



Design and Analysis of Algorithm

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Recap

Lecture No 10



Deriving Recurrence Relations

How to derive a recurrence relation?

To derive a recurrence relation for the running time of an algorithm:

- 1) Figure out what "n", the problem size, is.
- 2) See what value of n is used as the **base of the recursion**. It will usually be a single value (e.g. n = 1), but may be multiple values. Suppose it is n_0 .
- 3) Figure out what $T(n_0)$ is. You can usually use "some constant c", but sometimes a specific number will be needed.
- 4) The general T(n) is usually a sum of various choices of T(m) (for the recursive calls), plus the sum of the other work done. Usually the recursive calls will be solving a sub problems of the same size f(n), giving a term "a*T(f(n))" in the recurrence relation.

ALGORITHM BinRec(n)

```
//Input: A positive decimal integer n

//Output: The number of binary digits in n's binary representation

if n = 1 return 1 T(1) = d

else return BinRec(\lfloor n/2 \rfloor) + 1 T(n) = T(n/2) + c
```

Analysis of Recursive Algorithm



How to solve Recurrence Relation



Learning Outcomes

After completing this lecture you will be able

■ To solve various types of recurrence relation using:

- Iteration Method
- Recursion Tree Method
- Substitution Method
- Master Theorem



Recap

Lecture No 9



Common Recurrence Types in Algorithm Analysis

- Decrease-by-One:
 - T(n) = T(n-1) + f(n)
- **■ Decrease-by-a-Constant-Factor:**
 - T(n) = T(n/b) + f(n),
- Divide-and-Conquer
 - T(n) = aT(n/b) + f(n)



Formulae



$$S_n = \frac{n}{2} (a_1 + a_n)$$

■ The sum of finite Geometric series can be found by using following formula

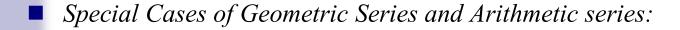
$$S_n = \frac{a_1(r^n - 1)}{r - 1}$$

■ The sum of infinite Geometric series can be found by using following formula

$$S = \frac{a_1}{1-r}$$



Formulae



$$\sum_{k=1}^{n} k = 1 + 2 + \dots + n = \frac{n(n+1)}{2}$$

Geometric Series:

$$\sum_{k=0}^{n} x^{k} = 1 + x + x^{2} + \dots + x^{n} = \frac{x^{n+1} - 1}{x - 1} (x \neq 1)$$

$$\sum_{k=0}^{n-1} x^k = \frac{x^n - 1}{x - 1} (x \neq 1)$$

$$\sum_{k=0}^{n-1} 2^k = 2^n - 1$$

$$\sum_{k=0}^{\infty} x^k = \frac{1}{1-x}$$

$$\inf x \le 1$$



Formulae



$$\sum_{k=1}^{n} \frac{1}{k} = 1 + \frac{1}{2} + \dots + \frac{1}{n} \approx \ln n$$

• Others:

