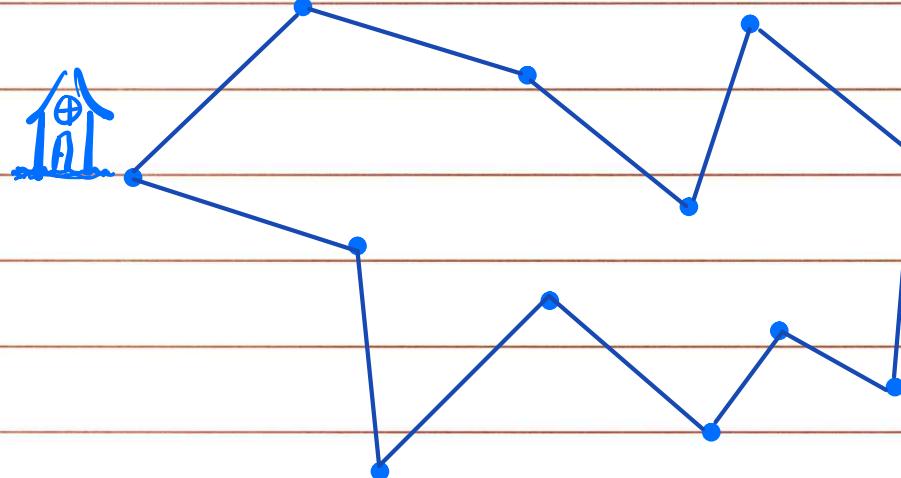


Traveling Salesman Problem (TSP)

&  
Hamiltonian Cycle



## TSP Problem Statement

Given a set of distances, order n cities  
in a tour  $V_{i_1}, V_{i_2}, \dots, V_{i_n}$  with  $i_1 = 1$ ,  
so it minimizes

$$\sum_{j=1}^{n-1} d(V_{i_j}, V_{i_{j+1}}) + d(V_{i_n}, V_{i_1})$$

TSP has applications in

- Vehicle routing
- Logistics planning
- Cutting / drilling tasks
- ...

Decision version of TSP:

Given a set of distances on  $n$  cities and a bound  $D$ , is there a tour of length/cost at most  $D$ ?

Def.: A cycle  $C$  in  $G$  is a Hamiltonian Cycle if it visits each vertex exactly once.

### Problem Statement

Given an undirected graph  $G$ , is there a Hamiltonian Cycle in  $G$ ?

Show that the Hamiltonian Cycle (HC) problem is NP-Complete.

1. We show that the problem is in NP

a) Certificate:

An ordered list of nodes on the  
Hamiltonian Cycle

b) Certifier:

We will check the following

- All nodes appear on the list
- Nodes only appear once
- Every pair of adjacent nodes in the given order must have an edge between them
- The first and last nodes have an edge between them

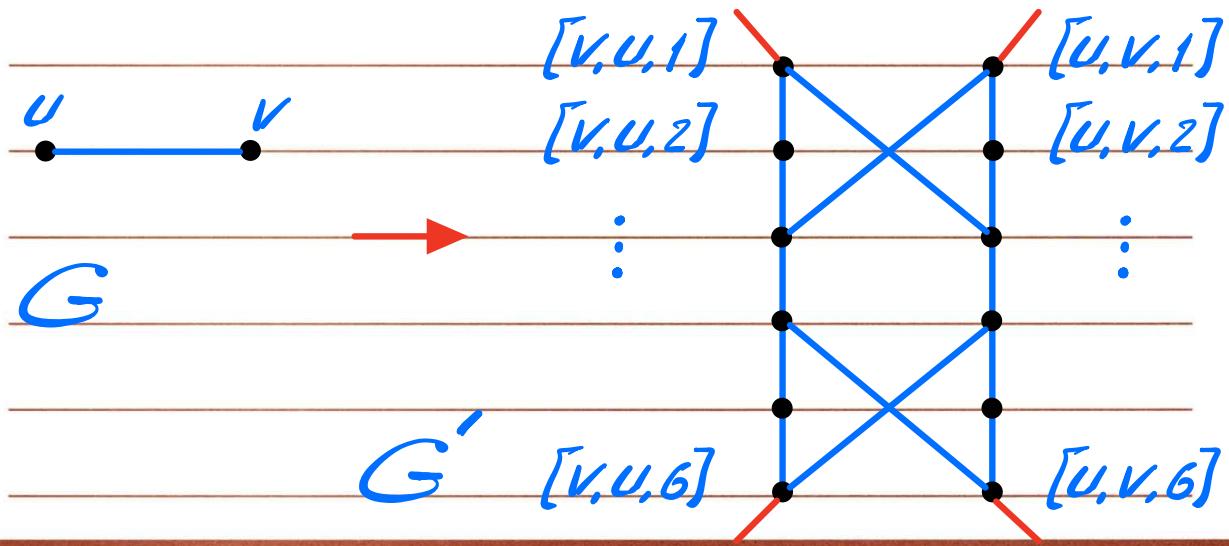
2- Choose Vertex Cover as the problem  
known to be NP Complete

