








Plagued by a cryptic clock

Insight and issues from the global phylogeny of *Y. pestis*.

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Introduction

- Plague has a powerful and lasting legacy. Tremendous societal change.
- Humanity has been visited by many waves of plague pandemics
- Bronze Age to current epidemics, has visited every continent, and remains endemic in x of those.

Results and Discussion

Population Structure

A critical step in reconstructing the evolutionary history of an organism is exploring the degree of population or genetic structure. When populations subdivide and diversify in isolation, the

can add nuance to spatiotemporal analyses, particularly when populations

through population-specific models [1]. For example... On the other hand, failing to account for how population subdivide and diversify in isolation can result in fundamentally different interpretations [2]. Such as... . We therefore began by examining how existing classification systems...

To explore the global population structure of *Y. pestis*, we estimated a maximum-likelihood phylogeny from 601 genomes, including 540 modern (89.9%) and 61 ancient (10.1%) strains. In addition, two genomes of the outgroup taxa *Yersinia pseudotuberculosis* were included to root the tree. The alignment consisted of 10,249 variant positions exclusive to *Y. pestis*, with 3,844 sites shared by at least two strains. Following phylogenetic estimation, we pruned the outgroup taxa *Y. pseudotuberculosis* from the tree to more closely examine the genetic diversity of *Y. pestis*.

In Figure 2, we contextualize the maximum-likelihood phylogeny using three nomenclature systems: the major branches, biovars, and time periods. In the following section, we compare how each system defines the population structure of *Y. pestis*, and the uncertainty surrounding these incongruent divisions.

→ Brief critique of the strengths and weaknesses of each.

Biovar

The oldest system to date is the biovar nomenclature, which uses phenotypic differences to define population structure. *Y. pestis* can be categorized into four classical biovars: *antiqua* (ANT), *medievalis* (MED), *orientalis* (ORI), and *microtus/pestoides* (PE) [3] [4]. Non-classical biovars have also been introduced, such as the *intermedium* biovar (IN), which reflects a transitional state from *antiqua* to *orientalis* [5]. The biovar system is simple and comprehensible as it largely focuses on two traits: the ability to ferment glycerol and reduce nitrate [4]. However, this simplicity is offset by the growing recognition of regional inconsistencies in metabolic profiles [6], which weakens its broader applicability. This is exacerbated by the sequencing of non-viable, 'extinct' *Y. pestis*, for which metabolic sub-typing is impossible [7]. Researchers have responded to this uncertainty in a variety of ways, by creating pseudo-biovars (PRE) [8] or extrapolating existing ones [9]. Other still have foregone the *biovar* nomenclature altogether in favor of locally-developed taxonomies [6]. Despite extensive research, it remains unclear which traits, if any, can be used to classify *Y. pestis* into distinct populations at a global scale.

Major Branch

In contrast to the biovar nomenclature which emphasizes phenotypes, the major branch nomenclature focuses on the evolutionary relationships between strains. This system divides the global phylogeny of *Y. pestis* into populations according to their relative position to the "Big Bang" polytomy [10]. All lineages that diverged prior to this polytomy are grouped into Branch 0 and those

diverging after form Branches 1-4. Because this multifurcation plays such a central role in this system, there is great interest in estimating its timing and geographic origins [11]. However, the epidemiological significance of the “Big Bang” polytomy remains unclear, as no definitive phenotype has been identified that correlates with the observed branching pattern [???]. While the major branch system excels at reconstructing the evolutionary relationships between candidate populations, it struggles to connect these relationships to meaningful biological changes.

Time Period

As previously mentioned, the sequencing of ancient *Y. pestis* poses a problem for classification, as direct metabolic testing is impossible for these non-viable samples. Ancient DNA researchers thus use an alternative strategy, by incorporating contextual evidence such as the collection date or associated time period. The known genetic diversity of *Y. pestis* has been most commonly divided into four time periods: the Bronze Age (3rd - 1st millennium BCE) [8], the First Pandemic, (6th - 8th century CE) [9], the Second Pandemic (14th - 18th century CE) [12], and the Third Pandemic (19th - 20th century CE) [10].

