# Lecture 8 – Closure Properties of Regular Languages COSE215: Theory of Computation

Jihyeok Park

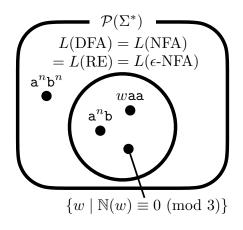


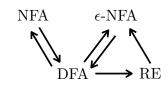
2024 Spring

#### Recall



• Regular Languages





#### Contents



#### 1. Closure Properties of Regular Languages

Union, Concatenation, and Kleene Star

Complement

Intersection

Difference

Reversal

Homomorphism



Let's consider a regular language. For example,

$$L = \{ waa \mid w \in \{a,b\}^* \}$$



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Then, is its **reverse** language  $L^R$  also **regular**?

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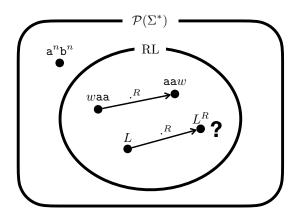
Then, is its **reverse** language  $L^R$  also **regular**?

$$L^R = \{\mathtt{aa}w \mid w \in \{\mathtt{a},\mathtt{b}\}^*\}$$

Yes! We can construct a regular expression whose language is  $L^R$  as:

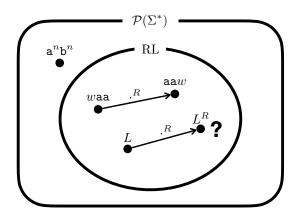
$$L(\mathtt{aa(a|b)}^*) = L^R = \{\mathtt{aa}w \mid w \in \{\mathtt{a},\mathtt{b}\}^*\}$$





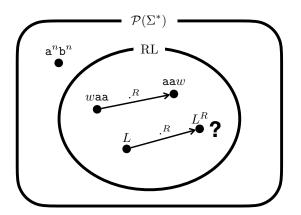
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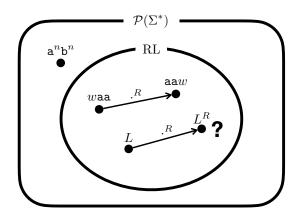
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The class of regular languages is **closed** under the **reversal** operator.

In this lecture, we will discuss and prove the **closure properties** of regular languages for various language operators.



# Definition (Closure Properties)

The class of regular languages is **closed** under an n-ary operator op if and only if  $op(L_1, \dots, L_n)$  is regular for any regular languages  $L_1, \dots, L_n$ . We say that such properties are **closure properties** of regular languages.



## Definition (Closure Properties)

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To prove the closure properties for the n-ary operator op, we need to provide a way to do the following for any regular languages  $L_1, \dots, L_n$ :

- ① Construct a regular expression R whose language is  $op(L_1, \dots, L_n)$  using the regular expressions  $R_1, \dots, R_n$  such that  $L(R) = op(L(R_1), \dots, L(R_n))$ .
- **2** Construct a finite automaton A whose language is  $op(L_1, \dots, L_n)$  using the finite automata  $A_1, \dots, A_n$  such that  $L(A) = op(L(A_1), \dots, L(A_n))$ .



In this lecture, we will prove the closure properties of regular languages for the following operators:

- Union
- Concatenation
- Kleene Star
- Complement
- Intersection
- Difference
- Reversal
- Homomorphism





Theorem (Closure under Union, Concatenation, and Kleene Star)

If  $L_1$  and  $L_2$  are regular languages, then so is  $L_1 \cup L_2$ ,  $L_1L_2$ , and  $L_1^*$ .





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**Proof)** Let  $R_1$  and  $R_2$  be the regular expressions such that  $L(R_1) = L_1$  and  $L(R_2) = L_2$ , respectively.





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$$R_1 \mid R_2$$

$$R_1R_2$$

$$R^*$$

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Consider the following regular expression:

$$R_1 \mid R_2 \qquad \qquad R_1 R_2 \qquad \qquad R^*$$

Then, by the definition of the union  $(\cup)$ , concatenation  $(\cdot)$ , and Kleene star (\*) operators for regular expressions,

$$L(R_1 | R_2) = L_1 \cup L_2$$
  $L(R_1 R_2) = L_1 L_2$   $L(R^*) = L^*$ 

#### Closure under Union, Concatenation, and Kleene Star



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So, we proved that the class of regular languages are **closed** under the **union**, **concatenation**, and **Kleene star** operators.



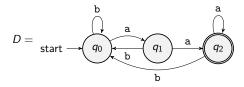
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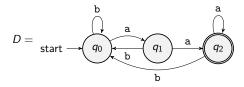


First, consider the above DFA D accepting the language L.