$$\sum_{k=1}^{n} \lg k \approx n \lg n$$

$$\sum_{i=0}^{n-1} c = cn.$$

$$\sum_{k=0}^{n-1} \frac{1}{2^k} = 2 - \frac{1}{2^{n-1}}$$

$$\sum_{k=1}^{n} k^2 = \frac{n(n+1)(2n+1)}{6}$$

$$\sum_{k=0}^{n} k(k+1) = \frac{n(n+1)(n+2)}{3}$$



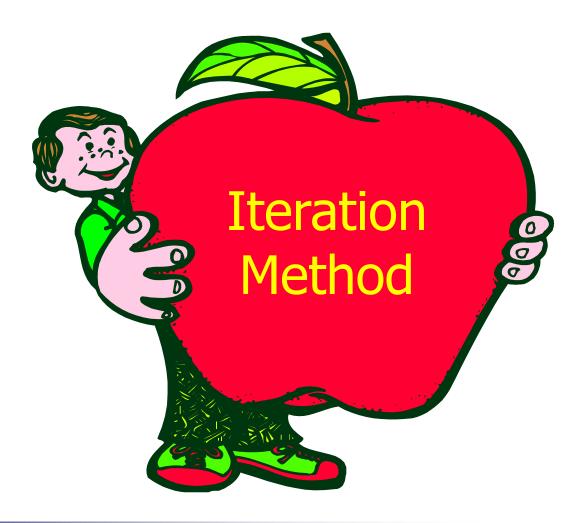
SOLVING RECURRENCE RELATION



Solving Recurrence Relation

- There are four methods for solving a recurrence relation
 - Iteration Method
 - Recursion Tree
 - Substitution method for recurrence relations
 - The Master Theorem







The Iteration Method

- Convert the recurrence into a summation and try to bound it using known series
 - Iterate the recurrence until the initial condition is reached.
 - Use back-substitution to express the recurrence in terms of n and the initial (boundary) condition.



$$T(n) = T(n-1) + f(n)$$



Solving Recurrence Relations

Solve the following

$$T(n) = \begin{cases} c & \text{if } n = 1\\ T(n-1) + d & \text{otherwise} \end{cases}$$

$$T(n) = T(n-1) + d$$

$$T(n-1) = T(n-2) + d$$

$$T(n-2) = T(n-3) + d$$

:

$$T(2) = T(1) + d$$

$$T(1) = c$$

Repeated Substitution

$$T(n) = T(n-1) + d$$

$$= (T(n-2) + d) + d$$

$$= T(n-2) + 2d$$

$$= (T(n-3) + d) + 2d$$

$$= T(n-3) + 3d$$

There is a pattern developing. It looks like after i substitutions.

$$T(n) = T(n-i) + id.$$

Now choose i = n - 1. Then

$$T(n) = T(1) + d(n-1)$$

= $dn + c - d$.



$$n! = n*(n-1)!$$

$$0! = 1$$

Recurrence relation:

$$T(n) = T(n-1) + 1$$

 $T(1) = 1$

Telescoping:

$$T(n) = T(n-1) + 1$$

$$T(n-1) = T(n-2) + 1$$

$$T(n-2) = T(n-3) + 1$$

$$T(2) = T(1) + 1$$

Add the equations and cross equal terms on opposite sides:



Solving Recurrence Relations

T(n) = T(n - 1) + f(n)

$$T(n) = T(n-1) + f(n)$$

$$= T(n-2) + f(n-1) + f(n)$$

$$= \cdots$$

$$= T(0) + \sum_{j=1}^{n} f(j).$$

For a specific function f(x), the sum $\sum_{j=1}^n f(j)$ can usually be either computed exactly or its order of growth ascertained. For example, if f(n) = 1, $\sum_{j=1}^n f(j) = n$; if $f(n) = \log n$, $\sum_{j=1}^n f(j) \in \Theta(n \log n)$; if $f(n) = n^k$, $\sum_{j=1}^n f(j) \in \Theta(n^{k+1})$.



Example

ALGORITHM F(n)//Computes n! recursively //Input: A nonnegative integer n//Output: The value of n!if n = 0 return 1

else return F(n-1)*n

- We are dealing here with two recursively defined functions. The first is the factorial function F(n) itself; it is defined by the recurrence
- n! = n*(n-1)! The second is the number of multiplications M(n) needed to compute Recurrence relation by the recursive algorithm whose

Recurrence relation: by the recursive algorithm whose pseudocode is given for n = 0,

$$T(n) = T(n-1) + 1$$

$$T(1) = 1$$
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$$M(n) = 0.$$

$$M(n-1) + 1$$



Up Shot

Given a recurrence relation T(n).