3 - We show that  $\text{Vertex Cover} \leq_p \text{HC}$

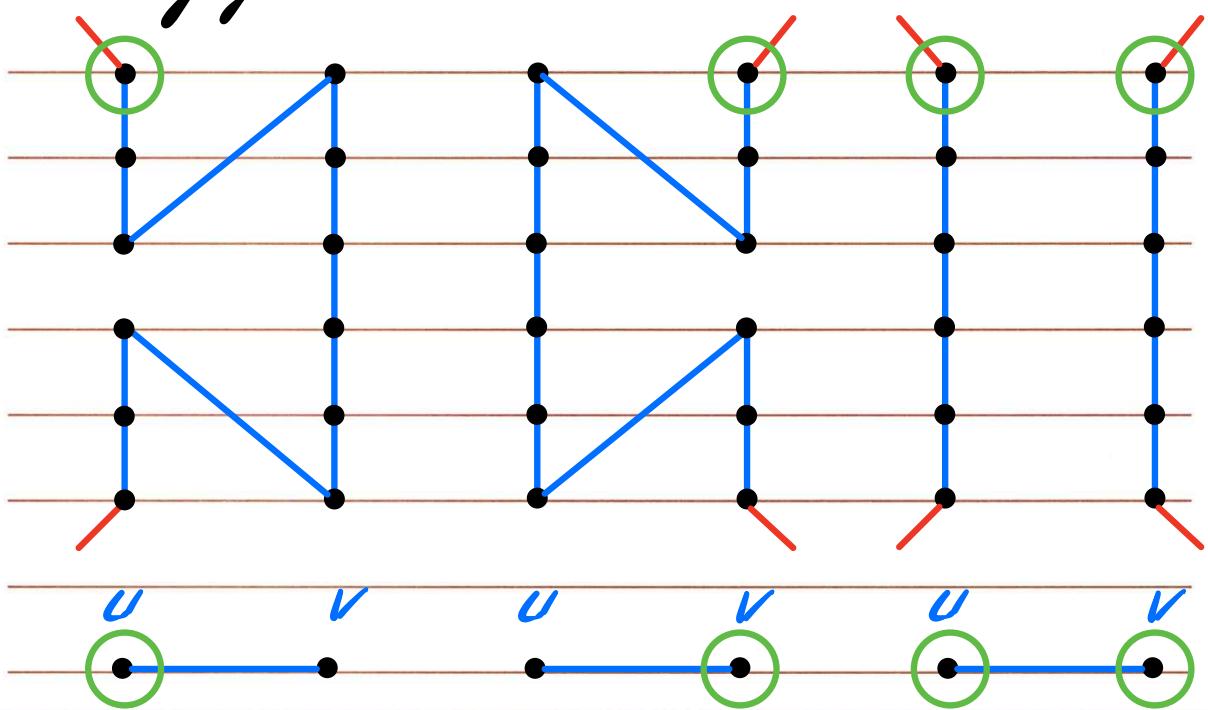
Plan: Given an undirected graph  
 $G = (V, E)$  and an integer  $k$ ,  
we construct  $G' = (V', E')$  that  
has a Hamiltonian Cycle iff  
 $G$  has a vertex cover of size  
at most  $k$ .

## Construction of $G'$

For each edge  $(VU)$  in  $G$ ,  $G'$  will have one gadget  $W_{VU}$  with following node labeling:



Some intuitions behind the construction of the gadget:



- There are only 3 ways that a HC can go through all the nodes of one gadget.

These three ways correspond to the 3 ways that an edge can be covered in the vertex cover problem.

- The gadget is constructed such that if a HC enters the gadget on one side, it has to leave the gadget on the same side.

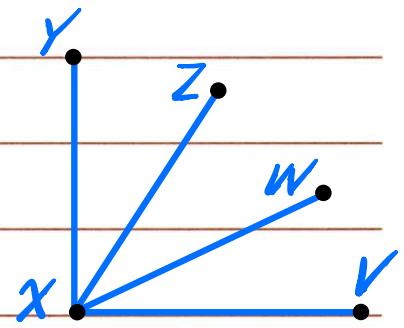
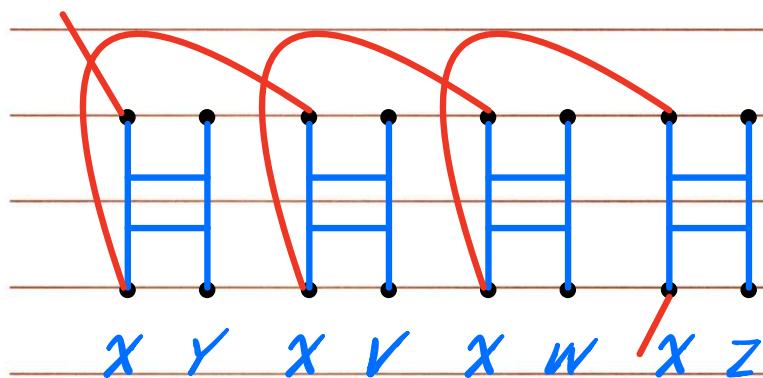
Other vertices in  $G'$

- Selector vertices: There are  $k$  selector vertices in  $G'$ ,  $s_1, \dots, s_k$

Other edges in  $G'$

1 - For each vertex  $v \in V$ , we add edges to join pairs of gadgets in order to form a path going through all the gadgets corresponding to edges incident on node  $v$  in  $G$ .

