

Lecture 24 – Subtype Polymorphism

COSE212: Programming Languages

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2025 Fall

Recall

- **Polymorphism** is to use a single entity as **multiple types**, and there are various kinds of polymorphism:
 - **Parametric polymorphism**
 - **Subtype polymorphism**
 - **Ad-hoc polymorphism**
 - ...

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- In this lecture, we will learn **subtype polymorphism**.

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- **Parametric polymorphism** is a form of polymorphism by introducing **type variables** and instantiating them with **type arguments**.
- **PTFAE** – TFAE with **parametric polymorphism**.
- In this lecture, we will learn **subtype polymorphism**.
- **STFAE** – TFAE with **subtype polymorphism**.
 - **Interpreter and Natural Semantics**
 - **Type Checker and Typing Rules**

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2. STFAE – TFAE with Subtype Polymorphism
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 - Abstract Syntax
3. Interpreter and Natural Semantics for STFAE
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 - Records
 - Field Accesses
 - Exit
 - Immutable Variable Definition
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 - Bottom Type and Top Type
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 - Function Types
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Subtype Polymorphism

To easily explain **subtype polymorphism**, let's support **records** and **record types** to TFAE. (Also, optional type annotations for **val**.)

```
/* STFAE */
// A record with two fields `a` and `b` whose types are `Number`
val x: {a: Number, b: Number} = {a=1, b=2}
x.a    // Access the field `a` of `x` and evaluate to `1`
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Consider the following expression:

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/* STFAE */
val f = (x: ???) => x.a
f({a=1}) + f({a=2, b=3}) + f({c=4, a=5})
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How can we resolve this problem?

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How can we resolve this problem? **Subtype Polymorphism!**

Definition (Subtype Polymorphism)

Subtype polymorphism is a form of polymorphism by introducing **subtype relations** between types.

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/* STFAE */  
val f = (x: {a: Number}) => x.a      // Allow subtypes of `{a: Number}`  
f({a=1}) + f({a=2, b=3}) + f({c=4, a=5})
```

All the following types are **subtypes** of {a: Number}:

{a: Number}

{c: Number, a: Number}

{a: Number, b: Number}

...

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All the following types are **subtypes** of {a: Number}:

{a: Number}	{a: Number, b: Number}
{c: Number, a: Number}	...

It corresponds to the **subset relation** between sets in mathematics, and most programming languages support **subtype polymorphism**.

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It corresponds to the **subset relation** between sets in mathematics, and most programming languages support **subtype polymorphism**.

Subtype relations could be defined for other types (e.g., functions, lists, pairs, data types etc.) as well.

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STFAE – TFAE with Subtype Polymorphism



Now, let's extend TFAE into STFAE to support **subtype polymorphism** with **records** and optional type annotations for **val**.

```
/* STFAE */
val x: {a: Number} = {a=1}           // A record with a field `a`
x.a                                // Access the field `a` of `x`
val x: {a: Top, b: Top} = {a=1, b=x} // `Top` is the top type
val x: Bot = exit                   // Exit the program
...                                 // Not evaluated after `exit`
```

Now, let's extend TFAE into STFAE to support **subtype polymorphism** with **records** and optional type annotations for **val**.

```
/* STFAE */
val x: {a: Number} = {a=1}           // A record with a field `a`
x.a                                // Access the field `a` of `x`
val x: {a: Top, b: Top} = {a=1, b=x} // `Top` is the top type
val x: Bot = exit                   // Exit the program
...                                 // Not evaluated after `exit`
```

For STFAE, we need to extend **expressions** of TFAE with

- ① **Optional Type Annotations for val**
- ② **Records**
- ③ **Field Accesses**
- ④ **Exit** (to immediately exit the program)
- ⑤ **Record Types**
- ⑥ **Bottom Type** (corresponding to the empty set)
- ⑦ **Top Type** (corresponding to the universal set)

