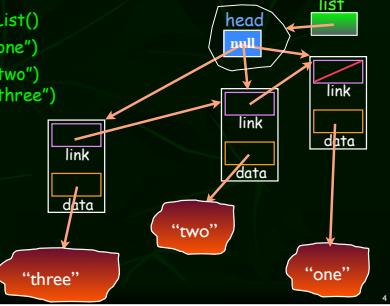
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## LinkedListDemo.java

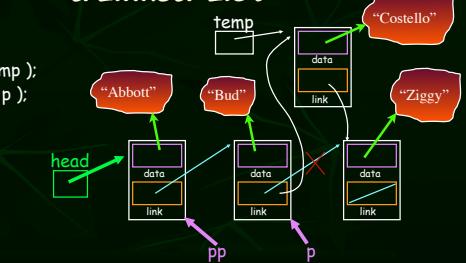
```
list = new StringLinkedList()  
list.addNodeToStart ("one")  
list.addNodeToStart ("two")  
list.addNodeToStart ("three")
```



4

## Inserting in the Middle of a Linked-List

```
pp.setLink( temp );  
temp.setLink( p );
```



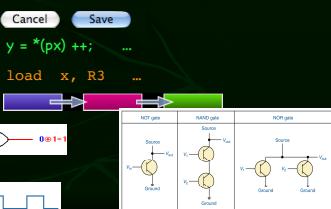
5

## Managing Complexity

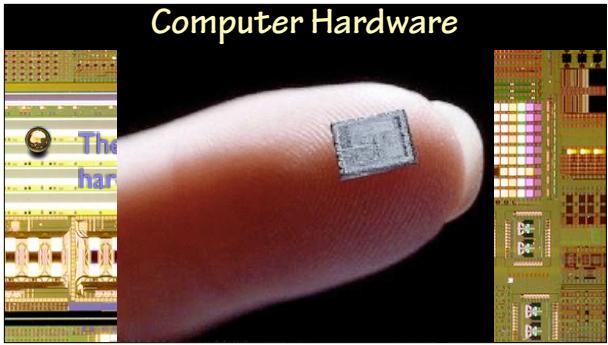
- ABSTRACTION: Simplifying assumptions that ignore or gloss over details that could be articulated in a more detailed analysis.

- Examples from computing

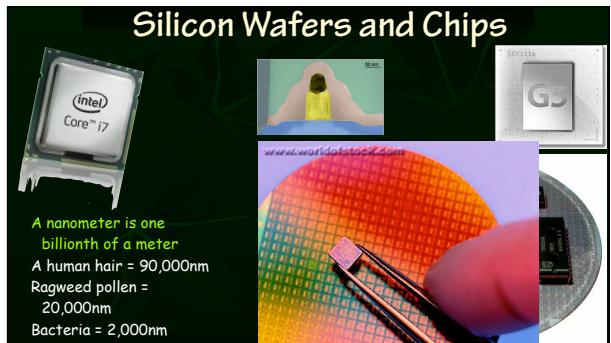
- user-level commands
- higher-level language
- machine language
- register-level behavior
- clocked digital logic
- semiconductors
- analog voltages



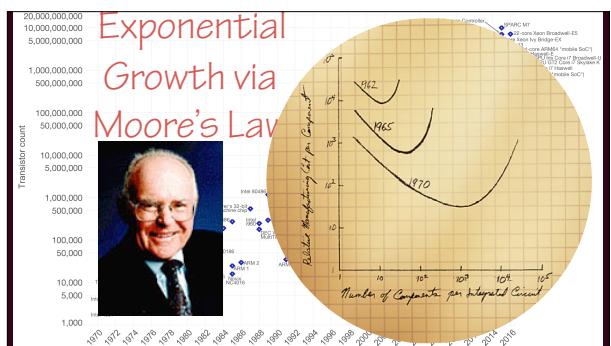
6



7



8



9

## History of the Transistor



10

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## Machine Architecture

### ➤ The MIPS Processor Family

- A RISC architecture embodied by the R2000, R3000, R4000 and R6000 processors.
- MIPS Technologies = principal architect of embedded 32- and 64-bit RISC processors, licensed to system OEMs, semiconductor manufacturers, etc.
- See Britton: "Mips Assembly Language Programming" and <http://www.cs.wisc.edu/~larus/spim.html>

### ➤ Processor Performance: $Time \text{ per Task} = C * T * I$

- ❖  $C$  = Cycles per instruction
- ❖  $T$  = Time per cycle (clock speed)
- ❖  $I$  = Instructions per Task

11

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## Number Systems

### ➤ Unsigned Binary Numbers

0 0 0 0 0 0 0 0  
0 0 0 0 0 0 0 1  
0 0 0 0 0 0 1 0  
0 0 0 0 0 0 1 1  
0 0 0 0 0 1 0 0  
...  
1 1 1 1 1 1 1 1



### ➤ Bit Numbering



12

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## Binary Arithmetic

13

- The usual algorithm works, with  $1 + 1 = 0$  (carry 1):

$$\begin{array}{r} & \overset{1}{\cancel{1}} \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 \\ + & 0 & 0 & 0 & 0 & 1 & 1 & 1 \\ \hline 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 \end{array}$$

- Carry out of the MSB means the sum is too large to represent.

- Conversion of binary to decimal involves adding up the decimal values of the powers of 2 corresponding to the 1 bits. For example,

•  $\begin{array}{r} 0 & 1 & 0 & 1 & 1 & 0 & 1 & 0 \end{array}$

## Signed Integers

14

- How many different integers can be represented using N bits?

