

# FREE STATES ON CCR ALGEBRAS

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ABSTRACT. Free states on CCR algebras are reviewed with emphasis on transition probabilities among them.

## 1. INTRODUCTION

Let  $\mathcal{A}$  be the  $*$ -algebra of bounded  $\mathbb{C}$ -valued Borel functions on a Borel space  $\Omega$  and  $\mathfrak{P}$  be the set of probability measures on  $\Omega$ .

Consider the free  $\mathcal{A}$ -module over the set of formal symbols  $\{\varphi^{1/2}; \varphi \in \mathfrak{P}\}$  on which we introduce a positive sesquilinear form by

$$\left( \sum_{\varphi \in \mathfrak{P}} a_{\varphi} \varphi^{1/2} \middle| \sum_{\psi \in \mathfrak{P}} b_{\psi} \psi^{1/2} \right) = \sum_{\varphi, \psi \in \mathfrak{P}} \int_{\Omega} \overline{a_{\varphi}(\omega)} b_{\psi}(\omega) \sqrt{\varphi(d\omega)} \sqrt{\psi(d\omega)}.$$

Here the Hellinger integral in the right hand side is defined by

$$\int_{\Omega} f(\omega) \sqrt{\varphi(d\omega)} \sqrt{\psi(d\omega)} = \int_{\Omega} f(\omega) \sqrt{\frac{d\varphi}{d\mu}(\omega) \frac{d\psi}{d\mu}(\omega)} \mu(d\omega)$$

for  $f \in \mathcal{A}$ , where  $\mu$  is any measure majorizing  $\varphi$  and  $\psi$ .

The associated Hilbert space is denoted by  $L^2(\Omega)$ , which contains  $\varphi^{1/2}$  as a special vector so that  $(\varphi^{1/2}|\psi^{1/2}) \geq 0$ . By the way of definition,  $\varphi^{1/2} \perp \psi^{1/2}$  if and only if  $\varphi$  and  $\psi$  are disjoint<sup>1</sup>.

Now let  $\Omega$  be the product Borel space of a sequence of Borel spaces  $\{\Omega_n\}_{n \geq 1}$  and  $\varphi = \prod \varphi_n$  and  $\psi = \prod \psi_n$  be product probability measures on  $\Omega$ .

**Theorem 1.1** (von Neumann-Kakutani).

$$(\varphi^{1/2}|\psi^{1/2}) = \prod_{n \geq 1} (\varphi_n^{1/2}|\psi_n^{1/2}).$$

**Theorem 1.2** (Kakutani's Dichotomy). Assume that  $\varphi_n$  and  $\psi_n$  are equivalent<sup>2</sup> for every  $n \geq 1$ . Then  $\varphi$  and  $\psi$  are either equivalent or disjoint according to  $(\varphi^{1/2}|\psi^{1/2}) > 0$  or  $(\varphi^{1/2}|\psi^{1/2}) = 0$ .

We shall apply these results to so-called gaussian measures. Let  $V$  be a finite-dimensional real vector space. A gaussian measure  $\varphi$  on  $V^*$  is characterized by its Fourier transform (the characteristic function of  $\varphi$ ) by

$$\int_{V^*} e^{i\omega(x)} \varphi(d\omega) = e^{-S(x)/2 + i\alpha(x)}, \quad x \in V,$$

where  $S$  is a positive quadratic form<sup>3</sup> on  $V$  (the covariance form) and  $\alpha : V \rightarrow \mathbb{R}$  is a linear functional (the mean functional). We write  $\varphi = \varphi_{\alpha, S}$ .

**Theorem 1.3.**

$$(\varphi_{\alpha, S}^{1/2}|\varphi_{\beta, T}^{1/2}) = \sqrt{\det \left( \frac{2\sqrt{ST}}{S+T} \right)} e^{-\frac{1}{4}(S+T)^{-1}(\alpha-\beta)},$$

where, given a positive quadratic form  $Q$  on  $V$ ,  $Q^{-1}$  is a quadratic form on  $V^*$  defined by

$$Q^{-1}(f) = \begin{cases} Q(v) & \text{if } f(\cdot) = Q(v, \cdot), \\ +\infty & \text{otherwise.} \end{cases}$$

One may expect similar results for an infinite-dimensional  $V$  as well, but subtleties come into here. To see these, we shall be more specific.

Let  $\mathbb{R}^\infty$  be the set of sequences of real numbers with the product Borel structure. Given a sequence  $S = (s_n)_{n \geq 1}$  of positive reals, let  $\varphi_S$

<sup>1</sup> $\varphi(E) = 1 = \psi(\Omega \setminus E)$  for some Borel  $E \subset \Omega$ .

<sup>2</sup> $\varphi_n(E) = 0$  if and only if  $\psi_n(E) = 0$  for any Borel  $E \subset \omega$ .

<sup>3</sup> $S(x) = S(x, x)$  with  $S(x, y)$  the associated positive sesquilinear form on  $V$ .

be the infinite product of gaussian measures of variances  $s_j$  ( $j \geq 1$ ): If we denote by  $\omega_j$  the  $j$ -th component of  $\omega \in \mathbb{R}^\infty$ , then

$$\int_{\mathbb{R}^\infty} e^{i \sum_{j=1}^n x_j \omega_j} \varphi_S(d\omega) = e^{-\sum_{j=1}^n s_j x_j^2 / 2}.$$

**Proposition 1.4.** For a sequence  $T = \{t_j\} \in \mathbb{R}_+^\infty$  of positive reals and  $\beta \in \mathbb{R}^\infty$ , set

$$\mathbb{R}_{\beta,T}^\infty = \{\omega = (\omega_j) \in \mathbb{R}^\infty; \sum_{j=1}^\infty t_j(\omega_j + \beta_j)^2 < \infty\}.$$

Then

$$\varphi_S(\mathbb{R}_{\beta,T}^\infty) = \begin{cases} 1 & \text{if } \sum_j s_j t_j < \infty \text{ and } \sum_j t_j \beta_j^2 < \infty, \\ 0 & \text{otherwise.} \end{cases}$$

In other words,  $\sum_j t_j(\omega_j + \beta_j)^2 < \infty$  for  $\varphi_S$ -a.e.  $\omega \in \mathbb{R}^\infty$  if  $\sum_j s_j t_j < \infty$  and  $\sum_j t_j \beta_j^2 < \infty$ , whereas  $\sum_j t_j(\omega_j + \beta_j)^2 = \infty$  for  $\varphi_S$ -a.e.  $\omega \in \mathbb{R}^\infty$  if not.

This reveals that, if  $S$  is non-degenerate, then the measure  $\varphi_S$  is not supported by the topological dual of  $V$  with respect to  $S$ . To get a supporting dual, we need to replace  $V$  with a smaller subspace  $V_0$  and endow  $V_0$  with a stronger topology so that  $V_0^* \supset V^*$  is big enough.

A warning is in order here that there is no preferable choice of  $V_0$ . This kind of arbitrariness, however, can be avoided if one works with a formal function algebra  $\mathcal{A}$  generated by  $e^{iv}$  ( $v \in V$ ) and reformulate  $\varphi_{\alpha,S}$  as a positive linear functional on  $\mathcal{A}$ .

In this setting, we can still construct the Hilbert space  $L^2(\mathcal{A})$  without referring to measure spaces so that the square root of  $\varphi_{\alpha,S}$  lives there and exactly the same formula holds for  $(\varphi_{\alpha,S}^{1/2} | \varphi_{\beta,T}^{1/2})$ . Now the Kakutani dichotomy takes the following form for gaussian measures.

**Theorem 1.5.** Two gaussian measures  $\varphi_{\alpha,S}$  and  $\varphi_{\beta,T}$  are equivalent or disjoint according to non-vanishing or vanishing of  $(\varphi_{\alpha,S}^{1/2} | \varphi_{\beta,T}^{1/2})$ .

The main purpose of this series of lectures is to generalize these results in such a way that it allows quantum effects at its most basic level.

## 2. ALGEBRAS AND REPRESENTATIONS

An algebra  $\mathcal{A}$  over  $\mathbb{C}$  is called a **\*-algebra** if it is furnished with a conjugate linear involution  $*$  :  $\mathcal{A} \rightarrow \mathcal{A}$  (called a \*-operation) satisfying

$$(ab)^* = b^* a^*, \quad a, b \in \mathcal{A}.$$

An element  $a$  in a  $*$ -algebra  $\mathcal{A}$  is said to be **hermitian** if  $a^* = a$  and a hermitian element  $p$  is called a **projection** if  $p^2 = p$ . When  $\mathcal{A}$  has a unit 1,  $a$  is said to be **unitary** if  $aa^* = a^*a = 1$ .

A  $*$ -algebra is said to be **unitary**<sup>4</sup> if it is generated by unitaries.

**Example 2.1.** Given a  $*$ -algebra  $\mathcal{A}$ , the  $n \times n$  matrix algebra  $M_n(\mathcal{A})$  with entries in  $\mathcal{A}$  is a  $*$ -algebra.

**Example 2.2.** Let  $\mathbb{C}[X]$  be the polynomial algebra of indeterminate  $X$  and make it into a  $*$ -algebra by  $(\sum_{n \geq 0} a_n X^n)^* = \sum_{n \geq 0} \overline{a_n} X^n$ . Then 0 and 1 are all the projections and constant polynomials of modulus 1 are all the unitaries.

**Example 2.3.** Given a group  $G$ , the free vector space  $\mathbb{C}G$  generated by elements in  $G$  is a  $*$ -algebra (the group algebra) by extending the group product to the algebra multiplication and defining the  $*$ -operation so that elements in  $G$  are unitary. The group algebra  $\mathbb{C}G = \sum_{g \in G} \mathbb{C}g$  is unitary.

**Exercise 1.** Let  $\mathcal{A}$  be the vector space of functions on a group  $G$  of finite support and make it into a  $*$ -algebra (the convolution algebra) by

$$(ab)(g) = \sum_{g'g''=g} a(g')b(g''), \quad a^*(g) = \overline{a(g^{-1})}.$$

The convolution algebra  $\mathcal{A}$  of  $G$  is naturally isomorphic to the group algebra  $\mathbb{C}G$ .

Given  $*$ -algebras  $\mathcal{A}$  and  $\mathcal{B}$ , their direct sum  $\mathcal{A} \oplus \mathcal{B}$  and tensor product  $\mathcal{A} \otimes \mathcal{B}$  are again  $*$ -algebras in an obvious manner.

**Exercise 2.** The matrix algebra  $M_n(\mathcal{A})$  is naturally identified with the tensor product  $M_n(\mathbb{C}) \otimes \mathcal{A}$ .

Let  $\mathcal{H}$  be a pre-Hilbert space;  $\mathcal{H}$  is a complex vector space with a positive definite inner product  $(\cdot | \cdot)$ . A linear operator  $T : \mathcal{H} \rightarrow \mathcal{H}$  is called the **adjoint** of a linear operator  $S : \mathcal{H} \rightarrow \mathcal{H}$  (and denoted by  $S^*$ ) if it satisfies  $(\xi | S\eta) = (T\xi | \eta)$  (for  $\xi, \eta \in \mathcal{H}$ ). A linear operator  $S$  on  $\mathcal{H}$  is said to be bounded (on the unit ball) if  $\|S\| = \sup\{\|S\xi\|; \xi \in \mathcal{H}, \|\xi\| \leq 1\}$  is finite. Let  $\mathcal{L}(\mathcal{H})$  be the set of linear operators on  $\mathcal{H}$  having adjoints, which is a unital  $*$ -algebra in an obvious way. The subset  $\mathcal{B}(\mathcal{H})$  of  $\mathcal{L}(\mathcal{H})$  consisting of bounded operators is a  $*$ -subalgebra. When  $\mathcal{H}$  is complete, a linear operator on  $\mathcal{H}$  has an adjoint if and only if it is bounded thanks to the closed graph theorem and the Riesz lemma, whence  $\mathcal{L}(\mathcal{H}) = \mathcal{B}(\mathcal{H})$ .

<sup>4</sup>This is not a common usage of terminology.

**Exercise 3.** A positive semidefinite sesquilinear form  $(\cdot | \cdot)$  on a complex vector space  $K$  produces a Hilbert space by taking completion after quotient of  $K$ .

By a **\*-representation** of a \*-algebra  $\mathcal{A}$  on a pre-Hilbert space  $\mathcal{H}$ , we shall mean an algebra-homomorphism  $\pi : \mathcal{A} \rightarrow \mathcal{L}(\mathcal{H})$  satisfying  $\pi(a)^* = \pi(a^*)$  for  $a \in \mathcal{A}$ . When  $\pi(\mathcal{A}) \subset \mathcal{B}(\mathcal{H})$ , the \*-representation is said to be **bounded**. If  $\mathcal{A}$  is unitary, any \*-representation is automatically bounded.

If a \*-representation  $(\pi, \mathcal{H})$  is bounded and  $\mathcal{H}$  is complete, we can associate several operator algebras to it:

- (i) A norm-closed operator algebra (C\*-algebra)  $\overline{\pi(\mathcal{A})}$  as a norm-closure of  $\pi(\mathcal{A}) \subset \mathcal{B}(\mathcal{H})$ .
- (ii) A weakly closed operator algebra (W\*-algebra)  $\pi(\mathcal{A})'$  as the commutant  $\{b \in \mathcal{B}(\mathcal{H}); \pi(a)b = b\pi(a), \forall a \in \mathcal{A}\}$  of  $\pi(\mathcal{A}) \subset \mathcal{B}(\mathcal{H})$ .
- (iii) Another W\*-algebra  $\overline{\pi(\mathcal{A})}^w$  as a weak closure of  $\pi(\mathcal{A}) \subset \mathcal{B}(\mathcal{H})$ .

**Theorem 2.4** (von Neumann, [15, Theorem 4.15]). Let  $\mathcal{H}$  be a Hilbert space. For any \*-subalgebra  $\mathcal{B}$  of  $\mathcal{B}(\mathcal{H})$  satisfying  $\overline{\mathcal{B}\mathcal{H}} = \mathcal{H}$ , we have  $\overline{\mathcal{B}}^w = (\mathcal{B}')'$ .

**Exercise 4.** Let  $E \in \mathcal{B}(\mathcal{H})$  be a projection to the closed subspace  $\mathcal{K} \subset \mathcal{H}$ . Then  $\mathcal{B}\mathcal{K} \subset \mathcal{K}$  if and only if  $E \in \mathcal{B}'$ .

It is often convenient to regard the representation space  $\mathcal{H}$  as a left  $\mathcal{A}$ -module by  $a\xi = \pi(a)\xi$ . Thus a right  $\mathcal{A}$ -module structure corresponds to a \*-antirepresentation, i.e., an algebra-antihomomorphism  $\pi : \mathcal{A} \rightarrow \mathcal{L}(\mathcal{H})$  satisfying  $\pi(a)^* = \pi(a^*)$ , by the relation  $\xi a = \pi(a)\xi$ . A pre-Hilbert space  $\mathcal{H}$  is called an  $\mathcal{A}$ - $\mathcal{B}$  bimodule ( $\mathcal{B}$  being another \*-algebra) if we are given a \*-representation  $\lambda : \mathcal{A} \rightarrow \mathcal{L}(\mathcal{H})$  and a \*-antirepresentation  $\rho : \mathcal{B} \rightarrow \mathcal{L}(\mathcal{H})$  satisfying  $\lambda(a)\rho(b) = \rho(b)\lambda(a)$  for  $a \in \mathcal{A}$  and  $b \in \mathcal{B}$ , i.e.,  $(a\xi)b = a(\xi b)$  in the module notation. An  $\mathcal{A}$ - $\mathcal{A}$  bimodule  $\mathcal{H}$  is called a **\*-bimodule** if we are given an antiunitary<sup>5</sup> involution  $\xi^*$  on  $\mathcal{H}$  satisfying  $(a\xi b)^* = b^*\xi^*a^*$  for  $a, b \in \mathcal{A}$  and  $\xi \in \mathcal{H}$ .

Given another bounded \*-representation  ${}_{\mathcal{A}}\mathcal{K}$  of  $\mathcal{A}$  on a Hilbert space  $\mathcal{K}$ , a bounded linear map  $T : \mathcal{H} \rightarrow \mathcal{K}$  is called an **intertwiner** if it satisfies  $T(a\xi) = aT(\xi)$  for  $a \in \mathcal{A}$  and  $\xi \in \mathcal{H}$ . We denote the space of intertwiners by  $\text{Hom}({}_{\mathcal{A}}\mathcal{H}, {}_{\mathcal{A}}\mathcal{K})$ , which is a closed subspace of  $\mathcal{B}(\mathcal{H}, \mathcal{K})$ . When  ${}_{\mathcal{A}}\mathcal{H} = {}_{\mathcal{A}}\mathcal{K}$ ,  $\text{Hom}({}_{\mathcal{A}}\mathcal{H}, {}_{\mathcal{A}}\mathcal{K})$ , which is also denoted by  $\text{End}({}_{\mathcal{A}}\mathcal{H})$ , is equal to the commutant  $\pi(\mathcal{A})'$  of  $\pi(\mathcal{A}) \subset \mathcal{B}(\mathcal{H})$ .

<sup>5</sup>A conjugate-linear operator  $J$  on a pre-Hilbert space  $\mathcal{H}$  is called an antiunitary if  $(J\xi | J\eta) = (\eta | \xi)$  and  $J\mathcal{H} = \mathcal{H}$ .

