Turnout and Amendment 4: Mobilizing Eligible Voters Close to the Formerly Incarcerated

December 08, 2020

5 Abstract

Recent scholarship has established a link between felony disenfranchisement and lower turnout, particularly in Black communities. Little work, however, has been done to interrogate how this depressive effect might be counteracted. In 2018, Amendment 4 was on the ballot in Florida, and promised to re-enfranchise most of the disenfranchised population. The presence of this ballot initiative offers a unique opportunity to investigate whether ballot initiatives of special interest to these impacted communities might ameliorate some of the depressed turnout. Using individual-level release records from the Florida Department of Corrections I test whether the ballot initiative mobilized neighborhoods and individuals in close proximity to formerly incarcerated individuals. Using multiple identification strategies, I find no evidence that Amendment 4 increased the turnout of these neighborhoods or eligible voters, indicating that even greater engagement and investment must be made to overcome the depressed turnout.

19 Introduction

On November 6th, 2018, Floridians voted to amend their state constitution to re-enfranchise individuals with felony convictions in their past (Taylor 2018). The move was hailed as 21 transformative for Floridian — and American — democracy; Uggen, Larson, and Shannon (2016) had estimated a few years earlier that some 1.5 million Floridians were disenfranchised and had finished serving their sentences, making the amendment the largest expansion of the franchise in the United States since the Twenty-sixth Amendment lowered the voting age 25 to 18. The amendment received broad support. Although it needed just 60 percent of the vote to pass, 64.5 percent of voters supported the ballot initiative. This support contrasts 27 sharply with other statewide races: Ron DeSantis won the gubernatorial race with only 49.5 percent of the vote, while winning just 49.9 percent sent Rick Scott to the United States Senate. Prior to 2018, Floridians convicted of felony offenses were permanently disenfranchised unless 31 they applied for and received an individual pardon from the state's clemency board. This 32 was characterized by a "low success rate, cumbersome process, and lengthy amount of time" 33 (B. L. Miller and Spillane 2012b, 432) and was driven in part by gubernatorial discretion: although Charlie Crist restored voting rights to roughly 150 thousand individuals over a 4 35 year period, Rick Scott did so for fewer than 3 thousand people over 8 years (Schlakman 2018). At the time Amendment 4 was passed, it was widely reported that the backlog of 37 applications was nearly 10,000 and the wait stretched for as long as a decade (Ramadan, Stucka, and Washington 2018). Over the years, Florida's procedure was subject to numerous lawsuits, and was ruled unconstitutional in early 2018 with Judge Mark Walker describing it as "a gauntlet of constitutionally infirm hurdles." Amendment 4 promised to automatically restore voting rights once individuals had completed their sentence, though it did not apply to individuals convicted of murder or sexual offenses.

 $^{^1\}mathrm{Hand}$ et al. v. Scott et al., 4:17cv128-MW/CAS (U.S. District Court for the Northern District of Florida 2018).

This study explores whether the opportunity to vote on Amendment 4 increased participation among eligible voters who lived with or near individuals disenfranchised due to a period of felony incarceration. Americans' political knowledge is deeply shaped by the incarceration of a loved one (Lee, Porter, and Comfort 2014), and exposure to the carceral state chills political involvement even among individuals who are not convicted. The criminal justice system can leave even would-be voters without a criminal record feeling as though political involvement is not for "people like me," often despite having considerable political knowledge (Lerman and Weaver 2014). A growing body of quantitative research captures these "spillover" effects, 51 demonstrating that neighborhoods with high levels of incarceration and disenfranchisement vote at markedly lower rates than other similar neighborhoods (e.g. Burch 2013; Morris 2020). Amendment 4 in Florida offers a unique opportunity to investigate whether these chilling effects can be overcome by a ballot initiative. As I explain in the section that follows, Amendment 4 offered individuals living with or near formerly incarcerated individuals an 57 opportunity to redefine their relationship with the government in positive ways. Although

this made the ballot initiative perhaps particularly salient for these individuals, it took

place against the backdrop of an entrenched carceral state that negatively structured many

facets of their lives (see, for instance, Travis and Waul 2003). It is unclear whether the

ballot initiative was sufficient to bring these individuals into the voting booth, or if political

reincorporation will demand longer-term investment in these communities.

⁶⁴ Theory and Literature

61

It is well established that a criminal conviction — and, more specifically, a period of incarceration — decreases turnout even when individuals are no longer legally disenfranchised (Weaver and Lerman 2010; Burch 2011; White 2019; but see Gerber et al. 2017). The effect of disenfranchisement policy on the political behavior of individuals who experience

the criminal justice system indirectly via the conviction of a family or community member, however, is somewhat mixed. Most research finds that turnout is measurably lower in states with stricter voter disenfranchisement policies or more disenfranchised citizens (e.g. Bowers 71 and Preuhs 2009; King and Erickson 2016), though Miles (2004) argues that these effects are 72 small. The little research that has explored the spillover effects of disenfranchisement policy 73 at the neighborhood level has similarly found evidence that incarceration and disenfranchisement demobilizes eligible voters in impacted communities (Burch 2013; Morris 2020). 75 Understanding whether Amendment 4 was likely to recoup the lost turnout of eligible voters 76 who lived with or near the disenfranchised requires understanding how their indirect expo-77 sure to the criminal justice system (or "proximal contact" (Walker 2014)) depressed turnout to begin with. The criminal justice system structures individuals' relationship with the government — and willingness to participate in electoral politics — in many ways. The shame and stigma associated with having a felony conviction in one's past (Austin 2004; Uggen, Manza, and Behrens 2004; Miller and Agnich 2016) can cause individuals to withdraw, and work from Vesla Weaver and Amy Lerman (2010; 2014) describes in great detail how how this process

associated with having a felony conviction in one's past (Austin 2004; Uggen, Manza, and
Behrens 2004; Miller and Agnich 2016) can cause individuals to withdraw, and work from
Vesla Weaver and Amy Lerman (2010; 2014) describes in great detail how how this process
plays out. They argue that a felony conviction serves as "a durable constraint and marker of
their citizenship" (Lerman and Weaver 2014, 133), and that custodial citizens — individuals
in communities with aggressive crime control who may or may not have a criminal history
— "become less likely to believe that they (and those like them) can change the system, a
reduction in external efficacy" (Lerman and Weaver 2014, 137, emphasis in the original).
Their work is replete with examples of individuals who know much about politics yet choose
to "stay below the radar" because "'they're [government officials] not interested in what I
have to say'" (Lerman and Weaver 2014, 210). Importantly, these demobilizing consequences
are not limited to those who are convicted; rather, "the correctional system becomes a
primary mode of political socialization for families of the incarcerated" through which spouses
and children learn to distrust and avoid interaction with the state (Lee, Porter, and Comfort

2014, 45; see also Comfort 2008, 2016; Kirk 2016). There is, however, some evidence that these chilling effects on political participation can be overcome. Recent work demonstrates that direct and indirect contact with the criminal justice system can be mobilizing when these experiences are linked with narratives of injustice (Walker and García-Castañon 2017; Walker 2020).

Of course, there is no bright line dividing individuals with *indirect* exposure to the crim-101 inal justice system from individuals with their own, direct exposure to the carceral state. 102 The geographic concentration of policing and incarceration patterns (e.g. Gelman, Fagan, 103 and Kiss 2007) mean that individuals in community with the formerly incarcerated might 104 also have other, direct relationships with the criminal justice system. In 2017 there were 105 711,831 arrests in Florida but just 134,554 guilty felonious dispositions.² Although individ-106 uals who were arrested but not convicted of felonies were not legally disenfranchised, even 107 low-level interactions can have a chilling effect on one's relationship with the government. 108 While Amendment 4 would not directly impact these individuals' eligibility to vote, it could nonetheless lead them to re-evaluate their own relationship with the government.

It seems that both the substance of the proposed constitutional amendment and the mes-111 saging used by the campaign supporting its passage could have lessened some of the social 112 barriers to voting. Restoring voting rights to individuals who had been convicted of felony 113 offenses would end the "civil death" of felony disenfranchisement (Ewald 2002; B. L. Miller 114 and Spillane 2012a), nullifying one of the durable badges identified by Lerman and Weaver. 115 Amendment 4 offered those in community with the formerly incarcerated the chance to af-116 firm that their family and community members deserved to have their voices heard in the 117 democratic arena, potentially spurring them to participate. 118

Moreover, the public messaging employed by the Amendment 4 campaign was explicitly designed to change how voters understood the citizenship of disenfranchised individuals.

