# ICON: Inferring Temporal Constraints from Natural Language API Descriptions

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# **ABSTRACT**

Temporal specifications of an Application Programming Interface (API) describe allowed sequence of invocations of methods within an API. Despite being desirable for software testing and verification (through formal analysis tools), most API's do not have formal temporal specifications. In contrast, API documents contain valuable information about the usage in natural language. However, manually writing formal specifications based on natural language text in API documents can be prohibitively time consuming and error prone. There are existing techniques that infer from API documents resource specifications (such as constraints based on phases: creating, manipulating, and releasing resources). However, such techniques are limited to finding temporal specification of resources. Furthermore, such techniques do not make a distinction between explicit ordering of methods within each phases. To address this issue, we propose a natural language processing based automated approach ICON, to infer formal temporal specifications from natural language text of API documents. To evaluate our proposed approach, we applied ICON to infer temporal constraints from commonly used package java.io from JDK API and Amazon S3 REST API. Our evaluation results show that ICON achieves an average of 65% precision and 72% recall in inferring 63 temporal constraints from more than 3900 sentences of API documents.

# **Categories and Subject Descriptors**

D.2.1 [**Software Engineering**]: Requirements/Specifications; F.3.1 [**LOGICS AND MEANINGS OF PROGRAMS**]: Specifying and Verifying and Reasoning about Programs—*Specification techniques* 

# **General Terms**

Specifications

# Keywords

Temporal Specifications, Natural Language Processing, API Documents

# 1. INTRODUCTION

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Temporal specifications [2] of an Application Programming Interface (API) describe allowed sequences of invocations of methods within an API. These specifications govern the secure and robust operation of client software using these APIs. If these specifications are formal (machine-readable such as linear temporal logic), they can be used as a basis of formal analysis tools such as model checker and runtime verifiers in detecting the violations of these temporal constraints as defects.

Despite being desirable, most API's do not have formal specifications. In contrast, API developers commonly describe correct temporal usage in natural language text in API documents. Typically, such documents are provided to client-code developers through online access, or are shipped with the API code. For a method under consideration, an API document may describe both the constraints on that method parameters as well as the temporal constraints in terms of the methods must be invoked pre/post the method. We observed that roughly 12% of the sentences (that describe some sort of specification constraints) in Amazon S3 REST API documentations describe temporal constraints. Although API documents contain temporal constraints, existing formal analysis tools are not designed to work on specifications written in natural language.

One way of addressing the issue, is to manually convert the natural language API description into formal specifications. However, manually writing formal specifications based on natural language text in API documents can be prohibitively time consuming and error prone [29, 40]. For instance, Wu et al. [40] report that it took one of the authors more than 10 hours to browse the documentation of one method of a web service API, even before they attempted to formalize the constraints on the method.

In contrast to manual approach, we propose an automated approach that applies Natural Language Processing (NLP) techniques on natural language text in API documents to infer temporal usage constraints. A generic temporal relation is defined as an interpropositional relation that communicates the simultaneity or ordering in time of events or states. In terms of API method invocations, we interpret temporal relationships as 'the allowed sequence of invocations of methods'. For example, consider the following statement in Amazon S3 REST API "You must initiate a multipart upload before you can upload any part." This statement can be interpreted as multipart-upload method must be invoked before invoking any part-upload method in the API.

Although, existing approaches focus on inferring just the method pre-post conditions in terms of parameter constraints either using program analysis techniques [5, 13, 15–17] or using NLP [27, 40], temporal constraints are beyond these parameter constraints. Temporal constraints, in general focus on rules related to orchestration

of methods within an API rather than focusing on the requirements on the input parameters of these methods.

Apart from method specifications, Zhong et al. [43] leverage machine learning and type information to infer constraints on resources based on phases of resource usage: creating, manipulating, and releasing. However, temporal specification are not limited to resource usage. Furthermore, their technique fails to make the finer grained distinction on the ordering of the methods within a phase. For the description mentioned in previously, assuming that the method calls are associated with resource "part", both the methods referenced are logically associated with the creation phase of resource. Zhong et al. [43] approach fails to make a distinction in ordering of such methods. In contrast our proposed approach leverages natural language processing to infer generic temporal specifications (not limited to resource related constraints) and also improves upon existing work for making finer grained distinction between ordering of methods within a phase of a resource.

However, inferring temporal constraints from the natural language text is challenging. There are existing challenges in NLP for software engineering domain namely *ambiguity*, *programming keywords*, and *semantic equivalence* identified in previous work [27]. In addition to these challenges, we have an added challenge of implicit method referencing: identifying the method referenced in the natural language text to infer temporal constraint. Recall the description sentence "You must initiate a multipart upload before you can upload any part." In this sentence, phrases "multipart upload" and "upload any part" refer to individual methods in the API. Identifying these phrases as method invocation instances requires domain dictionaries. Ad-hoc construction of such dictionaries is prohibitively time and resources intensive. To address this challenge, we propose to build domain-dictionaries systematically from API documents and generic English dictionaries.

In summary, the proposed work leverages natural language description of API's to infer temporal constraints of method invocations. As the proposed work analyzes API documents in natural language, it can be reused independent of the programming language of the API library. Additionally, our approach complements existing mining based approaches [4, 37, 39, 42] that partially address the problem by mining for common usage patterns among client software that use the API. The proposed work in general, makes the following contributions:

- An NLP based approach that infers temporal constraints of method invocations. To the best of our knowledge, our approach is the first one to apply NLP for the goal of inferring temporal specifications from API documents.
- A prototype implementation of our approach based on extending the Stanford Parser [18], which is a natural language parser to derive the grammatical structure of sentences. An open source implementation of the prototype is publicly available on our project website<sup>1</sup>.
- An evaluation of proposed approach on commonly used package java.io from JDK API and Amazon S3 REST API.

The rest of the paper is organized as follows. Section 2 presents real world examples that motivate our approach. Section 3 discusses related work in this area. Section 4 presents the background on NLP techniques used in this work. Section 5 presents our approach. Section 6 presents evaluation of our approach. Section 7 presents a brief discussion and future work. Finally, Section 8 concludes.