According to the obvious block representation of linear operators, we have

$$\text{End}({}_A(\mathcal{H} \oplus \mathcal{K})) = \begin{pmatrix} \text{End}(\mathcal{H}) & \text{Hom}(\mathcal{K}, \mathcal{H}) \\ \text{Hom}(\mathcal{H}, \mathcal{K}) & \text{End}(\mathcal{K}) \end{pmatrix}$$

and the information of intertwiners is encoded in the commutant (of a suitable representation).

An  $\mathcal{A}$ -submodule  ${}_A\mathcal{K}$  is called a **subrepresentation** of  ${}_A\mathcal{H}$ . When  $\mathcal{H}$  is complete and  $\mathcal{K}$  is closed, let  $e$  be the projection to  $\mathcal{K} \subset \mathcal{H}$ . Then  $e \in \pi(\mathcal{A})'$  and there is a one-to-one correspondence between (closed) subrepresentations of  ${}_A\mathcal{H}$  and projections in  $\pi(\mathcal{A})'$ .

In what follows, the completeness of  $\mathcal{H}$  is assumed when one talks about bounded representations.

Two bounded  $*$ -representations  $\pi_i : \mathcal{A} \rightarrow \mathcal{B}(\mathcal{H}_i)$  ( $i = 1, 2$ ) are said to be **unitarily equivalent** (resp. **quasi-equivalent**) if we can find a unitary intertwiner  $T : \mathcal{H}_1 \rightarrow \mathcal{H}_2$  (resp. a  $*$ -isomorphism  $\phi : \pi_1(\mathcal{A})'' \rightarrow \pi_2(\mathcal{A})''$  satisfying  $\pi_2(a) = \phi(\pi_1(a))$ ). Quasi-equivalence is an equivalence up to multiplicities:

**Theorem 2.5** (Dixmier, [15, Theorem 5.8]). Two bounded  $*$ -representations  $(\pi_j, \mathcal{H}_j)$  ( $j = 1, 2$ ) are quasi-equivalent if and only if we can find Hilbert spaces  $\mathcal{K}_j$  so that  ${}_A\mathcal{H}_1 \otimes \mathcal{K}_1$  and  ${}_A\mathcal{H}_2 \otimes \mathcal{K}_2$  are unitarily equivalent.

A linear functional  $\varphi$  on a  $*$ -algebra  $\mathcal{A}$  is defined to be **positive** if  $\varphi(a^*a) \geq 0$  for  $a \in \mathcal{A}$ . A positive linear functional  $\varphi$  on a unital  $*$ -algebra  $\mathcal{A}$  is called a **state** if  $\varphi(1_{\mathcal{A}}) = 1$  ( $1_{\mathcal{A}}$  being the unit element of  $\mathcal{A}$ ). A linear functional  $\tau$  on an algebra  $\mathcal{A}$  is called a **trace** or said to be **tracial** if  $\tau(ab) = \tau(ba)$  for  $a, b \in \mathcal{A}$ .

**Example 2.6.** Let  $\mathcal{C}_0(\mathcal{H})$  be the set of finite rank operators on a Hilbert space  $\mathcal{H}$ . Then  $\mathcal{C}_0(\mathcal{H})$  is a  $*$ -ideal of  $\mathcal{B}(\mathcal{H})$  and the ordinary trace defines a positive tracial functional  $\text{tr}$  on  $\mathcal{C}_0(\mathcal{H})$ .

**Example 2.7.** Every probability measure  $\mu$  on the real line of finite moments defines a state on the polynomial algebra  $\mathbb{C}[X]$  by

$$\varphi\left(\sum_n a_n X^n\right) = \sum_n a_n \int_{\mathbb{R}} t^n \mu(dt).$$

Conversely, any state arises in this way (the existence part of the Hamburger moment problem). See §X.1 in Reed-Simon for more information.

**Example 2.8.** In the group algebra  $\mathbb{C}G$ , positive linear functionals  $\varphi$  are one-to-one correspondence with positive definite functions on  $G$  by

restriction and linear extension. The state associated to the positive definition function

$$\delta(g) = \begin{cases} 1 & \text{if } g = e, \\ 0 & \text{otherwise} \end{cases}$$

is called the standard trace.

**Exercise 5.** The standard trace  $\delta$  has the trace property:  $\delta(ab) = \delta(ba)$  for  $a, b \in \mathbb{C}G$ .

Given a positive linear functional  $\varphi$  on a  $*$ -algebra  $\mathcal{A}$ , we define a  $*$ -representation as follows: The inner product  $(a|b) = \varphi(a^*b)$  on  $\mathcal{A}$  is positive semidefinite and the representation space is given by the associated pre-Hilbert space  $\mathcal{H}$ , i.e.,  $\mathcal{H}$  is the quotient vector space relative to the kernel of  $(\cdot | \cdot)$ . The non-degenerate inner product on the quotient space is also denoted by  $(\cdot | \cdot)$ , whereas the quotient vector of  $x \in \mathcal{A}$  in  $\mathcal{H}$  is denoted by  $x\varphi^{1/2}$ . The inner product then looks like  $(x\varphi^{1/2}|y\varphi^{1/2}) = \varphi(x^*y)$  and we introduce a representation  $\pi$  by  $\pi(a)(x\varphi^{1/2}) = (ax)\varphi^{1/2}$ .

**Exercise 6.** Check that the representation  $\pi$  is well-defined.

The representation obtained in this way is referred to as the **GNS-representation**<sup>6</sup> or its process as the GNS-construction. When  $\mathcal{A}$  is unital, we have a distinguished vector  $\varphi^{1/2} = 1_{\mathcal{A}}\varphi^{1/2}$  in the representation space, which is **cyclic** with respect to  $\pi$  in the sense that  $\mathcal{H} = \pi(\mathcal{A})\varphi^{1/2}$ .

Conversely, if we are given a  $*$ -representation  $(\pi, \mathcal{H})$  of a  $*$ -algebra  $\mathcal{A}$  and a cyclic vector  $\xi \in \mathcal{H}$  for  $\pi$ , the formula  $\varphi(a) = (\xi|\pi(a)\xi)$  defines a positive linear functional and the associated GNS-representation is unitarily equivalent to the initial one by the unitary map  $a\varphi^{1/2} \mapsto \pi(a)\xi$  ( $a \in \mathcal{A}$ ).

A positive functional  $\varphi$  is said to be **bounded** if the associated GNS-representation is bounded.

**Exercise 7.** A positive functional is bounded if and only if, given  $a \in \mathcal{A}$ , we can find  $M > 0$  such that  $\varphi(x^*a^*ax) \leq \varphi(x^*x)$  for any  $x \in \mathcal{A}$ .

**Exercise 8.** Formulate the GNS-construction for right  $\mathcal{A}$ -modules.

**Example 2.9.** The GNS-representation associated to the state on  $\mathbb{C}[X]$  realized by a probability measure  $\mu$  on  $\mathbb{R}$  is identified with the multiplication operator by polynomial functions on the Hilbert space  $L^2(\mathbb{R}, \mu)$ .

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<sup>6</sup>Named after I.M. Gelfand, M.A. Naimark and I.E. Segal.

**Example 2.10.** Given a positive trace  $\tau$  on a  $*$ -algebra  $\mathcal{A}$ , the associated GNS-representation space  $\mathcal{A}\tau^{1/2}$  is made into a  $*$ -bimodule by  $(a\tau^{1/2})^* = a^*\tau^{1/2}$  ( $a \in \mathcal{A}$ ).

**Example 2.11.** The GNS-representation of the standard trace of a group algebra  $\mathbb{C}G$  is identified with the regular representation of  $G$ :

$$(a\delta^{1/2}|b\delta^{1/2}) = \delta(a^*b) = \sum_{g \in G} \overline{a_g} b_g \quad \text{for } a = \sum_{g \in G} a_g g, \quad b = \sum_{g \in G} b_g g.$$

By the trace property of  $\delta$ , the representation space  $\ell^2(G)$  is a  $*$ -bimodule of  $\mathbb{C}G$ .

When  $G$  is commutative,  $\ell^2(G)$  is unitarily mapped onto  $L^2(\widehat{G})$  ( $\widehat{G}$  being the Pontryagin dual of  $G$ ) with the representation of  $\mathbb{C}G$  unitarily transformed into the multiplication operator on  $L^2(\widehat{G})$  given by the function

$$\widehat{G} \ni \omega \mapsto \sum_{g \in G} a_g \langle g, \omega \rangle \quad \text{for } a = \sum_{g \in G} a_g g \in \mathbb{C}G.$$

**Exercise 9.** For  $G = \mathbb{Z}$ , identify  $\widehat{\mathbb{Z}}$  with  $\mathbb{T}$  and the unitary map  $\ell^2(\mathbb{Z}) \rightarrow L^2(\mathbb{T})$  with the Fourier expansion.

**Definition 2.12.** Given a vector  $\eta$  in a Hilbert space  $\mathcal{H}$ , the linear functional  $\eta^* : \mathcal{H} \rightarrow \mathbb{C}$  is defined by  $\eta^*(\xi) = (\eta|\xi)$  for  $\xi \in \mathcal{H}$ . By Riesz lemma, the dual space  $\mathcal{H}^*$  of  $\mathcal{H}$  is of the form  $\mathcal{H}^* = \{\eta^*; \eta \in \mathcal{H}\}$  and it is a Hilbert space by the inner product  $(\xi^*|\eta^*) = (\eta|\xi)$ . The  $*$ -algebra  $\mathcal{B}(\mathcal{H})$  then naturally acts on  $\mathcal{H}^*$  from the right by  $\eta^*a = (a^*\eta)^*$ . For  $\xi, \eta \in \mathcal{H}$ , define a rank one operator  $\xi\eta^* \in \mathcal{C}_0(\mathcal{H})$  by<sup>7</sup>

$$(\xi\eta^*)\zeta = (\eta|\zeta)\xi, \quad \zeta \in \mathcal{H}.$$

The notation is compatible with the multiplications by elements in  $\mathcal{B}(\mathcal{H})$ :  $a(\xi\eta^*)b = (a\xi)(\eta^*b)$ .

**Example 2.13.** Let  $\text{tr}$  be the ordinary trace on the finite rank operator algebra  $\mathcal{C}_0(\mathcal{H})$ . Then the correspondence  $\xi\eta^*\text{tr}^{1/2} \mapsto \xi \otimes \eta^*$  gives rise to a unitary map from the GNS-representation space  $\mathcal{C}_0(\mathcal{H})\text{tr}^{1/2}$  onto  $\mathcal{H} \otimes \mathcal{H}^*$ .

On a  $*$ -algebra  $\mathcal{A}$ , we introduce a seminorm  $\|\cdot\|_{C^*}$  by

$$\|a\|_{C^*} = \sup\{\|\pi(a)\|; \pi \text{ is a bounded } * \text{-representation}\},$$

which satisfies

$$\|ab\|_{C^*} \leq \|a\|_{C^*} \|b\|_{C^*}, \quad \|a^*a\|_{C^*} = \|a\|_{C^*}^2.$$

<sup>7</sup>According to Dirac,  $\xi\eta^*$  is often denoted by  $|\xi\rangle\langle\eta|$ .



The completion of the quotient  $*$ -algebra  $\mathcal{A}/\mathcal{J}$  relative to  $\|\cdot\|_{C^*}$  ( $\mathcal{J} = \{a \in \mathcal{A}; \|a\|_{C^*} = 0\}$ ) is a  $C^*$ -algebra, which is universal in the sense that any bounded  $*$ -representation of  $\mathcal{A}$  splits through  $A$  in a unique way. Thus, instead of bounded  $*$ -representations of  $\mathcal{A}$ , we can work with  $*$ -representations of  $A$ .

**Exercise 10.** Check the following:  $\|a^*\|_{C^*} = \|a\|_{C^*}$  for  $a \in \mathcal{A}$  and  $\{a \in \mathcal{A}; \|a\|_{C^*} = 0\}$  is a  $*$ -ideal of  $\mathcal{A}$ .

**Example 2.14.** The closure of the finite rank operator algebra  $\mathcal{C}_0(\mathcal{H})$  in the operator topology on  $\mathcal{B}(\mathcal{H})$  is a  $C^*$ -algebra as a norm-closed  $*$ -ideal of  $\mathcal{B}(\mathcal{H})$ , which is referred to as the **compact operator** algebra and denoted by  $\mathcal{C}(\mathcal{H})$ .

The norm  $\|a\|_2 = \|a \operatorname{tr}^{1/2}\| = \sqrt{\operatorname{tr}(a^*a)}$  on  $\mathcal{C}_0(\mathcal{H})$  is known to be the **Hilbert-Schmidt norm** and satisfies

$$\|ab\|_2 \leq \|a\| \|b\|_2, \quad \|b^*\|_2 = \|b\|_2 \geq \|b\|, \quad a \in \mathcal{B}(\mathcal{H}), b \in \mathcal{C}_0(\mathcal{H}).$$

Thus the completion  $\mathcal{C}_2(\mathcal{H})$  of  $\mathcal{C}_0(\mathcal{H})$  relative to the Hilbert-Schmidt norm, which is included in  $\mathcal{C}(\mathcal{H})$  as a  $*$ -ideal of  $\mathcal{B}(\mathcal{H})$  and is, at the same time, isomorphic to  $\mathcal{H} \otimes \mathcal{H}^*$ . In other words,  $\mathcal{C}_2(\mathcal{H}) \cong \mathcal{H} \otimes \mathcal{H}^*$  is a  $*$ -bimodule of  $\mathcal{B}(\mathcal{H})$ .

The norm  $\|a\|_1 = \sup\{|\operatorname{tr}(ab)|; b \in \mathcal{C}_0(\mathcal{H}), \|b\| \leq 1\}$  on  $\mathcal{C}_0(\mathcal{H})$  is known to be the **trace norm** and satisfies

$$\|ab\|_1 \leq \|a\| \|b\|_1, \quad \|b^*\|_1 = \|b\|_1 \geq \|b\|_2, \quad |\operatorname{tr}(b)| \leq \|b\|_1$$

for  $a \in \mathcal{B}(\mathcal{H}), b \in \mathcal{C}_0(\mathcal{H})$ . Thus the completion of  $\mathcal{C}_0(\mathcal{H})$  relative to the trace norm, which is included in  $\mathcal{C}_2(\mathcal{H})$  and denoted by  $\mathcal{C}_1(\mathcal{H})$ , is a Banach  $*$ -algebra and realized as a  $*$ -ideal of  $\mathcal{B}(\mathcal{H})$  with the trace functional extended to  $\mathcal{C}_1(\mathcal{H})$  by continuity.

$$\mathcal{C}_0(\mathcal{H}) \subset \mathcal{C}_1(\mathcal{H}) \subset \mathcal{C}_2(\mathcal{H}) \subset \mathcal{C}(\mathcal{H}) \subset \mathcal{B}(\mathcal{H}).$$

**Exercise 11.** Check the inequalities for the Hilbert-Schmidt and the trace norms.

**Exercise 12.** Show that, for a positive operator  $a \in \mathcal{B}(\mathcal{H})$ ,

$$\operatorname{tr}(a) = \sum_j (\xi_j | a \xi_j)$$

does not depend on the choice of an orthonormal basis  $\{\xi_j\}$  in  $\mathcal{H}$ .

**Exercise 13.** Show that  $a \in \mathcal{B}(\mathcal{H})$  belongs to  $\mathcal{C}_1(\mathcal{H})$  if and only if  $\operatorname{tr}(|a|) < \infty$ . Here  $|a| = \sqrt{a^*a}$ . If this is the case,  $\|a\|_1 = \operatorname{tr}(|a|)$ .

**Exercise 14.** Show that  $\mathcal{C}_1(\mathcal{H}) = \mathcal{C}_2(\mathcal{H})\mathcal{C}_2(\mathcal{H})$  and deduce the inequality  $\|ab\|_1 \leq \|a\|_2 \|b\|_2$  from  $|\operatorname{tr}(ab)| \leq \|a\|_2 \|b\|_2$  (the Cauchy-Schwarz inequality).

**Proposition 2.15.** Given a bounded positive linear functional  $\varphi$  on  $\mathcal{C}(\mathcal{H})$ , we can find a positive operator  $\rho \in \mathcal{C}_1(\mathcal{H})$  such that  $\varphi(x) = \text{tr}(\rho x)$  for  $x \in \mathcal{C}(\mathcal{H})$ . Moreover,  $\rho^{1/2} \in \mathcal{C}_2(\mathcal{H})$  is identified with  $\varphi^{1/2}$  by the left multiplication of  $\mathcal{C}(\mathcal{H})$ .

*Proof.* Define a positive sesquilinear form  $\Phi$  on  $\mathcal{H}$  by  $\Phi(\xi, \eta) = \varphi(\eta\xi^*)$ . Then, from  $\|\xi\xi^*\| = \|\xi\|^2$ , we have  $\Phi(\xi, \xi) \leq \|\varphi\|(\xi|\xi)$  and therefore a positive operator  $\rho$  satisfying  $\varphi(\eta\xi^*) = (\xi|\rho\eta)$  for  $\xi, \eta \in \mathcal{H}$ . If  $\{\xi_j\}$  is an orthonormal basis,  $\sum_{j=1}^n \xi_j\xi_j^*$  is a projection in  $\mathcal{C}_0(\mathcal{H})$  and

$$\sum_{j=1}^n (\xi_j|\rho\xi_j) = \varphi\left(\sum_{j=1}^n \xi_j\xi_j^*\right) \leq \|\varphi\|$$

shows that  $\text{tr}(\rho) = \sum_{j=1}^\infty (\xi_j|\rho\xi_j)$  is finite, i.e.,  $\rho$  is in the trace class. Now  $\varphi(\eta\xi^*) = \text{tr}(\rho(\eta\xi^*))$  is extended to  $x \in \mathcal{C}(\mathcal{H})$  by linearity and then by continuity.  $\square$

**Exercise 15.** Through the identification  $\mathcal{C}_2(\mathcal{H}) = \mathcal{H} \otimes \mathcal{H}^*$ ,  $\overline{\mathcal{C}(\mathcal{H})\rho^{1/2}} = \mathcal{H} \otimes \mathcal{H}^*[\rho]$ , where  $[\rho]$  denotes the support projection of  $\rho$ .

