

Article

Identification of Risk Factors and Machine Learning-Based Prediction Models for Knee Osteoarthritis Patients

Christos Kokkotis ^{1,2,*}, Serafeim Moustakidis ³, Giannis Giakas ² and Dimitrios Tsaopoulos ¹

¹ Institute for Bio-Economy & Agri-Technology, Center for Research and Technology Hellas, 60361 Volos, Greece; d.tsapoulos@certh.gr

² Department of Physical Education & Sport Science, University of Thessaly, 38221 Trikala, Greece; ggiakas@gmail.com

³ AIDEAS OÜ, Narva mnt 5, Tallinn, 10117 Harju Maakond, Estonia; s.moustakidis@aideas.eu

* Correspondence: c.kokkotis@certh.gr

Received: 11 August 2020; Accepted: 25 September 2020; Published: 28 September 2020



Abstract: Knee Osteoarthritis (KOA) is a multifactorial disease that causes low quality of life, poor psychology and resignation from life. Furthermore, KOA is a big data problem in terms of data complexity, heterogeneity and size as it has been commonly considered in the literature with most of the reported studies being limited in the amount of information they can adequately process. The aim of this paper is: (i) To provide a robust feature selection (FS) approach that could identify important risk factors which contribute to the prediction of KOA and (ii) to develop machine learning (ML) prediction models for KOA. The current study considers multidisciplinary data from the osteoarthritis initiative (OAI) database, the available features of which come from heterogeneous sources such as questionnaire data, physical activity indexes, self-reported data about joint symptoms, disability and function as well as general health and physical exams' data. The novelty of the proposed FS methodology lies on the combination of different well-known approaches including filter, wrapper and embedded techniques, whereas feature ranking is decided on the basis of a majority vote scheme to avoid bias. The validation of the selected factors was performed in data subgroups employing seven well-known classifiers in five different approaches. A 74.07% classification accuracy was achieved by SVM on the group of the first fifty-five selected risk factors. The effectiveness of the proposed approach was evaluated in a comparative analysis with respect to classification errors and confusion matrices to confirm its clinical relevance. The results are the basis for the development of reliable tools for the prediction of KOA progression.

Keywords: knee osteoarthritis; prediction; feature selection; machine learning; clinical data; KL-grade

1. Introduction

Knee Osteoarthritis (KOA) is the most common type compared with other types of osteoarthritis (OA). KOA results from a complex interplay of constitutional and mechanical factors, including mechanical forces, local inflammation, joint integrity, biochemical processes and genetic predisposition. The specific disease causes significant problems when it occurs. In recent years, it has been also realized that KOA is closely associated with obesity and age [1]. Moreover, KOA is diagnosed in the young and athletes following older injuries [2]. The particularity of this disease is that the knee osteoarthritic process is gradual with a variation in symptoms intensity, frequency and pattern [3]. Due to the multifactorial nature of KOA, disease pathophysiology is still poorly understood and prognosis prediction tools are under current investigation.

Prognosis and treatment of KOA is a challenge for the scientific community. Increasing data collection has led to an increasing number of studies employing big data and AI analytics applied in the KOA research. As a result of this, several techniques have been reported in the literature in which ML models were used to predict KOA [4]. In 2017, Lazzarini et al. developed five (5) ML models that can be used to predict the incidence of knee OA in overweight and obese women. By integrating a wide variety of biomedical data in their models, they showed that using a small subset of the available information is possible to accurately predict the incidence of KOA by using Random Forest (RF) [5]. In another study, Halilaj et al. aimed to characterize different clusters of KOA progression and build models to predict these clusters early [6]. LASSO regression models were used to predict joint space narrowing and pain progression which are the most widely used surrogates of structural and symptomatic disease status. Furthermore, Pedoia et al. [7] used MRI and multidimensional biomechanics data attempting to meet the existing gap in multidimensional data analysis for precision medicine in KOA. They achieved large-scale integration of compositional imaging and skeletal biomechanics by using logistic regression as the ML model.

In 2019, Abedin et al. built two different prediction models, which achieved comparable accuracy with the aforementioned studies. In this study elastic net and RF were used along with a convolution neural network. The aim of this work was to explore whether the prediction accuracy of a statistical model based on the patient's questionnaire data is comparable to the prediction accuracy based on X-ray image-based modeling to predict KOA severity [8]. In another study, in 2019 Nelson et al. applied innovative ML approaches (e.g., K-means, t-SNE), specialized for a high dimension, low sample size setting, to phenotyping in KOA in order to better define progression phenotypes that may be more homogeneous and responsive to potential disease modifying interventions [9]. Moreover, in 2019 Tiulpin et al. proposed a novel method based on ML that directly utilizes raw radiographic data, physical examination, patient's medical history, anthropometric data and, optionally, a radiologist's statement (Kellgren and Lawrence (KL)-grade) to predict structural KOA progression by using logistic regression and gradient boosting machine. They demonstrated that a knee X-ray image alone is already a very powerful source of data to predict whether a particular knee will have OA progression or not [10]. Furthermore, in the same year, Widera et al. used several ML models (e.g., logistic regression, K-nearest neighbor, SVC (linear kernel), SVC (RBF kernel) and RF) in combination with clinical data and X-ray image assessment metrics to develop predictive models for patient selection that outperform the conventional inclusion criteria used in clinical trials [11]. However, few studies have tried to apply ML models for the prediction of KOA. There is still a lack of knowledge on the contribution of self-reported clinical data on the KOA prognosis and their impact on the training of the associated ML predictive models [12–17].

According to our knowledge, identification of risk factors for developing and especially predicting KOA has been limited by an absence of non-invasive methods to inform clinical decision making and enable early detection of people who are most likely to progress to severe KOA. Hence the main purpose of this paper is twofold: (i) The prediction of KOA through the identification of risk factors that are relevant with KL progression from a big pool of risk factors available in the osteoarthritis initiative (OAI) database and (ii) the development of machine learning-based models that can predict long-term KL progression. To accomplish the aforementioned targets, a robust ML pipeline that involves a hybrid feature selection technique and well-known ML models was implemented. Moreover, this paper also explores three different options with respect to the time period within which data should be considered in order to reliably predict KOA progression. Finally, a discussion on the nature of the selected features is also provided.

The paper is organized as follows. Section 2 gives a description of the datasets that were used in our paper. In Section 3, the proposed methodology along with the necessary data pre-processing, feature selection and validation mechanisms are presented. Results are given in Section 4. Discussion of the results is provided in Section 5. Conclusions and future work are finally drawn in Section 6.

2. Data Description

Data were obtained from the osteoarthritis initiative (OAI) database (available upon request at <https://nda.nih.gov/oai/>). Specifically, the current study only includes clinical data from: (i) The baseline; (ii) the first follow up visit at month 12 and (iii) the next follow up visit at month 24 from all individuals being at high risk to develop KOA or without KOA. Eight feature categories were considered as possible risk factors for the prediction of KL as shown in Table 1. Furthermore, our study was based on Kellgren and Lawrence (KL) grade as the main indicator for assessing the clinical status of the participants. Specifically, the variables ‘V99ERXIOA’ and ‘V99ELXIOA’ were used to assign participants into subgroups (classes) of participants whose KOA status progresses or not (during labelling process).

Table 1. Main categories of the feature subsets considered in this paper.

Category	Description	Timeline of Visit		
		Baseline	12 Months	24 Months
Subject characteristics	Anthropometric parameters including height, weight, BMI, abdominal circumference, etc.	•	•	•
Behavioural	Participants' social behaviour and quality level of daily routine	•	•	•
Medical history	Questionnaire data regarding a Participant's arthritis-related and general health histories and medications	•	-	-
Medical imaging outcome	Medical imaging outcomes (e.g., osteophytes and joint space narrowing)	•	-	-
Nutrition	Block Food	•	-	-
Physical activity	Frequency questionnaire	•	-	-
Physical exam	Questionnaire results regarding leisure activities, etc.	•	•	•
Symptoms	Physical measurements of participants, including isometric strength, knee and hand exams, walking tests and other performance measures	•	•	•
	Arthritis symptoms and general arthritis or health-related function and disability	•	•	•

In this paper, we consider KL grades prediction as a two-class classification problem. Specifically, the participants of the study were divided into two groups: (1) Non-progressors: Healthy participants (KL grade 0 or 1) that remained healthy throughout the whole duration of the OAI study (eight years) and (2) KOA progressors: Healthy participants who developed OA ($KL > 1$) during the course of the OAI study. So the main objective of the study is to build ML models that could discriminate the two aforementioned groups and therefore be able to decide whether a new testing sample (healthy participant) will develop OA (assigned in the progressors' class) or not (assigned to the non-progressors' class). Secondary objectives of the paper are to: (i) Identify which of the available risk factors contribute more to the classification output and as result can be considered as contributing factors in the prediction of OA and (ii) explore three different options (a single visit, two visits within a year and two visits within two years) with respect to the time period within which data should be considered in order to reliably predict KOA progression. To achieve these targets, we have worked on five different approaches in which different data subsets were considered comprising features from the baseline combined (or not) with features from visits 1 (at month 12) and 2 (month 24). The motivation behind this is to investigate whether data from the baseline are sufficient to predict the progression of KOA or additional data from subsequent visits should be also included in the training to increase the predictive accuracy of the proposed techniques. Detailed information as far as the aforementioned data subsets is given in the following. Data resampling was applied at each of the five datasets to cope with the problem of class size imbalance and generate dataset in which classes are represented by an equal number of samples.

- Dataset A (FS1): Progressors vs. non-progressors using data from the baseline visit

Input: This dataset only contains data from the baseline (724 features). After data resampling, the participants were divided into two equal categories (Figure 1), as follows:

- Class A1 (KOA progressors): This class comprises 341 participants who had KL 0 or 1 at baseline, but they had also some incident of KL ≥ 2 at visit 1 (12 months) or later until the end of the OAI study in at least one of the two knees or in both.
- Class A2 (non-progressors): This class involves 341 participants with KL 0 or 1 at baseline, with follow-up x-rays but no incident of KL ≥ 2 for both of their knees until the end of the OAI study.