# Delete Amazon S3 buckets? [closed]



Figure 1: The Query posted on Stackoverflow form regrading Amazon S3 REST API

# 2. MOTIVATING EXAMPLE

We now present a real world example to motivate our approach. In particular, through the example, we demonstrate that developers often ignore the temporal specifications of an API present in its documentation. We suspect the reason for this phenomena is that API documentation is often verbose and information is distributed across various pages. For example, the PDF version of the documentation for Amazon S3 API<sup>2</sup> spans 278 pages. Developers may not have time and patience to go through all the documentation and may miss various temporal specifications of the API, resulting in defective applications that invoke API methods in sequences prohibited by documentation. If we have the formal temporal specifications such kinds of defects can be detected by formal verification tools.

Consider the question asked in *Stack Overflow* <sup>3</sup> as shown in Figure 1. Stack Overflow is a question and answer site for professional and enthusiast programmers. The query is about the delete functionality of a third-party software S3Fox to interact with Amazon S3 REST API. The inquisitor complains about an issue in delete bucket functionality of the S3Fox. In particular, the issue was because the S3Fox developers overlooked the specifications in Amazon S3 REST API developer documentation. The document clearly states that before deleting the bucket, the objects in the buckets must be deleted. "All objects (including all objects versions and Delete Markers) in the bucket must be deleted before the bucket itself can be deleted". Although the issue was fixed but notice that one of the responses on the *Stack Overflow* encouraged the person asking query to switch to another product, thus potentially resulting in a loss of revenue attributed to customer dissatisfaction.

Formal verification tools can be used to easily find such defects. However, formal analysis tools are not designed to work on specifications in natural languages (such as API Documents) and require the specifications in a more formal or machine understandable format. For instance, a rule can be added to a static checker to ensure presence of a call to delete objects before the call to delete bucket. Thus, there is need for an approach to automatically translate the constraints described in natural language into a more formal notation. In next section, we briefly discuss the related work in this area.

https://sites.google.com/site/temporalspec

<sup>2</sup>http://awsdocs.s3.amazonaws.com/S3/latest/ s3-api.pdf

<sup>&</sup>lt;sup>3</sup>http://stackoverflow.com/

# 3. RELATED WORK

Our proposed approach touches a few research areas such as software verification, NLP on software engineering artifacts, and document augmentation. We next discuss the relevant work pertinent to our proposed approach in these areas.

# 3.1 Code Contracts - Formal Specifications

Design by contracts has been an influential concept in the area of software engineering in the past decade. A significant amount of work has been done in automated inference of code contracts. There are existing approaches that statically or dynamically extract code contracts [7, 24, 38]. However, a combination of developer written and automatically inferred contracts seems to be the most effective approach [13, 28]. Furthermore, there are existing approaches that infer code-contract-like specifications (such as behavioral model, algebraic specifications, and exception specifications) either dynamically [15–17] or statically [5, 13] from source code and binaries. In contrast, the approach presented in this work infers specifications from the natural language text in API documents, thus complementing these existing approaches when the source code or binaries of the API library is not available.

# 3.2 NLP in Software Engineering

NLP techniques are increasingly applied in the software engineering domain. NLP techniques have been shown to be useful in requirements engineering [14, 30, 31], usability of API documents [10], and other areas [21, 26, 44]. We next describe the most relevant approaches.

- 1. Access Control Policies: Xiao et al. [41] and Slankas et al. [32] use shallow parsing techniques to infer Access Control Policy (ACP) rules from natural language text in use cases. The use of shallow parsing techniques works well on natural language texts in use cases, owing to well formed structure of sentences in use case descriptions. In contrast, often the sentences in API documents are not well formed. Additionally, these approaches do not deal with programming keywords or identifiers, which are often mixed within the method descriptions in API documents.
- 2. Resource Specifications: Zhong et al. [43] employ NLP and Machine Learning (ML) techniques to infer resource specifications from API documents. Their approach uses machine learning to automatically classify such rules. In contrast, we attempt to parse sentences based on semantic templates and demonstrate that such an approach preforms reasonably well. Furthermore, the performance of the proposed approach is dependent on the quality of the training sets used for ML. In contrast, approach presented in this work is independent of such training set and thus can be easily extended to target respective problems addressed by them.
- 3. Code Comments: Tan et al. [33] and Hwei-Tan et al. [35] applied an NLP and ML based approach on code comments/ Javadoc comments to detect mismatches between these comments and implementations. They rely on predefined rule templates targeted towards method invocation and lock related comments, thus limiting their scope both in terms of application area as well as language used in the comments. In contrast, approach presented in this report relies on generic natural language based templates thus relaxing the restriction on the style of the language used to describe specifications.
- 4. API Description: Most closely related work to the approach presented here is Pandita et al. [27] work on inferring parameter constraints from method descriptions in the API documents. In contrast this approach deals with the temporal constraints. The approach presented here is a significant extension to the infrastructure used in the previous work as documented in Section 5.

# 3.3 Augmented Documentation

Another related field has been that of improving the documentation related to an software API [10, 34]. We next describe most relevant approaches. Dekel et al. [10], were the first to create a tool namely eMoose, an Eclipse <sup>4</sup> based plug-in that allowed developers to create directives (way of marking the specification sentences) in the default API documentation. These directives are highlighted whenever they are displayed in the eclipse environment. Lee et al. [20] improved upon their work by providing a formalism to the directives proposed by Dekel et al. [10], thus allowing tool based verification. However, a developer has to manually annotate such directives. In contrast, our proposed approach both identifies the sentences pertaining to temporal constraints and infers the temporal constraints automatically.

In next section, we briefly introduce the NLP techniques used by our approach.

# 4. BACKGROUND

Natural language is well suited for human communication, but converting natural language into unambiguous specifications that can be processed and understood by computers is difficult. However, research advances [8,9,18,19] have increased the accuracy of existing NLP techniques to annotate the grammatical structure of a sentence. These advances in NLP have inspired researchers/practitioners [26, 27, 32, 36, 41] to adapt/apply NLP techniques to solve problems in software engineering domain.