The campaign cast the ballot initiative as an issue of fairness, criticizing Florida's existing

 $^{^2} See\ http://edr.state.fl.us/Content/resource-demand/criminal-justice/reports/criminal-justice/cj7.pdf.$

disenfranchisement policy for creating two tiers of citizenship. The organization leading the campaign leveraged the notion that disenfranchised citizens deserved to be re-incorporated 123 into the body politic in its very name — "Second Chances Florida." The framing was 124 effective: the editorial boards of each of Florida's three biggest newspapers endorsed the 125 amendment, all using language related to fairness and civic redemption. The Tampa Bay 126 Times told readers they had a "remarkable opportunity to remedy that unfairness" (Tampa 127 Bay Times 2018); the Sun Sentinel informed voters "[t]here may never be an opportunity 128 to do a better thing than to vote yes on this reform" (Sun Sentinel 2018); and the Orlando 129 Sentinel said that Florida's then-policy "denie[d] our fellow citizens a second chance. It 130 denie[d] redemption" (Orlando Sentinel 2018). Insofar as the campaign was successful at 131 helping these individuals understand the experiences of their formerly incarcerated family 132 and community members in the context of a broader narrative of (racial) injustice, they may 133 have been mobilized to vote. 134

In addition to newspapers across the state, the campaign deployed "volunteers from a broad 135 coalition that included advocacy groups, Christian organizations, the League of Women Voters, criminal justice experts and, of course, those who had been convicted of felonies" 137 (Robles 2018). Andrew Gillum, the Democratic gubernatorial candidate, also vocally sup-138 ported the amendment, openly discussing his family's relationship with the criminal justice 139 system and his own sibling's disenfranchisement (Smith 2018). Voters were thus getting cues 140 from all sorts of messengers that Amendment 4 deserved to be passed, and that individuals 141 with convictions in their past should be allowed to vote. These cues, plus the descriptive 142 representation (Merolla, Sellers, and Fowler 2013) promised by Gillum, could have proved 143 mobilizing. 144

At the same time, there is some reason to think the ballot initiative might not increase turnout among voters in close contact with formerly incarcerated, disenfranchised individuals. The ruptures discussed above of the citizen's relationship with the government engendered by the carceral state are deep: individuals "learn" their place in this system over a

very long period, both through incarceration and day-to-day interactions with government representatives such as the police. It is perhaps naive to expect that a single ballot initiative could overcome these negative forces.

Moreover, the individuals in these neighborhoods were perhaps less familiar with the content
of Amendment 4 than others: Bowler and Donovan (1994), for instance, demonstrates that
education and polarization are strong predictors of individuals' familiarity with ballot initiatives. Shaker (2012) also finds that higher-educated individuals are more knowledgeable
about local politics. Given that formerly incarcerated individuals leave prison for neighborhoods with lower levels of education (see Table 2 below), their neighbors and housemates
may have been less aware of the amendment in the first place, in which case it obviously
would not motivate them to cast a ballot.

60 Research Design and Expectations

I begin by testing whether a neighborhood's formerly incarcerated population influenced its turnout in 2018. Because statewide felony probation records are not available, this analysis is based on only the subset of disenfranchised individuals who were imprisoned for a felony conviction. Neighborhoods that are home to formerly incarcerated individuals are identified by geocoding release records from the Florida Department of Corrections, and I offer two definitions of neighborhoods.

Neighborhoods are first defined as precincts. The Florida Division of Elections makes election results available at this level, which allows me to test turnout specifically on Amendment 4 and neighborhood-level support for the amendment. I can also assess how salient the amendment was for participants by estimating the share of voters who "rolled off" (or chose not to vote) for Amendment 4. Unfortunately, the use of precinct-level data leaves us with a major drawback: when doing analysis at this level, bias-free turnout denominators are hard to come by. Because the Census Bureau does not produce population estimates for individual

voting precincts, turnout cannot be calculated by dividing the number of ballots cast by the
eligible population; rather, it must be constructed as a share of registered voters. If there
is a relationship between the number of formerly incarcerated residents and the registration
rate of a neighborhood, our estimates will be biased.

That could be the case in the study at hand. Political organizers may have focused on registering eligible residents in neighborhoods where disenfranchised individuals lived. If these organizers registered many new voters but a relatively small share of the new voters actually turned out, the net effect might be higher turnout among *eligible residents* but lower turnout among *registered voters*. For further discussion of how improper denominators can bias turnout estimates, see Amos, McDonald, and Watkins (2017) and Amos and McDonald (2020).

To address this potential problem, I also define neighborhoods as Census block groups. The 185 Census Bureau makes estimates of the citizen voting-age population (a better denominator 186 for turnout) available at this level. In this case, however, I must use a geocoded voter file 187 to determine turnout. Because I aggregate the number of participants in a block group 188 from individual-level data, I cannot determine whether an individual actually participated 189 in the contest for Amendment 4 or they rolled off. Similarly, I am unable to interrogate the 190 relationship between block group characteristics and support for Amendment 4. Although 191 each definition of neighborhood presents some drawbacks, the two definitions together paint 192 a full picture. 193

After examining whether the presence of formerly incarcerated residents was related with neighborhoods' voting behavior, I ask whether voters who lived with formerly incarcerated individuals turned out at higher rates in 2018. For this analysis, I use the release addresses of formerly incarcerated individuals (the most recent address available, according to the Department of Corrections) and voter file data to identify registered voters who lived with formerly incarcerated individuals. Voters are considered "treated" if they lived with a for-

merly incarcerated individual, and "untreated" otherwise. I then use a variety of individualand neighborhood-level characteristics to match treated and untreated voters using a genetic algorithm (Sekhon 2011).

After matching these voters, I employ a difference-in-differences specification to determine
whether treated voters participated at higher rates in the 2018 election. These analyses
are run for all voters who lived with a formerly incarcerated individual, as well as only
the subset of households whose members have not been to prison for many years. This
final specification allows me to disentangle the depressive effect of indirect exposure to the
criminal justice system from the mobilizing effect of Amendment 4 in 2018 by incorporating
any depressive effect into the pre-2018 baseline.

Table 1 summarizes the specific hypotheses this manuscript tests.

Table 1: Hypotheses

	Hypothesis	Approach						
Neigh	Neighborhood Level							
1a.	Each additional formerly incarcerated resident in a voting precinct	OLS regression						
	is associated with increased turnout among registered voters in that							
	precinct.							
1b.	Each additional formerly incarcerated resident in a Census block	OLS regression						
	group is associated with increased turnout among eligible citizens in							
	that block group.							
2.	Each additional formerly incarcerated resident in a voting precinct is	OLS regression						
	associated with increased support for Amendment 4 in that precinct.							
3.	Each additional formerly incarcerated resident in a voting precinct	OLS regression						
	is associated with decreased roll-off in that precinct.							
House	ehold Level							
4.	Amendment 4 increased turnout in 2018 among household members	Difference-in-differences						
	of formerly incarcerated individuals. This treatment effect was	comparing turnout of voters in						
	especially large among households whose members have not been to	treated households to voters in						
	prison for many years.	untreated households.						

Data

- 212 I leverage multiple data sources to investigate whether individuals in community with for-
- 213 merly incarcerated Floridians were more likely to vote in the 2018 election.

214 Department of Corrections Data

- ²¹⁵ Felony incarceration records come from the Florida Department of Corrections' Offender
- ²¹⁶ Based Information System (OBIS). The OBIS includes all individuals released from prison
- following a felony conviction since October 1, 1997. There were approximately 390 thousand

such individuals. I retain only the record associated with an individual's most recent incarceration according to the release date, and identify all formerly incarcerated individuals who
were finished with their sentence as of the 2018 election by cross-referencing these records
against imprisonment and parole records. Roughly 37 thousand individuals were either reincarcerated or on parole as of the 2018 election and are thus removed. The 6 thousand
individuals who died or absconded before their sentence was completed are also removed
from the dataset, leaving us with 343 thousand individuals who had finished their sentence
by the time of the 2018 midterm election.

The OBIS provides the "release plan address" for individuals who were formerly incarcerated. 226 As noted above, this is the most recent address available for individuals who are no longer 227 under supervision. 3 The address data are messy and require substantial cleaning. In some 228 cases, the address field is left blank; in others, the record simply notes the road or the town 229 of the individual's residence, without providing full address information. I assume that any record that does not begin with an integer does not have a full address and cannot be used 231 (this results in the exclusion of just under 3 percent of records). The remaining addresses are geocoded. Individuals whose addresses were geocoded outside of Florida (10.6 percent) 233 or for whom the geocoder failed (3.1 percent) are dropped. After completing the geocoding 234 process we are left with 286 thousand individuals who were finished with their sentence as of 235 the 2018 midterm, were released to Florida addresses, and reported an address that could be 236 geocoded. In other words, at least 94 percent of individuals released to addresses in Florida 237 were successfully geocoded. 238

The successfully geocoded, formerly incarcerated individuals are then mapped to their home
Census block groups using shapefiles from the Census Bureau, and to their home voter
precincts using shapefile data collected by Kelso and Migurski (2018).

³The OBIS lists current addresses for individuals currently under community supervision, which may differ from the release plan addresses. However, according to a response to a public records request filed by the author with the Department of Corrections, these historical data are not maintained once an individual has been discharged.

242 Caveats with the DOC Data

Using the release plan address for individuals last released from prison many years ago
presents some potential problems. Some of these individuals surely died or moved after
completing their sentence. In Appendix B I show that the results presented in the body of
this manuscript when I limit the pool of formerly incarcerated people to individuals released
from prison during or after 2015. Because these individuals were released more recently,
their addresses are probably more accurate. The primary findings of this study hold when
the sample is thus limited.

Many formerly incarcerated individuals leave prison not for homes with family members, but 250 rather to homeless shelters or other sites of incarceration. Of the five most commonly listed addresses, three were Immigration and Customs Enforcement properties, one was owned by the Salvation Army, and one was a rescue mission. The body of this manuscript excludes formerly incarcerated individuals whose address was listed by five or more individuals, as institutions for returning citizens may have uniquely structured responses to Amendment 255 4 (see, for instance, Henig 1994). Appendix B shows that the primary findings in the 256 manuscript hold when I include all formerly incarcerated individuals. Just over 15 percent 257 of formerly incarcerated individuals listed these sorts of addresses as their post-incarceration 258 residence. 259

Neither the OBIS nor any other statewide database makes records available for individuals sentenced to felony probation. Between 75 and 80 percent of individuals found guilty of felonies in recent years in Florida have been sentenced to probation.⁴ This may pose a problem: neighborhoods with residents disenfranchised due to felony probation are also "treated," as are housemates of these individuals. However, not all individuals who serve a term of felony probation actually lose their voting rights. Florida judges are allowed to "withhold adjudication" (Tragos and Sartes 2008), meaning defendants are not formally

 $^{^4 \}mathrm{See} \quad \mathrm{http://edr.state.fl.us/Content/resource-demand/criminal-justice/reports/criminal-justice/index.}$ cfm.

convicted of a felony, but consent to pay fines and restitution and to serve a term of probation.