A bounded  $*$ -representation  ${}_A\mathcal{H}$  is said to be **irreducible** if  $\text{End}({}_A\mathcal{H}) = \mathbb{C}1_{\mathcal{H}}$ . A positive functional is said to be **pure** if the associated GNS-representation is irreducible. A family  $\{{}_A\mathcal{H}_j\}$  of bounded  $*$ -representations is said to be **disjoint** if  $\text{Hom}({}_A\mathcal{H}_j, {}_A\mathcal{H}_k) = \{0\}$  for  $j \neq k$ . Two bounded positive functionals  $\varphi$  and  $\psi$  of  $A$  are said to be **disjoint** (resp. **quasi-equivalent**) if the associated GNS representations are disjoint (resp. quasi-equivalent).

**Lemma 2.16.** Let  $\omega$  be a positive functional on a unitary algebra  $\mathcal{A}$  with  $\pi : \mathcal{A} \rightarrow \mathcal{B}(\mathcal{H})$  the associated GNS-representation. Then the following formula gives a one-to-one correspondence between positive functionals  $\omega_T$  on  $\mathcal{A}$  majorized by  $\omega$  and positive operators  $T$  in the commutant  $\pi(\mathcal{A})' = \{T \in \mathcal{B}(\mathcal{H}); T\pi(a) = \pi(a)T, \forall a \in \mathcal{A}\}$  majorized by the identity operator  $1_H$ .

$$\omega_T(a) = (T\omega^{1/2}|\pi(a)\omega^{1/2}), \quad a \in \mathcal{A}.$$

*Proof.* Let  $\varphi$  be majorized by  $\omega$ , i.e.,  $\varphi(a^*a) \leq \omega(a^*a)$  for  $a \in \mathcal{A}$ . Then by Schwarz inequality

$$|\varphi(x^*y)| \leq \varphi(x^*x)^{1/2}\varphi(y^*y)^{1/2} \leq \omega(x^*x)^{1/2}\omega(y^*y)^{1/2} = \|x\omega^{1/2}\| \|y\omega^{1/2}\|,$$

we see that  $x\omega^{1/2} \times y\omega^{1/2} \mapsto \varphi(x^*y)$  gives a bounded sesquilinear form on the completed Hilbert space  $\mathcal{H}$ , whence we can find a bounded linear operator  $T$  on  $\mathcal{H}$  satisfying

$$\varphi(x^*y) = (x\omega^{1/2}|T(y\omega^{1/2})) \quad x, y \in \mathcal{A}.$$

By equating  $\varphi(x^*(ay))$  and  $\varphi((a^*x)^*y)$ , we have  $T \in \pi(\mathcal{A})'$ . Furthermore, the condition  $0 \leq \varphi(a^*a) \leq \omega(a^*a)$  means the operator inequality  $0 \leq T \leq 1_{\mathcal{H}}$ .

The converse implication is immediate and the proof is left to the reader.  $\square$

**Theorem 2.17.** Let  $\mathcal{A}$  be a  $*$ -algebra.

- (i) A bounded positive functional  $\varphi$  on  $\mathcal{A}$  is pure if and only if any positive functional  $\psi$  satisfying  $\psi \leq \varphi$  is proportional to  $\varphi$ .
- (ii) A bounded  $*$ -representation  ${}_A\mathcal{H}$  is irreducible if and only if  $\overline{A\xi} = \mathcal{H}$  for any  $0 \neq \xi \in \mathcal{H}$ .
- (iii) Two bounded  $*$ -representations  ${}_A\mathcal{H}$  and  ${}_A\mathcal{K}$  are not disjoint if and only if we can find non-zero subrepresentations  ${}_A\mathcal{H}' \subset {}_A\mathcal{H}$  and  ${}_A\mathcal{K}' \subset {}_A\mathcal{K}$  such that  ${}_A\mathcal{H}'$  and  ${}_A\mathcal{K}'$  are unitarily equivalent.

**Corollary 2.18.** The set of pure states of a unital  $*$ -algebra  $\mathcal{A}$  is invariant under  $*$ -automorphisms of  $\mathcal{A}$ .

**Exercise 16.** Prove the theorem.

**2.1. Von Neumann's Reduction Theory.** In the study of group structures, one of key strategies is to focus on commutative subgroups such as  $\mathbb{Z}_n$ ,  $\mathbb{Z}$  and  $\mathbb{T}$ , where Fourier analysis plays significant roles (Pontryagin duality, 1934).

**Theorem 2.19** (Gelfand, [15, Theorem 2.22]). Any commutative  $C^*$ -algebra  $C$  is naturally isomorphic to  $C_0(\Omega)$  (the  $C^*$ -algebra of continuous functions vanishing at infinity), where a locally compact space  $\Omega$  is captured as the Gelfand spectrum  $\sigma_C = \{\omega : C \rightarrow \mathbb{C}; \chi \text{ is a one-dimensional } * \text{-representation of } C\}$ .

**Example 2.20.** Let  $V$  be a finite-dimensional real vector space and let  $C_c(V)$  be the vector space of  $\mathbb{C}$ -valued continuous functions of compact support, which is a  $*$ -algebra by the convolution product

$$(f \star g)(v) = \int_V dv' f(v')g(v - v'), \quad f^*(v) = \overline{f(-v)}.$$

Here  $dv'$  is a preassigned Lebesgue measure on  $V$ .

**Proposition 2.21.** There exists a one-to-one correspondence between a continuous unitary representation  $U$  of the vector group  $V$  and a bounded  $*$ -representation  $\pi$  of  $C_c(V)$ .

$$\pi(f) = \int_V f(v)U(v)dv, \quad U(v)(\pi(f)\xi) = \pi(v.f)\xi.$$

Here  $(v.f)(v') = f(v' - v)$ .

**Exercise 17.** Prove the proposition.

**Example 2.22.** Let  $C$  be the commutative  $C^*$ -algebra associated to  $C_c(V)$ . Then  $\sigma_C = V^*$  by

$$\chi : \int_V f(v) e^{iv} dv \mapsto \int_V f(v) e^{i\omega(v)} dv = \widehat{f}(\omega).$$

and  $C_c(V) \ni f \mapsto \widehat{f} \in C_0(V^*)$  is extended to an isomorphism  $C \cong C_0(V^*)$ . Note that  $\lim_{\omega \rightarrow \infty} \widehat{f}(\omega) = 0$  by Riemann-Lebesgue lemma.

Consider a  $*$ -representation  $\pi$  of a  $C^*$ -algebra  $A$  on a Hilbert space  $\mathcal{H}$ . Let  $C \subset A$  be a central  $C^*$ -subalgebra and express  $C = C(\Omega)$  with  $\Omega$  a compact Hausdorff space.

**Theorem 2.23** (Riesz-Radon-Banach-Markov-Kakutani). There is a one-to-one correspondence between states, say  $\phi$ , on  $C$  and probability measures (Radon measures), say  $\mu$ , on  $\Omega$ .

$$\phi(f) = \int_{\Omega} f(\omega) \mu(d\omega).$$

In what follows,  $\phi$  is identified with the associated Radon measure.

From here on,  $\mathcal{H}$  is assumed to be separable. Write  $\mathcal{H} = \bigoplus_{j=1}^{\infty} \overline{\pi(C)\xi_j}$  and set

$$\phi = \sum_{j=1}^{\infty} \frac{1}{2^j} \phi_j, \quad \phi_j(a) = (\xi_j | a \xi_j).$$

Then  $\pi(C)''$  is  $*$ -isomorphic to  $L^{\infty}(\Omega, \phi)$  on  $L^2(\Omega, \phi)$  and  $\mathcal{H}$  is identified with a closed subspace of  $L^2(\Omega, \phi) \otimes \mathcal{K}$  ( $\mathcal{K}$  being some Hilbert space). Thus,

$$\mathcal{H} \cong \int_{\Omega}^{\oplus} \mathcal{H}_{\omega} \phi(d\omega), \quad \xi \longleftrightarrow \int_{\Omega}^{\oplus} \xi_{\omega} \phi(d\omega), \quad \mathcal{H}_{\omega} \subset \mathcal{K}$$

so that

$$\text{End}({}_C \mathcal{H}) \cong \int_{\Omega}^{\oplus} \mathcal{B}(\mathcal{H}_{\omega}) \phi(d\omega), \quad \pi(a) \longleftrightarrow \int_{\Omega}^{\oplus} \pi_{\omega}(a) \phi(d\omega).$$

Let  ${}_A \mathcal{H}'$  be another  $*$ -representation of  $A$  ( $\mathcal{H}'$  being separable) and choose a measure  $\phi'$  so that  $\mathcal{H}' \cong \int_{\Omega}^{\oplus} \mathcal{H}'_{\omega} \phi'(d\omega)$ . Then

$$\text{Hom}({}_C \mathcal{H}, {}_C \mathcal{H}') \cong \int_{\Omega}^{\oplus} \mathcal{B}(\mathcal{H}_{\omega}, \mathcal{H}'_{\omega}) \sqrt{\phi \phi'}(d\omega).$$

Recall that  $\sqrt{\phi \phi'}$  is a measure on  $\Omega$  defined by

$$\sqrt{\phi \phi'}(d\omega) = \sqrt{\frac{d\phi}{d\mu}(\omega) \frac{d\phi'}{d\mu}(\omega)} \mu(d\omega).$$

Note that  $\sqrt{\phi\phi'}$  can be replaced with any measure equivalent to it.

When  $\pi(A)' = \pi(C)''$  and  $\pi'(A)' = \pi'(C)''$ , we have

$$\text{Hom}({}_A\mathcal{H}, {}_A\mathcal{H}') \cong L^\infty(\Omega, \sqrt{\phi\phi'}).$$

### 3. CCR-ALGEBRAS AND ANALYTIC REPRESENTATIONS

A **presymplectic vector space** is a pair  $(V, \sigma)$  of a real vector space  $V$  and an alternating form  $\sigma : V \times V \rightarrow \mathbb{R}$ . When  $\sigma$  is non-degenerate, it is called a **symplectic vector space**.

**Exercise 18.** A real vector space  $V$  is equivalently described by a complex vector space  $V^\mathbb{C}$  with conjugation  $(x+iy)^* = x-iy$  ( $x, y \in V$ ). There is a one-to-one correspondence between presymplectic forms  $\sigma$  on  $V$  and hermitian forms  $h$  on  $V^\mathbb{C}$  satisfying  $\bar{h} = -h$  by the relation  $h(z, w) = i\sigma(\overline{z^*}, w)$  ( $z, w \in V^\mathbb{C}$ ) (given a sesquilinear form  $s$  on  $V^\mathbb{C}$ , we set  $\bar{s}(z, w) = s(\overline{z^*}, \overline{w^*})$ ), where  $\sigma$  is bilinearly extended to  $V^\mathbb{C}$ .

**Example 3.1.** Let  $L$  and  $V_0$  be real vector spaces with  $L^*$  the algebraic dual space of  $L$ . Then the direct sum  $V = V_0 \oplus L \oplus L^*$  is a presymplectic vector space with the presymplectic form defined by

$$\sigma(a \oplus x \oplus \xi, b \oplus y \oplus \eta) = \langle x, \eta \rangle - \langle y, \xi \rangle.$$

Note that  $\ker \sigma = V_0 \oplus 0 \oplus 0 \cong V_0$ .

**Exercise 19.** If  $\dim V < \infty$ , any presymplectic vector space is of this form.

Given presymplectic vector spaces  $(V, \sigma)$  and  $(V', \sigma')$ , a linear map  $\phi : V \rightarrow V'$  is said to be **presymplectic** if  $\sigma'(\phi(x), \phi(y)) = \sigma(x, y)$  for  $x, y \in V$ . When  $(V', \sigma') = (V, \sigma)$  and  $\phi$  is an isomorphism, it is called a presymplectic automorphism of  $(V, \sigma)$ . The group of presymplectic automorphisms of  $(V, \sigma)$  is denoted by  $\text{Aut}(V, \sigma)$ .

If  $V$  is endowed with a linear topology which makes  $\sigma$  continuous, it is reasonable to restrict ourselves to continuous presymplectic maps.

Associated to a presymplectic vector space  $(V, \sigma)$ , we introduce several  $*$ -algebras, called **CCR-algebras**<sup>8</sup>. The first one, denoted by  $\mathcal{A}(V, \sigma)$ , is a unital  $*$ -algebra which is linearly and universally generated by elements in  $V$  subject to the relations

$$x^* = x, \quad xy - yx = i\sigma(x, y)1, \quad \text{for } x, y \in V.$$

**Lemma 3.2.** Given a real-linear map  $\pi$  of  $V$  into a unital algebra  $A$  satisfying  $\pi(x)\pi(y) - \pi(y)\pi(x) = i\sigma(x, y)1_A$  for  $x, y \in V$ ,  $\pi$  is extended to an algebra-homomorphism of  $\mathcal{A}(V, \sigma)$  into  $A$ .

<sup>8</sup>CCR stands for the Canonical Commutation Relations.

*Proof.* This is a consequence of the fact that the commutation relations are invariant under the  $*$ -operation.  $\square$

**Example 3.3.** Let  $K$  be a complex Hilbert space and set  $V^{\mathbb{C}} = K \oplus \overline{K}$ , where  $\overline{K}$  is the conjugate Hilbert space and the real structure (or the conjugation) in  $V^{\mathbb{C}}$  is defined by  $(\xi \oplus \overline{\eta})^* = \eta \oplus \overline{\xi}$ . Thus the real subspace  $V$  is  $\{\xi \oplus \overline{\xi}; \xi \in K\}$ , which can be identified with  $K$  as a real Hilbert space by the isometry  $K \ni \xi \mapsto (\xi \oplus \overline{\xi})/\sqrt{2} \in V$ .

On the vector space  $V$ , we define a symplectic form  $\sigma$  by

$$\sigma(\xi \oplus \overline{\xi}, \eta \oplus \overline{\eta}) = 2\text{Im}(\xi|\eta).$$

If  $\sigma$  is extended to  $V^{\mathbb{C}}$  bilinearly, then

$$\sigma(\xi \oplus 0, \eta \oplus 0) = 0 = \sigma(0 \oplus \overline{\xi}, 0 \oplus \overline{\eta}), \quad \sigma(\xi \oplus 0, 0 \oplus \overline{\eta}) = i(\eta|\xi)$$

for  $\xi, \eta \in K$  and the associated hermitian form is described by

$$i\sigma((\xi \oplus \overline{\eta})^*, \xi' \oplus \overline{\eta'}) = (\xi|\xi') - (\eta'|\eta) = \begin{pmatrix} \xi \\ \overline{\eta} \end{pmatrix}^* \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} \xi' \\ \overline{\eta'} \end{pmatrix}.$$

With the notation  $a(\xi) = 0 \oplus \overline{\xi}$  and  $a^*(\xi) = a(\xi)^* = \xi \oplus 0$  for generators in the CCR algebra  $\mathcal{A}(V, \sigma)$ , we can express the commutation relations in the following form:

$$[a(\xi), a(\eta)] = 0 = [a^*(\xi), a^*(\eta)], \quad [a(\xi), a^*(\eta)] = (\xi|\eta)1.$$

We call this the creation-annihilation form of the canonical commutation relations.

**Exercise 20.** In the above example, a continuous symplectic automorphism is of the form

$$\begin{pmatrix} A & \overline{C} \\ C & \overline{A} \end{pmatrix},$$

where  $A : K \rightarrow K$  and  $C : K \rightarrow \overline{K}$  are bounded operators satisfying  $A^*A - C^*C = 1_H$  and  $A^*\overline{C} = C^*\overline{A}$ .

The second one, called the Weyl form of CCR algebra, is a unitary algebra  $\mathcal{C}(V, \sigma)$  universally generated by the symbols  $\{e^{ix}; x \in V\}$  subject to the relations

$$(e^{ix})^* = e^{-ix}, \quad e^{ix}e^{iy} = e^{-i\sigma(x,y)/2}e^{i(x+y)}, \quad x, y \in V,$$

which are the exponentiated form of the canonical commutation relations. Note that  $e^{i0}$  (the zero in the exponential represents the zero vector in  $V$ ) is the unit element in the algebra.

Since  $\mathcal{C}(V, \sigma)$  is generated by unitaries  $\{e^{ix}\}$ , any  $*$ -representation is automatically bounded.

A  $*$ -representation  $\pi : \mathcal{C}(V, \sigma) \rightarrow \mathcal{B}(\mathcal{H})$  is said to be **continuous** if for any  $\xi, \eta \in \mathcal{H}$  and for any finite-dimensional subspace  $W \subset V$ ,  $W \ni x \mapsto (\xi | \pi(e^{ix}) \eta)$  is continuous.