The key strength of this nomenclature is that it provides an excellent foundation for interdisciplinary discourse. However, this system runs the risk of grouping unrelated populations, as contemporaneous strains have been observed to have distinct evolutionary histories [13]. Furthermore, there is growing awareness of the temporal overlap of the Second and the Third Pandemics. Previously, the temporal extents of these events were mutually exclusive, dating from the 14th to 18th century, and the late 19th to mid-20th century respectively [14]. Recent historical scholarship has contested this claim, and demonstrated that these constraints are a product of a Eurocentric view of plague [15]. The Second Pandemic is now recognized to have extended into at least the 19th Century in the Ottoman Empire [16; 17]. Similarly, the Third Pandemic is now hypothesized to have begun as early as the 18th century in southern China [18]. Unfortunately, this period of overlap remains unsampled, thus it is unclear where exactly to draw a genetic distinction, if it even exists, between these pandemic events.

In addition, some populations are curiously excluded from the time period/pandemic nomenclature. In particular, Branch 2 populations emerged at the same time as, but separate from, the Second Pandemic and have been associated with high mortality epidemics [19]. In particular, the *medievalis* population (2.MED) has spread throughout Asia (Figure ??) and was observed to have the fastest spread velocity of any *Y. pestis* lineage [18]. Given this epidemiological significance, it is surprising that Branch 2 populations have been largely overlooked in the pandemic taxonomy of *Y. pestis*. As ancient DNA sampling strategies expand out of Europe, it will be important to consider how to adapt and expand the three pandemic nomenclature to encompass this new diversity.

Uncertainty

In light of this uncertainty and inconsistencies, no classification system comprehensively represents the global population structure of *Y. pestis*. However, a combined approach has been previously used in large comparative studies of *Y. pestis* [10,20]. We therefore take the intersection of the three taxonomic systems discussed previously, to define 12 populations for statistical analysis (Figure 2). In the following sections, we highlight the novel insight that comes from explicitly incorporating this population structure, and the key areas of uncertainty that remain.

Molecular Clock

A long-standing line of inquiry in plague phylogenetics has been estimating evolutionary rates in order to date internal nodes. Key areas of the phylogeny that have been intensively researched are the first emergence of *Y. pestis* in human populations [8], the “Big Bang” polytomy [11], and the onset of past pandemics [7,9,10]. Recent technological advancements, such as ancient DNA sequencing and new molecular clock methods, have enabled researchers to reach further back in time with increasingly complex models. But despite this intensive interest and methodological advancement, *Y. pestis* remains notoriously difficult to model using a molecular clock approach.

This difficulty can largely be attributed to the substantial rate variation that has been documented across the phylogeny of *Y. pestis* [10,12]. As a result, considerable debate has emerged over whether *Y. pestis* has absolutely no temporal signal [9], or if populations have such distinct rates that a species-wide signal is obscured [12,21]. This uncertainty has produced radically different temporal models between studies, with node dates shifted by as much as several millennia [8,10]. Thus a comprehensive understanding of plague’s molecular clock, or lack thereof, is necessary before we can begin to untangle when and where this disease appeared in the past.

Rate Variation

In light of this evolutionary debate, we began by exploring the extent of rate variation present in our updated genomic dataset, which is notably larger and more diverse than those used in previous studies [12]. Given this expanded diversity, it is unsurprising that a root-to-tip regression on collection date reproduces the finding that substitution rates in *Y. pestis* are poorly represented by a simple linear model or “strict clock” (Figure 1). While there is a statistically significant relationship between date and genetic distance ($P\text{-value}=4.959 \times 10^{-13}$), an extremely low coefficient of determination ($R^2=0.09$) indicates there is tremendous variation that is not accounted for.

Thus far, the hypotheses proposed to explain this variation have primarily focused on biological processes, such as the cycling between endemic and epidemic phases [??] and adaptations to new environments [??]. However, we argue that several methodological factors must first be taken into account, before investigating more complex ecological factors such as host and landscape.

Time Dependency

One striking factor that is methodological in nature is the time dependency of molecular rates. In Figure 1, we show how rate variation in *Yersinia pestis* correlates with the sampling time frame, in which populations sampled over several millennia (Bronze Age) have less variation than those sampled over multiple centuries (Second Pandemic) or decades (*medievalis*). This correlation is a well-known and widely-documented phenomenon in many organisms [22] and occurs due to two conflicting signals: a slower, long-term substitution rate combined with a higher, short-term mutation rate.

