

NOTES

# Information and Coding Theory

UPTO INFORMATION CHANNEL  
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# Information and Coding theory.

\* Information theory

\* Source coding

\* Channel coding

Compression  $\rightarrow$  Remove data redundancy

Retain Information.

9 11 11 11 14 + 13 - 21  $\rightarrow$  5 bits for each element

$$\hat{x}_n = n + \delta_n$$

$$(e_n) = x_n - \hat{x}_n$$

only 1, +, 0  $\rightarrow$  2 bit for each element

Source coding : Uniquely + efficiently map source  $\Rightarrow$  binary

mappings must not be prefix of some other codewords) - unique encoding + decoding

## Fano Codes

a	b	c	d	e	f
0.3	0.2	0.1			

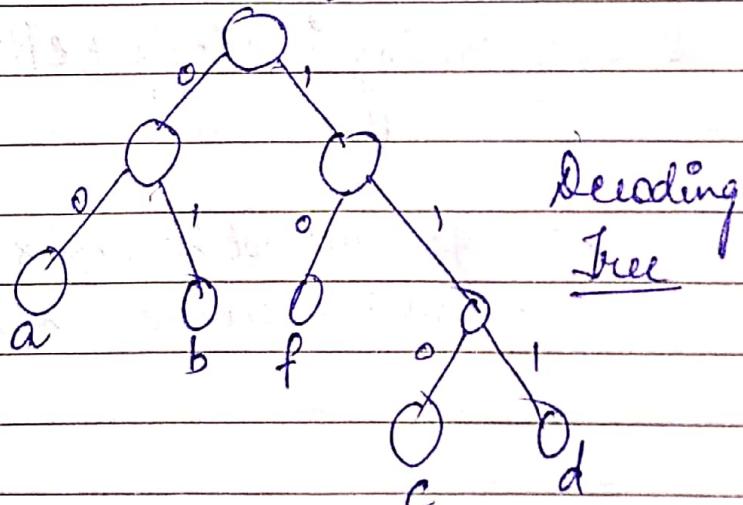
divide symbol set into 2 sets with almost equal cumulative probabilities sum

[First sort in non increasing order]

a	0.3	00
b	0.3	01
f	0.2	10
c	0.1	110
d	0.15	111

Prefix-free code

Root



Decoding  
Tree

## # Kraft Theorem / Inequality

↓  
Can say if

prefix-free code

q Codewords

Individual codeword lengths  
of  $l_1, l_2, \dots, l_n$ 

$$K = \sum_{i=1}^q q^{-l_i} \leq 1$$

$$\begin{cases} q=2 & 0 \geq l_1=1 \quad 2^{-1} + 2^{-3} + 2^{-3} + 2^{-3} \\ & 100 \geq l_2=3 \quad (2^{-1}) + \frac{1}{2} + \frac{3}{8} = \frac{7}{8} \leq 1. \\ q=4 & 110 \geq l_3=3 \\ & 111 \geq l_4=3. \end{cases}$$

 $s_1 s_2 s_3 \dots s_n$  binary code

$$l_1 \leq l_2 \leq l_3 \leq \dots \leq l_n$$

$$N(s_1) = 2^{l_1}$$

$$N(s_2) = 2^{l_2} = \frac{2^{l_1}}{2^{l_1 - l_2}} = \frac{2^{l_2 - l_1}}{2^{l_2}}$$

$$N(s_n) = 2^{l_n} - 2^{l_n - l_{n-1}} - 2^{l_n - l_{n-2}} - \dots - 2^{l_n - l_1}$$

$$N(s_i) \geq 1$$

Multiply by  $2^{-l_n}$ 

$$1 - 2^{-l_{n-1}} - 2^{-l_{n-2}} - \dots - 2^{-l_1} \geq 2^{-l_n}$$

$$2^{-l_n} + 2^{-l_{n-1}} + \dots + 2^{-l_1} \leq 1$$

$$\Rightarrow \sum_{i=1}^n 2^{-l_i} \leq 1$$

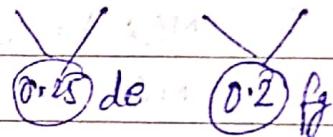
# Compact Codes : Avg length  $\leq$  Avg. length of all other uniquely decodable codes for all the same source & code Alphabets

Fano code is not Compact

$$R \geq H(S) \rightarrow \text{Avg. length} \geq \text{Entropy}$$

# Huffman Codes :

a	b	c	d	e	f	g
0.2	0.2	0.15	0.15	0.1	0.1	0.1



$$\text{Avg. min variance} = (\sigma^2) = \sum p_i (l_i - \bar{l})^2$$

$$\begin{aligned}\bar{l} &= 0.2 \times 2 + 0.4 \times 1 + 0.1 \times 2 + 0.2 \times 3 \\ &= 2.2\end{aligned}$$

$$\sigma^2 = 0.2 (2.2 - 2)^2$$

$\rightarrow R$  for all Huffman trees are same: efficiency is also same.

Q 3000 bits/s transfer. Every sec - 1000 symbols by source. Combine n-combined symbols together.

$\rightarrow$  Huffman tree with min variance is best

# Canonical Huffman Coding:

[send code book efficiently] (size).

well structured

Build tree from L to R in

increasing Order of length.

a 01

b 00

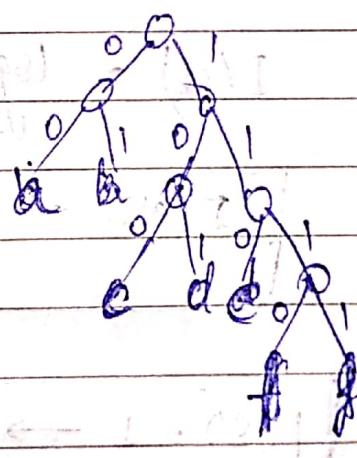
c 101

d 110

e 100

f 111

g 1110



a 00

b 01

c 100

d 101

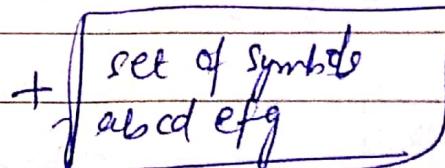
e 110

f 1110

g 1111

Send only 3 starting symbols of different length.

Code book	a 01
	c 101
	f 1110



# Entropy of Information  $\propto$  occurrence.

$$I(S) \propto -\log_2 P(S)$$

$$I(S) = \log_2 \left( \frac{1}{P(S)} \right) \text{ bits}$$

Information is additive.  $\propto$  log used.

Events a, b

$$I(a), I(b)$$

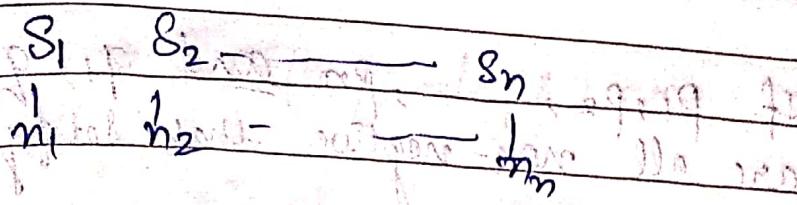
$$I(ab) = \log_2 \left( \frac{1}{P(ab)} \right) = \log_2 \left( \frac{1}{P(a) \cdot P(b)} \right)$$

$$I(ab) = \log_2 \left( \frac{1}{P(a)} \right) + \log_2 \left( \frac{1}{P(b)} \right)$$

$$I(ab) = I(a) + I(b) = -\log_2 P(ab)$$

$$P(S) = 1 \rightarrow I(S) = 0$$

If there is certainty & no risk.