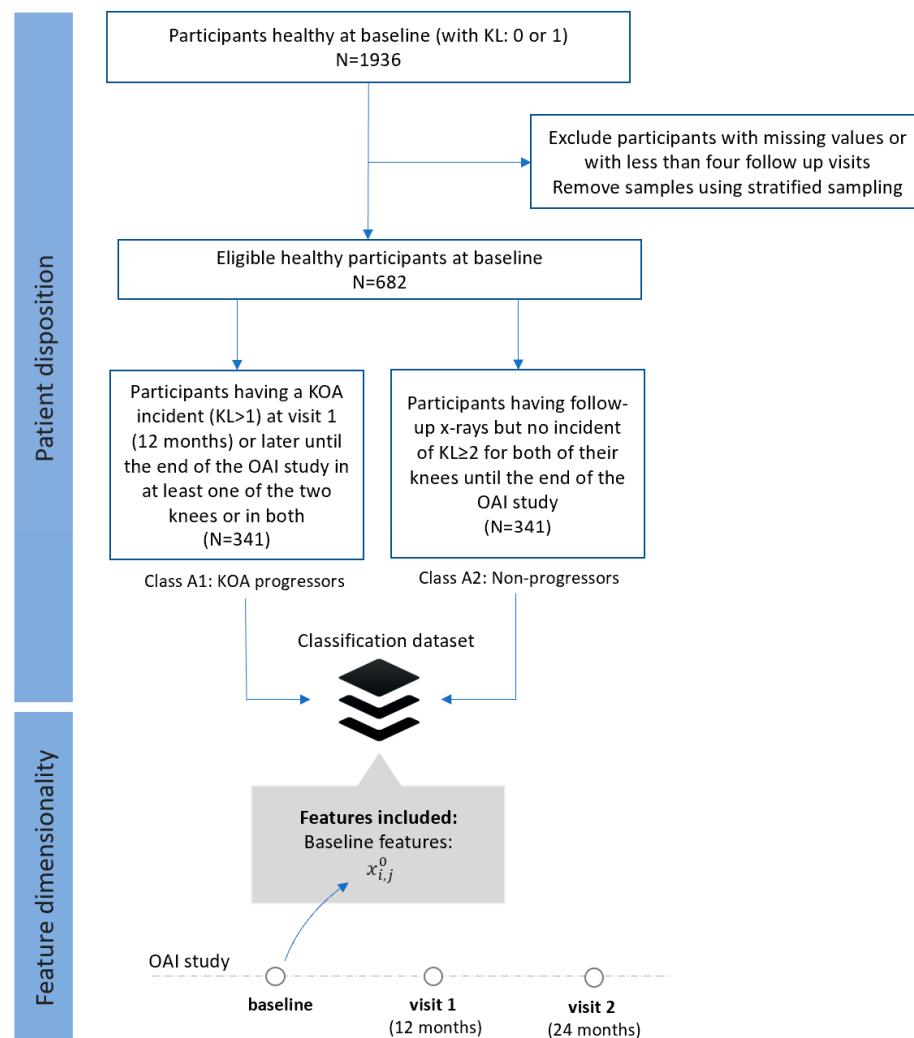


Figure 1. Flow chart of study design for dataset A.

Output: Classification outputs 0 and 1 corresponding to assignments to classes A1 and A2, respectively.

- Dataset B (FS2): Progressors vs. non-progressors using progression data within the first 12 months

Input: Dataset B contains data that declares the features' progression within the first 12 months. Specifically, the Equation (1) denotes the way that this progression was calculated.

$$dx_{i,j}^k = x_{i,j}^k - x_{i,j}^0, \forall j \in \mathcal{F} \quad (1)$$

where $x_{i,j}^k$ and $x_{i,j}^0$ are the j components (features) of sample x_i measured at the visit k and the baseline (visit 0), respectively; $dx_{i,j}^k$ is the calculated progression of $x_{i,j}$ within the time period between the k -th visit and the baseline and \mathcal{F} denotes the subset of features that co-exist in both visits (233 features for dataset B). As an example, let us consider the participant x_{100} with a body mass index ($P01BMI$) of 20 at the baseline visit ($x_{100,49}^0 = 20$, where $j = 49$ is the index of feature $P01BMI$). Let us also assume that the participant's BMI at visit 1 has increased to 25 ($x_{100,49}^1 = 25$). Thus, the BMI progression of the specific participant is calculated as $dx_{100,49}^1 = 25 - 20 = 5$. This calculation has been performed for all the 233 features of dataset B.

After data resampling, the following two classes of participants were created (Figure 2), as follows:

- Class B1 (KOA progressors): This class comprises progression data $dx_{i,j}^1$ of 268 participants who were healthy (KL 0 or 1) within the first 12 months (both at the baseline and the visit 1), but they had an incident of $KL \geq 2$ at the second visit (24 months) or later (until the end of the OAI study).
- Class B2 (non-progressors): This class involves progression data $dx_{i,j}^1$ from 268 participants with KL 0 or 1 at the baseline, who had follow-up x-rays with no other incident of $KL \geq 2$ in any of their knees until the end of the OAI study.

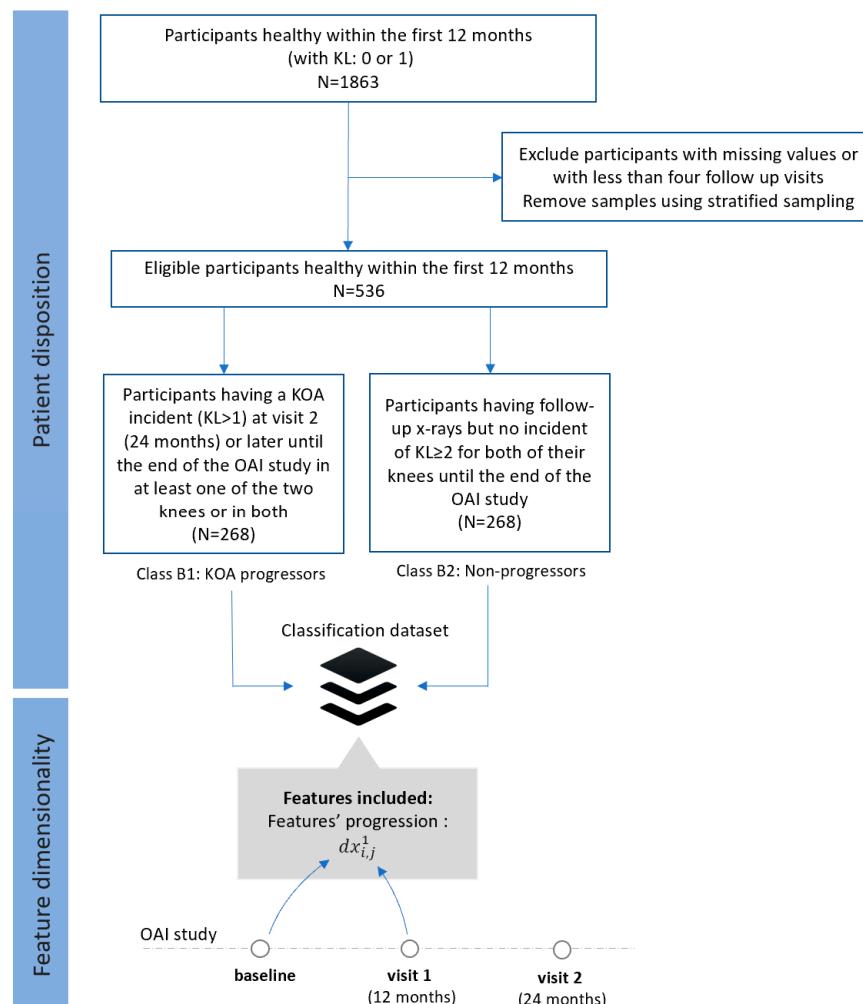


Figure 2. Flow chart of study design for dataset B.

Output: Classification outputs 0 and 1 corresponding to assignments to classes B1 and B2, respectively.

- Dataset C (FS3): Progressors vs. non-progressors using progression data within the first 24 months

Input: Dataset C contains progression data $dx_{i,j}^2$, within the first 24 months (until visit 2). The dataset contains 275 features that co-exist in visit 2 and the baseline, whereas the same methodology was used to calculate the features as given in Equation (1) using $k = 2$. The participants were divided into two equal categories (Figure 3), as follows:

- Class C1 (KOA progressors): This class comprises of 239 participants who had KL 0 or 1 during the first 24 months, whereas a KOA incident (KL ≥ 2) observed at visit 3 (36 months) or later during the OAI course in at least one of the two knees or in both.
- Class C2 (non-progressors): This class involves 239 participants with KL grade 0 or 1 at baseline, with follow-up X-rays and no further incidents (KL ≥ 2) for both of their knees.

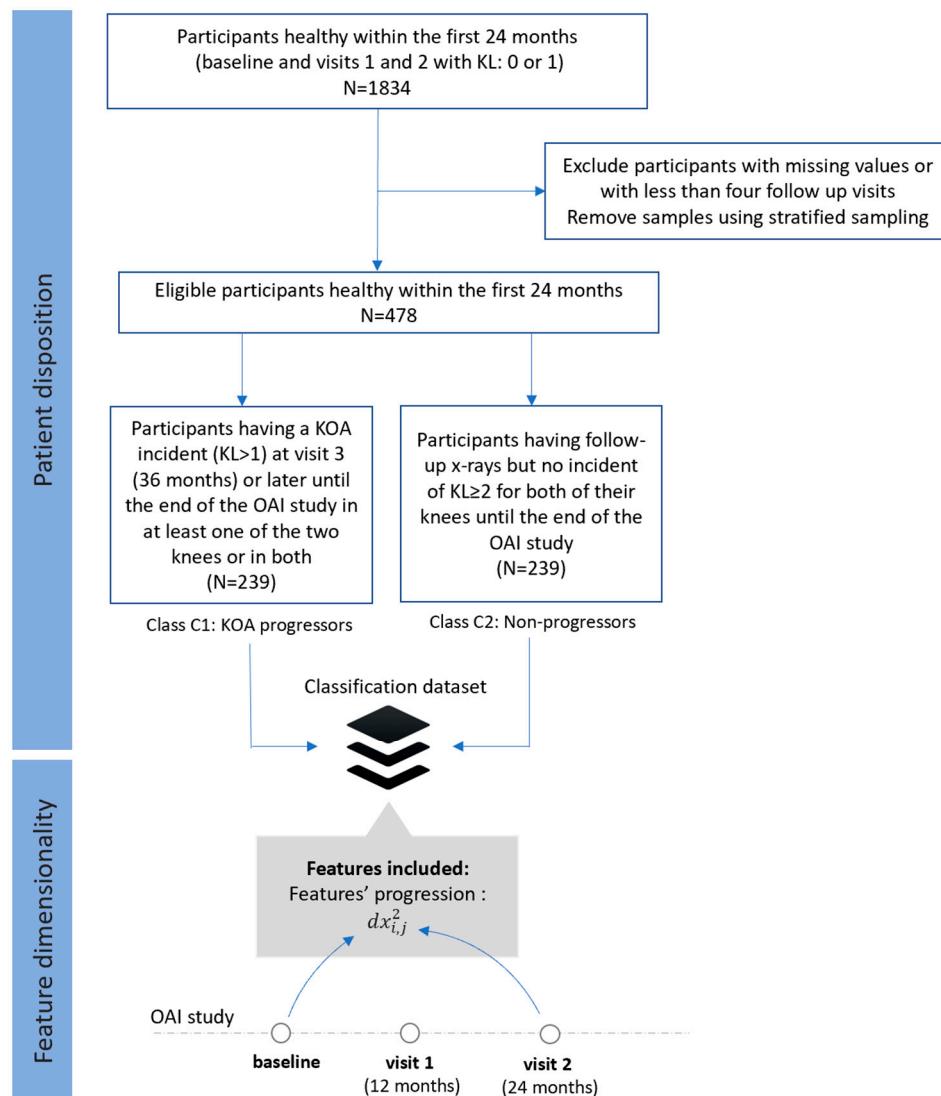


Figure 3. Flow chart of study design for dataset C.

Output: Classification outputs 0 and 1 corresponding to assignments to classes C1 and C2, respectively.

