# 1

Chapter

# $\mathbb{Z}_2$ Lattice Gauge Theory

The principle of gauging symmetries has been one of the most successful paradigms in the history of physics, ranging from the theory of general relativity to the Standard Model of particle physics. Despite the introduction of redundancy, gauging of symmetries is often employed as a guiding principle for constructing physical theories. In condensed matter physics, gauge theories appear as an emergent theory of strongly interacting many-body problems, and gauge description becomes indispensible to understand confined and deconfined phases of matter [1, 2].

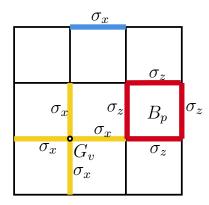
In this chapter, we will discuss the simplest gauge theory one can define on a lattice – the pure  $\mathbb{Z}_2$  lattice gauge theory (without matter fields) with the topology of a torus (periodic boundary conditions), which was discovered by Franz Wegner in 1971 [4]. We will also discuss the Wegner duality which maps the  $\mathbb{Z}_2$  gauge theory to the  $\mathbb{Z}_2$  Transverse Field Ising model (TFIM) with the *singlet constraint*. To study the phases of this dual theory, we employ the Path Integral Monte Carlo method which further maps the d-dimensional TFIM to a (d+1)-dimensional generalized classical Ising model which can be simulated using an extended version of the Metropolis algorithm.

# 1.1 Hamiltonian

The Hamiltonian of  $\mathbb{Z}_2$  gauge theory is given by

$$\hat{H}_{\mathbb{Z}_2} = -J \sum_{p} \underbrace{\prod_{\ell \in p} \sigma_{\ell}^z}_{\hat{B}_p} - h \sum_{\ell} \sigma_{\ell}^x \tag{1.1.1}$$

where the label  $\ell$  indexes the links/edges on the lattice and the label p denotes a plaquette (square face) on the lattice. As can be seen from Fig. 1.1, the  $\hat{B}_p$  term looks like a discrete curl and is the  $\mathbb{Z}_2$  analog of magnetic flux through the plaquette p. Similarly, the  $\sigma_{\ell}^x$  term is the  $\mathbb{Z}_2$  analog of electric flux. This is the simplest Hamiltonian one can construct in terms of gauge invariant  $\mathbb{Z}_2$  operators. The gauge transformation of a lattice model is



**Figure 1.1:**  $\mathbb{Z}_2$  gauge theory lattice with degrees of freedom living on the links/edges.

given by the local symmetry of the Hamiltonian. For our  $\mathbb{Z}_2$  gauge theory Hamiltonian, the gauge transformations are generated by the vertex star operator  $\hat{G}_v$ .

$$\hat{G}_v \equiv \prod_{\ell \in +} \sigma_\ell^x \tag{1.1.2}$$

By definition,  $\hat{G}_v$  is a local symmetry of the Hamiltonian.

$$[\hat{G}_v, \hat{H}] = 0, \quad \forall v. \tag{1.1.3}$$

Therefore,  $G_v$  is a conserved quantity. Furthermore, since  $G_v$  is a  $\mathbb{Z}_2$  operator, it squares to identity.

$$\hat{G_v}^2 = 1 \quad \Longrightarrow \quad G_v = \pm 1, \quad \forall v. \tag{1.1.4}$$

Different eigenvalues of  $G_v$  for a vertex v correspond to different sectors of the Hilbert space. Fixing the value of the eigenvalue  $G_v$  acts like a gauge-fixing condition. As noted earlier, the  $\sigma_\ell^x$  term acts like the electric flux analog, i.e.,  $\sigma_\ell^x \sim e^{iE_\ell}$ . This implies that  $\hat{G}_v \sim e^{i\nabla \cdot \vec{E}}$ . Therefore, the gauge-fixing condition is also commonly known as the "Gauss' law constraint". For the  $\mathbb{Z}_2$  Hamiltonian, the Gauss' law constraint can be written as

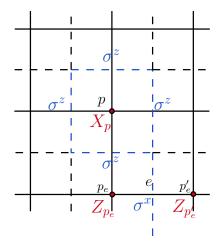
$$G_v \sim e^{i\pi\rho} = \pm 1, \quad \Longrightarrow \quad \rho \in \{0, 1\}$$
 (1.1.5)

Hence, the  $\pm 1$  eigenvalues loosely correspond to the absence or the presence of a  $\mathbb{Z}_2$  charge on the vertex v, respectively. Since we are interested in probing the low-energy subspace of our problem, we choose the charge-free sector of the Gauss' law constraint

Constraint 1: 
$$G_v = +1, \quad \forall v.$$
 (1.1.6)

Furthermore, as we are interested in probing the topological properties of this model, we invoke periodic boundary conditions in our system. The periodic boundary conditions naturally lead to another constraint between the operators

Constraint 2: 
$$\prod_{p} \hat{B}_{p} = 1. \tag{1.1.7}$$



**Figure 1.2:** Wegner duality mapping of the  $\mathbb{Z}_2$  lattice gauge theory to a  $\mathbb{Z}_2$  spin (Ising) model. The dotted lines with link gauge variables denotes the original  $\mathbb{Z}_2$  gauge theory lattice and the solid lines with vertex spin variables represents the dual lattice.

The product of  $\hat{B}_p$  over all the plaquettes creates pair products of  $\sigma^z$ , each of which square identically to 1. To study such a gauge theory with constraints is often an extremely non-linear task since a gauge theory, by definition, has redundant unphysical degrees of freedom which are difficult to track numerically.

## 1.2 Wegner duality

In the context of the  $\mathbb{Z}_2$  lattice gauge theory, we map the gauge theory to a spin model via the Wegner duality mapping for the following reasons:

- it eliminates the redundant and unphysical gauge degrees of freedom by mapping it to a spin model with a global  $\mathbb{Z}_2$  symmetry. Therefore, there are no local Gauss' law constraints.
- it maps the interacting terms in the  $\mathbb{Z}_2$  lattice gauge theory into the non-interacting terms in the  $\mathbb{Z}_2$  spin model and vice-versa, resulting in the inversion of the coupling constants.
- it realizes the same algebra between the gauge and spin degrees of freedom.

More precisely, the Wegner duality is defined by the following dual mapping:

$$\sigma_e^x = \sigma_{p_e p_e'}^x \longrightarrow Z_{p_e} Z_{p_e'}, \qquad \prod_{e \in p} \sigma_e^z = B_p \longrightarrow X_p.$$
 (1.2.1)

where  $X_p$  and  $Z_p$  are the new spin degrees of freedom on the dual lattice. Furthermore, as mentioned earlier, they satisfy the same algebra, and it is straightforward to show that

$$X_p^2 = B_p^2 = 1,$$
  $\{X_p, Z_p\} = 1,$   $[X_{p_e}, Z_{p'_e}] = 0 \text{ if } p_e \neq p'_e$  (1.2.2)

The Hilbert space on which X, Z operators act is on a set of spins placed at the centre

of the plaquettes at p. This is also shown in Fig. 1.2 where the  $\mathbb{Z}_2$  gauge theory lattice is represented by dotted lines with link degrees of freedom, and the dual lattice is represented by the solid lines with vertex degrees of freedom.

Following the same dual transformation, the dual Hamiltonian becomes

$$\hat{H} = -J\sum_{p} X_{p} - h\sum_{e} Z_{p_{e}} Z_{p'_{e}}$$
(1.2.3)

which is nothing but the transverse field Ising model (TFIM) with interchanged couplings. However, for the duality to strictly hold, the constraints must also be mapped accordingly. The Gauss' law constraint Eq. (1.1.6) of the original theory is automatically satisfied since

$$\hat{G}_v = \prod_{e \in +_v} \sigma_e^x = \prod_{\langle p_e, p_e' \rangle \in \square_v} Z_{p_e} Z_{p_e'} \equiv \mathbb{1}$$
(1.2.4)

where the last equality follows because each  $Z_{p_e}$  term appears twice. However, the constraint due to periodic boundary conditions isn't trivially satisfied and instead forces

$$\prod_{p} B_{p} = \prod_{p} X_{p} \stackrel{!}{=} \mathbb{1} \tag{1.2.5}$$

which is a constraint on the Hilbert space of the dual model, and is known as the **singlet constraint**. We thus conclude that the dual theory is a quantum Ising model (or TFIM) Eq. (1.2.3) with the singlet constraint Eq. (1.2.5).

# 1.3 Quantum to classical correspondence

The Ising model is one of the simplest spin models studied extensively in condensed matter physics. However, in the presence of a transverse field Eq. (1.2.3) (represented by the  $X_p$  operator), the Hamiltonian is not trivially diagonalizable in the Z basis  $\{|\uparrow\rangle, |\downarrow\rangle\}$  anymore. Solving this quantum problem directly is somewhat difficult. Therefore, we invoke of the principle of quantum to classical correspondence, which connects the partition functions of the a d-dimensional quantum system to a d+1-dimensional classical statistical mechanical system via a path integral approach [3]. The Path Integral Monte Carlo (PIMC) method then uses classical Monte Carlo simulations to calculate the physically relevant quantities of the quantum system.

# 1.3.1 Imposing the singlet constraint "exactly"

As noted in the previous section, the  $\mathbb{Z}_2$  gauge theory with periodic boundary conditions is dual to the singlet-Ising model with nearest neighbor interactions,

$$\hat{H} = -J\sum_{i} X_{i} - h\sum_{\langle i,j\rangle} Z_{i}Z_{j}, \qquad \prod_{i} X_{i} = 1$$

$$(1.3.1)$$

where  $H_I$  denotes the Ising interaction part, and  $H_T$  denotes the transverse field part of the Hamiltonian. Let us first note that

$$\hat{F} \equiv \prod_{i} X_{i} \implies \hat{F}^{2} = 1. \tag{1.3.2}$$

Thus, we can define the projection operator on the singlet subspace as

$$\hat{P} = \frac{1}{2}(\mathbb{1} + \hat{F}) = \frac{1}{2} \sum_{\mu' \in \{0,1\}} \hat{F}^{\mu'}$$
(1.3.3)

The partition function of the singlet-Ising model can thus be written as a trace over the singlet-basis states  $\{\hat{P} | \{\sigma\} \rangle\}$  where  $| \{\sigma\} \rangle = |\sigma_1 \sigma_2 \dots \sigma_{N_x} \rangle$  are the Z-basis product states  $\sigma_i \in \{\pm 1\}$ .