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```
<expr>      ::= ...
              | "val" <id> [ ":" <type> ]? "=" <expr> ";"? <expr>
              | "{" [<id> "=" <expr>]*{",","} "}"
              | <expr> "." <id>
              | "exit"
<type>      ::= ...
              | "{" [<id> ":" <type>]*{",","} "}"
              | "Bot"
              | "Top"
```

Duplicate field names are not allowed in records and record types.

Expressions	$\mathbb{E} \ni e ::= \dots$	$\{[x = e]^*\}$ (Record)
	$ \text{ val } x [:\tau]^? = e; e$ (Val)	$e.x$ (Access)
		exit (Exit)
Types	$\mathbb{T} \ni \tau ::= \dots$	\perp (BotT)
	$ \{[x : \tau]^*\}$ (RecordT)	\top (TopT)

```
enum Expr:  
  ...  
  case Val(name: String, tyOpt: Option[Type], init: Expr, body: Expr)  
  case Record(fields: List[(String, Expr)])  
  case Access(record: Expr, field: String)  
  case Exit  
  
enum Type:  
  ...  
  case RecordT(fields: Map[String, Type])  
  case BotT  
  case TopT
```

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For STFAE, we need to 1) implement the **interpreter** with environments:

```
def interp(expr: Expr, env: Env): Value = ???
```

and 2) define the **natural semantics** with environments:

$$\sigma \vdash e \Rightarrow v$$

For STFAE, we need to 1) implement the **interpreter** with environments:

```
def interp(expr: Expr, env: Env): Value = ???
```

and 2) define the **natural semantics** with environments:

$$\boxed{\sigma \vdash e \Rightarrow v}$$

with a new kind of values called **record values**:

$$\begin{array}{ll} \text{Values} & \forall \exists v ::= n \quad (\text{NumV}) \\ & | \langle \lambda x.e, \sigma \rangle \quad (\text{CloV}) \\ & | \{[x = v]^*\} \quad (\text{RecordV}) \end{array}$$

```
enum Value:  
  case NumV(number: BigInt)  
  case CloV(param: String, body: Expr, env: Env)  
  case RecordV(fields: Map[String, Value])
```

```

def interp(expr: Expr, env: Env): Value = expr match
  ...
  case Record(fs) =>
    RecordV(fs.map { case (f, e) => (f, interp(e, env)) }.toMap)
  case Access(r, f) => interp(r, env) match
    case RecordV(fs) => fs.getOrElse(f, error(s"no such field: $f"))
    case v             => error(s"not a record: ${v.str}")
  
```

$$\boxed{\sigma \vdash e \Rightarrow v}$$

$$\text{Record} \frac{\sigma \vdash e_1 \Rightarrow v_1 \quad \dots \quad \sigma \vdash e_n \Rightarrow v_n}{\sigma \vdash \{x_1 = e_1, \dots, x_n = e_n\} \Rightarrow \{x_1 = v_1, \dots, x_n = v_n\}}$$

$$\text{Access} \frac{\sigma \vdash e \Rightarrow \{\dots, x = v, \dots\}}{\sigma \vdash e.x \Rightarrow v}$$

```
def interp(expr: Expr, env: Env): Value = expr match
  ...
  case Exit => error("exit")
```

$$\sigma \vdash e \Rightarrow v$$

There is no rule for exit because it cannot produce any value.

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  ...
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```

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We cannot draw the derivation tree for the following expression:

```
/* STFAE */ 1 + exit
```

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There is no rule for exit because it cannot produce any value.

We cannot draw the derivation tree for the following expression:

```
/* STFAE */ 1 + exit
```

However, we can draw the derivation tree for the following expression:

```
/* STFAE */ (x: Number) => 1 + exit
```

$$\text{Fun} \frac{}{\emptyset \vdash \lambda x:\text{num}.(1 + \text{exit}) \Rightarrow \langle \lambda x.(1 + \text{exit}), \emptyset \rangle}$$

```
def interp(expr: Expr, env: Env): Value = expr match
  ...
  case Val(name, _, expr, body) =>
    interp(body, env + (name -> interp(expr, env)))
```

$$\boxed{\sigma \vdash e \Rightarrow v}$$

$$\text{Val} \quad \frac{\sigma \vdash e_1 \Rightarrow v_1 \quad \sigma[x \mapsto v_1] \vdash e_2 \Rightarrow v_2}{\sigma \vdash \text{val } x = e_1; e_2 \Rightarrow v_2}$$

$$\text{Val}_\tau \quad \frac{\sigma \vdash e_1 \Rightarrow v_1 \quad \sigma[x \mapsto v_1] \vdash e_2 \Rightarrow v_2}{\sigma \vdash \text{val } x : \tau_0 = e_1; e_2 \Rightarrow v_2}$$

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Type Checker and Typing Rules without Subtyping

Let's ① design **typing rules** of STFAE to define when an expression is well-typed in the form of:

$$\boxed{\Gamma \vdash e : \tau}$$

and ② implement a **type checker** in Scala according to typing rules:

```
def typeCheck(expr: Expr, tenv: TypeEnv): Type = ???
```

The type checker returns the **type** of e if it is well-typed, or rejects it and throws a **type error** otherwise.

Similar to TFAE, we will keep track of the **variable types** using a **type environment** Γ as a mapping from variable names to their types.

Type Environments $\Gamma \in \mathbb{X} \xrightarrow{\text{fin}} \mathbb{T}$ (TypeEnv)

```
type TypeEnv = Map[String, Type]
```

Records

```
def typeCheck(expr: Expr, tenv: TypeEnv): Type = expr match
  ...
  case Record(fields) =>
    RecordT(fields.map { case (f, e) => (f, typeCheck(e, tenv)) }.toMap)
```

$$\boxed{\Gamma \vdash e : \tau}$$

$$\tau\text{-Record} \frac{\Gamma \vdash e_1 : \tau_1 \quad \dots \quad \Gamma \vdash e_n : \tau_n}{\Gamma \vdash \{x_1 = e_1, \dots, x_n = e_n\} : \{x_1 : \tau_1, \dots, x_n : \tau_n\}}$$

```
def typeCheck(expr: Expr, tenv: TypeEnv): Type = expr match
  ...
  case Access(record, f) => typeCheck(record, tenv) match
    case RecordT(fs) => fs.getOrElse(f, error(s"no such field: $f"))
    case ty           => error(s"not a record type: ${ty.str}")
```

$$\boxed{\Gamma \vdash e : \tau}$$

$$\tau\text{-Access} \quad \frac{\Gamma \vdash e : \{\dots, x : \tau, \dots\}}{\Gamma \vdash e.x : \tau}$$

```
def typeCheck(expr: Expr, tenv: TypeEnv): Type = expr match
  ...
  case Exit => BotT
```

$$\boxed{\Gamma \vdash e : \tau}$$

$$\tau\text{-Exit} \frac{}{\Gamma \vdash \text{exit} : \perp}$$

```
def typeCheck(expr: Expr, tenv: TypeEnv): Type = expr match
  ...
  case Val(name, tyOpt, expr, body) =>
    val ty = typeCheck(expr, tenv)

    typeCheck(body, tenv + (name -> ty))
```

$$\boxed{\Gamma \vdash e : \tau}$$

$$\tau\text{-Val} \frac{\Gamma \vdash e_1 : \tau_1 \quad \Gamma[x : \tau_1] \vdash e_2 : \tau_2}{\Gamma \vdash \text{val } x = e_1; e_2 : \tau_2}$$

Immutable Variable Definition

```
def typeCheck(expr: Expr, tenv: TypeEnv): Type = expr match
  ...
  case Val(name, tyOpt, expr, body) =>
    val ty = typeCheck(expr, tenv)
    tyOpt.map(givenTy => mustEqual(ty, givenTy))
    val nameTy = tyOpt.getOrElse(ty)
    typeCheck(body, tenv + (name -> nameTy))
```

$$\boxed{\Gamma \vdash e : \tau}$$

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$$\tau\text{-Val}_\tau \frac{\Gamma \vdash e_1 : \tau_1 \quad \tau_1 = \tau_0 \quad \Gamma[x : \tau_0] \vdash e_2 : \tau_2}{\Gamma \vdash \text{val } x : \tau_0 = e_1; e_2 : \tau_2}$$

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Consider the following example:

```
/* STFAE */
val x: {a: Number} = {a=2, b=3}; x.a
```

It fails to type check because:

$$\{a: \text{Number}, b: \text{Number}\} \neq \{a: \text{Number}\}$$

$$\boxed{\Gamma \vdash e : \tau}$$

$$\tau\text{-Val}_\tau \frac{\Gamma \vdash e_1 : \tau_1 \quad \tau_1 = \tau_0 \quad \Gamma[x : \tau_0] \vdash e_2 : \tau_2}{\Gamma \vdash \text{val } x : \tau_0 = e_1; e_2 : \tau_2}$$

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Let's apply **subtype polymorphism** to fix this problem by introducing a **subtype relation** ($<:$) between types.

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Subtype Relation

To support **subtype polymorphism**, we need to define a **subtype relation** $<:$ between types.

$$\tau <: \tau$$

$\tau <: \tau'$ denotes τ is a **subtype** of τ' (or τ' is a **super type** of τ).

To support **subtype polymorphism**, we need to define a **subtype relation** $<:$ between types.

$$\boxed{\tau <: \tau}$$

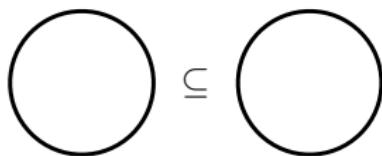
$\tau <: \tau'$ denotes τ is a **subtype** of τ' (or τ' is a **super type** of τ).

First, **subtype relation** is **reflexive** and **transitive**:

$$\frac{}{\tau <: \tau}$$

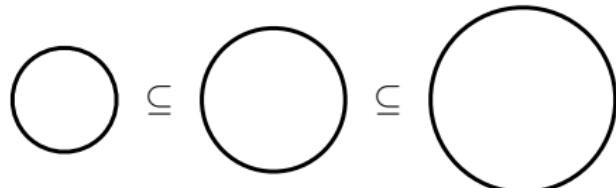
$$\frac{\tau <: \tau' \quad \tau' <: \tau''}{\tau <: \tau''}$$

$$\tau \quad <: \quad \tau$$



Reflexivity

$$\tau \quad <: \quad \tau' \quad <: \quad \tau''$$



Transitivity

$$\tau \leq : \tau$$

The **bottom type** \perp and the **top type** \top represent the **empty set** of values and the **universal set** of values, respectively.

Subtype Relation – Bottom Type and Top Type

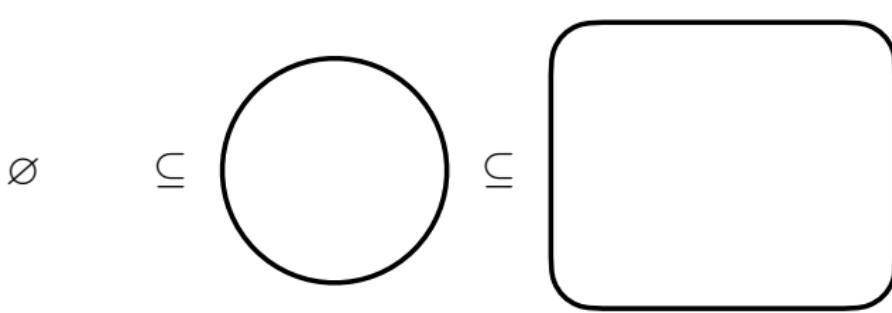
$$\tau <: \tau$$

The **bottom type** \perp and the **top type** \top represent the **empty set** of values and the **universal set** of values, respectively.

Thus, \perp is a subtype of any type, and any type is a subtype of \top :

$$\overline{\perp <: \tau} \quad \overline{\tau <: \top}$$

$$\perp <: \tau \quad \tau <: \top$$



Value Set \mathbb{V}

Subtype Relation – Record Types (1)

$$\tau <: \tau$$

Let's consider the subtype relation between **record types**.

```
/* STFAE */
val f = (x: {a: Number}) => x.a
val y: {a: Number, b: Number} = {a = 1, b = 2}
f(y)
```

If we **add** any new field to a record type, the resulting type should be a subtype of the original type.

$$\overline{\{x_1 : \tau_1, \dots, x_n : \tau_n, x : \tau\} <: \{x_1 : \tau_1, \dots, x_n : \tau_n\}}$$

Subtype Relation – Record Types (2)

$$\tau <: \tau$$

Let's consider the subtype relation between **record types**.

