# Lecture 11 – Context-Free Grammars (CFGs) and Languages (CFLs)

COSE215: Theory of Computation

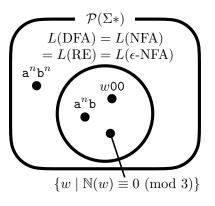
Jihyeok Park

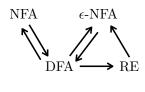


2024 Spring



- Regular Languages
  - Finite Automata DFA, NFA,  $\epsilon$ -NFA
  - Regular Expressions





• Is there a way to describe languages that are not regular?



• Consider the following language:

$$L = \{w \in \{(,)\}^* \mid w \text{ is balanced}\}$$

For example, the following words are in (or not in) L:

$$L \ni \epsilon, (), (()), ()(), (()()), (()()), ((())), ...$$
  
 $L \not\ni (, ), )(, ((), ()), (())), (()(), ...$ 



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- Is this language regular? **No**, we can prove that this language is **not regular** using the **Pumping Lemma** (Do it yourself!).
- Is there a way to describe this language?
- Yes, let's learn Context-Free Grammars (CFGs)!

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### 1. Context-Free Grammars (CFGs)

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- Base Case:  $\epsilon \in L$
- Inductive Case: There are two inductive rules:
  - If  $w \in L$ , then  $(w) \in L$
  - If  $w_1, w_2 \in L$ , then  $w_1 w_2 \in L$



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(()())

(())()

()(())()



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**Context-Free Grammars (CFGs)** provide a way to describe languages with such **inductive rules** to generate words in the language.



### Definition (Context-Free Grammar (CFG))

A context-free grammar is a 4-tuple:

$$G = (V, \Sigma, S, R)$$

#### where

- V: a finite set of variables (nonterminals)
- Σ: a finite set of symbols (terminals)
- $S \in V$ : the **start variable**
- $R \subseteq V \times (V \cup \Sigma)^*$ : a set of **production rules**.



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where R is defined as:

$$S \to \epsilon$$
  $S \to A$   $S \to B$   
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$$S \rightarrow \epsilon \mid A \mid B$$
  $A \rightarrow (S)$   $B \rightarrow SS$ 

We can simplify the notation using the bar (|) notation by **combining** multiple production rules for the **same variable**.





```
// The definition of variables (nonterminals)
type Nt = String
// The type definitions of symbols (terminals)
type Symbol = Char
// The definition of right-hand side of a production rule
case class Rhs(seq: List[Nt | Symbol])
// The definition of context-free grammars
case class CFG(
  nts: Set[Nt],
  symbols: Set[Symbol],
  start: Nt,
  rules: Map[Nt, List[Rhs]],
)
```





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// An example of CFG
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 nts = Set("S", "A", "B"), symbols = Set('(', ')'), start = "S",
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val cfg: CFG = CFG(
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  rules = Map(
    "S" -> List(Rhs(List()), Rhs(List("A")), Rhs(List("B"))),
    "A" -> List(Rhs(List('(', "S", ')'))),
    "B" -> List(Rhs(List("S", "S")))
  ),
)
```



## Definition (Derivation Relation $(\Rightarrow)$ )

Consider a CFG  $G = (V, \Sigma, S, R)$ . If a production rule  $A \to \gamma \in R$  exists, the **derivation relation**  $\Rightarrow \subseteq (V \cup \Sigma)^* \times (V \cup \Sigma)^*$  is defined as:

$$\alpha A\beta \Rightarrow \alpha \gamma \beta$$

for all  $\alpha, \beta \in (V \cup \Sigma)^*$ . We say that  $\alpha A \beta$  derives  $\alpha \gamma \beta$ .



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## Definition (Closure of Derivation Relation $(\Rightarrow^*)$ )

The closure of derivation relation  $\Rightarrow^*$  is defined as:

- (Basis Case)  $\forall \alpha \in (V \cup \Sigma)^*$ .  $\alpha \Rightarrow^* \alpha$
- (Induction Case)  $\forall \alpha, \beta, \gamma \in (V \cup \Sigma)^*$ .  $(\alpha \Rightarrow^* \gamma)$  if

$$(\alpha \Rightarrow \beta) \land (\beta \Rightarrow^* \gamma)$$



$$G = (\{S, A, B\}, \{(,)\}, S, R)$$

$$S \to \epsilon \mid A \mid B \qquad A \to (S) \qquad B \to SS$$

A derivation for (())():



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A derivation for (())():

$$S \Rightarrow B \Rightarrow SS \Rightarrow AS \Rightarrow (S)S$$
  
 $\Rightarrow (A)S \Rightarrow ((S))S \Rightarrow (())S \Rightarrow (())A$   
 $\Rightarrow (())(S) \Rightarrow (())()$ 



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Thus, we can **derive** (or generate/produce) the word (())() from S:

$$S \Rightarrow^* (())()$$



- **Leftmost Derivation** ( $\Rightarrow_L$ ): always derive the *leftmost* variable.
- **Rightmost Derivation** ( $\Rightarrow_R$ ): always derive the *rightmost* variable.



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For example, the **leftmost derivation** for (())():

$$S \Rightarrow_{L} B \Rightarrow_{L} SS \Rightarrow_{L} AS$$

$$\Rightarrow_{L} (S)S \Rightarrow_{L} (A)S \Rightarrow_{L} ((S))S$$

$$\Rightarrow_{L} (())S \Rightarrow_{L} (())A \Rightarrow_{L} (())(S) \Rightarrow_{L} (())(S)$$



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and, the rightmost derivation for (())():

$$S \Rightarrow_{R} B \Rightarrow_{R} SS \Rightarrow_{R} SA$$

$$\Rightarrow_{R} S(S) \Rightarrow_{R} S() \Rightarrow_{R} A()$$

$$\Rightarrow_{R} (S)() \Rightarrow_{R} (A)() \Rightarrow_{R} ((S))() \Rightarrow_{R} (())()$$



## Definition (Sentential Form)

For a given CFG  $G = (V, \Sigma, S, R)$ , a sequence of variables or symbols  $\alpha \in (V \cup \Sigma)^*$  is a **sentential form** if and only if  $S \Rightarrow^* \alpha$ .



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For example, (A)S is a **left-sentential form**:

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For example, (A)S is a **left-sentential form**:

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and, S(S) is a **right-sentential form**:

$$S \Rightarrow_R B \Rightarrow_R SS \Rightarrow_R SA \Rightarrow_R S(S)$$

# Context-Free Languages (CFLs)



### Definition (Language of CFG)

For a given CFG  $G = (V, \Sigma, S, R)$ , the **language** of G is defined as:

$$L(G) = \{ w \in \Sigma^* \mid S \Rightarrow^* w \}$$

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$$G = (\{S, A, B\}, \{(,)\}, S, R)$$

$$S \to \epsilon \mid A \mid B \qquad A \to (S) \qquad B \to SS$$

Then, (())()  $\in L(G)$  because  $S \Rightarrow^*$  (())().



What is the language of the following CFG?

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In addition, it is equivalent to the following CFG:

$$S \rightarrow \epsilon \mid (S)S$$



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The answer is:

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## Summary



### 1. Context-Free Grammars (CFGs)

Definition

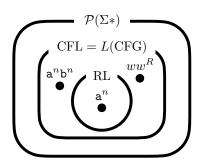
**Derivation Relations** 

Leftmost and Rightmost Derivations

Sentential Forms

Context-Free Languages (CFLs)

Examples



#### Next Lecture



• Examples of Context-Free Grammars

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