

Rethinking Felony Disenfranchisement: The Case of New York City and Miami

Kevin Morris

April 22, 2019

“There is no Negro problem. There is no Southern problem. There is no Northern problem. There is only an American problem”

-Lyndon Johnson, March 15, 1965

Introduction

Felony disenfranchisement has received much attention over the past few years. This is true in both the academic world (where researchers have dug into the political ramifications in such races as the 2000 presidential election) and in the political world as states such as Florida and Louisiana have gradually moved to dismantle their systems of disenfranchisement. Throughout this process, however, many researchers have focused on the *individual* effects of felony disenfranchisement. They have examined the effect of disenfranchisement on the political participation of individuals, including after they are no longer disenfranchised, but have generally neglected to examine the intersection of spatially concentrated policing and incarceration patterns with the political effects of disenfranchisement. This paper seeks to increase our understanding of the spatial implications of felony disenfranchisement by examining the character of disenfranchisement in New York City and Miami-Dade County in Florida.

This paper also seeks to complicate the narratives surrounding the end of felony disenfranchisement. Although advocates are correct to push for an end to disenfranchisement, a change to the political system may not be sufficient to reincorporate the voices of formerly incarcerated individuals into the democratic process. In addition to laying out the spatial implications of felony disenfranchisement in two major American cities, this paper also explores the impact of two different policies intended to dismantle the disenfranchisement program. Specifically, I investigate the effect of Executive Order 181 (which ended the disenfranchisement of parolees in New York State) and Amendment 4 (which restored the voting rights of Floridians who had completed all terms of their sentences). The effects of these policies make clear that, while the end of felony disenfranchisement is necessary, active steps must be taken to reincorporate the formerly disenfranchised.

Background of Felony Disenfranchisement in the United States

In all but two states (Maine and Vermont), felony disenfranchisement laws ensure that American citizens convicted of felony offenses lose the right to vote for at least some period of time. In some states, such as Oregon and Massachusetts, individuals lose that right only for the period in which they are actively incarcerated. In other states, notably Kentucky and Iowa, felony convictions result in lifelong disenfranchisement unless a returned citizen receives an individual pardon from the state’s governor (Brennan Center for Justice 2018). This variation in laws flows directly from language in the Fourteenth Amendment which allows states to revoke individuals’ voting rights “for participation in rebellion, or other crime.” The definition of “other crime,” left so vague in the Constitution, is now generally used by states to encompass any felony offense at all. The Supreme Court, in cases such as *Richardson v. Ramirez*, has upheld states’ right to do just that. Collectively, these laws disenfranchise as many as 4.7 million American citizens. Of these, the majority

are no longer incarcerated, but are living and working in their communities (Uggen, Larson, and Shannon 2016).¹

In any discussion of felony disenfranchisement in the United States, it is imperative to acknowledge the central role played by race and white supremacy. As Traci Burch has explained, “If policies restricting the voting rights of offenders disparately affect one racial group or party, it is because such policies were intended to” (Burch 2010). The historical record is undeniable. Previous research established that the presence of nonwhite potential voters is associated with the implementation of felony disenfranchisement policies and that these policies were often adopted during Jim Crow to reduce the political power of Black Americans (Behrens, Uggen, and Manza 2003). In Florida felony disenfranchisement was added to the state constitution in 1868. Afterwards, a lawmaker boasted that the effort had been made in order to prevent Florida from being “niggerized” (Shofner 1963).

The racial imbalance of felony disenfranchisement laws are not confined to the 19th century. Although the Voting Rights Act of 1965 did much to improve access to the ballot box for minorities, it did nothing to undermine the explicitly racialized system of disenfranchisement. Indeed, as the United States has vastly increased the reach of the carceral system in the post-Civil Rights era, the implications of felony disenfranchisement have only grown. As of 2016, more than 10 percent of African Americans were disenfranchised in 9 states. In Kentucky, the state with the highest level of disenfranchised Black residents, more than one in four Black adults are barred from casting a ballot. Although Black adults made up just 12.1% of the voting age population in 2016, they accounted for 36.5% of the disenfranchised population (Uggen, Larson, and Shannon 2016).