$$\text{Total Information} = n_1 I(S_1) + n_2 I(S_2) + \dots + n_n I(S_n)$$

$$\text{Avg. Info} = \frac{n_1}{N} I(S_1) + \frac{n_2}{N} I(S_2) + \dots + \frac{n_n}{N} I(S_n)$$

$$= P(S_1) I(S_1) + P(S_2) I(S_2) + \dots + P(S_n) I(S_n)$$

$$= \sum_{i=1}^m P(S_i) I(S_i)$$

$$H(S) = \sum_{i=1}^m P(S_i) \log_2 \left( \frac{1}{P(S_i)} \right) \quad \text{bits/symbol}$$

$$\text{also } H(S) \geq 0$$

$$0 \leq P(S_i) \leq 1$$

~~$$\frac{1}{P(S_i)} \geq 1$$~~

$$\Rightarrow \log_2 \left( \frac{1}{P(S_i)} \right) \geq 0$$

$$\Rightarrow P(S_i) \log_2 \left( \frac{1}{P(S_i)} \right) \geq 0$$

Hence,  $[H(S) \geq 0]$  Proved

#

If  $p_1, p_2, \dots, p_n$  and  $q_1, q_2, \dots, q_n$  are all non-negative that satisfy -

$$\sum_{i=1}^n p_i = 1 \quad \sum_{i=1}^n q_i = 1$$

$\Rightarrow \ln(p_1) + \dots + \ln(p_n) + \ln(q_1) + \dots + \ln(q_n) = 0$

then  $\sum_{i=1}^n p_i \frac{1}{\log p_i} \leq \sum_{i=1}^n q_i \frac{1}{\log q_i}$

Proof

$$\ln x \leq x - 1$$

$$x = \frac{q_i}{p_i}$$

$$\Rightarrow \ln \frac{q_i}{p_i} \leq \frac{q_i}{p_i} - 1$$

$$\Rightarrow \sum_{i=1}^n p_i \ln \frac{q_i}{p_i} \leq \sum_{i=1}^n p_i \left( \frac{q_i}{p_i} - 1 \right)$$

$$\Rightarrow \sum_{i=1}^n p_i \ln \frac{q_i}{p_i} \leq \sum_{i=1}^n q_i - \sum_{i=1}^n p_i$$

$$\Rightarrow \sum_{i=1}^n p_i \ln q_i \leq 0$$

$$\Rightarrow \sum p_i^o (\ln q_i - \ln p_i) < 0$$

$$\Rightarrow \sum p_i^o \ln q_i < \sum p_i^o \ln p_i$$

$$\Rightarrow -\sum p_i^o \ln q_i > -\sum p_i^o \ln p_i$$

$$\Rightarrow \sum p_i^o \ln \frac{1}{q_i} > \sum p_i^o \ln \frac{1}{p_i}$$

$$\Rightarrow \sum p_i^o \ln \frac{1}{q_i} \leq \sum p_i^o \ln \frac{1}{p_i}$$

$$\Rightarrow \sum p_i^o \log_2 \frac{1}{p_i} \leq \sum p_i^o \log_2 \frac{1}{q_i}$$

And now we have  $p_i^o = q_i$

$$\Rightarrow \sum p_i^o \log_2 \frac{1}{p_i} \leq \sum p_i^o \log_2 \frac{1}{q_i}$$

$$\Rightarrow \boxed{\sum p_i^o \log_2 \frac{1}{p_i} \leq \sum p_i^o \log_2 \frac{1}{q_i}}$$

Proved

$$\text{H}(S) \leq \sum p_i^o \log_2 \frac{1}{q_i}$$

$$q_i = \frac{1}{n}$$

$$\Rightarrow H(S) \leq \sum p_i^o \log_2 n$$

all events  
are equal  
probable

$$\Rightarrow H(S) \leq \log_2 n \sum p_i^o$$

$$\Rightarrow \boxed{H(S) \leq \log_2 n}$$

$$H(S) = 0 \Rightarrow p_i = 1, \text{ rest } p_j = 0.$$

One event is certain  
no information gained

$$\Rightarrow 0 \leq H(S) \leq \log_2 n$$

(CSCT)

# Source Coding Theorem - The n of data compression is based on efficient representation of the symbols.

SCT establishes the limit for possible data compression & condition is -

$$H(S) \leq \bar{R} \leq H(S) + 1$$

$\downarrow$   $\leftarrow$   
mutual entropy: avg. coding length

$$m = \frac{H(S)}{\bar{R}} \times 100 \%$$

$$\# H(S) - \bar{R} = \sum p_i \log_2 \frac{1}{p_i} - \sum p_i R_i$$

$$= \sum p_i (\log_2 \frac{1}{p_i} - R_i)$$

$$= - \sum p_i (\log_2 p_i + \log_2 R_i)$$

$$\Rightarrow H(S) - \bar{R} = - \sum P_i (\log_2 P_i \cdot 2^R_i)$$

$$= - \sum P_i \log_2 P_i \cdot 2^R_i$$

~~$$\leq \log_2 \left[ \frac{\sum P_i \ln_2 \left( \frac{1}{P_i} \right) + \sum P_i \cdot 2^R_i \log_2 2^R_i}{P_i} \right]$$~~

$$H(S) - \bar{R} = \log_2 \left[ \sum P_i \log_2 \frac{1}{P_i} + \sum P_i \cdot 2^R_i \right]$$

$$\leq \log_2 \left[ \sum P_i \left( \frac{1}{P_i} - 1 \right) \right] \quad [\ln x \leq x-1]$$

use this

$$\leq \log_2 \left[ \sum 2^{-R_i} - \sum P_i \right]$$

$$\leq \log_2 \left[ \sum 2^{-R_i} - 1 \right]$$

↳ [Kraft's Inequality].

$$\sum 2^{-R_i} \leq 1 \quad \Rightarrow \quad H(S) \leq \bar{R}$$

Now,

$$\bar{R} = \sum P_i R_i$$

$$R_p = \log_2 \frac{1}{P_i}$$

$$= \sum P_i \left[ \log_2 \frac{1}{P_i} \right]$$

$$[P \times 7 < x + 1]$$

$$\Rightarrow \bar{R} < \sum P_i \left( \log_2 \frac{1}{P_i} + 1 \right)$$

$$\Rightarrow \cancel{\bar{R}} < \sum P_i \log_2 \frac{1}{P_i} + \sum P_i$$

$$[H(S) < n(H(S)) + 1]$$

$$H(S) < H(S) + 1$$

Q

$$P(a) = 0.8$$

0
1

$$P(b) = 0.2$$

$$\bar{R} = 0.8 \times 1 + 0.2 \times 1 = 1$$

$$H(S) = \sum P_i \log_2 \left( \frac{1}{P_i} \right)$$

$$\Rightarrow H(S) = 0.8 \log_2 \frac{1}{0.8} + 0.2 \log_2 \frac{1}{0.2}$$

$$H(S) = 0.8 \left[ \log_2 -3 \right] + 0.2 \left[ \log_2 -1 \right]$$

$$= 0.8 [3.322 - 3] + 0.2 [3.322 - 1]$$

$$= 0.2575 + 0.4644$$

$\therefore H(S) = 0.72 \text{ bits/symbol.}$

$\therefore \eta = \frac{H(S)}{R} \times 100\% = 72\%$

$$\eta = \frac{H(S)}{R} \times 100\% = 72\%$$

# Extension of source : 2<sup>nd</sup> Order extension  
 (Efficiency  $\eta$ )

source ( $S^2$ )