- To "negate" an integer: form the two's-complement by

- Taking the logical complement
- Adding one to the logical complement

• For example,

$$\begin{array}{r} 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 \\ \hline 1 & 1 & 1 & 1 & 1 & 0 & 0 & 1 \\ + & 1 & & & & & & \\ \hline 1 & 1 & 1 & 1 & 1 & 0 & 1 & 0 \end{array}$$

- What about negating zero?

- What is  $1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1$  ?

- What is  $1 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0$  ?

## Doubling / Halving By Shifting

15

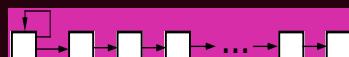
- Doubling is accomplished via "arithmetic left shift"

- Slide all bits one place to the LEFT, and make the new  $b_0$  equal to 0.



- Halving is accomplished via "arithmetic right shift"

- Slide all bits one place to the RIGHT, and make the new  $b_{n-1}$  stay the same.



## Hexadecimal (base 16)

16

- The 16 patterns of 4 bits are as follows:

Binary	0000	0001	0010	0011	0100	0101	0110	0111
Decimal	0	1	2	3	4	5	6	7
Hex	0	1	2	3	4	5	6	7

Binary	1000	1001	1010	1011	1100	1101	1110	1111
Decimal	8	9	10	11	12	13	14	15
Hex	8	9	A	B	C	D	E	F

- So an 8-bit ~~-1~~ would be FF. To distinguish such numbers from identifiers, we precede them by “0x” in C/C++ and in Java.

• 0x69 =

## Data Sizes

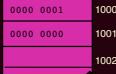
17

- The smallest unit of data that can be stored in / retrieved from memory is 1 byte = 8 bits



- Memory addresses are byte addresses; ask for the byte at location 1001, and you'll get it.

- Word addresses must be divisible by 4
- Halfword addresses must be divisible by 2



## The “Endian” Wars

18

- Big Endian: Most significant byte is in lower-numbered address
  - e.g., M680x0, IBM mainframes, PowerPC, SPARC



- Little Endian: Least significant byte is in lower-numbered address
  - e.g., Intel 80x86 and Core Duo, DEC Alpha & VAX



- Total incompatibility between the two! BUT ...
  - MIPS is bi-endian!

## The MIPS “RISC” Architecture

19

- Few, simple instructions
- Load/store architecture (move in/out of registers, rather than to/from memory)
- Simple addressing
- Why RISC?
  - Optimize speed of functions that get used most
  - Small processor design (rapid design cycle)
  - Rely on smart compilers to produce good code!
- MIPS Registers (all are 32-bit)
  - general purpose: **r0 - r31** (but r0 always contains 0)
  - these may be given other names, depending on usage conventions.  
(e.g., r29 ↔ \$sp )

## MIPS Registers, continued

20

- \$t0 - \$t9 (temporaries. Use them at will, but subroutines may clobber them)
- \$s0 - \$s7 (saved registers. Well-behaved subroutines will not permanently change them.)
- \$a0 - \$a3 (argument registers. Up to 4 subroutine arguments go here.)
- \$v0 - \$v1 (Subroutines return values here.)
- \$sp (stack pointer)
- \$ra (return address of subroutine)
- +5 other numbered general purpose registers. In addition, we have
  - special registers **LO** / **HI** for fixed-point multiplication/division
  - a special *floating-point* registers, f0 - f31
  - a special program counter register named **PC**

## MIPS Registers: the Full Story

21

Register name	Register #	Usage
\$zero	0	constant 0
\$at	1	reserved for assembler
\$v0	2	expr eval & function result
\$v1	3	expr eval & function result
\$a0	4	argument 1 to a function
\$a1	5	argument 2 to a function
\$a2	6	argument 3 to a function
\$a3	7	argument 4 to a function
\$t0	8	temporary (not preserved)

## MIPS Registers, continued

22

Register name	Register #	Usage
\$t1	9	temporary (not preserved)
\$t2	10	temporary (not preserved)
\$t3	11	temporary (not preserved)
\$t4	12	temporary (not preserved)
\$t5	13	temporary (not preserved)
\$t6	14	temporary (not preserved)
\$t7	15	temporary (not preserved)
\$s0	16	saved temporary (preserved)
\$s1	17	saved temporary (preserved)
\$s2	18	saved temporary (preserved)

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## MIPS Registers, continued

23

Register name	Register #	Usage
\$s3	19	saved temporary (preserved)
\$s4	20	saved temporary (preserved)
\$s5	21	saved temporary (preserved)
\$s6	22	saved temporary (preserved)
\$s7	23	saved temporary (preserved)
\$t8	24	temporary (not preserved)
\$t9	25	temporary (not preserved)
\$k0	26	reserved for OS kernel
\$k1	27	reserved for OS kernel
\$gp	28	pointer to global area

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## MIPS Registers, continued

24

Register name	Register #	Usage
\$sp	29	stack pointer
\$fp	30	frame pointer
\$ra	31	return address (to caller)

Note: MIPS also has 32 floating-point registers. Two registers are paired for double precision numbers. Odd numbered registers cannot be used for arithmetic or branching, just as part of a double precision register pair. There are also special registers for a "program counter" (PC) and for multiplication/division (LO and HI)

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## Two Instructions

### ➤ ADD

- e.g. **add \$t2, \$t0, \$t1** #  $(t2) \leftarrow (t0) + (t1)$ 
  - ❖ first 2 operands must be registers, but third can be “immediate” data — stored in the instruction
- e.g. **add \$t2, \$t0, 64** #  $(t2) \leftarrow (t0) + 64$ 
  - ❖ there is an actual **ADDI** instruction, used by the assembler when the 3rd arg to **ADD** is a 16-bit constant.

