# Scalable Safety Verification of Statechart-like Programs

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### **Thanks**



# Scalability issues

- concurrency (Statecharts)
- loops (C programs)
- compositionality in safety refutations (C programs)
- ▶ inter-procedural *k*-induction proofs (C programs)

#### Outline of this talk

- state the problems, and our results
- discuss two problems in details
  - concurrency in Statecharts
  - compositionality in safety refutations (C programs)
- conclusion and prospects

# Concurrency in Statecharts

- state-transition diagrams: hierarchical & concurrent (sync)
- problem: is a particular configuration reachable?
- widely used in the industry (e.g., in designing vehicle ECUs)
- existing approaches: scheduler (sequential); busy-wait (async)
- ► **our contribution**<sup>1</sup>: effective encoding of the interleavings, in the lazy abstraction framework<sup>2</sup>
- result: an order of magnitude speed-up over existing approaches



<sup>&</sup>lt;sup>1</sup>appeared in DATE 2015, Grenoble, France

<sup>&</sup>lt;sup>2</sup>Ken McMillan, CAV 2006

# Loops in C programs

- ▶ loops pose a challenge in automated program analysis
- ▶ **problem**: does *acceleration*<sup>3</sup> (transitive-closure computation) help existing safety verification tools?
- existing approaches: several techniques that tackle loops; could be used in conjunction
- our contribution<sup>4</sup>: extensive experimental evaluation to quantify the benefits in off-the-shelf analysis tools



<sup>&</sup>lt;sup>3</sup>by Kroening et al., FM 2015 & CAV 2013

<sup>&</sup>lt;sup>4</sup>appeared in FMCAD 2015, Texas, US

# Compositional Safety Refutation

- monolithic approach (inlining) does not scale
- problem: can safety refutations be arrived at in a modular (inter-procedural) way?
- decomposition is useful, if the results may be composed
- existing approaches: assume-guarantee, function summaries
- our contribution<sup>5</sup>: developed a framework; implemented and compared three instantiations with different degrees of completeness

<sup>&</sup>lt;sup>5</sup>appeared in ATVA 2017, Pune, India

# Inter-procedural k-induction

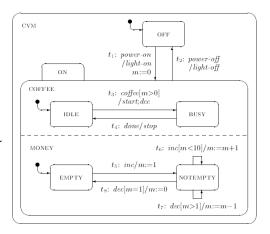
- ▶ problem: can modular refutation help in doing k-induction<sup>6</sup> inter-procedurally?
- the monolithic approach suffers from scalability issues
- existing approaches: using abstractions and invariants, assume-guarantee
- our contribution: a sound algorithmic framework for inter-procedural k-induction with selective refinement

<sup>&</sup>lt;sup>6</sup>Brain et al., SAS 2015

# **Concurrency in Statecharts**

### **Statecharts**

- states: basic, and, or
- ▶ labeled transitions (e[c]/a); "delayed" actions
- synchronous behaviour
- write-write races are possible
- no read-write races



#### The context

- ▶ **Problem**: Whether a configuration (set of states) is reachable or not?
- The popular approaches include:
  - flattening into a global transition system
  - implementing a scheduler to analyze different interleavings (e.g. Scoot)
- use an analysis tool for asynchronously composed processes (e.g. Impara) by busy-waiting

# Our approach

- translate Statecharts to multi-threaded C programs
  - to leverage existing tools for analyzing C programs
- extends Lazy Abstraction with Interpolants (LAwI) with support for synchronous concurrency

# Statecharts to multi-threaded C programs

- each component is modeled as a separate thread
- program labels for states; transitions are encoded using goto jumps from one label to another
- primed variables to model delayed writes
- ► an *environment* process flushes the writes and generates triggers randomly if the system is inactive

# An example

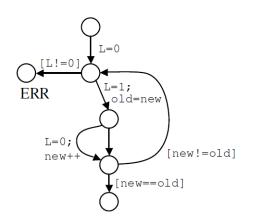
```
void coffee()
                                  void environment()
  idle:
                                    start = start';
  if(coffee && (m>0))
                                    dec = dec';
    start' = 1;
    dec' = 1;
                                    assert(!((cState == busy) &&
    cState' = busy;
                                     (mState == empty)));
    goto busy;
  else
                                    if(system is inactive)
    inactive = 1;
                                       coffee = *;
    cState' = idle;
    goto idle;
  busy:
  . . .
```