$$\mathcal{Z} = \operatorname{tr} e^{-\beta \hat{H}} = \sum_{\{\sigma\}} \langle \{\sigma\} | \hat{P} e^{-\beta \hat{H}} \hat{P} | \{\sigma\} \rangle$$
 (1.3.4)

where  $\sum_{\{\sigma\}}$  denotes a sum over all  $2^{N_x}$  different lattice configurations. Further, since  $\{\hat{P} | \{\sigma\} \}$  now form the singlet-basis, their outer products defines the singlet-identity operator  $\mathbb{1}_s$ ,

$$\mathbb{1}_{s} = \sum_{\{\sigma\}} \hat{P} |\{\sigma\}\rangle \langle \{\sigma\}| \hat{P} = \frac{1}{4} \sum_{\{\sigma\},\mu',\lambda'} \hat{F}^{\lambda'} |\{\sigma\}\rangle \langle \{\sigma\}| \hat{F}^{\mu'}$$

$$(1.3.5)$$

Since  $\hat{F}^{\mu'} = (\prod_i X_i)^{\mu'}$  flips all the spins  $\{\sigma\} \longrightarrow \{-\sigma\}$  or leaves them invariant  $\{\sigma\} \longrightarrow \{+\sigma\}$  for the cases  $\mu' = -1$  and  $\mu' = +1$ , respectively. Therefore, an alternate representation of its action on the basis states is  $\hat{F}^{\mu'} | \{\sigma\} \rangle = | \{\mu \sigma\} \rangle$  where  $\mu' = (-1)^{\mu} \Longrightarrow \mu \in \{\pm 1\}$ . The singlet-identity (ignoring the 1/4 factor) can then be rewritten as

$$\mathbb{1}_{s} = \sum_{\mu, \lambda \in \{\pm 1\}} |\{\lambda \, \sigma\}\rangle \, \langle \{\mu \, \sigma\}| \tag{1.3.6}$$

We will now begin the path integral procedure by slicing the inverse temperature into tiny pieces of width  $\Delta \tau$  such that  $\beta = N_{\tau} \Delta \tau$ . The Boltzmann exponential factor can then be written as

$$e^{-\beta \hat{H}} = \underbrace{e^{-\Delta \tau \hat{H}} e^{-\Delta \tau \hat{H}} e^{-\Delta \tau \hat{H}} \dots e^{-\Delta \tau \hat{H}}}_{N_{\tau} \text{ times}}$$
(1.3.7)

and we can insert a singlet-identity between each exponential factor. We will also drop the hats for notational convenience

$$\mathcal{Z} = \sum_{\{\sigma\}, \, \mu, \, \lambda} \langle \{\mu \, \sigma\} | e^{-\beta H} e^{-\beta H} \dots e^{-\beta H} | \{\lambda \, \sigma\} \rangle 
= \sum_{\{\sigma_0\}, \, \mu_0, \, \lambda_0} \langle \{\mu_0 \, \sigma_0\} | e^{-\beta H} \, \mathbb{1}_s \, e^{-\beta H} \, \mathbb{1}_s \dots \mathbb{1}_s \, e^{-\beta H} | \{\lambda \, \sigma_0\} \rangle 
= \left( \prod_{l=0}^{N_\tau - 1} \sum_{\{\sigma_l\}, \mu_l, \lambda_l} \right) \prod_{l=0}^{N_\tau - 1} \langle \{\mu_{l+1} \sigma_{l+1}\} | e^{-\Delta \tau H} | \{\lambda_l \sigma_l\} \rangle$$
(1.3.8)

where the  $2N_{\tau}$  objects  $\mu_l$ ,  $\lambda_l$  each run over  $\{\pm 1\}$ , with  $\mu_{N_{\tau}} = \mu_0$ ,  $\lambda_{N_{\tau}} = \lambda_0$ , and  $|\{\sigma_{N_{\tau}}\}\rangle = |\{\sigma_0\}\rangle$ . This new index l adds an additional dimension to our problem, and acts like an imaginary time index, with the matrix elements acting like infinitesimal transition amplitudes between imaginary time layers. All the calculations till here have been exact without considering any limits. We now utilize the Trotter product formula to assert that in the limit  $N_{\tau} \to \infty$  or  $\Delta \tau \to 0$ , the exponential can be decomposed as follows:

$$e^{-\Delta \tau H} = e^{-\Delta \tau (H_I + H_T)} = e^{-\Delta \tau H_I} e^{-\Delta \tau H_T} + \mathcal{O}(\Delta \tau^2)$$
(1.3.9)

and we effectively ignore the higher order terms, introducing our first approximation. This approximation gets better as  $N_{\tau}$  grows larger. Let us begin by analyzing the matrix elements in the product in Eq. (1.3.8).

$$\langle \{\mu_{l+1}\sigma_{l+1}\}|e^{-\Delta\tau H}|\{\lambda_{l}\sigma_{l}\}\rangle \approx \langle \{\mu_{l+1}\sigma_{l+1}\}|e^{-\Delta\tau H_{T}}e^{-\Delta\tau H_{I}}|\{\lambda_{l}\sigma_{l}\}\rangle$$

$$= \underbrace{\langle \{\mu_{l+1}\sigma_{l+1}\}|e^{-\Delta\tau H_{T}}|\{\lambda_{l}\sigma_{l}\}\}\rangle}_{M_{l+1,l}} e^{-\Delta\tau H_{I}[\{\mu_{l}\sigma_{l}\}]} \qquad (1.3.10)$$

where  $H_I[\{\mu_l\sigma_l\}]$  is the Ising configuration at the imaginary time layer l

$$H_{I}[\{\mu_{l}\sigma_{l}\}] = -h\sum_{i}\mu_{l}\sigma_{l}(i)\mu_{l} \cdot \sigma_{l}(i+1)$$

$$= -h\sum_{i}\sigma_{l}(i)\sigma_{l}(i+1) = H_{I}[\{\sigma_{l}\}]$$
(1.3.11)

since  $\mu_l^2 = 1$ , and  $H_I[\{\sigma_l\}]$  is a classical Ising interaction without any operators. Let us now analyze the leftover matrix element  $M_{l+1,l}$  in Eq. (1.3.10)

$$M_{l+1,l} = \langle \{\mu_{l+1}\sigma_{l+1}\} | e^{-\Delta\tau H_T} | \{\lambda_l\sigma_l\} \rangle = \langle \{\mu_{l+1}\sigma_{l+1}\} | e^{+J\Delta\tau \sum_i X_i} | \{\lambda_l\sigma_l\} \rangle$$

$$= \prod_i \langle \mu_{l+1}\sigma_{l+1}(i) | e^{J\Delta\tau X_i} | \lambda_l\sigma_l(i) \rangle$$

$$= \prod_i \langle \mu_{l+1}\sigma_{l+1}(i) | \cosh(J\Delta\tau) \mathbb{1} + \sinh(J\Delta\tau) X_i | \lambda_l\sigma_l(i) \rangle$$

$$= \prod_i C_l(i) \qquad (1.3.12)$$

The matrix element  $C_l(i)$  can further be evaluated as follows: we can write it as an arbitrary function  $C_l(i) = Ae^{K(\mu_{l+1}\lambda_l \sigma_{l+1}(i)\sigma_l(i))}$  where we don't yet know the constants A and K. However, due to the structure of the matrix element,

$$C_l(i) = \begin{cases} \cosh(J\Delta\tau), & \text{if } \mu_{l+1}\lambda_l \ \sigma_{l+1}(i)\sigma_l(i) = +1\\ \sinh(J\Delta\tau), & \text{if } \mu_{l+1}\lambda_l \ \sigma_{l+1}(i)\sigma_l(i) = -1 \end{cases}$$
(1.3.13)

From the postulated form of  $C_i(l)$  and Eq. (1.3.13), we can infer that

$$Ae^K = \cosh(J\Delta\tau), \qquad Ae^{-K} = \sinh(J\Delta\tau)$$
 (1.3.14)

By dividing and multiplying the two equalities above, we obtain the values of the constants

$$A = \sqrt{\frac{1}{2}\sinh(2J\Delta\tau)}, \qquad K = -\frac{1}{2}\ln\tanh(J\Delta\tau)$$
 (1.3.15)

Therefore, the matrix element  $M_{l+1,l}$  in Eq. (1.3.12) can be written in the following form

$$M_{l+1, l} = A^{N_x} \exp \left[ (\mu_{l+1} \lambda_l) \sum_i K \sigma_{l+1}(i) \sigma_l(i) \right]$$
 (1.3.16)

Further, we define

$$Y = h\Delta\tau \tag{1.3.17}$$

Combining eqns. (1.3.16), (1.3.11), and (1.3.8), we can write the partition function as

$$\mathcal{Z} = \left(\prod_{l=0}^{N_{\tau}-1} \sum_{\{\sigma_l\},\mu_l,\lambda_l}\right) e^{-S'[\sigma,\lambda,\mu]}$$
(1.3.18)

where

$$S'[\sigma, \lambda, \mu] = -\sum_{l} \left[ \mu_{l+1} \lambda_{l} \sum_{i} K \, \sigma_{l+1}(i) \, \sigma_{l}(i) \right] - \sum_{l} \left[ \sum_{i} Y \, \sigma_{l}(i) \sigma_{l}(i+1) \right]$$
(1.3.19)

The final partition function expression only contains the product  $\mu_{l+1}\lambda_l = \xi_l$ . Therefore, we can replace sum over  $\mu_l, \lambda_l \in \{\pm 1\}$  for each l with a sum over  $\xi_l \in \{\pm 1\}$ . Therefore, apart from an overall factor, the partition function looks like

$$\mathcal{Z} = \left(\prod_{l=0}^{N_{\tau}-1} \sum_{\{\sigma_l\}, \, \xi_l}\right) e^{-S'[\sigma, \, \xi]} \tag{1.3.20}$$

where

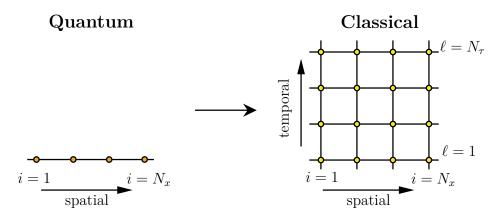
$$S'[\sigma, \xi] = -\sum_{l} \xi_{l} \underbrace{\left[\sum_{i} K \sigma_{l+1}(i) \sigma_{l}(i)\right]}_{H_{\tau}(l+1,l)} - \sum_{l} \underbrace{\left[\sum_{i} Y \sigma_{l}(i) \sigma_{l}(i+1)\right]}_{H_{I}(l)}$$

$$= -\sum_{l} \xi_{l} H_{\tau}(l+1,l) - \sum_{l} H_{I}(l)$$

$$(1.3.21)$$

If we now write down the partition function and perform a sum over  $\xi_l \in \{\pm 1\}$  in Eq. (1.3.20),

$$\mathcal{Z} = \prod_{l=0}^{N_{\tau}-1} \left[ \sum_{\{\sigma_l\}} e^{\sum_l H_I(l)} \left( \sum_{\{\xi_l \in \pm 1\}} e^{\sum_l \xi_l H_{\tau}(l+1,l)} \right) \right]$$
$$= \prod_{l=0}^{N_{\tau}-1} \left[ \sum_{\{\sigma_l\}} e^{\sum_l H_I(l)} \left( \prod_{l=0}^{N_{\tau}-1} \left( \sum_{\{\xi_l \in \pm 1\}} e^{\xi_l H_{\tau}(l+1,l)} \right) \right) \right]$$