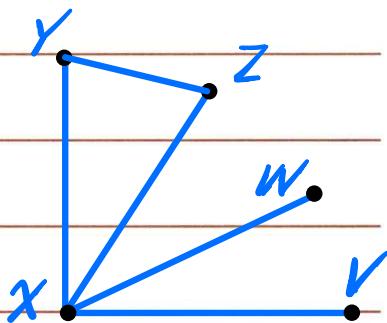
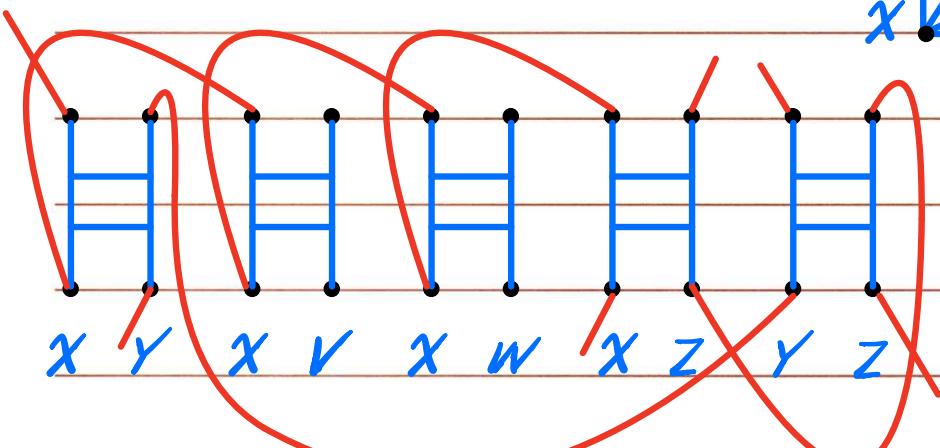
Ex.



$G$

$G'$

Ex.

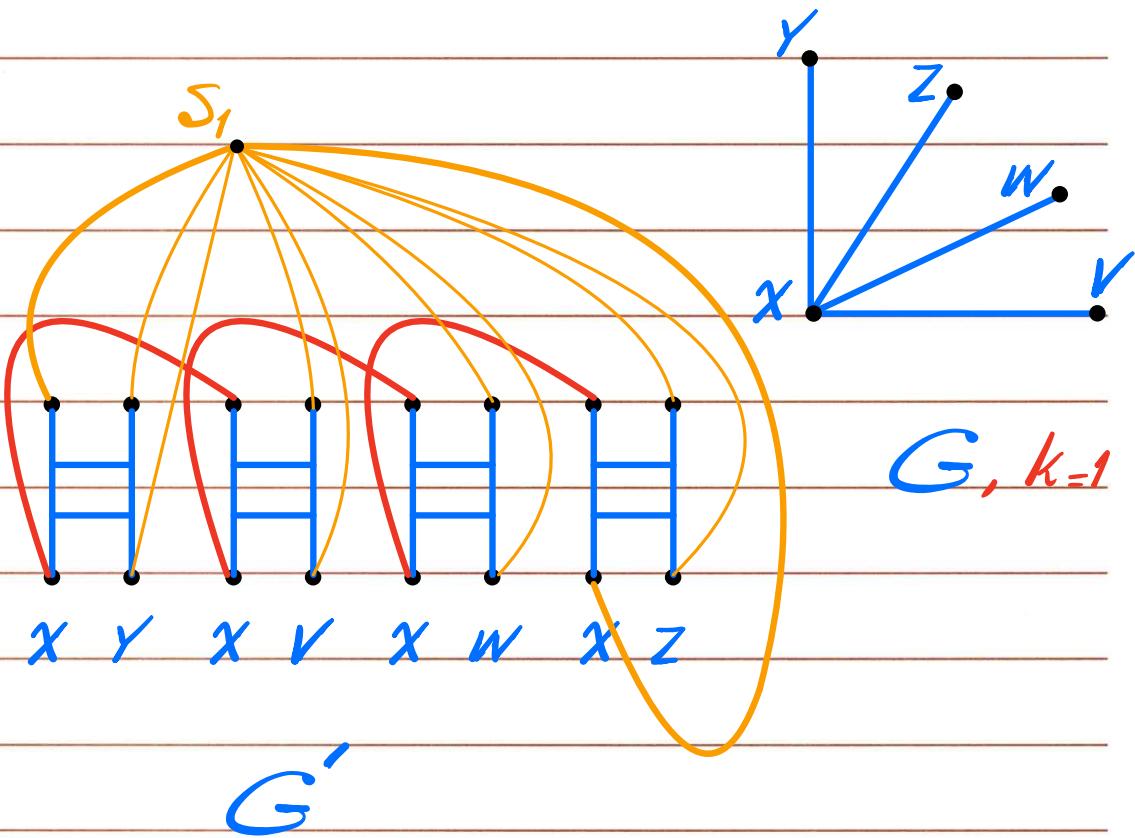
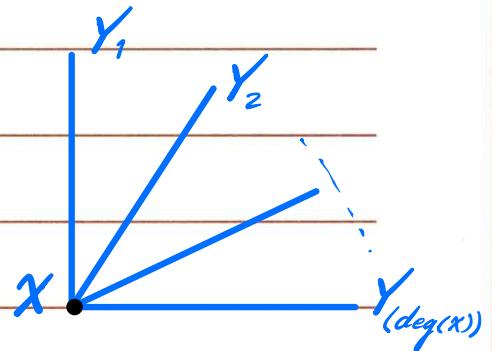