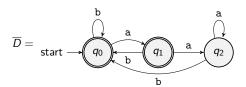


Consider a regular language  $L = \{ waa \mid w \in \{a, b\}^* \}$ .

Is its **complement**  $\overline{L} = \Sigma^* \setminus L$  also regular? **Yes!** 



First, consider the above DFA D accepting the language L.



The key idea is to construct a new DFA  $\overline{D}$  by **swapping** the **final** and **non-final** states of the original DFA:



#### Theorem (Closure under Complement)

If L is a regular language, then so is  $\overline{L}$ .

**Proof)** Let  $D = (Q, \Sigma, \delta, q_0, F)$  be the DFA such that L(D) = L. Consider the following DFA:

$$\overline{D} = (Q, \Sigma, \delta, q_0, Q \setminus F).$$

Then,

$$\forall w \in \Sigma^*, \ w \in L(\overline{D}) \iff \delta^*(q_0, w) \in Q \setminus F$$

$$\iff \delta^*(q_0, w) \notin F$$

$$\iff w \notin L(D)$$

$$\iff w \notin L$$

$$\iff w \in \overline{L}$$



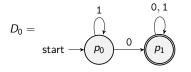
$$L_1 = \{ w \in \{0,1\}^* \mid w \text{ has } 0 \}$$
  $L_2 = \{ w \in \{0,1\}^* \mid w \text{ has } 1 \}$ 

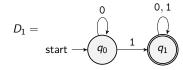
Is the **intersection** of two regular languages  $L_1 \cap L_2$  also regular?



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First, consider the above DFAs  $D_0$  and  $D_1$  accepting the languages  $L_1$  and  $L_2$ , respectively.

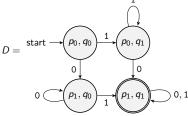


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The key idea is to construct a new DFA D by **combining** them with their **pair of states** as its states.



#### Theorem (Closure under Intersection)

If  $L_0$  and  $L_1$  are regular languages, then so is  $L_0 \cap L_1$ .

**Proof)** Let  $D_0 = (Q_0, \Sigma, \delta_0, q_0, F_0)$  and  $D_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  be the DFA such that  $L(D_0) = L_0$  and  $L(D_1) = L_1$ . Consider the following DFA:

$$D = (Q_0 \times Q_1, \Sigma, \delta, (q_0, q_1), F_0 \times F_1).$$

where  $\forall q \in Q_0, q' \in Q_1, a \in \Sigma$ .  $\delta((q, q'), a) = (\delta_0(q, a), \delta_1(q', a))$ .



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where  $\forall q \in Q_0, q' \in Q_1, a \in \Sigma$ .  $\delta((q, q'), a) = (\delta_0(q, a), \delta_1(q', a))$ . Then,

$$\forall w \in \Sigma^*, \ w \in L(D) \iff \delta^*((q_0, q_1), w) \in F_0 \times F_1$$

$$\iff \delta^*(q_0, w) \in F_0 \text{ and } \delta^*(q_1, w) \in F_1$$

$$\iff w \in L(D_0) \text{ and } w \in L(D_1)$$

$$\iff w \in L(D_0) \cap L(D_1)$$

$$\iff w \in L_0 \cap L_1$$



#### Theorem (Closure under Intersection)

If  $L_0$  and  $L_1$  are regular languages, then so is  $L_0 \cap L_1$ .

**Proof)** Another proof is to use De Morgan's law:

$$L_0\cap L_1=\overline{\overline{L_0}\cup\overline{L_1}}$$

Since we already know that the regular languages are closed under complement and union, we are done.

#### Closure under Difference



## Theorem (Closure under Difference)

If  $L_0$  and  $L_1$  are regular languages, then so is  $L_0 \setminus L_1$ .

**Proof)** Similarly, we can use the following fact:

$$L_0\setminus L_1=L_0\cap \overline{L_1}$$

Since we already know that the regular languages are closed under complement and intersection, we are done.



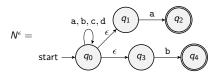
$$L = \{ wa \text{ or } wb \mid w \in \{a, b, c, d\}^* \}$$

Is the **reversal**  $L^R$  of the above regular language L also regular?



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Is the **reversal**  $L^R$  of the above regular language L also regular? **Yes!** 

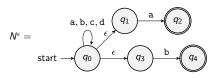


The above  $\epsilon$ -NFA  $N^{\epsilon}$  accepts the language L.