### ➤ LI (load immediate)

- e.g. **li \$t0, 176** #  $(t0) \leftarrow 176$ 
  - stores an integer into a register
  - this is an example of a “pseudo-instruction”. The assembler translates this into real instructions.

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## Assembly Language Programming

### ➤ Adding 1 + 2

```
● main:  
●     li    $t1, 1          # SPIM starts execution at main.  
●     li    $t2, 2          # load 1 into $t1.  
●     add   $t0, $t1, $t2  # load 2 into $t2.  
●     li    $v0, 10         # $t0 <- $t1 + $t2.  
●     syscall             # syscall code 10 is for exit  
●     syscall             # make the syscall
```

### ➤ SYSCALLS

- are calls to support routines that do I/O, exit gracefully, etc. Code for function to be performed is passed in \$v0, e.g.
  - ❖ code 5 = read integer into \$v0
  - ❖ code 1 = print the integer in \$a0

26

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<https://sourceforge.net/projects/spimsimulator/>

27

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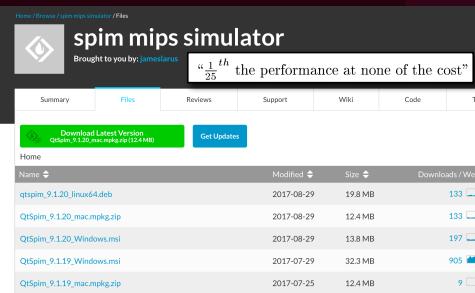
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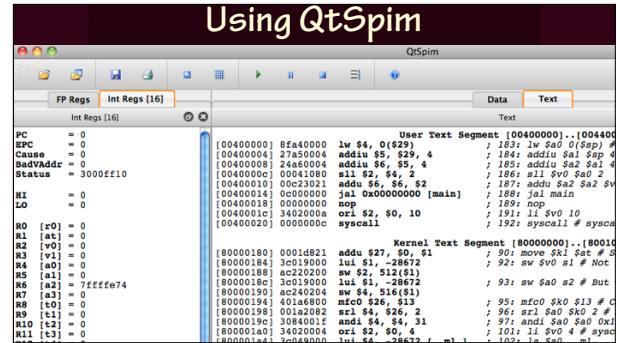
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Download  
SPIM



## Using QtSpim

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Service	System call code	Arguments	Result
print_int	1	\$a0 = integer	
print_float	2	\$f12 = float	
print_double	3	\$f12 = double	
print_string	4	\$a0 = string	
read_int	5		integer (in \$v0)
read_float	6		float (in \$f0)
read_double	7		double (in \$f0)
read_string	8	\$a0 = buffer, \$a1 = length	
sbrk	9	\$a0 = amount	address (in \$v0)
exit	10		
print_char	11	\$a0 = char	
read_char	12		char (in \$a0)
open	13	\$a0 = filename (string), \$a1 = flags, \$a2 = mode	file descriptor (in \$a0)
read	14	\$a0 = file descriptor, \$a1 = buffer, \$a2 = length	num chars read (in \$a0)
write	15	\$a0 = file descriptor, \$a1 = buffer, \$a2 = length	num chars written (in \$a0)
close	16	\$a0 = file descriptor	
exit2	17	\$a0 = result	

