

Complementary Information Principle and Universal Uncertainty Regions

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A Bit of History

Physical scenario of preparational UR



A short history [see e.g. Coles-Berta-Tomamichel-Wehner'17, RMP]

- **1927, Heisenberg:** **(heuristic idea)** impossible to prepare a state such that its outcome probability distributions from the position and moment observables are both sharp.
- **1927, Kennard/ 1928, Weyl:** $\Delta(Q)\Delta(P) \geq \hbar/2$
- **1983, Deutsch:** $H(M) + H(N) \geq \text{const.}$
- **1988, Maassen-Uffink:** $H_\alpha(M) + H_\beta(N) \geq -\log c, \quad 1/\alpha + 1/\beta = 2$
- **2010, Berta-Christandl-Colbeck-Renes-Renner:** $H(M|B) + H(N|B) \geq -\log c + H(A|B)$
- **2011, Partovi/ 2013, Friedland-Gheorghiu-Gour:** $p \otimes q \prec \omega$

A Plethora of Applications

Uncertainty Relation

Determine

Nonlocality

e.g. Oppenheim, J. and Wehner, S., 2010. The uncertainty principle determines the nonlocality of quantum mechanics. *Science*, 330(6007), pp.1072-1074.

Witness

Entanglement

e.g. Hofmann, H.F. and Takeuchi, S., 2003. Violation of local uncertainty relations as a signature of entanglement. *Physical Review A*, 68(3), p.032103.

Detect

Non-Markovianity

e.g. Maity, A.G., Bhattacharya, S. and Maudamdar, A.S., 2019. Detecting non-Markovianity via uncertainty relations. *arXiv preprint arXiv:1901.02372*.

Secure

Quantum Cryptography/QKD

e.g. Ng, N.H.Y., Berta, M. and Wehner, S., 2012. Min-entropy uncertainty relation for finite-size cryptography. *Physical Review A*, 86(4), p.042315.

Certify

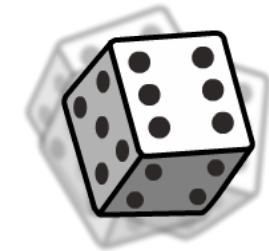
Quantum Randomness

e.g. Miller, C.A. and Shi, Y., 2016. Robust protocols for securely expanding randomness and distributing keys using untrusted quantum devices. *Journal of the ACM (JACM)*, 63(4), p.33.

Majorization as Uncertainty Measure

How to quantify “uncertainty”?

1. Standard deviation, drawback: change under relabeling;
2. Entropy, no fundamental reason which entropy to use.



Axiomatic approach (Two intuitive assumptions):

1. Uncertainty should not be changed by relabeling (permutation);
 $(0.3, 0.6, 0.1)$ v.s. $(0.1, 0.3, 0.6)$
2. Uncertainty should not be decreased by forgetting information (discarding).
 $r\mathbf{p} + (1 - r)\pi\mathbf{p}$ should be more uncertain than \mathbf{p} (or $\pi\mathbf{p}$)

