# Lecture 1 – Mathematical Preliminaries COSE215: Theory of Computation

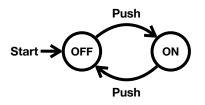
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#### Recall





#### Theorem

The current state is OFF if and only if the button is pushed even times.

• Is it possible to prove it?

Let's learn mathematical background and notation.

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## Notations in Logics



Notation	Description
A, B	arbitrary <b>statements</b> .
P(x)	a <b>predicate</b> that involves a <b>variable</b> x.
$A \wedge B$	the <b>conjunction</b> of $A$ and $B$ . (i.e., " $A$ and $B$ ").
$A \vee B$	the <b>disjunction</b> of $A$ and $B$ . (i.e., " $A$ or $B$ ").
$\neg A$	the <b>negation</b> of A. (i.e., "not A").

(De Morgan's Laws) = 
$$\begin{cases} \neg(A \land B) = \neg A \lor \neg B \\ \neg(A \lor B) = \neg A \land \neg B \end{cases}$$

## Notations in Logics



Notation	Description	
$A \Rightarrow B$	the <b>implication</b> of A and B	
	(i.e., "if $A$ then $B$ " or " $A$ implies $B$ ")	
	(i.e., $\neg A \lor B$ ).	
$A \Leftrightarrow B$	A if and only if (iff) B	
	(i.e., $A \Rightarrow B \land B \Rightarrow A$ ).	
$\forall x \in X. P(x)$	the universal quantifier	
	(i.e., "for all $x$ in $X$ , $P(x)$ holds").	
$\exists x \in X. \ P(x)$	the existential quantifier	
	(i.e., "there exists $x$ in $X$ such that $P(x)$ holds").	

## Notations in Set Theory



- A set is a collection of elements. For example,
  - $\mathbb{Z} = \{\cdots, -2, -1, 0, 1, 2, \cdots\}$
  - $\mathbb{N} = \{0, 1, 2, \cdots\}$
  - $\{x^2 \mid x \in \mathbb{N}\} = \{0, 1, 4, 9, 16, 25, 36, \cdots\}$
  - $\{x \in \mathbb{N} \mid x \equiv 0 \pmod{2}\} = \{0, 2, 4, 6, 8, 10, 12, \cdots\}$

where 
$$a \equiv b \pmod{n} \iff \exists k \in \mathbb{Z}. \ a = b + kn$$

- The empty set is denoted by Ø.
- The **cardinality** of a set X is denoted by |X|.
- A **subset** X of a set Y is denoted by  $X \subseteq Y$ .

$$X \subseteq Y \iff \forall x \in X. \ x \in Y$$

A proper subset X of a set Y is denoted by X ⊂ Y.

$$X \subset Y \iff X \subset Y \land X \neq Y$$

## Notations in Set Theory



• The **union** of sets

$$X \cup Y = \{x \mid x \in X \lor x \in Y\}$$
  
$$\bigcup \mathcal{C} = X_1 \cup X_2 \cup \cdots \cup X_n = \{x \mid \exists X \in \mathcal{C}. \ x \in X\}$$

where  $C = \{X_1, X_2, \cdots, X_n\}$ .

• The **intersection** of sets

$$X \cap Y = \{x \mid x \in X \land x \in Y\}$$
  
 
$$\bigcap \mathcal{C} = X_1 \cap X_2 \cap \cdots \cap X_n = \{x \mid \forall X \in \mathcal{C}. \ x \in X\}$$

where  $C = \{X_1, X_2, \cdots, X_n\}$ .

• The **difference** of sets

$$X \setminus Y = \{x \mid x \in X \land x \notin Y\}$$

## Notations in Set Theory



• The **complement** of a set X is denoted by  $\overline{X}$ .

$$\overline{X} = \{ x \mid x \in U \land x \notin X \}$$

where U is the **universal set**.