Separating out these signals can be extremely challenging and failure to do so can have significant consequences when estimating and interpreting a molecular clock. Of particular concern for epidemiological investigations is the risk of artificially inflating the substitution rate due to transient mutations, which will lead to underestimating internal node dates. With regards to plague genomics, this may result in incorrect molecular dates linked to key historical events, such as the emergence of pandemic populations. Because of this risk, we first evaluate the presence of spurious mutations in our dataset before attempting to estimate a molecular clock model.

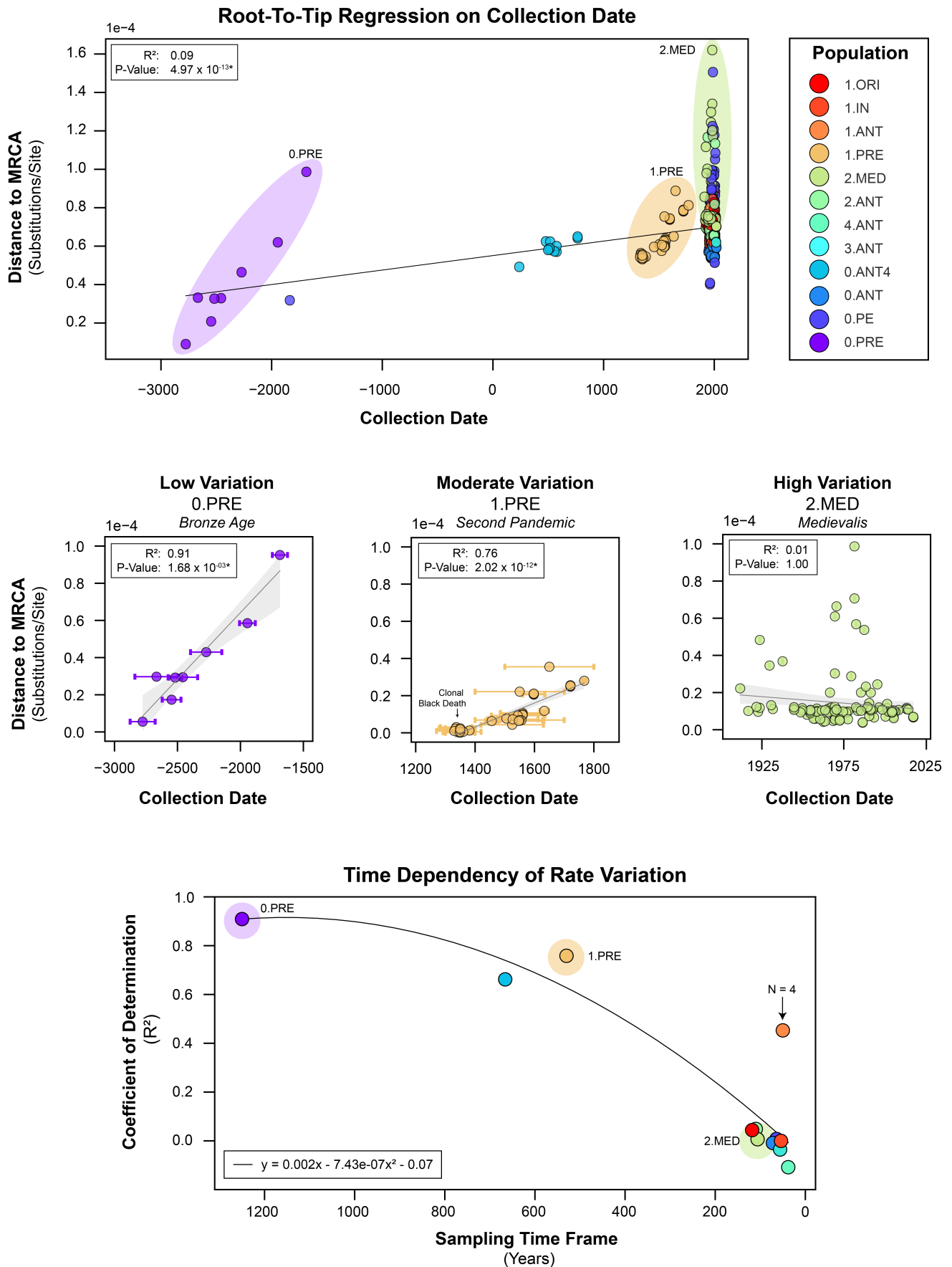


Figure 1: Rate variation in *Yersinia pestis* as observed through a regression of root-to-tip distances on collection date. Top: A species-wide model using all genomes from the maximum-likelihood phylogeny. Middle: Population-specific models based on extracted subtrees from the phylogeny. Bottom: The time-dependency of population-specific rate variation on the sampling time frame.