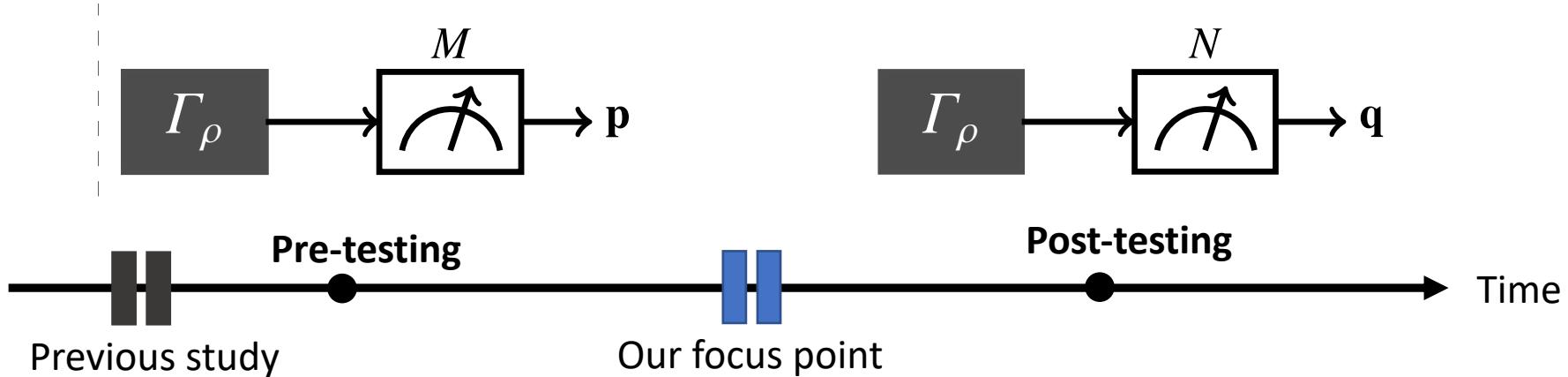
[Friedland-Gheorghiu-Gour'13]

majorization is the most natural choice of uncertainty order;

any measure of uncertainty has to preserve the partial order induced by majorization,
i.e. any Schur-concave function is a valid uncertainty measure .

$$\mathbf{x} \prec \mathbf{y} \iff \sum_{j=1}^k x_j^\downarrow \leq \sum_{j=1}^k y_j^\downarrow, \quad \forall k$$

Main Result



Question: Given the *information gain* from the pre-testing, what is the *uncertainty* of the post-testing before it is actually performed?

Complementary Information Principle

Let $M = \{|u_j\rangle\}_{j=1}^n$ and $N = \{|v_\ell\rangle\}_{\ell=1}^n$ be the measurements of pre- and post-testing respectively. If the pre-testing outcome probability is given by $\mathbf{p} = (c_j)_{j=1}^n$, then the post-testing outcome probability \mathbf{q} is bounded as $\mathbf{r} \prec \mathbf{q} \prec \mathbf{t}$.

1. \mathbf{r} and \mathbf{t} can be explicitly computed via semidefinite programs (SDPs).

\mathbf{r} : n independent SDPs of size n by n; \mathbf{t} : 2^n independent SDPs of size n by n.

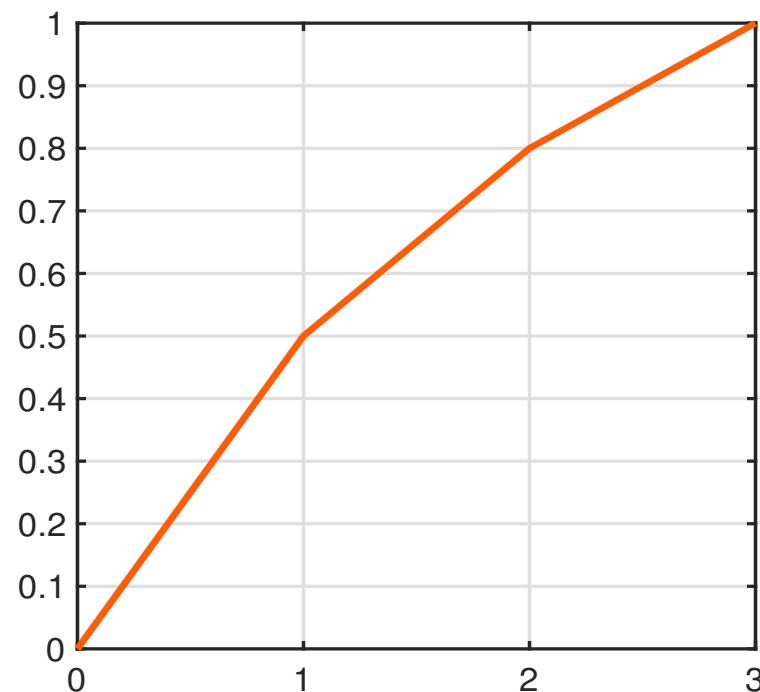
2. \mathbf{r} and \mathbf{t} are both **unique** and **tight** in majorization!