- Substitute a few times until you see a pattern
- Write a formula in terms of n and the number of substitutions i.
- Choose i so that all references to T() become references to the base case.
- Solve the resulting summation

This will not always work, but works most of the time in practice.



$$T(n) = T(n/b) + f(n)$$



The Iteration Method

$$T(n) = c + T(n/2)$$
 $T(n) = c + T(n/2)$
 $= c + c + T(n/4)$
 $= c + c + c + T(n/4)$
 $= c + c + c + T(n/8)$

Assume $n = 2^k$
 $T(n) = c + c + ... + c + T(1)$
 $k \text{ times}$
 $= clgn + T(1)$
 $= \Theta(lgn)$



Solving Recurrence Relations

T(n) = T(n/b) + f(n)

$$T(b^{k}) = T(b^{k-1}) + f(b^{k})$$

$$= T(b^{k-2}) + f(b^{k-1}) + f(b^{k})$$

$$= \cdots$$

$$= T(1) + \sum_{j=1}^{k} f(b^{j}).$$

For a specific function f(x), the sum $\sum_{j=1}^{k} f(b^{j})$ can usually be either computed exactly or its order of growth ascertained. For example, if f(n) = 1,

$$\sum_{j=1}^{k} f(b^j) = k = \log_b n.$$

If f(n) = n, to give another example,

$$\sum_{j=1}^{k} f(b^{j}) = \sum_{j=1}^{k} b^{j} = b \frac{b^{k} - 1}{b - 1} = b \frac{n - 1}{b - 1}.$$



Example

Decrease-by-a-Constant-Factor T (n) = T (n/b) + f (n),

```
ALGORITHM Binarysearch( A, l, r, key)

if (l > r) then

return -1

m (l + r)/2

if (\text{key} = A[m]) then

return m

if (\text{key} < A[m]) then

return Binarysearch (A, l, m-1, key)

else

return Binarysearch (A, m+1, r, key)
```

$$C_{worst}(n) = C_{worst}(\lfloor n/2 \rfloor) + 1$$
 for $n > 1$, $C_{worst}(1) = 1$.



Upshot

- Convert the recurrence into a summation and try to bound it using known series
 - **Iterate** the recurrence **until the initial condition** is reached.
 - Use **back-substitution** to express the recurrence in terms of *n* and the initial (boundary) condition.



$$T(n) = aT(n/b) + f(n)$$



Example

$$T(n) = n + 2T(n/2) \qquad \text{Assume: } n = 2^k$$

$$T(n) = n + 2T(n/2) \qquad T(n/2) = n/2 + 2T(n/4)$$

$$= n + 2(n/2 + 2T(n/4))$$

$$= n + n + 4T(n/4)$$

$$= n + n + 4(n/4 + 2T(n/8))$$

$$= n + n + n + 8T(n/8)$$
...
$$= in + 2^iT(n/2^i)$$

$$= kn + 2^kT(1)$$

$$= nlan + nT(1) = \Theta(nlgn)$$

Solving Recurrence Relations

T(n) = aT(n/b) + f(n)

$$\begin{split} T(b^k) &= aT(b^{k-1}) + f(b^k) \\ &= a\big[aT(b^{k-2}) + f(b^{k-1})\big] + f(b^k) = a^2T(b^{k-2}) + af(b^{k-1}) + f(b^k) \\ &= a^2\big[aT(b^{k-3}) + f(b^{k-2})\big] + af(b^{k-1}) + f(b^k) \\ &= a^3T(b^{k-3}) + a^2f(b^{k-2}) + af(b^{k-1}) + f(b^k) \\ &= \cdots \\ &= a^kT(1) + a^{k-1}f(b^1) + a^{k-2}f(b^2) + \cdots + a^0f(b^k) \\ &= a^k\big[T(1) + \sum_{j=1}^k f(b^j)/a^j\big]. \end{split}$$