**Figure 1.3:** Mapping of the d = 1 quantum model to a (d + 1) = (1 + 1)-dimensional classical Ising model.

$$= \prod_{l=0}^{N_{\tau}-1} \left[ \sum_{\{\sigma_{l}\}} e^{\sum_{l} H_{I}(l)} \left( \prod_{l=0}^{N_{\tau}-1} \cosh\left(H_{\tau}(l+1,l)\right) \right) \right]$$

$$= \prod_{l=0}^{N_{\tau}-1} \left[ \sum_{\{\sigma_{l}\}} e^{\sum_{l} H_{I}(l)} e^{\sum_{l} \ln \cosh\left(H_{\tau}(l+1,l)\right)} \right]$$

$$= \left( \prod_{l=0}^{N_{\tau}-1} \sum_{\{\sigma_{l}\}} \right) e^{-S[\sigma]}$$
(1.3.22)

where the effective classical action (with the singlet constraint imposed) is given by

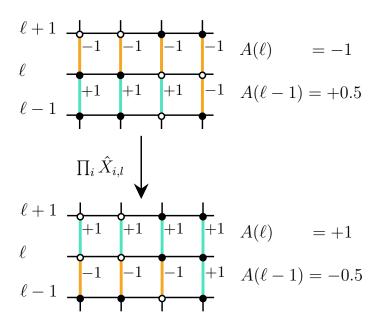
$$S[\sigma] = -Y \sum_{l} \sum_{i} \sigma_{l}(i) \, \sigma_{l}(i+1) - \sum_{l} \ln \cosh \left[ K \sum_{i} \sigma_{l+1}(i) \, \sigma_{l}(i) \right]$$
 (1.3.23)

If we start with a d-dimensional quantum Ising model, the quantum to classical correspondence maps it to a d+1-dimensional generalized classical Ising model, where the spatial interactions contains standard pairwise terms  $\sim \sigma_l(i) \, \sigma_l(i)$ , but the layer interactions are governed by a  $\sim \ln \cosh(\sigma_{l+1}(i) \, \sigma_l(i))$ . This classical model can then be simulated using the classical Metropolis Monte Carlo algorithm. For the purposes of this thesis, we will be studying the classical analog of the singlet-Ising model (Eq. (1.3.23)) in (1+1)-dimensions and the relevant physical observables using Monte Carlo simulations.

# 1.3.2 Alignment observable and the subsystem symmetry

Let us begin by looking at a particularly interesting local observable which appears inside the ln cosh term in the classical action Eq. (1.3.23). We call this observable as the alignment observable

$$A(l) \equiv \frac{1}{N_x} \sum_{i=0}^{N_x - 1} \sigma_i(l) \sigma_i(l+1) = \frac{1}{N_x} (N_a(l) - N_o(l))$$
 (1.3.24)



**Figure 1.4:** The action of the alignment flip operation  $\hat{F}(l)$  on the individual spins and the alignment observable A(l). The blue links denote an aligned pair, and the orange links denote an oppositely aligned pair. The links flip on the application of  $\hat{F}(l)$ , also flipping the value of A(l) and A(l-1).

where  $N_a$  denotes the number of aligned pairs and  $N_o$  denotes the number of oppositely aligned pairs. This observable effectively measures the *alignment* between the spins of layers l and l+1. In terms of the local alignment observables, the classical action for a (1+1)-dimensional classical model can be rewritten as

$$S[\sigma] = -Y \sum_{l=0}^{N_{\tau}-1} \sum_{i=0}^{N_{x}-1} \sigma_{l}(i) \,\sigma_{l}(i+1) - \sum_{l=0}^{N_{\tau}-1} \ln \cosh \left[ K N_{x} A(l) \right]$$
 (1.3.25)

The alignment observable transforms in a special way under a transformation, which makes it worth tracking.

Consider a transformation which flips the spins along a particular layer l. Although we aren't formally working with quantum objects right now, we can still define the transformation via a local "operator"

$$\hat{F}(l) \equiv \prod_{i=0}^{N_x - 1} \hat{X}_{i, l} \tag{1.3.26}$$

where the  $\hat{X}_{i,l}$  flips the classical spin variables  $\sigma_l(i) \longrightarrow -\sigma_l(i)$ . Since it only flips the spins along the layer l,

$$A(l) \longrightarrow \frac{1}{N_x} \sum_{i=0}^{N_x - 1} [-\sigma_i(l)] \sigma_i(l+1) = -A(l)$$

$$A(l-1) \longrightarrow \frac{1}{N_x} \sum_{i=0}^{N_x - 1} \sigma_i(l-1) [-\sigma_i(l)] = -A(l-1)$$
(1.3.27)

it flips the alignments of the layers l and l-1 (Fig. 1.4). Therefore, it's also commonly referred to as an alignment flip operation. However, the classical action (Eq. (1.3.25)) contains terms which remain agnostic under the above transformation

- Spatial interaction:  $[-\sigma_l(i)][-\sigma_l(i+1)] = \sigma_l(i) \sigma_l(i+1)$ .
- Temportal interaction:  $\ln \cosh[KN_x(-A(l))] = \ln \cosh[KN_xA(l)]$

Therefore, the action remains invariant under the alignment flip operator,

$$S[\sigma] \xrightarrow{\text{Alignment Flip } \hat{F}(l)} S[\sigma]$$
 (1.3.28)

and the alignment flip operator  $\hat{F}(l)$  is referred to as a subsystem symmetry.

This also implies that configuration space states with positive and negative values of the alignment observable would be equally probable in a stochastic simulation such as Monte Carlo. Therefore, on average,  $\langle A(l) \rangle \stackrel{!}{=} 0 \, \forall \, l$ , and this quantity can be used as a proxy for ensuring that our effective classical model is indeed simulating the singlet constrained physics of the quantum model.

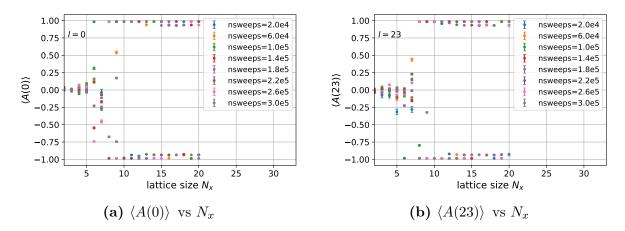
# 1.4 Naive Metropolis Monte Carlo simulations

In this section, we will discuss a direct Metropolis Monte Carlo implementation of the (1+1)d generalized classical Ising model in Eq. (1.3.25). We have already outlined the major details of the Metropolis algorithm in Chapter ??. The Metropolis algorithm only consists of spin flip proposals on the (1+1)d lattice. As we'll see later, this straightforward but naive implementation doesn't give the correct equilibration behavior and doesn't simulate the correct physics of the problem.

In quantum Monte Carlo simulations such as these, we usually keep  $\Delta \tau = 1$ , i.e.  $\beta = N_{\tau}$ , and the ground state properties of the system are studied by making the number of sites on the imaginary time axes as large as possible  $N_{\tau} \to \infty$ . As mentioned in the last section, we are particularly interested in tracking the Monte Carlo average of the alignment observables which act as an indicator of a good simulation. We perform the simulation over increasing lattice sizes  $N_x$  and an increasing number of Monte Carlo sweeps to understand the dependence on the simulation results on these parameters.

For the simulations, we use the following parameters

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spatial lattice size N_x \in \{2, 3, 4 ..., 20\} no of sampling sweeps N_{\rm samp} \in \{2.0e4, 6.0e4, 1.0e5, ..., 2.6e5, 3.0e5\} imaginary time lattice size N_{\tau} = 40, \ \Delta \tau = 1.0 coupling constants K, Y = 1.0
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**Figure 1.5:**  $\langle A(l) \rangle$  for different values of l.

## 1.4.1 Subsystem symmetry breaking

As seen in Fig. 1.5, the results show weak dependence on the number of Monte Carlo sweeps as the system always explores the same region of the configuration space, independent of the number of sweeps. However, above a certain lattice size, i.e.,  $N_{\tau} \sim 8$ , the expectation value of the alignment observable gets frozen to  $\pm 1$ . This effect is independent of the layer chosen as all layers are identical to each other, and is also verified in Fig. 1.6 by plotting the results for two different layers.

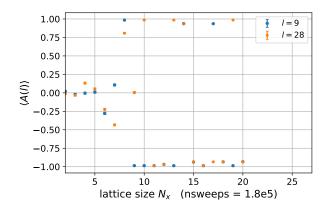


Figure 1.6:  $\langle A(l) \rangle$  vs  $N_x$  for two different layers  $l_1 = 9$ ,  $l_2 = 28$  ran over nsweeps = 1.8e5.

The freezing of the alignment value to  $\langle A(l) \rangle \neq 0$  indicates that the minima of the action  $S[\sigma]$  gets extremely deep on the opposite sides of the configuration space which leads to a high energy barrier between the A(l) = -1 and A(l) = +1 sides of the configuration space.

The breaking of this subsystem symmetry gives us the first indication that a naive implementation of the Metropolis algorithm cannot give us the correct equilibration behavior of this system.

#### 1.4.2 Autocorrelation function

Another quantity which is shown to give undesired results with the onset of subsystem symmetry breaking is the autocorrelation function of the alignment observable A(l). For the notational convenience, we briefly switch to writing A(l) as  $A_l$ . For the observable  $A_l$ , the autocorrelation function is computed as follows

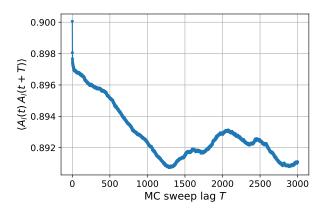
$$\operatorname{Autocorr}[A_l] = \frac{\langle A_l(k)A_l(k+T)\rangle}{\langle A_l(k)^2\rangle} = \frac{1}{N-T} \sum_{k=0}^{N-T-1} \frac{A_l(k) \cdot A_l(k+T)}{\langle A_l(k)^2\rangle}$$
(1.4.1)

where the average is taken over the first N-T measurements, i.e.

$$\langle A_l(k)^2 \rangle = \frac{1}{N-T} \sum_{k=0}^{N-T-1} [A(k)]^2$$
 (1.4.2)

One might notice that this definition of autocorrelation function looks slightly different. This is because we have forced  $\langle A_l \rangle = 0$ , since that is the general expectation when the desired subsystem symmetry is present in the system. If we don't force  $\langle A_l \rangle = 0$ , we would obtain autocorrelation values for the system oscillating in one of the minima wells, which is an undesirable quantity for us.

In the following simulation, we have set nsweeps = 2.0e4,  $N_x = 20$ ,  $N_\tau = 40$ ,  $\Delta \tau = 1.0$ , and the autocorrelations are computed for  $T \in \{1, 2, ..., 3000\}$ .



**Figure 1.7:** Autocorrelation function  $\langle A_l(k)A_l(k+T)\rangle$ .

In Fig. 1.7, we see that the autocorrelation function stays nearly constant  $\approx 0.89$  even for T=3000, which implies that autocorrelation time  $\tau\to\infty$  for the observables A(l). Hence, once the system gets stuck in one of the  $A(l)\pm 1$  minima wells, it almost never gets the chance to escape to the other side of the configuration space.

Therefore, a naive Metropolis algorithm implementation with single spin flips to govern the Monte Carlo dynamics is not sufficient to simulate our effective classical system with subsystem symmetries (which is a direct consequence of the singlet constraint).