$$\mathbf{x} \prec \mathbf{q} \prec \mathbf{y} \implies \mathbf{x} \prec \mathbf{r} \prec \mathbf{q} \prec \mathbf{t} \prec \mathbf{y}$$

Lorenz Curve

$\mathbf{x} = (x_i)_{i=1}^n$ in non-increasing order **Lorenz curve** $\mathcal{L}(x) = \left\{ \left(k, \sum_{i=1}^k x_i \right) \right\}_{k=0}^n$

$\mathbf{x} = (0.5, 0.3, 0.2)$ $\mathcal{L}(\mathbf{x}) = \{(0, 0), (1, 0.5), (2, 0.8), (3, 1)\}$



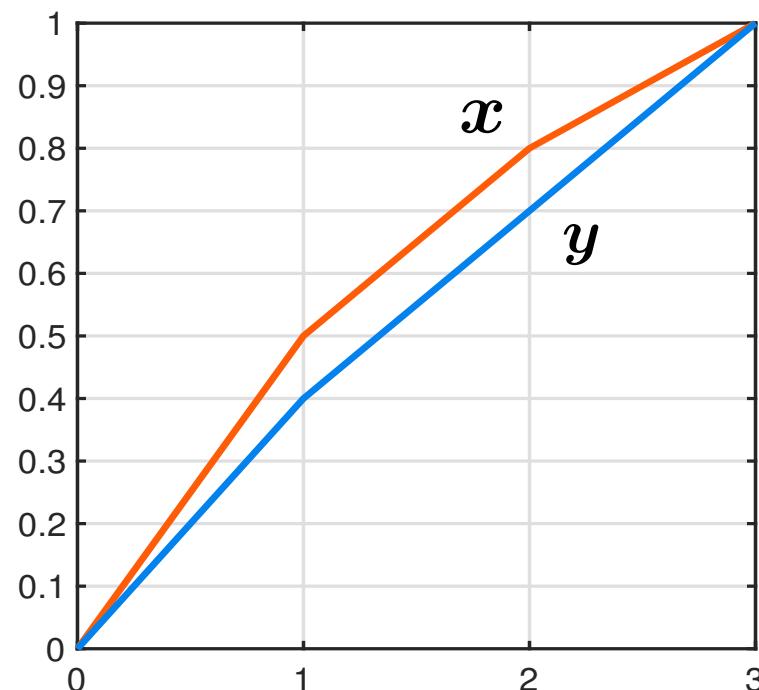
Lorenz Curve

$$x = (x_i)_{i=1}^n \text{ in non-increasing order} \quad \textbf{Lorenz curve} \quad \mathcal{L}(x) = \left\{ \left(k, \sum_{i=1}^k x_i \right) \right\}_{k=0}^n$$

$$x = (0.5, 0.3, 0.2) \quad \mathcal{L}(x) = \{(0, 0), (1, 0.5), (2, 0.8), (3, 1)\}$$

$$y = (0.4, 0.3, 0.3) \quad \mathcal{L}(y) = \{(0, 0), (1, 0.4), (2, 0.7), (3, 1)\}$$

Majorization relation $y \prec x$ if and only if $\mathcal{L}(y)$ is everywhere below $\mathcal{L}(x)$