```
/* STFAE */  
val f = (x: {a: Top, b: Top}) => x  
val x: {a: Number, b: Number} = {a = 1, b = 2}  
f(x)
```

If all fields of a record type are **subtypes** of the corresponding fields of another record type, the resulting type should be a subtype of the other.

$$\frac{\tau_1 <: \tau'_1 \quad \dots \quad \tau_n <: \tau'_n}{\{x_1 : \tau_1, \dots, x_n : \tau_n\} <: \{x_1 : \tau'_1, \dots, x_n : \tau'_n\}}$$

$$\tau <: \tau$$

Let's consider the subtype relation between **record types**.

```
/* STFAE */  
val f = (x: {a: Number, b: Number}) => x  
val x: {b: Number, a: Number} = {a = 1, b = 2}  
f(x)
```

If the fields of a record type is a **permutation** of the fields of another record type, the resulting type should be a subtype of the other.

$$\frac{\{x_1 : \tau_1, \dots, x_n : \tau_n\} \text{ is a permutation of } \{x'_1 : \tau'_1, \dots, x'_n : \tau'_n\}}{\{x_1 : \tau_1, \dots, x_n : \tau_n\} <: \{x'_1 : \tau'_1, \dots, x'_n : \tau'_n\}}$$

$$\tau <: \tau$$

Let's consider the subtype relation between **function types**.

Subtype Relation – Function Types

$$\tau <: \tau$$

Let's consider the subtype relation between **function types**.

```
val f = (g: Number => {a: Top}) => g(42).a
```

Subtype Relation – Function Types

$$\tau <: \tau$$

Let's consider the subtype relation between **function types**.

```
val f = (g: Number => {a: Top}) => g(42).a
```

```
val h: Top => {a: Top} = (x: Top) => {a: x}
// (Top => {a: Top}) <: (Number => {a: Top})
f(h)
```

If the **parameter type** τ_1 is a **super type** of the **parameter type** τ'_1 ,
 $\tau_1 \rightarrow \tau_2$ is a subtype of $\tau'_1 \rightarrow \tau_2$.

Subtype Relation – Function Types

$$\tau <: \tau$$

Let's consider the subtype relation between **function types**.

```
val f = (g: Number => {a: Top}) => g(42).a
```

```
val h: Top => {a: Top} = (x: Top) => {a: x}
// (Top => {a: Top}) <: (Number => {a: Top})
f(h)
```

If the **parameter type** τ_1 is a **super type** of the **parameter type** τ'_1 ,
 $\tau_1 \rightarrow \tau_2$ is a subtype of $\tau'_1 \rightarrow \tau_2$.

```
val h: Number => {a: Number} = (x: Number) => {a: x}
// (Number => {a: Number}) <: (Number => {a: Top})
f(h)
```

Reversely, if the **return type** τ_2 is a **subtype** of the **return type** τ'_2 ,
 $\tau_1 \rightarrow \tau_2$ is a subtype of $\tau_1 \rightarrow \tau'_2$.

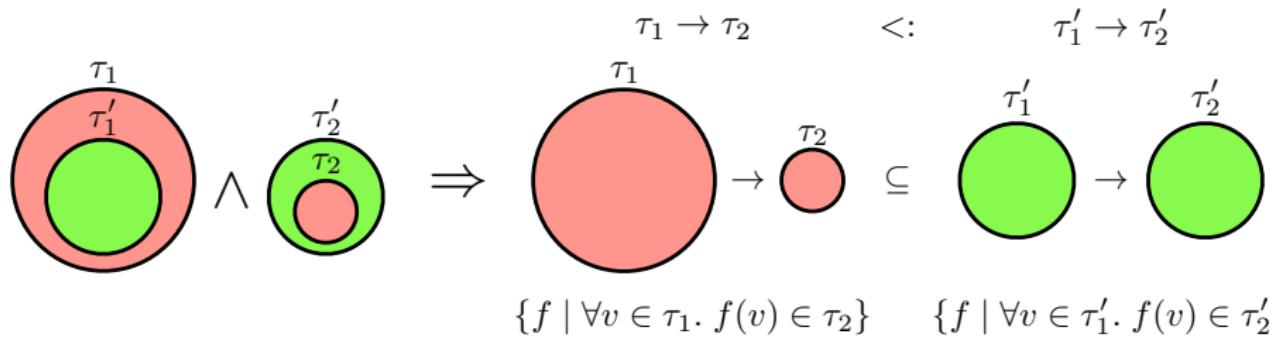
Subtype Relation – Function Types

$$\tau <: \tau$$

If the following conditions are satisfied, $\tau_1 \rightarrow \tau_2$ is a subtype of $\tau'_1 \rightarrow \tau'_2$.

- the **parameter type** τ_1 is a **super type** of the **parameter type** τ'_1 .
- the **return type** τ_2 is a **subtype** of the **return type** τ'_2 .

$$\frac{\tau_1 :> \tau'_1 \quad \tau_2 <: \tau'_2}{(\tau_1 \rightarrow \tau_2) <: (\tau'_1 \rightarrow \tau'_2)}$$



Typing Rules with Subsumption

One possible way to support subtype polymorphism is to add a general **subsumption** rule to the typing rules:

$$\frac{\Gamma \vdash e : \tau \quad \tau <: \tau'}{\Gamma \vdash e : \tau'}$$

With this rule, we can type the following expression.