# Our approach

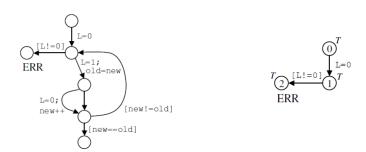
- translate Statecharts to multi-threaded C programs
- extends LAwI to support synchronous concurrency
  - incrementally constructs an abstract reachability tree
  - labels nodes (program points) with interpolants to argue unreachability
  - does not explore states that are already covered
  - when the algorithm terminates, the labels are the invariants at those program points

# LAWI algorithm

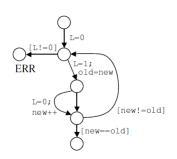
```
L = 0;
do
  assert(L == 0);
 L = 1; // lock
  old = new;
  if(*)
   L = 0; // unlock
   new++;
 while(new != old)
```

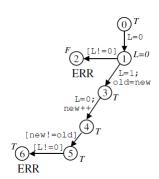


# LAwI algorithm: potential error path

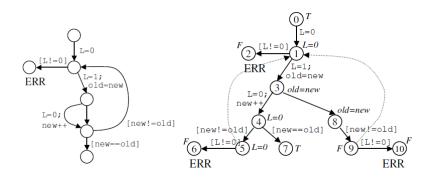


# LAwI algorithm: potential error path





# LAwI algorithm: cover



#### SYMPARA & Concurrent SSAs

- encodes the non-determinism of the schedule into the SSA (static single assignment)
- conditional assignments of the form x = b?v : v'
- concurrent SSA allows checking for races (eagerly)
- proposed a modified check for cover

# **Experiments**

- compare SYMPARA with CBMC (with k-induction) and IMPARA
- encode each example in three different ways, to suit each tool
- the executables and examples are available at http://www.cmi.ac.in/~madhukar/sympara/

# Comparing the time taken..

			Tools		
No.	Example	Property	Sympara	Impara	$C_{BMC} + k$ -Induction
			time taken (in seconds); timeout = 900 seconds		
1.	mutex	correctness	5.77	timeout	timeout
2.	mutex	stability	8.02	timeout	timeout
3.	vw_alarm	non-determinism	1.80	timeout	timeout
4.	vw_alarm	stability	214.82	timeout	timeout
5.	sc_alarm	sensitivity	1.35	8.65	2.66
6.	sc_alarm	independence	1.36	9.96	0.46
7.	dragon	correctness	0.64	timeout	timeout
8.	switch	correctness	0.02	0.39	0.12
9.	prod_cons	correctness	0.03	timeout	timeout

examples are representative of real-life instances

# Summarizing this part..

- a technique tailored for verifying synchronous reactive systems
- ANSI-C based input offers applicability to a variety of formalisms (e.g. Lustre, Esterel, Stateflow, SCADE)
- concurrent SSAs encode synchronous concurrency and enable on-the-fly race analysis
- ▶ significant performance advantage over CBMC and IMPARA

# **Compositional Safety Refutation**

#### The context

- divide & conquer approaches effective in scaling verification algorithms
- compositional approaches based on contracts and summaries
- we look at safety refutation in procedure-modular decompositions
- assumptions: non-recursive programs; loops unwound a finite number of times

# An example

```
void main(int x)
   if(x < 10)
        x = foo(x);
        x = foo(x);
        bar(x);

int foo(int y)
   return y+1;

void bar(int z)
   assert(z > 10);
```

# Entry-exit guards

```
void main(int x)
                                            T_{main}((x_0, g_{main}^{in}), (g_{main}^{out})) \equiv g_{foo_0}^{in} = (g_{main}^{in} \land (x_0 < 10)) \land
    if(x < 10)
                                                                                                         foo_0((x_0, g_{foo_0}^{in}), (x_1, g_{foo_0}^{out})) \wedge
        x = foo(x):
                                                                                                        g_{foo_1}^{in} = g_{foo_0}^{out} \wedge
        x = foo(x):
                                                                                                         foo_1((x_1, g_{foo_1}^{in}), (x_2, g_{foo_1}^{out})) \wedge
         bar(x):
                                                                                                        g_{har}^{in} = g_{foo_1}^{out} \wedge
                                                                                                         bar((x_2, g_{bar}^{in}), (g_{bar}^{out})) \wedge
                                                                                                         g_{main}^{out} = ((g_{main}^{in} \land \neg(x_0 < 10)) \lor g_{bar}^{out})
                                                                         Props main
                                                                                                        true
int foo(int y)
    return y+1;
                                                T_{foo}((y, g_{foo}^{in}), (r, g_{foo}^{out})) \equiv (r=y+1) \wedge (g_{foo}^{in}=g_{foo}^{out})
                                                                           Props_{foo} \equiv true
void bar(int z)
                                                   \begin{array}{lcl} T_{bar}((z,g_{bar}^{in}),(g_{bar}^{out})) & \equiv & g_{bar}^{out} {=} (g_{bar}^{in} \wedge (z{>}10)) \\ Props_{bar} & \equiv & g_{bar}^{in} \Rightarrow (z{>}10) \end{array}
    assert(z > 10);
```