Since $a^k = a^{\log_b n} = n^{\log_b a}$, we get the following formula for the solution to recurrence (B.14) for $n = b^k$:

$$T(n) = n^{\log_b a} [T(1) + \sum_{j=1}^{\log_b n} f(b^j)/a^j].$$



Common Recurrence Types in Algorithm Analysis

Divide-and-Conquer T (n) = aT (n/b) + f (n)

```
MergeSort (A, p, r) // sort A[p..r] by divide &
    conquer
    if p < r
       then q \leftarrow \lfloor (p+r)/2 \rfloor
           MergeSort (A, p, q)
           MergeSort (A, q+1, r)
           Merge (A, p, q, r) // merges A[p..q] wit 5
    A[q+1..r]
```

Floor and ceilings are a pain to deal with. If n is assumed to be a power of 2 (n = 2^k), this will simplify the recurrence to:

$$T(n) = T(n/2) + T(n/2) + \theta(n)$$

$$T(n) = 2T(n/2) + \theta(n)$$

Merge(
$$A$$
, p , q , r)

1 $n_1 \leftarrow q - p + 1$

2 $n_2 \leftarrow r - q$

3 for $i \leftarrow 1$ to n_1

4 do $L[i] \leftarrow A[p + i - 1]$

for
$$j \leftarrow 1$$
 to n_2

$$do R[j] \leftarrow A[q+j]$$

7
$$L[n_1+1] \leftarrow \infty$$

8
$$R[n_2+1] \leftarrow \infty$$

$$\begin{array}{ccc}
9 & i \leftarrow 1 \\
10 & j \leftarrow 1
\end{array}$$

15

11 for
$$k \leftarrow p$$
 to r

12 **do if**
$$L[i] \le R[j]$$

13 **then** $A[k] \leftarrow L[i]$

14
$$i \leftarrow i + 1$$

15 **else** $A[k] \leftarrow R[j]$

Analysis: Substitution Method

$$T(n) = 2.T(\frac{n}{2}) + n$$

$$T(\frac{n}{2}) = 2.T(\frac{n}{2^2}) + \frac{n}{2}$$

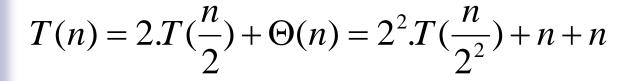
$$T(\frac{n}{2^2}) = 2.T(\frac{n}{2^3}) + \frac{n}{2^2}$$

$$T(\frac{n}{2^3}) = 2.T(\frac{n}{2^4}) + \frac{n}{2^3} \dots$$

$$T(\frac{n}{2^{k-1}}) = 2.T(\frac{n}{2^k}) + \frac{n}{2^{k-1}}$$



Analysis of Merge-sort Algorithm



$$T(n) = 2^2 \cdot T(\frac{n}{2^2}) + n + n$$

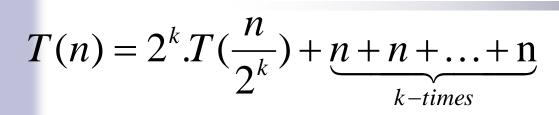
$$T(n) = 2^3 \cdot T(\frac{n}{2^3}) + n + n + n$$

. . .

$$T(n) = 2^{k}.T(\frac{n}{2^{k}}) + \underbrace{n+n+\ldots+n}_{k-times}$$



Analysis of Merge-sort Algorithm



$$T(n) = 2^k . T(\frac{n}{2^k}) + k.n$$

Let us suppose that : $n = 2^k \implies \log_2 n = k$

Hence,
$$T(n) = n \cdot T(1) + n \cdot \log_2 n = n + n \cdot \log_2 n$$

$$T(n) = \Theta(n.\log_2 n)$$



Important Recurrence Types

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$$T(n) = T(n-1) + c$$

$$T(1) = d$$
Solution:
$$T(n) = (n-1)c + d$$
Innear

A pass through input reduces problem size by one.

$$T(n) = T(n-1) + cn$$

$$T(1) = d$$
Solution:
$$T(n) = [n(n+1)/2 - 1] c + d$$

$$\underline{quadratic}$$

One (constant) operation reduces problem size by half.

