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Matlab script to calculate Z_2 invariant

This matlab routine uses the self-energy output of the DMFT+ CTAUX code for self-energy and define the effective topological Hamiltonian and diagonalise the effective Hamiltonian with spin twisted boundary condition along x- direction and periodic boundary condition along y- directions.

```
clear all;
clc;
```

Diagonalization of the effective Hamiltonian

```
disp('QSH calculation.....')
%plot 1
Nk=100; % Number of grid points in periodic boundary condition
lambda =3.5; % staggering potential
count=1;
tx=1.0; % Hopping matrix element along x- directions
ty=1.0; % Hopping matrix element a
gama=0.25; % Spin-mixing term
n=6; % Size of the magnetic Brillouin zone; it is 6 presently
as p/q=1/6
Ntheta=20; % Number of grid points in twisted boundary condition

nn=2.23; % self-energy at zero frequency for full self-energy and at
large frequency for Hartree fock
U=7.0; % interaction strength
A=0.0;
%initialise the matrix
sigmaup=zeros(n,n);
sigmadw=zeros(n,n);
sigmaud=zeros(n,n);
sigmadu=zeros(n,n);
gap=zeros(2*n,2*n,2*Ntheta+1,2*Nk+1);
size(gap);
gap_abs=zeros(2*Ntheta+1,2*Nk+1);
%full matrix initialization
comp=zeros(2*n,2*n);

for nth=1:2*Ntheta+1

for nky=1:2*Nk+1
```

```

        thetal=pi/Ntheta*(nth-Ntheta-1);
        ky = pi/Nk*(nky-Nk-1);

for q=1:1:n
for   p=1:1:n
    x=q;
    y=q;
    if p==q

        sigmaup(q,p)=-
ty*(exp(1i*ky)*exp(1i*2.0*(x)*pi/6)+exp(-1i*ky)*exp(-1i*2.0*(x)*pi/6))+(-1)^x*lamb
+A-(-1)^y*nn;
        end
    end
end

%Now off diagonal entries
for q=1:1:n
for   p=1:1:n
    if (p-q)==1
sigmaup(q,p)=-tx*cos(2*pi*gama);
        end
    end
end
for q=1:1:n
for   p=1:1:n
    if (q-p)==1
sigmaup(q,p)=-tx*cos(2*pi*gama);
        end
    end
end

%corner term
sigmaup(1,q)=-tx*cos(2*pi*gama)*exp(-1j*thetal);
sigmaup(q,1)=-tx*cos(2*pi*gama)*exp(1j*thetal);

%sigma down term
for q=1:1:n
for   p=1:1:n
    x=q;
    y=q;
    if p==q

        sigmadw(q,p)=-
ty*(exp(1i*ky)*exp(-1i*2.0*(x)*pi/6)+exp(-1i*ky)*exp(1i*2.0*(x)*pi/6))+(-1)^x*lamb
+A-(-1)^y*nn;
        end
    end
end

```

```

%Now off diagonal entries
for q=1:1:n
    for p=1:1:n
        if (p-q)==1
            sigmadw(q,p)=-tx*cos(2*pi*gama);
        end
    end
end
for q=1:1:n
    for p=1:1:n
        if (q-p)==1
            sigmadw(q,p)=-tx*cos(2*pi*gama);
        end
    end
end
%corner term
sigmadw(1,6)=-tx*cos(2*pi*gama)*exp(1j*thetal);
sigmadw(6,1)=-tx*cos(2*pi*gama)*exp(-1j*thetal);

%sigma up down
for q=1:1:n
    for p=1:1:n
        if (p-q)==1
            sigmaud(q,p)=-tx*(1j*sin(2*pi*gama));
        end
    end
end
for q=1:1:n
    for p=1:1:n
        if (q-p)==1
            sigmaud(q,p)=-tx*(-1j*sin(2*pi*gama));
        end
    end
end
%corner term
sigmaud(1,p)=-tx*(-1j*sin(2*pi*gama))*exp(-1j*thetal);
sigmaud(q,1)=-tx*(1j*sin(2*pi*gama))*exp(-1j*thetal);

%sigma down up
for q=1:1:n
    for p=1:1:n
        if (p-q)==1
            sigmadu(q,p)=-tx*(1j*sin(2*pi*gama));
        end
    end
end
for q=1:1:n
    for p=1:1:n
        if (q-p)==1

```

```

    sigmadu(q,p)=-tx*(-1j*sin(2*pi*gama));
    end
end
end

sigmadu(1,q)=-tx*(-1j*sin(2*pi*gama))*exp(1j*thetal);
sigmadu(q,1)=-tx*(1j*sin(2*pi*gama))*exp(1j*thetal);

for q=1:1:n
    for p=1:1:n
        comp(2*q,2*p)=sigmaup(q,p);
    end
end
for q=1:1:n
    for p=1:1:n
        comp(2*q-1,2*p-1)=sigmadw(q,p);
    end
end
for q=1:1:n
    for p=1:1:n
        comp(2*q,2*p-1)=sigmaud(q,p);
    end
end
for q=1:1:n
    for p=1:1:n
        comp(2*q-1,2*p)=sigmadu(q,p);
    end
end
Ekk=comp;

[vec,eval]=eig(Ekk);

[vec,eval]=sortem(vec,eval);

gap(:, :, nth, nky)=vec;

eval=diag(eval);
% plot(ky,eval,'r--o')
% hold on
gap_abs(nth, nky)=min(abs(eval));

end
end

