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Citadel's Dark Pool: SEC Draws a Dark Curtain Around Its Operations

By Pam Martens and Russ Martens: August 14, 2014

In response to a Freedom of Information Act (FOIA) request from Wall Street On Parade seeking information on how Citadel's dark pool, Apogee, operates, the Securities and Exchange Commission responded in a letter dated August 12, 2014 that "we have determined to withhold records responsive to your request...."

Dark pools are the unregulated stock exchanges currently under scrutiny for potentially illegal market rigging activities. We were not asking for trade secrets or results of examinations. We simply wanted basic information on how the Apogee dark pool operates in the marketplace. Mary Jo White, Chair of the SEC, has promised greater transparency by her agency, and yet, this very basic level of information was denied.



Ken Griffin, Owner of Hedge Fund Citadel, Giving a Speech at the Economic Club of Chicago in May, 2013