$$T(n) = T(n/2) + c$$
 $T(1) = d$
Solution: $T(n) = c \log n + d$ logarithmic

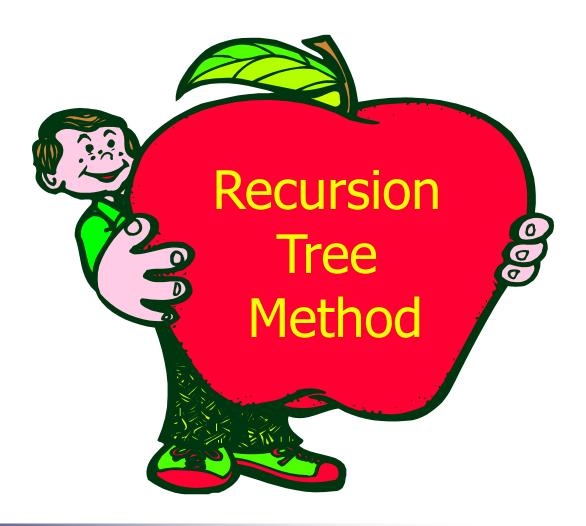
A pass through input reduces problem size by half.

$$T(n) = 2T(n/2) + cn$$

$$T(1) = d$$
Solution: $T(n) = cn \log n + d n$

$$\underline{n \log n}$$







Recursion Tree Method



■ In a recursion tree, each node represents the cost of a **single sub-problem** somewhere in the set of recursive function invocations.

Summing the cost at each level

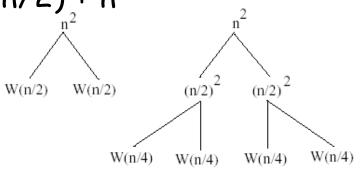
We sum the costs within each level of the tree to obtain a set of per-level costs, and then we sum all the per-level costs to determine the total cost of all levels of the recursion.



Example 1





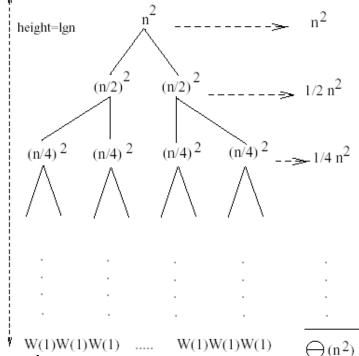


$$W(n/2)=2W(n/4)+(n/2)^{2}$$

 $W(n/4)=2W(n/8)+(n/4)^{2}$

- Subproblem size at level i is: n/2ⁱ
- Subproblem size hits 1 when $1 = n/2^i \Rightarrow i = lgn$
- Cost of the problem at level $i = (n/2^i)^2$ No. of nodes at level $i = 2^i$

Total cost:
$$W(n) = \sum_{i=0}^{\lg n-1} \frac{n^2}{2^i} + 2^{\lg n} W(1) = n^2 \sum_{i=0}^{\lg n-1} \left(\frac{1}{2}\right)^i + n \le n^2 \sum_{i=0}^{\infty} \left(\frac{1}{2}\right)^i + O(n) = n^2 \frac{1}{1 - \frac{1}{2}} + O(n) = 2n^2$$





E.g.:
$$T(n) = 3T(n/4) + cn^2$$

$$T(\frac{n}{4}) T(\frac{n}{4}) T(\frac{n}{4}) T(\frac{n}{4}) C(\frac{n}{4})^2 C(\frac{n}{4})^2 C(\frac{n}{4})^2$$

$$T(\frac{n}{16}) T(\frac{n}{16}) T(\frac{n}{16}) T(\frac{n}{16}) T(\frac{n}{16}) T(\frac{n}{16}) T(\frac{n}{16}) T(\frac{n}{16})$$

