

# CS182 Introduction to Machine Learning

## Recitation 8

2025.4.16

# Outline

- Correlation & Convolution
- CNN
- Upsampling & Downsampling

# Correlation 相关 & Convolution 卷积

## Correlation

$$w(s, t) \star f(x, y) = \sum_{s=-a}^a \sum_{t=-b}^b w(s, t) f(x + s, y + t)$$

$w_1$	$w_2$	$w_3$
$w_4$	$w_5$	$w_6$
$w_7$	$w_8$	$w_9$

## Convolution

$$w(s, t) \star f(x, y) = \sum_{s=-a}^a \sum_{t=-b}^b w(s, t) f(x - s, y - t)$$

**TABLE 3.5**

Some fundamental properties of convolution and correlation. A dash means that the property does not hold.

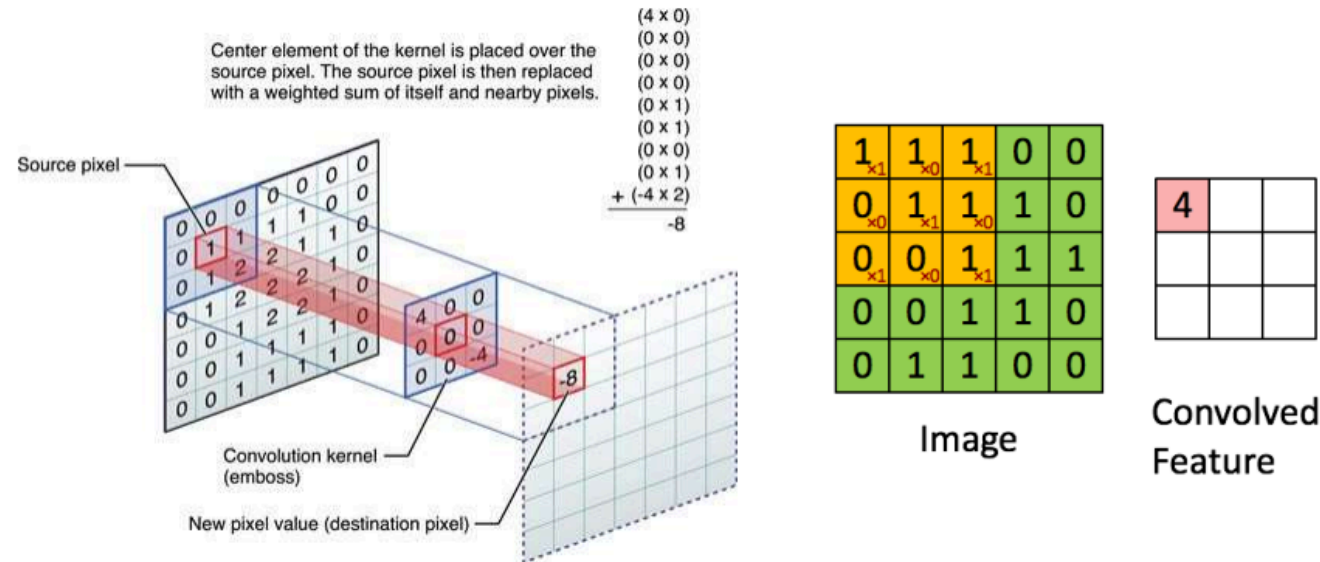
Property	Convolution	Correlation
Commutative	$f \star g = g \star f$	—
Associative	$f \star (g \star h) = (f \star g) \star h$	—
Distributive	$f \star (g + h) = (f \star g) + (f \star h)$	$f \star (g + h) = (f \star g) + (f \star h)$

卷积 = 卷积核反转一下之后做相关

## 2D Convolution

If  $A$  and  $B$  are two 2-D arrays, then:

$$(A * B)_{ij} = \sum_s \sum_t A_{st} B_{i-s, j-t}$$

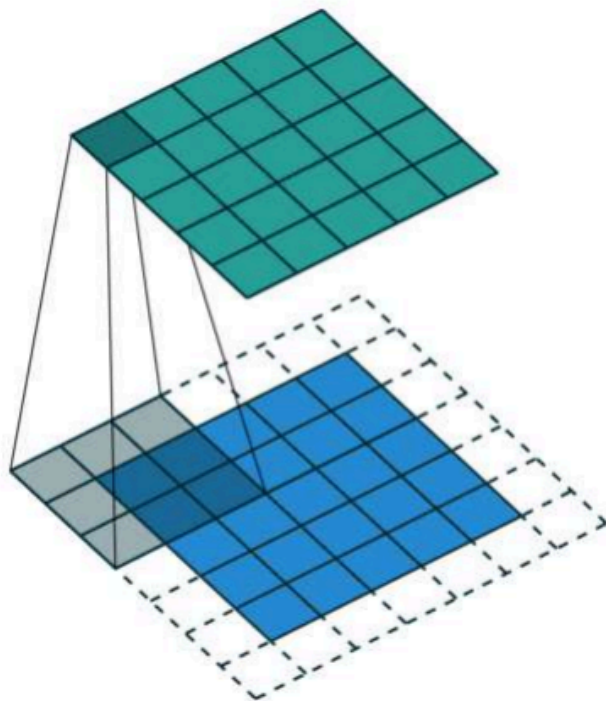


卷积核反转一下就是做一个相关, 因为卷积核的参数是可训练的, 所以在CNN里具体是做的卷积还是做的相关其实并不重要. 实际上torch就是用correlation算的