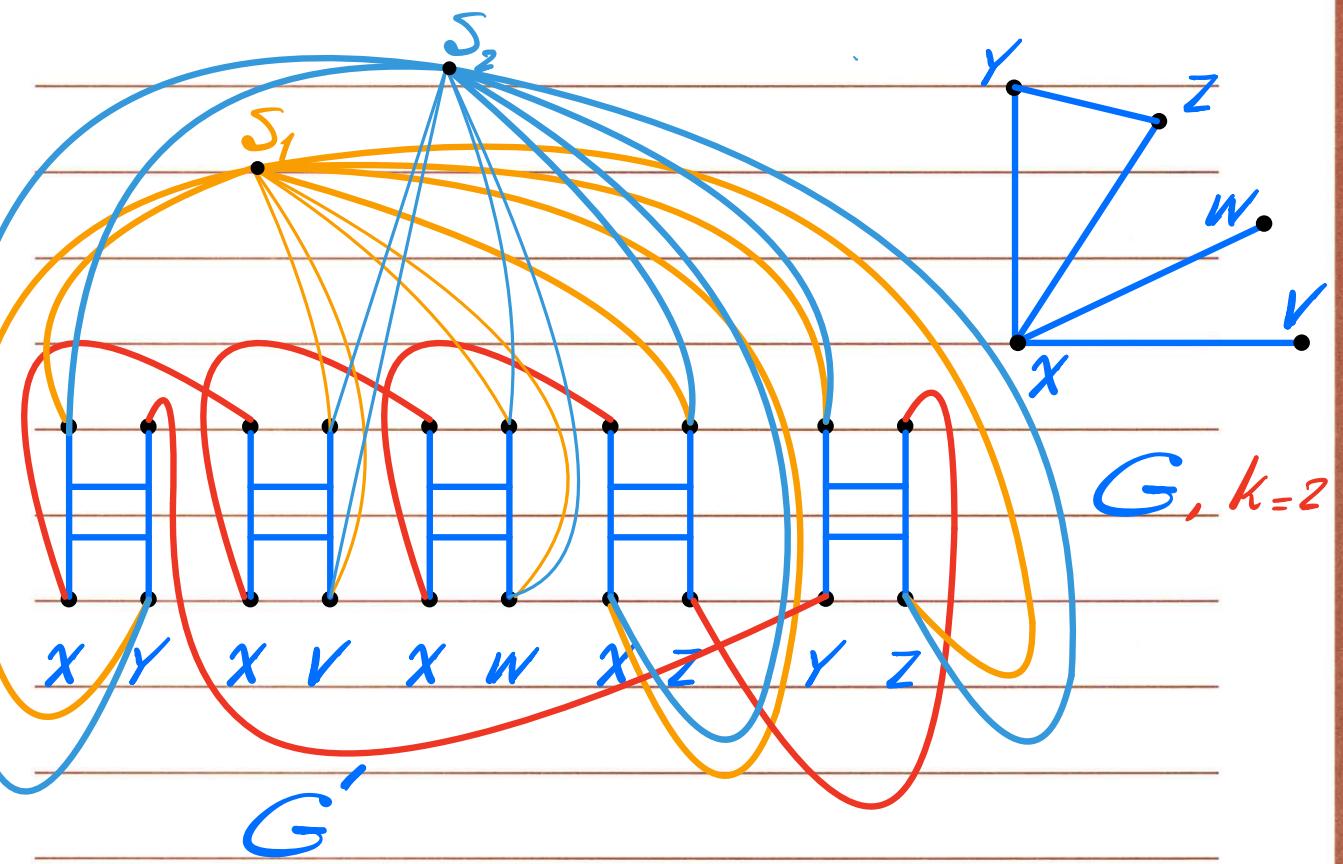
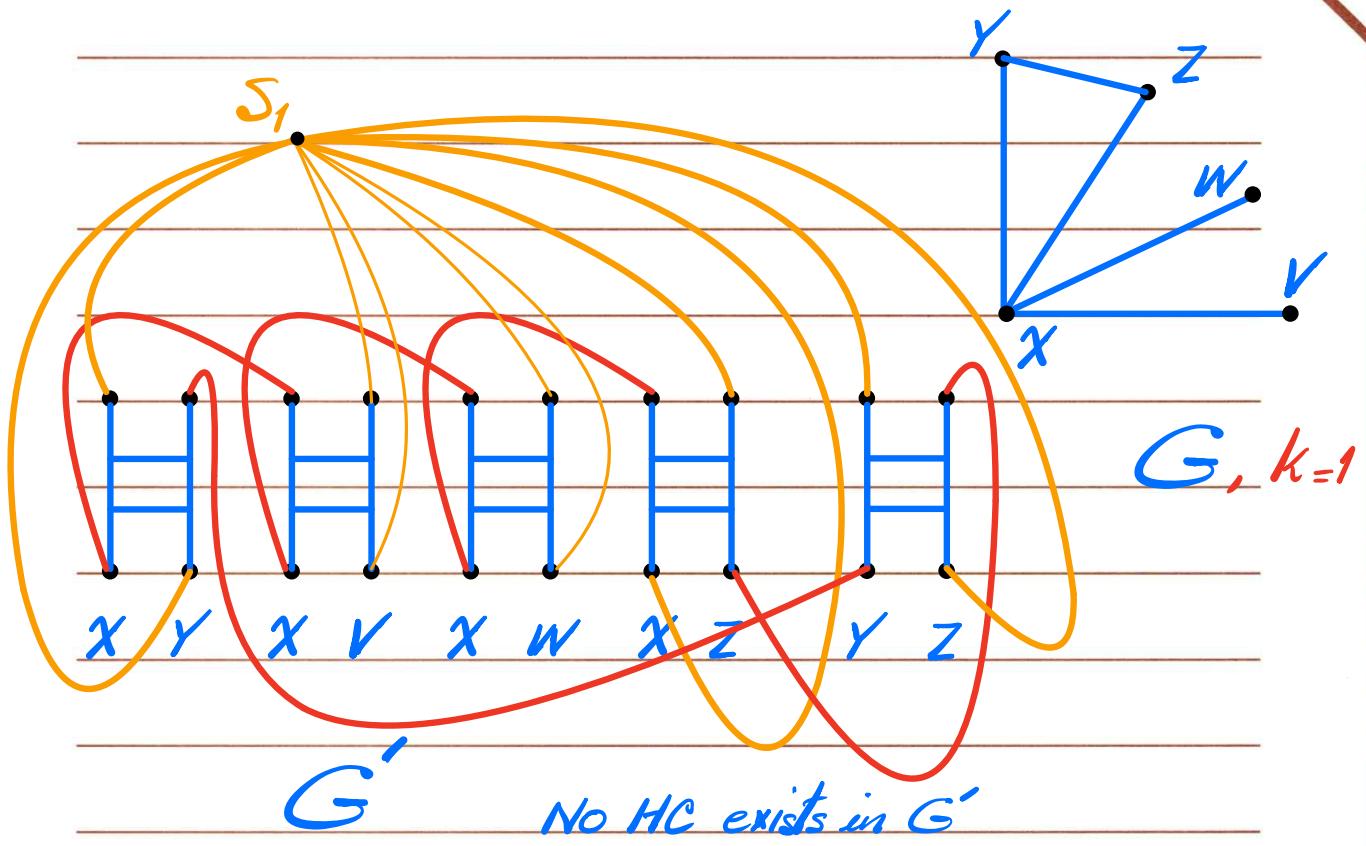
$G$