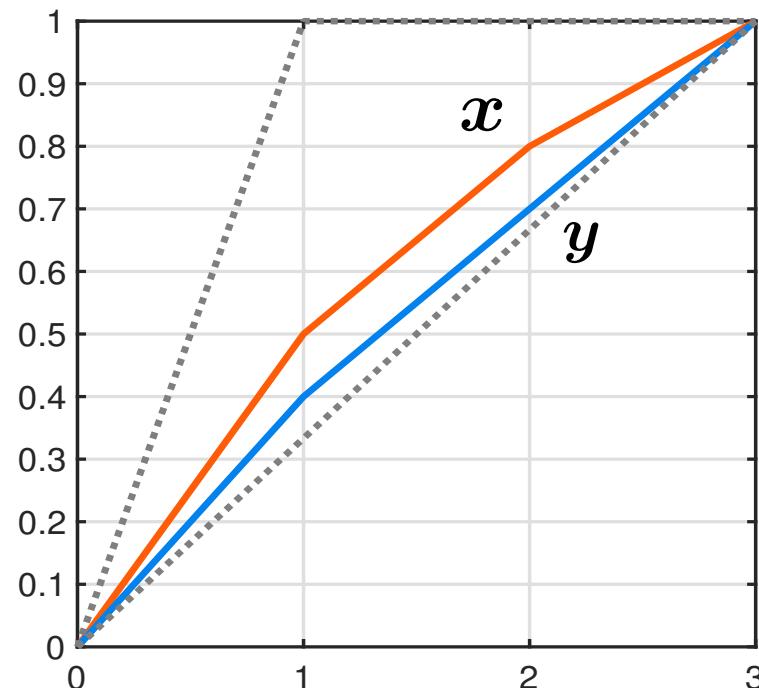
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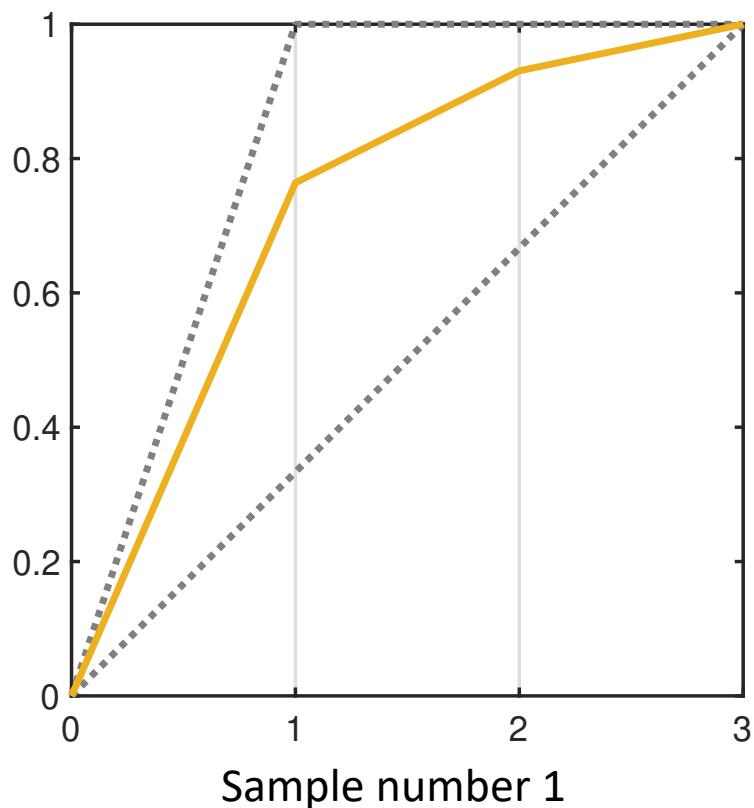
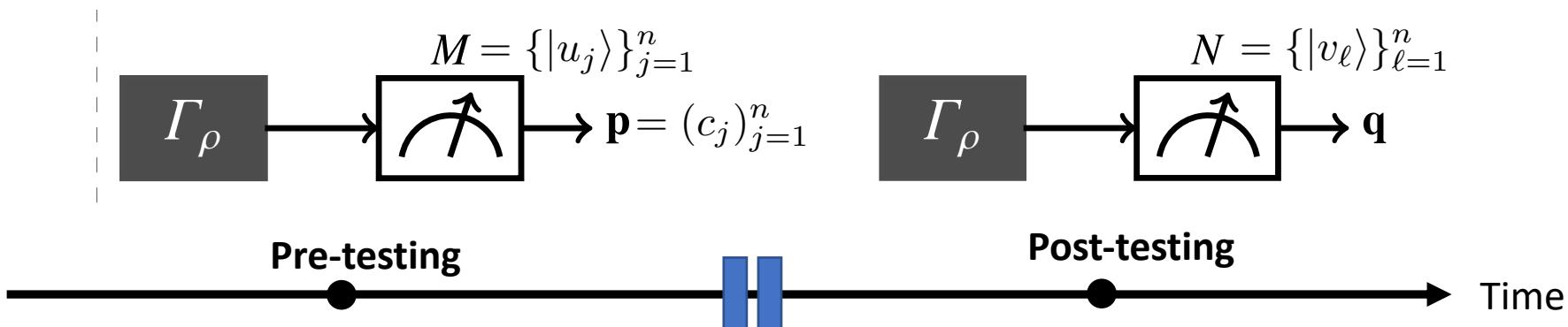
$$\mathbf{y} = (0.4, 0.3, 0.3) \quad \mathcal{L}(\mathbf{y}) = \{(0, 0), (1, 0.4), (2, 0.7), (3, 1)\}$$