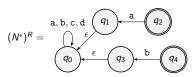


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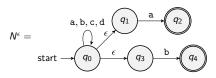
The key idea is to construct a new  $\epsilon$ -NFA  $(N^{\epsilon})^R$  by

- 1 reversing the direction of the transitions
- 2
- 3

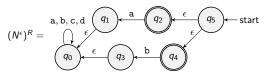


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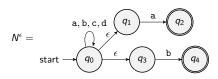
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- **2** adding new initial state having  $\epsilon$ -transitions to the original final states



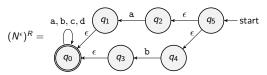


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The key idea is to construct a new  $\epsilon$ -NFA  $(N^{\epsilon})^R$  by

- 1 reversing the direction of the transitions
- **2** adding new initial state having  $\epsilon$ -transitions to the original final states
- change original initial state to the unique new final state



#### Theorem (Closure under Reversal)

If L is a regular language, then so is  $L^R$ .

**Proof)** Let  $N^{\epsilon}=(Q,\Sigma,\delta,q_0,F)$  be the  $\epsilon$ -NFA such that  $L(N^{\epsilon})=L$ . Consider the following

$$(N^{\epsilon})^R = (Q \uplus \{q_s\}, \Sigma, \delta^R, q_s, \{q_0\})$$

where

$$\forall q \in Q. \ \forall a \in \Sigma. \ \delta^{R}(q, \mathbf{a}) = \{q' \in Q \mid q \in \delta(q', \mathbf{a})\}$$

$$\forall q \in Q. \ \delta^{R}(q, \epsilon) = \{q' \in Q \mid q \in \delta(q', \epsilon)\}$$

$$\forall a \in \Sigma. \ \delta^{R}(q_{s}, \mathbf{a}) = \varnothing$$

$$\delta^{R}(q_{s}, \epsilon) = F$$



## Theorem (Closure under Reversal)

If L is a regular language, then so is  $L^R$ .

**Proof)** Another proof is to use the structural induction on the regular expressions. Let R be a regular expression. Then, we can define its reversed regular expression  $R^R$  as follows:

- If  $R = \emptyset$ , then  $R^R = \emptyset$ .
- If  $R = \epsilon$ , then  $R^R = \epsilon$ .
- If R = a, then  $R^R = a$ .
- If  $R = R_0 | R_1$ , then  $R^R = R_0^R | R_1^R$ .
- If  $R = R_0 R_1$ , then  $R^R = R_1^R R_0^R$ .
- If  $R = R_0^*$ , then  $R^R = (R_0^R)^*$ .
- If  $R = (R_0)$ , then  $R^R = (R_0^R)$ .



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- If  $R = R_0 | R_1$ , then  $R^R = R_0^R | R_1^R$ .
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#### Definition (Homomorphism)

Suppose  $\Sigma$  and  $\Gamma$  are two finite sets of symbols. Then, a function

$$h:\Sigma\to\Gamma^*$$

is called a **homomorphism**. For a given word  $w = a_1 a_2 \cdots a_n \in \Sigma^*$ ,

$$h(w) = h(a_1)h(a_2)\cdots h(a_n)$$

For a language L,

$$h(L) = \{h(w) \mid w \in L\}$$



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#### Example (Homomorphism)

Let 
$$\Sigma = \{0, 1\}$$
,  $\Gamma = \{a, b\}$ , and  $h(0) = ab$ ,  $h(1) = a$ . Then,

$$h(10) = aab$$

$$h(010) = abaat$$

$$h(10) = aab$$
  $h(010) = abaab$   $h(1100) = aaabab$ 



## Theorem (Closure under Homomorphism)

If h is a homomorphism and L is a regular language, then so is h(L).

**Proof)** Let R be the regular expression such that L(R) = L. Then, we can define its homomorphic regular expression h(R) as follows:

- If  $R = \emptyset$ , then  $h(R) = \emptyset$ .
- If  $R = \epsilon$ , then  $h(R) = \epsilon$ .
- If R = a, then h(R) = h(a).
- If  $R = R_0 | R_1$ , then  $h(R) = h(R_0) | h(R_1)$ .
- If  $R = R_0 R_1$ , then  $h(R) = h(R_0) h(R_1)$ .
- If  $R = R_0^*$ , then  $h(R) = (h(R_0))^*$ .
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• If 
$$R = R_0 \mid R_1$$
, then  $h(R) = h(R_0) \mid h(R_1)$ .  $R = 0 (0 \mid 1)^* 0^*$ 

• If 
$$R = R_0 R_1$$
, then  $h(R) = h(R_0) h(R_1)$ .

• If 
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• If 
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, then  $h(R) = (h(R_0))$ .  $\Box$   $h(R) = ab(ab|a)^*(ab)^*$ 

h(0) = ab

h(1) = a

# Summary



#### 1. Closure Properties of Regular Languages

Union, Concatenation, and Kleene Star

Complement

Intersection

Difference

Reversal

Homomorphism

#### Next Lecture



• The Pumping Lemma for Regular Languages

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