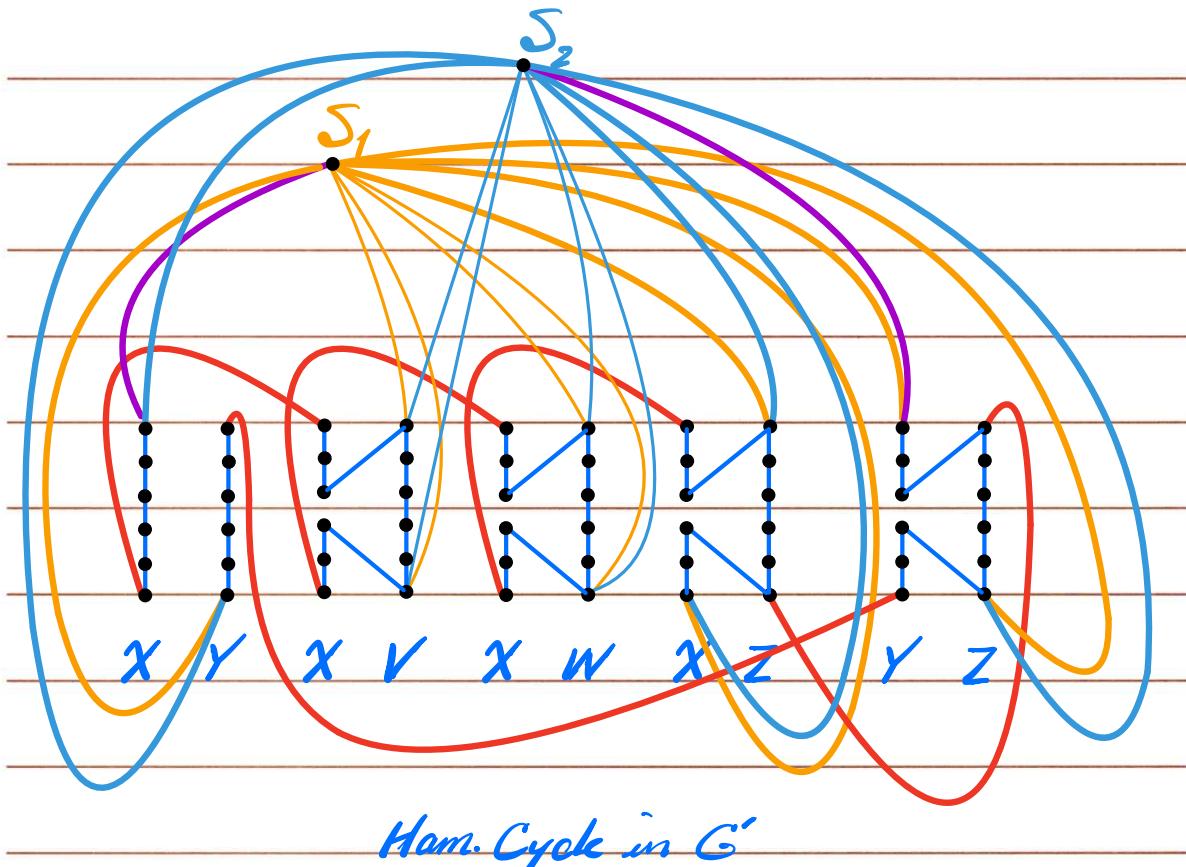
$G'$

Other edges in  $G'$

2 - Final set of edges in  $G'$  join the first vertex  $[x, Y_1, 1]$  and the last vertex  $[x, Y_{(\deg(x))}, 6]$  of each of these paths to each of the selector vertices.