A positive functional on  $\mathcal{C}(V, \sigma)$  is defined to be continuous if the associated GNS-representation is continuous.

The operator-norm completion with respect to all  $*$ -representations is then a  $C^*$ -algebra  $C(V, \sigma)$ , which is referred to as the **CCR  $C^*$ -algebra**. From the very definition, there is a one-to-one correspondence between  $*$ -representations of  $\mathcal{C}(V, \sigma)$  on a Hilbert space  $\mathcal{H}$  and  $*$ -representations of  $C(V, \sigma)$  on  $\mathcal{H}$ . There is also a one-to-one correspondence between states on  $\mathcal{C}(V, \sigma)$  and states on  $C(V, \sigma)$ .

**Lemma 3.4.** A  $*$ -representation  $\pi$  of  $C(V, \sigma)$  is continuous if and only if  $\mathbb{R} \ni t \mapsto \pi(e^{itx})$  is weakly continuous for any  $x \in V$ .

*Proof.* Use the relation

$$e^{i(t_1 x_1 + \dots + t_n x_n)} = e^{i \sum_{j < k} t_j t_k \sigma(x_j, x_k)/2} e^{it_1 x_1} \dots e^{it_n x_n}$$

for  $x_1, \dots, x_n \in V$  and  $(t_1, \dots, t_n) \in \mathbb{R}^n$ . □

**Exercise 21.** Use the algebraic representation

$$(\pi(e^{ix})f)(y) = e^{-i\sigma(x, y)/2} f(y - x), \quad x, y \in V, f \in F(V)$$

of  $\mathcal{C}(V, \sigma)$  and its differential, where  $F(V)$  is the vector space of complex-valued (finite-dimensionally) differentiable functions on the set  $V$ , to show that  $V \rightarrow \mathcal{A}(V, \sigma)$  is injective.

If we are given a presymplectic map  $\phi : V \rightarrow V'$ , it induces a  $*$ -homomorphism  $C(V, \sigma) \rightarrow C(V', \sigma')$  by universality and similarly for other CCR algebras. In particular,  $\text{Aut}(V, \sigma)$  acts on  $C(V, \sigma)$  as a  $*$ -automorphism group.

**Lemma 3.5.** If  $V = V_1 \oplus V_2$  and  $\sigma = \sigma_1 \oplus \sigma_2$ , then  $\mathcal{C}(V, \sigma) = \mathcal{C}(V_1, \sigma_1) \otimes \mathcal{C}(V_2, \sigma_2)$  and similarly for other CCR algebras.

In particular, if  $V' \subset V$  is a complementary subspace of  $\ker \sigma$  with  $\sigma'$  the restriction of  $\sigma$  to  $V'$ , then  $\mathcal{C}(V, \sigma) = \mathcal{C}(\ker \sigma, 0) \otimes \mathcal{C}(V', \sigma')$ .

Let  $f : V \rightarrow \mathbb{R}$  be a linear functional. Then  $e^{ix} \mapsto e^{if(x)} e^{ix}$  gives a  $*$ -automorphism of  $C(V, \sigma)$ , which is referred to as a **gauge automorphism**.

**Proposition 3.6.** Given a continuous positive functional  $\varphi : C(V, \sigma) \rightarrow \mathbb{C}$ , its characteristic function  $\widehat{\varphi}(x) = \varphi(e^{ix})$  ( $x \in V$ ) is characterized by the (finite-dimensional) continuity and the positivity condition that

$$\sum_{1 \leq j, k \leq n} \overline{z_j} z_k \widehat{\varphi}(x_k - x_j) e^{i\sigma(x_j, x_k)/2} \geq 0$$

for any finite sequences  $\{x_j\}_{1 \leq j \leq n}$  in  $V$  and  $\{z_j\}_{1 \leq j \leq n}$  in  $\mathbb{C}$ .

**Exercise 22.** Check this tautological claim.

Let  $(\pi, \mathcal{H})$  be a continuous  $*$ -representation of  $C(V, \sigma)$  with  $\mathcal{H}$  a Hilbert space. A vector  $\xi \in \mathcal{H}$  is said to be **entirely analytic** if  $W \ni x \mapsto \pi(e^{ix})\xi \in \mathcal{H}$  is analytically continued to  $W^{\mathbb{C}}$  for any finite-dimensional subspace  $W$  of  $V$ .

Let  $\mathcal{D}$  be the set of entirely analytic vectors in  $\mathcal{H}$ , which is a subspace of  $\mathcal{H}$ . For  $\xi \in \mathcal{D}$  and  $v \in V^{\mathbb{C}}$ , the vector  $\pi(e^v)\xi$  is well-defined as an analytic continuation and it belongs to  $\mathcal{D}$  and satisfies

$$\pi(e^v)(\pi(e^w)\xi) = e^{i\sigma(v,w)/2}\pi(e^{v+w})\xi, \quad \xi \in \mathcal{D}, \quad v, w \in V^{\mathbb{C}}$$

in view of the analytic extension of the identity  $\pi(e^{ix})\pi(e^{iy})\xi = e^{-i\sigma(x,y)/2}\pi(e^{i(x+y)})\xi$  for  $x, y \in V$ .

Thus, if we set  $\pi(v)\xi = \frac{d}{dt}\pi(e^{tv})\xi|_{t=0}$ , it again belongs to  $\mathcal{D}$ . Define linear operators  $\pi_{\mathcal{D}}(e^v)$  and  $\pi_{\mathcal{D}}(v)$  on  $\mathcal{D}$  by  $\pi_{\mathcal{D}}(e^v)\xi = \pi(e^v)\xi$  and  $\pi_{\mathcal{D}}(v)\xi = \pi(v)\xi$ .

**Lemma 3.7.** These operators belong to  $\mathcal{L}(\mathcal{D})$  with  $\pi_{\mathcal{D}}(e^v)^* = \pi_{\mathcal{D}}(e^{v^*})$ ,  $\pi_{\mathcal{D}}(v)^* = \pi_{\mathcal{D}}(v^*)$  and

$$\pi_{\mathcal{D}}(e^v)\pi_{\mathcal{D}}(e^w) = e^{i\sigma(v,w)/2}\pi_{\mathcal{D}}(e^{v+w}), \quad \pi_{\mathcal{D}}(v)\pi_{\mathcal{D}}(w) - \pi_{\mathcal{D}}(w)\pi_{\mathcal{D}}(v) = i\sigma(v, w)1.$$

Thus  $V \ni v \mapsto \pi_{\mathcal{D}}(v) \in \mathcal{L}(\mathcal{D})$  is extended to a  $*$ -representation of  $\mathcal{A}(V, \sigma)$  on  $\mathcal{D}$ .

**Exercise 23.** Compute  $\pi_{\mathcal{D}}(e^v)\pi_{\mathcal{D}}(w)\pi_{\mathcal{D}}(e^{-v})$  for  $v, w \in V^{\mathbb{C}}$ .

#### 4. COVARIANCE FORMS

Assume that we are given a state  $\varphi$  of a CCR algebra  $\mathcal{A}(V, \sigma)$ . Let  $S$  be a positive form on  $V^{\mathbb{C}}$  defined by  $S(x, y) = \varphi(x^*y)$  for  $x, y \in V^{\mathbb{C}}$ .

Evaluating the commutation relation  $x^*y - yx^* = i\sigma(x^*, y)1$  by the functional  $\varphi$ , we have

$$(1) \quad S(x, y) - S(y^*, x^*) = i\sigma(x^*, y) \quad \text{for } x, y \in V^{\mathbb{C}}.$$

Conversely, any positive form  $S$  on  $V^{\mathbb{C}}$  induces a presymplectic form  $\sigma$  by the formula  $i\sigma(x, y) = S(x, y) - S(y, x)$  ( $x, y \in V$ ).

**Definition 4.1.** A positive form  $S$  on  $V^{\mathbb{C}}$  is called a **covariance form** on a presymplectic vector space  $(V, \sigma)$  if it satisfies the equation (1). Let  $\text{Cov}(V, \sigma)$  be the set of covariance forms on  $(V, \sigma)$ , which is a convex set with an obvious action of  $\text{Aut}(V, \sigma)$ .



If a presymplectic vector space  $(V, \sigma)$  admits one covariance form  $S$ , then there exists plenty of them by adding any non-degenerate inner product on  $V$  to  $S$ .

*Remark 1.* If a symplectic vector space  $(V, \sigma)$  has a countable basis, then we can find a canonical basis  $\{e_n, f_n\}_{n \geq 1}$  satisfying

$$\sigma(e_k, e_l) = 0 = \sigma(f_k, f_l), \quad \sigma(e_k, f_l) = \delta_{k,l},$$

whence it admits a covariance form.

Related to the existence of covariance forms, the following question seems to be open even for hermitian matrices: Given a hermitian form  $\theta$  on a complex vector space  $K$ , can we find a positive form  $(\cdot | \cdot)$  satisfying  $|\theta(x, y)|^2 \leq (x|x)(y|y)$  for  $x, y \in K$ ?

**Example 4.2.** If  $\sigma \equiv 0$ ,  $\text{Cov}(V, \sigma)$  is identified with the set of positive (semidefinite) bilinear forms on  $V$ .

**Example 4.3.** For  $V = \mathbb{R}^2$ , possible presymplectic forms are parametrized up to choices of bases by the matrix

$$\begin{pmatrix} 0 & 2\mu \\ -2\mu & 0 \end{pmatrix}, \quad \mu \in \mathbb{R}.$$

Then  $S \in \text{Cov}(V, \sigma)$  is described by a matrix of the form

$$\begin{pmatrix} z+x & y+i\mu \\ y-i\mu & z-x \end{pmatrix}, \quad x^2 + y^2 + \mu^2 \leq z^2, \quad z \geq 0,$$

whence  $\text{Cov}(V, \sigma)$  is identified with the region bounded by a half of a two-sheeted hyperboloid ( $\mu \neq 0$ ) or by a cone ( $\mu = 0$ ). Since

$$\text{Aut}(V, \sigma) = \begin{cases} \text{GL}(2, \mathbb{R}) & \text{if } \mu = 0, \\ \text{SL}(2, \mathbb{R}) & \text{otherwise,} \end{cases}$$

orbits in  $\text{Cov}(V, \sigma)$  constitute two or three parts according to  $\mu \neq 0$  or  $\mu = 0$ .

**Example 4.4.** Consider the symplectic vector space  $V^{\mathbb{C}} = K \oplus \bar{K}$  in Example 3.3. Let  $S : V^{\mathbb{C}} \times V^{\mathbb{C}} \rightarrow \mathbb{C}$  be a sesquilinear form. Then  $S$  satisfies the equation (1) if and only if

$$\begin{aligned} S(\xi \oplus \bar{\eta}, \xi \oplus \bar{\eta}) &= \begin{pmatrix} \xi \\ \bar{\eta} \end{pmatrix}^* \begin{pmatrix} 1 + \bar{D} & B \\ B^* & D \end{pmatrix} \begin{pmatrix} \xi \\ \bar{\eta} \end{pmatrix} \\ &= (\xi|(1+A)\xi) + (\eta|A\eta) + (\xi|B\bar{\eta}) + (\bar{\eta}|B^*\xi), \end{aligned}$$

where  $D : \bar{K} \rightarrow \bar{K}$  and  $B : \bar{K} \rightarrow K$  are bounded maps satisfying  $\bar{B} = B^*$ . Remark that

$$(\bar{\xi}|D\bar{\eta}) = S(0 \oplus \bar{\xi}, 0 \oplus \bar{\eta}), \quad (\xi|B\bar{\eta}) = S(\xi \oplus 0, 0 \oplus \bar{\eta}).$$

Thus bounded operators  $D : \overline{K} \rightarrow \overline{K}$  and  $B : \overline{K} \rightarrow K$  with  $\overline{B} = B^*$  correspond to a covariance form if and only if the operator of matrix form

$$\begin{pmatrix} 1 + \overline{D} & B \\ B^* & D \end{pmatrix}$$

is positive. In particular, the obvious choice  $D = B = 0$  gives a covariance form.

Note that a right action of  $\begin{pmatrix} A & \overline{C} \\ C & \overline{A} \end{pmatrix} \in \text{Aut}(V, \sigma)$  on  $\begin{pmatrix} 1 + \overline{D} & B \\ B^* & D \end{pmatrix} \in \text{Cov}(V, \sigma)$  is given by

$$\begin{pmatrix} A & \overline{C} \\ C & \overline{A} \end{pmatrix}^* \begin{pmatrix} 1 + \overline{D} & B \\ B^* & D \end{pmatrix} \begin{pmatrix} A & \overline{C} \\ C & \overline{A} \end{pmatrix}.$$

For the choice  $K = \mathbb{C}$ , let  $e = (1 \oplus 1)/\sqrt{2}$  and  $f = (i \oplus -i)/\sqrt{2}$  as basis vectors in  $V$ . Then  $\sigma(e, f) = 1$ , which corresponds to the parameter  $\mu = 1/2$  in the previous example. From the identification

$$S(e, e) = z + x, \quad S(f, f) = z - x, \quad S(e, f) = y + i/2,$$

we have the correspondence of parameters

$$\overline{d} = z - \frac{1}{2}, \quad b = x + iy.$$

Notice that  $d = b = 0$  corresponds to a boundary point  $(x, y, z) = (0, 0, 1/2)$ .

**Proposition 4.5.** Let  $(V, \sigma)$  be a finite-dimensional presymplectic vector space and  $S$  be a covariance form on  $(V, \sigma)$ . Then we can find a basis  $\{d_j, e_k, f_k\}$  of  $V$  and sequences  $\{\lambda_j\}_{1 \leq j \leq m}$  ( $\lambda_j \geq 0$ ),  $\{\mu_k\}_{1 \leq k \leq n}$  ( $0 < \mu_k \leq 1/2$ ) such that subspaces  $\mathbb{C}d_j$ ,  $\mathbb{C}(e_k + if_k)$ ,  $\mathbb{C}(e_k - if_k)$  are mutually  $(S + \overline{S})$ -orthogonal with  $d_j \in \ker \sigma$  and

$$S(d_j, d_j) = \lambda_j, \quad S(e_k \pm if_k, e_k \pm if_k) = 1 \mp 2\mu_k, \quad \sigma(e_k, f_l) = 2\mu_k \delta_{k,l}.$$

**Exercise 24.** Prove this.

*Remark 2.* The system  $\{e_k, f_k\}$  is  $(S + \overline{S})$ -orthonormal and  $S$  is represented on  $\mathbb{C}e_k + \mathbb{C}f_k$  by the matrix

$$\begin{pmatrix} S(e_k, e_k) & S(e_k, f_k) \\ S(f_k, e_k) & S(f_k, f_k) \end{pmatrix} = \begin{pmatrix} 1/2 & i\mu_k \\ -i\mu_k & 1/2 \end{pmatrix}.$$

**Lemma 4.6.** Given a covariance form  $S$  on  $(V, \sigma)$ , set  $(x, y)_S = S(x, y) + S(y^*, x^*)$  for  $x, y \in V^{\mathbb{C}}$ . Then  $(\cdot, \cdot)_S$  is a positive form on  $V^{\mathbb{C}}$  satisfying (i)  $(y^*, x^*)_S = (x, y)_S$  and (ii)  $|\sigma(x^*, y)|^2 \leq (x, x)_S (y, y)_S$  for  $x, y \in V^{\mathbb{C}}$ .

Conversely, any positive form fulfilling these conditions comes from a covariance form.

*Proof.* We shall work with the completion  $V_S^{\mathbb{C}}$  of  $V^{\mathbb{C}}$  with respect to the (possibly degenerate) inner product  $(\cdot, \cdot)_S$ . From the obvious inequality  $S(x, x) \leq (x, x)_S$ , we can find a positive operator  $\mathbb{S} \in \mathcal{B}(V_S^{\mathbb{C}})$  such that  $S(x, y) = (x, \mathbb{S}y)_S$ . The relation  $(x, y)_S = S(x, y) + S(y^*, x^*)$  is then equivalent to  $\mathbb{S} + \bar{\mathbb{S}} = 1$  ( $\bar{\mathbb{S}}x = (\mathbb{S}x^*)^*$ ). Moreover, we have  $i\sigma(x^*, y) = (x, (\mathbb{S} - \bar{\mathbb{S}})y)_S$  and the operator inequality  $-1 \leq \mathbb{S} - \bar{\mathbb{S}} \leq 1$  gives

$$|\sigma(x^*, y)| \leq \|x\|_S \|(\mathbb{S} - \bar{\mathbb{S}})y\|_S \leq \|x\|_S \|y\|_S.$$

Conversely, assume that a positive form  $(\cdot, \cdot)$  on  $V^{\mathbb{C}}$  satisfies (i) and (ii) in place of  $(\cdot, \cdot)_S$ . The inequality (ii) gives rise to a hermitian operator  $-1 \leq H \leq 1$  so that  $i\sigma(x^*, y) = (x, Hy)_S$ , whereas the invariance (i) and the alternating property of  $\sigma$  implies  $\bar{H} = -H$ . Now set  $S(x, y) = (x, \frac{H+1}{2}y)$ . Then the identity  $S(y^*, x^*) = (x, \frac{\bar{H}+1}{2}y)$  shows that  $S$  is a covariance form satisfying  $(x, y) = (x, y)_S$ .  $\square$

**Corollary 4.7.** Let  $V_S$  be the real Hilbert space with respect to the positive form  $S + \bar{S}$ , i.e.,  $V_S$  is the completion of  $V/\ker(S + \bar{S})$  with respect to the induced inner product. Then  $V_S$  is a presymplectic vector space by the presymplectic form  $\sigma_S$  induced from  $\sigma$  and the natural map  $V \rightarrow V_S$  is presymplectic.