Majorization relation $\mathbf{y} \prec \mathbf{x}$ if and only if $\mathcal{L}(\mathbf{y})$ is everywhere below $\mathcal{L}(\mathbf{x})$



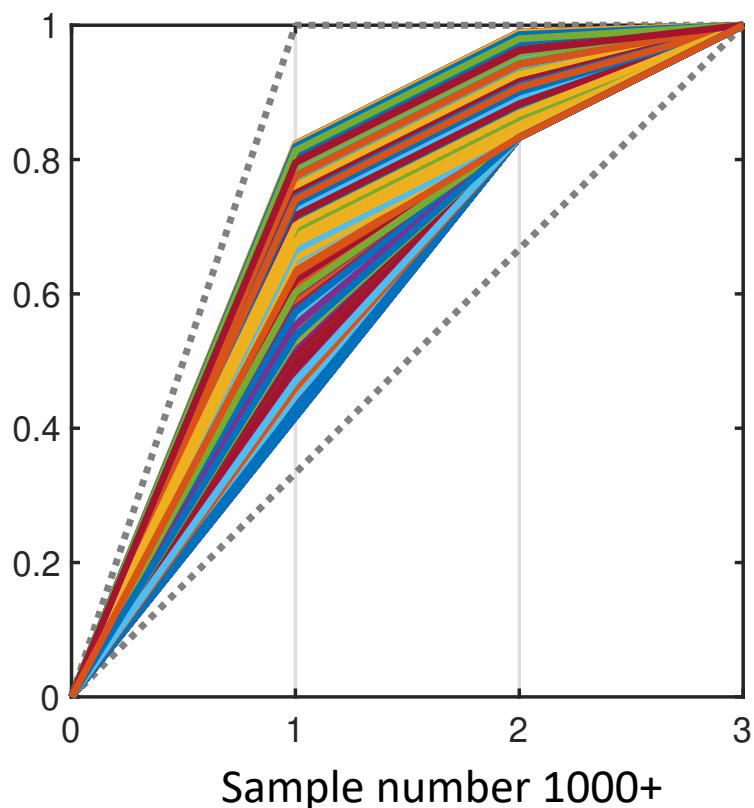
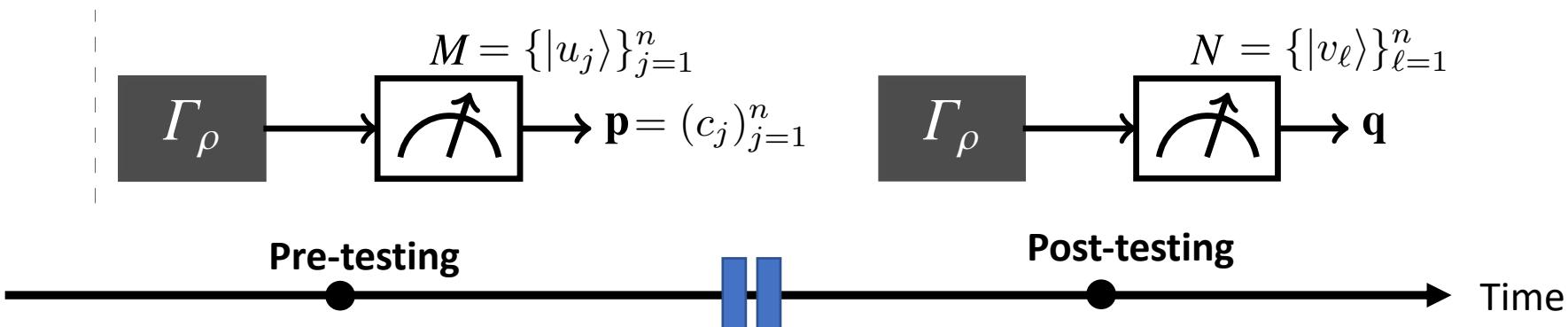
Remark:
a valid Lorenz curve is
necessarily **concave**.