• The **power set** of a set X is denoted by  $2^X$  or  $\mathcal{P}(X)$ .

$$2^X = \mathcal{P}(X) = \{Y \mid Y \subseteq X\}$$

• The **Cartesian product** of sets X and Y is denoted by  $X \times Y$ .

$$X \times Y = \{(x, y) \mid x \in X \land y \in Y\}$$

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## Inductions on Integers



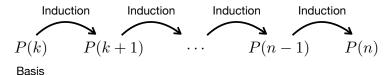
## Definition (Inductions on Integers)

Let P(n) be a predicate on integers, and if

- (Basis Case) P(k) holds where k is an integer, and
- (Induction Case) for all integer  $n \ge k$ ,  $P(n) \Rightarrow P(n+1)$ ,

then P(i) holds for all  $i \geq k$ .

P(n) is called **induction hypothesis**.



## Inductions on Integers – Examples



## Example

Prove that 
$$\forall n \geq 0$$
.  $\sum_{i=0}^{n} i = \frac{n(n+1)}{2}$ .

## Proof)

- (Basis Case): 0 = 0(0+1)/2
- (Induction Case): Assume that it holds for n (I.H.). Then,

$$\sum_{i=0}^{n+1} i = (n+1) + \sum_{i=0}^{n} i$$

$$= (n+1) + \frac{n(n+1)}{2} \qquad (\because I.H.)$$

$$= \frac{(n+1)(n+2)}{2} \quad \Box$$

## Inductions on Integers – Examples



## Example

Prove that 
$$\forall n \geq 0$$
.  $\sum_{i=0}^{n} i^2 = \frac{n(n+1)(2n+1)}{6}$ .

#### Proof)

- (Basis Case):  $0^2 = 0(0+1)(2*0+1)/6$
- (Induction Case): Assume that it holds for n (I.H.). Then,

$$\sum_{i=0}^{n+1} i^2 = (n+1)^2 + \sum_{i=0}^{n} i^2$$

$$= (n+1)^2 + \frac{n(n+1)(2n+1)}{6} \qquad (\because I.H.)$$

$$= \frac{(n+1)(n+2)(2(n+1)+1)}{6} \qquad \Box$$

#### Structural Inductions – Inductive Definitions

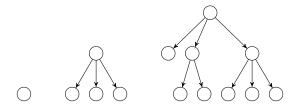


In CS, we often define somethings as **inductively-defined sets**. For example, we can define **trees** as follows:

## Example (Inductive Definition of Trees)

A tree is defined as follows:

- (Basis Case) A single node N is a tree.
- (Induction Case) If  $T_1, \dots, T_n$  are trees, then a graph defined with a new root node N and edges from N to  $T_1, \dots, T_n$  is a tree.



#### Structural Inductions – Inductive Definitions



Another example is a set of arithmetic expressions:

## Example (Inductive Definition of Arithmetic Expressions)

An arithmetic expression is defined as follows:

- (Basis Case) A number or a variable is an arithmetic expression.
- (Induction Case) If E and F are arithmetic expressions, then so are E+F, E\*F, and (E).

42	x	x + y
42 * x	(x)	(x * y) * z
2 + x) * y	x * (x * y)	((((x))))

#### Structural Inductions



#### Definition (Structural Inductions)

Let P(x) be a predicate on a **inductively-defined set** X, and if

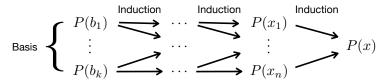
- (Basis Case)  $P(b_1), \dots, P(b_k)$  hold for all basis cases  $b_1, \dots, b_k$ .
- (Induction Case) for all  $x \in X$ ,

$$P(x_1) \wedge \cdots \wedge P(x_n) \Rightarrow P(x)$$

where  $x_1, \dots, x_n$  are the **sub-structures** of x.

then P(x) holds for all  $x \in X$ .

 $P(x_1), \dots, P(x_n)$  are called **induction hypotheses**.



## Structural Inductions – Examples



#### Example

Prove that for all tree T, the number of nodes in T is equal to the number of edges in T plus one.