²⁶⁸ Individuals whose adjudication is withheld are not disenfranchised.

As discussed in Appendix A, probation records with residential addresses are available for Hillsborough County, the Florida county with the third-highest number of formerly incarcerated individuals according to the OBIS records. Within Hillsborough County, the correlation coefficient between the number of felony probationers and formerly incarcerated residents (scaled by population) is 0.92 at the block group level. The evidence from Hillsborough County therefore indicates that number of formerly incarcerated individuals in a neighborhood should be a reasonable proxy for the total number of disenfranchised residents.

In Appendix A, the neighborhood- and individual-level models presented in the body of
this manuscript are re-estimated using only neighborhoods and individuals in Hillsborough
County, with individuals sentenced both to felony incarceration and probation included in
the models. Their incorporation does not meaningfully impact the primary results. Although
this study relies only on formerly incarcerated individuals, the data available for robustness
checks indicate that the relationships detailed here probably extend to the full disenfranchised
population.

Voter File Data and Census Data

I primarily use Florida voter file data from the data vendor L2 Political which includes information on individuals such as their home address, their age and gender, their participation history, and their political affiliation. In addition to the L2 data I use self-identified race and ethnicity information from the raw Florida voter file. I also use the raw Florida file to provide the gender for voters for whom L2 did not have an estimate, as well as voters' home counties and precincts.

Precinct and block group demographics are constructed by aggregating up from the voter file data. Neighborhood characteristics such as average age are the averages of all registered

voters in that neighborhood. For characteristics such as income that are unavailable at
the individual level, voters are assigned the value associated with their home block group
from the American Community Survey's 2014 – 2018 5-year estimates; the precinct average
income, therefore, is effectively the average of all the block groups within that precinct,
weighted by the number of registered voters.

Matched Department of Corrections and Voter File Data

I identify registered voters who lived with formerly incarcerated individuals by matching on residential addresses. As discussed above, these addresses are often in different formats. To increase the quality of the matches, I standardize common street and address abbreviations as well as capitalization. "Boulevard," for instance, becomes "BLVD" in each instance in the DOC and voter file data. These standardizations are taken from Appendix C of the USPS Postal Addressing Standards (2015). Exact matching for the entire residential address is required.

305 Potential Confounders

Voters with indirect exposure to the criminal justice system might have been uniquely mo-306 tivated to turn out through avenues other than the ballot initiative. For instance, Andrew 307 Gillum was poised to become the state's first Black governor, which could increase Black 308 turnout (e.g. Washington 2006; Fairdosi and Rogowski 2015; Miller and Chaturvedi 2018). 300 By controlling for neighborhood demographics (and, in the matching exercise, forcing con-310 trol voters to mirror treated voters on key demographics such as race and party affiliation), 311 I minimize the differences between the treatment and control groups along characteristics 312 known to influence turnout. 313

There is little reason to believe that changes to electoral rules would have differently influenced the turnout for individuals in close proximity to the formerly incarcerated than other,

similar voters. The number of early voting days was cut for the 2012 general election, but the longer period was restored for the 2014 – 2018 period.⁵ Early voting was not allowed on 317 college campuses in the 2014 and 2016 elections, though it was allowed in 2018 (Bousquet 318 2018). If voters who lived near the formerly incarcerated had better or worse access to college 319 campuses than other voters, this could influence their turnout. I include neighborhood-level 320 estimates of collegiate education in each of the regressions to mitigate the potential effects of 321 this change. Florida did not enact other reforms such as same-day registration or automatic 322 voter registration over the period, nor did its absentee voting rules change. We can therefore 323 be confident that any turnout effects observed are not being driven by the treatment group 324 responding to rules changes in different ways than other voters. 325

326 Neighborhood-Level Results

Before presenting the results of the econometric modeling, I examine whether — and to what
extent — neighborhoods with formerly incarcerated individuals differ from neighborhoods
elsewhere in the state. A simple comparison of neighborhoods with and without formerly
incarcerated individuals, however, proves unhelpful: 97.1 percent of block groups in the state
are home to someone who has been to prison, though formerly incarcerated individuals are
clearly concentrated in some neighborhoods. Column 1 of Table 2 presents the statewide
mean of block group characteristics weighted by population. In Column 2, I re-weight the
block groups by the number of formerly incarcerated residents.

 $^{^5 \}rm See~https://ballotpedia.org/Voting_in_Florida.$

Table 2: Neighborhood Demographics

Measure	Average Neighborhood	Average Neighborhood for Formerly Incarcerated
Median Income*	\$59,988	\$45,484
Median Age*	42.5	39.9
% Unemployed*	6.4%	8.9%
% with Some College*	73.0%	65.2%
% Non-Hispanic White*	54.4%	44.5%
% Non-Hispanic Black*	15.4%	30.5%
% Latino*	25.2%	20.7%
Count	20,590,223	279,324

^{*} Difference is significant at 95 percent confidence level.

Although nearly all parts of the state are impacted by the criminal justice system (and, more specifically, mass incarceration), Table 2 makes clear that formerly incarcerated individuals are concentrated in neighborhoods with lower incomes, higher levels of unemployment, and where a much larger share of the population is Black.

I next assess whether the presence of formerly incarcerated residents was associated with higher turnout in 2018 using ordinary least squares regressions. In the precinct-level model, turnout is calculated by dividing the number of ballots cast for or against Amendment 4 by the number of actively registered voters in the precinct, while block group turnout is calculated by dividing the number of voters marked as participants in the voter file by the adjusted citizen voting age population (ACVAP). Formerly Incarcerated Residents is the

 $^{^6}$ The 35 precincts where calculated turnout exceeds 100 percent have been dropped from the analysis, though their inclusion does not affect the results.

⁷I define ACVAP by subtracting the number of all formerly incarcerated individuals from the Census Bureau's estimated citizen voting age population (including the individuals who are excluded from the primary independent variable count because they returned to common post-release residences). My definition of ACVAP is similar to the voting eligible population estimated by McDonald (2002), though I do not have estimates of the number of individuals disenfranchised for a felony probation at the neighborhood-level.

primary independent variable. Models 2 and 4 also include a measure of how long the average formerly incarcerated resident has been out of prison (Av. Years since Most Recent 346 *Incarceration*) to test whether recently incarcerated residents impact turnout differently than 347 those who were released many years ago. Neighborhoods with no formerly incarcerated 348 residents are excluded from models 2 and 4. I also control for other covariates known to 349 influence turnout such as age and income. There is just one observation per neighborhood 350 in each model, but I control for neighborhood-level turnout from the 2010 – 2016 general 351 elections. Finally, I include fixed effects for congressional districts, and robust standard 352 errors are clustered at this level.⁸ 353

⁸Where neighborhoods cross congressional district boundaries they are assigned to the district in which most of their voters live.

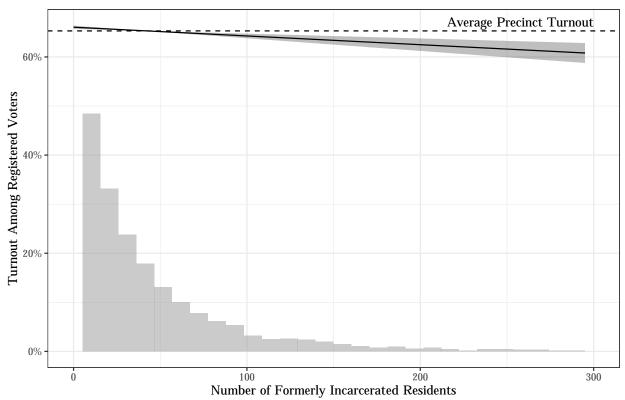
Table 3: Neighborhood Turnout in 2018

	Precinct-Level		Block Group-Level		
	(1)	(2)	(3)	(4)	
Formerly Incarcerated Residents	-0.0002^{***} (0.00004)	-0.0002^{***} (0.00003)	-0.0002^{***} (0.00004)	-0.0002^{***} (0.00004)	
Av. Years since Most Recent Incarceration		$0.0001 \\ (0.001)$		0.0002* (0.0001)	
Percent White	0.017 (0.110)	-0.088 (0.123)	0.017 (0.014)	0.017 (0.014)	
Percent Black	0.027 (0.109)	-0.086 (0.121)	0.041** (0.017)	0.040** (0.017)	
Percent Latino	-0.081 (0.116)	-0.175 (0.125)	-0.007 (0.016)	-0.008 (0.016)	
Percent Asian	0.082 (0.128)	-0.006 (0.166)	0.040^* (0.022)	0.039* (0.022)	
Percent Male	0.302 (0.188)	0.376** (0.179)	0.095 (0.086)	0.102 (0.089)	
Percent Democrats	0.059 (0.082)	0.161** (0.073)	0.067*** (0.020)	0.067*** (0.020)	
Percent Republicans	0.015 (0.081)	0.105 (0.070)	0.007 (0.024)	0.004 (0.024)	
Average Age	0.0001 (0.0005)	0.0001 (0.001)	0.001*** (0.0003)	0.001*** (0.0003)	
Average Income (\$10,000s)	0.002** (0.001)	0.001** (0.001)	0.002*** (0.0003)	0.002*** (0.0003)	
Percent With Some College	0.183*** (0.016)	0.188*** (0.020)	0.082*** (0.005)	0.082*** (0.005)	
Percent Unemployed	-0.032 (0.025)	-0.033 (0.028)	-0.005 (0.006)	-0.004 (0.006)	
Constant	-0.211^* (0.114)	-0.235^* (0.127)	-0.188** (0.083)	-0.200** (0.087)	
Congressional District FEs Turnout in 2010 – 2016	X X	X X	X X	X X	
Observations R^2 Adjusted R^2	5,797 0.782 0.781	5,477 0.814 0.813	10,817 0.979 0.979	10,550 0.979 0.979	

 $^{^{***}}p<0.01,\,^{**}p<0.05,\,^*p<0.1.$ Robust standard errors (clustered by congressional district) in parentheses.