## 1.5 Restoring the subsystem symmetry

In the previous section, we were able to conclusively arrive at the fact that single spin flip Metropolis Monte Carlo simulations are insufficient for exploring the (1+1)d generalized classical Ising model. Due to the freezing of the alignment measurements, the Markov chain describing the single spin flip Metropolis simulation is found to not be ergodic.

This type of a symmetry breaking also occurs in the classical  $\mathbb{Z}_2$  Ising model (without any ln cosh terms), where the ferromagnetic phase appears as a  $\mathbb{Z}_2$  symmetry-broken phase and describes the actual physics instead of being a problem. However, in our case, subsystem symmetry breaking is an obstacle and its preservation is crucial since we want our original quantum model to remain in the singlet sector of the Hilbert space.

## 1.5.1 Alignment flips as Metropolis proposal moves

The root cause of subsystem symmetry breaking is the inability of the standard Metropolis Monte Carlo to explore the entire configuration space. This is because the two equally accessible regions have a strong energy barrier between them, preventing states of positive (negative) alignment to tunnel to states of negative (positive) alignment.

One of the possible ways to restore this symmetry is by utilizing the special properties of the alignment flip operation Eq. (1.3.26) defined in Section 1.3.2. The alignment flip operation fixes the problem of alignment freezing by forcefully flipping the alignment of a layer, thereby introducing a new channel for connecting positively and negatively aligned regions of the configuration space which was previously inaccessible.

Another interesting feature of the alignment flip operation is that it leaves the effective classical action  $S[\sigma]$  invariant Eq. (1.3.28). As a consequence, if the alignment flip operation is introduced as a Metropolis proposal move, then it is an always accepted move since  $\Delta S = 0$  always. Therefore, we propose that the modified Metropolis algorithm is a combination of both random spin flip proposals as well as random alignment flip operations. More precisely, a single Monte Carlo sweep of this modified algorithm is defined as follows,

- $N_x N_\tau$  random spin flip proposals on the (1+1)d lattice.
- $|N_{\tau}/f|$  random alignment flips.

where  $f \in \mathbb{R}^+$  tunes the ratio of number of random alignment flips to the number of random spin flip proposals. We expect small values of  $f \sim \mathcal{O}(1)$  should be enough to restore the subsystem symmetry in our simulations.

## 1.5.2 Algorithm

We will now discuss an algorithmic implementation by including both random alignment flip and random spin flip proposals into the Monte Carlo simulation, i.e.,  $fN_x$  random spin flip proposals followed by one random alignment flip.

```
algorithm singlet-metropolis
begin
     define \sigma[N_x, N_\tau];
     initialize spins \sigma[i, l];
     define weighted alignment array \varepsilon[N_{\tau}];
     initialize \varepsilon[l] = \sum_{i} K_{i} \sigma_{i}(l+1) \sigma_{i}(l), \quad \forall l;
     // Metropolis updates
     for n \in \{1, 2, ..., N_{\text{samp}}\}
     begin
          // Single Monte Carlo step
          for m \in \{1, 2, \dots N_x \cdot N_\tau\}
          begin
               // Random spin flip proposal
               choose site (random, sequential) := (i_0, l_0);
               define \varepsilon'_{l_0}, \varepsilon'_{l_0-1};
               define \Delta S, \Delta S_x, \Delta S_t;
               \varepsilon'_{l_0-1} = \varepsilon[l_0-1] + 2K_{i_0} \cdot \sigma(i_0, l_0) \cdot \sigma(i_0, l_0-1);
               \varepsilon_{l_0}' = \varepsilon[l_0] + 2K_{i_0} \cdot \sigma(i_0, l_0) \cdot \sigma(i_0, l_0 + 1);
               \Delta S_x = 2\Delta \tau \cdot h_{i_0} \cdot \sigma(i_0, l_0) \cdot [\sigma(i_0 - 1, l_0) + \sigma(i_0 + 1, l_0)];
               \Delta S_t = \ln \left[ \cosh(\varepsilon[l_0]) / \cosh(\varepsilon'_{l_0}) \right] + \ln \left[ \cosh(\varepsilon[l_0 - 1]) / \cosh(\varepsilon'_{l_0 - 1}) \right];
               \Delta S = \Delta S_x + \Delta S_t;
               define p = \text{random number};
               if p < \exp(-\Delta S)
               begin
                    \varepsilon[l_0-1]=\varepsilon'_{l_0-1};
                    \varepsilon[l_0] = \varepsilon'_{l_0};
                    \sigma[i_0, l_0] = (-1) \cdot \sigma[i_0, l_0];
               end
               // Random alignment Flip moves
               if m \% fN_x == 0
               begin
                    choose random layer := l
                    for i \in \{1, 2, \dots N_x\}
                    begin
                         \sigma[i, l] = (-1) \cdot \sigma[i, l];
                    end
                    \varepsilon[l-1] = -\varepsilon[l-1];
                    \varepsilon[l] = -\varepsilon[l];
               end
```

 $\begin{array}{c} \text{end} \\ \text{end} \\ \end{array}$ 

We implement the above algorithm in C++ and parallelize it using the mpi4py package in Python.

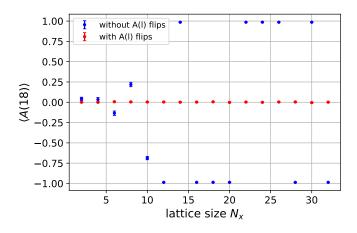
### 1.5.3 Results with alignment flips

This section reviews the results obtained from the singlet Metropolis Monte Carlo simulation with alignment and spin flip moves.

#### Simulation parameters

```
alignment flip fraction f=2.0 spatial lattice size N_x \in \{2, 4, 6 \ldots, 32\} no of sampling sweeps N_{\rm samp}=5.0{\rm e}4 imaginary time lattice size N_{\tau}=40, \ \Delta \tau=1.0 coupling constants K,Y=1.0
```

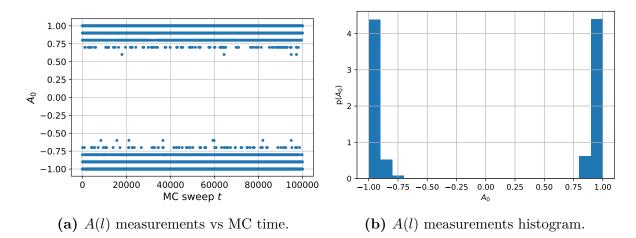
The simulations are performed both with and without alignment flips and the results of the expectation values of A(l) are contrasted in Fig. 1.8. As proposed, the introduction



**Figure 1.8:**  $\langle A(l=18) \rangle$  both with and without alignment flips for different  $N_x$ .

of alignment flip proposals to the Metropolis algorithm makes the configuration space equally accessible in both positive and negative alignment regions. This leads to the  $\langle A(l) \rangle \approx 0$  for all spatial lattice sizes, hence fixing the subsystem symmetry breaking.

This is also demonstrated in Fig. 1.9 where the Metropolis algorithm is shown to traverse both A(l) > 0 and A(l) < 0 regions of the configuration space. This verifies that ergodicity is indeed restored and the subsystem symmetry of the generalized classical Ising model is preserved.



**Figure 1.9:** Configuration space exploring both A(l) > 0 and A(l) < 0 regions with alignment flip moves.

#### 1.5.4 Autocorrelation function

Since the alignment flips fixed the breaking of subsystem symmetry and freezing of the alignment values, we can now use the standard definition of the autocorrelation function

$$\operatorname{Autocorr}[A_l] = \frac{1}{N-T} \sum_{k=0}^{N-T-1} \frac{(A_l(k) - \langle A_l \rangle) \cdot (A_l(k+T) - \langle A_l \rangle)}{\langle A_l(k)^2 \rangle}$$
(1.5.1)

where the average is taken over the first N-T measurements, i.e.

$$\langle A_l(k)^2 \rangle = \frac{1}{N-T} \sum_{k=0}^{N-T-1} [A(k)]^2$$
 (1.5.2)

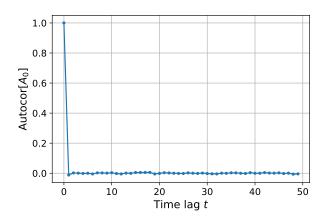


Figure 1.10: Autocorrelation function with alignment flips.

Fig. 1.10 shows that the autocorrelation function drops extremely quickly when the simulation accesses all the allowed regions of the configuration space equally. For this particular simulation, the integrated autocorrelation time was calculated to be  $\tau_{\rm int} \approx 0.529$ 

implying that the alignment measurements A(l) are highly uncorrelated in the Monte Carlo simulation.

This concludes our verification of the equilibration properties of our singlet Metropolis Monte Carlo algorithm. In the upcoming sections, we'll discuss methods to benchmark our quantum Monte Carlo implementation.

# 1.6 Benchmarking quantum Monte Carlo

Our previous results lead us to conclude that performing Metropolis Monte Carlo simulations by combining both spin flips and alignment flips keeps the subsystem symmetry of our system intact, and is a reliable way to perform calculations on the (1+1)d generalized classical Ising model, equivalent to the 1d singlet Ising model.

However, the applicability of the quantum Monte Carlo calculations can only be verified when compared with analytical or a numerical calculations done directly on the quantum model. Remember that the strength of Monte Carlo simulations lies in the fact that it can work for higher lattice sizes without an exponential overhead in the computational cost. Therefore, the Metropolis Monte Carlo results are benchmarked against direct quantum calculations only for small lattice sizes. This should serve as a check for the pertinency of the quantum Monte Carlo simulations for the singlet Ising model.

## 1.6.1 Singlet compatible operators

Any operator  $\hat{\mathcal{O}}$  defined on the singlet sector of the Hilbert space must satisfy

$$\hat{F} \,\hat{\mathcal{O}} \,\hat{F} \stackrel{!}{=} \hat{\mathcal{O}} \quad \Longrightarrow \, [\hat{F}, \,\hat{\mathcal{O}}] \stackrel{!}{=} 0$$
 (1.6.1)

where  $\hat{F} = \prod_i \hat{X}_i$  is the global flip operator as defined earlier in Eq. 1.3.2. The above constraint on the operators is a direct consequence of the singlet constraint on the singlet Hilbert space  $\mathcal{H}_s$ . Mathematically, this can be rephrased as follows - any operator  $\mathcal{O}$  defined on  $\mathcal{H}_s$  can be written in the outer product notation as

$$\hat{\mathcal{O}} = \sum_{i,j} c_{ij} \hat{P} |\{\sigma\}\rangle_i \langle\{\sigma'\}|_j \hat{P}$$
(1.6.2)

where  $\hat{P} = (\mathbb{1} + \hat{F})/2$  is the singlet projection operator. The action of  $\hat{F}$  on  $\hat{\mathcal{O}}$  from both sides gives

$$\hat{F}\,\hat{\mathcal{O}}\,\hat{F} = \sum_{ij} c_{ij} \,\underbrace{\hat{F}\,\hat{P}}_{-\hat{P}} \,|\{\sigma\}\rangle_i \,\langle\{\sigma'\}|_j \,\underbrace{\hat{P}\,\hat{F}}_{-\hat{P}} = \sum_{i,j} c_{ij}\hat{P} \,|\{\sigma\}\rangle_i \,\langle\{\sigma'\}|_j \,\hat{P} = \hat{\mathcal{O}}$$

$$(1.6.3)$$

Thus any operator on the singlet sector  $\hat{\mathcal{O}}: \mathcal{H}_s \to \mathcal{H}_s$  must satisfy (1.6.1). The two most elementary observables which satisfy this condition are  $\hat{X}_i$  and  $\hat{Z}_i\hat{Z}_j$ . Such

singlet-compatible quantum operators can then be mapped to their corresponding classical analogs.