```
/* STFAE */
val x: {a: Number} = {a=2, b=3}; x.a
```

$$\frac{\begin{array}{c} \dots \\ \overline{\emptyset \vdash \{a = 2, b = 3\} : \{a : \text{num}, b : \text{num}\}} \quad \overline{\{a : \text{num}, b : \text{num}\} <: \{a : \text{num}\}} \\ \dots \end{array}}{\overline{\emptyset \vdash \{a = 2, b = 3\} : \{a : \text{num}\}}} \quad \overline{\emptyset \vdash \text{val } x : \{a : \text{num}\} = \{a = 2, b = 3\}; x.a : \text{num}}$$

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However, it is **not algorithmic** because we don't know which types are required as the result of subsumption.

Algorithmic Typing Rules without Subsumption

Another way is to **directly apply** the subtype relation to the each typing rule **without subsumption rule**, and it is **algorithmic**.

$$\boxed{\Gamma \vdash e : \tau}$$

$$\tau\text{-Add} \quad \frac{\Gamma \vdash e_1 : \tau_1 \quad \tau_1 <: \text{num} \quad \Gamma \vdash e_2 : \tau_2 \quad \tau_2 <: \text{num}}{\Gamma \vdash e_1 + e_2 : \text{num}}$$

$$\tau\text{-Mul} \quad \frac{\Gamma \vdash e_1 : \tau_1 \quad \tau_1 <: \text{num} \quad \Gamma \vdash e_2 : \tau_2 \quad \tau_2 <: \text{num}}{\Gamma \vdash e_1 * e_2 : \text{num}}$$

$$\tau\text{-Val}_\tau \quad \frac{\Gamma \vdash e_1 : \tau_1 \quad \tau_1 <: \tau_0 \quad \Gamma[x : \tau_0] \vdash e_2 : \tau_2}{\Gamma \vdash \text{val } x : \tau_0 = e_1; e_2 : \tau_2}$$

$$\tau\text{-App} \quad \frac{\Gamma \vdash e_0 : \tau_1 \rightarrow \tau_2 \quad \Gamma \vdash e_1 : \tau_3 \quad \tau_3 <: \tau_1}{\Gamma \vdash e_0(e_1) : \tau_2}$$

Summary

1. Subtype Polymorphism
2. STFAE – TFAE with Subtype Polymorphism
 - Concrete Syntax
 - Abstract Syntax
3. Interpreter and Natural Semantics for STFAE
4. Type Checker and Typing Rules without Subtyping
 - Records
 - Field Accesses
 - Exit
 - Immutable Variable Definition
5. Subtype Relation
 - Bottom Type and Top Type
 - Record Types
 - Function Types
 - Typing Rules with Subsumption
 - Algorithmic Typing Rules without Subsumption

Exercise #15

<https://github.com/ku-plrg-classroom/docs/tree/main/cose212/stfae>

- Please see above document on GitHub:
 - Implement typeCheck function.
 - Implement interp function.
- It is just an exercise, and you **don't need to submit** anything.
- However, some exam questions might be related to this exercise.

Next Lecture

- Type Inference (1)

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