Slow, Long-Term Substitution Rate

Y. pestis is particularly susceptible to the time-dependency of molecular rates, as it has one of the slowest substitution rates observed among bacterial pathogens [21]. The substitution rate of *Y. pestis* has previously been estimated to range from 1×10^{-8} to 2×10^{-8} substitutions/site/year, [10,12] or 1 substitution every 10 - 25 years. In application, this means that *Y. pestis* lineages often cannot be differentiated until multiple decades have passed, a concept generally referred to as the phylodynamic threshold [23].

A historical example of this can be seen during the Second Pandemic, where isolates dated to the medieval Black Death (1348-1353) are nearly indistinguishable clones (Figure 1). A modern example is the *medievalis* population, where the youngest samples (2010s) have diverged little compared to those from a century prior (1910s). This highlights a significant limitation and cautionary note for *Y. pestis* phylogenetics, as comparisons over short time scales (10 to 100 years) may have limited resolving power.

High, Short Term Mutation Rate

Furthermore, the little phylogenetic signal (ie. substitutions) that accumulates in the population may be easily obscured by spurious mutations in a single sample. The *medievalis* population is an extreme example of this, as several samples collected between 1970 and 1980 are exceptionally divergent. This short-term diversity is largely due to mutations observed in only a single-isolate, which manifests as long terminal branches in the maximum-likelihood phylogeny (Figure 2). The presence of transient mutations, or long branches, is not isolated to the *medievalis* population and also strongly impacts the *pestoides* 0.PE, *intermedium* 1.IN, and *orientalis* (1.ORI) populations (Figure 4 SI). Given the extensive presence of these apparent outliers, inclusion or exclusion of these samples may have profound impacts on the models used to estimate a molecular clock.

So what?

Population-Specific Rate Variation

The Bayesian analysis exhibited poor sampling of the relaxed clock model parameters, even when using a fixed topology. This suggests there may be too much rate variation to confidently estimate key parameters such as the mean substitution rate and the time to the most recent common ancestor (tMRCA). This observation is consistent with previous analyses [9] where robust estimates of model parameters could not be estimated, thus leading to the conclusion that *Y. pestis* lacks temporal signal. At the same time, other studies have suggested data composition is a strong determinant of temporal signal [21] and thus we investigated alternative approaches.

A BETS analysis by population proved even more sensitive, as temporal signal was detected in all populations with three exceptions: 1.IN, 2.ANT, and 3.ANT (Table ??). Furthermore, for all populations with temporal signal, the relaxed clock model (UCLN) had higher support than the strict clock (SC).