$$\sigma_{ij} = (S_i S_j)$$

$$aa - A \rightarrow P = 0.64$$

$$ab - B \rightarrow P = 0.16$$

$$ba - C \rightarrow P = 0.16$$

$$bb - D \rightarrow P = 0.04$$

$$A \rightarrow 1$$

$$B \rightarrow 00$$

$$C \rightarrow 01$$

$$D \rightarrow 10$$

$$0.64 \quad 0.16 \quad 0.16 \quad 0.04$$

$$R_2 = 0.64 \times 1 + 0.16 \times 2 + 0.16 \times 3 + 0.04 \times 3$$

$$= 1.56 \text{ bits.}$$

$$H(S^2) = - [0.64 \log 0.64 + 0.16(\log 0.16) \times 2 + 0.04 \log 0.04]$$

$$[0.64 \times 0.64 + 2 \times 0.16 \times 0.16]$$

$$= 0.64 + 0.064 = 0.704$$

$$\therefore H(S^2) = 1.44 \text{ bits / symbol}$$

$$M = \frac{H(S^2)}{R_2} \times 100 = 92\%$$

$$P(a) = p, P(b) = 1-p$$

$$(1) aa \rightarrow p^2$$

$$(2) ab \rightarrow p \cdot (1-p)$$

$$ba \rightarrow (1-p) \cdot p$$

$$bb \rightarrow (1-p)^2$$

$$H(S^2) = - [p^2 \log p^2 + (1-p)^2 \log (1-p)^2]$$

$$+ 2p \times (1-p) \times \log(p)(1-p)]$$

$$= - [2p^2 \log p + 2(1-p)^2 \log(1-p)]$$

$$+ 2p(1-p) \log(p) + 2p(1-p) \log(1-p)]$$

$$= - [2p \log p [p + 1-p] + 2(1-p) \log(1-p)[p + 1-p]]$$

$$= - [2p \log p + 2(1-p) \log(1-p)]$$

$$\Rightarrow H(S^2) = - [P \log \frac{1}{P} + (1-P) \log \frac{1}{1-P}]$$

$$H(S^2) = 2 H(S)$$

Now,  $H(S^n)$   $\in S_1, S_2, S_3, \dots, S_n$

$$\sigma_i = S_{i1} S_{i2} \dots S_{in}$$

$$H(S^n) = - \sum_{i=1}^n p(\sigma_i) \log_2 P(\sigma_i)$$

$$P(\sigma_i) = P(S_{i1}) P(S_{i2}) \dots P(S_{in})$$

$$H(S^n) = - \left[ \sum p(S_{i1}) p(S_{i2}) \dots p(S_{in}) \log_2 (p(S_{i1}) p(S_{i2}) \dots p(S_{in})) \right]$$

$$= - \sum_{i=1}^n p(S_{i1}) \log_2 p(S_{i1}) \sum_{i=2}^n p(S_{i2}) \dots$$

$$H(S^n) = n H(S)$$

$$\left\lceil \frac{1}{n} \log_2 (n+1) \bar{R}_n \right\rceil = H(S) + 1$$

Source Coding Theorem

$$H(S^n) \leq \bar{R}_n < H(S^n) + 1$$

$$nH(S) \leq \bar{R}_n < nH(S) + 1$$

$$\Rightarrow H(S) \leq \frac{\bar{R}_n}{n} < H(S) + \frac{1}{n}$$

$$\lim_{n \rightarrow \infty} \frac{\bar{R}_n}{n} = H(S)$$

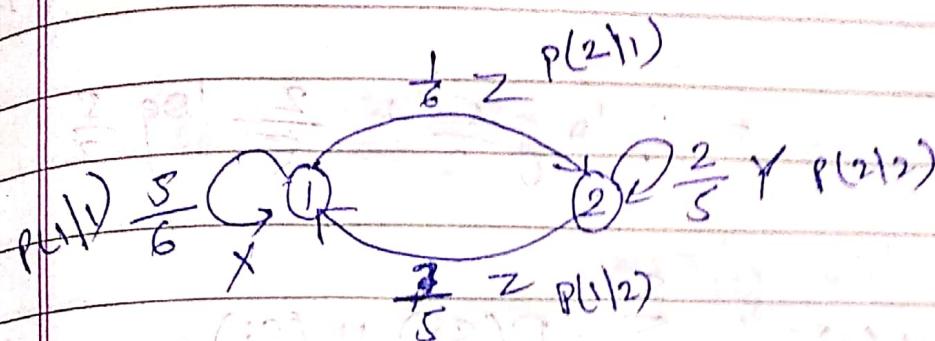
Huffman Coding → not suitable for large symbols.

∴ higher order extension is not efficient to implement

Solution.

Arithmetic Coding

## Markov Model



$$P(1) + P(2) = 1.$$

$$(P_2)(1 - P_1) = \frac{5}{6} P_1 + \frac{3}{5} P_2. \quad \begin{cases} \text{2 equations} \\ \text{2 variables} \end{cases}$$

$$P(2) = \frac{2}{5} P(1) + \frac{1}{6} P(1).$$

$$\Rightarrow \begin{cases} P(1) = 18/23 \\ P(2) = 5/23 \end{cases}$$

→ Entropy of each state.

$$H(S_i) = \sum_{j=1}^8 P(j|i) \log_2 \frac{1}{P(j|i)}$$

where, 8 → total no. of states.

$$H(S_1) = 1.8 \log_2 \frac{1}{P(1|1)} + 0$$

$$H(S_1) = P(1|1) \log_2 \left( \frac{1}{P(1|1)} \right) + P(2|1) \log_2 \left( \frac{1}{P(2|1)} \right)$$

$$= \frac{5}{6} \times \log_2 \frac{6}{5} + \frac{1}{6} \times \log_2 \frac{6}{1} = 0.65 \text{ bits/symbol}$$

$$H(S_2) = P(1|2) \log \frac{1}{P(1|2)} + P(2|2) \log \frac{1}{P(2|2)}$$

$$= \frac{3}{5} \log \frac{5}{3} + \frac{2}{5} \log \frac{5}{2}$$

$$H(S) = \sum_{i=1}^S P(S_i) H(S_i)$$

$$= P(S_1) \cdot H(S_1) + P(S_2) H(S_2)$$

$$= \frac{18}{23} \times H(S_1) + \frac{5}{23} \times H(S_2)$$

$$\boxed{H(S) = 0.7192 \text{ bits/symbol}}$$

$$\text{Now, } \bar{R} = ?$$

length of message = 1

$\leftarrow$  length of message

entropy of message of symbol  $\leftarrow G_L = \sum_{i=1}^L P(\text{msg}_i) \cdot \log \frac{1}{P(\text{msg}_i)}$

$$P(X) = P(1) \times P(1|1) = \frac{18}{23} \times \frac{5}{6} = \frac{15}{23}$$

$$P(Z) = P(1) \cdot P(2|1) + P(2) \times P(1|2)$$

$$= \frac{18}{23} \times \frac{1}{8} + \frac{5}{23} \times \frac{3}{8}$$

$$= \frac{18}{23} \times \frac{1}{8} + \frac{5}{23} \times \frac{3}{8}$$

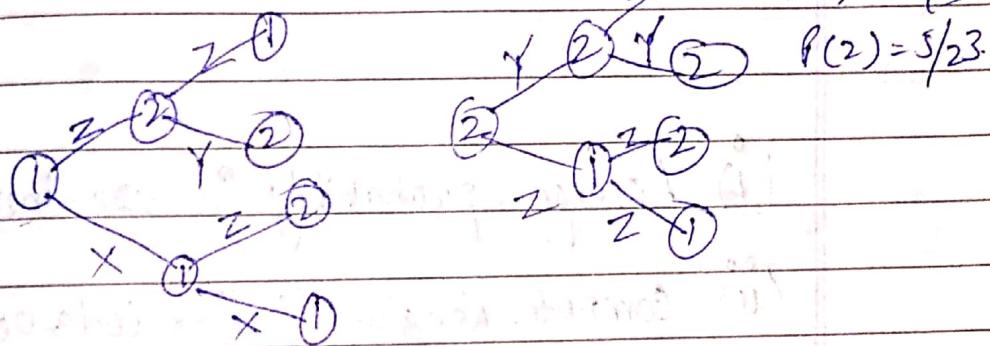
$$P(Y) = P(2) \times P(2|2)$$

$$= \frac{5}{23} \times \frac{2}{5} = \frac{2}{23}$$

$$G_I = \frac{15}{23} \times \log \frac{23}{15} + \frac{6}{23} \log \frac{23}{6} + \frac{2}{23} \log \frac{23}{2}$$

$$= 1.2142 \text{ bits/symbol.}$$

$$\# \text{Imag} = 2.$$



$$P(ZZ) = 1/10$$

$$P(XZ) = 5/46$$

$$P(CXX) = 25/46$$

$$P(YZ) = 6/115$$

$$P(YY) = 4/115$$

$$P(ZY) = 6/115$$

$$P(ZX) = 5/46.$$

$$G_{I_2} = 1.0597 \text{ bits/Symbol.}$$

$$G_1 > G_2 > \dots \rightarrow H.$$

$$\lim_{L \rightarrow \infty} G_L = H(S)$$

~~Calculator~~ = 0.919

## # Shannon Coding

~~Calculator~~ = ~~Info~~  $\rightarrow$  occurrence

$$x_i = \lceil \log_2 \frac{1}{p_i} \rceil \text{ bits}$$

length of  $i^{\text{th}}$  event.