- Dataset D (FS4): Progressors vs. non-progressors using data from the baseline visit along with progression data within the first 12 months

Input: Dataset D contains 957 features from both datasets A and B. Specifically, it consists of 957 features from the baseline ($x_{i,j}^0$, $j = 1, \dots, 724$) along with progression data ($dx_{i,j}^1$, $j = 1, \dots, 233$) within

the first 12 months. The list with the selected features from dataset D is given in Appendix A. After the application of data sampling, the participants were divided into two equal categories (Figure 4), as follows:

- Class D1 (KOA progression): This class comprises 270 participants (KL 0 or 1) who were healthy during the first 12 months (with no incident at the baseline and the first visit) and then they had an incident ($KL \geq 2$) recorded at their second visit (24 months) or later until the end of the OAI study.
- Class D2 (non-KOA): This class involves 270 healthy participants with KL0 or 1 at baseline with no further incidents in both of their knees until the end of the OAI data collection.

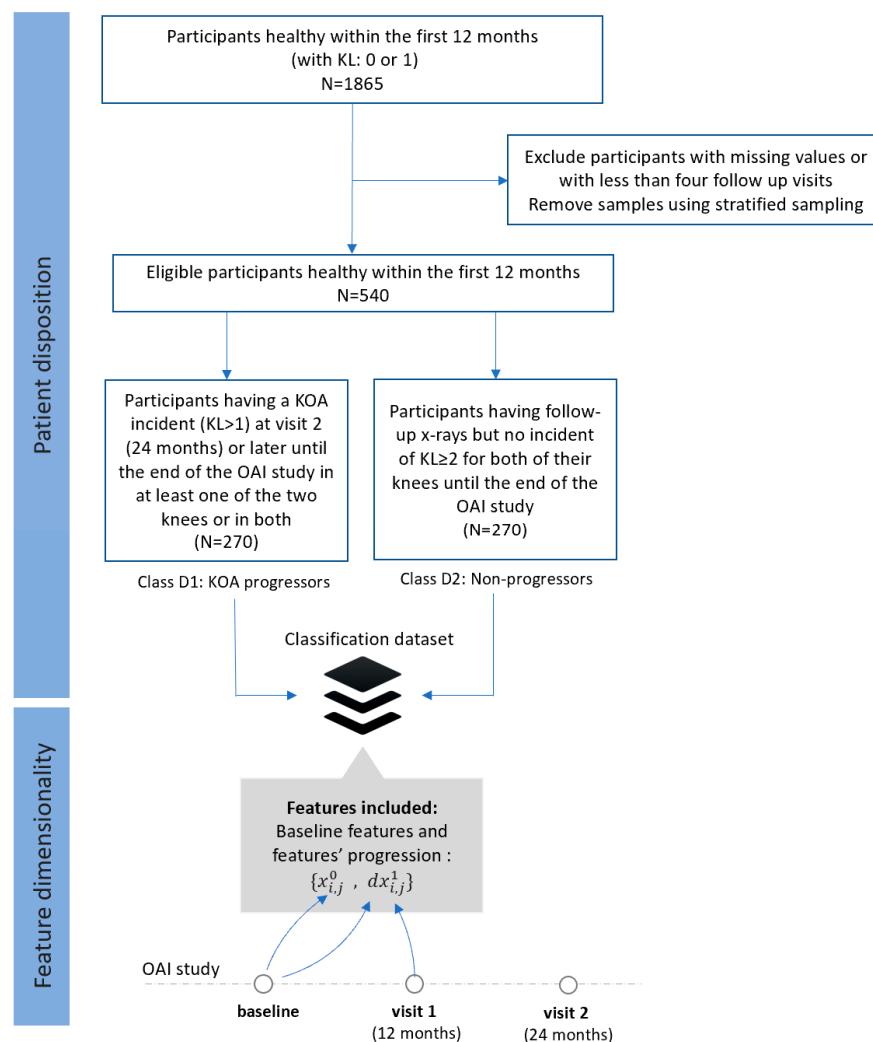


Figure 4. Flow chart of study design for dataset D.

Output: Classification outputs 0 and 1 corresponding to assignments to classes D1 and D2, respectively.

- Dataset E (FS5): Progressors vs. non-progressors using data from the baseline visit along with progression data within the first 24 months

Input: Dataset E contains 999 features combining datasets A and C. This set of features consists of baseline data $x_{i,j}^0, j = 1, \dots, 724$ as well as progression data $(dx_{i,j}^2, j = 1, \dots, 275)$ within the first 24 months. Similarly, participants were divided into two equal categories (Figure 5), as follows:

- Class E1 (KOA progression): This class comprises 248 participants who were healthy (KL 0 or 1) in the first 24 months, but they had a KOA incident ($KL \geq 2$) at the third visit (36 months) or later until the end of the OAI study in at least one of the two knees or in both.

- Class E2 (non-KOA): This class involves 248 healthy participants (KL0 or 1) with no further progression of KOA in both of their knees until the end of the OAI study.

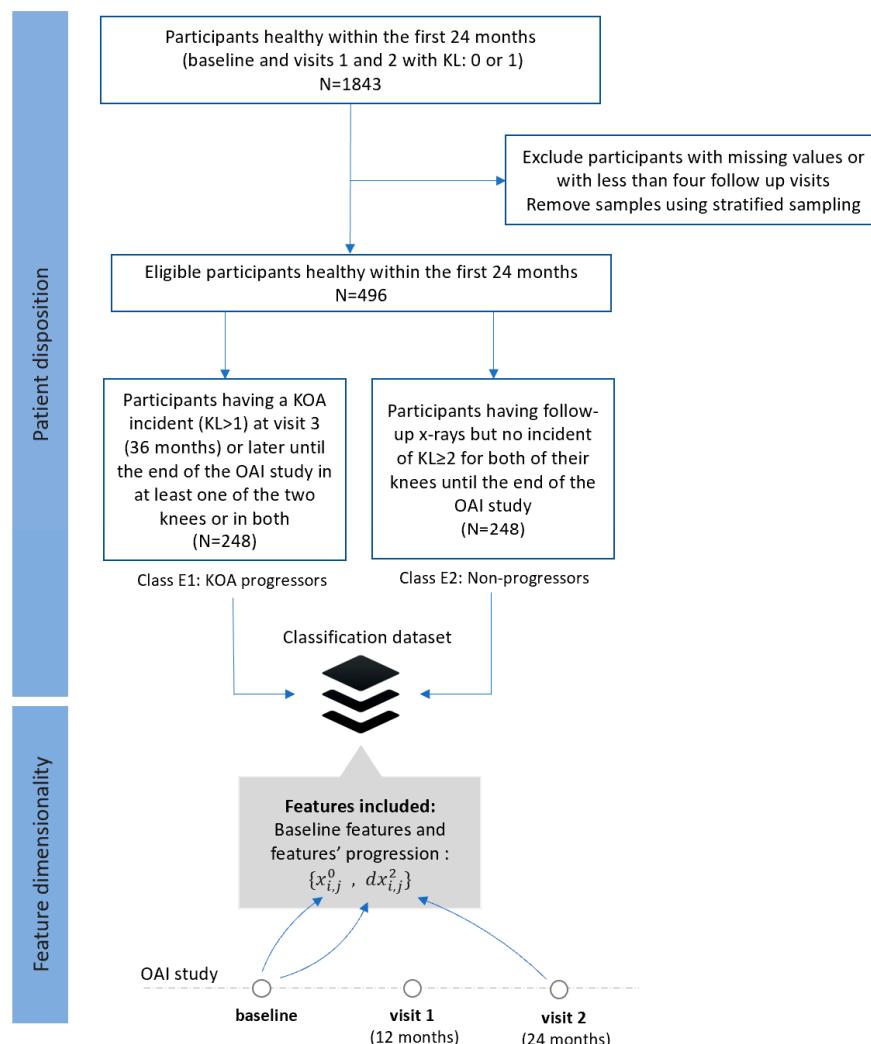


Figure 5. Flow chart of study design for dataset E.

Output: Classification outputs 0 and 1 corresponding to assignments to classes E1 and E2, respectively.

3. Methodology

The proposed in this paper ML methodology for KOA prediction includes four processing steps: (1) data pre-processing of the collected clinical data, (2) feature selection using the proposed approach, (3) learning process via the use of well-known ML models and (4) evaluation of the classification results. More details about the proposed methodology are presented in the following sections.

3.1. Pre-Processing

Data cleaning was initially performed by excluding the columns with more than 20% missing values compared to the total numbers of subjects. Subsequently, data imputation was performed to handle missing values. Specifically, mode imputation was implemented to replace missing values of the categorical or numerical variables by the mode (most frequent value) of the non-missing variables [18]. Standardization of a dataset is a common requirement for many ML estimators. In our paper, data was normalised to [0, 1] to build a common basis for the feature selection algorithms that follow [19].

Data resampling was employed to cope with the class imbalance problem. Specifically, the majority class was reduced in order to have the same number of samples as in the minority class.

3.2. Feature Selection (FS)

A robust feature selection methodology was employed that combined the outcomes of six FS techniques: two filter algorithms (Pearson correlation [20] and Chi-2 [21]), one wrapper (with logistic regression [22]) and three embedded ones (logistic regression L2 [23], random forest [24] and LightGBM [25]). Feature ranking was decided on the basis of a majority vote scheme. Specifically, we performed all six FS techniques separately, each one resulting into a selected FS. A feature receives a vote every time it has been selected by one of the FS algorithms. We finally ranked all features with respect to the votes received.

The proposed feature selection proceeds along the following steps as shown in Figure 6.

```

Step 1: All features were normalized as described in Pre-processing Section

Step 2: We performed each one of the six FS techniques separately resulting to
the creation of the following six feature subsets  $FS_i$ ,  $i=1\dots,6$ 

Step 3: Main loop

    Step 3.1 For each feature  $j$ , we set  $V_j=0$ ,  $j=1,\dots,M$  where  $M$  the total
    number of features

    Step 3.2 Set  $j=1$ 

    Step 3.3 if feature  $j$  is selected in  $FS_i$ , then  $V_j=V_j+1$ ;

    Step 3.4: Repeat step 3.3 for each one of the six FS techniques for
 $i=1,\dots,6$ 

    Step 3.5 Set  $j=j+1$ 

    Step 3.6 Terminate main loop if  $j>m$  otherwise go to step 3.3

Step 4: Rank features to descending order with respect to  $V_j$  (that is the final
selection criterion)

End

```

Figure 6. Pseudocode for the implementation of the proposed feature selection (FS).

3.3. Learning Process

Various ML models were evaluated for their suitability in the task of KOA prediction. A brief description of these models is given below.

We tested logistic regression [26] which is likely the most commonly used algorithm for solving classification problems. Logistic regression models the probabilities for classification problems with two possible outcomes. It's an extension of the linear regression model for classification problems. The interpretation of the weights in logistic regression differs from the interpretation of the weights in linear regression, since the outcome in logistic regression is a probability between 0 and 1. We also evaluated decision trees (DTs) [27] which are a non-parametric supervised learning method used for classification and regression. They are simple to understand and to interpret. DTs require little data preparation and perform well even if their assumptions are somewhat violated by the true model from which the data were generated.

