

BEFORE THE SECURITIES AND EXCHANGE BOARD OF INDIA**CORRIGENDUM****To****[SETTLEMENT ORDER Ref No.: SO/AB/EFD2/2022-23/7162]**

1. Securities and Exchange Board of India (hereinafter referred to as “**SEBI**”), had passed a Settlement Order No. SO/AB/EFD2/2022-23/7162 dated March 08, 2023 (hereinafter referred to as ‘**Order**’) in respect of 10,980 entities in the matter of settlement applications filed under the SEBI Settlement Scheme - 2022.
2. Subsequently, it has been noted that the name of the following entities was inadvertently omitted in Annexure A of the Order:
 - i. Ms. Saroj Shamsundar Toshniwal
 - ii. Ms. Surabhi Mittal
3. It has also been noted that in Annexure A of the Order, the name of ‘SIC Stocks and Services Pvt. Ltd.’ was inadvertently included.
4. Accordingly, the following entry at Serial no. 1498 in Annexure A of the Order, which reads as:

| SR. NO. | NAME OF THE APPLICANT | PAN | SETTLEMENT AMOUNT PAID (RS.) |
|----------------|------------------------------|------------|-------------------------------------|
| 1498 | SIC STOCKS SERVICES PVT LTD | AAHCS8176P | 1,00,000 |

shall be omitted and substituted with the following:

| SR. NO. | NAME OF THE APPLICANT | PAN | SETTLEMENT AMOUNT PAID (RS.) |
|----------------|------------------------------|------------|-------------------------------------|
| 1498 | SAROJ SHAMSUNDAR TOSHNIWAL | AAKPT3678B | 1,00,000 |

5. Further, the following new entry as Serial no. 10981 shall be inserted in Annexure A of the Order:

| SR. NO. | NAME OF THE APPLICANT | PAN | SETTLEMENT AMOUNT PAID (RS.) |
|----------------|------------------------------|------------|-------------------------------------|
| 10981 | SURABHI MITTAL | AKMPM1456C | 1,00,000 |

6. Thus, para 8 of the Order shall be read as follows:

“A total of 10,981 entities availed the benefit of the Scheme and remitted the specified settlement amount respectively. The details of the applicants and the remittance made by them respectively is placed at Annexure-A.”.

7. Further, in para 9 of the Order, the words and numbers “Serial Nos. 1 to 10,980” shall be read as “Serial Nos. 1 to 10,981”.
8. The other contents of the Order shall remain unchanged.
9. The Order shall be read along with this ‘Corrigendum’.
10. A copy of this Corrigendum shall be served upon the concerned entities and published on the website of SEBI.

Date: June 05, 2023

Place: Mumbai

Sd/-
ANANTH NARAYAN G.
WHOLE TIME MEMBER

Sd/-
ASHWANI BHATIA
WHOLE TIME MEMBER