Edge between  $S_1$  and  $[X, Y, 1]$  indicates  
that  $S_1$  has selected node  $X$

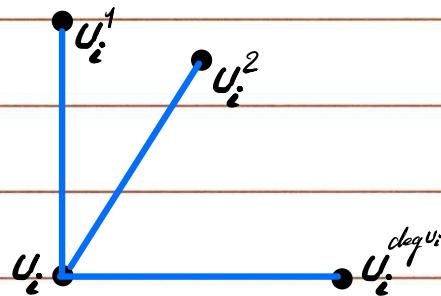
Edge between  $S_2$  and  $[Y, Z, 1]$  indicates  
that  $S_2$  has selected node  $Y$

Therefore the vertex cover set identified by  
this HC is the set  $\{X, Y\}$

Proof: A) Suppose that  $G = (V, E)$  has a vertex cover of size  $k$ . Let the vertex cover set be

$$S = \{u_1, u_2, \dots, u_k\}$$

We will identify the neighbors of  $u_i$  as shown here:



We can form a Ham. Cycle in  $G'$  by following the nodes in  $G'$  in this order:

start at  $s$ , and go to

$$[v, v_i^1, 1]$$

$$[v, v_i^1, 6]$$

$$[v, v_i^2, 1]$$

$$[v, v_i^2, 6]$$

$$[v, v_i^{deg u_i}, 1]$$

$$[v, v_i^{deg u_i}, 6]$$

Then go to  $S_2$  and follow the nodes

$$[v_2, v_2^1, 1]$$

...

$$[v_2, v_2^1, 6]$$

$$[v_2, v_2^2, 1]$$

...

$$[v_2, v_2^2, 6]$$

$$[v_2, v_2^{\deg v_1}, 1]$$

...

$$[v_2, v_2^{\deg v_1}, 6]$$

Then go to  $S_3$

⋮

⋮

$$[v_k, v_k^1, 1]$$

...

$$[v_k, v_k^1, 6]$$

$$[v_k, v_k^2, 1]$$

...

$$[v_k, v_k^2, 6]$$

$$[v_k, v_k^{\deg v_1}, 1]$$

...

$$[v_k, v_k^{\deg v_1}, 6]$$

Finally return back to  $S_1$  to complete  
the Ham. Cycle.

B) Suppose  $G'$  has a Ham. Cycle, then  
the set

$$S = \{v_i \in V : (s_j, [v_i, v_{j+1}]) \in C\}$$

for some  $1 \leq j \leq k\}$

will be a vertex cover set in  $G$ .

Since segments of the HC between  
 $s_j$  and  $s_{j+1}$  go through all gadgets  
corresponding to edges that are

incident on  $v_i$  in  $G$  (indicating  
that node  $v_i$  covers all edges  
incident on it in  $G$ )

And because the Ham. Cycle goes  
through all gadgets in  $G'$ , then  
all corresponding edges will be  
covered by the nodes in the set  $S$ .

Prove that TSP is NP-Complete

1. Show that  $TSP \in NP$

a. Certificate:

A tour of cost at most  $D$

b. Certifier:

- All checks we did for HC, +
- Check that cost of tour  $\leq D$

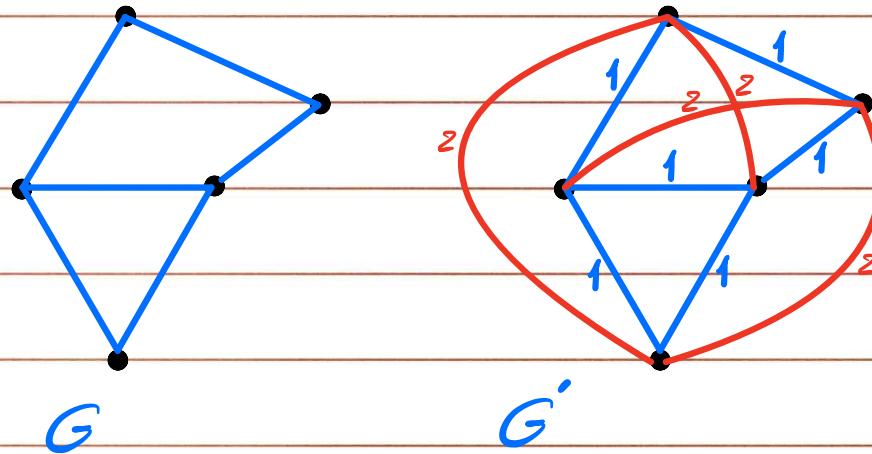
2. Choose an NP-Complete problem:  
Hamiltonian Cycle

3. Prove that  $HC \leq_p TSP$

Plan: Given an instance of the  
HC problem on graph  $G = (V, E)$ ,  
we will construct  $G'$  such that  
 $G$  has a HC iff  $G'$  has a tour  
of cost  $\leq |V|$ .

## Construction of $G'$ :

- $G'$  has the same set of nodes as in  $G$ .
- $G'$  is a fully connected graph
- Edges in  $G'$  that are also in  $G$  have a cost of 1.
- Other edges in  $G'$  have a cost of 2.



Proof Template:

A - HC in  $G \rightarrow$  TourofCost  $|V|$  in  $G'$

B - TourofCost  $|V|$  in  $G' \rightarrow$  HC in  $G$

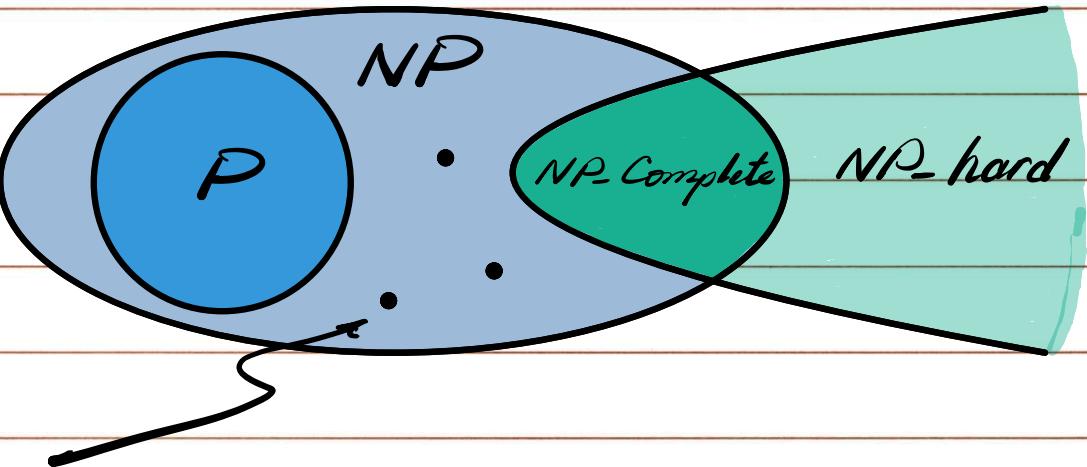
The set of known NP-Complete problems we can choose from in our proof of NP-Completeness:

- 3-SAT
- Independent Set
- Vertex cover
- Set cover
- Set packing
- Hamiltonian Cycle and Ham. Path
- TSP

- We can also use the decision versions of

- 0-1 Knapsack
- Subset sum

since we are already familiar with these problems, although a proof of their NP-Completeness has not been presented in lecture.



We know of only a handful of problems in NP that are neither proven to be NP-Complete, nor do we have a polynomial time solution for.

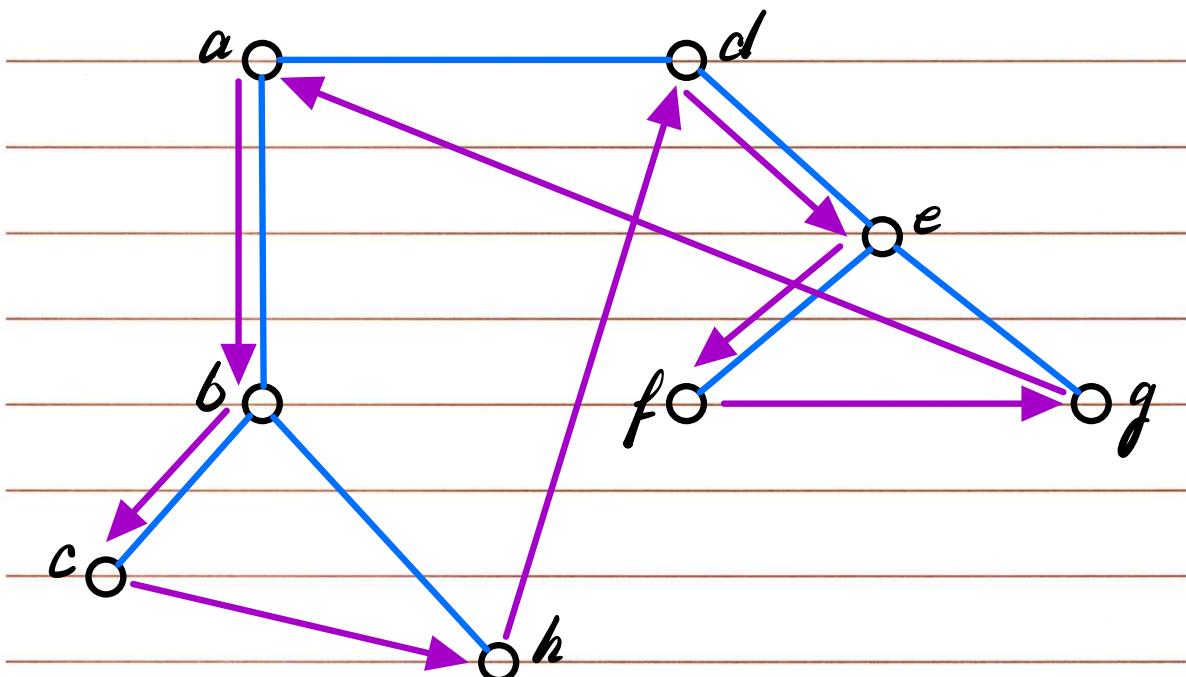
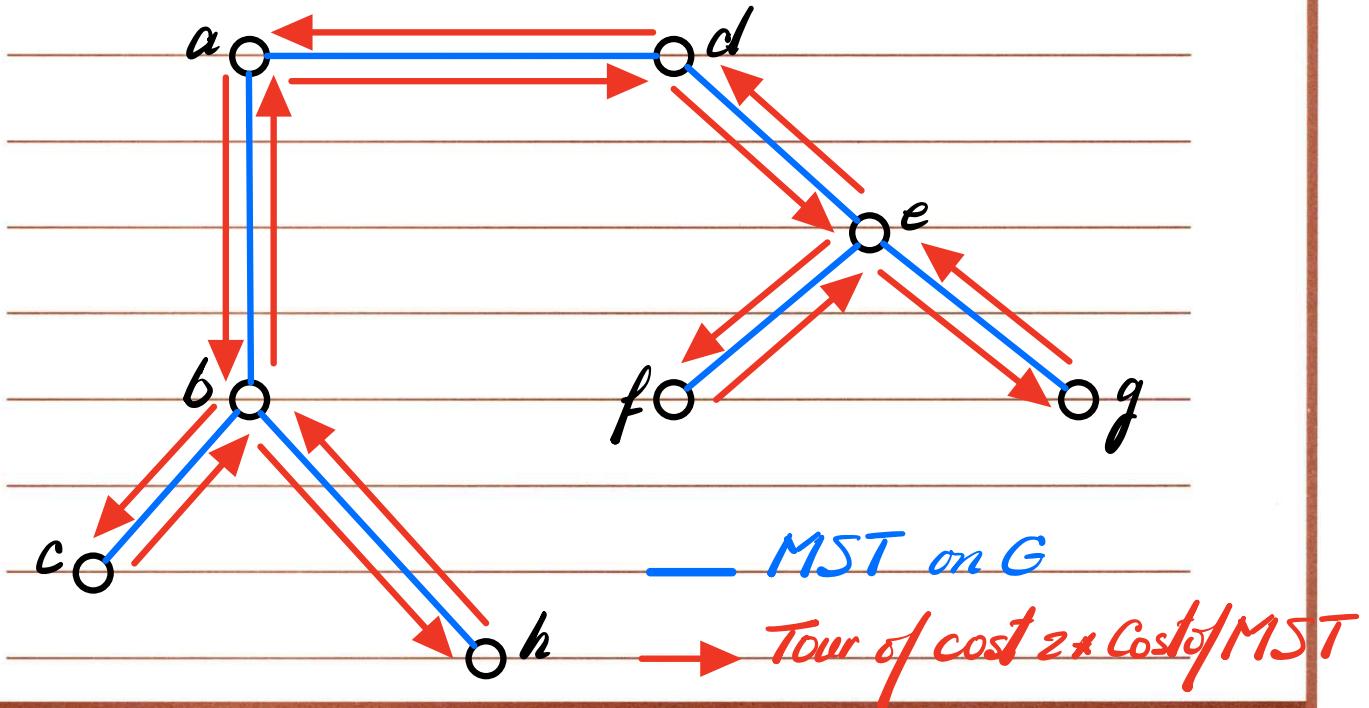
These problems are called NP-intermediate.