(i) Arrange probability in non-increasing order

(ii) Compute length  $x_i$  for codeword corresponding to each symbol  $s_i$ .

$$x_i = \lceil \log_2 \frac{1}{p_i} \rceil \text{ bits}$$

(iii) Define following parameters.

(Cumulative Probability)

$$\begin{aligned} q_1 &= 0 \\ q_2 &= q_1 + p_1 \\ q_3 &= q_2 + p_2 = p_1 + p_2 \\ q_4 &= q_3 + p_3 = p_1 + p_2 + p_3 \\ &\vdots \\ q_{n+1} &= q_n + p_n = \sum p_i = 1 \end{aligned}$$

(iv) Expand  $q_i$  in binary till  $l_i$  no. of places after decimal point.

(v) The no. after decimal places in the binary representation of  $q_i$  are the codewords for corresponding symbol  $s_i$

$\alpha = 1.01010101 \dots$  A, B, C, D

0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7

$p_1 \ p_2 \ p_3 \ p_4$

D C B A  $p_i = 0.4, 0.3, 0.2, 0.1$

$\alpha = 1.01010101 \dots$

$$\alpha : \left\lceil \log_2 \frac{1}{0.4} \right\rceil, \left\lceil \log_2 \frac{1}{0.3} \right\rceil, \left\lceil \log_2 \frac{1}{0.2} \right\rceil, \left\lceil \log_2 \frac{1}{0.1} \right\rceil$$

$$\alpha : [2, 2, 3, 4]$$

$$q_1 = 0 = (0.00)_2$$

$$q_2 = 0 + 0.4 = 0.4 = (0.01)_2$$

$$q_3 = 0.4 + 0.3 = 0.7 = (0.101)_2$$

$$q_4 = 0.7 + 0.2 = 0.9 = (0.1110)_2$$

$$q_5 = 0.9 + 0.1 = 1$$

Hence,	$D \rightarrow 00$	$(0.4)$
Prefix code	$C \rightarrow 01$	$(0.3)$
	$B \rightarrow 10$	$(0.2)$
	$A \rightarrow 110$	$(0.1)$

$$H(S) = \sum P_i \log_2 \left( \frac{1}{P_i} \right)$$

$$= - (0.4 \log_2 0.4 + 0.3 \log_2 0.3 + 0.2 \log_2 0.2 + 0.1 \log_2 0.1)$$

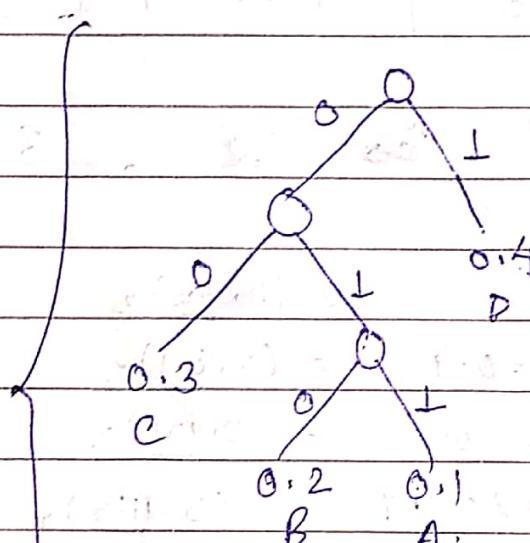
$$\bar{R} = \sum P_i R_i$$

$$= 0.4 \times 2 + 0.3 \times 2 + 0.2 \times 3 + 0.1 \times 4$$

$$= 0.8 + 0.6 + 0.6 + 0.4$$

$$= 2.4$$

$$\eta = \frac{H(S)}{\bar{R}} \times 100 = 76.93\%$$



Huffman Tree for the Game.

## \* Huffman Coding :-

A  $\rightarrow$  011 (0.1)

B  $\rightarrow$  010 (0.2)  $H(S)$  is same

C  $\rightarrow$  00 (0.3)

D  $\rightarrow$  1 (0.4)

Now,

$$R = 3 \times 0.1 + 3 \times 0.2 + 2 \times 0.3 + 1 \times 0.4$$

$$= 0.3 + 0.6 + 0.6 + 0.4$$

$$= 1.9$$

$$\eta = 96.84\% \quad \left( \frac{H(S)}{R} \text{ across } \% \right)$$

hence,  $R_H < R_S$  [more compact coding]