Proof Intuition



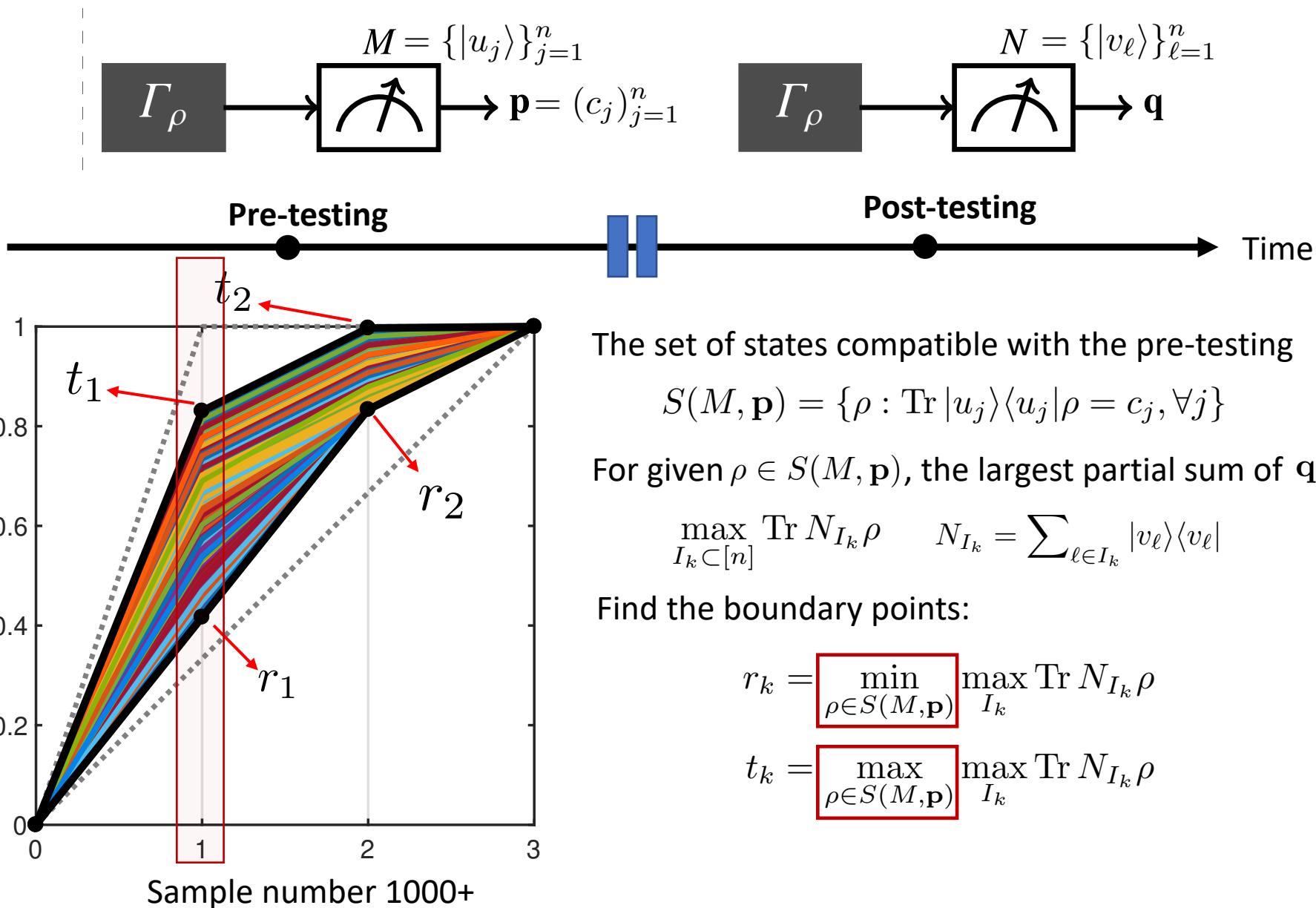
The set of states compatible with the pre-testing
 $S(M, \mathbf{p}) = \{\rho : \text{Tr } |u_j\rangle\langle u_j| \rho = c_j, \forall j\}$