- Subproblem size at level i is: n/4ⁱ
- Subproblem size hits 1 when $1 = n/4^i \Rightarrow i = log_4 n$
- Cost of a node at level $i = c(n/4^i)^2$
- Number of nodes at level $i = 3^i \Rightarrow last level has <math>3^{log}_4^n = n^{log}_4^3$ nodes
- Total cost:

$$T(n) = \sum_{i=0}^{\log_4 n - 1} \left(\frac{3}{16}\right)^i cn^2 + \Theta\left(n^{\log_4 3}\right) \le \sum_{i=0}^{\infty} \left(\frac{3}{16}\right)^i cn^2 + \Theta\left(n^{\log_4 3}\right) = \frac{1}{1 - \frac{3}{16}} cn^2 + \Theta\left(n^{\log_4 3}\right) = O(n^2)$$
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Upshot

Convert the recurrence into a tree:

- Each node represents the cost incurred at various levels of recursion
- Sum up the costs of all levels

Used to "guess" a solution for the recurrence



The substitution method

1. Guess a solution

Use induction to prove that the solution works



Substitution method



- $\blacksquare T(n) = O(g(n))$
- Induction goal: apply the definition of the asymptotic notation
 - $T(n) \le d g(n)$, for some d > 0 and $n \ge n_0$
- Induction hypothesis: $T(k) \le d g(k)$ for all k < n (strong induction)
- Prove the induction goal
 - Use the **induction hypothesis** to find some values of the constants d and n_0 for which the **induction goal** holds



Example: Binary Search

$$T(n) = c + T(n/2)$$

- Guess: T(n) = O(lgn)
 - Induction goal: T(n) ≤ d lgn, for some d and n ≥ n₀
 - Induction hypothesis: T(n/2) ≤ d lg(n/2)
- Proof of induction goal:

$$T(n) = T(n/2) + c \le d \lg(n/2) + c$$

= d \lgn - d + c \le d \lgn
if: - d + c \le 0, d \ge c

$$T(n) = T(n-1) + n$$

- Guess: $T(n) = O(n^2)$
 - Induction goal: $T(n) \le c n^2$, for some c and $n \ge n_0$
 - Induction hypothesis: $T(n-1) \le c(n-1)^2$ for all k < n
- Proof of induction goal:

T(n) = T(n-1) + n
$$\le$$
 c (n-1)² + n
= cn² - (2cn - c - n) \le cn²
if: 2cn - c - n \ge 0 \Leftrightarrow c \ge n/(2n-1) \Leftrightarrow c \ge 1/(2 - 1/n)

For $n \ge 1 \Rightarrow 2 - 1/n \ge 1 \Rightarrow$ any $c \ge 1$ will work



$$T(n) = 2T(n/2) + n$$

- Guess: T(n) = O(nlgn)
 - Induction goal: T(n) ≤ cn lgn, for some c and n ≥ n₀
 - Induction hypothesis: T(n/2) ≤ cn/2 lg(n/2)
- Proof of induction goal:

T(n) = 2T(n/2) + n
$$\leq$$
 2c (n/2)lg(n/2) + n
= cn lgn - cn + n \leq cn lgn
if: - cn + n \leq 0 \Rightarrow c \geq 1

Changing variables

$$T(n) = 2T(\sqrt{n}) + \lg n$$

Rename: $m = Ign \Rightarrow n = 2^m$

$$T(2^m) = 2T(2^{m/2}) + m$$

Rename: S(m) = T(2^m)

$$S(m) = 2S(m/2) + m \Rightarrow S(m) = O(mlgm)$$
 (demonstrated before)

$$T(n) = T(2^m) = S(m) = O(mlgm) = O(lgnlglgn)$$

Idea: transform the recurrence to one that you have seen before



Example 2 - Substitution

$$T(n) = 3T(n/4) + cn^2$$

- Guess: $T(n) = O(n^2)$
 - Induction goal: $T(n) \le dn^2$, for some d and n ≥ n_0
 - Induction hypothesis: T(n/4) ≤ d (n/4)²
- Proof of induction goal:

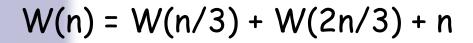
T(n) =
$$3T(n/4) + cn^2$$

 $\le 3d (n/4)^2 + cn^2$
= $(3/16) d n^2 + cn^2$
 $\le d n^2$ if: $d \ge (16/13)c$