## \* n-ary Huffman Code (non-binary)

n - children of a node

add dummy node with prob = 0

If needed

$\xrightarrow{\text{no. of original nodes}}$

$$\alpha = \frac{q-r}{r-1} \xrightarrow{\text{no. of children of (n-ary)}}$$

$$q^1 = \alpha + r\alpha^{r-1} (r-1)$$

$$d = q^1 - q \quad [\text{no. of dummy nodes}]$$

$$S_1 = 0.16$$

$$S_2 = 0.14 \quad q = 11$$

$$S_3 = 0.18 \quad r = 4$$

$$S_4 = 0.12 \quad \alpha = 11 - 4 = 7 = \frac{7}{3} = 2.33$$

$$S_5 = 0.10 \quad 4 +$$

$$S_6 = 0.10 \quad q' = r + [\alpha] (r-1)$$

$$S_7 = 0.06 \quad = 4 + 3 \times 3$$

$$S_8 = 0.06 \quad = 13$$

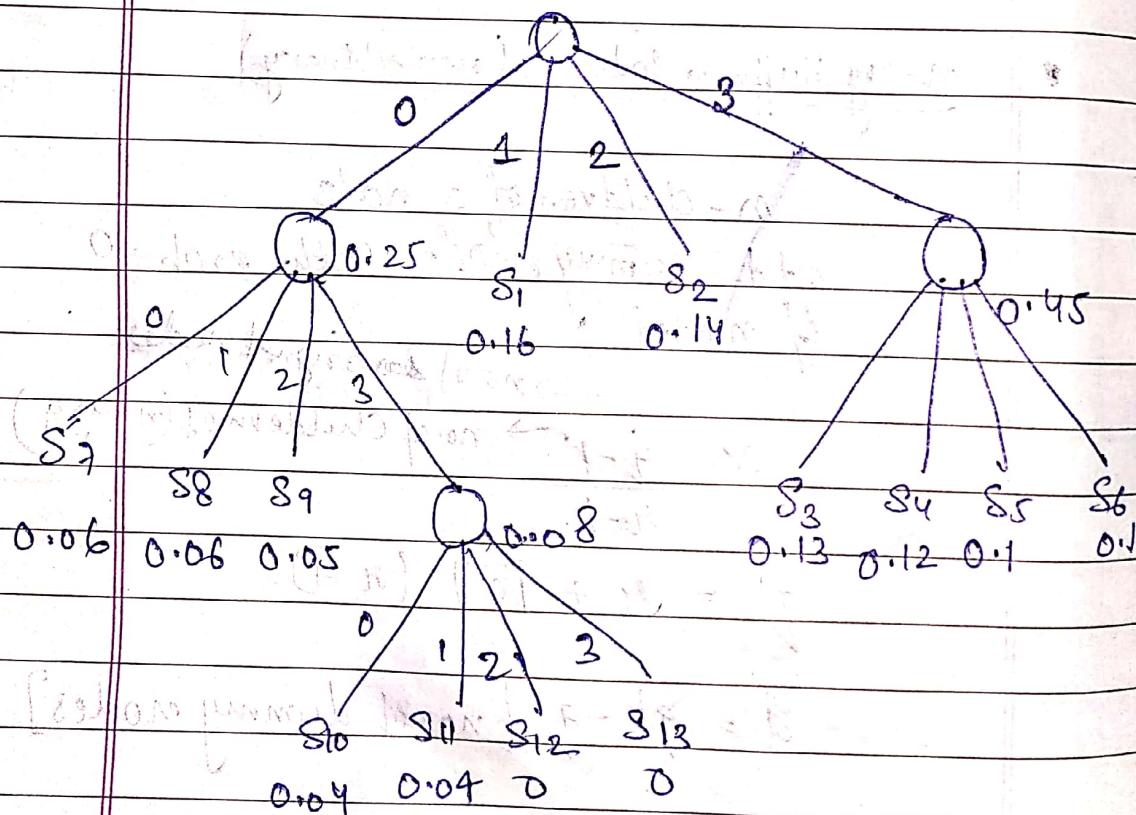
$$S_9 = 0.05 \quad d = q' - q = 2$$

$$S_{10} = 0.04$$

$$S_9 = 0.04 \quad \downarrow \quad \text{number of dummy nodes}$$

$$S_{12} = 0.00 \quad \text{dummy nodes}$$

$$S_{13} = 0.00 \quad \text{nodes}$$



#

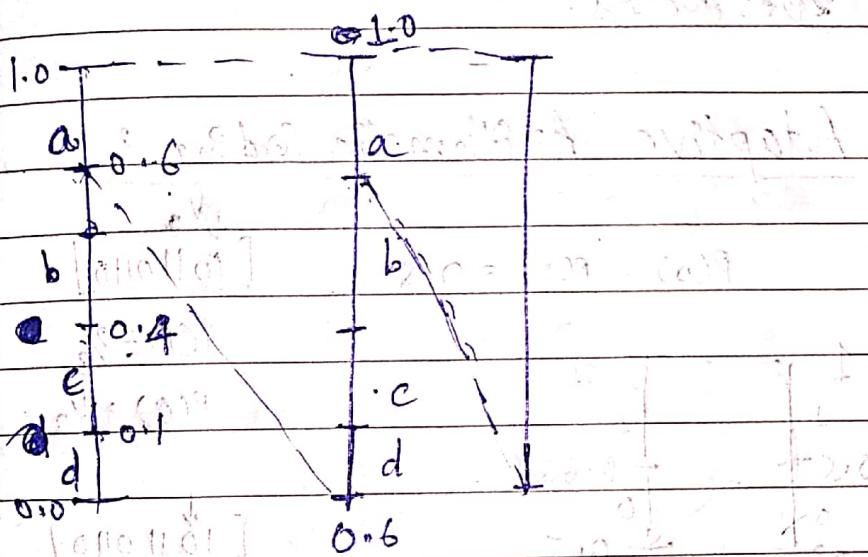
Arithmetic Coding

→ No sorting required

→ Drawback → Floating pt ops.

$$(a, b, c, d) = (0.4, 0.2, 0.3, 0.1)$$

↑  
no sorting

(aacbd)

$$\rightarrow \boxed{LL + d \times P} \rightarrow \text{probability}$$

lower limit

Range LL &lt; Code word &lt; Range UL

$$\boxed{\text{tag} = \frac{\text{Range}(LL+UL)}{2}}$$

$$aacbd = 0.879 \rightarrow \text{tag value}$$

$$\text{Info}^m = (0.879, 4)$$

make intervals where value falls  
Decoding done

$$0.8 \rightarrow 0.\underline{1}00100$$

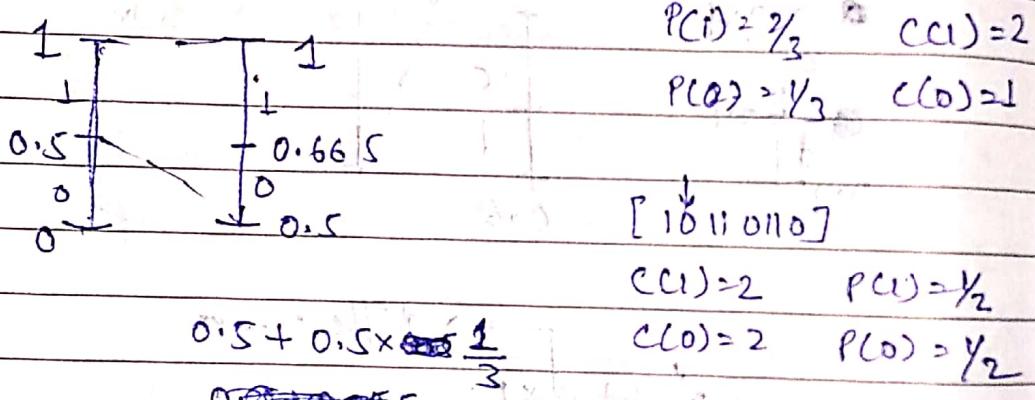
$$0.6 \rightarrow 0.\underline{1}001100$$

1011 → In tag. value  
up to

Select proper tag value → else Information loss occurs.

### # Adaptive Arithmetic Coding

$$P(a) = P(1) = 0.5 \quad [\underline{1011010}]$$



### # Huffman Coding → Codebook needed at receiver end. [Overhead].

# Adaptive Huffman Coding → No codebook needed (source probability calculated on the basis of bit stream).

## Packing Property -

### Visualizing Adaptive Huffman Coding -

\* what is Huffman Coding?

When we transmit info, we generally need to convert some sort of data (text, pic, etc) into binary.

To do this, we assign codes to help us distinguish between different pieces of data.

For example - If we had a string of "abca", we might assign codes like -

a = 00	b = 01	c = 10	a b c a
			00 01 10 00

But what if we wanted to encode "aabaaacaa"?

If we used original encoding where 2 bits for each character were used, we would be treating "a" and "c" with equal importance, even though "a" appears much more.

Wouldn't it be more efficient if we used fewer bits for 'a' and more for 'c'?

This is where Huffman Coding comes in.

Huffman Coding is a "lossless data compression" algorithm that assigns variable-length codes based on the frequencies of our i/p characters.

In order to determine what code to assign to each character, we will first build a binary tree that will organise our chars based on frequency.

### \* Building a Huffman Tree

Encode → "bookkeeper"  
first build Huffman tree for such string  
which will in turn show us what binary encoding we will use for each char.

b	c	K	o	p/r
1	3	2	2	1/1

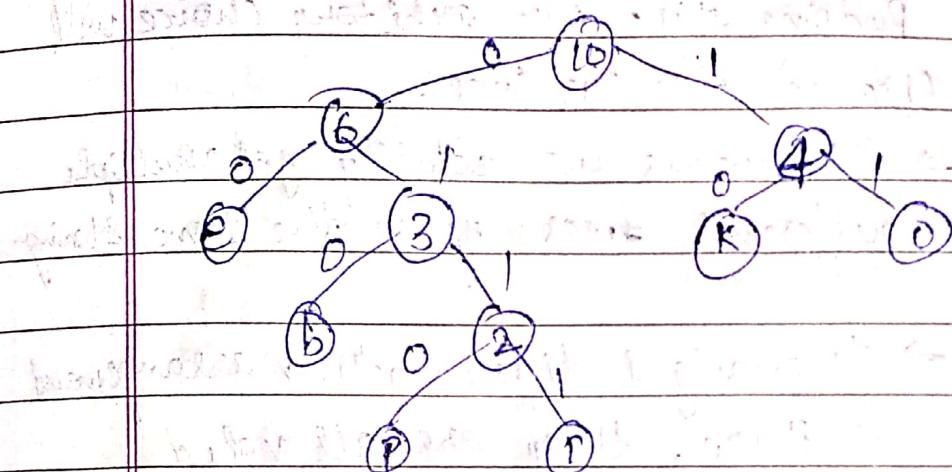
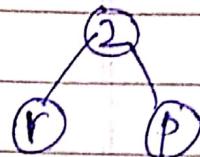
use this table to add nodes & edges that will build up the tree.