examples of such problems:

- Graph Isomorphism

- Integer factoring

# TSP with Triangle Inequalities



Claim:

The cost of our approximate solution to TSP  
is within a factor of  $\leq 2$  of the cost of the  
optimal tour.

Proof:

- Cost of our initial tour =  $2 * \text{Cost of MST}$
- Since triangle inequalities hold in  $G$ ,  
after removing duplicate nodes from  
our initial tour, we have:

Cost of our approx. tour  $\leq 2 * \text{Cost of MST}$

- Since Cost of the opt. tour  $>$  Cost of MST,  
then

Cost of our approx. tour  $< 2 * \text{Cost of opt tour}$

Our solution is called a 2-approximation  
since it guarantees to come to within a  
factor of  $\leq 2$  of the optimal solution.

## General TSP

Theorem: If  $P \neq NP$ , then for any constant  $f \geq 1$ , there is no polynomial time approximation algorithm with approximation ratio  $f$  for the general TSP.

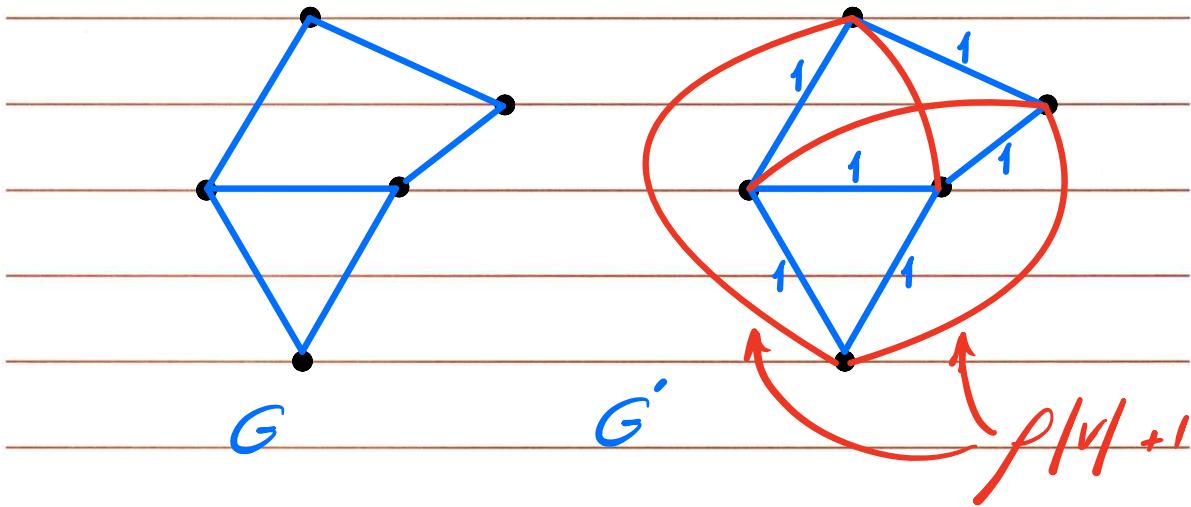
Plan for the proof of the theorem:

We will assume that such an approximation algorithm exists.  
We will then use it to solve the HC problem.

Proof:

Given an instance of the HC problem on graph  $G = (V, E)$ , we will construct  $G'$  as follows

- $G'$  has the same set of nodes as in  $G$ .
- $G'$  is a fully connected graph
- Edges in  $G'$  that are also in  $G$  have a cost of 1.
- Other edges in  $G'$  have a cost of  $\rho|V| + 1$



If we have a HC in  $G$ , there will be a tour of cost  $|V|$  in  $G'$

If we have a tour of cost  $\leq \rho|V|$  in  $G'$ ,  
there will be a HC in  $G$ .

So we can now run the approximation alg.  
on  $G'$ . Since it guarantees to find a tour  
of cost no more than a factor of  $\rho$  from  
the optimal solution, if  $G$  has a HC, it  
must return a tour of cost no more than  $\rho|V|$ .  
And as mentioned above, we can use  
this tour to find a HC in  $G$ .