• Therefore: $T(n) = O(n^2)$



Example 3 (simpler proof)

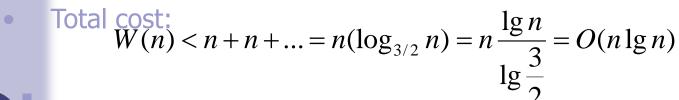


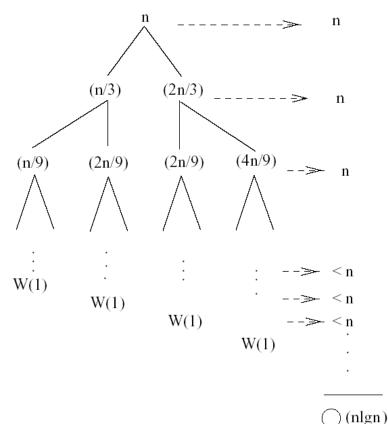
The longest path from the root to a leaf is:

$$\rightarrow ... \rightarrow 1$$

• Subproblem size hits 1 when $1 = (2/3)^{i} n \Leftrightarrow i = \log_{3/2} n$







(nlgn)

W(n) = W(n/3) + W(2n/3) + n

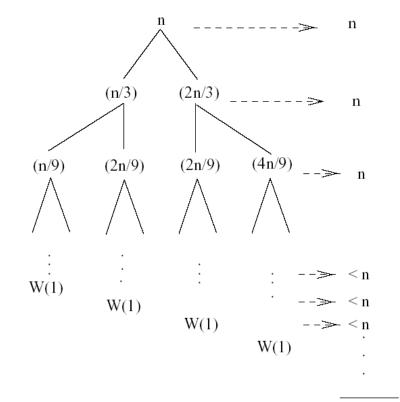
 The longest path from the root to a leaf is:

$$n \rightarrow (2/3)n \rightarrow (2/3)^2 n$$

$$\rightarrow ... \rightarrow 1$$

Total cost:

• Subproblem size hits 1 when $1 = (2/3)^{i}n \Leftrightarrow i = \log_{3/2}n$



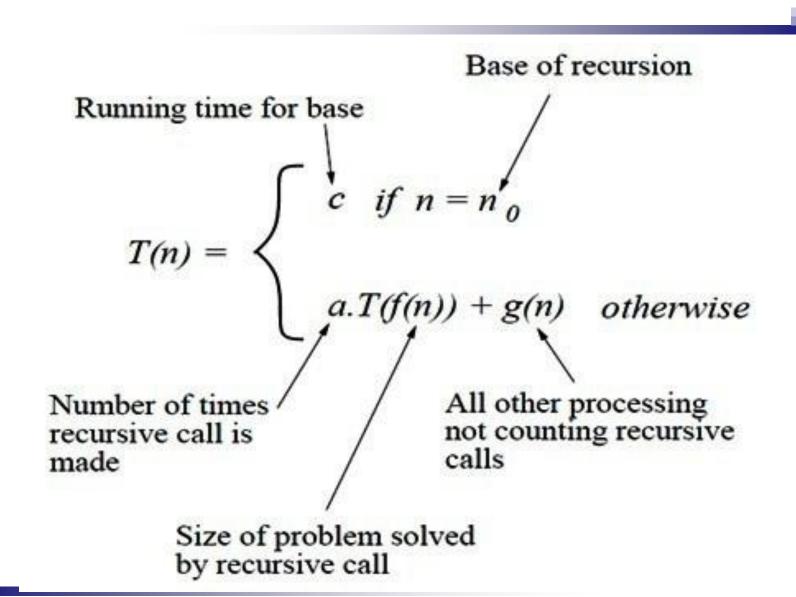
Cost of the problem at level i = n

$$W(n) < n + n + \dots = \sum_{i=0}^{(\log_{3/2} n) - 1} n + 2^{(\log_{3/2} n)} W(1) < \infty$$

$$< n \sum_{i=0}^{\log_{3/2} n} 1 + n^{\log_{3/2} 2} = n \log_{3/2} n + O(n) = n \frac{\lg n}{\lg 3/2} + O(n) = \frac{1}{\lg 3/2} n \lg n + O(n)$$



General Recurrence Relation of Divide and Conq.