**Proposition 4.8.** A covariance form  $S$  is extremal in  $\text{Cov}(V, \sigma)$  if and only if  $\mathbb{S}^2 = \mathbb{S}$ . Here  $\mathbb{S}$  is a positive operator on  $V_S^{\mathbb{C}}$  defined by  $S(x, y) = (x, \mathbb{S}y)_S$  ( $x, y \in V_S^{\mathbb{C}}$ ).

**Exercise 25.** Prove this.

**Example 4.9.** The covariance form

$$S(\xi \oplus \bar{\eta}, \xi \oplus \bar{\eta}) = \begin{pmatrix} \xi \\ \bar{\eta} \end{pmatrix}^* \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \xi \\ \bar{\eta} \end{pmatrix}$$

appeared in Example 4.4 is extremal.

*Remark 3.* Even if we start with a symplectic vector space  $(V, \sigma)$ , the completed one  $(V_S, \sigma_S)$  with respect to a covariance form  $S$  may have a degenerate  $\sigma_S$ .

**Exercise 26.** Construct an example supporting the above remark.

As a final remark of this section, we record here the following.

**Proposition 4.10.** Given covariance forms  $S, T$  on a presymplectic vector space  $(V, \sigma)$  and a non-degenerate real inner product  $R$  on  $V^{\mathbb{C}}$  which majorizes  $S$  and  $T$ , we can find a family of closed separable subspaces  $\{V_i\}_{i \in I}$  in the completion  $V'$  of  $V$  such that  $S'(V_i, V_j) = 0 =$

$T'(V_i, V_j)$  if  $i \neq j$  and  $V' = \overline{\oplus_{i \in I} V_i}$ . Here  $S'$  and  $T'$  are continuous extensions of  $S$  and  $T$  to  $V'^{\mathbb{C}}$ .

**Exercise 27.** Prove this.

In view of this fact, we may restrict ourselves to separable  $V$ 's to look into mutual relations between free states.

## 5. FREE STATES

From here on, a presymplectic form  $\sigma$  is supposed to satisfy  $\text{Cov}(V, \sigma) \neq \emptyset$ . With this assumption, there exists a covariance form  $S$  having a trivial kernel; for  $v \in V^{\mathbb{C}}$ ,  $S(v, v) = 0$  implies  $v = 0$ .

**Lemma 5.1** (Hadamard-Schur product). Let  $(a_{jk})$  and  $(b_{jk})$  be positive semidefinite matrices of size  $n$ . Then the matrix with entries of component-wise multiplication  $(a_{jk}b_{jk})_{1 \leq j, k \leq n}$  is positive semidefinite.

*Proof.* Express positive matrices as convex combinations of positive matrices of rank one.  $\square$

**Corollary 5.2.** For a positive semidefinite matrix  $(a_{jk})$ , the matrix  $(e^{a_{jk}})$  is positive semidefinite.

**Exercise 28.** Check these assertions.

Given a covariance form  $S$  and a linear functional  $\alpha : V \rightarrow \mathbb{R}$ , the following formula defines a state (called a **free state**) on the  $C^*$ -algebra  $C(V, \sigma)$ .

$$\varphi(e^{ix}) = e^{-S(x, x)/2 + i\alpha(x)}, \quad x \in V.$$

The positivity of  $\varphi$  is an easy consequence of the above corollary.

When  $\alpha = 0$ , we simply write  $\varphi_S$ . Note that  $\varphi_S$  and  $\varphi_{\alpha, S}$  are related by a gauge automorphism  $\theta(e^{ix}) = e^{i\alpha(x)}e^{ix}$  ( $x \in V$ ):  $\varphi_{\alpha, S} = \varphi_S \circ \theta$ .

**Lemma 5.3.** The GNS-vector  $\varphi_{\alpha, S}^{1/2}$  is entirely analytic, whence

$$\pi(\mathcal{C}(V, \sigma))\varphi_{\alpha, S}^{1/2} \subset \mathcal{D}, \quad \pi_{\mathcal{D}}(\mathcal{A}(V, \sigma))\varphi_{\alpha, S}^{1/2} \subset \mathcal{D}.$$

*Proof.* To this end, we introduce a  $*$ -algebra  $\mathcal{C}(V^{\mathbb{C}}, \sigma)$  generated by the symbols  $e^v$  ( $v \in V^{\mathbb{C}}$ ) with relations

$$e^v e^w = e^{i\sigma(v, w)/2} e^{v+w}, \quad (e^v)^* = e^{v^*}.$$

Then a state  $\varphi$  on  $\mathcal{C}(V^{\mathbb{C}}, \sigma)$  is defined by

$$\varphi(e^v) = e^{S(v^*, v)/2 + \alpha(v)}, \quad v \in V^{\mathbb{C}}$$

and we can see that  $V^{\mathbb{C}} \ni v \mapsto e^v \varphi^{1/2}$  is entirely analytic. Since the restriction  $e^{ix} \varphi^{1/2}$  is naturally identified with  $e^{ix} \varphi_{\alpha, S}^{1/2}$ , we have

$\overline{\mathcal{C}(V^{\mathbb{C}}, \sigma)\varphi^{1/2}} = \overline{\mathcal{C}(V, \sigma)\varphi_{\alpha, S}^{1/2}}$  and  $e^v\varphi^{1/2}$  is an analytic extension of  $e^{ix}\varphi_{\alpha, S}^{1/2}$ .  $\square$

**Exercise 29.** Let  $\varphi_{\alpha, S}$  be a free state on  $C(V, \sigma)$  and denote by  $\varphi$  the analytic extension of  $\varphi_{\alpha, S}$  to the  $*$ -algebra  $\mathcal{E}(V, \sigma)$ . Then

$$\varphi(x^*y) = S(x, y) + \overline{\alpha(x)}\alpha(y) \quad \text{for } x, y \in V^{\mathbb{C}}.$$

**Proposition 5.4.** In the situation of Proposition 4.5, if the covariance form  $S$  is decomposed according to the decomposition of presymplectic vector space  $V = \sum_{j=1}^m \mathbb{R}d_j + \sum_{k=1}^n (\mathbb{R}e_k + \mathbb{R}f_k)$ , then the free state  $\varphi_S$  is factorized into the product of one-dimensional gaussian measures

$$\varphi_j(e^{itd_j}) = e^{-\lambda_j t^2/2}$$

and two-dimensional free states

$$\varphi_k(e^{i(xe_k + yf_k)}) = e^{-(x^2 + y^2)/2}$$

with  $[e_k, f_k] = -2\mu_k$ .

**Definition 5.5.** A free state  $\varphi_S$  is called a **Fock state** if the covariance form  $S$  is extremal in  $\text{Cov}(V, \sigma)$ .

Fock states are basic ones among free states. Let  $\varphi_S$  be a Fock state with the associated GNS representation denoted by  $\pi$ . In view of Proposition 4.8, we may assume that  $V = V_S$  and  $\sigma = \sigma_S$  from the outset. Then  $S$  is of the form  $S(x, y) = (x, Py)_S$  with  $P$  a projection in  $\mathcal{B}(V^{\mathbb{C}})$  satisfying  $P + \overline{P} = 1$  and  $V^{\mathbb{C}} = K \oplus \overline{K}$  if we set  $K = PV^{\mathbb{C}}$ , i.e.,  $(V, \sigma)$  is the one in Example 3.3.

The following is a key in the analysis of Fock states.

**Lemma 5.6.** For  $a \in \overline{K} \subset \mathcal{A}(V, \sigma)$ ,  $a\varphi_S^{1/2} = 0$ .

*Proof.* For  $a \in \overline{K} = \overline{P}V^{\mathbb{C}}$ ,

$$(a\varphi_S^{1/2} | a\varphi_S^{1/2}) = \varphi_S(a^*a) = S(a, a) = (a, Pa)_S = 0.$$

$\square$

*Remark 4.* In quantum physics,  $\varphi_S^{1/2}$  represents a vacuum and  $a$  (resp.  $a^*$ ) is interpreted as an operator annihilating (resp. creating) a quantum.

For  $a, b \in \overline{K}$ , we have

$$[a, b^*] = ab^* - b^*a = i\sigma(a, b^*)1 = (a^*, (P - \overline{P})b^*)_S 1 = S(a^*, b^*)1,$$

which is used repeatedly to see

$$\mathcal{A}(V_S, \sigma_S) = \sum_{m, n \geq 0} K^m (\overline{K})^n$$

and then

$$\mathcal{A}(V_S, \sigma_S) \varphi_S^{1/2} = \sum_{n \geq 0} K^n \varphi_S^{1/2}$$

thanks to  $\overline{K} \varphi_S^{1/2} = 0$ .

Now we present a typical computation for  $a, a_j \in \overline{K}$  ( $j = 1, \dots, n$ ):

$$\begin{aligned} aa_1^* \cdots a_n^* \varphi_S^{1/2} &= [a, a_1^* \cdots a_n^*] \varphi_S^{1/2} \\ &= \sum_{k=1}^n a_1^* \cdots a_{k-1}^* [a, a_k^*] a_{k+1}^* \cdots a_n^* \varphi_S^{1/2} \\ &= \sum_{k=1}^n S(a^*, a_k^*) a_1^* \cdots a_{k-1}^* a_{k+1}^* \cdots a_n^* \varphi_S^{1/2} \end{aligned}$$

As a result,  $aK^n \varphi_S^{1/2} = K^{n-1} \varphi_S^{1/2}$  ( $0 \neq a \in \overline{K}$ ), which is used repeatedly to get for  $m, n \geq 1$

$$\overline{K}^m K^n \varphi_S^{1/2} = \begin{cases} K^{n-m} \varphi_S^{1/2} & \text{if } m < n, \\ \mathbb{C} \varphi_S^{1/2} & \text{if } m = n, \\ \{0\} & \text{otherwise.} \end{cases}$$

In particular, we have

$$b_n \cdots b_1 a_1^* \cdots a_n^* \varphi_S^{1/2} = (b_1^* \cdots b_n^* \varphi_S^{1/2} | a_1^* \cdots a_n^* \varphi_S^{1/2}) \varphi_S^{1/2}$$

for  $a_1, \dots, a_n, b_1, \dots, b_n \in \overline{K}$ .

**Exercise 30.** Let  $\{a_j\}_{1 \leq j \leq n}$  be an orthonormal system in  $\overline{K}$  and  $k = (k_1, \dots, k_n)$  and  $l = (l_1, \dots, l_n)$  be multiindices in  $\mathbb{Z}_+^n$ . Then

$$((a_1^*)^{k_1} \cdots (a_n^*)^{k_n} \varphi_S^{1/2} | (a_1^*)^{l_1} \cdots (a_n^*)^{l_n} \varphi_S^{1/2}) = \delta_{k,l} k!.$$

**Theorem 5.7.** A free state  $\varphi_{\alpha, S}$  is pure if and only if the covariance form  $S$  is extremal.

*Proof.* Since a state  $\varphi$  of a C\*-algebra  $A$  is pure if and only if  $\varphi \circ \theta$  is pure for any \*-automorphism  $\theta$  of  $A$ , the problem is reduced to the case of free states of trivial means. We here prove the if part and refer to [16, Theorem 6.10] for the only if part.

Assume that  $S$  is extremal and show that the GNS representation  $\pi$  is irreducible.

For a unitary  $U \in \pi(C(V, \sigma))'$ , we have  $U\mathcal{D} = \mathcal{D}$  and  $U\pi_{\mathcal{D}}(a)U^* = \pi_{\mathcal{D}}(a)$  for  $a \in \mathcal{A}(V, \sigma)$ .

Let  $a_1, \dots, a_m, b_1, \dots, b_n \in \overline{K}$ . Then,

$$\begin{aligned} (a_1^* \cdots a_m^* \varphi_S^{1/2} | U(b_1^* \cdots b_n^* \varphi_S^{1/2})) &= (a_1^* \cdots a_m^* \varphi_S^{1/2} | U(\pi(b_1^*) \cdots \pi(b_n^*) \varphi_S^{1/2})) \\ &= (\pi(b_n) \cdots \pi(b_1) a_1^* \cdots a_m^* \varphi_S^{1/2} | U \varphi_S^{1/2}) \\ &= (b_n \cdots b_1 a_1^* \cdots a_m^* \varphi_S^{1/2} | U \varphi_S^{1/2}) \\ &= (\varphi_S^{1/2} | U(a_m \cdots a_1 b_1^* \cdots b_n^* \varphi_S^{1/2})) \end{aligned}$$

vanishes if  $m \neq n$  and, for  $m = n$ ,

$$\begin{aligned} (a_1^* \cdots a_n^* \varphi_S^{1/2} | U(b_1^* \cdots b_n^* \varphi_S^{1/2})) &= (b_n \cdots b_1 a_1^* \cdots a_n^* \varphi_S^{1/2} | U \varphi_S^{1/2}) \\ &= (\varphi_S^{1/2} | U \varphi_S^{1/2})(a_1^* \cdots a_n^* \varphi_S^{1/2} | b_1^* \cdots b_n^* \varphi_S^{1/2}). \end{aligned}$$

Consequently

$$(a_1^* \cdots a_m^* \varphi_S^{1/2} | U(b_1^* \cdots b_n^* \varphi_S^{1/2})) = (\varphi_S^{1/2} | U \varphi_S^{1/2})(a_1^* \cdots a_m^* \varphi_S^{1/2} | b_1^* \cdots b_n^* \varphi_S^{1/2})$$

for any  $m, n$ . Since  $\cup_n K^n \varphi_S^{1/2}$  is dense in the representation space, this implies  $U = (\varphi_S^{1/2} | U \varphi_S^{1/2})1$ .  $\square$

## 6. TRANSITION PROBABILITY AND UNIVERSAL HILBERT SPACES

Given a pair  $\{\alpha, \beta\}$  of positive sesquilinear forms on a complex vector space  $D$ , its commuting representation is an operator realization  $(\iota : D \rightarrow \mathcal{H}, A, B)$  of them, where  $\iota : D \rightarrow \mathcal{H}$  is a linear map into a Hilbert space  $\mathcal{H}$  with a dense range,  $A$  and  $B$  are commuting positive operators on  $\mathcal{H}$  satisfying  $\alpha(x, y) = (\iota(x) | A \iota(y))$  and  $\beta(x, y) = (\iota(x) | B \iota(y))$ .

**Theorem 6.1** (Pusz-Woronowicz). The sesquilinear form  $\sqrt{\alpha\beta}(x, y) = (\iota(x) | \sqrt{AB} \iota(y))$  is irrelevant of the choice of commuting representations and characterized by the variational expression

$$\sqrt{\alpha\beta}(x, x) = \sup\{\gamma(x, x); \gamma \text{ is a positive form majorized by } \{\alpha, \beta\}\}.$$

Here majorization means  $|\gamma(x, y)|^2 \leq \alpha(x, x)\beta(y, y)$  for  $x, y \in D$ .

Given a  $C^*$ -algebra  $A$ , we shall put all the left and right GNS-representations together to construct a single  $*$ -bimodule  $L^2(A)$  over  $A$ . More precisely, we require that  $L^2(A)$  is linearly spanned by symbols  $\varphi^{1/2} = (\varphi^{1/2})^*$  ( $\varphi \in A_+^*$ ) so that  $(\varphi^{1/2} | a \varphi^{1/2}) = \varphi(a)$  and  $(\psi^{1/2} a | a \varphi^{1/2}) = (\psi^{1/2} | a \varphi^{1/2} a^*) \geq 0$  for any  $\varphi, \psi \in A_+^*$  and  $a \in A$ .

To get a hint for the construction, assume  $\overline{A \varphi^{1/2}} = \overline{\psi^{1/2} A}$  additionally and think of a (possibly unbounded) operator  $\Delta^{1/2}$  defined formally by  $\Delta^{1/2}(a \varphi^{1/2}) = \psi^{1/2} a$ , which is positive by our requirement.

Thus, if we introduce a pair  $\{\varphi_L, \psi_R\}$  of positive sesquilinear forms on  $A$  by

$$\varphi_L(x, y) = \varphi(x^*y), \quad \psi_R(x, y) = \psi(yx^*),$$

it is represented on the GNS-space  $\overline{A\varphi^{1/2}}$  by the identity operator for  $\varphi_L$  and by the positive operator  $\Delta$  for  $\psi_R$ .

Now their geometric mean  $\sqrt{\varphi_L\psi_R}$  is related to the structures in  $L^2(A)$  as

$$\sqrt{\varphi_L\psi_R}(x, y) = (x\varphi^{1/2}|\Delta^{1/2}(y\varphi^{1/2})) = (x\varphi^{1/2}|\psi^{1/2}y)$$

for  $x, y \in A$ .

**Theorem 6.2.** Let  $A$  be a  $C^*$ -algebra. Then

$$\sqrt{\varphi_L\psi_R}(x^*x, yy^*) \geq 0$$

for  $x, y \in A$ , and the formal algebraic sum  $\sum_{\varphi \in A_+^*} A\varphi^{1/2}A$ , which is a  $*$ -bimodule by  $(a\varphi^{1/2}b)^* = b^*\varphi^{1/2}a^*$ , is made into a hilbertian  $*$ -bimodule  $L^2(A)$  with respect to the inner product defined by

$$\left( \sum_j x'_j \varphi_j^{1/2} x_j \left| \sum_k y_k \varphi_k^{1/2} y'_k \right. \right) = \sum_{j,k} \sqrt{(\varphi_j)_L(\varphi_k)_R} (y_k^* x'_j, y'_k x_j^*).$$

Here highly non-trivial is the positivity of the inner product, which turns out to be equivalent to the celebrated Tomita-Takesaki theorem (see [15, §7-§8]).