Figure 3

Phylogeography

Conclusions

Methods

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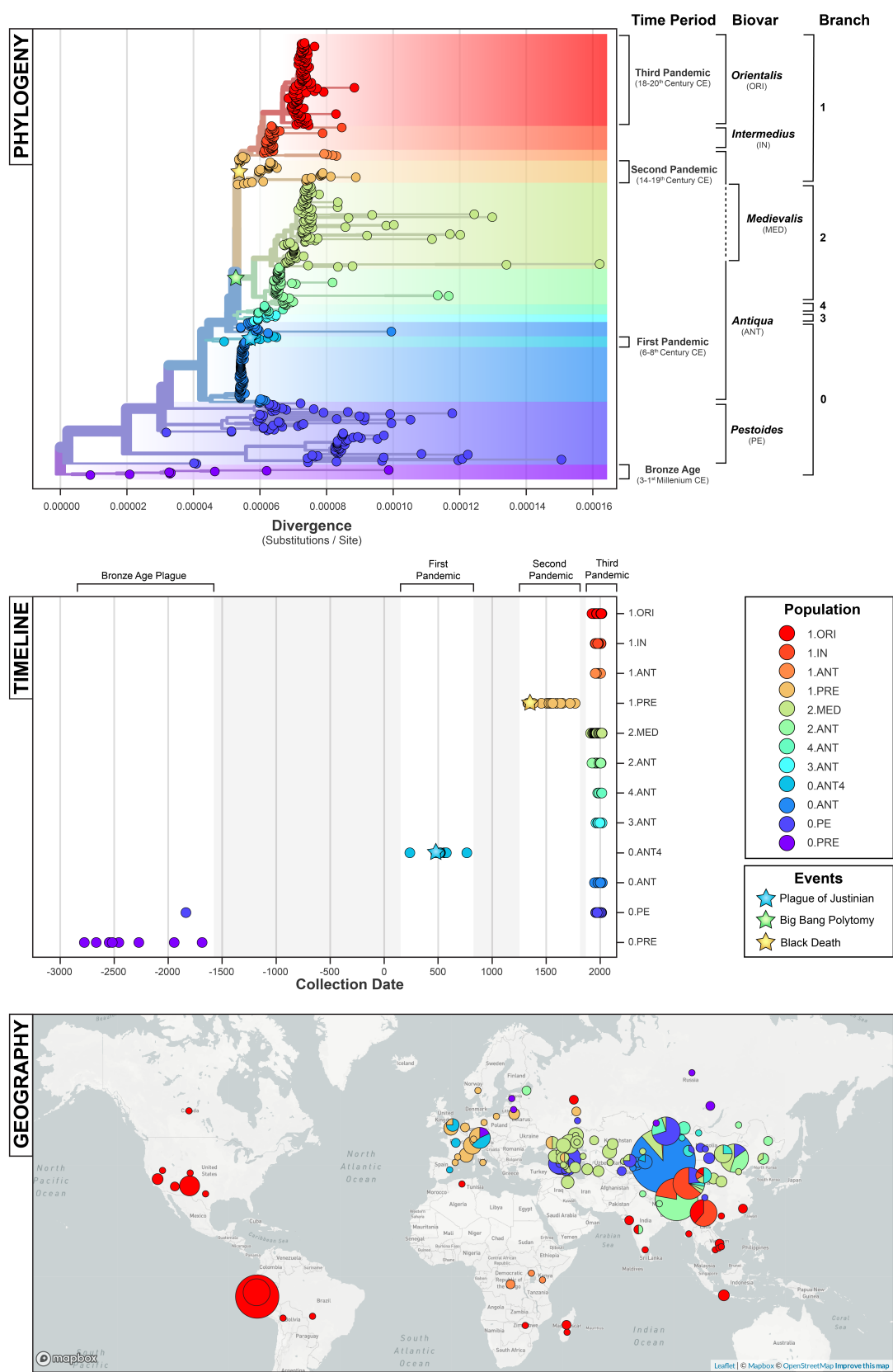
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Figures



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Figure 2: The phylogenetic, temporal, and geographic structure of *Yersinia pestis*. Top: The maximum-likelihood phylogeny. Middle: The timeline of collection dates. Bottom: The global geographic distribution.