Table 3 indicates that 2018 turnout was lower in neighborhoods with more formerly incarcerated residents, and the average length of time since formerly incarcerated residents'
most recent incarceration is not related to turnout. The block group models have nearly
twice as many observations as the precinct-level ones and their R^2 s are considerably higher,
perhaps indicating a better fit. Nevertheless, the estimated coefficient for Formerly Incarcerated Residents is the same (when rounded to one hundredth of a percentage point) for
both neighborhood definitions.

The primary coefficients in Table 3 are small and perhaps difficult to interpret without context. Figure 1 shows the marginal effect of each additional formerly incarcerated resident on precinct-level turnout for Amendment 4 from model 1. All other covariates are held at their means. Although the number of formerly incarcerated residents in a precinct reaches a maximum of 594, there are 300 or fewer such residents in 99.2 percent of precincts, and I limit the figures to this range. Predicted turnout in precincts with zero formerly incarcerated residents is just over 66 percent; in precincts with 300 such residents, predicted turnout was below 61 percent, implying a five-point decrease over the effective range of observed values.



Notes: Distribution of number of formerly incarcerated residents shown at bottom.

Figure 1: Marginal Effect of Formerly Incarcerated Residents on Precinct Turnout Among Registered Voters

In Table 4 I present the results of OLS models that test whether the number of formerly incarcerated community members influenced a neighborhood's support for Amendment 4 or Amendment 4 roll-off. Roll-off is calculated as $1 - \frac{Ballots Cast for Amendment 4}{Ballots Cast in Contest with the Most Votes}$. It ranges from zero (if everyone who cast a ballot made a decision on the Amendment 4 question) to one (if no participants voted for or against Amendment 4). A lower number represents lower roll-off, indicating that the issue was more salient for participants.

Table 4: Precinct Engagement with Amendment 4

	Support for Am. 4		Roll-Off		
	(1)	(2)	(3)	(4)	
Formerly Incarcerated Residents	0.0001**	0.0001**	-0.00004***	-0.00004***	
·	(0.00003)	(0.00003)	(0.00001)	(0.00001)	
Av. Years since Most Recent Incarceration		0.002**		0.0004**	
		(0.001)		(0.0002)	
Percent White	0.069	-0.051	-0.071^*	-0.076^{*}	
	(0.122)	(0.093)	(0.042)	(0.046)	
Percent Black	0.188^*	0.026	-0.042	-0.048	
	(0.107)	(0.084)	(0.040)	(0.042)	
Percent Latino	0.049	-0.101	-0.050	-0.052	
	(0.114)	(0.092)	(0.043)	(0.045)	
Percent Asian	0.244	0.133	-0.101^*	-0.117^*	
	(0.177)	(0.170)	(0.052)	(0.061)	
Percent Male	-0.383^{**}	-0.299^*	-0.204*	-0.193^*	
	(0.185)	(0.170)	(0.113)	(0.117)	
Percent Democrats	0.192	0.197	0.031	0.024	
	(0.143)	(0.191)	(0.021)	(0.029)	
Percent Republicans	-0.396***	-0.429***	0.039^*	0.037	
	(0.120)	(0.151)	(0.020)	(0.027)	
Average Age	-0.0003	0.00005	0.001***	0.001***	
	(0.0004)	(0.0004)	(0.0002)	(0.0002)	
Average Income (\$10,000s)	-0.003***	-0.002**	-0.00003	-0.00004	
	(0.001)	(0.001)	(0.0002)	(0.0002)	
Percent With Some College	0.155***	0.158***	-0.029^{***}	-0.032***	
	(0.034)	(0.029)	(0.006)	(0.008)	
Percent Unemployed	-0.015	-0.024	-0.019^*	-0.011	
	(0.018)	(0.021)	(0.011)	(0.010)	
Constant	1.023***	1.055***	0.220**	0.212**	
	(0.165)	(0.197)	(0.095)	(0.105)	
Congressional District FEs	X	X	X	X	
Turnout in 2010 – 2016	X	X	X	X	
Observations D2	5,797	5,477	5,797	5,477	
$ m R^2$ Adjusted $ m R^2$	$0.788 \\ 0.787$	$0.869 \\ 0.868$	0.315 0.309	$0.385 \\ 0.380$	

 $^{^{***}}p<0.01,\,^{**}p<0.05,\,^*p<0.1.$ Robust standard errors (clustered by congressional district) in parentheses.

Table 4 demonstrates that precincts with more formerly incarcerated residents supported Amendment 4 at slightly higher rates. Similarly, roll-off was lower in neighborhoods with 376 more formerly incarcerated residents. Figures 2 and 3 plot the marginal effect of each 377 additional formerly incarcerated resident on a precinct's support for Amendment 4 (model 378 1), and the precinct's roll-off on Amendment 4 (model 3). These figures make clear that the 379 number of formerly incarcerated residents has a relatively small impact on precinct support 380 for its passage, and a relatively large impact on precinct level roll-off. 381

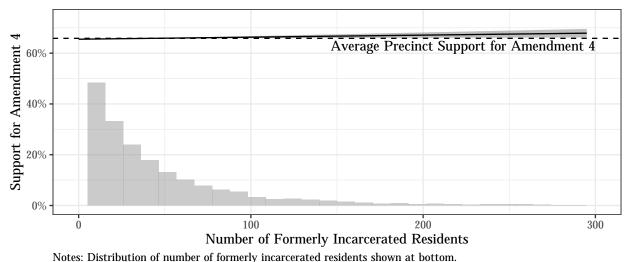
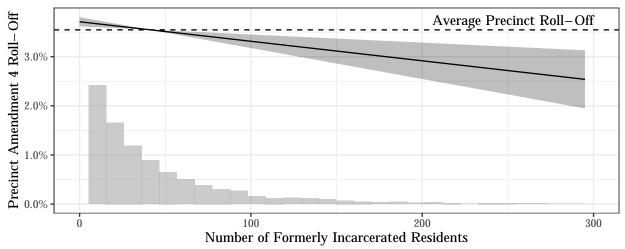


Figure 2: Marginal Effect of Formerly Incarcerated Residents on Support for Amendment 4



Notes: Distribution of number of formerly incarcerated residents shown at bottom.

Figure 3: Marginal Effect of Formerly Incarcerated Residents on Amendment 4 Roll-Off

Why the relationship between formerly incarcerated residents and support is less strong 382 (though positive and statistically significant) than salience is not clear, perhaps pointing to 383 a variety of individual responses to crime and criminal justice policy in these neighborhoods. 384 Leverentz (2011) argues that punitiveness is positively correlated with the salience of crime. The recently incarcerated residents might activate both punitiveness and support for the 386 amendment, with support winning out slightly. The coefficients for Av. Years since Most 387 Recent Incarceration indicate that neighborhoods where the formerly incarcerated residents 388 have been out of prison for longer saw both higher support for Amendment 4 and higher 389 roll-off. Future work ought to interrogate how support for criminal justice reforms and the 390 salience of those reforms change as community members' incarcerations recede into the past. 391 These neighborhood-level models demonstrate that neighborhoods with many formerly in-392 carcerated residents did not turn out at higher rates than other, similar neighborhoods in 393 2018 even though Amendment 4 was on the ballot. However, while formerly incarcerated 394 neighbors were not associated with getting people into the voting booth, they were associated 395 with how voters cast their ballots once there.

397 Individual-Level Results

Neighborhood turnout rates could be obscuring underlying patterns. Inducements to vote 398 at the household level might be too small to register at the neighborhood level, and it is 399 possible that Amendment 4 shaped turnout differently for individuals who live with formerly 400 incarcerated individuals than for their neighbors. A neighborhood may have disengaged from 401 the political process thanks to exposure to the carceral state. Household members of the 402 formerly incarcerated may have had a similar historical response, and yet be more susceptible 403 to mobilization from Amendment 4; they are, after all, the voters whose identities are most 404 likely shaped by indirect exposure to felony disenfranchisement. 405

This section directly examines the turnout of individuals who lived with formerly incarcerated individuals in 2018. As discussed above, I identify individuals who live with formerly
incarcerated individuals by matching addresses listed in the Department of Corrections release data to the registered voter file. All registered voters who live at an address reported
by a formerly incarcerated individual are considered "treated."