When  $\varphi$  and  $\psi$  are states,  $0 \leq (\varphi^{1/2}|\psi^{1/2}) \leq 1$  and it is referred to as a **transition probability**<sup>9</sup> between them.

**Example 6.3.** When  $A = \mathcal{C}(\mathcal{H})$  (the compact operator algebra),  $L^2(A)$  is identified with  $\mathcal{H} \otimes \mathcal{H}^* \cong \mathcal{C}_2(\mathcal{H})$  so that each  $\varphi^{1/2}$  corresponds to  $\rho_\varphi^{1/2} \in \mathcal{C}_2(\mathcal{H})$ , where  $\rho_\varphi \in \mathcal{C}_1(\mathcal{H})$  denotes the density operator of  $\varphi \in A_+^*$ , i.e.,  $\varphi(a) = \text{tr}(\rho a)$  for  $a \in \mathcal{C}(\mathcal{H})$ .

If  $\psi$  is another positive functional,  $(\varphi^{1/2}x|y\psi^{1/2}) = \text{tr}(x^*\rho_\varphi^{1/2}y\rho_\psi^{1/2})$  for  $x, y \in \mathcal{C}(\mathcal{H})$ . Note that  $x^*\rho_\varphi^{1/2}y\rho_\psi^{1/2}$  is in the trace class.

The following is a simple consequence of the variational expression of geometric means.

**Theorem 6.4** (Coarse-Graining Inequality). Let  $\phi : \mathcal{A} \rightarrow \mathcal{B}$  be a unit-preserving  $*$ -homomorphism of unital  $*$ -algebras. Then, for bounded positive functionals  $\varphi, \psi$  of  $\mathcal{B}$ ,

$$(\varphi^{1/2}|\psi^{1/2}) \leq ((\varphi \circ \phi)^{1/2}|(\psi \circ \phi)^{1/2}).$$

<sup>9</sup>This is different from the one introduced by A. Uhlmann.



**Exercise 31.** For hermitian matrices  $a_1, \dots, a_m$  and  $b_1, \dots, b_m$  in  $M_n(\mathbb{C})$ , we have the inequality

$$\mathrm{tr}(a_1 b_1 + \dots + a_m b_m) \leq \mathrm{tr}\left((a_1^2 + \dots + a_m^2)^{1/2} (b_1^2 + \dots + b_m^2)^{1/2}\right).$$

Hint: Consider the diagonal imbedding  $M_n(\mathbb{C}) \rightarrow M_{mn}(\mathbb{C})$ .

**Theorem 6.5** (Approximation[15, Appendix]). Let  $\varphi$  and  $\psi$  be positive functionals on a  $C^*$ -algebra  $A$  with unit  $1_A$ . Let  $\{A_n\}_{n \geq 1}$  be an increasing sequence of  $C^*$ -subalgebras of  $A$  containing  $1_A$  in common and assume that, given any  $a \in A$ , we can find a sequence  $\{a_n \in A_n\}_{n \geq 1}$  satisfying

$$\lim_{n \rightarrow \infty} \|a_n \varphi^{1/2} - a \varphi^{1/2}\| = 0 = \lim_{n \rightarrow \infty} \|\psi^{1/2} a_n - \psi^{1/2} a\|.$$

Set  $\varphi_n = \varphi|_{A_n}$ ,  $\psi_n = \psi|_{A_n} \in A_n^*$ . Then the sequence  $\{(\varphi_n^{1/2}|\psi_n^{1/2})\}_{n \geq 1}$  is decreasing and converges to  $(\varphi^{1/2}|\psi^{1/2})$ .

The  $*$ -bimodule  $L^2(A)$  bears the following universal character of  $*$ -representations.

**Theorem 6.6** ([15, Theorem 8.1]). Let  $\varphi$  and  $\psi$  be positive functionals on a  $C^*$ -algebra  $A$ .

- (i)  $\varphi$  and  $\psi$  are disjoint if and only if  $A\varphi^{1/2}A$  and  $A\psi^{1/2}A$  are orthogonal. When  $\overline{A\varphi^{1/2}} = \overline{\varphi^{1/2}A}$ , this is further equivalent to  $(\varphi^{1/2}|\psi^{1/2}) = 0$ .
- (ii)  $\varphi$  and  $\psi$  are quasi-equivalent if and only if  $\overline{A\varphi^{1/2}A} = \overline{A\psi^{1/2}A}$ .
- (iii)  $\varphi$  is pure if and only if  $\overline{A\varphi^{1/2}} \cap \overline{\varphi^{1/2}A} = \mathbb{C}\varphi^{1/2}$ .

**Exercise 32.** Check the statements of the theorem for  $A = M_m(\mathbb{C}) \oplus M_n(\mathbb{C})$ .

## 7. FINITE-DIMENSIONAL ANALYSIS

For the CCR algebra associated to a finite-dimensional presymplectic vector space, which is assumed throughout this section unless otherwise stated, fundamental is the following (see [15, Appendix D] for a proof).

**Theorem 7.1** (Stone-von Neumann). Let  $(V, \sigma)$  be a finite-dimensional symplectic vector space. Then all the continuous irreducible representations of  $C(V, \sigma)$  are unitarily equivalent.

In the following, we shall fix a Lebesgue measure on  $V$  once for all. Let  $\pi$  be a continuous  $*$ -representation of  $C(V, \sigma)$  on a Hilbert space

$\mathcal{H}$ . For an integrable function  $f \in L^1(V)$ , let  $\pi(f) \in \mathcal{B}(\mathcal{H})$  be defined by the integral

$$\int_V f(x) \pi(e^{ix}) dx$$

and introduce a  $*$ -algebra structure on  $L^1(V)$  so that the above integration gives a  $*$ -homomorphism into  $\mathcal{B}(\mathcal{H})$ :

$$\begin{aligned} \int_V f(x) \pi(e^{ix}) dx \int_V g(y) \pi(e^{iy}) dy \\ = \int_V dy \int_V dx f(x) g(y-x) e^{-i\sigma(x,y)/2} \pi(e^{iy}) \end{aligned}$$

indicates to define<sup>10</sup>

$$(f \star g)(y) = \int_V f(x) g(y-x) e^{-i\sigma(x,y)/2} dx,$$

while

$$\left( \int_V f(x) \pi(e^{ix}) dx \right)^* = \int_V \overline{f(-x)} \pi(e^{ix}) dx$$

to set  $f^*(x) = \overline{f(-x)}$ .

It is immediate to check that these operations in fact make  $L^1(V)$  into a Banach  $*$ -algebra, which is denoted by  $L^1(V, \sigma)$ . Let  $C^*(V, \sigma)$  be the  $C^*$ -algebra associated to  $L^1(V)$ . The image of  $f \in L^1(V, \sigma)$  in  $C^*(V, \sigma)$  is reasonably denoted by

$$\int_V f(x) e^{ix} dx.$$

A gauge automorphism  $\theta(e^{ix}) = e^{i\alpha(x)} e^{ix}$  of  $C(V, \sigma)$  with  $\alpha \in V^*$  then induces a  $*$ -automorphism of  $C^*(V, \sigma)$  by

$$\theta \left( \int_V f(x) e^{ix} dx \right) = \int_V e^{i\alpha(x)} f(x) e^{ix} dx$$

for  $f \in L^1(V)$ .

By our way of definition, any continuous  $*$ -representation  $\pi(e^{ix})$  of  $C(V, \sigma)$  gives rise to a bounded  $*$ -representation of  $C^*(V, \sigma)$  so that

$$\pi \left( \int_V f(x) e^{ix} dx \right) = \int_V f(x) \pi(e^{ix}) dx.$$

Conversely, any bounded  $*$ -representation of  $C^*(V, \sigma)$  is of this form. To see this, we realize  $\mathcal{C}(V, \sigma)$  as a multiplier algebra of  $C^*(V, \sigma)$ : For

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<sup>10</sup>It is customary to use the convolution notation to avoid confusions with the pointwise multiplication.

$f \in L^1(V)$  and  $x \in V$ , define  $e^{ix}f, fe^{ix} \in L^1(V)$  so that  $\pi(e^{ix}f) = \pi(e^{ix})\pi(f)$ ,  $\pi(fe^{ix}) = \pi(f)\pi(e^{ix})$ :

$$(e^{ix}f)(y) = e^{-i\sigma(x,y)/2}f(y-x), \quad (fe^{ix})(y) = e^{i\sigma(x,y)/2}f(y-x).$$

The following are also immediate to check.

**Lemma 7.2.** Let  $x, y \in V$  and  $f, g \in L^1(V)$ . Then

- (i)  $(e^{ix}f)^* = f^*e^{-ix}$ .
- (ii)  $e^{ix}(e^{iy}f) = e^{-i\sigma(x,y)/2}(e^{i(x+y)}f)$
- (iii)  $(fe^{ix}) \star g = f \star (e^{ix}g)$ .
- (iv)  $(e^{ix}f)e^{iy} = e^{ix}(fe^{iy})$ .

From here on, the star symbols to designate the product on  $L^1(V, \sigma)$  are often dropped off to appreciate the associativity maximally. Thus the element in (iii) for example is simply expressed as  $fe^{ix}g$ .

**Proposition 7.3.** Given a non-degenerate bounded  $*$ -representation  $\pi$  of  $L^1(V, \sigma)$  on a Hilbert space  $\mathcal{H}$ , we have a continuous unitary representation  $\pi(e^{ix})$  of  $C(V, \sigma)$  such that

$$\pi(e^{ix})\pi(f) = \pi(e^{ix}f), \quad \pi(f)\pi(e^{ix}) = \pi(fe^{ix}).$$

Consequently there is one-to-one correspondence between non-degenerate bounded  $*$ -representations of  $C^*(V, \sigma)$  and continuous  $*$ -representations of  $C(V, \sigma)$ .

Moreover, left and right multiplications of  $e^{ix}$  on  $L^1(V)$  are extended to  $C^*(V, \sigma)$  so that, for  $a \in C^*(V, \sigma)$ ,  $e^{ix}a$  and  $ae^{ix}$  belong to  $C^*(V, \sigma)$  and they are norm-continuous in  $x \in V$ .

**Corollary 7.4.** Bounded positive functionals on  $C^*(V, \sigma)$  are identified with continuous positive functionals on  $C(V, \sigma)$ : Given a continuous positive functional  $\varphi$  on  $C(V, \sigma)$ ,

$$C^*(V, \sigma) \ni \int_V f(x)e^{ix} dx \mapsto \int_V f(x)\varphi(e^{ix}) \quad \text{for } f \in L^1(V)$$

defines a bounded positive functional on  $C^*(V, \sigma)$  and any bounded positive functional on  $C^*(V, \sigma)$  arises this way. The identification is extended to universal Hilbert spaces in such a way that

$$\overline{C(V, \sigma)\varphi^{1/2}C(V, \sigma)} = \overline{C^*(V, \sigma)\varphi^{1/2}C^*(V, \sigma)}$$

and

$$\int_{V \times V} f(x)g(y)(e^{ix}\varphi^{1/2}e^{iy}) dx dy = \left( \int_V f(x)e^{ix} dx \right) \varphi^{1/2} \left( \int_V g(y)e^{iy} dy \right)$$

for  $\varphi \in C^*(V, \sigma)_+^*$  and  $f, g \in L^1(V)$ .

**Proposition 7.5.** When  $\sigma \equiv 0$ , the Gelfand spectrum of  $C^*(V, \sigma) = C^*(V)$  is identified with the dual vector space  $V^*$  in such a way that each  $v^* \in V^*$  gives a continuous one-dimensional \*-representation of  $C(V, \sigma)$  defined by  $e^{ix} \mapsto e^{i\langle x, v^* \rangle}$ . Bounded positive functionals  $\varphi$  on  $C^*(V, \sigma)$  are in one-to-one correspondence with finite positive Radon measures  $\mu$  on  $V^*$  by the relation

$$\varphi(e^{ix}) = \int_{V^*} e^{i\langle x, v^* \rangle} \mu(dv^*).$$

**Corollary 7.6.** Let  $\nu$  be the Radon measure associated to another  $\psi \in C^*(V)_+^*$ . Then

$$(\varphi^{1/2} | e^{ix} \psi^{1/2}) = \int_{V^*} e^{i\langle x, v^* \rangle} \sqrt{\mu(dv^*) \nu(dv^*)} \quad \text{for } x \in V.$$

**Example 7.7.** Let  $V = \mathbb{R}$  and set  $\varphi = \varphi_{\alpha, S}$  with  $S(x, x) = sx^2$  ( $s \geq 0$ ) and  $\alpha \in \mathbb{R}^* = \mathbb{R}$ . The correspondent Radon measure  $\mu_{\alpha, S}$  is then given by

$$\mu_{\alpha, S}(dy) = \begin{cases} \frac{1}{\sqrt{2\pi s}} e^{-(y-\alpha)^2/2s} dy & \text{if } s > 0, \\ \delta(y - \alpha) dy & \text{if } s = 0, \end{cases}$$

which is used to see that

$$\begin{aligned} (\varphi_{\alpha, S}^{1/2} | e^{ix} \varphi_{\beta, T}^{1/2}) &= \frac{1}{(4\pi^2 st)^{1/4}} \int_{\mathbb{R}} e^{ixy} \exp\left(-\frac{(y-\alpha)^2}{4s} - \frac{(y-\beta)^2}{4t}\right) dy \\ &= \sqrt{\frac{2\sqrt{st}}{s+t}} \exp\left(-\frac{st}{s+t} x^2 + i \frac{\alpha t + \beta s}{s+t} x - \frac{(\alpha - \beta)^2}{4(s+t)}\right) \end{aligned}$$

for  $st > 0$ . If  $(s, \alpha) \neq (t, \beta)$  and  $st = 0$ , the measures  $\mu_{\alpha, S}$  and  $\mu_{\beta, T}$  have disjoint supports, whence  $(\varphi_{\alpha, S}^{1/2} | e^{ix} \varphi_{\beta, T}^{1/2}) = 0$  for  $x \in V$ .

**Lemma 7.8.** Let  $S$  and  $T$  be covariance forms on a not necessarily finite-dimensional presymplectic vector space  $(V, \sigma)$ . Assume that  $x \in V$  satisfies  $T(x, x) > 0$ . Then, for any linear functionals  $\alpha, \beta$  on  $V$ ,

$$(\varphi_{\alpha, S}^{1/2} | \varphi_{\beta, T}^{1/2})^2 \leq \frac{2}{\sqrt{S(x, x)/T(x, x)} + \sqrt{T(x, x)/S(x, x)}}.$$

*Proof.* Let  $\phi : C(\mathbb{R}, 0) \rightarrow C(V, \sigma)$  be a \*-homomorphism associated with a presymplectic map  $\mathbb{R} \ni \lambda \mapsto \lambda x \in V$  and set  $r = \sqrt{S(x, x)/T(x, x)} = \sqrt{s/t}$ . Then

$$\begin{aligned} (\varphi_{S, \alpha}^{1/2} | \varphi_{T, \beta}^{1/2}) &\leq ((\varphi_{S, \alpha} \circ \phi)^{1/2} | (\varphi_{T, \beta} \circ \phi)^{1/2}) \\ &= \sqrt{\frac{2\sqrt{st}}{s+t}} \exp\left(-\frac{(\alpha(x) - \beta(x))^2}{s+t}\right) \leq \sqrt{\frac{2}{r + r^{-1}}}. \end{aligned}$$

□

**Corollary 7.9.** Let  $S, T \in \text{Cov}(V, \sigma)$  and  $\alpha, \beta$  be linear functionals on  $V$ . Then

$$(\varphi_{\alpha, S}^{1/2} | \varphi_{\beta, T}^{1/2}) = 0$$

unless positive forms  $S + \bar{S}$  and  $T + \bar{T}$  on  $V^{\mathbb{C}}$  are topologically equivalent.

*Remark 5.* The topological inequivalence of  $S + \bar{S}$  and  $T + \bar{T}$  in fact implies the disjointness of  $\varphi_{\alpha, S}$  and  $\varphi_{\beta, T}$ .

We return to the finite-dimensional case. To describe free states on  $C^*(V, \sigma)$  and the associated  $W^*$ -algebraic stuffs, it is convenient to work with a dense  $*$ -algebra of  $L^1(V)$ . We shall adopt here the Schwartz space  $\mathcal{S}(V)$  of  $V$  as such a  $*$ -algebra<sup>11</sup>, which admits a special trace functional defined by

$$\tau : \mathcal{S}(V) \ni g \mapsto g(0) \in \mathbb{C}.$$

Formally this is equivalent to requiring  $\tau(e^{ix}) = \delta(x)$  for  $x \in V$  ( $\delta(x)$  being the delta function with respect to the preassigned Lebesgue measure).