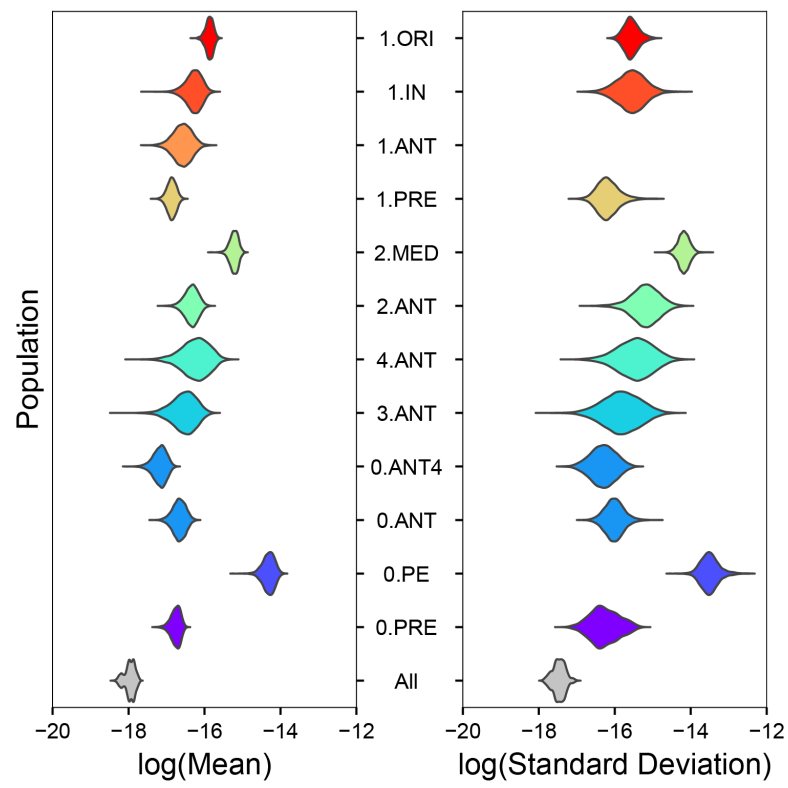


Figure 3: The mean substitution rate (left) and coefficient of rate variation (right) by population. Each distribution is annotated with the peak value.

Supplementary Information

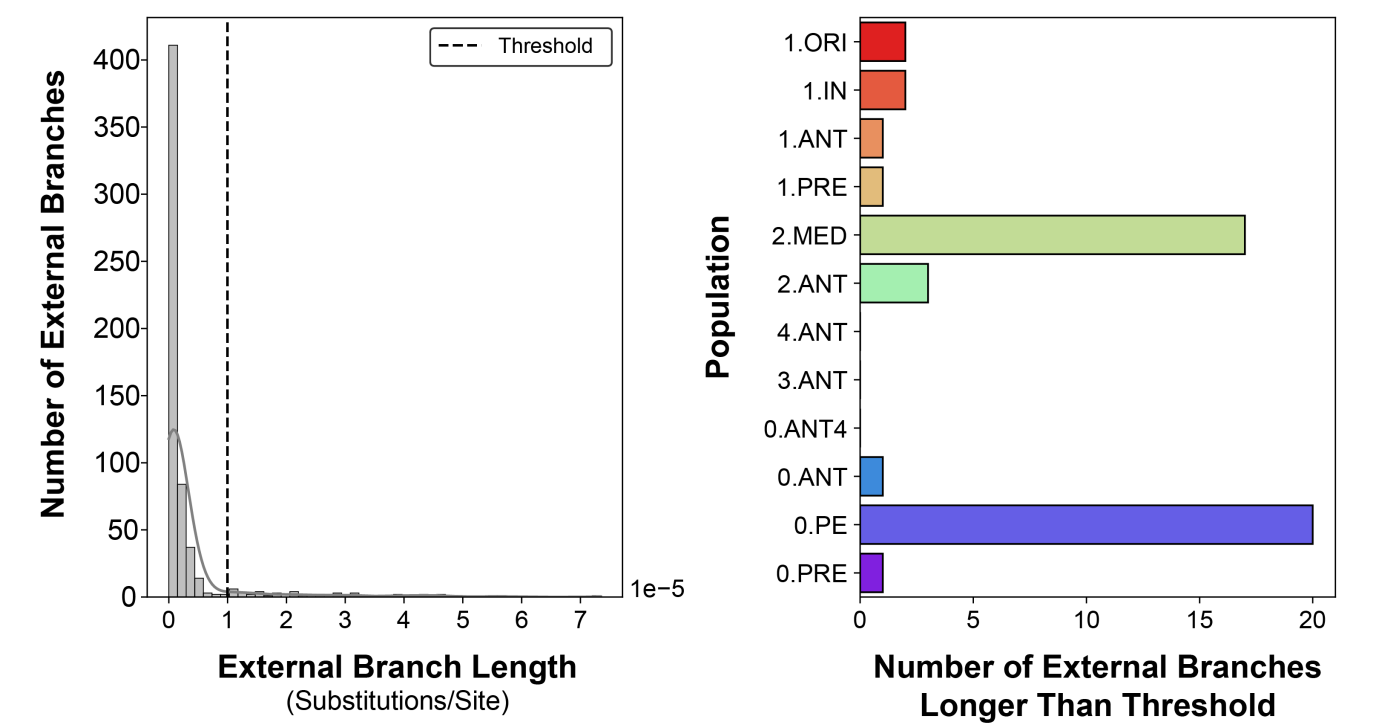


Figure 4: The distribution of external branch length (left) and the prevalence of long external branches across *Y. pestis* populations (right).