Each treated individual is then genetically matched (Sekhon 2011) with five untreated reg-411 istered voters elsewhere in her congressional district. I use five matches in order to increase 412 the sample size of the study; the large pool of potential controls means this can be done 413 without sacrificing the quality of the matches. Voters' block group median income and share 414 with some collegiate education come from the ACS 2018 5-year estimates, while all other 415 characteristics come from the voter file. Matching is done with replacement and ties are 416 not broken, which means that some treated voters may have more than five controls; the 417 regression weights are calculated to allow for this possibility. Table 5 presents the results of the matching exercise for each of the characteristics used.

⁹Due to computing constraints, a random 5 percent random sample stratified by treatment status is used to calculate the genetic weights. The full sample is used for matching.

Table 5: Balance Table

	Means: Unmatched Data		Means: Matched Data		Percent Improvement			
	Treated	Control	Treated	Control	Mean Diff	eQQ Med	eQQ Mean	eQQ Max
%White	41.5%	63.2%	41.5%	41.5%	100.00	100.00	100.00	100.00
% Black	38.8%	12.7%	38.8%	38.8%	100.00	100.00	100.00	100.00
% Latino	12.8%	16.9%	12.8%	12.9%	99.86	99.86	99.86	99.86
% Asian	0.8%	2.0%	0.8%	0.8%	100.00	100.00	100.00	100.00
% Female	55.2%	52.4%	55.2%	55.2%	100.00	100.00	100.00	100.00
% Male	41.5%	45.0%	41.5%	41.5%	99.74	99.74	99.74	99.74
Registration Date	2004-01-28	2004-09-24	2004-01-28	2004-02-10	94.63	30.85	20.67	16.86
Age	48.95	52.45	48.95	48.82	96.12	95.63	93.77	91.93
% Democrat	53.7%	36.9%	53.7%	53.7%	100.00	100.00	100.00	100.00
% Republican	21.0%	35.4%	21.0%	21.0%	100.00	100.00	100.00	100.00
% with Some College	66.5%	75.3%	66.5%	66.5%	99.88	99.93	99.89	99.54
Median Income	\$47,389	\$62,995	\$47,389	\$47,401	99.93	99.85	99.76	99.32

As Table 5 makes clear, the treated registered voters differ in meaningful ways from the rest of the electorate: three times as many are Black, a larger share are registered Democrats, and they live in neighborhoods with lower incomes. The matching process, however, results in a control group that is very similar to the treatment group with at least a 94 percent improvement in the mean difference for each measure.

Figure 4 demonstrates that the parallel trends assumption is satisfied: although the treatment group has lower turnout rates in general, the gap between the treatment and control
groups is largely constant between 2010 and 2016. Turnout in each year is measured as a
function of voters registered in 2018, which partially explains why observed turnout is higher
later in the period. Of course, some of the increase in turnout observed in later years in
Figure 4 can be attributed to higher "real" turnout as a share of eligible citizens.

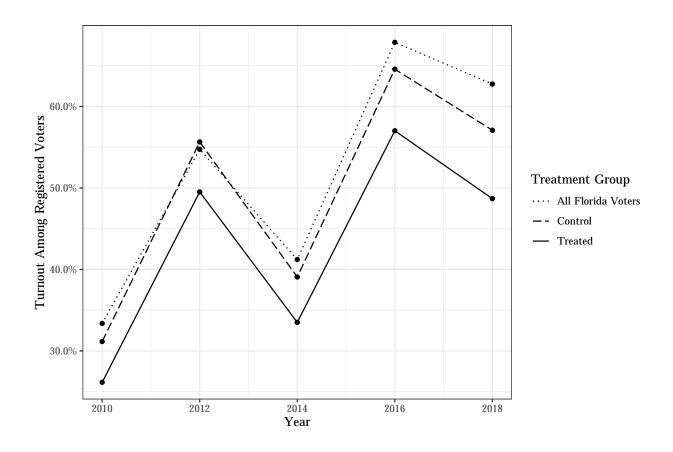


Figure 4: General Election Turnout for Treated and Control Voters, 2010 – 2018

The trends presented in Figure 4 offer preliminary visual corroboration of what I find at the neighborhood level — namely, that 2018 turnout was not higher for voters in close contact with formerly incarcerated individuals. Table 6 formalizes these trends into an ordinary least squares regression. A treatment dummy distinguishes treated from control voters. The treatment dummy is interacted with another dummy identifying the 2018 election. Robust standard errors are clustered at the level of the match (Abadie and Spiess 2019). Model 1 presents the model output without the other controls used for matching; model 2 includes these covariates.

In models 3 and 4 of Table 6 I consider the possibility that the negative spillover effects

¹⁰Although the dependent variable here is binary — it takes the value 0 if a voter does not participate, and 1 if she does — the coefficients produced by logistic regressions in the difference-in-differences context are largely uninterpretable. I thus use a linear specification here. When the models are estimated using a logistic specification, the treatment effect is virtually identical.

of incarceration dissipate over time. In these models, the dummies indicating treatment and the 2018 election are interacted with the number of years since the most recent release of a household member from prison (Years Since Latest Incarceration, shortened to Years Since in interactions). Matched control observations are assigned the value associated with their treated observation. Model 3 includes no other covariates, while model 4 includes the matched variables.

Formerly incarcerated individuals who were released from prison many years ago may no longer live at the same address they reported when leaving prison. Models 5 – 8 therefore include only the treated individuals (and their matches) whose registration dates predate the latest prison release date of a household member, who we can be relatively sure lived with an incarcerated individual. The treatment effects in these models tell the same general story.

Table 6: General Election Turnout, 2010 – 2018

	All Matched Observations			Registration Date prior to Discharge				
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
2018	0.093*** (0.0004)	0.093*** (0.0004)	0.094*** (0.001)	0.094*** (0.001)	0.057*** (0.0005)	0.057*** (0.0005)	0.082*** (0.001)	0.082*** (0.001)
Treated	-0.059^{***} (0.001)	-0.061^{***} (0.001)	-0.074^{***} (0.001)	-0.075^{***} (0.001)	-0.053^{***} (0.001)	-0.063^{***} (0.001)	-0.065^{***} (0.001)	-0.067^{***} (0.001)
Years Since Latest Incarceration			-0.00001 (0.0001)	-0.00005 (0.0001)			0.013*** (0.0001)	0.002*** (0.0001)
2018 \times Treated	-0.021^{***} (0.001)	-0.021^{***} (0.001)	-0.038*** (0.001)	-0.038*** (0.001)	-0.035^{***} (0.001)	-0.035^{***} (0.001)	-0.049^{***} (0.002)	-0.049^{***} (0.002)
2018 × Years Since			-0.0001 (0.0001)	-0.0001 (0.0001)			-0.004^{***} (0.0001)	-0.004^{***} (0.0001)
Treated \times Years Since			0.002*** (0.0001)	0.002*** (0.0001)			0.002*** (0.0002)	0.001*** (0.0002)
2018 × Treated × Years Since			0.002*** (0.0002)	0.002*** (0.0002)			0.002*** (0.0002)	0.002*** (0.0002)
Constant	0.479*** (0.001)	0.011*** (0.004)	0.479*** (0.001)	0.011*** (0.004)	0.572*** (0.001)	-0.046^{***} (0.005)	0.493*** (0.001)	-0.057^{***} (0.005)
Includes covariates from matching Congressional District fixed effects		X X		X X		X X		X X
Observations R^2 Adjusted R^2	7,388,640 0.008 0.008	7,388,640 0.198 0.198	7,388,640 0.009 0.009	7,388,640 0.198 0.198	$4,915,920 \\ 0.005 \\ 0.005$	$4,915,920 \\ 0.157 \\ 0.157$	4,915,920 0.023 0.023	4,915,920 0.158 0.158

Each model in Table 6 identifies a negative treatment effect. The coefficients on 2018 \times Treated in models 1 and 2 indicate that turnout among treated voters was about 2.1 per-453 centage points below what it would have been if the gap between treated and control voters 454 in 2018 had conformed to prior years. This mirrors the findings from the neighborhood-level 455 analyses, where the number of formerly incarcerated residents is not associated with higher turnout.

There is some indication that spillover effects lessen with time. In each model, $2018 \times Treated$ × Years Since and Treated × Years Since is positive and statistically significant. In other 459 words, individuals whose housemates had not been imprisoned for many years were more 460 likely to vote than other treated voters, and this was especially true in 2018. Models 3 and 4 461 estimate that the treatment effect for an individual whose household member returned from

 $^{^{***}}p<0.01,\,^{**}p<0.05,\,^*p<0.1.$ Robust standard errors (clustered at level of match) in paren-

prison within one year of the election was about -3.8 percentage points. For each year the most recent incarceration recedes into the past, the treatment effect decreases by about 0.2 points in years other than 2018, and by 0.4 points in 2018. That the spillover effects "decay" is a positive sign, and indicates that the negative socialization induced by a housemate's incarceration might not be permanent.

It is unsurprising that the effect is moderated by time. Individuals whose household mem-468 bers went to and were released from prison between the 2016 and 2018 elections, for instance, 460 received two treatments: they both were "negatively" treated by the incarceration of their 470 housemate and potentially "positively" treated by Amendment 4. What is surprising, how-471 ever, is the continued negative treatment effect even for the households furthest removed 472 from the incarceration of a household member. Table 7 presents the results of models 5 and 473 6 from Table 6, but limits the pool to households where someone last returned home from 474 prison prior to 2010. The "negative" treatment for these individuals should be reflected in 475 the base years of the difference-in-differences models. That $2018 \times Treated$ remains signifi-476 cant and negative for these individuals is puzzling. The neighborhood-level analyses indicate 477 that the amount of time that has elapsed since an individual's incarceration is also related 478 to support for and the salience of Amendment 4; similar processes may be at play here, but 479 the individual-level data does not allow us to explore them. 480

Table 7: General Election Turnout, 2010 – 2018

	(1)	(2)
2018	0.034***	0.034***
	(0.001)	(0.001)
Treated	-0.043***	-0.055***
	(0.002)	(0.002)
$2018 \times \text{Treated}$	-0.022***	-0.022***
	(0.002)	(0.002)
Constant	0.651***	-0.010
	(0.001)	(0.012)
Includes covariates from matching		X
Congressional District fixed effects		X
Observations	1,524,000	1,524,000
\mathbb{R}^2	0.003	0.103
Adjusted R ²	0.003	0.103

^{***}p < 0.01, **p < 0.05, *p < 0.1.