K-Nearest Neighbor (KNN) [28] as well as non-linear support vector machines (SVM) algorithms [29], which can deal with the overfitting problems that appear in high-dimensional

spaces. In the classification setting, the KNN algorithm essentially boils down to forming a majority vote between the K most similar instances to a given “unseen” observation. Similarity is defined according to a distance metric between two data points. A popular one is the Euclidean distance method. Furthermore, SVMs are a set of supervised learning methods used for classification, regression and outlier’s detection. They are effective in high dimensional spaces and still effective in cases where the number of dimensions is greater than the number of samples.

The ensemble technique Random Forest (RF) [30] was also evaluated using DT models as weak learners. RF classifier creates a set of decision trees from randomly selected subsets of training set. It then aggregates the votes from different decision trees to decide the final class of the test object. XGboost [31] and naive Bayes [32] algorithms were also considered. XGboost model is a sum of CART (tree) learners which try to minimize the log loss objective and the scores at leaves. These scores are actually the weights that have a meaning as a sum across all the trees of the model. Furthermore, they are always adjusted in order to minimize the loss. Moreover, naive Bayes methods are a set of supervised learning algorithms based on applying Bayes’ theorem with the “naive” assumption of conditional independence between every pair of features given the value of the class variable. Naive Bayes learners and classifiers can be extremely fast. The decoupling of the class conditional feature distributions means that each distribution can be independently estimated as a one-dimensional distribution.

Hyperparameter selection was implemented to optimize the performance of our models and to avoid overfitting and bias errors. Each model was optimized with respect to a number of preselected hyperparameters (Table 2). Specifically (i) ‘gamma’: [0, 0.4, 0.5, 0.6], ‘maximal depth’: [1, 2, 3, 4, 5, 6, 7, 8], ‘minimum child and weight’: [1, 3, 4, 5, 6, 8] were optimized for XGboost, (ii) ‘criterion’: [‘gini’, ‘entropy’], ‘minimum samples leaf’: [1, 2, 3], ‘minimum samples split’: [3, 4, 5, 6, 7] and ‘number of estimators’: [10, 15, 20, 25, 30] for random forest, (iii) ‘maximal features’: [‘auto’, ‘sqrt’, ‘log2’], ‘minimum samples leafs’: [1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11] and ‘minimum number of decision splits’: [2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15] for decision trees, (iv) ‘C’: [0.001, 0.01, 0.1, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10] and ‘kernel’: [‘linear’, ‘sigmoid’, ‘rbf’, ‘poly’] for SVMs, (v) ‘k-parameter’: [5, 7, 9, 12, 14, 15, 16, 17] for KNN and (vi) ‘penalty’: [‘l1’, ‘l2’] and ‘C’: [100, 10, 1.0, 0.1, 0.01] for logistic regression.

Table 2. Hyperparameters description.

ML Models	Hyperparameters	Description
XGboost	Gamma	Minimum loss reduction required to make a further partition on a leaf node of the tree.
	Maximal depth	Maximum depth of a tree. Increasing this value will make the model more complex and more likely to overfit.
	Minimum child and Weight	Minimum sum of instance weight (hessian) needed in a child. If the tree partition step results in a leaf node with the sum of instance weight less than min_child_weight, then the building process will give up further partitioning.
Random Forest	Criterion	The function to measure the quality of a split.
	Minimum samples leaf	The minimum number of samples required to be at a leaf node.
	Number of estimators	The number of trees in the forest.
Decision Trees	Maximal features	The number of features to consider when looking for the best split.
	Minimum samples split	The minimum number of samples required to split an internal node
	Minimum number of leafs	The minimum number of samples required to be at a leaf node.
SVMs	C	Regularization parameter. The strength of the regularization is inversely proportional to C.
	Kernel	Specifies the kernel type to be used in the algorithm.
KNN Logistic Regression	k-parameter	Number of neighbors to use by default for k neighbors queries.
	Penalty	Used to specify the norm used in the penalization.
	C	Inverse of regularization strength; must be a positive float.

3.4. Validation

A hold out 70–30% random data split was applied to generate the training and testing subsets, respectively. Learning of the ML was performed on the stratified version of the training sets and the

final performance was estimated on the testing sets. We also evaluated the classifiers performance in terms of the confusion matrix as an additional evaluation criterion.

Confusion matrix is a way to evaluate the performance of a classifier. Specifically, a confusion matrix is a summary of prediction results on a classification problem (Table 3). To be created the confusion matrix, the number of correct (true) and incorrect (false) predictions are summarized with count values and broken down by each class.

Table 3. Confusion matrix.

		Actual Classes	
		Positive	Negative
Predicted classes	Positive	True Positive	False Positive
	Negative	False Negative	True Negative

4. Results

In this section, we present the most important risk factors as they have been selected by the proposed hybrid FS methodology. Moreover, the overall performance of the models is presented in relation to the number of selected features and then reference is made to the models with the highest accuracies. Results are initially given per dataset and an overall assessment is provided at the end. The efficacy of the proposed FS methodology is also compared with the performance of the six individual FS criteria.

4.1. Prediction Performance

The proposed ML methodology was applied on each of the five datasets. Specifically, the proposed FS was executed on the pre-processed versions of the datasets ranking the available features with respect to their relevance with the progression of OA. Then the proposed ML models were trained on feature subsets of increasing dimensionality (with a step of 5). These feature subsets were generated by sorting the features according to the selected ranking. This means that the proposed ML models were trained to classify KOA progressors and non-progressors based on the first (5, 10, 15, etc.) most informative features and the testing classification accuracies were finally calculated until the full feature set has been tested. The classification results on the five datasets are given below.

- Dataset A

Figure 7 depicts the testing performance (%) of the competing ML models with respect to the number of selected features for dataset A. In particular, DTs failed in this task, recording low testing performances (in the range of 42.44–65.85%). In contrast, the other models had an upward trend in the first 20–60 features, followed by a steady testing performance in most of the cases. Specifically, the logistic regression model showed an upward trend with respect to selected features in the first 30–50 features, with a maximum of 71.71% at 50 features (which was the overall best performer). The inclusion of additional features led to a small reduction in the accuracies achieved.

Table 4 summarizes the results of logistic regression, XGboost, SVM, random forest, KNN, naive Bayes and DT on the two-class problem. A moderate number of features (in the range of 30–55) was finally selected by the majority of the ML models (in five out of the seven), whereas the overall maximum was achieved by LR on a group of fifty selected (50) risk factors. KNN and DTs selected more features (145 and 85, respectively) leading to low accuracies. The second highest accuracy was received for SVM and Naive Bayes (70.73% in both), whereas lower accuracies were obtained by NB, RF and XGboost.

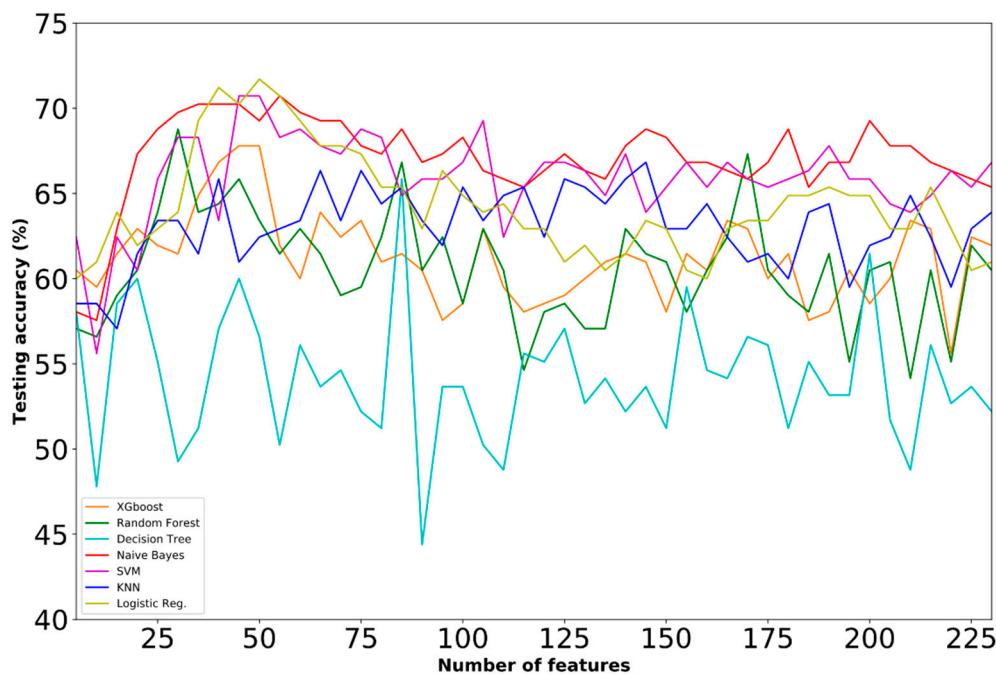


Figure 7. Learning curves with testing accuracy scores on dataset A for different machine learning (ML) models trained on feature subsets of increasing dimensionality.

Table 4. Best testing accuracies achieved for ML model along with the confusion matrix, the optimum number of features and the hyperparameters of the ML models employed. A1 and A2 denote classes 1 and 2 of dataset A, respectively.

Models	Accuracy (%)	Confusion Matrix		Features	Parameters
Logistic Regression	71.71	A1	A2	50	Penalty: l1, C: 1.0
		A2	30		
Naive Bayes	70.73	A1	29	55	GaussianNB
		A2	31		
SVM	70.73	A1	26	45	C = 2, kernel = sigmoid
		A2	70		
KNN	66.83	A1	23	145	leaf_size: 1, n_neighbors: 12, weights: distance
		A2	59		
Decision Tree	65.85	A1	33	85	max_features: log2, min_samples_leaf: 4, min_samples_split: 11
		A2	67		
Random Forest	68.78	A1	30	30	criterion: gini, min_samples_leaf: 3, min_samples_split: 7, n_estimators: 15
		A2	70		
XGboost	67.8	A1	32	45	gamma: 0, max_depth: 1, min_child_weight: 4
		A2	70		

- Dataset B

Figure 8 demonstrates the testing performance (%) of the competing ML models with respect to the number of selected features for dataset B. The following remarks could be extracted from Figure 8: (i) Considerably lower accuracies were achieved by all the competing ML models compared to the ones received in dataset A; (ii) LR and NB gave the maximum testing performance of approximately 64% at 25 features (which was the overall best performer in dataset B). The addition of more features did not increase the testing performance of the model but led to a reduction in the accuracies achieved. (iii) Low

testing performances were accomplished by the rest of the ML models (in the range of 42.24–62.11%). The accuracies and confusion matrixes reported in Table 5 verify the aforementioned results. In all the competing models, the best accuracies were recorded using a relatively small number of selected risk factors (less or equal to 40).

