

Title: My chat with Computershare today about DRS of IRA shares.

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Glenn C.: Hi, how can I help you today?

12:18, Jan 21

You: Hello! I'm interested in transferring and DRSing some Gamestop shares that I currently hold in an IRA. I chatted with a different rep yesterday, and was told that was possible. But the customer service reps at Fidelity say that according to Computershare's website, doing this with GME shares is not possible. Can you clear this up for me? Have you guys recently decided to allow this, and word hasn't filtered out yet? Or was the rep I chatted with yesterday misinformed?

12:20, Jan 21

Glenn C.: We do handle IRA accounts; if you wish to transfer this to us, please get in touch with your broker. On our end, once we received the request in good order, we update it on our record.

12:20, Jan 21

Glenn C.: Please contact your broker to initiate the transfer. The broker will need to submit a request for a DTC Withdrawal by Transfer Transmission. Computershare cannot initiate this transfer. The following are the information needed by our Backoffice:

1. The complete name of the Transfer Agent: Computershare Investor Services.

[2.Tax](https://2.tax/) ID Number (TIN).

3. The exact registration (complete name and address) on the Computershare account. If the shareholder does not have an existing Computershare account, provide the exact registration as it appears on the brokerage account.

4. Exact number of shares to be transferred (whole shares only).

5.The full CUSIP number. Once we receive the transfer request from your broker in good order, it will be completed within 2 business days; an account will automatically be opened; and we'll send you a statement via postal mail.

12:21, Jan 21

You: OK, and these will still be IRA shares? No taxable event? No penalties?

12:22, Jan 21

Glenn C.: If the broker transferred it as an IRA registration, that's how it will be registered on our end.

12:22, Jan 21

Glenn C.: Regarding tax inquiry, please refer that to your tax advisor or financial advisor.

12:24, Jan 21

You: OK, and these shares would be registered in my name? Book entry? Safe from shenanigans and chicanery? No lending them out, or de-registering them, or selling without my permission?

12:25, Jan 21

Glenn C.: Please be informed for IRA accounts, the custodial entity is the authorized shareholder on the account. The beneficial owner (FBO) is treated as a third party.

12:26, Jan 21

Glenn C.: All transactions like selling, purchasing, transfer and the likes will have to be submitted by the custodian.

12:26, Jan 21

You: Which am I? Am I the custodian? Or the FBO?

12:26, Jan 21

Glenn C.: The custodial entity must be a financial institution, such as an IRA manager, loan provider, or other financial institution that will manage the account or hold ownership of the account as collateral.

1/21/22, 12:56 PM

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12:27, Jan 21

Glenn C.: You're the beneficial owner.

12:27, Jan 21

You: Would that be CS? Or, in my hypothetical case, Fidelity?

12:28, Jan 21

You: Sorry, I was typing that last question as you were answering me. Disregard it.

12:28, Jan 21

Glenn C.: You will need to decide on that. Please get in touch with your broker regarding who'll be the custodian of your IRA account.

12:28, Jan 21

You: But they will be removed from DTC, regardless?

12:29, Jan 21

Glenn C.: What do you mean?

12:31, Jan 21

You: No longer available to be lent out as collateral for short sales.

12:32, Jan 21

Glenn C.: Once the shares are held in Computershare, they're not lent out. Lending out shares is not an authorized function of a transfer agent for shares held in registered form.

12:32, Jan 21

You: DTCC may be the proper term.

12:32, Jan 21

You: Not DTC

12:34, Jan 21

You: OK, I think that is what I needed to confirm. I have one more question...I think it's just one more. Has the ability to transfer as an IRA been a recent development with Gamestop? Or has it been this way for a while?

12:35, Jan 21

Glenn C.: Thank you for waiting. I'll be with you in just a moment.

12:35, Jan 21

You: Sure.

12:36, Jan 21

Glenn C.: We handled IRA accounts regardless of stock company.

12:36, Jan 21

You: OK! Thank you.

12:36, Jan 21

You: I think that's all.

12:38, Jan 21

Glenn C.: You're welcome. Thank you for chatting with us today.