Academic Literature and Felony Disenfranchisement

In the aftermath of the 2000 presidential election, academic interest in the political implications of felony disenfranchisement was stirred thanks to a paper from Christopher Uggen and Jeff Manza (2002). George W. Bush’s margin of victory in Florida in 2000 was famously just 537 votes. In their 2002 paper, Uggen and Manza estimate the likely partisan composition of the disenfranchised population with felony convictions in their past. They estimate that, if this group had been allowed to vote, they would have supported Al Gore. Their enfranchisement, Uggen and Manza posit, would have tipped the presidential contest and resulted in the election of Al Gore. They based their estimates on the voting patterns of *non*-disenfranchised individuals who were demographically similar to the disenfranchised population. Though much of the research conducted since their 2002 study has undermined some of their key assumptions (namely, that formerly incarcerated individuals turnout and cast ballots similarly to those who have not been incarcerated), Uggen and Manza convincingly demonstrated that felony disenfranchisement can have material political consequences. In the years after the Uggen and Manza published their paper, scholars sought to investigate the effect of felony disenfranchisement on African American turnout (Miles 2004), young adult turnout (Hjalmarsson and Lopez 2010), and other questions. Some of this research compared states and regions with differing disenfranchisement regimes to estimate these effects (Miles 2004; Ochs 2006). Others have used survey data or interviews to construct their estimates (Uggen and Manza 2004; Drucker and Barreras 2005).

In a series of papers in between 2009 and 2011, researchers developed methods for directly estimating the turnout of formerly disenfranchised individuals. Haselswerdt (2009) matched release data and voter registration data from Erie County, NY, to estimate turnout among a small group of formerly incarcerated individuals. Traci Burch (2010; 2011) expanded upon this matching methodology to estimate the voting patterns of formerly disenfranchised individuals in a range of states. She uses release data from states’ Departments of Corrections and their Registered Voter Files to estimate the number of formerly incarcerated individuals who went on to register to vote. Using the Registered Voter Files, she is also able to estimate the party affiliation of formerly incarcerated individuals (in states with party registration) and the turnout rate among these individuals. Her methodology has been used to investigate other questions surrounding

¹The figures reported in Uggen, Larson, and Shannon (2016) have been adjusted to reflect the impact of Amendment 4 in Florida.

the voting patterns of formerly incarcerated individuals under different circumstances and to examine the impact of changes in disenfranchisement policy (Meredith and Morse 2013; Meredith and Morse 2015).

A number of papers have also explored the impact that felony disenfranchisement policies have on turnout among non-disenfranchised residents. King and Erickson (2016), for instance, leverage state-level variation in disenfranchisement laws to estimate the impact that felony disenfranchisement has on turnout among African Americans. They use data from the 2004 Current Population Survey Voting and Registration Supplement to determine individual-level turnout. They include estimates of the share of African Americans who are disenfranchised in each state from Manza and Uggen (2006) to explore the impact of these policies on eligible voters. Ultimately, they argue that “African American disenfranchisement plays a unique role in predicting African American voter turnout.” They conclude that disenfranchisement has spillover effects for African American voters: where more African Americans are disenfranchised, eligible African Americans are less likely to cast a ballot. These findings are in line with other research that has explored whether the effects of disenfranchisement extend beyond those whose voting rights are directly suspended (Bowers and Preuhs 2009; Ochs 2006; Walker 2014). As Bowers and Preuhs (2009) sum up: “[I]t is not solely the direct vote of ex-felons that is denied through these laws. [Felony disenfranchisement] impacts the political power of communities that extends beyond felons’ collateral penalty.”