Robust standard errors (clustered at level of match) in parentheses.

These negative, statistically significant findings at the individual and neighborhood level should probably not be interpreted to mean that Amendment 4 had a demobilizing effect on 482 individuals whose family and community members would be re-enfranchised by its passage. 483 Rather, it likely highlights that these individuals are less susceptible to other broadly mobiliz-484 ing phenomena. The 2018 election saw higher participation than any midterm in a century as 485 many infrequent voters turned out. It appears that voters whose household members have 486 been to prison were less mobilized by the factors that encouraged other demographically 487 similar voters to participate in 2018. This analysis cannot determine whether their indirect 488 exposure to the criminal justice system caused this imperviousness, or if they would have 489 remained on the sidelines in 2018 even if their household members had not been imprisoned. 490 Nevertheless, their relatively depressed turnout in 2018 — even with Amendment 4 on the 491 ballot — underscores just how difficult their political (re)integration is. 492

493 Discussion and Conclusion

Turnout in 2018 hit historic levels for a midterm election as infrequent voters participated 494 and made their voices heard. In addition to hotly contested Congressional, senate, and 495 gubernatorial races, Floridians were presented with the opportunity to restore voting rights 496 to well over a million permanently disenfranchised individuals who had been convicted of 497 felony offenses. Amendment 4 and its organizers were hugely successful — in a year where 498 both statewide winners won by less than 0.5 percentage points, nearly two-thirds of Floridians 490 supported expanding the franchise. Neighborhoods and voters most directly impacted by 500 felony disenfranchisement gained meaningful political representation from the passage of the 501 amendment, and one of the "durable markers" of their civil death was nullified. However, I 502 fail to uncover evidence that Amendment 4 itself increased the turnout of neighborhoods and 503 individuals in close proximity to the formerly incarcerated above-and-beyond the increases observed among other voters and in other communities. 505

It is not immediately apparent why Amendment 4 did not mobilize these voters. The current study cannot tell whether it was an issue of lower political knowledge, or because the negative socialization of the carceral state runs too deep for a single ballot initiative to overcome. However, if lack of trust in government was the reason that the ballot initiative failed to mobilize these voters, this negative socialization was likely only reinforced in the aftermath of the 2018 election.

Just months after the 2018 election the Florida legislature passed a bill requiring disenfranchised individuals to pay off all court-ordered financial obligations before registering to
vote, despite the fact that the state was incapable of determining how much any individual
actually owed (Stern 2019). A federal judge ruled the law unconstitutional in May of 2020,
arguing that conditioning voting rights on the repayment of obligations that individuals
cannot afford amounted to a poll tax and violation of the 24th Amendment.¹¹ That Septem-

¹¹Jones et al. v. DeSantis et al., 4:19cv300-RH/MJF (U.S. District Court for the Northern District of Florida 2020).

ber, however, the U.S. Court of Appeals for the 11th Circuit overturned that decision, ¹² upholding the constitutionality of the law. In his dissent, Judge Adalberto Jordan noted 519 that "[h]ad Florida wanted to create a system to obstruct, impede, and impair the ability of 520 felons to vote under Amendment 4, it could not have come up with a better one" and that 521 "Florida cannot tell felons — the great majority of whom are indigent — how much they 522 owe... and has come up with conflicting (and uncodified) methods for determining how LFO 523 [legal financial obligation] payments by felons should be credited." That Florida legislators 524 would condition voting on criteria that cannot be verified, or cannot be afforded, has under-525 standably been described as "unfair [and] heartbreaking" by one disenfranchised individual 526 who said the amendment had promised to "give me a voice in my own future" (Harris 2020). 527 It remains to be seen how such legislation and litigation will inform how criminal justice-528 involved individuals understand their relationship with the state and structure their future 520 democratic participation. 530

The results of this study point to the next chapter of the fight for political integration and rep-531 resentation for advocates in the Sunshine State. The relatively lower turnout in 2018 for the 532 communities most impacted by the carceral state indicates that formal re-enfranchisement is 533 not enough. If Floridian and American democracy wants to actually incorporate voices from 534 these communities — and not simply legally allow for their incorporation — the advocacy 535 movement cannot consider its work done once the formal barriers to the ballot box have been 536 torn down. Re-enfranchisement is clearly necessary, but it is not sufficient. Researchers must 537 continue exploring why the political re-incorporation of these communities is so difficult, and 538 organizers on the ground must do the hard work of reknitting them to our body politic. 530

¹²Jones et al. v. DeSantis et al., 4:19cv300-RH/MJF (United States Court of Appeals for the Eleventh Circuit).

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704 Appendix A

As discussed in the body of this manuscript, statewide data on the residential addresses 705 of individuals sentenced to felony probation are not available. These data are, however, 706 available in Hillsborough County, the county in Florida with the third-highest number of 707 formerly incarcerated individuals.¹³ These records go back to 1988, though I have restricted 708 them to individuals sentenced since October 1, 1997, so that they mirror the incarceration 700 records. I follow the same geocoding and address cleaning procedures as for the incarceration 710 records discussed above. These data do not include unique identifiers. To avoid double-711 counting, only the most recent record for each unique first name, middle name, last name, 712 and date of birth is retained. This potentially excludes different people whose names and 713 dates of birth are identical. Individuals whose adjudication was withheld are excluded, as are 714 individuals whose names, dates of birth, and addresses match individuals who were formerly 715 incarcerated. This avoids double counting individuals both incarcerated and sentenced to 716 probation.

Figure 5 plots the relationship between the number of formerly incarcerated residents and residents who have been sentenced to felony probation in each block group in Hillsborough County (scaled by population). As the figure makes clear, individuals who have been sentenced to felony probation are concentrated in the same neighborhoods where individuals live after a period of incarceration (the R^2 of the bivariate regression is 0.92). As with the marginal effects plots in the body of this manuscript, the figure does not show outlier neighborhoods but the line of best fit and R^2 are calculated using all observations.

¹³See https://www.hillsclerk.com/Records-and-Reports/Public-Data-Files.

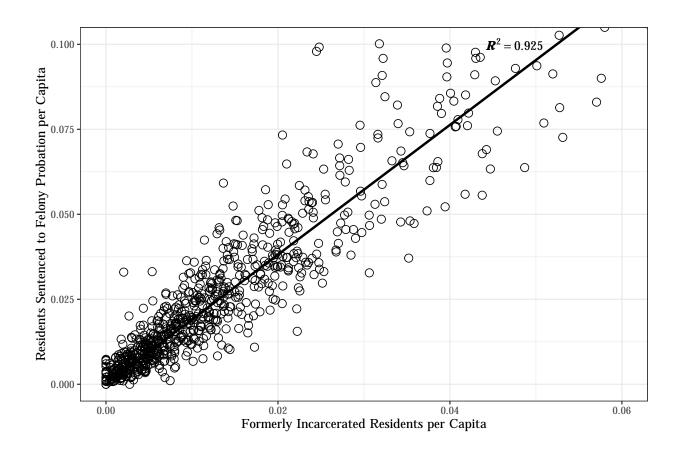


Figure 5: Relationship Between Formerly Incarcerated and Probationed Residents, Hillsborough County

Table 8 replicates the models from Tables 3 and 4 in the main body of this manuscript.

In each pair of models in the table, I begin by re-fitting the exact models presented in the
body of this manuscript but limiting the precincts and block groups to Hillsborough County.

In the second model in each pair, the primary dependent variable includes both formerly
incarcerated residents and the number of residents who have been convicted of a felony
probation.