#### To make it easier to read

```
void main(int x)
                                       T_{main}((x_0, g_0), (g_5)) \equiv g_1 = (g_0 \land (x_0 < 10)) \land
  if(x < 10)
     x = foo(x):
                                                                       foo_0((x_0,g_1),(x_1,g_2)) \wedge
                                                                       foo_1((x_1,g_2),(x_2,g_3)) \wedge
     x = foo(x):
     bar(x):
                                                                       bar((x_2, g_3), (g_4)) \wedge
                                                                       g_5 = (g_0 \land \neg(x_0 < 10) \lor g_4)
                                                   Props main
                                                                = true
                                       T_{foo}((y,g_6),(r,g_7)) \equiv (r=y+1) \land (g_6=g_7)
int foo(int y)
                                                    Props_{foo} \equiv true
  return y+1;
                                         T_{bar}((z, g_8), (g_9)) \equiv g_9 = (g_8 \land (z>10))
void bar(int z)
                                                    Props_{bar} \equiv g_8 \Rightarrow (z>10)
  assert(z > 10);
```

# Formal definition (monolithic case)

- inline every procedure call at its call site
- then to refute safety, we must show that the following is satisfiable:

$$\forall \hat{X}: \bigwedge_{j \in \mathit{CS}} g_{\mathit{f}_{\mathit{entry}}}^{\mathit{in}} \land T_{\mathit{fn}(j)}(\vec{x}_{j}^{\mathit{in}}, \vec{x}_{j}^{\mathit{out}}) \land \mathit{InlineRec}_{\mathit{fn}(j)} \land \neg \mathit{Props}_{\mathit{fn}(j)}(\vec{x}_{j})$$

- solving this is often intractable
- decompose this into smaller subformulae that are faster to solve
  - usually decomposition is over paths e.g. KLEE, UAutomizer

# Summaries and Calling Contexts

- approximate the input-output behavior of procedures
- from the callee's and caller's perspective, resp.

$$Sum_{foo}((y,g_6),(r,g_7)) = (y < max\_int \Rightarrow r > y)$$

$$ightharpoonup$$
 CallCtx<sub>foo0</sub>((x<sub>0</sub>, g<sub>1</sub>), (x<sub>1</sub>, g<sub>2</sub>)) = (g<sub>1</sub>  $\Rightarrow$  x<sub>0</sub><10)

# Modular Safety Refutation

- $\triangleright$  a summary for each procedure f while considering only fand the summaries for the procedures called in f
- start with negation of properties
- compute maximal summary and contexts that lead the program to an error state

```
for all i \in CS_f
\max Sum_f, \overbrace{CallCtx_i, \dots}: \forall X_f:
           Sum_f(\vec{X}_f^{in}, \vec{X}_f^{out}) \wedge
           \bigwedge_{i \in CS_f} CallCtx_j(\vec{x}_i^{p\_in}, \vec{x}_i^{p\_out}) \Longrightarrow (CallCtx_f(\vec{x}_f^{in}, \vec{x}_f^{out}) \vee \neg Props_f) \land
                                                                                            T_f(\vec{x}_f^{in}, \vec{x}_f^{out}) \wedge Sums_f
                                                                                                                                                                (1)
```

piece-wise calling contexts and summaries are combined disjunctively



#### We start with

```
Sum_{bar}((z, g_8), (g_9)) = \neg g_8,

CallCtx^*_{main}((x_0, g_0), (g_5)) = \neg g_5,

CallCtx_{foo}((y, g_6), (r, g_7)) = false,

CallCtx_{bar}((z, g_8), (g_9)) = false
```