These findings are not surprising given the large body of research establishing the social nature of voting. Outside the felony disenfranchisement conversation, an enormous body of research has demonstrated that individuals are far more likely to cast a ballot if their peers, family members or neighbors are doing so. [Build this out]

Although scholars have established that felony disenfranchisement decreases turnout among Black voters at the *state* level, relatively little research has been done on how felony disenfranchisement operates at the sub-state level. Though we know that Black voters are generally less likely to cast a ballot when they live in a state with strict disenfranchisement laws, less work has been done exploring the types of neighborhoods in which these effects are concentrated, and the impact these laws might have at the local level.

Spatial Effects of Concentrated Policing and Incarceration

Previous literature has called attention to the racially disparate impacts of felony disenfranchisement in the United States. It has often failed, however, to explore the ways in which the disenfranchisement of individuals leads to a loss of political power for community members

Framing for Turnout Effects

Although understanding whether felony disenfranchisement has impacts on turnout among different social groups (namely, racial minorities) is of great importance, understanding the explicitly spatial dimension of the problem is also an important task. Many political decisions bear on the spatial distribution of resources (XX); funding for parks or schools, for instance, can impact all members of a neighborhood, regardless of their race or their income. As such, even a resident who is not directly affected by felony disenfranchisement policies may lose political power thanks to these policies; if many of my neighbors cannot vote, I am joined by fewer voters in advocating for neighborhood-specific investment and services. This is, of course, tied up closely with race; New York City remains highly racially segregated, and therefore disentangling neighborhood effects from race effects becomes difficult. Nevertheless, these effects cross race lines in integrated neighborhoods.

Of course, despite dire over-incarceration in some neighborhoods, the number of incarcerated individuals is relatively low compared to the number of voters. In New York, for instance, 46,232 were imprisoned in New York State in early 2019, compared with XX actively registered voters. Despite the lower share of residents who are disenfranchised through imprisonment, there is reason to believe the policy impacts more individuals than just those imprisoned. As discussed above, previous research has demonstrated that felony disenfranchisement reduces turnout even among Black voters whose rights are not stripped. This

research has found, in particular, that eligible Black voters are less likely to cast a ballot in states where felony disenfranchisement policies are harsher. Understanding the distribution of this effect is important for understanding its political implications. Are voters who live in the same neighborhood as the disenfranchised less likely to cast a ballot because their neighbors have been removed from the community? Or does felony disenfranchisement operate cast its chilling effect on turnout more broadly, crossing even into neighborhoods where voters have not been removed from the community?

This paper begins by examining whether felony disenfranchisement is in fact associated with lower turnout rates in a given neighborhood.² As discussed above, this depressive effect on turnout is expected to operate through two distinct mechanisms: the actual incarceration and disenfranchisement of convicted individuals, and the social effects on eligible voters identified in previous research. These turnout effects are estimated in various ways: by comparing neighborhoods where voters with a history of participating have been incarcerated with similar neighborhoods where voters have not been incarcerated; by using regression analysis to investigate whether neighborhood arrests are correlated with turnout rates;; and by using regression analysis to investigate the relationship between prison admissions and turnout at the zip-code level.

Data

Criminal Justice Data

This paper employs multiple data sets to investigate the extent to which a neighborhood is directly impacted by felony disenfranchisement. The primary data set comes from a freedom of information request filed by the author to obtain individual-level incarceration records for individuals sentenced to incarceration in New York State since 1992. The data includes a host of information, including: first and last name; date of birth; class of offense; incarceration start and end dates; dates of parole; and others. This analysis is limited to individuals incarcerated for felony offenses. Individuals convicted of misdemeanors are not disenfranchised in New York State.