**Proof)** Let N(T) be the number of node and E(T) be the number of edges in T. Let's prove  $\forall T$ . N(T) = E(T) + 1.

- (Basis Case): N(T) = 1 and E(T) = 0.
- (Induction Case): Assume that it holds for  $T_1, \dots, T_n$  (I.H.). Then,

$$N(T) = 1 + \sum_{i=1}^{n} N(T_i)$$

$$= 1 + \sum_{i=1}^{n} (E(T_i) + 1) \qquad (\because I.H.)$$

$$= 1 + n + \sum_{i=1}^{n} E(T_i)$$

$$= 1 + E(T) \quad \Box$$

## Structural Inductions – Examples



#### Example

Prove that for all arithmetic expression E, the number of left parentheses in E is equal to the number of right parentheses in E.

**Proof)** Let L(E) be the number of left parentheses and R(E) be the number of right parentheses in E. Let's prove  $\forall E$ . L(E) = R(E).

- (Basis Case): L(E) = R(E) = 0 for numbers and variables.
- (Induction Case): Assume that it holds for E and F (I.H.). Then,

$$L(E+F) = L(E) + L(F) = R(E) + R(F) \qquad (\because I.H.)$$

$$= R(E+F) \qquad \Box$$

$$L(E*F) = L(E) + L(F) = R(E) + R(F) \qquad (\because I.H.)$$

$$= R(E*F) \qquad \Box$$

$$L((E)) = L(E) + 1 = R(E) + 1 \qquad (\because I.H.)$$

$$= R((E)) \qquad \Box$$

#### Mutual Inductions



#### Definition (Mutual Inductions)

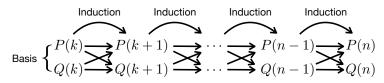
Let P(x) and Q(x) are predicates on integers, and if

- (Basis Case) P(k) and Q(k) hold where k is an integer, and
- (Induction Case) for all  $n \ge k$ ,

$$P(n) \wedge Q(n) \Rightarrow P(n+1) \wedge Q(n+1)$$

then P(i) and Q(i) hold for all  $i \geq k$ .

P(n) and Q(n) are called **induction hypotheses**.



## Mutual Inductions – Examples



#### Theorem

The current state is OFF if and only if the button is pushed even times.

Proof)

## Mutual Inductions – Examples



#### Theorem

The current state is OFF if and only if the button is pushed **even** times, and the current state is ON if and only if the button is pushed **odd** times.

**Proof)** Let S(i) be the current state after i times of pushing. Let's prove

$$\forall i \ge 0. \ S(i) = \mathsf{OFF} \iff i \equiv 0 \ (\mathsf{mod} \ 2) \tag{P}$$

$$\forall i \geq 0. \ S(i) = \mathsf{ON} \iff i \equiv 1 \ (\mathsf{mod} \ 2)$$
 (Q)

- (Basis Case): Known facts: S(0) = OFF and  $0 \equiv 0 \pmod{2}$ 
  - $(P, \Rightarrow)$ :  $0 \equiv 0 \pmod{2} \implies S(0) = OFF \Rightarrow 0 \equiv 0 \pmod{2}$
  - $(P, \Leftarrow)$ :  $S(0) = \mathsf{OFF} \implies S(0) = \mathsf{OFF} \Leftarrow 0 \equiv 0 \pmod{2}$
  - $(Q, \Rightarrow)$ :  $\neg(S(0) = ON) \implies S(0) = ON \Rightarrow 0 \equiv 1 \pmod{2}$
  - $(Q, \Leftarrow)$ :  $\neg (0 \equiv 1 \pmod{2})$   $\Longrightarrow$   $S(0) = ON \Leftarrow 0 \equiv 1 \pmod{2}$

## Mutual Inductions – Examples



• (Induction Case): Assume that it holds for *n* (I.H.):

$$S(n) = \mathsf{OFF} \iff n \equiv 0 \pmod{2}$$
  $(P - I.H.)$   
 $S(n) = \mathsf{ON} \iff n \equiv 1 \pmod{2}$   $(Q - I.H.)$ 