Master Theorem: A general divide-and-conquer recurrence

$$T(n) = aT(n/b) + g(n)$$
 where $g(n) \in \Theta(n^k)$

$$\begin{aligned} a &< b^k & T(n) \in \Theta(n^k) \\ a &= b^k & T(n) \in \Theta(n^k \log n) \\ a &> b^k & T(n) \in \Theta(n_{\text{ to the power of }}(\log_b a)) \end{aligned}$$

Note: the same results hold with O instead of Θ .

Div & Conq. (contd.)

■
$$T(n) = aT(n/b)+g(n), a \ge 1, b > 1$$

Master Theorem:

If $g(n) \in \Theta(n^d)$ where $d \ge 0$ then

What if a = 1? Have we seen it?

For adding n numbers with divide and conquer technique, the number of additions A(n) is:

$$A(n) = 2A(n/2) + 1$$

Here,
$$a = ?$$
, $b = ?$, $d = ?$ $a = 2$, $b = 2$, $d = 0$
Which of the 3 cases holds $a = 2 > b^d = 2^0$, case 3



```
Div. & Conq. (contd.)
```

T(n) = aT(n/b)+f(n), a ≥ 1, b > 1

If f(n)
$$\epsilon$$
 $\Theta(n^d)$ where d ≥ 0, then

$$O(n^d) \quad \text{if } a < b^d$$

T(n) = $2T(n/2)+6n-1$?

$$O(n^{\log_b a}) \quad \text{if } a > b^d$$

$$O(n^{\log_b a}) \quad \text{if } a > b^d$$

T(n) = $3T(n/2) + n$

$$a = 3, b = 2, f(n) \epsilon \Theta(n^1), \text{ so } d = 1$$

$$a = 3 > b^d = 2^1 \quad \text{Case } 3: \quad T(n) \epsilon \Theta(n^{\log_2 3}) = \Theta(n^{1.5850})$$

T(n) = $3T(n/2) + n^2$

$$a = 3, b = 2, f(n) \epsilon \Theta(n^2), \text{ so } d = 2$$

$$a = 3 < b^d = 2^2 \quad \text{Case } 1: \quad T(n) \epsilon \Theta(n^2)$$

T(n) = $4T(n/2) + n^2$

$$a = 4, b = 2, f(n) \epsilon \Theta(n^2), \text{ so } d = 2$$

$$a = 4 = b^d = 2^2 \quad \text{Case } 2: \quad T(n) \epsilon \Theta(n^2 \log n)$$

T(n) = $0.5T(n/2) + 1 / m$

Master th^m doesn't apply, a<1, d<0.75 \text{T(n)} = 2 \text{T(n/2)} + n / \text{Ign}

Master th^m doesn't apply f(n) not polynomial T(n) = $64T(n/8) - n^2 \log n$ (n) is not positive, doesn't apply

T(n) = $2^n T(n/8) + n$ a is not constant, doesn't apply

Department of Computer Science

Important Recurrence Types

Recurrence	Algorithm	Big-Oh Solution
T(n) = T(n/2) + O(1)	Binary Search	O(log n)
T(n) = T(n-1) + O(1)	Sequential Search	0(n)
T(n) = 2 T(n/2) + O(1)	Tree Traversal	0(n)
T(n) = T(n-1) + O(n)	Selection Sort	$O(n^2)$
	(other n ² sorts)	
T(n) = 2 T(n/2) + O(n)	Mergesort (average	O(n log
	case Quicksort)	n)