 $Sum_{main}((x_0, g_0), (g_5)) = \neg g_0,$ 

 $Sum_{foo}((y, g_6), (r, g_7)) = \neg g_6,$ 

```
T_{main}((x_0, g_0), (g_5))
                                       g_1 = (g_0 \land (x_0 < 10)) \land
                                       foo_0((x_0, g_1), (x_1, g_2)) \wedge
                                        foo_1((x_1, g_2), (x_2, g_3)) \land
                                        bar((x_2, g_3), (g_4)) \land
                                       g_5 = (g_0 \land \neg(x_0 < 10) \lor g_4)
              Props main
                                \equiv
                                       true
T_{foo}((y, g_6), (r, g_7))
                                       (r=y+1) \land (g_6=g_7)
               Props foo
                                       true
   T_{har}((z, g_8), (g_9))
                                =
                                       g_9 = (g_8 \land (z > 10))
               Props bar
                                       g_8 \Rightarrow (z > 10)
                                =
```

```
T_{main}((x_0, g_0), (g_5))
                                                                                                    g_1 = (g_0 \land (x_0 < 10)) \land
                                                                                                    foo_0((x_0,g_1),(x_1,g_2)) \wedge
We start with
                                                                                                     foo_1((x_1, g_2), (x_2, g_3)) \wedge
                                                                                                     bar((x_2, g_3), (g_A)) \wedge
Sum_{main}((x_0, g_0), (g_5)) = \neg g_0,
                                                                                                    g_5 = (g_0 \land \neg(x_0 < 10) \lor g_4)
Sum_{foo}((y, g_6), (r, g_7)) = \neg g_6
                                                                           Props main
                                                                                                    true
Sum_{bar}((z, g_8), (g_9)) = \neg g_8
                                                             T_{foo}((y, g_6), (r, g_7))
                                                                                             \equiv (r=y+1) \land (g_6=g_7)
CallCtx_{main}^*((x_0, g_0), (g_5)) = \neg g_5,
                                                                             Props
CallCtx_{foo}((v, g_6), (r, g_7)) = false,
CallCtx_{bar}((z, g_8), (g_9)) = false
                                                                 T_{har}((z, g_8), (g_9))
                                                                                             \equiv g_9 = (g_8 \wedge (z > 10))
                                                                             Props ...
                                                                                             \equiv g_8 \Rightarrow (z>10)
```

The backward traversal begins with main. We solve (1):

$$CallCtx_{bar} = \neg g_4,$$
 $CallCtx_{foo_1} = \neg g_3,$ 
 $CallCtx_{foo_0} = \neg g_2,$ 
 $Sum_{main} = \neg g_0 \wedge \neg g_5$ 

```
 T_{main}((x_0, g_0), (g_5)) \equiv g_1 = (g_0 \land (x_0 < 10)) \land foo_0((x_0, g_1), (x_1, g_2)) \land foo_1((x_1, g_2), (x_2, g_3)) \land bar((x_2, g_3), (g_4)) \land g_5 = (g_0 \land \neg (x_0 < 10) \lor g_4) 
 Props_{main} \equiv true 
 T_{foo}((y, g_6), (r, g_7)) \equiv (r = y + 1) \land (g_6 = g_7) 
 Props_{foo} \equiv true 
 T_{bar}((z, g_8), (g_9)) \equiv g_9 = (g_8 \land (z > 10)) 
 Props_{for} \equiv g_8 \Rightarrow (z > 10)
```

$$CallCtx_{bar} = \neg g_{4},$$

$$CallCtx_{foo_{1}} = \neg g_{3},$$

$$CallCtx_{foo_{0}} = \neg g_{2},$$

$$Sum_{main} = \neg g_{0} \land \neg g_{5}$$

$$T_{main}((x_{0}, g_{0}), (g_{5})) \equiv g_{1} = (g_{0} \land (x_{0} < 10)) \land foo_{0}((x_{1}, g_{1}), (x_{2}, g_{3})) \land foo_{1}((x_{1}, g_{2}), (x_{2}, g_{3})) \land bar((x_{2}, g_{3}), (g_{4})) \land g_{5} = (g_{0} \land \neg (x_{0} < 10)) \lor g_{4})$$

$$T_{foo}((y, g_{6}), (r, g_{7})) \equiv true$$

$$T_{foo}((y, g_{6}), (r, g_{7})) \equiv (r = y + 1) \land (g_{6} = g_{7})$$

$$T_{foo}((y, g_{6}), (g_{9})) \equiv g_{9} = (g_{8} \land (z > 10))$$

$$T_{foo}(g_{9}) \equiv g_{9} = (g_{8} \land (z > 10))$$

$$T_{foo}(g_{9}) \equiv g_{9} = (g_{8} \land (z > 10))$$

Then we recur into bar with (1) instantiated as:

$$\max Sum_{bar}: \forall z, g_8, g_9: \\ Sum_{bar}((z, g_8), (g_9)) \Longrightarrow \quad (\neg g_9 \lor \neg (g_8 \Rightarrow z > 10)) \land \\ (g_9 = (g_8 \land z > 10))$$

$$CallCtx_{bar} = \neg g_{4},$$

$$CallCtx_{foo_{1}} = \neg g_{3},$$

$$CallCtx_{foo_{0}} = \neg g_{2},$$

$$Sum_{main} = \neg g_{0} \land \neg g_{5}$$

$$T_{main}((x_{0}, g_{0}), (g_{5})) \equiv g_{1} = (g_{0} \land (x_{0} < 10)) \land foo_{0}((x_{1}, g_{1}), (x_{2}, g_{3})) \land foo_{1}((x_{1}, g_{2}), (x_{2}, g_{3})) \land bar((x_{2}, g_{3}), (g_{4})) \land g_{5} = (g_{0} \land \neg (x_{0} < 10)) \lor g_{4})$$

$$T_{foo}((y, g_{6}), (r, g_{7})) \equiv true$$

$$T_{foo}((y, g_{6}), (r, g_{7})) \equiv (r = y + 1) \land (g_{6} = g_{7})$$

$$T_{props_{foo}} \equiv true$$

$$T_{bar}((z, g_{8}), (g_{9})) \equiv g_{9} = (g_{8} \land (z > 10))$$

$$Props_{bar} \equiv g_{8} \Rightarrow (z > 10)$$

Then we recur into bar with (1) instantiated as:

$$\max Sum_{bar}: \forall z, g_8, g_9: \\ Sum_{bar}((z, g_8), (g_9)) \Longrightarrow (\neg g_9 \lor \neg (g_8 \Rightarrow z > 10)) \land \\ (g_9 = (g_8 \land z > 10))$$

Hence, we get for 
$$Sum_{bar}$$
:  $(g_8 \Rightarrow \neg(z>10)) \land \neg g_9$ 

# Working on the example

- ▶ and so on ...
- we update  $CallCtx_{foo}$ , and compute  $Sum_{foo}$  to be  $(g_6 \Rightarrow \neg (r > 10) \land g_7) \land (r = y + 1)$
- ▶ and, after another invocation of the composition operator,  $Sum_{main} = (g_0 \Rightarrow \neg(x_0 > 8)) \land \neg g_5$
- ▶ hence,  $(x \le 8)$  is a (maximal) refutation witness

### The example

```
void main(int x)
                                        T_{main}((x_0, g_0), (g_5)) \equiv g_1 = (g_0 \land (x_0 < 10)) \land
  if(x < 10)
     x = foo(x):
                                                                         foo_0((x_0,g_1),(x_1,g_2)) \wedge
     x = foo(x):
                                                                         foo_1((x_1,g_2),(x_2,g_3)) \wedge
     bar(x):
                                                                         bar((x_2, g_3), (g_4)) \wedge
                                                                         g_5 = (g_0 \land \neg(x_0 < 10) \lor g_4)
                                                    Props<sub>main</sub>
                                                                        true
                                        T_{foo}((y,g_6),(r,g_7)) \equiv (r=y+1) \land (g_6=g_7)
int foo(int y)
                                                      Props_{foo} \equiv true
  return y+1;
                                          T_{bar}((z, g_8), (g_9)) \equiv g_9 = (g_8 \land (z>10))
void bar(int z)
                                                     Props_{bar} \equiv g_8 \Rightarrow (z>10)
  assert(z > 10);
```

# We prove that

#### **Theorem**

 $Sum_{f_{entry}}$  would be false in modular refutation if and only if the monolithic refutation formula is unsat.

#### Proof.

Induction on the size of call stack

# **Examples of Refutation Algorithms**

- ▶ three different instantiations of the compositional framework
  - domain used to propagate summaries and calling contexts
- concrete backward interpretation
  - a single constant value for each variable

$$egin{align*} \max {\sf Sum_{bar}} : orall z, g_8, g_9 : \ {\sf Sum_{bar}}((z,g_8),(g_9)) &\Longrightarrow & (\lnot g_9 \lor \lnot (g_8 \Rightarrow z > 10)) \land \ & (g_9 = (g_8 \land z > 10)) \ \end{bmatrix} \ \exists d : orall z, g_8, g_9 : \ & (g_8 \Rightarrow z = d) &\Longrightarrow & (\lnot g_9 \lor \lnot (g_8 \Rightarrow (z > 10))) \land \ & (g_9 = (g_8 \land z > 10)) \ \end{bmatrix} \$$