First, we start by adding leaf nodes for the two char. that occur the least.  
here, we have a tie b/c/o/p,r,b  
so, arbitrarily choose any two.

Repeat this process with remaining nodes.

b	e	K	o	pr
1	3	2	2	2

bpr	e	K	o
3	3	2	2



bpr	Ko	e
3	4	3

merge all

b	e	K	o	p	r
freq.	1	3	2	2	1 1
Code	010	00	10	11	0110 0111

Note:- As we were building our tree, when choosing our two least frequency characters in our table, we repeatedly had ties b/w three or more characters. When this happened, we would choose two of our tied elements arbitrarily.

By doing this, our arbitrary choice will change the our tree.

→ This means we actually get multiple different trees from the same string

→ Trees might differ in their arrangement & shape, they are all valid Huffman trees!

### Adaptive Huffman Coding-

while traditional Huffman coding is very useful, we can sometimes be limited by the fact that we need to know what data we are going to be encoding before we can encode it. This might work in some scenarios, but there are many other applications where this is impractical.

for ex., if we wanted to transmit a live video stream, we could not possibly know exactly what is going to be transmitted before hand.

As an alternative, we can use adaptive Huffman coding.

With adaptive Huffman coding, our purpose and goal is identical to traditional Huffman coding - we want to build a tree that will give us an optimal binary encoded system scheme.

The major difference is that we will not pre-process our input before we start encoding it. Instead, we will build a tree on the fly as we read in our input.

We will use FGK [faller gallager - knuth] algorithm.

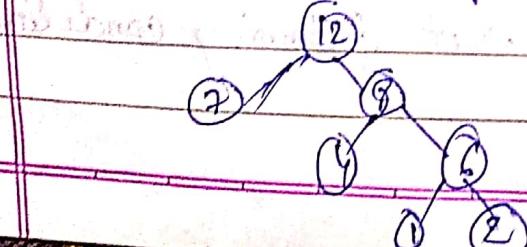
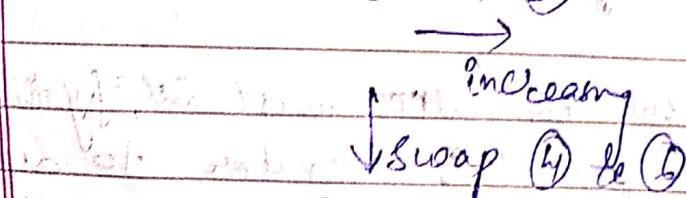
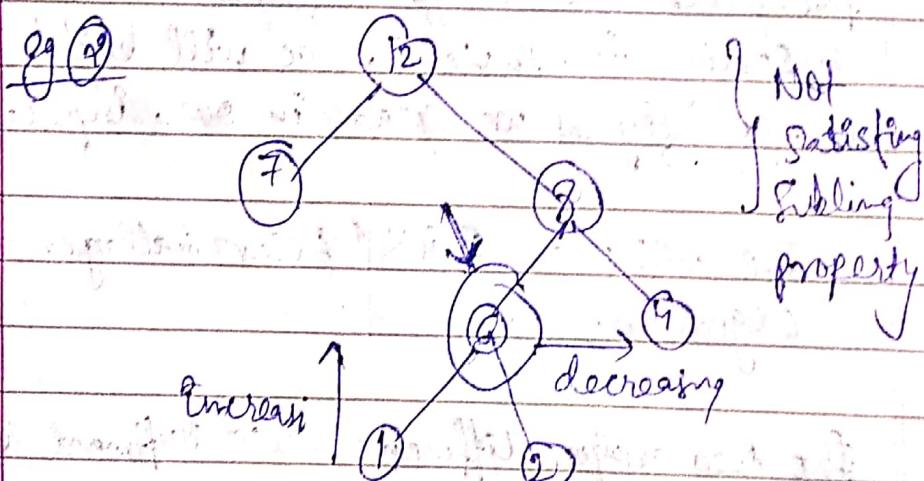
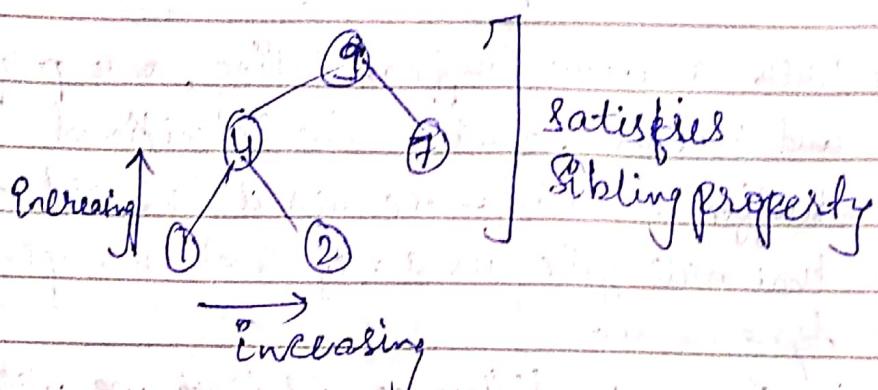
The two major differences b/w Huffman & FGK tree -

- First, our FGK tree must satisfy the sibling property. In order to do this our tree must meet following conditions -

1. All nodes in our tree (except root) must have a sibling.

2. The nodes can be listed (from L to R, Bottom to top) in order of ↑ value.

eg ①



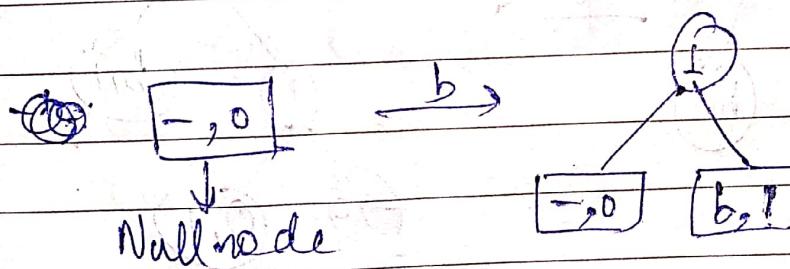
→ The second major difference from Huffman trees to FGK trees is our use of null node.

- In Huffman we build bottom up fashion [as we have frequency] but in adaptive while reading IP & building our tree at same time. As a result, we must build FGK top down.

# we use our null node as a sibling for new character nodes we will add. This way we will still maintain the sibling property.

e.g. "book Keeper". Build FGK

→ As 'b' is our char, we will have to add it into our tree. we can make our b node siblings with the null node & then make both of them children of a new character node.



After inserting each node, we must ensure that we don't violate the sibling property.

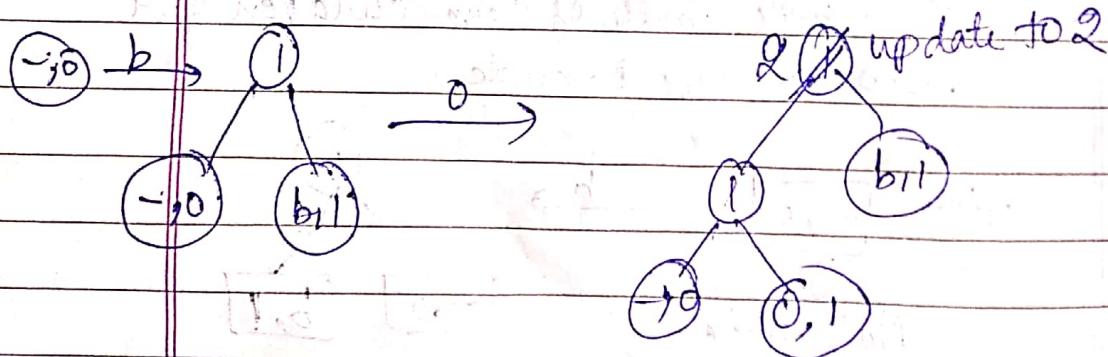
Since we will always insert new leaves as a sibling of the null node, we know that we will never violate the first sibling property.