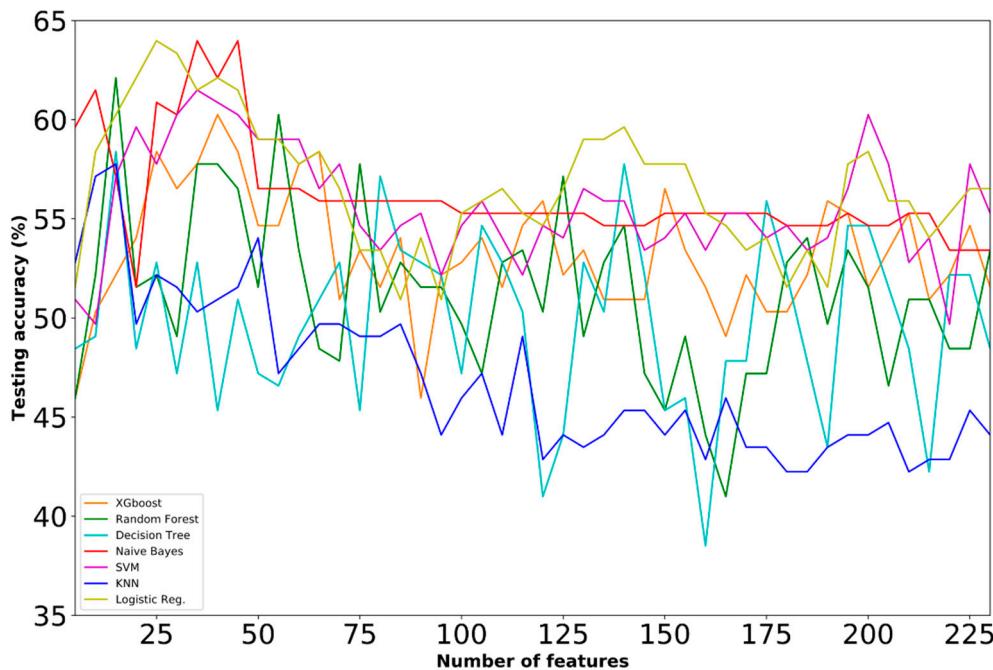


Figure 8. Learning curves with testing accuracy scores on dataset B for different ML models trained on feature subsets of increasing dimensionality.

Table 5. Best testing accuracies achieved for each ML model along with the confusion matrix, the optimum number of features and the hyperparameters of the ML models employed. B1 and B2 denote classes 1 and 2 of dataset B, respectively.

Models	Accuracy (%)	Confusion Matrix		Features	Parameters
Logistic Regression	63.98	B1	B2	25	Penalty: l1, C: 1.0
		B1	48		
Naive Bayes	63.98	B1	36	35	GaussianNB
		B2	55		
SVM	61.49	B1	B2	35	C: 6, kernel: linear
		B2	46		
KNN	57.76	B1	38	15	leaf_size: 1, n_neighbors: 16, weights: uniform
		B2	53		
Decision Tree	58.39	B1	B2	15	max_features: auto, min_samples_leaf: 1, min_samples_split: 6
		B2	63		
Random Forest	62.11	B1	B2	15	criterion: gini, min_samples_leaf: 2, min_samples_split: 7, n_estimators: 30
		B2	38		
XGboost	60.25	B1	B2	40	gamma: 0.4, max_depth: 7, min_child_weight: 5
		B2	44		

- Dataset C

Less informative features with small generalization capacity are contained in dataset C, as reported in Figure 9 and Table 6. Unlike the previous two datasets, the best testing performance for dataset C was received at 225 features using DTs (66.67%). In general, unstable and low testing performances were observed for the majority of the employed ML models. The second highest accuracy was received for SVM (65.28%), whereas lower accuracies were obtained by the rest of the models. A significant number of features (more than 100) was also required in five out of the seven FS approaches highlighting the inability of dataset C features to provide useful information for the progression of KOA.

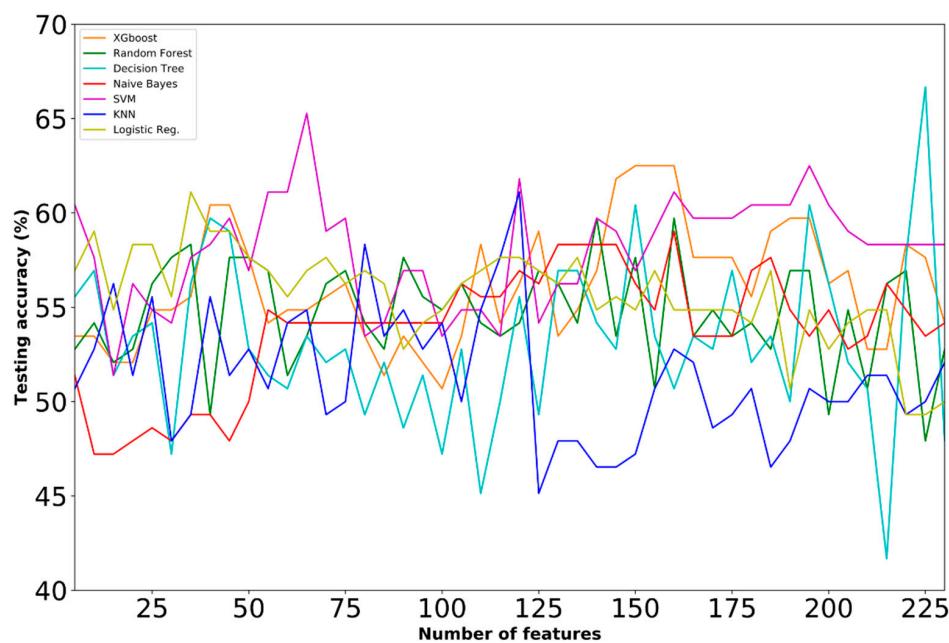


Figure 9. Learning curves with testing accuracy scores on dataset C for different ML models trained on feature subsets of increasing dimensionality.

Table 6. Best testing accuracies achieved for each ML model along with the confusion matrix, the optimum number of features and the hyperparameters of the ML models employed. C1 and C2 denote classes 1 and 2 of dataset C, respectively.

Models	Accuracy (%)	Confusion Matrix		Features	Parameters
Logistic Regression	61.11	C1	C2	35	Penalty: l1, C: 1.0
		C2	C1		
Naive Bayes	59.03	C1	C2	160	GaussianNB
		C2	C1		
SVM	65.28	C1	C2	65	C: 5, kernel: rbf
		C2	C1		
KNN	61.11	C1	C2	120	leaf_size: 1, n_neighbors: 5, weights: uniform
		C2	C1		
Decision Tree	66.67	C1	C2	225	max_features: auto, min_samples_leaf: 2, min_samples_split: 8
		C2	C1		
Random Forest	59.72	C1	C2	140	criterion: gini, min_samples_leaf': 1, min_samples_split: 5, n_estimators: 25
		C2	C1		
XGboost	62.5	C1	C2	150	n_estimators = 100, max_depth = 8, learning_rate = 0.1, subsample = 0.5
		C2	C1		

- Dataset D

The combination of datasets A and B proved to be beneficial in the task of predicting KOA progression. Specifically, the following conclusions are drawn from the results reported in Figure 10 and Table 7: (i) The best performance (74.07%) was achieved by the SVM on the group of the fifty-five selected risk factors with linear kernel penalty and $C = 0.1$ (Dataset D). This performance was the overall best one achieved in all five datasets. (ii) The second highest accuracy was received for the logistic regression (72.84%), whereas lower accuracies were obtained by the rest of the models. (iii) SVM and LR followed a similar progression in the reported accuracies with respect to the number of selected features with an upward trend in the first 20–55 features, followed by a slight performance decrease as the number of features increases. (iv) KNN gave moderate results with a maximum testing performance of 71.6% at 75 selected features. (v) Low testing accuracies were obtained by RF, XGboost and DT in the range of 42.59–66.67%.

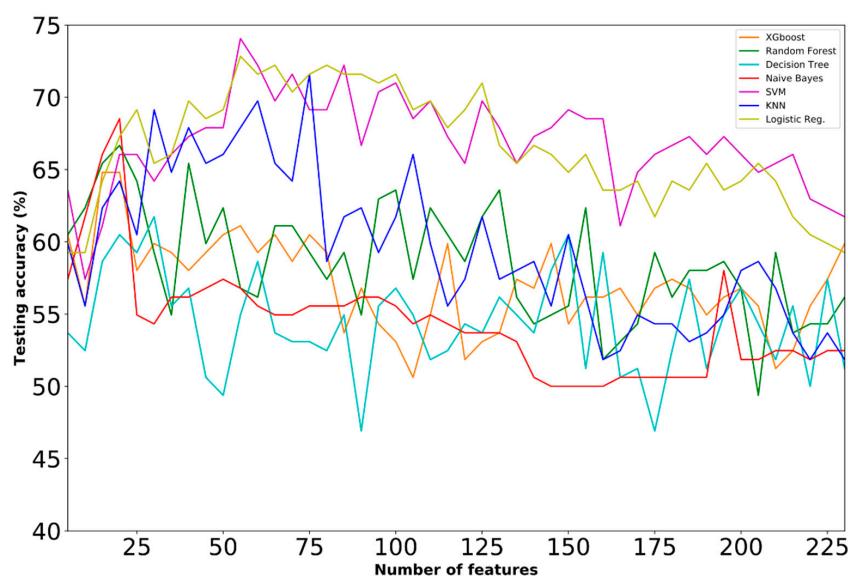


Figure 10. Learning curves with testing accuracy scores on dataset D for different ML models trained on feature subsets of increasing dimensionality.

Table 7. Best testing accuracies achieved for each ML model along with the confusion matrix, the optimum number of features and the hyperparameters of the ML models employed. D1 and D2 denote classes 1 and 2 of dataset D, respectively.

Models	Accuracy (%)	Confusion Matrix		Features	Parameters
Logistic Regression	72.84	D1	54	27	Penalty: l1, C: 1.0
		D2	17	64	
		D1	D2		
Naive Bayes	68.52	D1	44	37	GaussianNB
		D2	14	67	
		D1	D2		
SVM	74.07	D1	56	25	C: 0.1, kernel: linear
		D2	17	64	
KNN	71.6	D1	55	26	algorithm: auto, leaf_size: 1, n_neighbors: 17, weights: uniform
		D2	20	61	
		D1	D2		
Decision Tree	61.73	D1	56	25	max_features: auto, min_samples_leaf: 3, min_samples_split: 10
		C2	37	44	
Random Forest	66.67	D1	47	34	criterion: gini, min_samples_leaf: 3, min_samples_split: 3, n_estimators: 25
		D2	20	61	
		D1	D2		
XGboost	64.81	D1	51	30	gamma: 0.6, max_depth: 1, min_child_weight: 8
		D2	27	54	

- Dataset E

In dataset E, the SVM-based approach exhibited an upward trend with respect to selected features in the first 20–70 features, with a maximum of 71.81% at 70 features (which was the best in the category). The inclusion of additional features led to a small reduction in the accuracies achieved (Figure 11). Similarly to SVM, LR gave the second highest accuracy (71.14%) for less features (55). XGboost also gave a comparable performance (70.47%) in a subset of 45 selected features. Lower testing accuracies were received by the rest of ML models (Table 8).