Let  $\mathcal{H} = \overline{\mathcal{S}(V, \sigma)\tau^{1/2}}$ , which is identified with  $L^2(V)$  by the relation

$$(g\tau^{1/2} | g\tau^{1/2}) = (g^* \star g)(0) = \int_V |g(v)|^2 dv$$

and a  $*$ -representation  $\{\pi(e^{ix})\}$  of  $C(V, \sigma)$  is defined on  $\mathcal{H}$  by the formula  $\pi(e^{ix})(g\tau^{1/2}) = (e^{ix}g)\tau^{1/2}$  ( $g \in \mathcal{S}(V, \sigma)$ ), which is continuous by the continuity of

$$\tau(g^* e^{ix} h) = \int_V \overline{g(y)} h(y - x) e^{-i\sigma(x, y)/2} dy$$

in  $x \in V$ .

The identity

$$(g \star h)\tau^{1/2} = \int_V g(x)(e^{ix}h)\tau^{1/2} dx.$$

for  $g, h \in \mathcal{S}(V)$  shows that the GNS-representation  $\mathcal{S}(V, \sigma)$ , which is also denoted by  $\pi$ , is boundend as an integration of  $g(x)\pi(e^{ix})$  and non-degenerate because of

$$\lim_{n \rightarrow \infty} \pi(\delta_n) = 1_{\mathcal{H}} \text{ in the strong operator topology}$$

for an approximate delta function  $\{\delta_n\}_{n \geq 1}$  in  $\mathcal{S}(V)$ .

<sup>11</sup>The  $*$ -subalgebra is denoted by  $\mathcal{S}(V, \sigma)$  to indicate the dependence on  $\sigma$ .

Let  $\alpha : V \rightarrow \mathbb{R}$  be a linear functional of  $V$  and consider a covariance form  $S$  such that  $S + \bar{S}$  is non-degenerate for the moment. Since the free state  $\varphi_{\alpha,S}$  is continuous in the sense that  $\varphi_{\alpha,S}(e^{ix})$  is continuous in  $x \in V$  (see §3), it gives rise to the bounded positive functional of  $C^*(V, \sigma)$  specified by

$$\int_V f(x) e^{ix} dx \mapsto \int_V f(x) e^{-S(x,x)/2 + i\alpha(x)} dx, \quad f \in \mathcal{S}(V),$$

which turns out to split via  $\pi(C^*(V, \sigma))$  from the relation

$$\int_V f(x) e^{-S(x,x)/2 + i\alpha(x)} dx = \tau(\rho_{\alpha,S} \star f).$$

Here the density operator  $\rho_{\alpha,S}$  relative to the trace  $\tau$  is given by a function  $\rho_{\alpha,S}(x) = e^{-S(x,x)/2 - i\alpha(x)}$  in  $\mathcal{S}(V)$  which satisfies  $\pi(\rho_{\alpha,S}) \geq 0$  because  $(f\tau^{1/2}|\pi(\rho_{\alpha,S})f\tau^{1/2})$  is equal to

$$\tau(\rho_{\alpha,S} \star f \star f^*) = \iint f(x) \overline{f(y)} \varphi_{\alpha,S}(e^{ix} e^{-iy}) dx dy \geq 0.$$

(The last integration is positive as a limit of positive definite sums.)

Since  $\rho_{\alpha,S} = \theta(\rho_S)$  with  $\theta$  a gauge automorphism given by  $\theta(e^{ix}) = e^{-i\alpha(x)} e^{ix}$  ( $x \in V$ ), the problem of finding the square root of  $\rho_{\alpha,S}$  is reduced to the case  $\alpha = 0$ :  $\rho_{\alpha,S}^{1/2} = \theta(\rho_S^{1/2})$ .

To get a formula for the square root of  $\rho_S$  in  $C^*(V, \sigma)$ , we try to find it as a ‘function’  $h : V \rightarrow \mathbb{C}$  satisfying  $h(-x) = \overline{h(x)}$  and

$$e^{-S(x,x)/2} = \int_V h(y) h(x-y) e^{i\sigma(x,y)/2} dy = (h \star h)(x)$$

for  $x \in V$ .

**7.1. Square Roots of Density Operators.** From here on  $\sigma$  is assumed to be non-degenerate for the time being. Let  $\mathbb{S}$  be the operator representing the covariance form  $S$  with respect to the inner product  $(\cdot, \cdot)_S$ . From the relation  $\mathbb{S} + \bar{\mathbb{S}} = 1$ , we can read off the following spectral property of  $\mathbb{S}$ : If  $\xi$  is an eigenvector of eigenvalue  $\lambda$ , then so is  $\bar{\xi}$  with eigenvalue replaced by  $1 - \lambda$ , i.e.,  $\mathbb{S}\bar{\xi} = (1 - \lambda)\bar{\xi}$ . Moreover, by the non-degeneracy condition on  $\sigma$ ,  $\lambda = 1/2$  is not an eigenvalue.

To do the spectral decomposition within real subspaces of  $V$ , we restrict eigenvalues to the range  $0 \leq \lambda < 1/2$  and normalize eigenvectors  $\xi$  so that  $(S + \bar{S})$ -orthonormal vectors in  $V$  are obtained by

$$e = \frac{\xi + \bar{\xi}}{\sqrt{2}}, \quad f = \frac{\xi - \bar{\xi}}{\sqrt{2}i}, \quad \xi = \frac{e + if}{\sqrt{2}}.$$

Relative to the basis  $\{e, f\}$ ,  $\mathbb{S}$  is represented on the two dimensional subspace  $\mathbb{C}e + \mathbb{C}f = \mathbb{C}\xi + \mathbb{C}\bar{\xi}$  by the matrix

$$\mathbb{S} = \begin{pmatrix} 1/2 & i\mu \\ -i\mu & 1/2 \end{pmatrix} \quad \text{with} \quad \bar{\mathbb{S}} = \begin{pmatrix} 1/2 & -i\mu \\ i\mu & 1/2 \end{pmatrix}$$

and

$$\mathbb{S} - \bar{\mathbb{S}} = i \begin{pmatrix} 0 & 2\mu \\ -2\mu & 0 \end{pmatrix},$$

where  $2\mu \equiv 1 - 2\lambda$ .

Consequently the canonical (Liouville) measure is of the form  $2\mu dsdt$  with respect to the (partial) coordinates  $(s, t) \in \mathbb{R}^2$  representing the vector  $se + tf$  in a two-dimensional subspace of  $V$ , whereas the preassigned reference measure is of the form  $2mdsdt$  with  $m > 0$ .

In terms of this basis, the relevant forms are expressed by

$$S(se + tf, se + tf) = \frac{1}{2}(s^2 + t^2), \quad i\sigma(se + tf, s'e + t'f) = 2i\mu(st' - s't)$$

and the equation to determine  $h$  takes the form

$$e^{-(s^2+t^2)/4} = 2m \int_{\mathbb{R}^2} h(s', t') h(s - s', t - t') e^{i\mu(st' - s't)} ds' dt'$$

with the hermiticity condition given by  $h(-s, -t) = \overline{h(s, t)}$ . We shall deal with a slightly more general situation: for  $g, h \in L^1(\mathbb{R}e + \mathbb{R}f)$ , consider

$$(g \star h)(se + tf) = 2m \int_{\mathbb{R}^2} g(s', t') h(s - s', t - t') e^{i\mu(st' - s't)} ds' dt'.$$

If we write

$$g(s, t) = \frac{1}{(2\pi)^2} \int_{\mathbb{R}^2} \widehat{g}(\xi, \eta) e^{is\xi + it\eta} d\xi d\eta$$

with the Fourier transform  $\widehat{g}$  defined by

$$\widehat{g}(\xi, \eta) = \int_{\mathbb{R}^2} g(s, t) e^{-is\xi - it\eta} ds dt$$

and similarly for  $h$ , then

$$(g \star h)(s, t) = \frac{2m}{(2\pi)^2} \int_{\mathbb{R}^2} \widehat{g}(\xi, \eta) \widehat{h}(\xi - \mu t, \eta + \mu s) e^{is\xi + it\eta} d\xi d\eta.$$

For the choice

$$\widehat{g}(\xi, \eta) = A e^{-a(\xi^2 + \eta^2)/2\mu}, \quad \widehat{h}(\xi, \eta) = B e^{-b(\xi^2 + \eta^2)/2\mu}$$

with  $A, B, a, b$  positive reals, explicit computations are worked out by Gaussian integrals: The results are

$$g(s, t) = \frac{\mu A}{2\pi a} e^{-\mu(s^2+t^2)/2a}, \quad h(s, t) = \frac{\mu B}{2\pi b} e^{-\mu(s^2+t^2)/2b}$$

and

$$(g \star h)(s, t) = \frac{ABm\mu}{\pi(a+b)} e^{-\mu(s^2+t^2)/2(a*b)},$$

where

$$a * b = \frac{a+b}{ab+1}.$$

Recall here that the function  $h(s, t) = \exp(-\mu(s^2+t^2)/2c)$  with  $c > 0$  gives a positive element

$$2m \int_{\mathbb{R}^2} h(s, t) e^{i(se+tf)} ds dt$$

in  $C^*(\mathbb{R}e + \mathbb{R}f, \sigma)$  if and only if the associated positive functional  $\tau(h \cdot)$  is a free state<sup>12</sup>, which means that

$$\frac{\mu}{c}(s^2 + t^2) = \begin{pmatrix} s & t \end{pmatrix} \begin{pmatrix} z & i\mu \\ -i\mu & z \end{pmatrix} \begin{pmatrix} s \\ t \end{pmatrix}$$

with  $z = \mu/c > 0$  satisfying  $\mu^2 \leq z^2$ , namely  $c \leq 1$ .

In particular, for the choice  $a = b = c > 0$  and  $A = B = C > 0$ ,

$$(h \star h)(se + tf) = \frac{C^2 m \mu}{2\pi c} e^{-\mu(s^2+t^2)/2(c*c)},$$

where  $c * c = 2c/(c^2 + 1) \leq 1$  for any  $c > 0$  as being expected (the square of a hermitian element being positive). Thus, equating this with  $e^{-(s^2+t^2)/4}$ , we reach the solution

$$h(s, t) = \sqrt{\frac{\mu}{2\pi cm}} e^{-\mu(s^2+t^2)/2c}$$

satisfying  $0 < c \leq 1$  (recall  $0 < 2\mu \leq 1$ ) by the choice

$$c = \frac{2\mu}{1 + \sqrt{1 - 4\mu^2}}, \quad C = \frac{\sqrt{2\pi c}}{\sqrt{m\mu}}.$$

From the local expression

$$\mathbb{S} + \bar{\mathbb{S}} + 2\sqrt{\mathbb{S}\bar{\mathbb{S}}} = \begin{pmatrix} 1 + \sqrt{1 - 4\mu^2} & 0 \\ 0 & 1 + \sqrt{1 - 4\mu^2} \end{pmatrix},$$

we see that

$$\frac{\mu}{2c}(s^2 + t^2) = \frac{1}{4} \begin{pmatrix} s & t \end{pmatrix} \begin{pmatrix} \mathbb{S} + \bar{\mathbb{S}} + 2\sqrt{\mathbb{S}\bar{\mathbb{S}}} \end{pmatrix} \begin{pmatrix} s \\ t \end{pmatrix}.$$

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<sup>12</sup>cf. the uniqueness of \*-representations of  $C^*(V, \sigma)$ .



The last relation immediately gives a coordinates-free expression for  $\rho_S^{1/2}$  ( $= \int_V \rho_S^{1/2}(x) e^{ix} dx$ ):

$$\rho_S^{1/2}(x) = \frac{1}{\sqrt{N_S}} \exp\left(-\frac{1}{2}A(x)\right), \quad A = \frac{1}{2}\left(S + \bar{S} + 2\sqrt{S\bar{S}}\right)$$

with the normalization constant  $N_S = \int_V e^{-A(x)} dx$  determined by the requirement

$$1 = \rho_S(0) = \int_V \rho_S^{1/2}(x) \rho_S^{1/2}(x) dx$$

It is then immediate to check that the expression is valid for degenerate  $\sigma$  as long as  $S + \bar{S}$  is non-degenerate. Note that  $\rho_S^{1/2} \in \mathcal{S}(V, \sigma)$  by the non-degeneracy of  $S + \bar{S}$ . As a gauge shift of  $\rho_S^{1/2}$ , we obtain  $\rho_{\alpha,S}^{1/2}(x) = e^{-i\alpha(x)} \rho_S^{1/2}(x)$  in view of

$$\rho_{\alpha,S}^{1/2} = \theta(\rho_S^{1/2}) = \int_V \rho_S^{1/2}(x) \theta(e^{ix}) dx = \int_V \rho_S^{1/2}(x) e^{-i\alpha(x)} e^{ix} dx.$$

Now the transition probability is calculated, in view of Theorem 6.5, by

$$(\varphi_{\alpha,S}^{1/2} | \varphi_{\beta,T}^{1/2}) = \tau(\rho_{\alpha,S}^{1/2} \rho_{\beta,T}^{1/2}) = \frac{1}{\sqrt{N_S N_T}} \int_V e^{-\frac{1}{2}A(x) - i\alpha(x)} e^{-\frac{1}{2}B(x) - i\beta(x)} dx,$$

where  $B = \frac{1}{2}(T^{1/2} + \bar{T}^{1/2})^2$ .

To dispose of the last integral, choose an auxiliary inner product  $(\cdot | \cdot)$  on  $V$  which meets the following conditions ( $(\cdot, \cdot)_S + (\cdot, \cdot)_T$  multiplies by a positive real can be used for example): (i)  $A$  and  $B$  are represented by commuting positive invertible operators  $\mathbf{A}$  and  $\mathbf{B}$  respectively (ii) The reference measure is the Lebesgue measure with respect to the inner product  $(\cdot | \cdot)$ . Then

$$N_S = \int_V e^{-(x|\mathbf{A}x)} dx = \frac{\pi^{n/2}}{\sqrt{\det(\mathbf{A})}}$$

( $n = \dim V$ ) and we have

$$\begin{aligned} (\varphi_{\alpha,S}^{1/2} | \varphi_{\beta,T}^{1/2}) &= \frac{(\det \mathbf{AB})^{1/4}}{\pi^{n/2}} \int_V e^{-(x|\mathbf{A}x)/2 - (x|\mathbf{B}x)/2 - i\alpha(x)} dx \\ &= \frac{\det^{1/4}(\mathbf{AB})}{\sqrt{\det(\mathbf{A}/2 + \mathbf{B}/2)}} e^{-(v|(\mathbf{A}+\mathbf{B})^{-1}v)/2} \\ &= \sqrt{\det\left(\frac{2\sqrt{\mathbf{AB}}}{\mathbf{A} + \mathbf{B}}\right)} e^{-(v|(\mathbf{A}+\mathbf{B})^{-1}v)/2}, \end{aligned}$$

where  $v \in V$  is defined by  $(v|x) = \alpha(x)$  ( $x \in V$ ).

With the help of Pusz-Woronowicz' functional calculus, the last formula takes a coordinates-free (independent of the choice of auxiliary inner products) expression:

$$(\varphi_{\alpha,S}^{1/2}|\varphi_{\beta,T}^{1/2}) = \sqrt{\det \left( \frac{2\sqrt{AB}}{A+B} \right)} e^{-\frac{1}{2}(A+B)^{-1}(\beta-\alpha)}.$$

Recall that, given a positive sesquilinear form  $Q$  of a vector space  $K$ , the inverse form  $Q^{-1}$  (which is a quadratic form on the algebraic dual  $K^*$ ) is defined as follows: Let  $\alpha : K \rightarrow \mathbb{C}$ . If we can find  $a \in K_Q$  (the completion of  $K$  relative to  $Q$ ) satisfying  $\alpha(x) = Q(a, x)$  for  $x \in K$ , then  $Q^{-1}(\alpha)$  is set to be  $Q(a, a)$  and otherwise  $Q^{-1}(\alpha) = +\infty$ . Note that  $Q^{-1}$  satisfies the parallelogram law.

By looking into supporting subspaces for degenerate  $S + \bar{S}$  or  $T + \bar{T}$ , it turns out that the last formula remains valid without restrictions on  $S$  and  $T$ . Thus we have reached the following.

**Theorem 7.10.** Let  $(V, \sigma)$  be a finite-dimensional presymplectic vector space. For covariance forms  $S$  and  $T$  of  $(V, \sigma)$  and linear functionals  $\alpha, \beta$  of  $V$ , we have

$$(\varphi_{\alpha,S}^{1/2}|\varphi_{\beta,T}^{1/2}) = \sqrt{\det \left( \frac{2\sqrt{AB}}{A+B} \right)} e^{-\frac{1}{2}(A+B)^{-1}(\alpha-\beta)},$$

where positive quadratic forms  $A, B$  are defined by

$$2A = (\sqrt{S} + \sqrt{\bar{S}})^2, \quad 2B = (\sqrt{T} + \sqrt{\bar{T}})^2.$$

Notice that  $2\sqrt{AB} \leq A+B$  (geometric mean is majorized by arithmetic mean).

**Example 7.11.** Let  $\sigma$  be defined on  $V = \mathbb{R}^2$  by the matrix  $\begin{pmatrix} 0 & 2\mu \\ -2\mu & 0 \end{pmatrix}$  with  $\mu \in \mathbb{R}$  and consider a covariance form  $S$  in the boundary of  $\text{Cov}(V, \sigma)$ , i.e.,  $S$  is represented by a matrix of the form

$$\begin{pmatrix} z+x & y+i\mu \\ y-i\mu & z-x \end{pmatrix}, \quad z = \sqrt{x^2 + y^2 + \mu^2}.$$

From the extremality analysis before, we see that

$$\sqrt{S\bar{S}} = \begin{cases} 0 & \text{if } \mu \neq 0, \\ S & \text{if } \mu = 0 \end{cases}$$

and the associated quadratic form  $A$  is given by the matrix

$$\begin{pmatrix} z+x & y \\ y & z-x \end{pmatrix}$$

for  $\mu \neq 0$ , whereas it is multiplied by a factor 2 for  $\mu = 0$ .