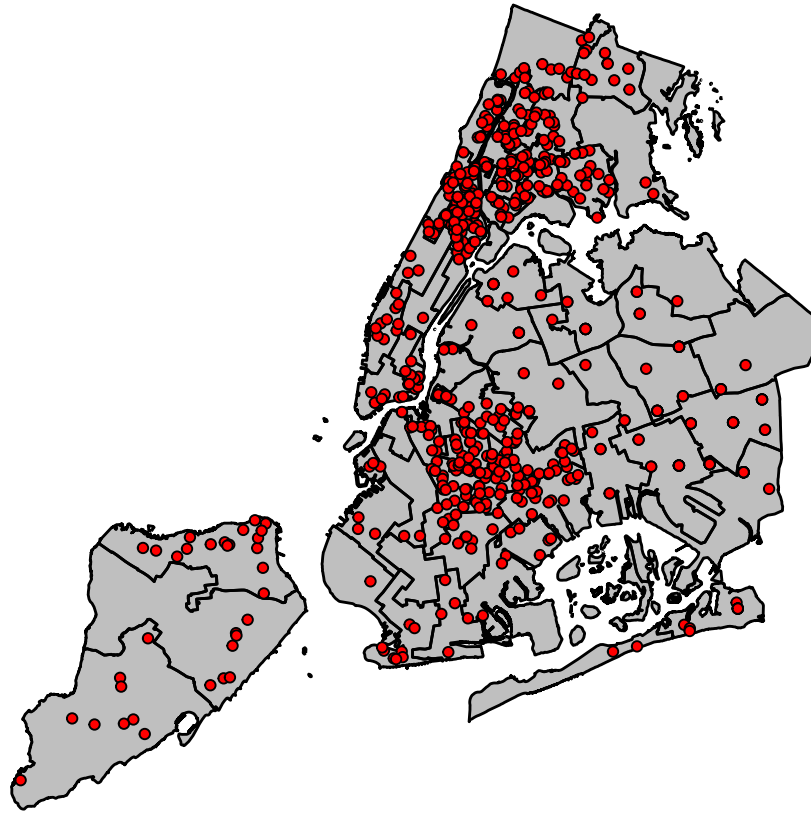
The City of New York also makes the location of all NYPD arrests since 2006 available publicly. This data includes the latitude and longitude of the arrest, the date of the offense, and the class of the alleged offense. This analysis uses only arrests for felony charges.

The third dataset used to estimate the extent to which a neighborhood is directly impacted by felony disenfranchisement policies in New York State comes from another freedom of information request. The individual-level incarceration data referenced above does not include information about the neighborhood an incarcerated person lived in prior to their conviction. Although the State of New York does not make this information available at the individual level, the author has received prison-admission counts by zip-code for the years XX - XX.

Voter File Data

Most states in the United States are required to maintain files with information on all registered voters in the state. In New York, this information is publicly available from the Board of Elections. It includes information on all registered voters, including: first and last name; date of birth; home address; vote history; and other information. The New York State Voter File also includes information on voters who were previously registered but have since been purged, either because they moved, died, or were incarcerated for a felony offense. Because the voter file includes information on voters who have been purged because of a felony conviction, we are able to reconstruct the vote history of individuals who are no longer registered because of their conviction.

²As discussed below, “neighborhood” is difficult to define. I explore turnout differentials at both the census block group and census tract level.



Sources: NYSBOE, NYSDOCCS

Figure 1: Individuals Incarcerated in 2017 Who Voted Between 2007 and 2016

Method

Matching

Method.³ ##Geocoding {-}

Maps

As we can see in Figure 1, all these other observations

³Matching on first and last names and dates of birth can result in false positive matches, especially in large states like New York. Following Meredith and Morse (2013), I report estimates of the potential impact of these false positives in the Appendix.