## 1.6.2 Mapping quantum operators to classical observables

As always, the Hamiltonian with the singlet constraint is

$$\hat{H} = -h\sum_{i} \hat{Z}_{i}\hat{Z}_{j} - J\sum_{i} \hat{X}_{i}, \qquad \prod_{i} X_{i} \stackrel{!}{=} \mathbb{1}$$

$$(1.6.4)$$

The quantum thermal expectation value of a singlet compatible operator  $\hat{\mathcal{O}}$  can then be written as

$$\langle \hat{\mathcal{O}} \rangle = \frac{\text{Tr}\left(e^{-\beta \hat{H}} \hat{\mathcal{O}}\right)}{\text{Tr}\left(e^{-\beta \hat{H}}\right)}$$

$$= \frac{1}{\mathcal{Z}} \sum_{\{\sigma_0\}} \sum_{\mu_0, \lambda_0 \in \{\pm 1\}} \langle \{\mu_0 \sigma_0\} | e^{-\Delta \tau \hat{H}} e^{-\Delta \tau \hat{H}} \dots e^{-\Delta \tau \hat{H}} \hat{\mathcal{O}} | \{\lambda_0 \sigma_0\} \rangle$$
(1.6.5)

where we have again traced out the operators in the singlet basis, and sliced the  $e^{-\beta \hat{H}}$  into  $N_{\tau}$  smaller pieces. Using the cyclic property of trace, we can assert that  $\hat{\mathcal{O}}$  does not have to be in the special position at the end of the operator product, therefore

$$\mathcal{Z} \cdot \langle \hat{\mathcal{O}} \rangle = \frac{1}{N_{\tau}} \left[ \sum_{\{\sigma_{0}\}, \mu_{0}, \lambda_{0}} \langle \{\mu_{0}\sigma_{0}\} | e^{-\Delta\tau \hat{H}} e^{-\Delta\tau \hat{H}} \dots e^{-\Delta\tau \hat{H}} \hat{\mathcal{O}} | \{\lambda_{0}\sigma_{0}\} \rangle \right] \\
+ \sum_{\{\sigma_{0}\}, \mu_{0}, \lambda_{0}} \langle \{\mu_{0}\sigma_{0}\} | e^{-\Delta\tau \hat{H}} e^{-\Delta\tau \hat{H}} \dots \hat{\mathcal{O}} e^{-\Delta\tau \hat{H}} | \{\lambda_{0}\sigma_{0}\} \rangle \\
+ \sum_{\{\sigma_{0}\}, \mu_{0}, \lambda_{0}} \langle \{\mu_{0}\sigma_{0}\} | e^{-\Delta\tau \hat{H}} \dots \hat{\mathcal{O}} e^{-\Delta\tau \hat{H}} e^{-\Delta\tau \hat{H}} | \{\lambda_{0}\sigma_{0}\} \rangle \\
\dots + \sum_{\{\sigma_{0}\}, \mu_{0}, \lambda_{0}} \langle \{\mu_{0}\sigma_{0}\} | \hat{\mathcal{O}} e^{-\Delta\tau \hat{H}} e^{-\Delta\tau \hat{H}} \dots e^{-\Delta\tau \hat{H}} | \{\lambda_{0}\sigma_{0}\} \rangle \right] \tag{1.6.6}$$

Defining  $\mu_{N_{\tau}} = \mu_0, \lambda_{N_{\tau}} = \lambda_0$ , and  $\{\sigma_{N_{\tau}}\} = \{\sigma_0\}$ , and inserting the singlet identity

$$\mathbb{1}_{s} = \sum_{\{\sigma_{l}\},\mu_{l},\lambda_{l}} |\{\lambda_{l}\sigma_{l}\}\rangle \langle \{\mu_{l}\sigma_{l}\}|$$
(1.6.7)

for  $l \in \{1, 2, 3, \dots, N_{\tau} - 1\}$ , we get the following expression

$$\mathcal{Z} \cdot \langle \hat{\mathcal{O}} \rangle = \left( \prod_{l=0}^{N_{\tau}-1} \sum_{\{\sigma_{l}\}, \mu_{l}, \lambda_{l}} \right) \frac{1}{N_{\tau}} \sum_{l_{0}=0}^{N_{\tau}-1} \left[ \langle \{\mu_{l_{0}+1} \sigma_{l_{0}+1}\} | e^{-\Delta \tau \hat{H}} \hat{\mathcal{O}} | \{\lambda_{l_{0}} \sigma_{l_{0}}\} \rangle \right] 
\prod_{l \neq l_{0}} \langle \{\mu_{l+1} \sigma_{l+1}\} | e^{-\Delta \tau \hat{H}} | \{\lambda_{l} \sigma_{l}\} \rangle \right]$$
(1.6.8)

In the following subsections, we perform manipulations on Eq. (1.6.8) for operators  $\hat{X}_i$  and  $\hat{Z}_i\hat{Z}_j$  to find the analogous classical observables.

## Classical analog of $\hat{Z}_i\hat{Z}_j$

The classical analog of the  $\hat{Z}_i\hat{Z}_j$  operator is now calculated by substituting  $\hat{\mathcal{O}} = \hat{Z}_i\hat{Z}_j$  in Eq. (1.6.8).

$$\mathcal{Z} \cdot \langle \hat{Z}_{i} \hat{Z}_{j} \rangle = \left( \prod_{l=0}^{N_{\tau}-1} \sum_{\{\sigma_{l}\},\mu_{l},\lambda_{l}} \right) \frac{1}{N_{\tau}} \sum_{l_{0}=0}^{N_{\tau}-1} \left[ \langle \{\mu_{l_{0}+1}\sigma_{l_{0}+1}\} | e^{-\Delta\tau \hat{H}} \hat{Z}_{i} \hat{Z}_{j} | \{\lambda_{l_{0}}\sigma_{l_{0}}\} \rangle \right] 
= \left( \prod_{l=0}^{N_{\tau}-1} \sum_{\{\sigma_{l}\},\mu_{l},\lambda_{l}} \right) \frac{1}{N_{\tau}} \sum_{l_{0}=0}^{N_{\tau}-1} \left[ \langle \{\mu_{l_{0}+1}\sigma_{l_{0}+1}\} | e^{-\Delta\tau \hat{H}} | \{\lambda_{l_{0}}\sigma_{l_{0}}\} \rangle (\lambda_{l_{0}}\sigma_{l_{0}}^{i}) (\lambda_{l_{0}}\sigma_{l_{0}}^{j}) \right] 
= \left( \prod_{l=0}^{N_{\tau}-1} \sum_{\{\sigma_{l}\},\mu_{l},\lambda_{l}} \right) \left( \frac{1}{N_{\tau}} \sum_{l_{0}=0}^{N_{\tau}-1} \sigma_{l_{0}}^{i} \sigma_{l_{0}}^{j} \right) \left[ \prod_{l=0}^{N_{\tau}-1} \langle \{\mu_{l+1}\sigma_{l+1}\} | e^{-\Delta\tau \hat{H}} | \{\lambda_{l}\sigma_{l}\} \rangle \right]$$

$$= \left( \prod_{l=0}^{N_{\tau}-1} \sum_{\{\sigma_{l}\},\mu_{l},\lambda_{l}} \right) \left( \frac{1}{N_{\tau}} \sum_{l_{0}=0}^{N_{\tau}-1} \sigma_{l_{0}}^{i} \sigma_{l_{0}}^{j} \right) \left[ \prod_{l=0}^{N_{\tau}-1} \langle \{\mu_{l+1}\sigma_{l+1}\} | e^{-\Delta\tau \hat{H}} | \{\lambda_{l}\sigma_{l}\} \rangle \right]$$

$$= \left( \prod_{l=0}^{N_{\tau}-1} \sum_{\{\sigma_{l}\},\mu_{l},\lambda_{l}} \right) \left( \frac{1}{N_{\tau}} \sum_{l_{0}=0}^{N_{\tau}-1} \sigma_{l_{0}}^{i} \sigma_{l_{0}}^{j} \right) \left[ \prod_{l=0}^{N_{\tau}-1} \langle \{\mu_{l+1}\sigma_{l+1}\} | e^{-\Delta\tau \hat{H}} | \{\lambda_{l}\sigma_{l}\} \rangle \right]$$

$$= \left( \prod_{l=0}^{N_{\tau}-1} \sum_{\{\sigma_{l}\},\mu_{l},\lambda_{l}} \right) \left( \frac{1}{N_{\tau}} \sum_{l_{0}=0}^{N_{\tau}-1} \sigma_{l_{0}}^{i} \sigma_{l_{0}}^{j} \right) \left[ \prod_{l=0}^{N_{\tau}-1} \langle \{\mu_{l+1}\sigma_{l+1}\} | e^{-\Delta\tau \hat{H}} | \{\lambda_{l}\sigma_{l}\} \rangle \right]$$

$$= \left( \prod_{l=0}^{N_{\tau}-1} \sum_{\{\sigma_{l}\},\mu_{l},\lambda_{l}} \right) \left( \frac{1}{N_{\tau}} \sum_{l_{0}=0}^{N_{\tau}-1} \sigma_{l_{0}}^{i} \sigma_{l_{0}}^{j} \right) \left[ \prod_{l=0}^{N_{\tau}-1} \langle \{\mu_{l+1}\sigma_{l+1}\} | e^{-\Delta\tau \hat{H}} | \{\lambda_{l}\sigma_{l}\} \rangle \right]$$

$$= \left( \prod_{l=0}^{N_{\tau}-1} \sum_{\{\sigma_{l}\},\mu_{l},\lambda_{l}} \right) \left( \frac{1}{N_{\tau}} \sum_{l_{0}=0}^{N_{\tau}-1} \sigma_{l_{0}}^{i} \sigma_{l_{0}}^{j} \right) \left[ \prod_{l=0}^{N_{\tau}-1} \langle \{\mu_{l+1}\sigma_{l+1}\} | e^{-\Delta\tau \hat{H}} | \{\lambda_{l}\sigma_{l}\} \rangle \right)$$

where we have used the shorthand notation  $\sigma_l(i) \equiv \sigma_l^i$ . After performing a few manipulations on the  $\prod_l$  product of terms, and performing a sum over  $\mu_l, \lambda_l \in \{\pm 1\}$ , we obtain a similar discrete path integral as we do for the quantum to classical correspondence of the partition function, over the standard spin basis  $\{\sigma_l\}$ 

$$\langle \hat{Z}_i \hat{Z}_j \rangle = \frac{1}{\mathcal{Z}} \left( \prod_{l=0}^{N_{\tau}-1} \sum_{\{\sigma_l\}} \right) \left( \frac{1}{N_{\tau}} \sum_{l_0=0}^{N_{\tau}-1} \sigma_{l_0}^i \sigma_{l_0}^j \right) e^{-S[\sigma]}$$
 (1.6.10)

where  $S[\sigma]$  is the effective classical action of the generalized Ising model Eq. (1.3.23). Therefore, the classical observable corresponding to quantum operator  $\hat{Z}_i\hat{Z}_j$  is given by

$$O_{Z_i Z_j} = \frac{1}{N_\tau} \sum_{l=0}^{N_\tau - 1} \sigma_l^i \sigma_l^j \tag{1.6.11}$$