Note that the matrix  $\begin{pmatrix} z+x & y+i\mu \\ y-i\mu & z-x \end{pmatrix}$  ( $z^2 = x^2 + y^2 + \mu^2$ ), does not commute with its complex conjugate unless  $(x, y, \mu) = (0, 0, 0)$ .

Let  $S'$  be another boundary covariance form with the associated quadratic form denoted by  $A'$ . Then both of  $A$  and  $A'$  are non-degenerate for  $\mu \neq 0$  and we have

$$\begin{aligned} (\varphi_S^{1/2} | \varphi_{S'}^{1/2}) &= 2 \frac{(z^2 - x^2 - y^2)^{1/4} (z'^2 - x'^2 - y'^2)^{1/4}}{\sqrt{(z+z')^2 - (x+x')^2 - (y+y')^2}} \\ &= \frac{2|\mu|}{\sqrt{(z+z')^2 - (x+x')^2 - (y+y')^2}}. \end{aligned}$$

It is ready to establish transition probability formula in the commutative case  $\sigma \equiv 0$ .

**Theorem 7.12.** Let  $V$  be an arbitrary (not necessarily finite-dimensional) real vector space. Let  $S, T$  be covariance forms for  $\sigma \equiv 0$  and  $\alpha, \beta : V \rightarrow \mathbb{R}$  be linear functionals. Then

$$(\varphi_{\alpha,S}^{1/2} | \varphi_{\beta,T}^{1/2}) = \sqrt{\det \left( \frac{2\sqrt{ST}}{S+T} \right)} e^{-\frac{1}{4}(S+T)^{-1}(\alpha-\beta)}.$$

*Proof.* If  $\text{tr} \frac{(S^{1/2}-T^{1/2})^2}{S+T} = \infty$ , we can find a finite-dimensional subspace  $W \subset V$  such that  $\text{tr} \frac{\sqrt{S|_W} - \sqrt{T|_W})^2}{S|_W + T|_W}$  is arbitrarily large. Then

$$\begin{aligned} (\varphi_{S,\alpha}^{1/2} | \varphi_{T,\beta}^{1/2}) &\leq (\varphi_{S|_W,\alpha|_W}^{1/2} | \varphi_{T|_W,\beta|_W}^{1/2}) \\ &= \sqrt{\det \left( \frac{2\sqrt{S|_W T|_W}}{S|_W + T|_W} \right)} e^{-\frac{1}{4}(S|_W + T|_W)^{-1}(\alpha|_W - \beta|_W)} \\ &\leq \sqrt{\det \left( \frac{2\sqrt{S|_W T|_W}}{S|_W + T|_W} \right)} \end{aligned}$$

is arbitrarily small. Thus  $(\varphi_{S,\alpha}^{1/2} | \varphi_{T,\beta}^{1/2}) > 0$  implies  $\text{tr} \frac{(S^{1/2}-T^{1/2})^2}{S+T} < \infty$  and the Gaussian integrals on each eigenspace of the trace class operator  $\frac{(S^{1/2}-T^{1/2})^2}{S+T}$  are worked out to get the determinant formula.  $\square$

*Remark 6.* When  $\sigma \equiv 0$ ,  $\bar{S} = S$  and  $2A = (S^{1/2} + \bar{S}^{1/2})^2 = 4S$ .

Notice here that simple restrictions to finite-dimensional algebras are not enough to get the probabilistic disjointness for non-trivial  $\sigma$  because it involves the non-linear transformation such as  $2A = (S^{1/2} + \bar{S}^{1/2})^2$ .

## 8. INFINITE-DIMENSIONAL ANALYSIS

We shall establish the determinant formula for the transition probability between free states when  $V$  is infinite-dimensional.

We first make the meaning clear for the relevant determinants. Let  $R$  and  $Q$  be quadratic forms on a real vector space  $V$  and assume that  $Q(v) \leq R(v)$  for  $v \in V$ . Let  $V_R$  be the real Hilbert space induced from  $R$ , i.e.,  $V_R$  is a completion of  $V/\ker R$ . Let  $(\cdot | \cdot)$  be an inner product on  $V_R$  which gives the topology of  $V_R$  and write  $Q(v) = (\dot{v} | \mathbf{Q} \dot{v})$ ,  $R(v) = (\dot{v} | \mathbf{R} \dot{v})$  with  $\mathbf{Q}, \mathbf{R}$  positive bounded linear operators on  $V_R$ . By our assumptions,  $\mathbf{Q} \leq \mathbf{R}$  and  $\mathbf{R}$  has a bounded inverse. We set

$$\det \left( \frac{Q}{R} \right) = \begin{cases} \det(\mathbf{R}^{-1} \mathbf{Q}) & \text{if } \mathbf{R} - \mathbf{Q} \text{ is in the trace class,} \\ 0 & \text{otherwise.} \end{cases}$$

Here  $\det(1 + T)$  with  $T$  in the trace class is the Fredholm determinant and we can easily check that this definition does not depend on the choice of an inner product  $(\cdot | \cdot)$ .

In view of the structural identity  $(\varphi_{\alpha,S}^{1/2} | \varphi_{\beta,T}^{1/2}) = (\varphi_{\alpha-\beta,S}^{1/2} | \varphi_T^{1/2})$ , we may set  $\beta = 0$ .

Thanks to the coarse-graining inequality and the finite-dimensional formula, we can show that  $(\varphi_{\alpha,S}^{1/2} | \varphi_T^{1/2}) = 0$  unless  $S \stackrel{q}{\sim} T$  and  $(A + B)^{-1}(\alpha) < \infty$ . Here  $S \stackrel{q}{\sim} T$  means that  $A$  and  $B$  are equivalent as quadratic forms and  $(A + B) \setminus (A^{1/2} - B^{1/2})^2$  is in the trace class.

Thus the problem is reduced to the case that  $S \stackrel{q}{\sim} T$  and  $(A + B)^{-1}(\alpha) < \infty$ . The approximation theorem for transition probability then allows us to move into the hilbertian space  $V_A = V_B$ , i.e., we may assume that  $V = V_A = V_B$  and  $\alpha : V \rightarrow \mathbb{R}$  is continuous from outset.

Since  $S + \bar{S}$ ,  $T + \bar{T}$ ,  $A$  and  $B$  are all equivalent as quadratic forms,  $V$  is decomposed into a direct sum of separable subspaces  $V_j$  so that

$$S(V_j, V_k) = T(V_j, V_k) = 0 \quad \text{for } j \neq k.$$

The problem is therefore further reduced to the case that  $V$  is separable. For any choice of an increasing sequence of finite-dimensional subspaces  $V_n$  of  $V$  such that  $V = \overline{\cup_n V_n}$ , let  $S_n$ ,  $T_n$  and  $\alpha_n$  be restrictions of  $S$ ,  $T$

and  $\alpha$  respectively. Again the approximation theorem is applied to see

$$(\varphi_{\alpha,S}^{1/2} | \varphi_T^{1/2}) = \lim_{n \rightarrow \infty} (\varphi_{\alpha,S_n}^{1/2} | \varphi_{T_n}^{1/2})$$

and the problem boils down to showing

$$\begin{aligned} \lim_{n \rightarrow \infty} \det \left( \frac{2\sqrt{A_n B_n}}{A_n + B_n} \right) &= \det \left( \frac{2\sqrt{AB}}{A + B} \right), \\ \lim_{n \rightarrow \infty} (A_n + B_n)^{-1}(\alpha_n) &= (A + B)^{-1}(\alpha). \end{aligned}$$

These approximation formulae on determinants are, however, not so obvious. The major difficulty is that  $A_n = (S_n^{1/2} + \bar{S}_n^{1/2})^2/2$  is not the restriction of  $A$  to the subspace  $V_n$ . Of course  $A$  is approximated by  $A_n$  in the weak sense:

$$\lim_{n \rightarrow \infty} A_n(v) = A(v) \quad \text{for } v \in \bigcup_n V_n.$$

**Question:** With this weak pproximation property for  $A_n$  and  $B_n$ , is that true or not for the following convergence?

$$\lim_{n \rightarrow \infty} \det \left( \frac{2\sqrt{A_n B_n}}{A_n + B_n} \right) = \det \left( \frac{2\sqrt{AB}}{A + B} \right).$$

To circumvent the difficulty, we appeal to the old trick called purification: Given a positive functional  $\varphi$  on a  $C^*$ -algebra  $A$ , its purification is a positive functional  $\Phi$  on  $A \otimes A^\circ$  defined by

$$\Phi(a \otimes b^\circ) = (\varphi^{1/2} | a \varphi^{1/2} b).$$

Here  $A^\circ$  denotes the opposite algebra:  $A^\circ$  is a replica of  $A$  with the multiplication reversed in the order as  $a^\circ b^\circ = (ba)^\circ$  for  $a, b \in A$ .

We apply this to  $A = C(V, \sigma)$  and  $\varphi = \varphi_{\alpha,S}$ . The result is that  $C(V, \sigma) \otimes C(V, \sigma)^\circ = C(V \oplus V, \sigma \oplus -\sigma)$  and  $\Phi = \varphi_{\alpha \oplus \alpha, P}$ , where the purified covariance form  $P$  is defined by

$$P = \begin{pmatrix} S & \sqrt{S\bar{S}} \\ \sqrt{S\bar{S}} & \bar{S} \end{pmatrix}$$

Let  $Q$  be the purification of  $T$ . It turns out that  $(V \oplus V)_P = (V \oplus V)_Q$  and  $\varphi_{\alpha \oplus \alpha, P}|_C, \varphi_Q|_C$  are equivalent as Radon measures on the central  $C^*$ -subalgebra  $C = C(\ker(\sigma \oplus -\sigma), 0)$  of  $C(V \oplus V, \sigma \oplus -\sigma)$ . When  $C$  is trivial, life is easy:  $\sqrt{P\bar{P}} = 0 = \sqrt{Q\bar{Q}}$  ( $P$  and  $Q$  being extremal) and the major difficulty disappears.

Thus, to get the maximal benefit from purification, we perform the direct integral decomposition of  $\varphi_{\alpha \oplus \alpha, P}$  and  $\varphi_Q$  over the Gelfand spectrum  $\Omega$  of  $C$ . For an explicit description of decomposed components,

we replace  $\Omega$  with a Borel subset  $\Omega_0 \cong V_0^*$  which supports relevant measures (cf. discussions in §1).

Now the proof of the determinant formula goes like this (see [16, §11] for details):

$$\begin{aligned}
(\varphi_{\alpha,S}^{1/2} | \varphi_T^{1/2}) &= \int_{V_0^*} \sqrt{\nu_{\alpha,S} \nu_T}(d\omega) (\varphi_{\alpha,S,\omega}^{1/2} | \varphi_{T,\omega}^{1/2}) \\
&= \int_{V_0^*} \sqrt{\nu_{\alpha,S} \nu_T}(d\omega) (\varphi_{\dot{\alpha}+\Delta\omega, \dot{S}}^{1/2} | \varphi_{\dot{T}}^{1/2}) \\
&= \int_{V_0^*} \sqrt{\nu_{\alpha,S} \nu_T}(d\omega) (\varphi_{\dot{\alpha}+\Delta\omega \oplus \dot{\alpha}+\Delta\omega, \dot{P}}^{1/2} | \varphi_{\dot{Q}}^{1/2})^{1/2} \\
&= \int_{V_0^*} \sqrt{\nu_{\alpha,S} \nu_T}(d\omega) \det \left( \frac{\left( \frac{\dot{Q}+\bar{\dot{Q}}}{\dot{P}+\bar{\dot{P}}} \right)^{1/2} + \left( \frac{\dot{P}+\bar{\dot{P}}}{\dot{Q}+\bar{\dot{Q}}} \right)^{1/2}}{2} \right)^{-1/4} \\
&\quad \times e^{-\frac{1}{2} \dot{G}^{-1}(\dot{\alpha}+\Delta\omega \oplus \dot{\alpha}+\Delta\omega)} \\
&= \int_{V_0^*} \sqrt{\nu_{\alpha,S} \nu_T}(d\omega) \sqrt{\det \left( \frac{2\sqrt{\dot{A}\dot{B}}}{\dot{A}+\dot{B}} \right)} e^{-\frac{1}{2}(\dot{A}+\dot{B})^{-1}(\dot{\alpha}+\Delta\omega)} \\
&= \int_{V_0^*} \sqrt{\nu_{\alpha,S} \nu_T}(d\omega) (\varphi_{\dot{\alpha}+\Delta\omega, \dot{A}/2}^{1/2} | \varphi_{\dot{B}/2}^{1/2}) \\
&= \int_{V_0^*} \sqrt{\nu_{\alpha,S} \nu_T}(d\omega) (\varphi_{\alpha, A/2, \omega}^{1/2} | \varphi_{B/2, \omega}^{1/2}) \\
&= (\varphi_{\alpha, A/2}^{1/2} | \varphi_{B/2}^{1/2}) = \sqrt{\det \left( \frac{2\sqrt{AB}}{A+B} \right)} e^{-\frac{1}{2}(A+B)^{-1}(\alpha)}.
\end{aligned}$$

Here dots indicate that it concerns the symplectic quotients such as  $\dot{V} = V / \ker \sigma$ .

**Corollary 8.1.** The condition  $(\varphi_{\alpha,S}^{1/2} | \varphi_{\beta,T}^{1/2}) > 0$  is an equivalence relation on  $V^* \times \text{Cov}(V, \sigma)$ .

## 9. KAKUTANI'S DICHOTOMY

The method of purification along with the central decomposition is also useful in the quasi-equivalence analysis. Recall that, two states  $\varphi$  and  $\psi$  on a C\*-algebra  $A$  are quasi-equivalent or disjoint according to  $\overline{A\varphi^{1/2}A} = \overline{A\psi^{1/2}A}$  or  $\overline{A\varphi^{1/2}A} \perp \overline{A\psi^{1/2}A}$ .

By utilizing the determinant formula, we see that the condition  $(\varphi_{\alpha,S}^{1/2}|\varphi_T^{1/2}) > 0$  guarantees  $(\varphi_{\dot{\alpha}+\Delta\omega\oplus\dot{\alpha}+\Delta\omega,\dot{P}}^{1/2}|\varphi_{\dot{Q}}^{1/2}) > 0$  for every  $\omega \in V_0^*$ . Since  $\varphi_{\dot{\alpha}+\Delta\omega\oplus\dot{\alpha}+\Delta\omega,\dot{P}}$  and  $\varphi_{\dot{Q}}$  are pure states, non-vanishing of the transition probability between them implies their unitary equivalence, i.e.,

$$\overline{C(\dot{V}, \dot{\sigma})\varphi_{\dot{\alpha}+\Delta\omega\oplus\dot{\alpha}+\Delta\omega,\dot{P}}^{1/2}} = \overline{C(\dot{V}, \dot{\sigma})\varphi_{\dot{Q}}^{1/2}},$$

which are direct-integrated over  $\omega$  to get

$$\overline{C(V, \sigma)\varphi_{\alpha,S}^{1/2}}C(V, \sigma) = \overline{C(V, \sigma)\varphi_T^{1/2}}C(V, \sigma).$$

Note here the measures used for integrations are equivalent thanks to  $(\varphi_{\alpha,S}^{1/2}|\varphi_T^{1/2}) > 0$  again.

Conversely, assume that the transition probability vanishes. For notational simplicity, write  $A = C(V, \sigma)$ ,  $\varphi = \varphi_{\alpha,S}$ . By a Hilbert-Schmidt perturbation, we can find  $T'$  such that  $(\varphi_T^{1/2}|\varphi_{T'}^{1/2}) > 0$  and  $\overline{A\varphi_{T'}^{1/2}}A = \overline{\varphi_{T'}^{1/2}}A$ . Write  $\psi = \varphi_{T'}$ . Since non-vanishing is an equivalence relation,  $(\varphi^{1/2}|\varphi_T^{1/2}) = 0$  is equivalent to  $(\varphi^{1/2}|\psi^{1/2}) = 0$ . It implies  $\varphi^{1/4}\psi^{1/4} = 0$  and then  $\varphi^{1/2}\psi^{1/2} = 0$  as an element in  $A^*$ , which is used to get

$$(\varphi^{1/2}|\psi^{1/2}a) = (\varphi^{1/2}\psi^{1/2})(a) = 0$$

for  $a \in A$ . Since  $\overline{\psi^{1/2}}A = \overline{A\psi^{1/2}}A$ , this further implies the orthogonality of  $\varphi^{1/2}$  with  $\overline{A\psi^{1/2}}A$ , whence

$$\overline{A\varphi^{1/2}}A \perp \overline{A\psi^{1/2}}A = \overline{A\varphi_T^{1/2}}A.$$

**Theorem 9.1.** Two free states  $\varphi_{\alpha,S}$  and  $\varphi_{\beta,T}$  on a CCR  $C^*$ -algebra  $C(V, \sigma)$  are quasi-equivalent or disjoint according to non-vanishing or vanishing of their transition probability  $(\varphi_{\alpha,S}^{1/2}|\varphi_{\beta,T}^{1/2})$ .

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