Title: Fox in the henhouse? Author: disoriented_llama

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Permalink: /r/TheGloryHodl/comments/pjdoi7/fox in the henhouse/

Url: /r/Superstonk/comments/pjd63v/dave_lauer_this_is_how_dark_pool_guy_reads/

Is self: False

Remember Dave, **nobody ESCapes the Shitadel**. You are Kenny's bitch, **for life**.

[https://www.sec.gov/Archives/edgar/data/1255158/000090514820001113/efc20-778_406ba.htm](https://www.sec.gov/Archives/edgar/data/1255158/000090514820001113/efc20-778 406ba.htm)

File No. 813-00397

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

AMENDMENT NO. 3APPLICATION FOR A SUPERSEDING ORDER UNDER SECTIONS 6(b) AND 6(e)OF THE INVESTMENT COMPANY ACT OF 1940TO AMEND AND RESTATE A PREVIOUS ORDER OF EXEMPTION

of

CITADEL ENTERPRISE AMERICAS LLC (formerly, Citadel LLC) CEIF LLC(Exact name of applicants as specified in charter)

131 South Dearborn Street Chicago, IL 60603 (Address of principal executive offices)

These fuckers have written, in to the SEC rules, an approved method for supplying black ops money without the need to account for any transactions. This is how, **specifically**, they are able to pay every single Self-Regulatory-Organization employee of interest, by providing them with a pseudo-legal way of receiving bribe money, they are led to believe that what they are doing is legal. Let me take this moment to say, the SEC creates rules, and **rules do not supersede laws**. They can write all the rules they want, but at the end of the day when the dust settles, compliance with LAWS, explicit under the RICO and Tax Acts is MANDATORY. Maybe an energetic politician at the beginning of her career, sitting on a Finance Committee, would be able to swiftly remove any language from the 1933 Act that would allow for this type of shitfuckery to exist while engaging in a real conversation with the American Public how this has been going on for decades.

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Interests will be issued without registration in transactions under a claim of exemption pursuant to Section 4(a)(2) of the 1933 Act, Regulation D and/or Regulation S3 and may be acquired only by (i) Eligible Employees or (ii) at the request of Eligible Employees and in the discretion of the Managing Member of an ESC Fund, by Qualified Participants of such Eligible Employees. Prior to issuing Interests to an Eligible Employee or a Qualified Investment Vehicle or prior to permitting an Eligible Employee or a Qualified Investment Vehicle to make an additional capital contribution, the Managing Member must reasonably believe that each Eligible Employee (or the Eligible Employee relating to the Qualified Investment Vehicle) is a sophisticated investor capable of understanding and evaluating the risks of participating in such ESC Fund or Class without the benefit of regulatory safeguards. The Managing Member may impose more restrictive suitability standards in its sole discretion.

In order to qualify as an "Eligible Employee," an individual must (i) be a current or former employee, officer or partner of Citadel or a director of Citadel that is an "interested person" (as defined in Section 2(a)(19) of the 1940 Act) of Citadel and (ii) meet the standards of an "accredited investor" under Rule 501(a)(5) or (6) of Regulation D. Eligible Employees and/or their Qualified Investment Vehicles that are not accredited investors will not be permitted to invest in an ESC Fund.