# Classical analog of $\hat{X}_i$

Following a similar procedure as above, we also calculate the classical observable corresponding to  $\hat{X}_i$ , although the calculation isn't as straightforward here

$$\mathcal{Z} \cdot \langle \hat{X}_{i} \rangle = \left( \prod_{l=0}^{N_{\tau}-1} \sum_{\{\sigma_{l}\}, \mu_{l}, \lambda_{l}} \right) \frac{1}{N_{\tau}} \sum_{l_{0}=0}^{N_{\tau}-1} \left[ \langle \{\mu_{l_{0}+1} \sigma_{l_{0}+1}\} | e^{-\Delta \tau \hat{H}} \hat{X}_{i} | \{\lambda_{l_{0}} \sigma_{l_{0}}\} \rangle \right] 
\prod_{l \neq l_{0}} \langle \{\mu_{l+1} \sigma_{l+1}\} | e^{-\Delta \tau \hat{H}} | \{\lambda_{l} \sigma_{l}\} \rangle \right]$$
(1.6.12)

Let us first analyze the matrix element containing the  $\hat{X}_i$ 

$$\langle \{\mu_{l_0+1}\sigma_{l_0+1}\}|e^{-\Delta\tau \hat{H}}\hat{X}_i|\{\lambda_{l_0}\sigma_{l_0}\}\rangle \approx \langle \{\mu_{l_0+1}\sigma_{l_0+1}\}|e^{-\Delta\tau \hat{H}_I}e^{-\Delta\tau \hat{H}_T}\hat{X}_i|\{\lambda_{l_0}\sigma_{l_0}\}\rangle \quad (1.6.13)$$

The above approximation is valid in the limit  $\Delta \tau \to 0$  and  $N_{\tau} \to \infty$ . If we make the Ising interaction term  $\hat{H}_I$  act on the bra at the left, we get

Eq. (1.6.13) = 
$$\langle \{\mu_{l_0+1}\sigma_{l_0+1}\}|e^{-\Delta\tau\hat{H}_T}\hat{X}_i|\{\lambda_{l_0}\sigma_{l_0}\}\rangle e^{-\Delta\tau\hat{H}_I(\{\mu_{l_0+1}\sigma_{l_0+1}\})}$$
  
=  $\langle \{\mu_{l_0+1}\sigma_{l_0+1}\}|e^{-\Delta\tau\hat{H}_T}\hat{X}_i|\{\lambda_{l_0}\sigma_{l_0}\}\rangle e^{-\Delta\tau\hat{H}_I(\{\sigma_{l_0+1}\})}$   
=  $\langle \{\mu_{l_0+1}\sigma_{l_0+1}\}|e^{-\Delta\tau\hat{H}_T}\hat{X}_i|\{\lambda_{l_0}\sigma_{l_0}\}\rangle e^{-\Delta\tau\hat{H}_I(\{\sigma_{l_0}\})}$  (1.6.14)

Ignoring the Ising term for now, we can write  $\langle \{\mu_{l_0+1}\sigma_{l_0+1}\}|e^{-\Delta\tau \hat{H}_T}\hat{X}_i|\{\lambda_{l_0}\sigma_{l_0}\}\rangle$  term as follows

$$\langle \{\mu_{l_0+1}\sigma_{l_0+1}\} | e^{-\Delta\tau \hat{H}_T} \hat{X}_i | \{\lambda_{l_0}\sigma_{l_0}\} \rangle = \langle \{\mu_{l_0+1}\sigma_{l_0+1}\} | e^{\Delta\tau \sum_j \hat{X}_j} \hat{X}_i | \{\lambda_{l_0}\sigma_{l_0}\} \rangle 
= \langle \mu_{l_0+1}\sigma_{l_0+1}^i | e^{\Delta\tau \hat{X}_i} \hat{X}_i | \lambda_{l_0}\sigma_{l_0}^i \rangle \prod_{j \neq i} \langle \mu_{l_0+1}\sigma_{l_0+1}^j | e^{\Delta\tau \hat{X}_j} | \lambda_{l_0}\sigma_{l_0}^j \rangle$$
(1.6.15)

The above outer products can be written in a simpler fashion,

$$e^{\Delta \tau J \hat{X}_{j}} = \cosh(\Delta \tau J) \mathbb{1} + \sinh(\Delta \tau J) \hat{X}_{j}$$

$$e^{\Delta \tau J \hat{X}_{i}} \hat{X}_{i} = \sinh(\Delta \tau J) \mathbb{1} + \cosh(\Delta \tau J) \hat{X}_{i}$$
(1.6.16)

Therefore, when we evaluate the matrix products Eq. (1.6.15), we get

$$\left\langle \mu_{l_0+1} \sigma_{l_0+1}^j \Big| e^{\Delta \tau \hat{X}_j} \Big| \lambda_{l_0} \sigma_{l_0}^j \right\rangle = A e^{+K \mu_{l_0+1} \lambda_{l_0} \sigma_{l_0+1}^j \sigma_{l_0}^j} 
\left\langle \mu_{l_0+1} \sigma_{l_0+1}^i \Big| e^{\Delta \tau \hat{X}_i} \hat{X}_i \Big| \lambda_{l_0} \sigma_{l_0}^i \right\rangle = A e^{-K \mu_{l_0+1} \lambda_{l_0} \sigma_{l_0+1}^j \sigma_{l_0}^j}$$
(1.6.17)

where  $A = \sqrt{\frac{1}{2}\sinh(2J\Delta\tau)}$  and  $K = \frac{1}{2}\ln\tanh(J\Delta\tau)$ . It is the  $\hat{X}$  operator that flips the sign of the factor in the exponential when j = i,  $l = l_0$ . This is key difference in the calculations when compared to  $\mathcal{Z}$ . Combining Eqs. (1.6.15) and (1.6.17), the matrix product term for the layer  $l_0$  (with  $\hat{X}_i$ ) can be simply written as

$$\langle \{\mu_{l_0+1}\sigma_{l_0+1}\} | e^{-\Delta\tau \hat{H}_T} \hat{X}_i | \{\lambda_{l_0}\sigma_{l_0}\} \rangle = A^{N_x} e^{-K(\mu_{l_0+1}\lambda_{l_0})\sigma^i_{l_0+1}\sigma^i_{l_0}} \prod_{j\neq i} e^{+K(\mu_{l_0+1}\lambda_{l_0})\sigma^j_{l_0+1}\sigma^j_{l_0}}$$

$$= A^{N_x} \exp\left(-2K(\mu_{l_0+1}\lambda_{l_0})\sigma^i_{l_0+1}\sigma^i_{l_0}\right) \exp\left(K(\mu_{l_0+1}\lambda_{l_0})\sum_{j=0}^{N_x-1} \sigma^j_{l_0+1}\sigma^j_{l_0}\right)$$
(1.6.18)

For the matrix product associated to all the other layers  $l \neq l_0$  (without  $\hat{X}_i$ ), the calculation proceeds similarly and we get

$$\langle \{\mu_{l+1}\sigma_{l+1}\}|e^{-\Delta\tau \hat{H}_T}|\{\lambda_l\sigma_l\}\rangle = A^{N_x} \exp\left(K(\mu_{l+1}\lambda_l)\sum_{j=0}^{N_x-1}\sigma_{l+1}^j\sigma_l^j\right)$$
 (1.6.19)

Combining the matrix element expressions from both Eqs. (1.6.18) and (1.6.19), we can write the expectation value sum as follows (ignoring the proportionality constants)

$$\mathcal{Z} \cdot \langle \hat{X}_{i} \rangle = \left( \prod_{l=0}^{N_{\tau}-1} \sum_{\{\sigma_{l}\}, \mu_{l}, \lambda_{l}} \right) \left( \frac{1}{N_{\tau}} \sum_{l_{0}=0}^{N_{\tau}-1} e^{-2K\mu_{l_{0}+1}\lambda_{l_{0}} \sigma_{l_{0}}^{i} \sigma_{l_{0}+1}^{i}} \right) \\
= \prod_{l=0}^{N_{\tau}-1} e^{K\mu_{l+1}\lambda_{l}} \sum_{j} \sigma_{l+1}^{j} \sigma_{l}^{j} + h \Delta \tau \sum_{j} \sigma_{l}^{j} \sigma_{l}^{j+1} \tag{1.6.20}$$

Since the expectation value  $\langle \hat{X}_i \rangle$  only depends on the product  $\mu_{l+1}\lambda_l$ , and we are summing over  $\lambda_l, \mu_{l+1} \in \{\pm 1\}$ , we can replace it by just  $\mu_l$  with the values lying  $\in \{\pm 1\}$ .