Match Output

Table 1: Results of Tract-Level Matching

	Means: Unmatched Data		Means: Matched Data		Percent Improvement			
	Treated	Control	Treated	Control	Mean Diff	eQQ Med	eQQ Mean	eQQ Max
% Latino	0.33	0.25	0.33	0.33	95.18	83.96	82.74	71.98
% Non-Hispanic Black	0.38	0.18	0.38	0.37	95.17	91.18	88.78	84.33
% Non-Hispanic White	0.18	0.38	0.18	0.18	98.53	95.54	93.88	82.93
Median Income	51,420.55	68,664.13	51,420.55	52,861.62	91.64	87.39	86.17	75.38
% With Some College	0.64	0.70	0.64	0.65	85.80	88.76	86.30	75.55
Median Age	35.69	37.71	35.69	35.39	85.26	67.74	79.61	80.38
Voting Age Population	3,821.82	2,991.19	3,821.82	3,688.95	84.00	92.43	91.58	81.73
% Democrats	0.75	0.65	0.75	0.74	92.56	89.80	89.09	84.21

Reg table

Table 2: Census Tract Matching Regression

<i>Dependent variable:</i>	
Turnout Rate	
D(Tract Lost a Voter)	−0.002 (0.004)
Constant	0.123*** (0.002)
Observations	1,703
R ²	0.0002
Adjusted R ²	−0.0004
Residual Std. Error	0.184 (df = 1701)
F Statistic	0.310 (df = 1; 1701)
<i>Note:</i> *p<0.1; **p<0.05; ***p<0.01	

xxx

Table 3: Results of Block Group-Level Matching

	Means: Unmatched Data		Means: Matched Data		Percent Improvement			
	Treated	Control	Treated	Control	Mean Diff	eQQ Med	eQQ Mean	eQQ Max
% Latino	0.34	0.27	0.34	0.35	93.87	89.34	86.61	80.96
% Non-Hispanic Black	0.40	0.20	0.40	0.39	95.16	94.51	93.22	88.75
% Non-Hispanic White	0.15	0.36	0.15	0.15	99.75	96.54	95.33	89.12
Median Income	50,469.36	69,434.79	50,469.36	51,241.18	95.93	92.39	88.33	70.11
% With Some College	0.63	0.70	0.63	0.64	90.69	91.83	90.12	82.80
Median Age	35.71	38.01	35.71	35.76	98.04	64.54	75.23	74.06
Voting Age Population	1,290.54	1,085.79	1,290.54	1,238.37	74.52	81.68	79.26	72.00
% Democrats	0.76	0.67	0.76	0.76	96.46	94.38	93.48	89.78

Reg table

Table 4: Census Block Group Matching Regression

	<i>Dependent variable:</i>
	Turnout Rate
D(Block Group Lost a Voter)	−0.003 (0.002)
Constant	0.122*** (0.002)
Observations	4,588
R ²	0.0003
Adjusted R ²	0.0001
Residual Std. Error	0.241 (df = 4586)
F Statistic	1.482 (df = 1; 4586)
<i>Note:</i>	*p<0.1; **p<0.05; ***p<0.01