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```
Add2.asm (sum of 2 integers)
● main: # $t0 and $v0 - used to hold the 2 numbers.
● ## Get first number from user, put into $t0.
● li    $v0, 5      # load syscall read_int into $v0.
● syscall          # make the syscall.
● move $t0, $v0     # move the number read
● ## Get second number from user, leave it in $v0.
● li    $v0, 5      # load syscall read_int into $v0.
● syscall          # make the syscall.
● add   $a0, $t0, $v0  # compute the sum.
● li    $v0, 1      # load syscall print_int into $v0.
● syscall          # make the syscall.
● li    $v0, 10     # syscall code 10 is for exit.
● syscall          # make the syscall.
```

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## More Instructions

31

- To move data between registers and memory:
  - **LW / LH / LB** — load word / halfword / byte
  - **SW / SH / SB** — store word / halfword/ byte
- LA — load address (e.g. `LA $t0, labelOfData`)
  - puts 32-bit address of label in \$t0. In practice, labelOfData assembles to something like 4097(\$at), so actual address cannot be determined till runtime
- Arithmetic / Logical Instructions
  - ADD and SUB
  - MUL \$rd, \$rs1, \$rs2 vs. MULT \$r1, \$r2
  - DIV \$r1, \$r2
  - AND, OR, XOR, NOT
  - SLL, SRL, SRA

## Examples of Directives

32

- Assembler directives all begin with “.”
- A C-style string, null-terminated, is produced by the directive
  - `hello_msg: .asciiz "Hello\n"`
- `.byte 0xFF` #produces one byte containing 1111 1111
- `.ascii "ABC"` # produces 3 bytes with ASCII codes
- `.text` # assemble what follows into “program space.”
- `.data` # vs. assemble what follows into “data space”
- Printing an `asciiz` string (see file `hello.asm`)
  - `.text`
  - `la $a0, hello_msg`
  - `li $v0, 4`
  - `syscall`

## Branch Instructions

33

- `b lab` #unconditional branch to instruction labelled `lab`
- `beq, bne, blt, bgt, ble, bge`
  - e.g., `bgez $r, lab` #branch if \$r ≥ 0
  - Note: lab is actually converted to a 16-bit offset by assembler — so branches are limited to “distances” of about ± 2<sup>15</sup> words (added to PC)
- Unsigned Comparisons
  - e.g., compare 1111 ... 1111 to 0000 ... 0000
  - `bgtr, bgtu, bleu, bltu`
- Miscellaneous Data Movement
  - `move $rd, $rs` #like LW, but for registers only
  - `mfhi $rd` #also MTHI, MFLO, MTLO

## Decision-Making

34

- **IF (\$t0 < \$t1) THEN do-first else do-second**
  - ...
  - **bge     \$t0, \$t1, to\_ge**  
        # Execution arrives here only if (\$t0) < (\$t1)
  - # ... code for do-first ...
  - **b       end\_if\_code**
  - **to\_ge:**    # Execution arrives here only if (\$t0) ≥ (\$t1)  
        # ... code for do-second ...  
        # 2 forks merge back together
  - **end\_if\_code:**
- Example: Use a "moving pointer" to find the length of an ASCII string stored at **the\_string**
- Example: Check if a string is a "palindrome"

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## Converting a String to a Number

35

- Assume \$t0 points to a string of one or more decimal digits:
  - 
- Algorithm:
  - ❖ \$t2 ← 0
  - ❖ \$t1 ← (\$t0); \$t0 ++
  - ❖ \$t1 < '0' OR > '9' → Done!
  - ❖ \$t2 ← \$t2 \* 10 + (\$t1 - '0') ↴
- See program **atoi-1.asm**. This program does NOT handle negative numbers; produces 0 result if there are no digits; does not check overflow; does not check for illegal input characters!

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## Addressing Memory

36

- MIPS is a "load-store" architecture.
  - Bare machine allows only one addressing mode, **constant (\$register)**
- However, SPIM (the virtual machine) allows all of the following, along with "pseudo-instructions," such as **abs**
  - **(\$register)**
  - **a constant**
  - **a symbolic label**
  - **a symbolic label ± a constant**
  - **a symbolic label ± a constant (\$register)**

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## Detecting Overflow

- Multiplication of two 32-bit integers produces a 64-bit product in the special registers HI and LO
- The MUL "instruction" expands into more than one instruction that can produce the right result if it can be represented in 32 bits.

- Use the underlying MULT instruction to check for a possible overflow condition:

- li \$t4, 10 #no immediate MULT
- mult \$t2, \$t4
- mfhi \$t5
- bnez \$t5, overflow
- mflo \$t2
- bltz \$t2, overflow #product is in \$t2

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## Subroutine Linkage

- The environment of a function includes
  - values of the function (parameters/arguments)
  - values of local variables
  - a record of where to return to when this activation of the function completes
- The environment is kept on the stack in a block called the *stack frame* (one per activation)
- Example:
  - f1 ( ... )  
  { ... f2 (...) ... }
  - f2 ( ... )  
  { ... f1( ... ) ... }

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## Euclid's Algorithm as Subroutine (see Euclid.asm)

```
gcd: div    $a0, $a1    # divide $a0 by $a1
      mfhi   $t0    # the remainder is in HI - get it to check
      beqz   $t0, done # if the remainder is 0, you're done! Go to done...
      move   $a0, $a1    # if the remainder is not 0, put $a1 into $a0
      move   $a1, $t0    # put the remainder into $a1
      b     gcd      # go back to step 1 (div)

done: move   $v0, $a1    # we have the answer - put it in $v0 to return
      jr     $ra      # jump back to main
```

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## Pointers & Offset/Displacement Addressing for LOADs & STOREs

40

- `lw $rt, 16-bit-offset($rb)`
  - sign-extend offset to 32 bits; then add it to
  - the value in `$rb` to give address of word to be moved
  - Note: `$rb` is typically `$sp` (stack pointer), or `$at` (a global pointer, reserved for use by the assembler)

- The Stack grows from larger addresses to smaller ones



## Register Conventions

41

- `$t0 - $t9` are CALLER-saved; if the *caller* wants them preserved, it must do the saving (in its stack frame) since the *callee* is under no obligation to preserve them.
- `$s0 - $s7` are CALLEE-saved; every subroutine is obliged to preserve them. So a routine that wants to use them MUST save them on entry, and restore them on exit.
- Caller-Callee Protocol:
  - ❖ CALLER preparation for calling
    - put parameters into `$a0 - $a3`
    - if *caller* needs any of `$t0 - $t9` saved, put them in caller stack frame
    - execute a `jal CALLEE`, which puts the address after the instruction itself into `$ra`

## Caller-Callee Protocol,

42

- CALLEE preamble
  - Create stack frame
    - ❖  $\$sp \leftarrow \$sp - \text{<frame size>}$
  - Save in the frame
    - ❖ `$fp`
    - ❖ any of `$s0 - $s7` used by callee (known at compiling/coding time)
    - ❖ `$ra`, unless this routine does not call any other (leaf routine)
  - $\$fp \leftarrow \$sp + \text{<frame size>}$       *# \$fp is typically old \$sp*
- BODY of CALLEE
  - local variables are referred to as displacements off `$sp` — i.e., positions within the "frame"

## Caller-Callee Protocol, continued

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- CALLEE return preparation
  - \$v0 <-- <return value>
  - restore callee-saved registers from frame (\$fp, \$s0-\$s7, \$ra)
  - restore stack pointer: \$sp <-- \$sp + <frame size>
  - return using JR \$ra
- CALLER cleanup
  - restore caller-saved registers (\$t0-\$t9), if any
  - return value is in \$v0
- Understanding RECURSION: see fib-s.asm
- Note: A function that requires additional temporary storage of size that is not known till runtime (e.g., a sequence of input characters), can use the stack.

## Recursive Fibonacci

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```
> move    $s0, $a0
blt    $s0, 2, fib_base_case  # if n < 2 ...
sub    $a0, $s0, 1           # compute fib (n - 1)
jal    fib
r1: move    $s1, $v0          # s1 <- fib (n - 1).
sub    $a0, $s0, 2           # compute fib (n - 2)
jal    fib
r2: move    $s2, $v0          # $s2 <- fib (n - 2).
add    $v0, $s1, $s2          # fib (n - 1) + fib (n - 2)
b     fib_return
fib_base_case: li     $v0, 1      # deal with stack frame
fib_return:   ...             # deal with stack frame
                jr    $ra
```