In particular, this work proposes novel techniques on top of previously proposed techniques [26, 27] to demonstrate the effectiveness of applying NLP on API documents. We next briefly introduce the techniques used in this work that have been grouped into broad categories. We first introduce the core NLP techniques used in this work. We then introduce the software engineering specific NLP techniques proposed in previous work [26, 27] that are used in this work

# 4.1 Core NLP techniques

Parts Of Speech (POS) tagging [18, 19]. Also known as 'word tagging', 'grammatical tagging' and 'word-sense disambiguation', these techniques aim to identify the part of speech (such as noun, verbs, etc.), a particular word in a sentence belongs to. The most commonly used technique is to train a classification parser over a previously known data set. Current state of the art approaches have demonstrated to achieve 97% [19] accuracy in classifying POS tags for well written news articles.

**Phrase and Clause Parsing.** Also known as chunking, this technique divides a sentence into a constituent set of words (or phrases) that logically belong together (such as a Noun Phrase and Verb Phrase). Chunking thus further enhances the syntax of a sentence on top of POS tagging. Current state-of-the-art approaches can achieve around 90% [19] accuracy in classifying phrases and clauses over well written news articles.

**Typed Dependencies** [8, 9]. The Stanford typed dependencies representation is designed to provide a simple description of grammatical relationships directed towards non-linguistics experts to perform NLP related tasks. It provides a hierarchical structure for the dependencies with precise definitions of what each dependency means, thus facilitating machine based manipulation of natural language text.

Named Entity Recognition [12]. Also known as 'entity identification' and 'entity extraction', these techniques are a subtask of information extraction that aims to classify words in a sentence

<sup>4</sup>http://www.eclipse.org/

into predefined categories such as names, quantities, expression of times, etc. These techniques help in associating predefined semantic meaning to a word or a group of words (phrase), thus facilitating semantic processing of named entities.

# 4.2 Software engineering specific NLP techniques

**Noun Boosting** [27]. Accurate annotation of POS tags in a sentence is fundamental to effectiveness of any advanced NLP technique. However, as mentioned earlier, POS tagging works well on well written news articles which does not necessary entail that the tagging works well on domain specific text as well. Thus, noun boosting is a necessary precursor to application of POS tagging on domain specific text. In particular, with respect to API documents certain words have a very different semantic meaning, in contrast to general linguistics that causes incorrect annotation of POS tags.

Consider the word POST for instance. The online Oxford dictionary<sup>5</sup> has 8 different definition of word POST, and none of them describes POST as a HTTP method<sup>6</sup> supported by REST API. Thus existing POS tagging techniques fail to accurately annotate the POS tags of the sentences involving word POST.

Noun Boosting identifies such words from the sentences based on a domain-specific dictionaries, and annotates them appropriately. The annotation assists the POS tagger to accurately annotate the POS tags of the words thus in turn increasing accuracy of advanced NLP techniques such as chunking and typed dependency annotation.

Lexical Token Reduction [26]. These are a group of generic preprocessing heuristics to further improve the accuracy of core NLP techniques. The accuracy of core NLP techniques is inversely proportional to the number of lexical tokens in a sentence. Thus, the reduction in the number of lexical tokens greatly increases the accuracy of core NLP techniques. In particular, following heuristics have been used in previous work to achieve the desired reduction of lexical tokens:

- Period Handling. Besides marking the end of a sentence in simplistic English, the character period ('.') has other legal usages as well such as decimal representation (periods between numbers). Although legal, such usage hinder detection of sentence boundaries, thus causing core NLP techniques to return incorrect or imprecise results. The text is pre-processed by annotating these usages for accurate detection of sentence boundaries.
- Named Entity Handling. Sometimes a sequence of words correspond to the name of entities that have a specific meaning collectively. For instance, consider the phrases "Amazon S3", "Amazon simple storage service", which are the names of the service. Further resolution of these phrases using grammatical syntax is unnecessary and would not bring forth any semantic value. Also these phrases contribute to length of a sentence that in turn negatively affects the accuracy of core NLP techniques. This heuristic annotates the phrase representing the name of the entities as a single lexical token.
- Abbreviation Handling. Natural-language sentences often consist of abbreviations mixed with text. This phenomenon

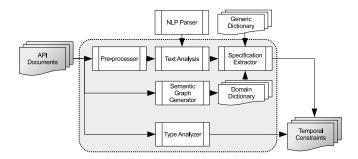


Figure 2: Overview of ICON approach

can result in subsequent components to incorrectly parse a sentence. This heuristic finds such instances and annotates them as a single lexical unit. For example, text followed by abbreviations such as "Access Control Lists (ACL)" is treated as single lexical unit. Detecting such abbreviations is achieved by using the common structure of abbreviations and encoding such structures into regular expressions. Typically, regular expressions provide a reasonable approximation for handling abbreviations.

Intermediate-Representation Generation [26]. This technique accepts the syntax-annotated sentences and builds a First-Order-Logic(FOL) representation of the sentence. Earlier researches have shown the adequacy using FOL for NLP related analysis tasks [27, 30, 31]. In particular, WHYPER [26] demonstrates the effectiveness of this technique, by constructing an intermediate representation generator based on shallow parsing [3] techniques. The shallow parser itself is implemented as a sequence of cascading finite state machines based on the functions of stanford-typed dependencies [8, 9, 18, 19].

In next section we describe our proposed generic approach to infer constraints from API documents.

# 5. ICON **DESIGN**

We next present our approach for inferring specifications from the method descriptions in API Documents. Figure 2 gives an overview of our approach. Our approach consists of five major components: a preprocessor, a text-analysis engine, a semantic graph generator, specification extractor, and a type analyzer.