### The example

```
void main(int x)
                                        T_{main}((x_0, g_0), (g_5)) \equiv g_1 = (g_0 \land (x_0 < 10)) \land
  if(x < 10)
     x = foo(x):
                                                                         foo_0((x_0,g_1),(x_1,g_2)) \wedge
     x = foo(x):
                                                                         foo_1((x_1,g_2),(x_2,g_3)) \wedge
     bar(x):
                                                                         bar((x_2, g_3), (g_4)) \wedge
                                                                         g_5 = (g_0 \land \neg(x_0 < 10) \lor g_4)
                                                    Props<sub>main</sub>
                                                                        true
                                        T_{foo}((y,g_6),(r,g_7)) \equiv (r=y+1) \land (g_6=g_7)
int foo(int y)
                                                      Props_{foo} \equiv true
  return y+1;
                                          T_{bar}((z, g_8), (g_9)) \equiv g_9 = (g_8 \land (z>10))
void bar(int z)
                                                     Props_{bar} \equiv g_8 \Rightarrow (z>10)
  assert(z > 10);
```

# Examples of Refutation Algorithms

- three different instantiations of the compositional framework
  - domain used to propagate summaries and calling contexts
- concrete backward interpretation
  - a single constant value for each variable
- abstract backward interpretation
  - disjunction of intervals for variables

# **Examples of Refutation Algorithms**

- ▶ three different instantiations of the compositional framework
  - domain used to propagate summaries and calling contexts
- concrete backward interpretation
  - a single constant value for each variable
- abstract backward interpretation
  - disjunction of intervals for variables
- symbolic backward interpretation (weakest precondition with slicing)
  - summaries may be as big as the procedures themselves

# How does compositional refutation help

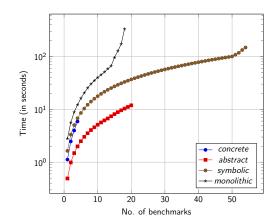
- spuriousness checks often succeed faster (without having to go all the way up to the entry function)
- summaries could be cached and reused

## **Experiments**

- implemented these techniques in 2LS (a tool built on the CPROVER framework)
- sources at https://github.com/kumarmadhukar/2ls/tree/atva17
- 265 benchmarks from SV-COMP (product-lines)
- ▶ reasonably complex 83 procedures per benchmark (on avg.)
- chose an unwinding depth of 5

#### Results

- compositional approaches faster than monolithic
- more complete techniques solve more examples
- concrete is slower (but uses SAT; can be made faster)



# Benefits of this approach

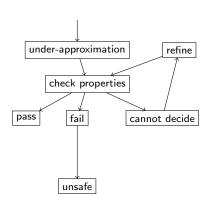
- spuriousness checks often succeed faster (without having to go all the way up to the entry function)
- summaries can be cached and reused.

# Summarizing this part..

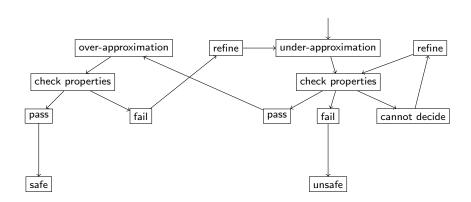
compositional refutation in procedure-modular decompositions

- the abstract technique solves as many benchmarks as the monolithic one, even with only interval domains
- semantic decompositions independent of the program's syntactic structure (future work)

### How this fits in..



#### How this fits in..



#### Conclusion

- this thesis looks at techniques that aim at exploiting source-level syntactic and/or semantic structure of the input program
- opens up some interesting directions of future work
- for example, in the modular approaches that we have explored, the division is syntactic; it would be interesting to explore semantic decompositions

Thank you!

Questions?

# ${\sf Appendix}$

# Acceleration helping invariant generation

- experimental evidence that proofs are easier to obtain
- consider, for example, the lazy abstraction with interpolants approach
- interpolants blocking all the paths in the loops may be obtained at once (due to the accelerated fragment), as compared to iterative strengthening

# Handling multiple and nested loops

- multiple and nested loops are handled with different unwindings for each loop
- we may also have a summary (or an invariant) for the inner loop and an unwinding for the outer loop
- refinement may strengthen the summary, or may add more unwindings to the loops
- ▶ we propose to do this selectively by localizing the problem found during the spuriousness check