more text

References

- Behrens, Angela, Christopher Uggen, and Jeff Manza. 2003. "Ballot Manipulation and the Menace of Negro Domination: Racial Threat and Felon Disenfranchisement in the United States, 1850-2002." *American Journal of Sociology* 109 (3). University of Chicago Press: 559–605. doi:10.1086/378647.
- Bowers, Melanie, and Robert R. Preuhs. 2009. "Collateral Consequences of a Collateral Penalty: The Negative Effect of Felon Disenfranchisement Laws on the Political Participation of Nonfelons." *Social Science Quarterly* 90 (3). Wiley: 722–43. doi:10.1111/j.1540-6237.2009.00640.x.
- Brennan Center for Justice. 2018. "Criminal Disenfranchisement Laws Across the United States." <https://www.brennancenter.org/criminal-disenfranchisement-laws-across-united-states>.
- Burch, Traci. 2010. "Did Disfranchisement Laws Help Elect President Bush? New Evidence on the Turnout Rates and Candidate Preferences of Florida's Ex-Felons." *Political Behavior* 34 (1). Springer Nature: 1–26. doi:10.1007/s11109-010-9150-9.
- . 2011. "Turnout and Party Registration Among Criminal Offenders in the 2008 General Election." *Law and Society Review* 45 (3). Wiley-Blackwell: 699–730. doi:10.1111/j.1540-5893.2011.00448.x.
- Drucker, Ernest, and Ricardo Barreras. 2005. "Studies of Voting Behavior and Felony Disenfranchisement Among Individuals in the Criminal Justice System in New York, Connecticut, and Ohio." Research report. Sentencing Project. https://www.prisonpolicy.org/scans/sp/fd_studiesvotingbehavior.pdf.
- Haselswerdt, Michael V. 2009. "Con Job: An Estimate of Ex-Felon Voter Turnout Using Document-Based Data." *Social Science Quarterly* 90 (2). Wiley: 262–73. doi:10.1111/j.1540-6237.2009.00616.x.
- Hjalmarsson, R., and M. Lopez. 2010. "The Voting Behavior of Young Disenfranchised Felons: Would They Vote If They Could?" *American Law and Economics Review* 12 (2). Oxford University Press (OUP): 356–93. doi:10.1093/aler/ahq004.
- King, Bridgett A., and Laura Erickson. 2016. "Disenfranchising the Enfranchised." *Journal of Black Studies* 47 (8). SAGE Publications: 799–821. doi:10.1177/0021934716659195.
- Manza, Jeff, and Christopher Uggen. 2006. *Locked Out: Felon Disenfranchisement and American Democracy*. Oxford University Press.
- Meredith, Marc, and Michael Morse. 2013. "Do Voting Rights Notification Laws Increase Ex-Felon Turnout?" Edited by Christopher Wildeman, Jacob S. Hacker, and Vesla M. Weaver. *The ANNALS of the American Academy of Political and Social Science* 651 (1). SAGE Publications: 220–49. doi:10.1177/0002716213502931.
- . 2015. "The Politics of the Restoration of Ex-Felon Voting Rights: The Case of Iowa." *Quarterly Journal of Political Science* 10 (1). Now Publishers: 41–100. doi:10.1561/100.00013026.
- Miles, Thomas J. 2004. "Felon Disenfranchisement and Voter Turnout." *The Journal of Legal Studies* 33 (1). University of Chicago Press: 85–129. doi:10.1086/381290.
- Ochs, Holona Leanne. 2006. "'Colorblind' Policy in Black and White: Racial Consequences of Disenfranchisement Policy." *Policy Studies Journal* 34 (1). Wiley: 81–93. doi:10.1111/j.1541-0072.2006.00146.x.
- Shofner, Jerrell H. 1963. "The Constitution of 1868." *The Florida Historical Quarterly* 41 (4). Florida Historical Society: 356–74. <http://www.jstor.org/stable/30139965>.
- Uggen, Christopher, and Jeff Manza. 2002. "Democratic Contraction? Political Consequences of Felon Disenfranchisement in the United States." *American Sociological Review* 67 (6). SAGE Publications: 777. doi:10.2307/3088970.
- . 2004. "Lost Voices: The Civic and Political Views of Disfranchised Felons." In *Imprisoning America: The Social Effects of Mass Incarceration*, edited by Mary Pattillo, David Weiman, and Bruce Western, 165–204. New York: Russell Sage Foundation.
- Uggen, Christopher, Ryan Larson, and Sarah Shannon. 2016. "6 Million Lost Voters: State-Level Estimates

of Felony Disenfranchisement, 2016.” Research report. Sentencing Project. <https://www.sentencingproject.org/publications/6-million-lost-voters-state-level-estimates-felony-disenfranchisement-2016/>.

Walker, Hannah L. 2014. “Extending the Effects of the Carceral State. Proximal Contact, Political Participation, and Race.” *Political Research Quarterly* 67 (4). SAGE Publications: 809–22. doi:10.1177/1065912914542522.