The preprocessor accepts API documents and preprocesses the sentences in the method description, such as annotating sentence boundaries and reducing lexical tokens. The text-analysis engine accepts the pre-processed sentences and annotates them using an NLP parser. The text-analysis engine further transforms the annotated sentences into the first-order-logic (FOL) representation. The semantic graph generator accepts the API documents and generates the semantic graphs that are leveraged by specification extractor component. The type analyzer component infers temporal constraints encoded in the type system of a language by analyzing the API methods parameter and return types. Finally, the specification extractor then leverages the semantic graphs to infer temporal constraints from the FOL representation of a sentence. We next describe each component in detail.

# 5.1 Preprocessor

The preprocessor accepts the API documents and first extracts method descriptions from it. In particular, the preprocessor extracts the following fields within method descriptions: 1) *Summary of the API method*, 2) *Summary and type information of parameters of* 

<sup>5</sup>http://oxforddictionaries.com/us/
definition/american\_english/post?q=POST

<sup>&</sup>lt;sup>6</sup>In HTTP vocabulary POST means: "Creates a new entry in the collection. The new entry's URI is assigned automatically and is usually returned by the operation"

the API method, 3) Summary and type information of return values of the method, and 4) Summary and type information of exceptions thrown by the methods.

This step is required to extract the desired descriptive text from the various presentation styles of the API documents. In particular, different API documents may have different styles of presenting information to developers. This difference in style may include the difference in the level of detail presented to the developer. Our approach thus relies on only basic fields that are trivially available for API methods across different presentation styles.

After extracting desired information, the natural language text is further preprocessed to be analyzed by subsequent components. The preprocessing steps are required to increase the accuracy of core NLP techniques (described in Section 4.1) that are used in the subsequent phases of ICON approach. In particular, the preprocessor first employs the noun boosting followed by heuristics listed under lexical token reduction, as introduced in Section 4.2.

Although the previous techniques and heuristics significantly lower the number of lexical tokens in a sentence, some sentences may still contain a considerable number of lexical tokens to overwhelm the POS tagger. To address this issue, we propose a novel technique ('Frequent Phrases Reduction') to further reduce the number of lexical tokens in a sentence by annotating frequent phrases as a single lexical unit.

In particular, we use n-gram based approach as means to achieve this reduction. In the fields of computational linguistics and probability, an n-gram is a contiguous sequence of n words from a given sequence of text or speech. We first calculate the most frequently occurring n-grams in the text body. In particular, we are interested in the n-grams of length 4 or greater to achieve a reasonable reduction. We then prune the list of n-grams based on a subsumption. We consider a n-gram of length k  $(n_k)$  to subsume n-gram of length k-1  $(n_{k-1})$  iff  $n_{k-1}$  is a substring of  $(n_k)$  and the frequency of occurrence of  $n_{k-1}$  equals frequency of occurrence of  $n_k$ . Finally, we rank the list of n-grams based on the frequency of their occurrence in the text, and select top-k n-grams for reduction. For instance, Amazon Simple Storage Service, an I/O Error Occurs, and end of stream are the examples of such n-grams detected by our approach. Prototype Implementation. Currently our prototype implementation works with online Amazon S3 REST API developer documentation and JDK API. However, almost all of the developer documents are provided online as structured webpages. Thus, current implementation of preprocessor can be easily extended to extract the desired information from any API developer documents.

Additionally, in current implementation we have manually built the domain dictionaries for preprocessing using the glossary of terms collected from the websites pertaining to REST and Java API. We further leveraged the HTML style information in Amazon S3 REST API developer documentation to look for words that were highlighted in code like format. We further leveraged WordNet to maintain a static lookup table of shorthand words to aid named entity handling and abbreviation handling.

Finally, to achieve n-gram reduction we used Apache Lucene <sup>®</sup> [22]. Apache Lucene is a high-performance, full-featured text search engine library written entirely in Java. It is a technology suitable for nearly any application that requires full-text search, especially cross-platform.

# 5.2 NLP Parser

The NLP parser accepts the pre-processed documents and annotates every sentence within each document using core NLP techniques described in Section 4.1. From an implementation perspective, we chose the Stanford parser [23]. However, this component

can be implemented using any other existing NLP libraries or approachs. In particular, we annotate each sentence with POS tags, named-entity annotations and Stanford-typed dependencies. For more details on these techniques and their application, please refer to [8,9,26,27,36].

# 5.3 Text Analysis Engine

The text analysis engine component accepts the annotated documents and creates an intermediate representation of each sentence. We define our representation as a tree structure that is essentially a FOL expression. Research literature provides evidence of the adequacy of using FOL for NLP related analysis tasks [26, 27, 30, 31].

In our representation, every node in the tree except for the leaf nodes is a predicate node. The leaf nodes represent the entities. The children of the predicate nodes are the participating entities in the relationship represented by the predicate. The first or the only child of a predicate node is the governing entity and the second child is the dependent entity. Together the governing entity, predicate and the dependent entity node form a tuple.

As described in Section 4.2 the intermediate representation generation technique is based on the principle of shallow parsing [3]. In particular, the intermediate-representation technique is implemented as a function of Stanford-typed dependencies [8, 9, 19], to leverage the semantic information encoded in Stanford-typed dependencies.

However, we observed that such implementation is overwhelmed by complex sentences. This limitation mandates the use of additional novel technique of *'Frequent Phrases Reduction'* in preprocessing phase. We further improve the accuracy of intermediate-representation generation by proposing a hybrid approach, i.e. taking into consideration both the POS tags as well as Stanford-typed dependencies. The POS tags which annotate the syntactical structure of a sentence are used to further simplify the constituent elements in a sentence. We then use the Stanford-typed dependencies that annotate the grammatical relationships between words to construct our FOL representation. Thus, the intermediate representation generator used in this work is a two phase process as opposed to previous work [26, 27]. We next describe these two phases:

**POS Tags**: We first parse a sentence based on the function of POS tags. In particular, we use semantic templates to logically break a sentences into smaller constituent sentences. For instance, consider the sentence:

"All objects (including all object versions and Delete Markers) in the bucket must be deleted before the bucket itself can be deleted.".