```

Calculating the gap

```

size(gap)
gapabs=min(gap_abs);
gapabs=2.0*min(gapabs);

gap(:, :, nth, nky);

```

Calculating the Z_2 invariant using the above eigen vectors

First define the link variable according to the notes in our preprint based on the Fukui et al work Phys. Rev. B 75, 121403 (2007)

```
U1=zeros(2*Ntheta+1,2*Nk+1); % Link variable in k_x direction
```

```
for nth=1:2*Ntheta+1
```

```
    for nky=1:2*Nk+1
```

```
    %
```

```
    %    %periodic boundry conditions
```

```
        if (nth < 2*Ntheta+1)
```

```
            nthh=nth+1;
```

```
        else
```

```
            nthh=1;
```

```
        end
```

```
        %for the nky terms
```

```
%-----
```

```
    %This is for U1 matrix in the draft
```

```
%-----
```

```
g11=dot(gap(:,7,nth,nky),gap(:,7,nthh,nky));
g12=dot(gap(:,7,nth,nky),gap(:,8,nthh,nky));
g13=dot(gap(:,7,nth,nky),gap(:,9,nthh,nky));
g14=dot(gap(:,7,nth,nky),gap(:,10,nthh,nky));
g15=dot(gap(:,7,nth,nky),gap(:,11,nthh,nky));
g16=dot(gap(:,7,nth,nky),gap(:,12,nthh,nky));
```

```
g21=dot(gap(:,8,nth,nky),gap(:,7,nthh,nky));
g22=dot(gap(:,8,nth,nky),gap(:,8,nthh,nky));
g23=dot(gap(:,8,nth,nky),gap(:,9,nthh,nky));
g24=dot(gap(:,8,nth,nky),gap(:,10,nthh,nky));
g25=dot(gap(:,8,nth,nky),gap(:,11,nthh,nky));
g26=dot(gap(:,8,nth,nky),gap(:,12,nthh,nky));
```

```
g31=dot(gap(:,9,nth,nky),gap(:,7,nthh,nky));
g32=dot(gap(:,9,nth,nky),gap(:,8,nthh,nky));
g33=dot(gap(:,9,nth,nky),gap(:,9,nthh,nky));
g34=dot(gap(:,9,nth,nky),gap(:,10,nthh,nky));
g35=dot(gap(:,9,nth,nky),gap(:,11,nthh,nky));
g36=dot(gap(:,9,nth,nky),gap(:,12,nthh,nky));
```

```
g41=dot(gap(:,10,nth,nky),gap(:,7,nthh,nky));
g42=dot(gap(:,10,nth,nky),gap(:,8,nthh,nky));
g43=dot(gap(:,10,nth,nky),gap(:,9,nthh,nky));
g44=dot(gap(:,10,nth,nky),gap(:,10,nthh,nky));
g45=dot(gap(:,10,nth,nky),gap(:,11,nthh,nky));
```

```

g46=dot(gap(:,10,nth,nky),gap(:,12,nthh,nky));

g51=dot(gap(:,11,nth,nky),gap(:,7,nthh,nky));
g52=dot(gap(:,11,nth,nky),gap(:,8,nthh,nky));
g53=dot(gap(:,11,nth,nky),gap(:,9,nthh,nky));
g54=dot(gap(:,11,nth,nky),gap(:,10,nthh,nky));
g55=dot(gap(:,11,nth,nky),gap(:,11,nthh,nky));
g56=dot(gap(:,11,nth,nky),gap(:,12,nthh,nky));

g61=dot(gap(:,12,nth,nky),gap(:,7,nthh,nky));
g62=dot(gap(:,12,nth,nky),gap(:,8,nthh,nky));
g63=dot(gap(:,12,nth,nky),gap(:,9,nthh,nky));
g64=dot(gap(:,12,nth,nky),gap(:,10,nthh,nky));
g65=dot(gap(:,12,nth,nky),gap(:,11,nthh,nky));
g66=dot(gap(:,12,nth,nky),gap(:,12,nthh,nky));

S1=det([g11 g12 g13 g14 g15 g16;
        g21 g22 g23 g24 g25 g26;
        g31 g32 g33 g34 g35 g36;
        g41 g42 g43 g44 g45 g46;
        g51 g52 g53 g54 g55 g56;
        g61 g62 g63 g64 g65 g66]);

U1(nth,nky)=S1/abs(S1);

end
end

%initialising U2
U2=zeros(2*Ntheta+1,2*Nk+1);

for nth=1:2*Ntheta+1
    for nky=1:2*Nk+1
        %-----
        %for nth terms
        %-----
        % for U_2 from the Draft
        %-----

        if (nky < 2*Nk+1)
            nkyy=nky+1;
        else
            nkyy=1;
        end

        i11=dot(gap(:,7,nth,nky),gap(:,7,nth,nkyy));
        i12=dot(gap(:,7,nth,nky),gap(:,8,nth,nkyy));
        i13=dot(gap(:,7,nth,nky),gap(:,9,nth,nkyy));
        i14=dot(gap(:,7,nth,nky),gap(:,10,nth,nkyy));
        i15=dot(gap(:,7,nth,nky),gap(:,11,nth,nkyy));
        i16=dot(gap(:,7,nth,nky),gap(:,12,nth,nkyy));