$$= \left(\prod_{l=0}^{N_{\tau}-1} \sum_{\{\sigma_{l}\}}\right) \frac{1}{N_{\tau}} \sum_{l_{0}=0}^{N_{\tau}-1} \left[ \left(\sum_{\mu_{l_{0}}} e^{K\mu_{l_{0}+1} \sum_{j} \sigma_{l_{0}+1}^{j} \sigma_{l_{0}}^{j} - 2K\mu_{l_{0}+1} \sigma_{l_{0}}^{i} \sigma_{l_{0}+1}^{i}}\right) e^{h\Delta\tau \sum_{j} \sigma_{l_{0}}^{j} \sigma_{l_{0}}^{j+1}}$$

$$\prod_{l \neq l_{0}} \sum_{\mu_{l}} e^{K\mu_{l+1} \sum_{j} \sigma_{l+1}^{j} \sigma_{l}^{j} + h\Delta\tau \sum_{j} \sigma_{l_{0}}^{j} \sigma_{l_{0}}^{j+1}} \right]$$

$$= \left(\prod_{l=0}^{N_{\tau}-1} \sum_{\{\sigma_{l}\}}\right) \frac{1}{N_{\tau}} \sum_{l_{0}=0}^{N_{\tau}-1} \left[ \cosh\left(K \sum_{j} \sigma_{l_{0}+1}^{j} \sigma_{l_{0}}^{j} - 2K\sigma_{l_{0}}^{i} \sigma_{l_{0}+1}^{i}\right) e^{h\Delta\tau \sum_{j} \sigma_{l_{0}}^{j} \sigma_{l_{0}}^{j+1}} \right]$$

$$\prod_{l \neq l_{0}} \cosh\left(K \sum_{j} \sigma_{l+1}^{j} \sigma_{l}^{j}\right) e^{h\Delta\tau \sum_{j} \sigma_{l_{0}}^{j} \sigma_{l_{0}}^{j+1}} \right]$$

$$(1.6.21)$$

Finally, we can expand the  $\cosh(x-y)$  term in Eq. (1.6.21), take out  $\cosh\left(K\sum_{j}\sigma_{l_{0}+1}^{j}\sigma_{l_{0}}^{j}\right)$   $e^{h\Delta\tau\sum_{j}\sigma_{l_{0}}^{j}\sigma_{l_{0}}^{j+1}}$  as a common factor, and restore the  $\prod_{l\neq l_{0}}$  to  $\prod_{l=0}^{N_{\tau}-1}$ . The product then converts into the usual Boltzmann factor  $e^{-S[\sigma]}$  leaving behind the classical observable,

$$\langle \hat{X}_i \rangle = \frac{1}{\mathcal{Z}} \left( \prod_{l=0}^{N_{\tau}-1} \sum_{\{\sigma_l\}} \right) \frac{1}{N_{\tau}} \sum_{l_0=0}^{N_{\tau}-1} \left( \cosh\left(2K\sigma_{l_0}^i \sigma_{l_0+1}^i\right) - \tanh\left(K\sum_j \sigma_{l_0+1}^j \sigma_{l_0}^j\right) \sinh\left(2K\sigma_{l_0}^i \sigma_{l_0+1}^i\right) \right) e^{-S[\sigma]}$$

$$(1.6.22)$$

Thus, the extracted classical observable corresponding to the quantum operator  $\hat{X}_i$  as

$$O_{X_i} = \frac{1}{N_\tau} \sum_{l=0}^{N_\tau - 1} \left[ \cosh\left(2K\sigma_l^i \sigma_{l+1}^i\right) - \tanh\left(K \sum_{j=0}^{N_x - 1} \sigma_{l+1}^j \sigma_l^j\right) \sinh\left(2K\sigma_l^i \sigma_{l+1}^i\right) \right] \quad (1.6.23)$$

Using a few trigonometric identities, it can be written compactly as

$$O_{X_i} = \frac{1}{N_{\tau}} \sum_{l=0}^{N_{\tau}-1} \left[ \frac{\cosh\left(2K\sigma_l^i \sigma_{l+1}^i - K\sum_{j=0}^{N_x-1} \sigma_{l+1}^j \sigma_l^j\right)}{\cosh\left(K\sum_{j=0}^{N_x-1} \sigma_{l+1}^j \sigma_l^j\right)} \right]$$
(1.6.24)

The central idea surrounding the benchmarking of the quantum Monte Carlo simulations involves comparing the Monte Carlo averages of classical observables  $O_{X_i}$  and  $O_{Z_iZ_j}$  against the quantum expectation values of quantum operators  $\hat{X}_i$  and  $\hat{Z}_i\hat{Z}_j$ . This is discussed in the following sections.

## 1.6.3 The two site problem

As a first check for our algorithm, we start by verifying the results for the 2 site problem i.e.  $N_x = 2$ . For the 2 site problem, we can calculate the Monte Carlo expectation values of  $O_{Z_iZ_j}$  and  $O_{X_i}$ , and compare it with the analytical expressions for the expectation values of  $\hat{Z}_i\hat{Z}_j$  and  $\hat{X}_i$  by diagonalizing the 2 × 2 Hamiltonian in the singlet basis.

## 1.6.4 2 site problem in the quantum realm

For 2 spin sites, the Hilbert space can be defined to be spanned by the singlet basis

$$\mathcal{H}_s = \operatorname{span}\left\{\frac{|\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle}{\sqrt{2}}, \frac{|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle}{\sqrt{2}}\right\}$$

We label the above states as

$$|a\rangle = \frac{|\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle}{\sqrt{2}}, \qquad |b\rangle = \frac{|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle}{\sqrt{2}}$$

The expression for the expectation value of an operator is given by

$$\langle \hat{O} \rangle = \text{Tr}\left(e^{-\beta \hat{H}}\hat{O}\right) = \frac{e^{-\beta E_0} \langle w_0 | \hat{O} | w_0 \rangle + e^{-\beta E_1} \langle w_1 | \hat{O} | w_1 \rangle}{e^{-\beta E_0} + e^{-\beta E_1}}$$

where we have evaluated the trace in the yet-unknown energy eigenbasis. To now calculate the energy eigenvalues  $E_i$  and the eigenvectors  $|w_i\rangle$ , we can diagonalize the Hamiltonian in the singlet basis representation.

$$H := \begin{pmatrix} \langle a|\hat{H}|a\rangle & \langle a|\hat{H}|b\rangle \\ \langle b|\hat{H}|a\rangle & \langle a|\hat{H}|b\rangle \end{pmatrix}$$

For  $N_x = 2$ , the quantum Hamiltonian with periodic boundary conditions just becomes

$$\hat{H} = -2h\hat{Z}_0\hat{Z}_1 - J(\hat{X}_0 + \hat{X}_1)$$

and after a bit of algebra, the matrix representation in the singlet basis comes out to be

$$H := \left( \begin{array}{cc} -2h & -2J \\ -2J & 2h \end{array} \right)$$

This results in the eigenvalues of  $\hat{H}$  as

$$\lambda_{+} = \pm 2\sqrt{h^2 + J^2}$$

If we now define  $h = B \cos \theta$  and  $J = B \sin \theta$ , then  $\lambda_{\pm} = \pm 2B$ , and the  $\hat{H}$  matrix becomes

$$H := 2B \begin{pmatrix} -\cos\theta & -\sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix}$$

Now to find the eigenvectors, say that one of them is given by

$$|w_0\rangle = u |a\rangle + v |b\rangle$$

This implies that the other eigenvector must be of the form

$$|w_1\rangle = -v|a\rangle + u|b\rangle$$

with u and  $v \in \mathbb{R}$ . So, for the eigenvector  $|w_0\rangle$  with the eigenvalue  $E_0 = -2B$ , the matrix equation looks like

$$2B \begin{pmatrix} -\cos\theta & -\sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} = -2B \begin{pmatrix} u \\ v \end{pmatrix}$$

$$2B \begin{pmatrix} -\cos\theta + 1 & -\sin\theta \\ -\sin\theta & \cos\theta + 1 \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

$$2B \begin{pmatrix} 2\sin^2(\theta/2) & -2\sin(\theta/2)\cos(\theta/2) \\ -2\sin(\theta/2)\cos(\theta/2) & 2\cos^2(\theta/2) \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

Solving for u and v, we obtain the relation

$$\sin(\theta/2)u = \cos(\theta/2)v$$

so we choose

$$u = \cos(\theta/2), \qquad v = \sin(\theta/2)$$

to make sure the eigenvectors normalize to 1.

Now, let's calculate the matrix elements  $\langle w_i | \hat{O} | w_i \rangle$  for  $\hat{O} = \hat{X}_i$ , which we'll finally use to calculate the thermal expectation value of  $\hat{X}_i$ .

$$\langle w_0 | \hat{X}_0 | w_0 \rangle = (\langle a | u + \langle b | v) \hat{X}_i(u | a \rangle + v | b \rangle)$$

$$= u^2 \langle a | \underbrace{\hat{X}_0 | a}_{|b \rangle} + uv \langle a | \underbrace{\hat{X}_0 | b}_{|a \rangle} + uv \langle b | \underbrace{\hat{X}_0 | a}_{|b \rangle} + v^2 \langle b | \underbrace{\hat{X}_0 | b}_{|a \rangle}$$

$$= u^2 \langle a | b \rangle + uv \langle a | a \rangle + uv \langle b | b \rangle + v^2 \langle b | a \rangle$$

$$= 2uv$$

$$\langle w_{1}|\hat{X}_{0}|w_{1}\rangle = (-\langle a|v + \langle b|u)\hat{X}_{i}(-v|a\rangle + u|b\rangle)$$

$$= v^{2}\langle a|\underbrace{\hat{X}_{0}|a\rangle}_{|b\rangle} - uv\langle a|\underbrace{\hat{X}_{0}|b\rangle}_{|a\rangle} - uv\langle b|\underbrace{\hat{X}_{0}|a\rangle}_{|b\rangle} + u^{2}\langle b|\underbrace{\hat{X}_{0}|b\rangle}_{|a\rangle}$$

$$= v^{2}\langle a|b\rangle - uv\langle a|a\rangle - uv\langle b|b\rangle + u^{2}\langle b|a\rangle$$

$$= -2uv$$

The calculation is exactly similar for  $\hat{X}_1$ . The expectation value for  $\hat{X}$  then evaluates to

$$\langle \hat{X} \rangle = 2uv \left( \frac{e^{2\beta B} - e^{-2\beta B}}{e^{2\beta B} + e^{-2\beta B}} \right) = 2uv \tanh(2\beta B)$$

$$\implies \left[ \langle \hat{X} \rangle = \frac{J}{B} \tanh(2\beta B) \right]$$
(1.6.25)

The calculation for  $\hat{Z}_0\hat{Z}_1$  is analogous and the matrix elements are evaluated as follows

$$\langle w_0 | \hat{Z}_0 \hat{Z}_1 | w_0 \rangle = (\langle a | u + \langle b | v) \hat{Z}_0 \hat{Z}_1 (u | a \rangle + v | b \rangle)$$

$$= u^2 \langle a | \underbrace{\hat{Z}_0 \hat{Z}_1 | a \rangle}_{|a\rangle} + uv \langle a | \underbrace{\hat{Z}_0 \hat{Z}_1 | b \rangle}_{-|b\rangle} + uv \langle b | \underbrace{\hat{Z}_0 \hat{Z}_1 | a \rangle}_{|a\rangle} + v^2 \langle b | \underbrace{\hat{Z}_0 \hat{Z}_1 | b \rangle}_{-|b\rangle}$$

$$= u^2 \langle a | a \rangle - uv \langle a | b \rangle + uv \langle b | a \rangle - v^2 \langle b | b \rangle$$

$$= u^2 - v^2$$

$$\langle w_{1}|\hat{Z}_{0}\hat{Z}_{1}|w_{1}\rangle = (-\langle a|v + \langle b|u)\hat{Z}_{0}\hat{Z}_{1}(-v|a\rangle + u|b\rangle)$$

$$= v^{2}\langle a|\underbrace{\hat{Z}_{0}\hat{Z}_{1}|a\rangle}_{|a\rangle} - uv\langle a|\underbrace{\hat{Z}_{0}\hat{Z}_{1}|b\rangle}_{-|b\rangle} - uv\langle b|\underbrace{\hat{Z}_{0}\hat{Z}_{1}|a\rangle}_{|a\rangle} + u^{2}\langle b|\underbrace{\hat{Z}_{0}\hat{Z}_{1}|b\rangle}_{-|b\rangle}$$

$$= v^{2}\langle a|a\rangle - uv\langle a|b\rangle + uv\langle b|a\rangle - u^{2}\langle b|b\rangle$$

$$= -(u^{2} - v^{2})$$

Therefore, the expectation value of  $\hat{Z}_0\hat{Z}_1$  evaluates to

$$\langle \hat{Z}_0 \hat{Z}_1 \rangle = (u^2 - v^2) \left( \frac{e^{2\beta B} - e^{-2\beta B}}{e^{2\beta B} + e^{-2\beta B}} \right) = (u^2 - v^2) \tanh(2\beta B)$$

$$\Longrightarrow \left[ \langle \hat{Z}_0 \hat{Z}_1 \rangle = \frac{h}{B} \tanh(2\beta B) \right]$$
(1.6.26)

## 1.6.5 2 site problem in the classical realm

Now that we have the analytical expressions for  $\langle \hat{X} \rangle$  and  $\langle \hat{Z}_0 \hat{Z}_1 \rangle$ , we can compare the results with  $\langle O_X \rangle$  and  $\langle O_{Z_0 Z_1} \rangle$  i.e. the expectation values of the corresponding classical observables using Monte Carlo.