The Stanford parser inaccurately annotates the Stanford-typed dependencies of the sentence because of presence of different clauses acting on different subject-object pairs. We thus break down the sentence into two smaller tractable sentences:

"All objects in the bucket must be deleted before the bucket itself can be deleted."

"All objects including all object versions and Delete Markers."

Table 1 shows a list the semantic templates used in this phase. Column "Template" describes conditions where the template is applicable and Column "Summary" describes the action taken by our shallow parser when the template is applicable. All of these semantic templates are publicly available on our project website<sup>7</sup>. With respect to the previous example the template 3 ( A noun phrase followed by another noun/pronoun/verb phrase in brackets) is applicable. Thus our shallow parser breaks the sentence into two individual sentences.

<sup>&</sup>lt;sup>7</sup>https://sites.google.com/site/temporalspec

**Table 1: Semantic Templates** 

S No.	Template	Summary				
1.	Two sentences joined by a conjunction	Sentence is broken down into two individual sentences with the conjunction				
		term serving as the connector between two.				
2.	Two sentences joined by a ","	Sentence is broken down to individual independent sentences				
3.	A noun phrase followed by another	Two individual sentences are formed. The first sentence is the same as the parent				
	noun/pronoun/verb phrase in brackets	sentence sans the noun/pronoun.verb phrase in bracket. The second sentence				
		constitutes of the noun phrase followed by noun/pronoun/verb phrase without				
		the brackets.				
4.	A noun phrase by a conditional phrase	Two individual sentences are formed. The first sentence is the same as the				
	in brackets	parent sentence sans the conditional phrase in bracket. The second sentence				
		constitutes of noun phrases followed by conditional in the bracket.				
5.	A conditional phrase followed by a sen-	Two dependent sentences are formed. The first sentence constitutes the condi-				
	tence	tional phrase. The second sentence constitutes rest of the sentence.				
6.	A sentence in which the parent verb	Two dependent sentences are formed where the dependency is the conjunction.				
	phrase is over two child verb phrases	The first sentence is formulated by removing conjunction and second child verb				
	joined by a conjunction	phrase. The second sentence is formulated by removing conjunction and first				
		child verb phrase.				

Stanford-typed Dependencies: This phase is equivalent to the intermediate-representation technique described in Section 4.2.

# **Specification Extractor**

This component accepts the FOL representation of the sentence from the previous component, then extracts the temporal constraints if present in a sentence. Specification Extractor then classifies the sentence as a specification sentence (containing temporal constraint) candidate based on following ordered set of rules:

- 1. The sentence is not from parameter summary or return variable summary. Typically such sentences describe pre-post conditions as opposed to temporal constraints this approach addresses.
- 2. The sentences contains modal modifiers such as "can, could, may, must, should" expressing necessity. Typically, presence of such modal modifier is a strong indicator of presence of constraints imposed by an API developer
- 3. If the sentence does not contain modal modifiers described previously, sentence must contain temporal modifier relationship, identified by Stanford-typed dependency parser. Typically, presence of temporal modifier is an indicator of presence of temporal information.
- 4. If rules 2 and 3 don't apply then the sentences should be a conditional sentence, identified by the presence of keywords such as "if".

Once a candidate sentence is identified, this component selects an semantic graph. In particular, the semantic graph of the API class to which the candidate sentence belongs to selected. A semantic graph constitutes the keyword representation of the classes and the corresponding applicable actions. Figure 3 shows a sub-graph of graph for BufferedInputReader class. The phrases in solid rectangles are synonyms of the class name BufferedInputReader. The phrases in rounded rectangle are the actions applicable on BufferedInputReader

Section 5.5 further describes how these graphs are generated

5.5 Semantic-Graph Generator class. Section 5.5 further describes how these graphs are generated.

Specification extractor then uses the semantic graph to determine if a candidate sentence is a specification sentence and if so extract the action that should be performed prior to the method the sentence belongs to. Algorithm 1 describes this action extraction process.

### Algorithm 1 Action\_Extractor

```
Input: K_Graph g, FOL_rep rep
Output: String action
 1: String action = \phi
    List\ r\_name\_list\ =\ g.resource\_Names
    FOL\_rep r' = rep.findLeafContaining(r\_nam\_list)
 4: List\ action List = g.action List
 5:
    while (r'.hasParent) do
 6:
7:
8:
       if \ action List. contains (r'.parent.predicate) \ then
          action = actionList.matching(r'.parent.predicate)
         break
 9:
10:
11:
12:
          if actionList.contains(r'.leftSibling.predicate) then
             action = actionList.matching(r'.leftSibling.predicate)
             break
13:
14:
          end if
       end if
15:
          = r'.parent
16: end while
17: return action
```

Our algorithm systematically explores the FOL representation of the candidate sentence to determine if a sentence describes a temporal specification. First, our algorithm attempts to locate the occurrence of class name or its synonym within the leaf nodes of the FOL representation of the sentence (Line 3). The method findLeaf-Containing(r\_name\_list) explores the FOL representation to find a leaf node that contains either the class name or one of its synonyms. In particular, we use WordNet [11] and Lemmatisation to deal with synonyms of a word in question to find appropriate matches. Once a leaf node is found, we systematically traverse the tree from the leaf node to the root, matching all parent predicates as well as immediate child predicates [Lines 5-16].

Our algorithm matches each of the traversed predicate with the actions associated with the class defined in semantic graph. Similar to matching entities, we also employ WordNet and Lemmatisation to deal with synonyms to find appropriate matches. If a match is found, then the matching action name is returned.

A key way of identifying reference to a method within the API in our proposed approach is the employment of a semantic graph of an API. In particular, we propose to initially infer such graphs from API documents. Manually creating a semantic graph is pro-

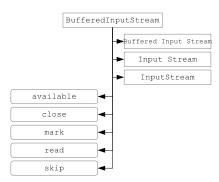


Figure 3: Semantic Graph for the BufferedInputStream class in Java

hibitively time consuming and may be error prone. We thus employ a systematic methodology (proposed previously in [26]) to infer such semantic graphs from API documents that can potentially be automated. We first consider the name of the class for the API document in question. We then find the synonyms terms used refer to the class in question. The synonym terms are listed as by breaking down the camel-case notation in the class name. This list is further augmented by listing the name of the parent classes and implemented interfaces if any.