<https://pytorch.org/docs/stable/generated/torch.nn.Conv2d.html#torch.nn.Conv2d>

# Padding 填充

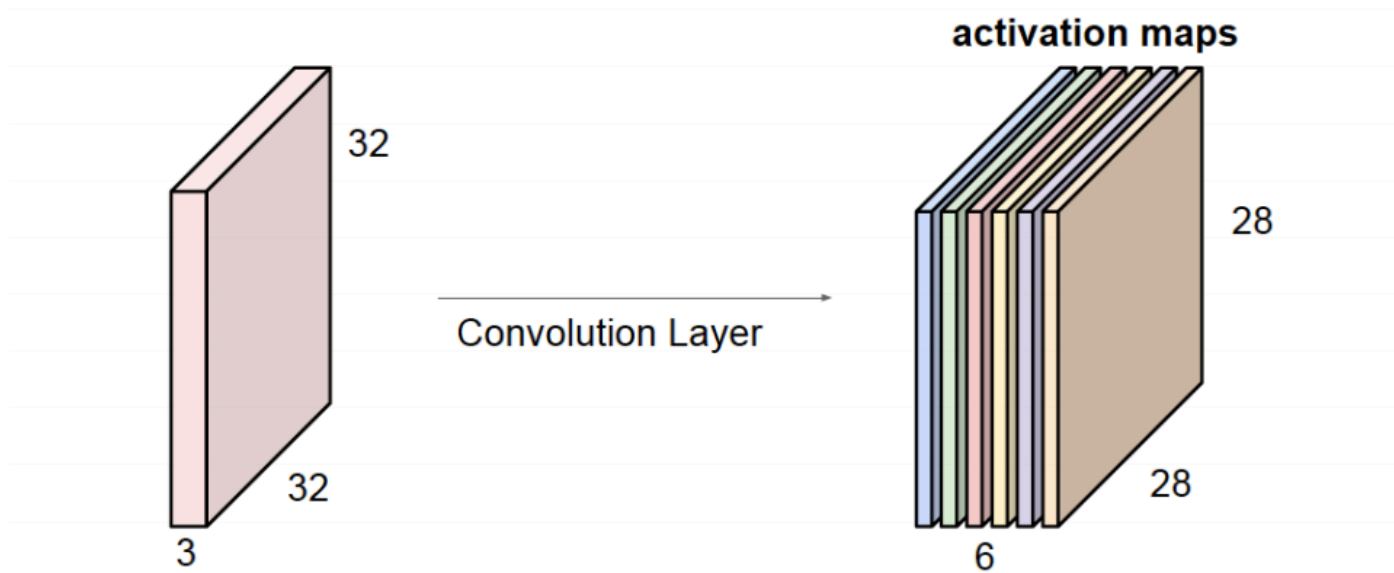
Zero padding to handle non-integer cases or control the output sizes



`padding_mode='zeros' / 'reflect' / 'replicate' / 'circular', default='zeros'`

# Dimension 维度

For example, if we had 6 5x5 filters, we'll get 6 separate activation maps:



We stack these up to get a “new image” of size 28x28x6!

一个 $32 \times 32 \times 3$ 的图像, 经过6个 $5 \times 5 \times 3$ 的卷积核, 没有padding, 步长为1: 变成 $28 \times 28 \times 6$ 的特征图.