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## Arithmetic and Logical Instructions

### Absolute value

abs rdest, rsrc                  *pseudoinstruction*

Put the absolute value of register rsrc in register rdest.

### Addition (with overflow)

add rd, rs, rt    

0	rs	rt	rd	0	0x20
6	5	5	5	5	6

### Addition (without overflow)

addu rd, rs, rt    

0	rs	rt	rd	0	0x21
6	5	5	5	5	6

Put the sum of registers rs and rt into register rd.

### Addition immediate (with overflow)

addi rt, rs, imm    

8	rs	rt	imm
6	5	5	16

## Assembly to Machine Language

46

```
add $v0,$s1,$s2
add $2,$17,$18
```

6	5	5	5	5	6
0	rs	rt	rd	0	0x2
000000	10001	10010	00010	00000	100000
0	2	3	2	1	0
0	2	3	1	0	2

47

```
add $t2, $t2, $t1
is assembled into
add $10, $10, $9
and is of the form
add rd, rs, rt
```

0	rs	rt	rd	0	0x20
6	5	5	5	5	6
000000	00101001001010100000001000000				
0	1	4	9	5	0
0	2	3	1	0	2

## Other Instruction Formats

48

### Branch on equal

```
beq rs, rt, label
```

4	rs	rt	Offset
6	5	5	16

### Jump Instructions

#### Jump

```
j target
```

2	target
6	26

Unconditionally jump to the instruction at target.

#### Jump and link

```
jal target
```

3	target
6	26

## RISC vs CISC

49

- As VLSI improvements made it possible to squeeze more components onto a processor chip, more complex instruction sets became possible.
  - e.g., The VAX's **POLY X,D,ADDR** instruction  
(the description of this takes 4 pages in the manual)
- Such complex instructions are not implemented by custom hardware, but by programs in a special language called microcode that controls movement and operations on data in the internal processor registers.
  - Microcode ("firmware") is prepared "at the factory" and frozen into the processor chip.



**POLYNOMIAL EVALUATION**

**POLY**

**From the VAX Machine Architecture Manual**

**Purpose:** allows fast calculation of math functions

**Format:** opcode arg,rx, degree,rw,tbladdr,ab

**Operation:**

```
tmp1 ← degree;
if tmp1 GRTU 31 then RESERVED OPERAND EXCEPTION;
tmp2 ← tbladdr;
tmp3 ← |(tmp2);                                ltmp3 accumulates the
                                                partial result
                                                !tmp3 is of type X
if POLYH then -(SP) ← arg;                      underflow flag for
tmp4 ← 0;                                         original 11/780
while tmp1 GRTU 0 do
begin
  ltmp3 accumulates new partial
  result.                                          !tmp5 accumulates new partial
  result.                                          !tmp5 is of type X
  tmp5 ← |arg * tmp3;
  tmp3 ← tmp2 + |size of data type|;
  tmp2 ← tmp5;
  update partial result in tmp3
end;
```

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**The VAX **POLY** Instruction, continued**

**Integer and Floating Point Instructions**

```
if FU EQL 1 then Imp4 ← 1;
               set underflow flag
               (original 11/780)
end;
tmp1 ← tmp1 - 1;
tmp2 ← tmp2 + |size of data type|;
tmp3 ← tmp5;
update partial result in tmp3
end;
if POLYF then
begin
  R0 ← tmp3;
  R1 ← 0;
  R2 ← 0;
  R3 ← 0;
  R3 ← tmp2;
end;
if POLYD or POLYG then
begin
  R0 ← arg;
  R1R0 ← tmp3;
  R2 ← 0;
  R3 ← tmp2;

```

**Integer and Floating Point Instructions**

**Description:** The table address operand points to a table of coefficients; the coefficient of the highest order term of the polynomial is pointed to by the table address of the table is specified with lower order coefficients at increasing addresses. The data type of the coefficients same as the data type of the argument operand.

Evaluation is carried out by Horner's method, and the results of R0,R1,R2,R3 are replaced by the result. The result computed is:

$$\text{result} = C[0] + x \cdot C[1] + x^2 \cdot C[2] + \dots + x^{n-1} \cdot C[n-1]$$

The unsigned word degree operand specifies the numbered coefficient to participate in the evaluation requires four longwords on the stack to store arg if instruction is interrupted.