We then systematically inspect the member methods to identify actions applicable to the objects represented by the class. From the name of a public method (describing a possible action on the object), we extract verb phrases. The verb phrases are used as the associated actions applicable on the object. For instance, BufferedInputReader RQ1: What are the precision and recall of ICON in identidefines operations available, close, mark, and so on. We associate these operations with the objects of type BufferedInputReader. Figure 3 shows a sub-graph of graph for BufferedInputReader class. The phrases in solid rectangles are synonyms of the class name BufferedInputReader. The phrases in rounded rectangle are the actions applicable on BufferedInputReader class.

#### **5.6** Type Analysis

As mentioned earlier that some temporal constraints are enforced by the type system in typed Languages. For instances a method (m) accepting input parameter (i) of type (t) mandates that (at least one) method (m') be invoked whose return value is of type (t). To extend the temporal constraints inferred by the analyzing the natural language text, this component infers additional constraints that are encoded in the type system. Algorithm 2 lists the steps followed to infer type based temporal constraints.

The algorithm accepts the list of methods as an input produces a graph with the nodes representing methods in an API and the directed edges representing temporal constraints. First, a index is created based on the return types of the method (Line 2). Second, all methods in an API are added to an unconnected graph (Line 3-4). Then, for every public method in the input list, the algorithm checks the types of the input parameters and constructs and directed edge from all the methods whose return value have the same type to the method in question (Line 14-20). The algorithm does not take into consideration the basic parameter types such as integer, string (Line 15). Additionally, an edge is created from the constructors of a class to the non static members methods of a class (Line 8 -13). The resultant graph is then returned by the algorithm.

The temporal constraints based on the type information can be extracted by querying the graph. The incoming edges to a node denoting a method represents the set of pre-requisite methods. The temporal constraint being, at least one of the pre-requisite methods

# Algorithm 2 Type Sequence Builder

```
Input: List methodList
Output: Graph seq\_Graph
    Graph \ seq\_Graph = \phi
    Map idx = createIdx(methodList)
 3:
    for all Method\ mtd\ in\ method\ List\ do
        seq\_Graph.addVertex(mtd)
 5:
    end for
 6:
7:
8:
    for all Method\ mtd\ in\ method\ List\ do
        if mtd.isPublic() then
           if !mtd.isStatic() then
 9:
              List\ preList = idx.query(mtd.declaringType)
10:
              for all Method mtd' in preList do
11:
12:
                 seq\_Graph.addEdge(mtd', mtd)
13:
           end if
14:
15:
            \textbf{for all } Parameter \ param \ in \ mtd.getParameters () \ \textbf{do} \\
              \textbf{if} ~! is Basic Type (param. Type) ~\textbf{then} \\
16:
17:
18:
                 List\ preList\ =\ idx.query(paramType)
                 for all Method\ mtd'\ in\ preList\ {\bf do}
                    seq\_Graph.addEdge(mtd',mtd)
19:
20:
                 end for
              end if
21:
           end for
        end if
23: end for
24: \  \, \textbf{return} \  \, seq\_Graph
```

must be invoked before invoking the method in question.

# **EVALUATION**

We conducted an evaluation to assess the effectiveness of ICON. In our evaluation, we address two main research questions:

- fying temporal constraints from sentences written in natural language?
  - **RO2**: What is the accuracy of ICON in inferring temporal constraints from constraint sentences in the API documents?
  - **RQ3**: How do the constraints inferred by our approach compare with the typed-enforced temporal constraints?

# Subjects

We used the API documents of the following two libraries as subjects for our evaluation.

- Amazon S3. Amazon S3 REST API developer documentation provides a REST based web services interface that can be used to store and retrieve data on the web. Furthermore, Amazon S3 also empowers a developer with rich set of API methods to access a highly scalable, reliable, secure, fast, inexpensive infrastructure. Amazon S3 is reported to store more than 2 trillion objects as of April 2013 and gets over 1.1 million requests per second at peak time [1].
- java.io. java.io is a popular packacge in Java programming language that provides APIs for system input and output through data streams, serialization and the file system.

We chose Amazon S3 and java.io APIs as our subjects because they are popular and contain decent documentation.

# 6.2 Experimental Setup.

We first manually annotated the sentences in the API documents of the two APIs. Two authors manually labeled each sentence in the API documentation as sentence containing temporal constraints or not. We used cohen kappa [6] score to statistically measure the inter-rater agreement. The cohen kappa score of the two authors was .66 (on a scale of 0 to 1), which denotes a statically significant agreement. After the authors classified all the sentences, they discussed with each other to reach a consensus on the sentences they classified differently. We use this classified sentences as the golden set for calculating precision and recall.

To answer RQ1, we measure the number of true positives (TP), false positives (FP), true negative (TN), and false negatives (FN) in identifying the constraint sentences by ICON. We define constraint sentence as a sentence describing a temporal constraints. We define the TP, FP, TN, and FN of ICON as follows:

- TP: A sentence correctly identified by ICON as constraint sentence
- FP: A sentence incorrectly identified by ICON as constraint sentence.
- TN: A sentence correctly identified by ICON as not a constraint sentence.
- FN: A sentence incorrectly identified by ICON as not a constraint sentence.

In statistical classification [25], Precision is defined as a ratio of number of true positives to the total number of items reported to be true, Recall is defined as a ratio of number of true positives to the total number of items that are true. F-score is defined as the weighted harmonic mean of Precision and Recall. Higher value of Precision, Recall, and F-Score are indicative of higher quality of the constraint statements inferred using ICON. based on the calculation of TP, FP, TN, and FN of ICON defined previously we computed the Precision, Recall, and F-Score of ICON as follows:

$$\begin{aligned} Precision &= \frac{TP}{TP+FP} \\ Recall &= \frac{TP}{TP+FN} \\ F - Score &= \frac{2XPrecisionXRecall}{Precision+Recall} \end{aligned}$$

To answer RQ2, we checked the temporal constraints inferred from constraint sentences by ICON. We measure *accuracy* of ICONas the ratio of the total number of temporal constraints that are correctly inferred by ICONto the total number of constraint sentences.