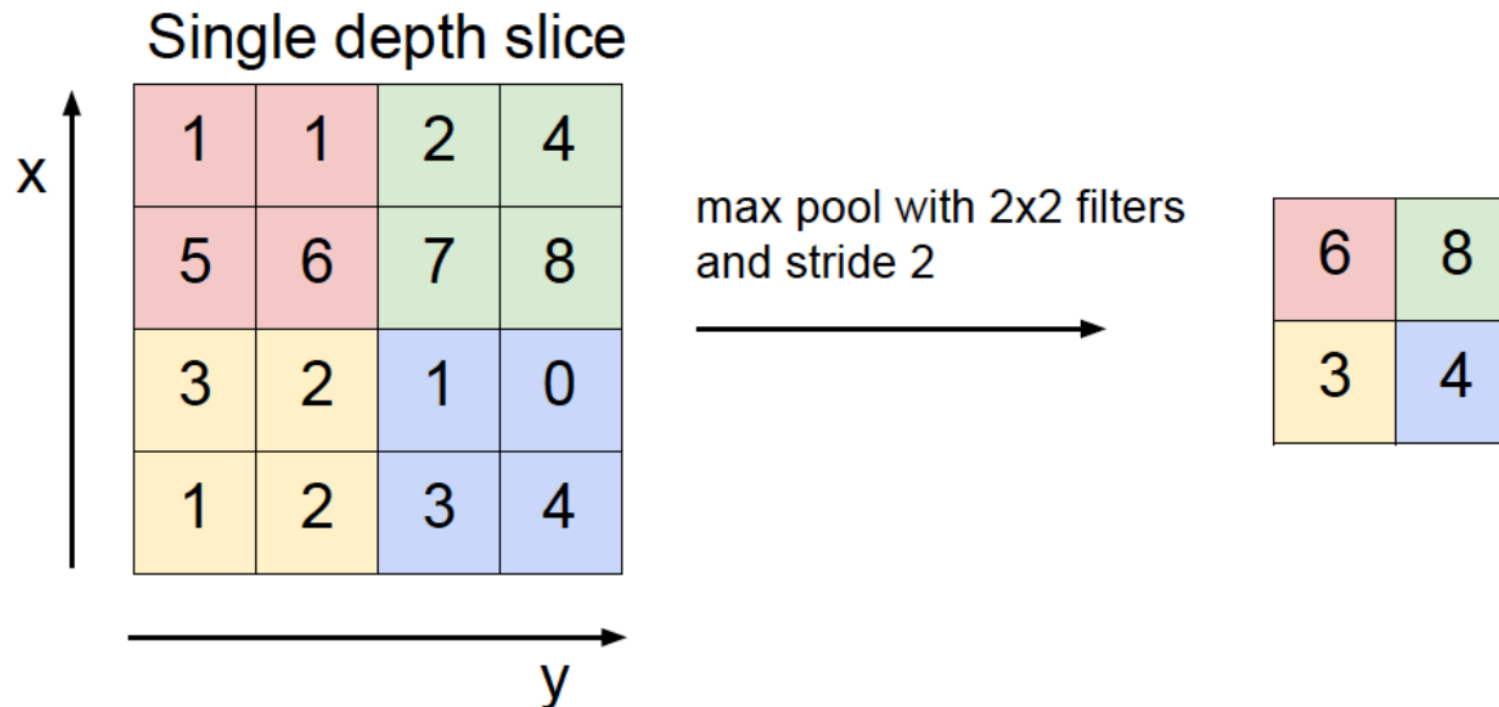
special:  $W * H * D$ 的图像经过 $n$ 个 $1 * 1 * D$ 的卷积核, 得到 $W * H * n$ 的特征图.  
作用: 改变维度数 (feature层面的pooling)

# Dimension of convolution operation 卷积操作的维度

- Accepts a volume of size  $W_1 \times H_1 \times D_1$
- Requires four hyperparameters:
  - Number of filters  $K$ ,
  - their spatial extent  $F$ ,
  - the stride  $S$ ,
  - the amount of zero padding  $P$ .
- Produces a volume of size  $W_2 \times H_2 \times D_2$  where:
  - $W_2 = (W_1 - F + 2P)/S + 1$
  - $H_2 = (H_1 - F + 2P)/S + 1$  (i.e. width and height are computed equally by symmetry)
  - $D_2 = K$
- With parameter sharing, it introduces  $F \cdot F \cdot D_1$  weights per filter, for a total of  $(F \cdot F \cdot D_1) \cdot K$  weights and  $K$  biases.
- In the output volume, the  $d$ -th depth slice (of size  $W_2 \times H_2$ ) is the result of performing a valid convolution of the  $d$ -th filter over the input volume with a stride of  $S$ , and then offset by  $d$ -th bias.

一个  $W_1 * H_1 * D_1$  的图像, 经过  $K$  个  $F * F * D_1$  的卷积核, padding 的大小为  $P$ , 步长为  $S$  最终得到一个  $W_2 * H_2 * D_2$  的特征图

# Upsampling 上采样 & Downsampling 下采样



- upsample: 时域 / 频域 补零
- downsample: max pooling / average pooling / ...



# Dimension of pooling operation 池化操作的维度

- Accepts a volume of size  $W_1 \times H_1 \times D_1$
- Requires three hyperparameters:
  - their spatial extent  $F$ ,
  - the stride  $S$ ,
- Produces a volume of size  $W_2 \times H_2 \times D_2$  where:
  - $W_2 = (W_1 - F)/S + 1$
  - $H_2 = (H_1 - F)/S + 1$
  - $D_2 = D_1$
- Introduces zero parameters since it computes a fixed function of the input
- Note that it is not common to use zero-padding for Pooling layers

pooling操作只改变图像的大小, 不改变特征的维度

一个 $W_1 * H_1 * D_1$ 的图像, 经过感受野 $F * F$ 池化, 步长为 $S$

最终得到一个 $W_2 * H_2 * D_1$ 的特征图

# Have a try!

- LeNet-5