**Notes:**

1. After execution:
  - POLYF
  - R0 = result
  - R1 = 0

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## Problems with CISC

52

- Complex design
- Complex instructions are very rarely used
  - in part, because compilers don't want them
- Full instruction set must be maintained in new CPUs
- The microcode describes use of multiple registers, arithmetic units, etc. But most of the time, these functional units are idle!
- Cycles vs. Instructions
  - Each physical processor has a "cycle time" = the time for the smallest indivisible register transfer, arithmetic (etc.) operation. 2.5 GHz = 2.5 billion cycles/sec.
  - An instruction typically takes several cycles to execute completely. CISC = many cycles per instruction

## Making a Fast RISC Machine

53

- Fast clock rate (time/cycle)
- Low cycles per instruction (cycle/instr)
- Streamlining the hardware can improve the CPI by factors of 5 or more
- Relatively low instruction counts (inst/task), optimizing compiler technology
- "Pipelining" to improve effective cycles/instr.
  - Goal: To keep as much of the processor busy at the same time as possible
  - First: Keep instruction types simple, so there are only a few different "ways" instructions work
    - ❖ e.g., except for MUL/DIV, all MIPS arithmetic/logical instructions work on 3 registers, or 2 registers plus immediate data

## Pipelining

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- Second: Execute different "parts" of several instructions simultaneously
- Hypothetical, simple, non-pipelined CPU:
  - IF ID EX MEM WB      IF ID EX MEM WB
  - Each instruction takes 5 "clock ticks"
  - IF = instruction fetch from cache
  - ID = instruction decode
  - EX = execute (arithmetic, etc.)
  - MEM = memory operation (load/store)
  - WB = write back (changes to register, if any)
- With RISC, you can get 5 (or more) instructions in progress at once (R4000). Cycles/instr = 1.2 to 1.6

## Pipelining, continued

### Single-Issue, 5-Stage RISC Pipeline

Instruction	Pipeline Stages				
	IF	ID	EX	MEM	WB
1	IF	ID	EX	MEM	WB
2	IF	ID	EX	MEM	WB
3	IF	ID	EX	MEM	WB
4		IF	ID	EX	MEM
5			IF	ID	WB

5 (or more) instructions in progress at once (R4000)

Typical of early & current RISCs; some used 4 stages

Attempt to issue (start) 1 instruction per cycle  
Current & new systems: start 2 or more, sometimes!

Cycles/instruction = 1 (at best), 1.2-1.6 more typical

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## Instruction Scheduling

56

➤ What if the result from one instruction is used by the next instruction, but the first instruction isn't done?

- Hardware stall / interlock
- Insert "NO-OP"s in between to slow things down;

➤ Example: The instruction after a LOAD cannot use the result of that LOAD; similarly, the instruction after a branch is executed even if the branch is taken. For example,

```
lw $R7, x
?????          #delay slot
add $R7, $R7, 1
```

## Reorder the Instructions!

57

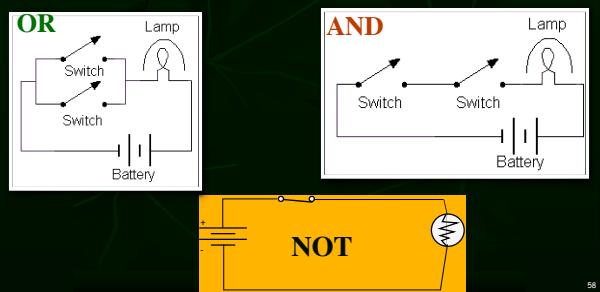
➤  $a = b + 1$ ; if ( $c == 0$ )  $d = 0$ :

- `lw $r2, b`
- `nop`
- `add $r2, $r2, 1`
- `sw $r2, a` #  $a = b + 1$
- `lw $r3, c` #  $r3 = c$
- `nop`
- `bne $r3, zero, lab` # if ( $c != 0$ ) skip
- `nop`
- `sw $zero, d` # if ( $c == 0$ ), then  $d = 0$
- `lab:`

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## Switching Circuits

58



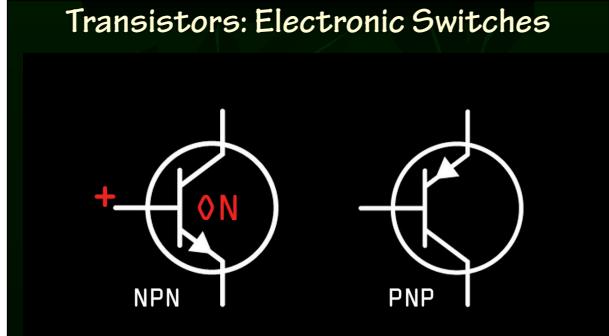
## Electromechanical Relays and Vacuum Tubes