# 6.3 Results

We next describe our evaluation results to demonstrate the effectiveness of ICON in identifying temporal constraints.

# 6.3.1 RQ1: Effectiveness in Identifying Constraint Sentences

In this section, we quantify the effectiveness of ICON in identifying constraint sentences by answering RQ1. Table 2 shows the effectiveness of ICON in identifying constraint sentences. Column "API" lists the names of the subject API. Columns "Mtds" and "Sen" lists the number of methods and sentences in each subject API's. Column "Sen $_C$ " list the number of manually identified constraint sentences. Column "Sen $_{ICON}$ " lists the number of sentences identified by ICON as constraint sentences. Columns "TP", "FP", "TN", and "FN" represent the number of true positives, false positives, true negatives, and false negatives, respectively. Columns "P(%)", "R(%)", and "F $_S$ (%)" list percentage values of precision, recall, and F-score respectively. Our results show that, out of 3,909 sentences, ICON effectively identifies constraint sentences with the average precision, recall, and F-score of 65.0%, 72.2%, and 68.4%, respectively.

We next present an example to illustrate how ICON incorrectly identifies a sentence as a constraint sentence (producing false positives). For instance, consider the sentence "This is done by flushing the stream and then closing the underlying output stream." from close method description from PrintStream class. ICON incorrectly identifies the action "flush" being performed before the action "close". However ICON fails to make the distinction that it happens internally (enforced within the body) in the method. ICON, thus incorrectly identifies the sentence as a constraint sentence.

Another major source of FPs is the incorrect parsing of sentences by the underlying NLP infrastructure and/or inadequacy of generic dictionaries for synonym analysis. For instance, consider the sentence "If this stream has an associated channel then the channel is closed as well." from the close method description from FileOutputStream. The sentence describes an effect that happens as a result of calling the close method and does not describe any temporal constraint. However, ICON annotates the sentence as a constraint sentence because underlying Wordnet dictionaries matches the word "has" as a synonym of "get". This incorrect matching in turn causes ICON to incorrectly annotate the sentence as constraint sentence because "has" is matched against (get) method in FileOutputStream. We observed 8 instances of previously described example in our results.

If we manually fixed the Wordnet dictionaries to match "has" and "get" as synonyms, our precision is further increased to 70.8% effectively increasing the F-Score of ICON to 71.2%. We refrained from including such modifications for reporting the results to stay true to our proposed framework. In the future, we plan to investigate techniques to construct better domain dictionaries for software API.

We next present an example to illustrate how ICON fails identify a constraint sentence (producing false negative). False negatives are undesirable in the context of our problem domain, because they can mislead the users of ICON into believing that no other temporal constraint exists in the API documents. Furthermore, an overwhelming number of false negatives works against the usefulness of ICON. For instance, consider the sentence "This implementation of the PUT operation creates a copy of an object that is already stored in Amazon S3." from PUT Object-Copy method description in Amazon S3 REST API. The sentence describes the constraint that the object must already be stored (invocation of PUT Object) before calling the current method. However, ICONcannot make the connection owing to the limitation of the semantic graphs that do not list "already stored" as a "valid operation" on object.

Another major source of false negatives (similar to reasons for false positives) is the incorrect parsing of sentences by the underlying NLP infrastructure. For instance, consider the sentence "If any in-memory buffering is being done by the application (for example, by a BufferedOutputStream object), those buffers must be flushed into the FileDescriptor (for example, by invoking OutputStream.flush) before that data will be affected by sync." The sentence describes that the OutputStream.flush() must be invoked before invoking the current method if in-memory buffering is performed. However, the length and complexity in terms of number of clauses causes the underlying Stanford parser to inaccurately annotate the dependencies, which eventually results into incorrect classification.

Overall, a significant number of false positives and false negatives will be reduced as the current NLP research advances the underlying NLP infrastructure. Furthermore, use of domain specific dictionaries as opposed to generic dictionaries used in current pro-

**Table 2: Evaluation Results** 

API	Mtds	Sen	$Sen_C$	$Sen_{ICON}$	TP	FP	FN	P(%)	R(%)	$F_S(\%)$	$Spec_{ICON}$	Acc(%)
java.io	662	2417	78	88	57	31	21	64.8	73.1	68.8	56	71.8
AMAZON S3 REST	51	1492	12	12	8	4	4	66.7	66.7	66.7	7	58.3
Total	713	3909	90	100	65	35	25	65.0*	72.2*	68.4*	63	70.0*

<sup>\*</sup> Column average; Mtds: Total no. of Methods; Sen: Total no. of Sentences; Sen<sub>C</sub>: Total no. of constraint Sentences; Sen<sub>ICON</sub>: Total no. of constraint Sentences identified by ICON; TP: Total no. of True Positives; FP: Total no. of False Positives; FN: Total no. of False Negatives; P: Precision; R: Recall; F<sub>S</sub>: F-Score; Acc: Accuracy Spec<sub>ICON</sub>: Total no. of temporal constraint correctly identified by ICON;

totype implementation will further improve the precision and recall of ICON.

# 6.3.2 RQ2: Accuracy in Inferring Temporal Constraints

In this section, we evaluate the effectiveness of ICON in inferring temporal constraints from the identified constraint sentences from API documents. To answer RQ2, we ran the sentences manually identified as constraint sentences against ICON. We then manually verified the correctness of temporal constraints inferred by our ICON. We define the accuracy of ICON as the ratio of constraint sentences with correctly inferred temporal constraints to the total number of constraint sentences.