Table 8: Neighborhood Turnout, Support for Am. 4, and Roll-Off in 2018

Precinct-Level Turnout		Block Group-Level Turnout		Am. 4 Support		Roll-off	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
$0.00002 \\ (0.00004)$		-0.0002^{***} (0.00001)		-0.00003 (0.00004)		-0.00005^{***} (0.00001)	
	-0.00000 (0.00001)		-0.0001^{***} (0.00001)		-0.00001 (0.00001)		-0.00002^{***} (0.00000)
-0.528^* (0.316)	-0.514 (0.324)	0.013 (0.011)	0.013 (0.011)	0.124 (0.491)	0.114 (0.492)	0.029 (0.039)	0.025 (0.038)
-0.690^{***} (0.227)	-0.669^{***} (0.239)	0.006 (0.006)	0.007 (0.005)	0.122 (0.442)	0.107 (0.443)	0.012 (0.071)	$0.005 \\ (0.070)$
-0.721^{**} (0.296)	-0.708** (0.302)	-0.039^{***} (0.012)	-0.040^{***} (0.011)	-0.043 (0.442)	-0.052 (0.443)	0.017 (0.036)	0.013 (0.035)
-0.560 (0.408)	-0.547 (0.412)	0.046* (0.024)	0.044^* (0.024)	-0.076 (0.543)	-0.085 (0.545)	0.098 (0.077)	0.093 (0.077)
0.386 (0.343)	0.370 (0.351)	0.217*** (0.040)	0.224*** (0.042)	-0.174 (0.315)	-0.162 (0.320)	-0.149^{**} (0.061)	-0.142^{**} (0.062)
0.497*** (0.121)	0.499*** (0.121)	$0.117^{**} $ (0.054)	0.114** (0.051)	0.121 (0.165)	0.120 (0.166)	0.155 (0.145)	0.156 (0.147)
0.395*** (0.076)	0.398*** (0.077)	0.051 (0.033)	0.047 (0.031)	-0.851^{***} (0.077)	-0.853^{***} (0.079)	0.142 (0.122)	0.140 (0.123)
-0.003 (0.002)	-0.003 (0.002)	-0.001 (0.001)	-0.001 (0.001)	0.001 (0.001)	0.001 (0.001)	0.002*** (0.001)	0.002*** (0.001)
59.268*** (21.581)	58.970*** (21.417)	0.001** (0.001)	0.001** (0.001)	-3.836 (8.885)	-3.568 (9.017)	2.738 (4.638)	3.004 (4.688)
0.127*** (0.011)	0.124*** (0.010)	0.066*** (0.010)	0.063*** (0.010)	0.088* (0.047)	0.091* (0.047)	-0.009 (0.014)	-0.009 (0.013)
-0.175^{***} (0.029)	-0.170^{***} (0.029)	-0.019 (0.015)	-0.017 (0.014)	-0.117^* (0.066)	-0.120^* (0.066)	0.064 (0.040)	0.065 (0.040)
-0.024 (0.116)	-0.020 (0.119)	-0.223^{**} (0.090)	-0.221^{**} (0.088)	0.883*** (0.169)	0.880*** (0.168)	-0.051 (0.037)	-0.052 (0.037)
X X	X X	X X	X X	X X	X X	X X	X X
390 0.881	390 0.881 0.874	812 0.976 0.975	812 0.976 0.975	390 0.944	390 0.944	390 0.483 0.455	390 0.482
	(1) 0.00002 (0.00004) -0.528* (0.316) -0.690*** (0.227) -0.721** (0.296) -0.560 (0.408) 0.386 (0.343) 0.497*** (0.121) 0.395*** (0.076) -0.003 (0.002) 59.268*** (21.581) 0.127*** (0.011) -0.175*** (0.029) -0.024 (0.116) X X X 390	(1) (2) 0.00002 (0.00004) -0.00000 (0.00001) -0.528* -0.514 (0.316) (0.324) -0.690*** -0.669*** (0.227) (0.239) -0.721** -0.708** (0.296) (0.302) -0.560 -0.547 (0.408) (0.412) 0.386 0.370 (0.343) (0.351) 0.497*** 0.499*** (0.121) (0.121) 0.395*** 0.398*** (0.076) (0.077) -0.003 -0.003 (0.002) (0.002) 59.268*** 58.970*** (21.581) (21.417) 0.127*** 0.124*** (0.011) (0.010) -0.175*** -0.170*** (0.029) (0.029) -0.024 -0.020 (0.116) (0.119) X X X X X 390 390	(1) (2) (3) 0.00002 (0.00004) -0.0002*** (0.00001) -0.00000 (0.00001) -0.528* -0.514	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	(1) (2) (3) (4) (5) 0.00002 (0.00004) -0.0002*** (0.00001) -0.0001*** (0.00001) -0.00003 (0.00004) -0.00000 (0.00001) -0.0001*** (0.00001) -0.0001*** (0.00001) -0.528* (0.316) -0.514 (0.324) 0.011 (0.011) 0.013 (0.011) 0.124 (0.491) -0.690*** (0.227) -0.669*** (0.239) 0.006 (0.005) 0.007 (0.005) 0.122 (0.242) -0.721** (0.296) -0.708** (0.302) -0.039*** (0.012) -0.040*** (0.011) -0.043 (0.442) -0.560 (0.408) -0.547 (0.412) 0.046* (0.024) 0.044* (0.024) -0.076 (0.543) 0.386 (0.370 (0.343) 0.217*** (0.343) 0.224*** (0.351) -0.174 (0.343) -0.174 (0.343) -0.174 (0.351) 0.497*** (0.121) 0.499*** (0.121) 0.117** (0.054) 0.047 (0.051) -0.851*** (0.065) 0.395*** (0.077) 0.398*** (0.033) 0.051 (0.033) 0.031 (0.0031) 0.007 (0.077) -0.003 (0.002) -0.001 (0.001) -0.001 (0.001) -0.001 (0.001) -3.836 (21.581) (21.417) 0.001** (0.001) 0.001** (0.004) 0.001** (0.004)	(1) (2) (3) (4) (5) (6) 0.00002 (0.00004) (0.00001) (0.00003) (0.00004) -0.00002 (0.00001) (0.00001) (0.00004) -0.00001 -0.00001 (0.00001) (0.00001) (0.00001) -0.528* -0.514 0.013 0.013 0.124 0.114 (0.316) (0.324) (0.011) (0.011) (0.491) (0.492) -0.690*** -0.669*** 0.006 0.007 0.122 0.107 (0.227) (0.239) (0.006) (0.005) (0.442) (0.443) -0.721** -0.708** -0.039*** -0.040*** -0.043 -0.052 (0.296) (0.302) (0.012) (0.011) (0.442) (0.443) -0.560 -0.547 0.046* 0.044* -0.076 -0.085 (0.408) (0.412) (0.024) (0.024) (0.543) (0.545) 0.386 0.370 0.217*** 0.224*** -0.174 -0.162 (0.343) (0.351) (0.040) (0.042) (0.315) (0.320) 0.497*** 0.499*** 0.117** 0.114** 0.121 0.120 (0.121) (0.121) (0.054) (0.051) (0.165) (0.166) 0.395*** 0.398*** 0.051 0.047 -0.851*** -0.853*** (0.076) (0.077) (0.033) (0.031) (0.077) (0.079) -0.003 -0.003 -0.001 -0.001 0.001 0.001 (0.002) (0.002) (0.001) (0.001) (0.001) (0.001) 59.268*** 58.970*** 0.001** 0.001** -3.836 -3.568 (21.581) (21.417) (0.001) (0.001) (0.001) (0.001) 0.127*** 0.124*** 0.066*** 0.063*** 0.088* 0.091* (0.011) (0.010) (0.010) (0.010) (0.047) (0.047) -0.175*** -0.170*** -0.019 -0.017 -0.117* -0.120* (0.029) (0.029) (0.015) (0.014) (0.066) (0.066) -0.024 -0.020 -0.223** -0.221** 0.883*** 0.880*** (0.116) (0.119) (0.090) (0.088) (0.169) (0.168)	(1) (2) (3) (4) (5) (6) (7) 0.00002 (0.00004) (0.00001) (0.00001) -0.00002 (0.00001) (0.00001) (0.00001) -0.00000 (0.00001) (0.00001) (0.00001) -0.528*

 $^{***}p<0.01,\,^{**}p<0.05,\,^{*}p<0.1.$ Robust standard errors (clustered by congressional district) in parentheses.

The relationship between disenfranchised residents and precinct-level support for Amendment 4, and precinct-level turnout, are nonsignificant in Table 8 despite being significant statewide. Block group-level turnout and roll-off remain negatively associated with the presence of disenfranchised individuals. Importantly, in no model does moving from measuring only formerly incarcerated individuals to measuring all disenfranchised individuals change the sign on a statistically significant relationship. This provides corroboration for the argument that the neighborhood-level results presented in the body of this manuscript, measured using only formerly incarcerated residents, apply to the formerly disenfranchised population more generally.

I next interrogate whether the use of only incarceration records is likely impacting the individual-level analyses presented in the body of the manuscript. I re-run the matching procedure described above, where a registered voter is considered treated if they lived with any disenfranchised individual. Potential controls for this matching procedure are limited to Hillsborough County, where we can be sure registered voters do not live with individuals sentenced to felony probation. The matching procedure is successful at reducing differences between treated and control voters in Hillsborough County.

In Table 9, models 1-4 re-estimate models 1-4 from Table 6, where the pool is limited to treated voters who live in Hillsborough County and their matches. Models 5-8 present the results using the broader treatment definition.