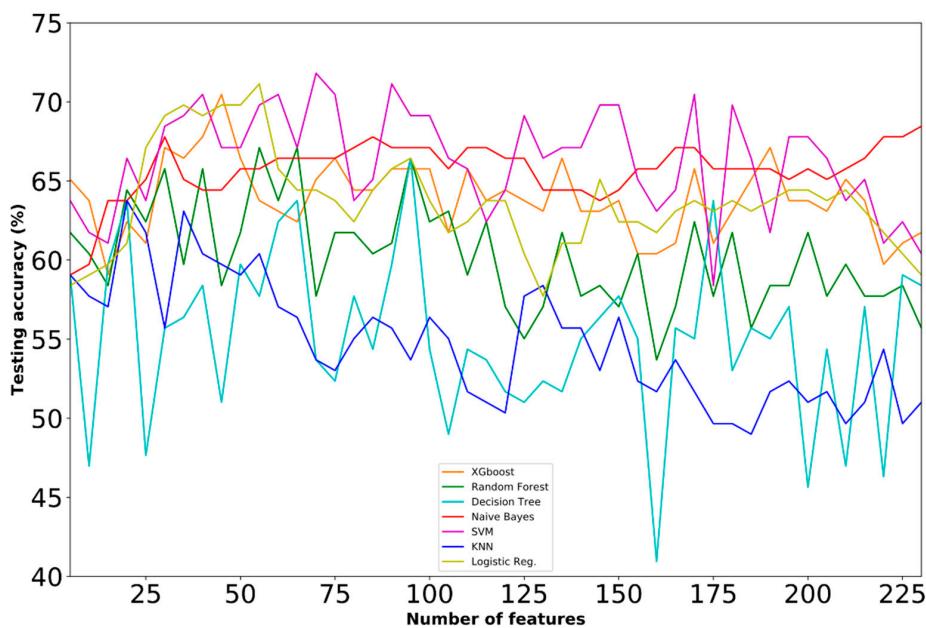


Figure 11. Learning curves with testing accuracy scores on dataset E for different ML models trained on feature subsets of increasing dimensionality.

Table 8. Best testing accuracies achieved for each ML model along with the confusion matrix, the optimum number of features and the hyperparameters of the ML models employed. E1 and E2 denote classes 1 and 2 of dataset E, respectively.

Models	Accuracy (%)	Confusion Matrix		Features	Parameters
Logistic Regression	71.14	E1	E2	55	Penalty: l1, C: 1.0
		E1	50		
Naive Bayes	68.46	E1	17	230	GaussianNB
		E2	56		
SVM	71.81	E1	E2	70	C: 1, kernel: sigmoid
		E2	55		
KNN	63.76	E1	E2	20	algorithm: auto, leaf_size: 1, n_neighbors: 16, weights: uniform
		E2	47		
Decision Tree	66.44	E1	E2	95	max_features: auto, min_samples_leaf: 2, min_samples_split: 12
		E2	54		
Random Forest	67.11	E1	E2	55	criterion: gini, min_samples_leaf: 1, min_samples_split: 3, n_estimators: 30
		E2	58		
Xgboost	70.47	E1	E2	45	gamma: 0.6, max_depth: 2, min_child_weight: 1
		E2	62		

Table 9 cites the best accuracies achieved in each of the five datasets. The combined effect of baseline features (dataset A) and progression data $dx_{i,j}^1$ (dataset B) had a positive effect on the prediction capacity of the proposed methodology, as clearly shown in Table 7 where the testing accuracy in dataset D is increased by 2.36% compared to the result obtained in dataset A. A minor difference (0.1%) is observed on the accuracies reported for datasets A and E, demonstrating that $dx_{i,j}^2$ progression data have a negligible effect on the predictive capacity of the proposed methodology and therefore could be omitted. The accuracies received in datasets B and C reveal that the baseline features are crucial for predicting KOA progression.

Table 9. Summary of all reported results.

Dataset	Data Used in the Training			Best Testing Performance (%) Achieved	Best Model	Num. of Selected Features
	Baseline	M12 Progress Wrt Baseline	M24 Progress Wrt Baseline			
A	•			71.71	Logistic Regression	50
B		•		63.98	Logistic Regression	25
C			•	66.67	Decision Tree	225
D	•	•		74.07	SVM	55
E	•			71.81	SVM	70

4.2. Selected Features

Figure 12 shows the first 70 features selected by the proposed FS approach for datasets A to E. Features are visualised with different colors and marks depending on the feature category they belong. The following conclusions could be drawn from the analysis of Figure 12: (i) Symptoms and medical imaging outcomes seem to be the most informative feature categories in dataset D in which the overall best performance was achieved. Specifically, eleven medical history outcomes and ten symptoms were selected in the first 55 features that gave the optimum prediction accuracy; (ii) nutrition and medical history characteristics were also proved to be contributing risk factors since approximately 20 out of the first selected 55 features were from these two feature categories (in dataset D). The full list of selected features for dataset D is provided in the appendix; (iii) similar results with respect to the selected features were extracted from the analyses in datasets A and E (in Figure 12a,e) that gave comparative prediction results (close to 72%); (iv) a different order in the selected features was observed in datasets B and C (as depicted in Figure 12b,c). The low accuracies recorded in these datasets (less than 67%) verify that the contained in these datasets features are less informative; (v) overall, it was concluded that a combination of heterogeneous features coming from almost all feature categories is needed to predict KL progression highlighting the necessity of adopting a multi-parametric approach that could handle the complexity of the available data.

4.3. Comparative Analysis

To evaluate the effectiveness of the proposed FS methodology, a comparison was performed in this section between the hybrid FS mechanism and the six well known FS techniques (the ones that are contained within the selection mechanism of the proposed methodology). The comparison was performed on dataset D that gave the overall best prediction performance. SVM was finally used to evaluate the prediction capacity of all the FS techniques considered here.

We performed and validated all six FS techniques separately, each one resulting into a different feature subset. SVM was finally trained on the resulted feature spaces of increasing dimensionality and the optimum feature subset was identified per case. As indicated in Table 10, the majority of the competing FS techniques provided lower testing performances compared to the proposed FS methodology. The wrapper technique based on LR was the only one that achieved an equal testing performance (%) with the proposed FS methodology. Specifically, the wrapper FS achieved its maximum accuracy at 70 features, while the proposed FS methodology achieved the same accuracy score using a smaller feature subset (55 features).

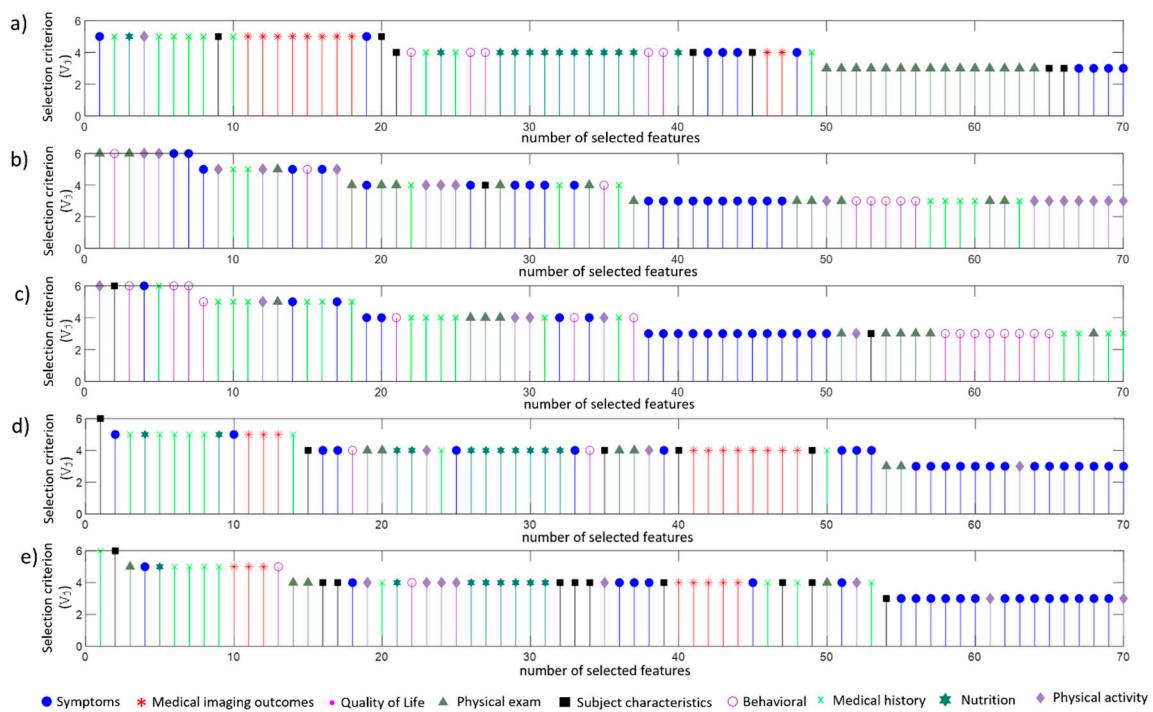


Figure 12. Features selected in datasets A to E in (a–e), respectively. Axis y (selection criterion) denotes how many times a feature has been selected (6 declares that a specific feature has been selected by all six FS techniques and so on). Features have been ranked based on the selection criterion V_j and are visualised with different colors each one representing a specific feature category.

Table 10. Testing performance (%) of the competing FS techniques with respect to the number of selected features for dataset D.

Features	FS Criteria						
	Filter Algorithms		Wrapper Algorithms		Embedded		Proposed FS Criterion
	Chi-2	Pearson	Logistic Regression	Logistic Regression (L2)	Random Forest	LightGBM	
5	58.02	62.35	62.96	54.32	45.68	56.17	63.58
10	63.58	63.58	59.88	51.23	48.77	50.00	57.41
15	61.11	58.02	51.85	50.62	50.62	53.70	61.11
20	53.09	61.11	57.41	48.77	50.62	50.00	66.05
25	60.49	65.43	60.49	51.85	56.79	53.70	66.05
30	64.81	70.37	70.37	60.49	58.02	51.23	64.2
35	66.67	65.43	62.96	56.79	58.02	53.70	66.05
40	59.26	66.67	65.43	60.49	60.49	54.32	67.28
45	64.81	67.90	69.75	54.32	58.02	46.30	67.9
50	63.58	67.28	68.52	55.56	60.49	48.77	67.9
55	64.81	69.75	64.81	53.09	59.88	53.09	74.07
60	69.75	67.28	65.43	55.56	59.88	55.56	72.22
65	61.73	64.81	70.99	60.49	58.64	54.94	69.75
70	68.52	66.67	74.07	56.17	56.17	54.32	71.6
75	68.52	64.81	72.22	54.32	51.85	59.26	69.14
80	66.05	66.67	69.14	58.02	58.02	59.88	69.14
85	66.05	66.67	72.84	53.70	59.26	57.41	72.22
90	67.90	56.79	73.46	58.64	62.96	53.09	66.67
95	66.67	56.79	69.14	59.88	61.11	55.56	70.37
100	62.96	59.88	72.22	61.73	56.79	55.56	70.99

5. Discussion

This paper focuses on the development of a ML-empowered methodology for KL grades prediction in healthy participants. The prediction task has been coped as a two-class classification problem where the participants of the study were divided into two groups (KOA progressors and non-progressors). Various ML models were employed to perform the binary classification task (KOA progressors versus non-progressors) where accuracies up to 74.07% (Dataset D) were achieved. Within the secondary objectives of the paper were to identify informative risk factors from a big pool of available features that contribute more to the classification output (KOA prediction). Moreover, we explored different options with respect to the time period within which data should be considered in order to reliably predict KOA progression.