• (*P*, ⇔):

$$S(n+1) = \mathsf{OFF} \iff S(n) = \mathsf{ON}$$
  
 $\iff n \equiv 1 \pmod{2} \quad (\because Q - I.H.)$   
 $\iff n+1 \equiv 0 \pmod{2}$ 

• (*Q*, ⇔):

$$S(n+1) = ON \iff S(n) = OFF$$
  
 $\iff n \equiv 0 \pmod{2} \pmod{2}$   
 $\iff n+1 \equiv 1 \pmod{2}$ 

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## Symbols & Words



- We first define a finite and non-empty set of **symbols**  $\Sigma$ .
- A word  $w \in \Sigma^*$  is a sequence of symbols.
  - $\Sigma = \{0,1\}$  binary symbols.

$$\epsilon,0,1,00,01,10010,\dots \in \Sigma^*$$

•  $\Sigma = \{\mathsf{a},\mathsf{b},\cdots,\mathsf{z}\}$  – lowercase letters.

$$\epsilon$$
, a, b, abc, hello, cs, students,  $\cdots \in \Sigma^*$ 

•  $\Sigma = \{a \mid a \text{ is an Unicode character}\}$  – Unicode characters.

$$\epsilon$$
, 안녕하세요, こんにちは,  $\bigstar lacktriangle lackt$ 

## Symbols & Words



Notation	Description
$\epsilon$	the <b>empty word</b> .
$w_1w_2$	the <b>concatenation</b> of $w_1$ and $w_2$ .
	$(w_1 \text{ is a prefix of } w_1w_2 \text{ and } w_2 \text{ is a suffix of } w_1w_2)$
$w^R$	the <b>reverse</b> of w.
w	the <b>length</b> of w.
$\Sigma^k$	the set of all words of length $k$ .
$\Sigma^*$	the set of all words (the <b>Kleene star</b> ).
	(i.e., $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \dots = \bigcup_{k \geq 0} \Sigma^k$ )
$\Sigma^+$	the set of all words except $\epsilon$ (the <b>Kleene plus</b> ).
	(i.e., $\Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup \dots = \bigcup_{k \geq 1} \Sigma^k$ )

## Languages



A **language**  $L \subseteq \Sigma^*$  is a specific set of words.

When  $\Sigma = \{0, 1\}$ , we can define the following languages:

- $L = \{\epsilon, 0, 1\}$  the empty word, zero, and one.
- $L = \{\epsilon, 0, 1, 00, 01, 10, 11, 000, \dots\}$  all binary words.
- $L = \{0^n 1^n \mid n \ge 0\}$  equal number of consecutive zeros and ones.
- $L = \{10, 11, 101, 111, 1011, \dots\} ???$

## Languages – Operations



• The union, intersection, and difference of languages:

$$L_1 \cup L_2$$
  $L_1 \cap L_2$   $L_1 \setminus L_2$ 

• The reverse of a language:

$$L^R = \{ w^R \mid w \in L \}$$

• The **complement** of a language:

$$\overline{L} = \Sigma^* \setminus L$$

The concatenation of languages:

$$L_1L_2 = \{w_1w_2 \mid w_1 \in L_1 \land w_2 \in L_2\}$$

## Languages – Operations



• The **power** of a language:

$$L^{0} = \{\epsilon\}$$
  

$$L^{n} = L^{n-1}L \qquad (n \ge 1)$$

• The Kleene star of a language:

$$L^* = L^0 \cup L^1 \cup L^2 \cup \dots = \bigcup_{n \ge 0} L^n$$

• The Kleene plus of a language:

$$L^+ = L^1 \cup L^2 \cup L^3 \cup \dots = \bigcup_{n \ge 1} L^n$$

## Summary



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Notations in Logics Notations in Set Theory

#### 2. Inductive Proofs

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#### 3. Notations in Languages

Symbols & Words Languages

#### Next Lecture



Basic Introduction of Scala

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