

Title: Shares locked in 401k, IRA - what to do if there's fuckery?

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Some of us do not have the option to DRS.

So what are alternatives if our broker pulls some shenanigans? If they sell without our authorization for example.

What evidence should we be collecting now in anticipation?

I would guess it would be some sort of legal action, but how does one prepare?

Thanks, as I nervously watch my shares sit in an account that I just don't know the broker will do.