Three different options were investigated as far as the time period within which data should be considered in order to reliably predict KOA progression. To accomplish this, we worked with 5 different datasets. We first examined whether baseline data (dataset A) could solely contribute in predicting KOA progression. Going one step further, the features ‘progression within the first 12 months or 24 months was also considered as an alternative source of information (datasets B and C). The aforementioned analysis in Section 4 revealed that: (i) a 71.71% prediction performance can be achieved using features from the baseline, (ii) features’ progression cannot solely provide reliable KOA predictions and (iii) a combination of features is required to maximize the prediction capability of the proposed methodology. Specifically, the overall best accuracy (74.07%) was obtained by combining datasets A and B that contain features from the baseline visit along with their progression over the next 12 months. Considering a longer period of time (24 months) in the calculation of features’ progression resulted to lower prediction accuracies (71.81%).

The proposed FS methodology outperformed six well-known FS techniques achieving the best tradeoff between prediction accuracy and dimensionality reduction. From the pool of approximately 700 features of the OAI dataset, fifty-five were finally selected in this paper to predict KOA. As far as the nature of the selected features, it was concluded that symptoms, medical imaging outcomes, nutrition and medical history are the most important risk factors contributing considerably to the KOA prediction. However, it was also extracted that a combination of heterogeneous features coming from almost all feature categories is needed to effectively predict KL progression.

Seven ML algorithms were evaluated for their suitability in implementing the prediction task. Table 7 with the summary of all reporting result indicates that LR and SVM were proved to be the best performing models. The good performance of SVM could be attributed to the fact that SVM models are particularly well suited for classifying small or medium-sized complex datasets (both in terms of data size and dimensionality). LR was the second-best performer providing the highest prediction accuracy in datasets A and B and the second highest in datasets D and E. The fact that a generalized linear model such as LR accomplishes high performances indicates that the power of the proposed methodology lies on the effective and robust mechanism of selecting important risk factors and not so much on the complexity of the finally employed classifier. Identifying important features from the pool of heterogeneous health-related parameters (including anthropometrics, medical history, exams, medical outcomes, etc.) that are available nowadays is a key to increase our understanding of the KOA progression and therefore to provide robust prediction tools.

A few studies have recently addressed the problem of predicting KOA progression from different perspectives and employing different data sources. A weighted neighbor distance classifier was presented by Ashinsky et al. to classify isolated T2 maps for the progression to symptomatic OA with 75% accuracy [13]. Progression to clinical OA was defined by the development of symptoms as quantified by the WOMAC questionnaire 3 years after baseline evaluation. MRI images and PCA were employed by Du et al. to predict the progression of KOA using four ML techniques [33]. For KL grade prediction, the best performance was achieved by ANN with $AUC = 0.761$ and $F\text{-measure} = 0.714$. An MRI-based ML methodology has been also proposed by Marques et al. to prognose tibial cartilage loss via quantification of tibia trabecular bone where a odds ratio of 3.9 (95% confidence interval: 2.4–6.5)

was achieved [15]. X-ray combined with pain scores have been utilized by Halilaj et al. to predict the progression of joint space narrowing (AUC = 0.86 using data from two visits spanning a year) and pain (AUC = 0.95 using data from a single visit) [6]. Similarly, another two studies (Tiulpin et al. [10] and Widera et al. [11]) made use of X-ray images along with clinical data to predict KOA progression using either CNN or ML approaches achieving less accurate results. The current paper is the only one employing exclusively clinical non-imaging data and also contributes to the identification of important risk factors from a big pool of available features. The proposed methodology achieved comparable results with studies predicting KL grades progression demonstrating its uniqueness in facilitating prognosis of KOA progression with a less complicated ML methodology (without the need of big imaging data and image-based deep learning networks).

Among the limitations of the current study is the relatively large number of features (55) that were finally selected as possible predictors of KOA. The selected features come from almost all feature categories highlighting the necessity of adopting a rigorous data collection process in order to formulate the input feature vector that is needed for the ML training. Moreover, the ML models employed are opaque (black boxes) and therefore they are insufficient to provide explanations on the decisions (inability to explain how a certain output has been drawn). To overcome the aforementioned challenges, it is important for AI developers to build transparency into their algorithms and/or enhance the explainability of existing ML or DL networks.

6. Conclusions

This paper focuses on the development of a ML-based methodology capable of (i) predicting KOA progression (and specifically KL grades progression) and (ii) identifying important risk factors which contribute to the prediction of KOA. The proposed FS methodology combines well-known approaches including filter, wrapper and embedded techniques whereas feature ranking is decided on the basis of a majority vote scheme to avoid bias. Finally, a variety of ML models were built on the selected features to implement the KOA prediction task (treated as a two-class classification problem where a participant is classified to either the class of KOA progressors or to the non-progressors' class). Apart from the selection of important risk factors, this paper also explores three different options with respect to the time period within which data should be considered in order to reliably predict KOA progression. The nature of the selected features was also discussed to increase our understanding of their effect on the KOA progression. After an extensive experimentation, a 74.07% classification accuracy was achieved by SVM on a group of fifty-five selected risk factors (in dataset D). Understanding the contribution of risk factors is a valuable tool for creating more powerful, reliable and non-invasive prognostic tools in the hands of physicians. For our future work, we are planning to also consider image-based biomarkers and areas with valuable information derived from biomechanical data that are expected to further improve the predictive capacity of the proposed methodology. ML explainability analysis will also be considered to capture the effect of the selected features on the models' outcome.

Author Contributions: Data curation, C.K.; Funding acquisition, D.T.; Software, C.K.; Supervision, S.M., G.G. and D.T.; Validation, S.M., G.G. and D.T.; Writing—original draft, C.K.; Writing—review & editing, S.M., G.G. and D.T. All authors have read and agreed to the published version of the manuscript.

Funding: This research was funded by the European Community's H2020 Programme, under grant agreement Nr. 777159 (OACTIVE).

Conflicts of Interest: The authors declare no conflict of interest.

Appendix A

Table A1. Selected features that led to the overall best Knee Osteoarthritis (KOA) prediction performance in our study.

	Feature	Description	Category
1	P02WTGA	Above weight cut-off for age/gender group (calc, used for study eligibility)	Subject characteristics
2	V00WPRKN2	Right knee pain: stairs, last 7 days	Symptoms
3	V00RXANALG	Rx Analgesic use indicator (calc)	Medical history
4	V00PCTSMAL	Block Brief 2000: error flag, percent of foods marked as small portion (calc)	Nutrition
5	V00GLUC	Used glucosamine for joint pain or arthritis, past 6 months	Medical history
6	V00GLCFQCV	Glucosamine frequency of use, past 6 months (calc)	Medical history
7	V00CHON	Used chondroitin sulfate for joint pain or arthritis, past 6 months	Medical history
8	V00CHNFQCV	Chondroitin sulfate frequency of use, past 6 months (calc)	Medical history
9	V00BAPCARB	Block Brief 2000: daily % of calories from carbohydrate, alcoholic beverages excluded from denominator (kcal) (calc)	Nutrition
10	P02KPNRCV	Right knee pain, aching or stiffness: more than half the days of a month, past 12 months (calc, used for study eligibility)	Symptoms
11	P01XRKOA	Baseline radiographic knee OA status by person (calc)	Medical imaging outcome
12	P01SVLKOST	Left knee baseline x-ray: evidence of knee osteophytes (calc)	Medical imaging outcome
13	P01OAGRDL	Left knee baseline x-ray: composite OA grade (calc)	Medical imaging outcome
14	P01GOUTCV	Doctor said you had gout (calc)	Medical history
15	V00WTMAXKG	Maximum adult weight, self-reported (kg) (calc)	Subject characteristics
16	V00WSRKN1	Right knee stiffness: in morning, last 7 days	Symptoms
17	V00WOMSTFR	Right knee: WOMAC Stiffness Score (calc)	Symptoms
18	V00SF1	In general, how is health	Behavioural
19	V00RKMTTPN	Right knee exam: medial tibiofemoral pain/tenderness present on exam	Physical exam
20	V00RFXCOMP	Isometric strength: right knee flexion, able to complete (3) measurements	Physical exam
21	V00PCTFAT	Block Brief 2000: daily percent of calories from fat (kcal) (calc)	Nutrition
22	V00PCTCARB	Block Brief 2000: daily percent of calories from carbohydrate (kcal) (calc)	Nutrition
23	V00PASE	Physical Activity Scale for the Elderly (PASE) score (calc)	Physical activity
24	V00LUNG	Charlson Comorbidity: have emphysema, chronic bronchitis or chronic obstructive lung disease (also called COPD)	Medical history
25	V00KSXLN1	Left knee symptoms: swelling, last 7 days	Symptoms
26	V00FFQSZ16	Block Brief 2000: rice/dishes made with rice, how much each time	Nutrition
27	V00FFQSZ14	Block Brief 2000: white potatoes not fried, how much each time	Nutrition
28	V00FFQSZ13	Block Brief 2000: french fries/fried potatoes/hash browns, how much each time	Nutrition
29	V00FFQ69	Block Brief 2000: regular soft drinks/bottled drinks like Snapple (not diet drinks), drink how often, past 12 months	Nutrition
30	V00FFQ59	Block Brief 2000: ice cream/frozen yogurt/ice cream bars, eat how often, past 12 months	Nutrition
31	V00FFQ37	Block Brief 2000: fried chicken, at home or in a restaurant, eat how often, past 12 months	Nutrition
32	V00DTCAFFN	Block Brief 2000: daily nutrients from food, caffeine (mg) (calc)	Nutrition
33	V00DILKN11	Left knee difficulty: socks off, last 7 days	Symptoms
34	V00CESD13	CES-D: how often talked less than usual, past week	Behavioural
35	V00ABCIRC	Abdominal circumference (cm) (calc)	Subject characteristics
36	TIMET1	20-m walk: trial 1 time to complete (sec.hundredths/sec)	Physical exam
37	STEPST1	20-m walk: trial 1 number of steps	Physical exam
38	PASE6	Leisure activities: muscle strength/endurance, past 7 days	Physical activity
39	P02KPNLCV	Left knee pain, aching or stiffness: more than half the days of a month, past 12 months (calc, used for study eligibility)	Symptoms
40	P01WEIGHT	Average current scale weight (kg) (calc)	Subject characteristics
41	P01SVLKOST	Right knee baseline x-ray: evidence of knee osteophytes (calc)	Medical imaging outcome
42	P01SVRKJSL	Right knee baseline x-ray: evidence of knee lateral joint space narrowing (calc)	Medical imaging outcome
43	P01RXRKOA2	Right knee baseline x-ray: osteophytes and JSN (calc)	Medical imaging outcome
44	P01RXRKOA	Right knee baseline radiographic OA (definite osteophytes, calc, used in OAI definition of symptomatic knee OA)	Medical imaging outcome
45	P01RSXKOA	Right knee baseline symptomatic OA status (calc)	Medical imaging outcome
46	P01OAGRDR	Right knee baseline x-ray: composite OA grade (calc)	Medical imaging outcome
47	P01LXRKOA2	Left knee baseline x-ray: osteophytes and JSN (calc)	Medical imaging outcome
48	P01LXRKOA	Left knee baseline radiographic OA (definite osteophytes, calc, used in OAI definition of symptomatic knee OA)	Medical imaging outcome
49	P01BMI	Body mass index (calc)	Subject characteristics
50	P01ARTDRCV	Seeing doctor/other professional for knee arthritis (calc)	Medical history
51	KSXRKN2	Right knee symptoms: feel grinding, hear clicking or any other type of noise when knee moves, last 7 days	Symptoms
52	KPRKN1	Right knee pain: twisting/pivoting on knee, last 7 days	Symptoms
53	DIRKN7	Right knee difficulty: in car/out of car, last 7 days	Symptoms
54	rkdefcv	Right knee exam: alignment varus or valgus (calc)	Physical exam
55	lkdefcv	Left knee exam: alignment varus or valgus (calc)	Physical exam