The quantum-to-classical correspondence effective action for  $N_x = 2$  simplifies to

$$S = -2h\Delta\tau \sum_{l=1}^{N_\tau} \sigma_l^0 \sigma_l^1 - \sum_{l=1}^{N_\tau} \ln \cosh\left[K\left(\sigma_l^0 \sigma_{l+1}^0 + \sigma_l^1 \sigma_{l+1}^1\right)\right]$$

Thus, for a spin flip  $\sigma_{l_0}^{i_0} \to -\sigma_{l_0}^{i_0}$ , we can decompose the change in action as

$$\Delta S_x = 4h\Delta\tau\sigma_{l_0}^0\sigma_{l_0}^1$$

$$\Delta S_{\tau} = \ln \left[ \frac{\cosh \left[ K(\sigma_{l}^{0}\sigma_{l+1}^{0} + \sigma_{l}^{1}\sigma_{l+1}^{1}) \right] \cdot \cosh \left[ K(\sigma_{l}^{0}\sigma_{l-1}^{0} + \sigma_{l}^{1}\sigma_{l-1}^{1}) \right]}{\cosh \left[ K(\sigma_{l}^{0}\sigma_{l+1}^{0} - \sigma_{l}^{1}\sigma_{l+1}^{1}) \right] \cdot \cosh \left[ K(\sigma_{l}^{0}\sigma_{l-1}^{0} - \sigma_{l}^{1}\sigma_{l-1}^{1}) \right]} \right]}$$

$$\Delta S = \Delta S_{\tau} + \Delta S_{\tau}$$

As before, the relevant operators are the mappings of  $\hat{X}_i$  and  $\hat{Z}_0\hat{Z}_1$  onto classical observables  $O_X$  and  $O_{Z_0Z_1}$  respectively.

$$O_{Z_0 Z_1} = \frac{1}{N_\tau} \sum_{l=0}^{N_\tau - 1} \sigma_l^0 \sigma_l^1$$

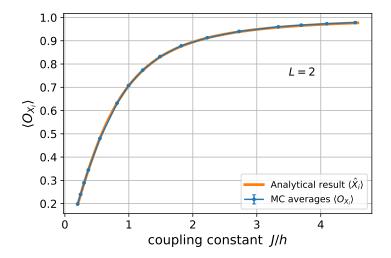
$$O_X = O_{X_0} = O_{X_1} = \frac{1}{N_\tau} \sum_{l=0}^{N_\tau - 1} \left[ \frac{\cosh\left(K\sigma_l^0 \sigma_{l+1}^0 - K\sigma_l^1 \sigma_{l+1}^1\right)}{\cosh\left(K\sigma_l^0 \sigma_{l+1}^0 + K\sigma_l^1 \sigma_{l+1}^1\right)} \right]$$

Therefore, we can now use Metropolis Monte Carlo with an acceptance probability of  $\min(1, e^{-\Delta S})$  for spin flips, combined with alignment flips. Finally, we compare the MC expectation values of  $O_X$  and  $O_{Z_0Z_1}$  with the analytical results for  $\langle \hat{X} \rangle$  and  $\langle \hat{Z}_0 \hat{Z}_1 \rangle$ .

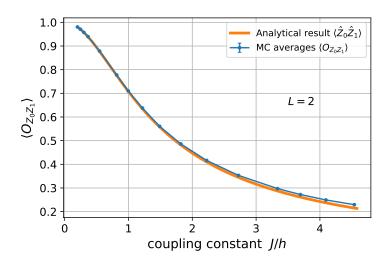
We perform the Monte Carlo simulation for  $\beta = N_{\tau} = 50$ , keeping  $\Delta \tau = 1$  and alignment flip fraction f = 1.0. However, care needs to be taken while choosing the values of J (consequently K) and h. Since the phase diagram only depends on the ratio J/h, we have the freedom of choosing the value of the parameters as large or as small as we want. To explore the system near the critical point (J/h = 1), we have to adjust the parameter values accordingly. If h is too small, then the values of K near the critical

point are too large and that results in a low acceptance rate and high trotter error. The values of K near the critical point are small if h is large, but it again results in the same problem. On top of that, having disproportionate orders of magnitude of K and h can lead to freezing of spins in one of the directions.

Therefore, we require an optimum value of the parameters K and h to explore the system near the critical point so as to minimize the trotter error and maximize the acceptance rate. Therefore, we choose h = 0.05 and vary K. The quantum critical point appears at  $K_c = 1.498$ , so we choose values of K so as to explore the system near this critical point.



(a) Monte Carlo average  $\langle O_X \rangle$  as a function of J/h.



(b) Monte Carlo average  $\langle O_{Z_0Z_1} \rangle$  as a function of J/h.

**Figure 1.11:** Comparing analytical results obtained by exactly diagonalizing the quantum Hamiltonian (d) with the numerical results obtained from performing Monte Carlo on the effective classical model (d+1).

As can be seen from Fig. 1.11, the Monte Carlo averages of the corresponding classical observables matches with the thermal expectation values of the quantum operators. At

higher values of J/h, we can see some deviations arising due to the *trotter error* despite the high MC acceptance rate.

Therefore, the algorithm made a first pass on the 2-site problem, and we expect the algorithm to work for higher values of  $N_x$  as well.

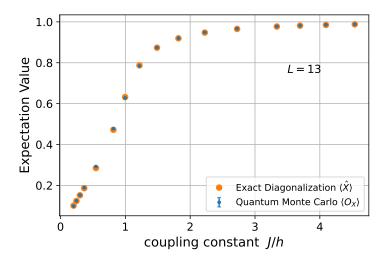
# 1.7 Comparing Monte Carlo with Exact Diagonalization

Since it is not practical to calculate the analytical expressions for operator thermal expectation values, we perform an Exact Diagonalization (ED) procedure on the quantum Hamiltonian, and calculate the thermal expectation values as

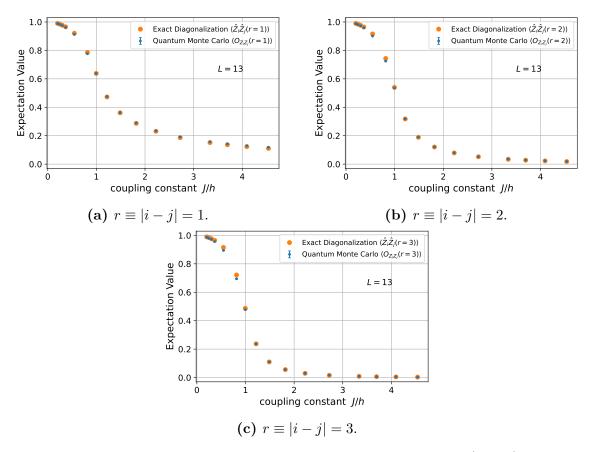
$$\langle \hat{\mathcal{O}} \rangle = \frac{1}{Z} \operatorname{Tr} \left( e^{-\beta \hat{H}} \hat{\mathcal{O}} \right) = \frac{\sum_{i} \langle E_{i} | \hat{\mathcal{O}} | E_{i} \rangle e^{-\beta E_{i}}}{\sum_{i} \langle E_{i} | E_{i} \rangle e^{-\beta E_{i}}}$$

where we calculate the trace over the energy eigenbasis. We then compare these quantum thermal expectation values with the Monte Carlo expectation values of the corresponding classical observables.

For the purposes of our model,  $N_x = 13$  is an important lattice size because that is where the subsystem symmetry starts breaking (alignment freezing), and we have to introduce alignment flips to restore ergodicity. Therefore, we compare our ED results for  $N_x = 13$  with the MC results, at  $\beta = 50$ , hence doing sufficiently low-temperature studies.



**Figure 1.12:** Comparing ED results for  $\langle \hat{X} \rangle$  with MC average  $\langle O_X \rangle$  vs. J/h.



**Figure 1.13:** Comparing ED results for  $\langle \hat{Z}_i \hat{Z}_j \rangle$  with MC average  $\langle O_{Z_i Z_j} \rangle$  vs. J/h.

As can be seen, the results from ED and MC simulations match closely, except for the slight variations in  $Z_iZ_j$  expectation values as |i-j| increases, which appear due to periodic boundary effects.

# **1.8** Simulations in the limit $\beta \to 0$

With our machinery set up, we can now attempt to simulate systems in the  $\beta < 1$  (or T > 0) range. However, on staring at the action S of the system for long enough,

$$S = -h\Delta\tau \sum_{i=0}^{N_x - 1} \sum_{l=0}^{N_\tau - 1} \sigma_l^i \sigma_l^{i+1} - \sum_{l=0}^{N_\tau - 1} \ln\cosh\left(KN_x A(l)\right)$$

we realize that for a fixed  $N_x$  and  $N_\tau$ , the action primarily depends on two parameters

$$Y \equiv h\Delta \tau, \qquad K \equiv -\frac{1}{2} \ln \tanh(J\Delta \tau)$$

We can rewrite our coupling constants in terms of the above. Therefore, we realize that keeping the same values of Y, K and  $N_{\tau}$ , we essentially end up solving an entire class of

problems (parameterized by the value of  $\Delta \tau$ ) with the following parameters

$$h = \frac{Y}{\Delta \tau}, \qquad J = \frac{\operatorname{arctanh}(e^{-2K})}{\Delta \tau}, \qquad \beta = N_{\tau} \Delta \tau.$$

Taking a concrete example, say we perform a MC simulation with  $N_{\tau} = 50$ , h = 0.05, K = 2.0, and  $\Delta \tau = 1$ . This sets Y = 0.05, K = 2.0 and  $N_{\tau} = 50$  as fixed values, and the results of this simulation are essentially valid for a class of problems with

$$h = \frac{0.05}{\Delta \tau}, \qquad J = \frac{0.18}{\Delta \tau}, \qquad \beta = 50\Delta \tau.$$

with any arbitatry  $\Delta \tau$ . If we choose  $\Delta \tau = 0.01$ , then our simulation results are valid for the set of parameters h = 5, J = 18, and  $\beta = 0.5$  (or T = 2), hence obtaining the results of a high-temperature problem from an equivalent low temperature simulation (with different values of h, J).