Table 2 shows the effectiveness of ICON in inferring temporal constraints from the identified constraint sentences. Column "API" lists the names of the subject API. Columns "Mtds" and "Sen" list the number of methods and sentences in each subject API's. Column "Sen $_C$ " lists the number of manually identified constraint sentences. Column "Spec $_{ICON}$ " lists the number of sentences with correctly inferred temporal constraints by ICON. Column "Acc(%)" list percentage values of accuracy. Our results show that, out of 90 manually identified constraint sentences, ICON correctly infers temporal constraints with the average accuracy of 70.0%.

We next present an example to illustrate how ICON incorrectly infers temporal constraints from a constraint sentence. Consider the sentence "if the stream does not support seek, or if this input stream has been closed by invoking its close method, or an I/O error occurs." from skip method of java.io.FilterInputStream class. Although ICON correctly infers that close cannot be called before current method, ICON incorrectly associates the phrase "support seek" with method markSupported in the class. The faulty association happens due to incorrect parsing of the sentence by the underlying NLP infrastructure. Such issues will be alleviated as the underlying NLP infrastructure improves.

Another, major cause of failure for ICON in inferring temporal constraints from sentences is the failure to identify the sentence as a constraint sentences at the first place (false negatives). Overall, accuracy of ICON can be significantly improved by lowering the false negative rate in identifying the constraint sentences.

# 6.3.3 RQ3: Comparison to Typed-Enforced Constraints

In this section, we compared the temporal constraints inferred from the natural language API descriptions to those enforced by the type-system (referred to as type-enforced constraint). The constraints that are enforced by the type-system can be enforced by IDEs. Hence, for such types of constraints, we do not require sophisticated techniques like ICON. For <code>java.io</code>, we define a type-enforced constraint as a constraint that mandates a method M accepting input parameter I of type T to be invoked after (at least one) a method M0 whose return value is of type T. Since there are no types in REST APIs, for <code>Amazon S3</code>, we consider a constraint as a type-enforced constraint if it is implicit in the CRUD naming convention followed by REST operations. In particular, we consider a

constraint as a type-enforced constraint, if the constraint mandates a DELETE, GET, or PUT operation on a resource to be invoked after a GET operation on the same resource.

To address this question, we manually inspect each of the constraints reported by ICON and classify it as a type-enforced constraint or a non type-enforced constraint. We observed that none of the constraints inferred by our ICON from natural language text were classified as a type-enforced constraint. Hence, the constraints detected by ICON are not trivial enough to be enforced by a type system.

# 6.4 Summary

In summary, we demonstrate that ICON effectively identifies constraint sentences (from over 3900 API sentences) with the average precision, recall, and F-score of 65.0%, 72.2%, and 68.4% respectively. Furthermore, we also show that ICON infers temporal constraints from the constraint sentences an average accuracy of 70%. Furthermore, also provide discussion that a false positives rate and false negatives rate can be further improved by improving the underlying NLP infrastructure. Finally, we provide a comparison of the temporal constraints inferred from natural language description against the temporal constraints enforced by a type system.

# 6.5 Threats to Validity

Threats to external validity primarily include the degree to which the subject documents used in our evaluations are representative of true practice. To minimize the threat, we used API documents of two different API's: JDK <code>java.io</code> and <code>Amazon S3 REST API</code> developer documentation. On one hand Java is a widely used programming language and <code>java.io</code> and is one of the main packages. In contrast, <code>Amazon S3 REST API</code> developer documentation provides HTTP based access to online storage allowing developers the freedom to write clients applications in any programming language. Furthermore, the difference in the functionalities provided by the two API's also address the issue of over fitting our approach to a particular type of API. The threat can be further reduced by evaluating our approach on more subjects.

Threats to internal validity include the correctness of our implementation in extracting usage constraints and labeling a statement as a constraint statement. To reduce the threat, we manually inspected all the constraints inferred against the API method descriptions in our evaluation. Furthermore, we ensured that the results were individually verified and agreed upon by two authors.

# 7. DISCUSSION AND FUTURE WORK

Our approach serves as a way to formalize the description of constraints in the natural language texts of REST API documents (targeted towards generating code contracts), thus facilitating existing tools to process these specifications. We next discuss the benefits of our approach in other areas of software engineering, followed by

a description of the limitations of the current implementation and our approach.

# **Bug Finding Capabilities**

[20] manually wrote specifications for JDK API. One of the evaluations they conducted was to see if the formal representation of the constraints in the natural language in API documents could detect violations. They also used java.io as subject API to manually write specifications.

they used java benchmarks from [S. M. Blackburn, R. Garner, C. Hoffman, et al. The DaCapo benchmarks: Java benchmarking development and analysis. In OOPSLA, 2006.] to detect voilations.

The error specification, Reader ManipulateAfterClose is violated on all benchmarks but avrora. However, after analyzing the source code, we found that SimpleCharStream intentionally performs a read operation after closing the stream for checking if the stream is closed or not. Also, it handles thrown exceptions properly. There is no bug in this class related to this specification, but this is not a usual pattern of using the Reader class according to the JDK API; the code should probably be changed.-reproduction of the text from [20]. Our approach infers this constraint.

Other bug that can be detected is regarding REST API discussed in example section

### **Leveraging Error Descriptions**

BucketAlreadyExists: "The requested bucket name is not available. The bucket namespace is shared by all users of the system. Please select a different name and try again."

**Semantic Flow** 

#### **CONCLUSION** 8.

Although highly desirable, most API's do not have formal specifications. In contrast documentation of methods contain detailed specifications of the usage in natural language text. However, formal analysis tools are not designed to work on specifications in natural languages. Manually writing formal specifications based on natural language text in API documents is prohibitively time consuming and error prone. To address this issue, we proposed a novel approach ICON to infer temporal constraints from natural language text of API documents. We applied ICON to infer temporal constraints from commonly used package java.io from JDK API and Amazon S3 REST API. Our evaluation results show that ICON achieves an average of 65% precision and 72% recall in inferring 63 temporal constraints from more than 3900 sentences of API documents.

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