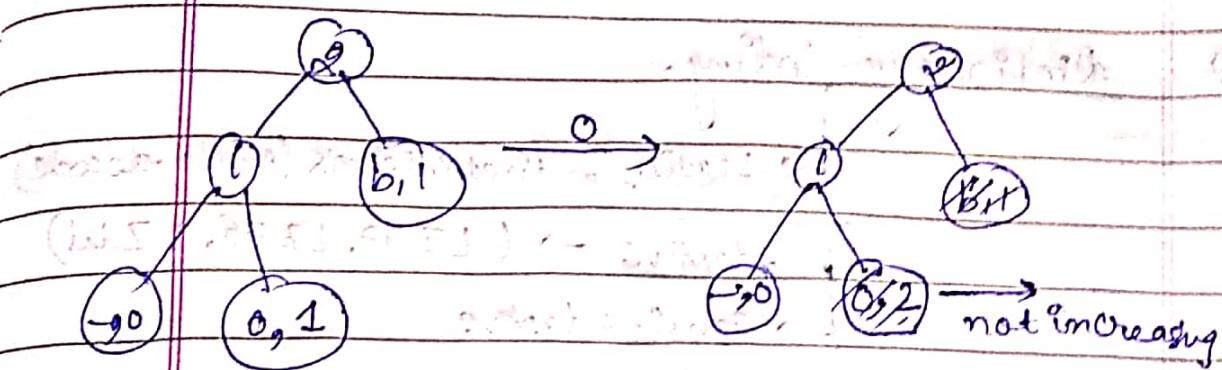
However, we will need to make sure that our nodes are always in the right order.

(↑ value from L to R & ↑ value from B to T).

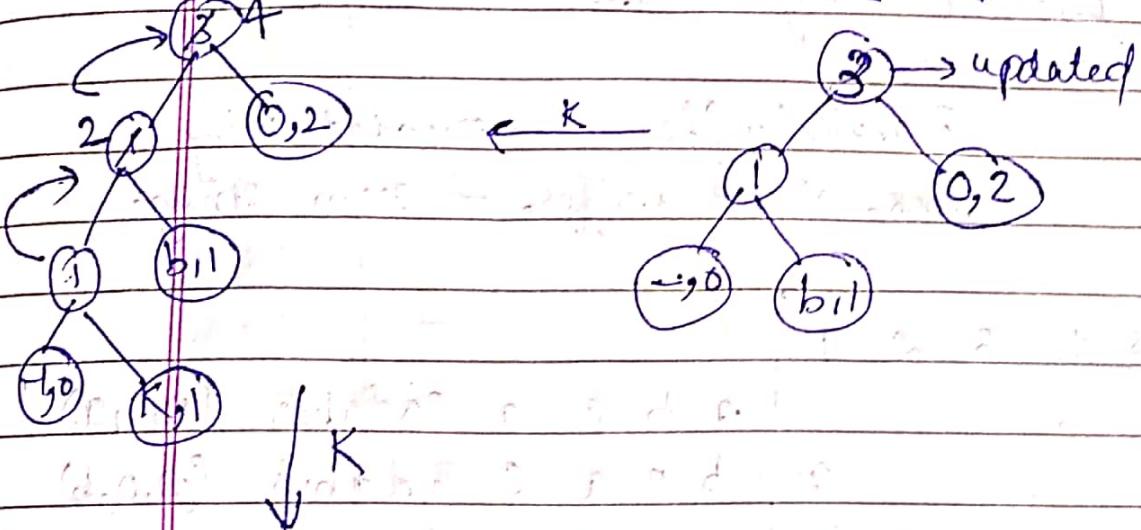
To do this, we will incrementally walk up the tree and update the parent chain by our new leaf in the root.

If we ever encounter conflicting nodes, we can swap them.

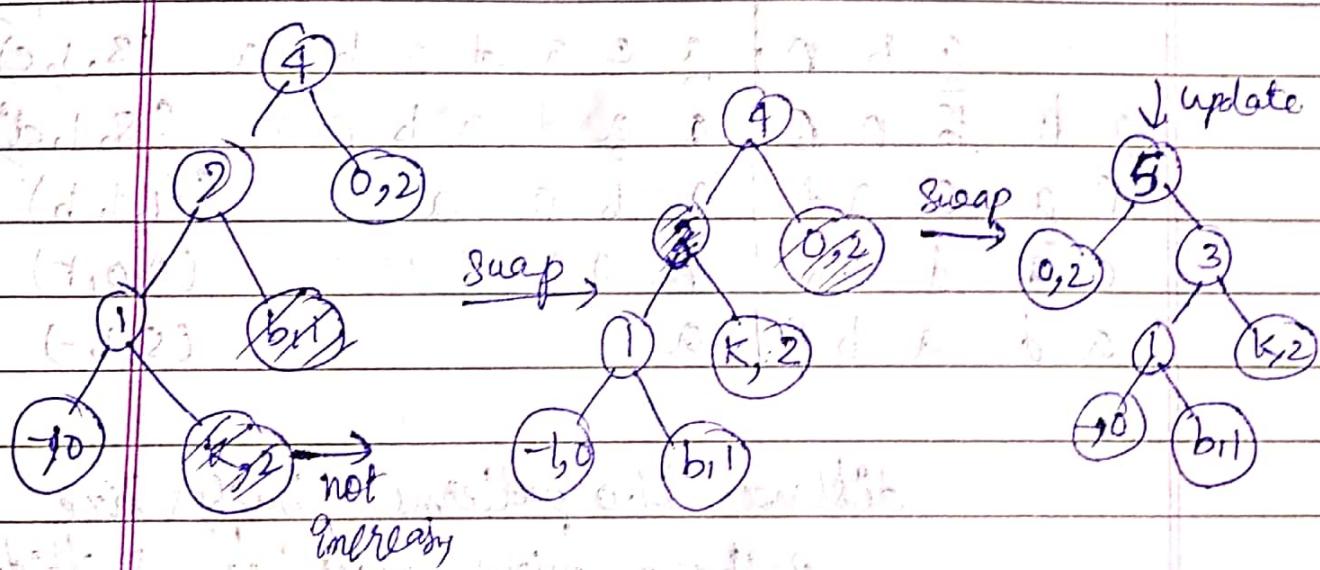




↓ Swap.



→ updated



↓ update

✓

## # Dictionary Coding

→ static : fixed codebook (encode - decode)

→ adaptive → (LZ-77, LZ-78, LZW)

→ Semi-Adaptive

## # LZ-77 (Sliding Window) → 3 tuple

Search buffer - encoded string

look-ahead buffer - gen. string.

5 4 3 2 1	a b r a c a d a b r a	(0,0,a)
	a b r a c a d a b r a	(0,0,b)
	r a c a d a b r a	(0,0,r)
	a c a d a b r a	(3,1,c)
	a d a b r a	(5,1,d)
	a b r a	(4,1,b)
	r a	(0,0,r)
	a d a b r a	(5,1,-)

distance b/w patterns > buffer size  
Pattern might have been shifted.