References

1. Silverwood, V.; Blagojevic-Bucknall, M.; Jinks, C.; Jordan, J.; Protheroe, J.; Jordan, K. Current evidence on risk factors for knee osteoarthritis in older adults: A systematic review and meta-analysis. *Osteoarthr. Cartil.* **2015**, *23*, 507–515. [[CrossRef](#)] [[PubMed](#)]
2. Ackerman, I.N.; Kemp, J.L.; Crossley, K.M.; Culvenor, A.G.; Hinman, R.S. Hip and Knee Osteoarthritis Affects Younger People, Too. *J. Orthop. Sports Phys. Ther.* **2017**, *47*, 67–79. [[CrossRef](#)] [[PubMed](#)]
3. Lespasio, M.J.; Piuzzi, N.S.; Husni, M.E.; Muschler, G.F.; Guarino, A.; Mont, M.A. Knee osteoarthritis: A primer. *Perm. J.* **2017**, *21*. [[CrossRef](#)] [[PubMed](#)]
4. Kokkotis, C.; Moustakidis, S.; Papageorgiou, E.; Giakas, G.; Tsaopoulos, D. Machine Learning in Knee Osteoarthritis: A Review. *Osteoarthr. Cartil. Open* **2020**, *100069*. [[CrossRef](#)]
5. Lazzarini, N.; Runhaar, J.; Bay-Jensen, A.C.; Thudium, C.S.; Bierma-Zeinstra, S.M.A.; Henrotin, Y.; Bacardit, J. A machine learning approach for the identification of new biomarkers for knee osteoarthritis development in overweight and obese women. *Osteoarthr. Cartil.* **2017**, *25*, 2014–2021. [[CrossRef](#)]
6. Halilaj, E.; Le, Y.; Hicks, J.L.; Hastie, T.J.; Delp, S.L. Modeling and predicting osteoarthritis progression: Data from the osteoarthritis initiative. *Osteoarthr. Cartil.* **2018**, *26*, 1643–1650. [[CrossRef](#)]
7. Pedoia, V.; Haefeli, J.; Morioka, K.; Teng, H.L.; Nardo, L.; Souza, R.B.; Ferguson, A.R.; Majumdar, S. MRI and biomechanics multidimensional data analysis reveals R2 -R1rho as an early predictor of cartilage lesion progression in knee osteoarthritis. *J. Magn. Reson. Imaging JMRI* **2018**, *47*, 78–90. [[CrossRef](#)]
8. Abedin, J.; Antony, J.; McGuinness, K.; Moran, K.; O'Connor, N.E.; Rebholz-Schuhmann, D.; Newell, J. Predicting knee osteoarthritis severity: Comparative modeling based on patient's data and plain X-ray images. *Sci. Rep.* **2019**, *9*, 5761. [[CrossRef](#)]
9. Nelson, A.; Fang, F.; Arbeeva, L.; Cleveland, R.; Schwartz, T.; Callahan, L.; Marron, J.; Loeser, R. A machine learning approach to knee osteoarthritis phenotyping: Data from the FNIH Biomarkers Consortium. *Osteoarthr. Cartil.* **2019**, *27*, 994–1001. [[CrossRef](#)]
10. Tiulpin, A.; Klein, S.; Bierma-Zeinstra, S.M.; Thevenot, J.; Rahtu, E.; van Meurs, J.; Oei, E.H.; Saarakkala, S. Multimodal machine learning-based knee osteoarthritis progression prediction from plain radiographs and clinical data. *Sci. Rep.* **2019**, *9*, 20038. [[CrossRef](#)]
11. Widera, P.; Welsing, P.M.; Ladel, C.; Loughlin, J.; Lafeber, F.P.; Dop, F.P.; Larkin, J.; Weinans, H.; Mobasher, A.; Bacardit, J. Multi-classifier prediction of knee osteoarthritis progression from incomplete imbalanced longitudinal data. *arXiv* **2019**, arXiv:1909.13408. [[CrossRef](#)] [[PubMed](#)]
12. Alexos, A.; Moustakidis, S.; Kokkotis, C.; Tsaopoulos, D. Physical Activity as a Risk Factor in the Progression of Osteoarthritis: A Machine Learning Perspective. In *International Conference on Learning and Intelligent Optimization*; Springer: Cham, Switzerland, 2020; pp. 16–26.
13. Ashinsky, B.G.; Bouhrara, M.; Coletta, C.E.; Lehallier, B.; Urish, K.L.; Lin, P.C.; Goldberg, I.G.; Spencer, R.G. Predicting early symptomatic osteoarthritis in the human knee using machine learning classification of magnetic resonance images from the osteoarthritis initiative. *J. Orthop. Res. Official Publ. Orthop. Res. Soc.* **2017**, *35*, 2243–2250. [[CrossRef](#)] [[PubMed](#)]
14. Donoghue, C.; Rao, A.; Bull, A.M.J.; Rueckert, D. Manifold learning for automatically predicting articular cartilage morphology in the knee with data from the osteoarthritis initiative (OAI). *Proc. Prog. Biomed. Opt. Imaging Proc. SPIE* **2011**, *7962*, 79620E.
15. Marques, J.; Genant, H.K.; Lillholm, M.; Dam, E.B. Diagnosis of osteoarthritis and prognosis of tibial cartilage loss by quantification of tibia trabecular bone from MRI. *Magn. Reson. Med.* **2013**, *70*, 568–575. [[CrossRef](#)] [[PubMed](#)]
16. Yoo, T.K.; Kim, S.K.; Choi, S.B.; Kim, D.Y.; Kim, D.W. Interpretation of movement during stair ascent for predicting severity and prognosis of knee osteoarthritis in elderly women using support vector machine. In Proceedings of the 2013 35th Annual International Conference of the IEEE Engineering in Medicine and Biology Society (EMBC), Osaka, Japan, 3–7 July 2013; pp. 192–196. [[CrossRef](#)]
17. Moustakidis, S.; Christodoulou, E.; Papageorgiou, E.; Kokkotis, C.; Papandrianos, N.; Tsaopoulos, D. Application of machine intelligence for osteoarthritis classification: A classical implementation and a quantum perspective. *Quantum Mach. Intell.* **2019**. [[CrossRef](#)]
18. Juszczak, P.; Tax, D.; Duin, R.P. Feature scaling in support vector data description. *Proc. Asci* **2002**, *95*–102.
19. Dodge, Y.; Commenges, D. *The Oxford Dictionary of Statistical Terms*; Oxford University Press: Oxford, UK, 2006.

20. Biesiada, J.; Duch, W. Feature selection for high-dimensional data—A Pearson redundancy based filter. In *Computer Recognition Systems 2*; Springer: Berlin/Heidelberg, Germany, 2007; pp. 242–249.
21. Thaseen, I.S.; Kumar, C.A. Intrusion detection model using fusion of chi-square feature selection and multi class SVM. *J. King Saud Univ. Comput. Inf. Sci.* **2017**, *29*, 462–472.
22. Xiong, M.; Fang, X.; Zhao, J. Biomarker identification by feature wrappers. *Genome Res.* **2001**, *11*, 1878–1887. [[CrossRef](#)]
23. Nie, F.; Huang, H.; Cai, X.; Ding, C.H. Efficient and robust feature selection via joint ℓ_2 , 1-norms minimization. In Proceedings of the Advances in neural information processing systems, Vancouver, BC, Canada, 6–9 December 2010; pp. 1813–1821.
24. Zhou, Q.; Zhou, H.; Li, T. Cost-sensitive feature selection using random forest: Selecting low-cost subsets of informative features. *Knowl. Based Syst.* **2016**, *95*, 1–11. [[CrossRef](#)]
25. Al Daoud, E. Comparison between XGBoost, LightGBM and CatBoost Using a Home Credit Dataset. *Int. J. Comput. Inf. Eng.* **2019**, *13*, 6–10.
26. Rockel, J.S.; Zhang, W.; Shestopaloff, K.; Likhodii, S.; Sun, G.; Furey, A.; Randell, E.; Sundararajan, K.; Gandhi, R.; Zhai, G. A classification modeling approach for determining metabolite signatures in osteoarthritis. *PLoS ONE* **2018**, *13*, e0199618. [[CrossRef](#)] [[PubMed](#)]
27. Kobayashi, T.; Kannari, T.; Horiuchi, H.; Matsui, N.; Ito, T.; Nojin, K.; Kakuse, K.; Okawa, M.; Yamanaka, M. Predictors affecting balance performances in patients with knee osteoarthritis using decision tree analysis. *Osteoarthr. Cartil.* **2019**, *27*, S243. [[CrossRef](#)]
28. Peterson, L.E. K-nearest neighbor. *Scholarpedia* **2009**, *4*, 1883. [[CrossRef](#)]
29. Gornale, S.S.; Patravali, P.U.; Marathe, K.S.; Hiremath, P.S. Determination of Osteoarthritis Using Histogram of Oriented Gradients and Multiclass SVM. *Int. J. Image Graph. Signal Process.* **2017**, *9*. [[CrossRef](#)]
30. Kotti, M.; Duffell, L.D.; Faisal, A.A.; McGregor, A.H. Detecting knee osteoarthritis and its discriminating parameters using random forests. *Med. Eng. Phys.* **2017**, *43*, 19–29. [[CrossRef](#)] [[PubMed](#)]
31. Torlay, L.; Perrone-Bertolotti, M.; Thomas, E.; Baciu, M. Machine learning–XGBoost analysis of language networks to classify patients with epilepsy. *Brain Inform.* **2017**, *4*, 159–169. [[CrossRef](#)] [[PubMed](#)]
32. Du, Y.; Shan, J.; Zhang, M. Knee osteoarthritis prediction on MR images using cartilage damage index and machine learning methods. In Proceedings of the Proceedings—2017 IEEE International Conference on Bioinformatics and Biomedicine, BIBM, Kansas City, MO, USA, 13–16 November 2017; pp. 671–677.
33. Du, Y.; Almajalid, R.; Shan, J.; Zhang, M. A Novel Method to Predict Knee Osteoarthritis Progression on MRI Using Machine Learning Methods. *IEEE Trans. Nanobiosci.* **2018**. [[CrossRef](#)]



© 2020 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (<http://creativecommons.org/licenses/by/4.0/>).