59



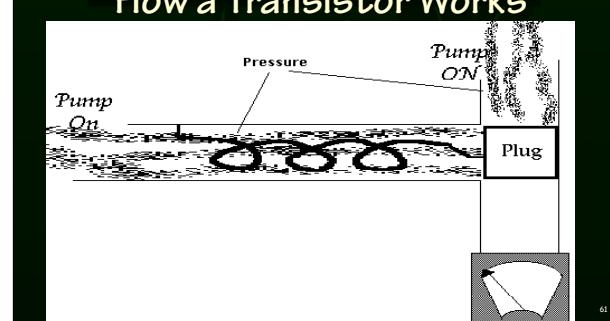
## Transistors: Electronic Switches

60



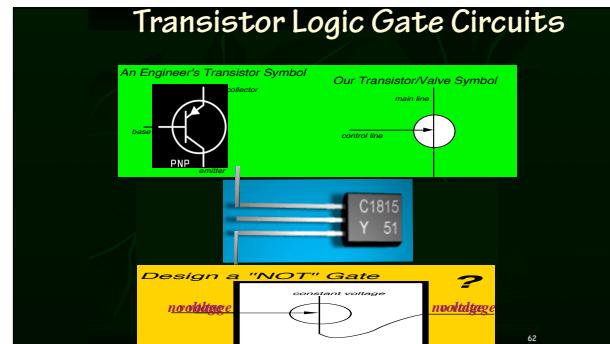
## How a Transistor Works

61



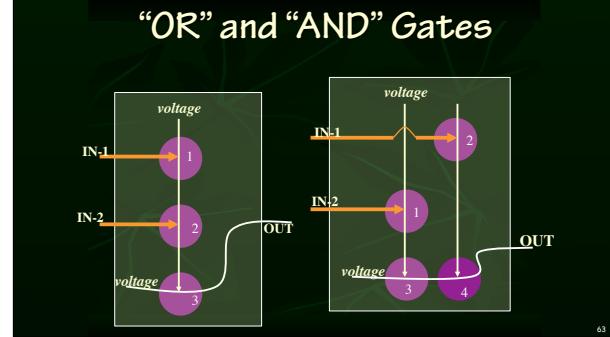
## Transistor Logic Gate Circuits

62

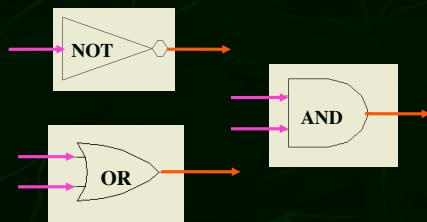


## "OR" and "AND" Gates

63



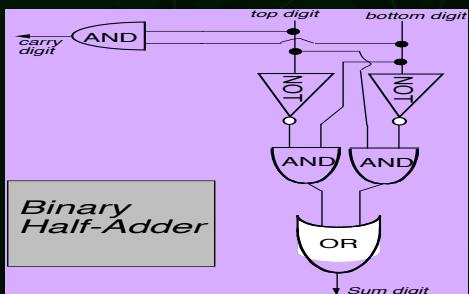
## Representing the Gates



See <http://math.hws.edu/TMCM/java/labs/xLogicCircuitsLab1.html>

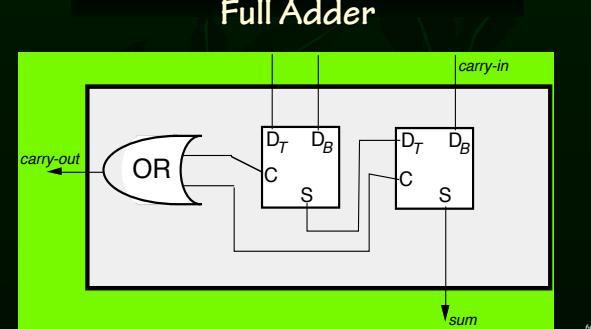
64

## Binary Half-Adder



65

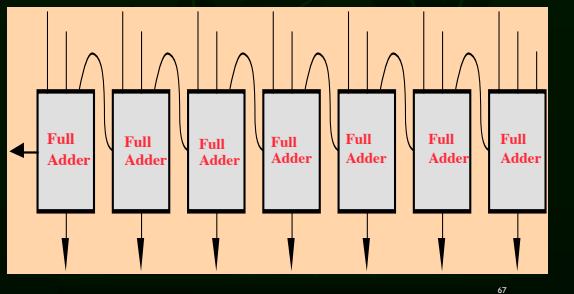
## Full Adder



66

## Circuit to Add 7-bit Numbers

67



67

## Grammars

- ➊ A **language** is a (possibly infinite) set of legal character strings, specified by ...
- ➋ ... a **grammar**. It contains
  - ➌ A finite set of terminal symbols,  $T$
  - ➍ A finite set of non-terminal symbols,  $N$
  - ➎ A finite set of "rules" for specifying a language, each of the form  
Non-terminal-symbol  $\Rightarrow$  sequence of symbols chosen from the union of  $T + N$

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## Grammar for English Subset

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- ➊ R1: <Sentence>  $\Rightarrow$  <NounPhrase> <VerbPhrase> .  
| Who <VerbPhrase> ?
- ➋ R2: <NounPhrase>  $\Rightarrow$  <Article> <ModifiedNoun>  
| <ProperNoun>
- ➌ R3: <Article>  $\Rightarrow$  a | the
- ➍ R4: <ModifiedNoun>  $\Rightarrow$  <Noun> |  
<Adjective> <ModifiedNoun>
- ➎ R5: <Noun>  $\Rightarrow$  ball | book | baby
- ➏ R6: <Adjective>  $\Rightarrow$  big | blue | boring
- ➐ R7: <ProperNoun>  $\Rightarrow$  Jill | Obama | Jack
- ➑ R8: <VerbPhrase>  $\Rightarrow$  <TransitiveVerb> <NounPhrase>
- ➒ R9: <TransitiveVerb>  $\Rightarrow$  kicked | kissed | saw

## Our Objective

70

- To interpret correctly a sequence of Java-like assignment statements such as

```
a = 21 + 5 * 4;  
b = (a + 6) * (a - 3);  
temp = (b - a) * -b;  
ans = temp * (1 + temp);  
.
```

... and print the value of each variable assigned to; or compile into MIPS assembly language; or translate into LISP; or ...