# LZ-78 (2-tuple). if char not found  
Insert in dictionary.

dictionary [A B C B C A B A B C A A B C A A B]

<u>Output</u>	<u>Index</u>	<u>String</u>
(0, A)	1	A
(0, B)	2	B
(2, C)	3	BC
(3, A)	4	BCA
(2, A)	5	BA
(4, A)	6	BCAA
(6, B)	7	BCAAAB

# Patterns will not be missed  
(not moved out of buffer).

# Size of dictionary can be very large.

## # LZ77 Algo

B, A, BAA BAAA  
 ↓↓↓↑↑↑  
 ↑↑↑↑↑↑↑↑

Output	Representing	Index	entry.
A		1	A
B		2.	B
d	BB AB	3	BA
1	AB A	4	AB
3	AB BA	5	BAA
4	ABA AB	6	ABA
1	AB AA	7	AA
7	AA	-	-

Now, send ~~for sample table~~

Index	Entry
1	A
2	B

and sequence 2 1 3 4 1 7

Decoding      Decode first Index to w.  
 Repeat {

Decide next Index to S.

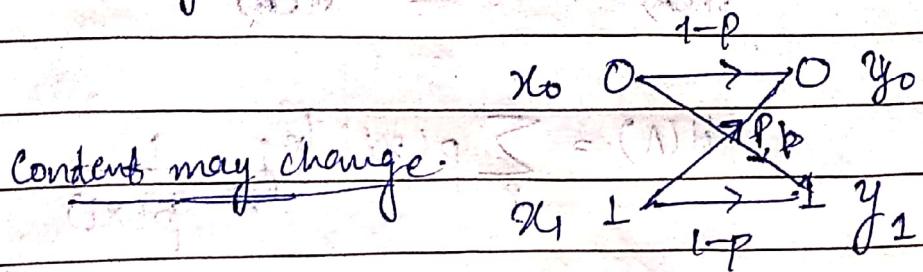
Add w with first char of stdin  
 update w by s

3.

#

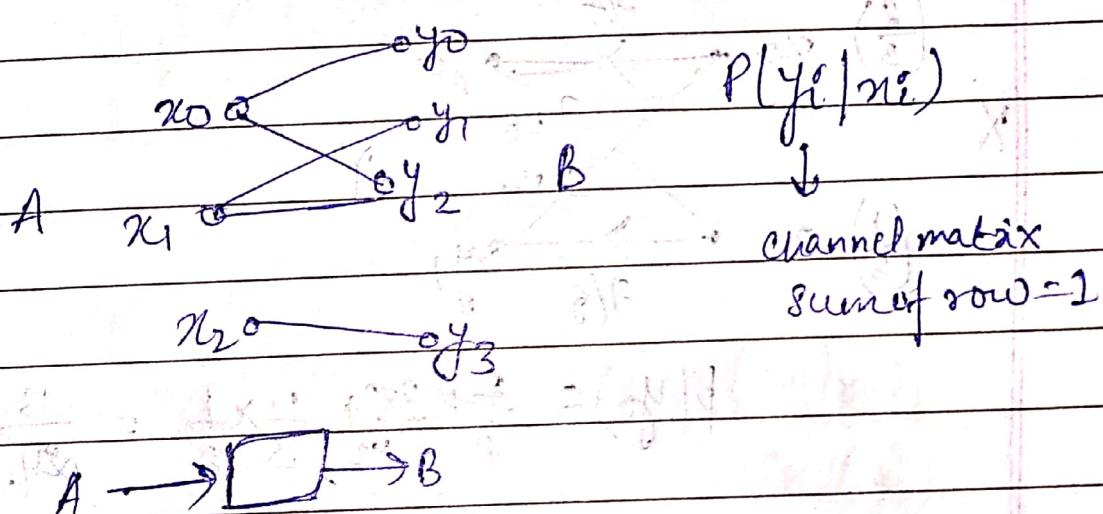
## Information channel p-

Binary symmetric channel-



Cross-over probability =  $p$

$$P(y_0|x_0) = -$$

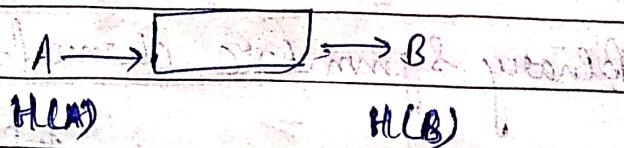


channel must be stationary  $\rightarrow$  statistic same transmission.

memory less  $\rightarrow$  current S/p independent of history o/p.

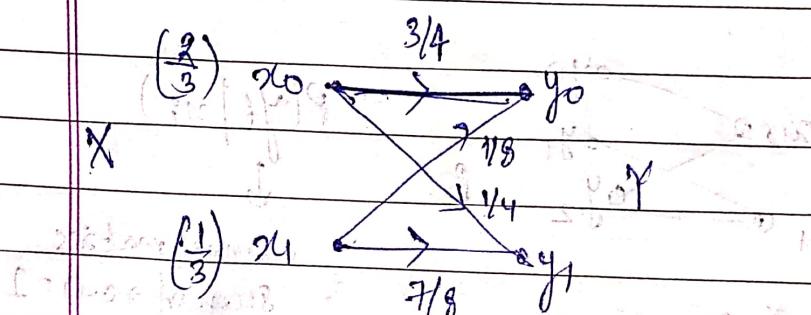
$$\sum_j P(y_j/x_i) = 1$$

$$P(y_j) = \sum_i P(y_j | x_i) P(x_i) = \sum_i P(x_i, y_j)$$



$$H(A) = \sum_i p(x_i) \log \frac{1}{\frac{1}{2} p(x_i)}$$

$$H(B) = \sum_j p(y_j) \log \frac{1}{\frac{1}{2} p(y_j)}$$



$$p(y_0) = \frac{2}{3} \times \frac{3}{4} + \frac{1}{3} \times \frac{7}{8} = \frac{13}{24}$$

$$p(y_1) = \frac{2}{3} \times \frac{1}{4} + \frac{1}{3} \times \frac{1}{8} = \frac{11}{24}$$

$$H(X) = - \left[ \frac{2}{3} \log_2 \frac{2}{3} + \frac{1}{3} \log_2 \frac{1}{3} \right] = 0.9182$$

$$H(Y) = - \left[ \frac{13}{24} \log_2 \frac{13}{24} + \frac{11}{24} \log_2 \frac{11}{24} \right] = 0.9949$$

$$H(X|Y)$$

conditional entropy

$$H(X|y_0) = - \sum_{x_i} p(x_i|y_0) \log_2 p(x_i|y_0)$$

Also,  $p(y_0|x_0) \cdot p(x_0) = p(y_0) p(x_0|y_0) =$

$$\frac{2}{4} \times \frac{2}{3} = \frac{13}{24} \times p(x_0|y_0)$$

$$p(x_0|y_0) = \frac{12}{13}$$

$$p(x_0|y_0) = \frac{1}{13}$$

$$H(X|y_0) = - p(x_0|y_0) \log_2 p(x_0|y_0)$$

$$= - \frac{1}{13} \log_2 \frac{1}{13}$$

$$= 0.3912$$

$$H(X|y_1) =$$

$$p(y_0) > p(y_1)$$

$\therefore$  less information expressed.

$$H(X|Y) = p(y_1) H(X|y_1) + p(y_0) H(X|y_0)$$

$$= \frac{11}{24} \times 0.9456 + \frac{13}{24} \times 0.3912$$

$$\begin{array}{c} 0 \xrightarrow{1} 0 \\ 0 \xrightarrow{1} 1 \\ 1 \xrightarrow{1} 1 \end{array}$$

$$H(X|Y) = p(y_1) \sum p(x_i|y_1) \log \frac{1}{p(x_i|y_1)}$$

$$+ p(y_0) \sum p(x_i|y_0) \log \frac{1}{p(x_i|y_0)}$$

$$= \sum \sum p(y_j) \cdot p(x_i|y_j) \log \frac{1}{p(x_i|y_j)}$$

$$(a), H(X|Y) = 0.645$$

$$I(X; Y) = H(X) - H(X|Y)$$

$$= H(Y) - H(Y|X).$$

Types of channel-

1) Noise-free ( $\therefore H(X|Y) = 0$ )

2) Noisy  $I(X; Y) > 0 \therefore H(X) > H(X|Y)$

3) Ambiguous  $H(X) = H(X|Y) \therefore I(X; Y) = 0$