Table 9: General Election Turnout, 2010 – 2018

	Lives with Formerly Incarcerated				Lives with Disenfranchised			
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
2018	0.096***	0.096***	0.098***	0.098***	0.104***	0.104***	0.105***	0.105***
	(0.001)	(0.001)	(0.002)	(0.002)	(0.001)	(0.001)	(0.002)	(0.002)
Treated	-0.062***	-0.063***	-0.073***	-0.073***	-0.066***	-0.066***	-0.078***	-0.078***
	(0.002)	(0.002)	(0.004)	(0.004)	(0.001)	(0.001)	(0.002)	(0.002)
Years Since Latest Incarceration			0.001^{*}	0.0004*			0.001***	0.001***
			(0.0003)	(0.0002)			(0.0002)	(0.0001)
$2018 \times \text{Treated}$	-0.023***	-0.023***	-0.040***	-0.040***	-0.029***	-0.029***	-0.048***	-0.048***
	(0.003)	(0.003)	(0.005)	(0.005)	(0.002)	(0.002)	(0.003)	(0.003)
$2018 \times \text{Years Since}$			-0.0003	-0.0003			-0.0001	-0.0001
			(0.0002)	(0.0002)			(0.0002)	(0.0002)
Treated \times Years Since			0.001***	0.001***			0.002***	0.001***
			(0.0004)	(0.0004)			(0.0003)	(0.0002)
$2018 \times \text{Treated} \times \text{Years Since}$			0.002***	0.002***			0.002***	0.002***
			(0.001)	(0.001)			(0.0003)	(0.0003)
Constant	0.448***	0.048*	0.442***	0.046*	0.440***	0.075***	0.431***	0.073***
	(0.002)	(0.026)	(0.003)	(0.026)	(0.001)	(0.018)	(0.002)	(0.018)
Includes covariates from matching		X		X		X		X
Congressional District fixed effects		X		X		X		X
Observations	$655,\!980$	$655,\!980$	$655,\!980$	$655,\!980$	1,410,870	1,410,870	1,410,870	1,410,870
R^2 Adjusted R^2	0.009 0.009	0.215 0.215	$0.010 \\ 0.010$	0.215 0.215	0.011 0.011	0.210 0.210	0.011 0.011	0.211 0.211
Aujusteu n	0.009	0.210	0.010	0.210	0.011	0.210	0.011	0.411

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In Hillsborough County, the magnitude of the treatment effect grows when we broaden the treatment group to include anyone who lives with a formerly disenfranchised individual. This raises interesting questions about the potential differential spillover effects of living with a formerly incarcerated individual versus with an individual sentenced to felony probation. This may also be due to some housemates of probationed individuals serving as controls in the main analysis, collapsing the distinction between treated and control and producing conservative estimates. Nonetheless, Table 9 provides evidence that the negative treatment effects identified among voters living with formerly incarcerated individuals in the body of this manuscript are likely generalizable to all voters living with disenfranchised individuals.

 $^{^{***}}p<0.01,\,^{**}p<0.05,\,^{*}p<0.1.$ Robust standard errors (clustered at level of match) in paren-

759 Appendix B

When discussing the impact of formerly incarcerated residents on neighborhood turnout and 760 support for Amendment 4 in the body of this paper, I include only a subset of formerly 761 incarcerated residents. I exclude individuals who returned from prison to institutions listed 762 by four or more other formerly incarcerated individuals. I choose to exclude these indi-763 viduals because I am most interested in the relationship between Amendment 4 and the 764 turnout of individuals in proximal contact with the criminal justice system. Walker and 765 García-Castañon (2017) defines proximal contact "as having a loved one who is a custodial 766 citizen without yourself having had contact" (542). Because much of the literature focuses 767 on the mechanisms linking personal relationships, proximal contact, and political partici-768 pation, I limit the sample to formerly incarcerated individuals who are likely returning to 769 neighborhoods with social and familial ties. Nevertheless, living in a neighborhood with a large number of formerly incarcerated indi-771 viduals who reside in institutions like half-way houses or shelters might structure voting 772 behavior. I begin this appendix by re-estimating the models presented in Tables 3 and 4 773 in the body of this paper, but now including all formerly incarcerated residents. Table 10 774 presents the results of these estimations. Model 1 presents the turnout regression estimated 775 at the block group level, while Models 2-4 are estimated using precinct level data.

Table 10: Including All Formerly Incarcerated Residents

	Block Group		Precinct	
	Turnout	Turnout	Support for Am. 4	Roll-Off
	(1)	(2)	(3)	(4)
Formerly Incarcerated Residents	-0.0001*** (0.00001)	-0.00004^{***} (0.00001)	0.00003*** (0.00001)	-0.00001^{***} (0.00000)
Percent White	0.020** (0.008)	0.004 (0.036)	0.072* (0.041)	-0.074^{***} (0.015)
Percent Black	0.040*** (0.008)	-0.005 (0.036)	0.196*** (0.041)	-0.049^{***} (0.015)
Percent Latino	-0.005 (0.008)	-0.091^{**} (0.036)	0.052 (0.041)	-0.052^{***} (0.015)
Percent Asian	0.046*** (0.011)	0.092^* (0.052)	0.243*** (0.059)	-0.099^{***} (0.021)
Percent Male	0.092*** (0.023)	0.319*** (0.055)	-0.389^{***} (0.063)	-0.200^{***} (0.023)
Percent Democrats	0.063*** (0.008)	0.067*** (0.020)	0.191*** (0.023)	0.033*** (0.008)
Percent Republicans	$0.006 \\ (0.008)$	0.023 (0.019)	-0.397^{***} (0.021)	0.041*** (0.008)
Average Age	0.001*** (0.0001)	0.00005 (0.0002)	-0.0003 (0.0002)	0.001*** (0.0001)
Average Income (\$10,000s)	0.002*** (0.0001)	0.002*** (0.0004)	-0.003^{***} (0.0004)	-0.00002 (0.0002)
Percent With Some College	0.086*** (0.003)	0.196*** (0.008)	0.151*** (0.010)	-0.027^{***} (0.003)
Percent Unemployed	-0.006 (0.005)	-0.039^{**} (0.018)	-0.014 (0.021)	-0.020^{***} (0.007)
Constant	-0.189^{***} (0.023)	-0.236^{***} (0.049)	1.030*** (0.056)	0.216*** (0.020)
Congressional District FEs Turnout in 2010 – 2016	X X	X X	X X	X X
Observations R^2 Adjusted R^2	10,817 0.979 0.979	5,797 0.779 0.777	5,797 0.788 0.786	5,797 0.312 0.307

****p < 0.01, ***p < 0.05, *p < 0.1. Robust standard errors (clustered by congressional district) in parentheses.

The inclusion of all formerly incarcerated residents substantially shrinks the size of the
estimated coefficients of interest with respect to the estimates presented in the body of the
manuscript. Nevertheless, turnout (measured at the block group and precinct level) and
roll-off are significantly and negatively related with the formerly incarcerated population in
a neighborhood, and support for Amendment 4 remains positively (and significantly) related.
It appears, then, that formerly incarcerated residents who return to institutions have smaller
spillover effects on their neighbors' voting behavior.

The body of the manuscript also acknowledges that the use of release plan address data may
be unreliable considering the fact that many individuals may have moved or died since their
discharge from parole. This is especially possible for individuals who have not had contact
with the state incarceration agency for many years. To account for this possibility, Table
11 re-estimates the models presented in Tables 3 and 4, but limits the formerly incarcerated
individuals to those residents who were last released from prison between 2015 and the 2018
election. These individuals are the least likely to have died or moved, simply because their
information is the most recent. These models include only individuals who returned to
non-institutions, as presented in the body of the manuscript.

Table 11: Formerly Incarcerated Residents Released Since 1/1/2015

	Block Group		Precinct	
	Turnout	Turnout	Support for Am. 4	Roll-Off
	(1)	(2)	(3)	(4)
Formerly Incarcerated Residents	-0.001***	-0.001***	0.0002***	-0.0001***
v	(0.0001)	(0.0001)	(0.0001)	(0.00002)
Percent White	0.019**	-0.142***	-0.024	-0.028**
	(0.009)	(0.035)	(0.033)	(0.014)
Percent Black	0.040***	-0.131^{***}	0.069**	-0.011
	(0.009)	(0.035)	(0.033)	(0.014)
Percent Latino	-0.007	-0.238***	-0.083**	-0.005
	(0.009)	(0.034)	(0.033)	(0.014)
Percent Asian	0.045***	-0.096	0.150**	-0.012
	(0.012)	(0.062)	(0.059)	(0.025)
Percent Male	0.041	0.392***	-0.285^{***}	-0.155***
	(0.026)	(0.059)	(0.056)	(0.024)
Percent Democrats	0.073***	0.182***	0.088***	0.043***
	(0.009)	(0.022)	(0.021)	(0.009)
Percent Republicans	0.006	0.118***	-0.533^{***}	0.043***
	(0.009)	(0.021)	(0.020)	(0.008)
Average Age	0.001***	0.0003^{*}	0.0002	0.001***
	(0.0001)	(0.0002)	(0.0002)	(0.0001)
Average Income (\$10,000s)	0.002***	0.002***	-0.002***	-0.0001
	(0.0002)	(0.0004)	(0.0004)	(0.0002)
Percent With Some College	0.081***	0.163***	0.161***	-0.030***
	(0.003)	(0.008)	(0.007)	(0.003)
Percent Unemployed	0.0001	-0.028*	-0.040^{***}	-0.0002
	(0.005)	(0.016)	(0.015)	(0.006)
Constant	-0.148^{***}	-0.268***	1.104***	0.114***
	(0.026)	(0.053)	(0.050)	(0.021)
Congressional District FEs	X	X	X	X
<u>Turnout in 2010 – 2016</u>	X	X	X	X
Observations	8,967	4,905	4,905	4,905
\mathbb{R}^2	0.979	0.839	0.897	0.407
Adjusted R ²	0.979	0.837	0.896	0.401

 $^{^{***}}p<0.01,\,^{**}p<0.05,\,^*p<0.1.$ Robust standard errors (clustered by congressional district) in

In each of the models presented in Table 11, the independent variable of interest is statistically significant at the 99 percent level. Moreover, the estimated coefficient is in each 794 case larger than that presented in the body of the manuscript. This could be because using 795 more recent data better identifies communities that are currently home, not just historically 796 home, to formerly incarcerated individuals. On the other hand, a community member's in-797 carceration may be more salient in places where residents were more recently incarcerated. 798 Proximal contact, in other words, might shape voters' behavior more strongly if that contact 799 was recent. The individual-level difference-in-differences regressions presented later in the 800 paper would seem to corroborate this as well. 801