## A Grammar For the Language

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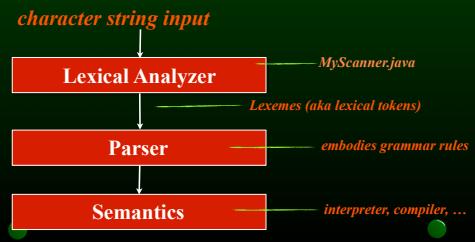
- <expr> ::= <term> { [+ , -] <term>}\*
  - <term> ::= <factor> { [\* , /] <factor>}\*
  - <factor> ::= <variable> | <number>  
| ( <expr> ) | - <factor>
  - <assgt> ::= <variable> = <expr> ;
  - <program> ::= { <assgt> } \*
- Note that <variable>, <number> are specified at the lexical level
- The meta-symbol  $\Rightarrow$  is used interchangeably with ::=
- Consider the grammatical structure of this string:

```
abc = 4 * 5 + 6 ;
```



## Overview of the Compiler/Interpreter

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## ● Lexical and Parser Level ●

73

### ● The Lexical Analyzer

- Breaks the input character string into units that are significant to the parser, i.e., are distinguishable in the grammar.
- These units (lexemes or lexical tokens) are
  - more than individual characters (321, temp)
  - less than "expressions" such as 2+3

### ● The Parser

- Analyzes the stream of lexemes according to the rules of the grammar.
- Determines whether the input is legal and may develop a parse tree analysis.

## ● File Parser.java ●

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### ● "Recursive-descent" parser

- A simple kind suitable only for certain grammars
- There is a function for each non-terminal
- Grammar has the property that if a choice must be made among alternative right-hand sides, they can be distinguished by looking at the next lexeme. For example,

```
<expr> ::= <term> { [+,-] <term> }* becomes  
expr()  
{   term();  
    while (next lexeme is + or -) term();  
    ...  
}  
// Bookkeeping detail: fetches one lexeme too many  
// so Scanner.java has an "unget" method
```

## Interpreter/Compiler Semantics

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### ● Interpreter:

- The "meaning" of a term, factor, or expression is a number value.
- The semantics of a rule like:  
$$\ast \quad \text{<expr>} ::= \text{<term>} + \text{<term>} \\ 4 * 6 \quad + \quad 7 * 8$$
  
$$~~~~~ \beta \qquad \alpha \qquad \gamma$$
- The characters from the input that correspond to the <term> and <term> on the right have numerical values  $\beta$ ,  $\gamma$
- The numerical sum of  $\beta + \gamma$  is the value  $\alpha$  associated with the <expr> as a whole.

### ● Compiler:

- The "meaning" of an expression is the assembly language code which would, when executed, calculate the value of the expression.

## The Lexical Analyzer (MyScanner.java)

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- ➊ The smallest unit handled by the parser is a *Lexeme*. These are returned by the scanner.
- ➋ Lexeme types:
  - ➌ SYMBOL      Variables
  - ➌ NUMBER     Numeric atoms
  - ➌ OP           Operators
  - ➌ ASSIGN      Assignment operator
  - ➌ EOP          End-of-program
  - ➌ LEFT\_PAREN, RIGHT\_PAREN
  - ➌ SEMI\_COLON
  - ➌ EOF          End-of-File
- ➍ Technically, a Lexeme has 3 instance variables: a `type`, a `name`, and an `nval`.

## Semantic Routines

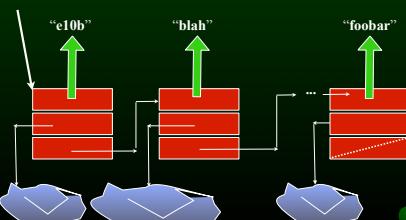
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- ➊ Parser routines return **AValues**
- ➋ But first, what are **AValues**?
  - ➌ In **IAValue**: Just a single integer value
  - ➌ In **CAValue**: a `regType`, and a `regNum` (i.e., a register into which the generated code would compute the value at runtime)
    - \* 2 kinds of registers: temporaries (8 t's), and permanents (8 s's)
    - \* In this simple compiler, we just throw up our hands if we run out of registers
    - \* Symbols are associated with permanent registers.
    - \* Static arrays keep track of used registers .
  - ➌ In **LispAValue**, **RPNValue**, **EngAValue**, there is a `pName` (print name) field. These 3 are quite similar.

## The Symbol Table (Symtab.java)

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- ➊ For every new identifier that is recognized by the parser, a `SymEntry` object is added on to a **table**:



## Compiler Semantics (CAValue.java)

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- There are two boolean arrays recording usage of registers at this point in compilation.
- `temporaryRegUsage` 
- `permanentRegUsage` 
- By declaring them static members of the `CAValue` class, we ensure there is just one copy of these arrays, shared by all `CAValues`.
  - See routines `getTempReg()`, `getPermReg()`, `freeRegIfTempReg()`
- Other Compiler Semantic Routines
  - `genArithOpCode (String opcode, CAValue src1, CAValue src2)`