```

```

        i21=dot(gap(:,8,nth,nky),gap(:,7,nth,nkyy));
        i22=dot(gap(:,8,nth,nky),gap(:,8,nth,nkyy));
        i23=dot(gap(:,8,nth,nky),gap(:,9,nth,nkyy));
        i24=dot(gap(:,8,nth,nky),gap(:,10,nth,nkyy));
        i25=dot(gap(:,8,nth,nky),gap(:,11,nth,nkyy));
        i26=dot(gap(:,8,nth,nky),gap(:,12,nth,nkyy));

        i31=dot(gap(:,9,nth,nky),gap(:,7,nth,nkyy));
        i32=dot(gap(:,9,nth,nky),gap(:,8,nth,nkyy));
        i33=dot(gap(:,9,nth,nky),gap(:,9,nth,nkyy));
        i34=dot(gap(:,9,nth,nky),gap(:,10,nth,nkyy));
        i35=dot(gap(:,9,nth,nky),gap(:,11,nth,nkyy));
        i36=dot(gap(:,9,nth,nky),gap(:,12,nth,nkyy));

        i41=dot(gap(:,10,nth,nky),gap(:,7,nth,nkyy));
        i42=dot(gap(:,10,nth,nky),gap(:,8,nth,nkyy));
        i43=dot(gap(:,10,nth,nky),gap(:,9,nth,nkyy));
        i44=dot(gap(:,10,nth,nky),gap(:,10,nth,nkyy));
        i45=dot(gap(:,10,nth,nky),gap(:,11,nth,nkyy));
        i46=dot(gap(:,10,nth,nky),gap(:,12,nth,nkyy));

        i51=dot(gap(:,11,nth,nky),gap(:,7,nth,nkyy));
        i52=dot(gap(:,11,nth,nky),gap(:,8,nth,nkyy));
        i53=dot(gap(:,11,nth,nky),gap(:,9,nth,nkyy));
        i54=dot(gap(:,11,nth,nky),gap(:,10,nth,nkyy));
        i55=dot(gap(:,11,nth,nky),gap(:,11,nth,nkyy));
        i56=dot(gap(:,11,nth,nky),gap(:,12,nth,nkyy));

        i61=dot(gap(:,12,nth,nky),gap(:,7,nth,nkyy));
        i62=dot(gap(:,12,nth,nky),gap(:,8,nth,nkyy));
        i63=dot(gap(:,12,nth,nky),gap(:,9,nth,nkyy));
        i64=dot(gap(:,12,nth,nky),gap(:,10,nth,nkyy));
        i65=dot(gap(:,12,nth,nky),gap(:,11,nth,nkyy));
        i66=dot(gap(:,12,nth,nky),gap(:,12,nth,nkyy));

        S2=det([i11 i12 i13 i14 i15 i16;
                i21 i22 i23 i24 i25 i26;
                i31 i32 i33 i34 i35 i36;
                i41 i42 i43 i44 i45 i46;
                i51 i52 i53 i54 i55 i56;
                i61 i62 i63 i64 i65 i66]);

        U2(nth,nky)=S2/abs(S2);

    end
end
size(gap);

% Initialising the Field strength
F=zeros(2*Ntheta+1,2*Nk+1);
%
for nth=1:2*Ntheta+1
    for nky=1:2*Nk+1

        if (nth < 2*Ntheta+1)

```

```

        nthh=nth+1;
    else
        nthh=1;
    end

    if(nky < 2*Nk+1)
        nkyy=nky+1;
    else
        nkyy=1;
    end

    % F(nth,nky)=U1(nth,nkyy)*U2(nth,nky)
    F(nth,nky)=log(U1(nth,nky)*U2(nthh,nky)/
(U1(nth,nkyy)*U2(nth,nky)));
    end
end

mu=sum(sum(F)); %summing the strength

mu=real(mu/(4.0*pi*1j));
% We calculate the Z_2 invariant only when the system is gapped
if (gapabs > 0.01)
    mu=mu;
else
    mu=0.0;
end

```

Printing the Z₂ invariant and the gap

```

fprintf('The value of Z_2 invariant is %d\n', mu);
fprintf('The value of gap is %d\n', gapabs);

```

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