Proof Intuition

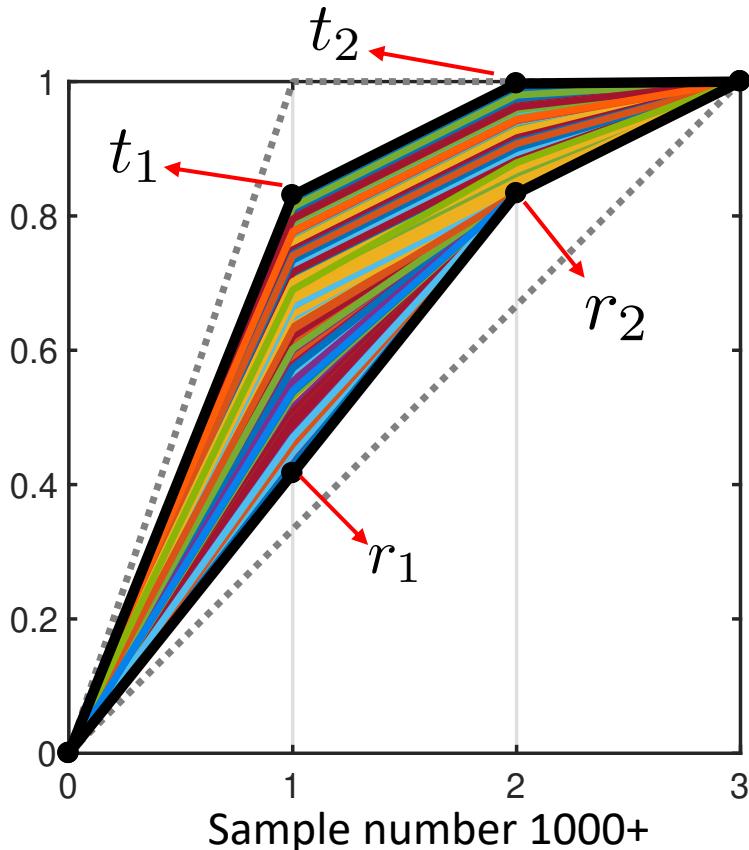


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Proof Intuition



Proof Intuition



The set of states compatible with the pre-testing

$$S(M, \mathbf{p}) = \{\rho : \text{Tr } |u_j\rangle\langle u_j| \rho = c_j, \forall j\}$$

For given $\rho \in S(M, \mathbf{p})$, the largest partial sum of \mathbf{q}

$$\max_{I_k} \text{Tr } N_{I_k} \rho \quad N_{I_k} = \sum_{\ell \in I_k} |v_\ell\rangle\langle v_\ell|$$

Find the boundary points:

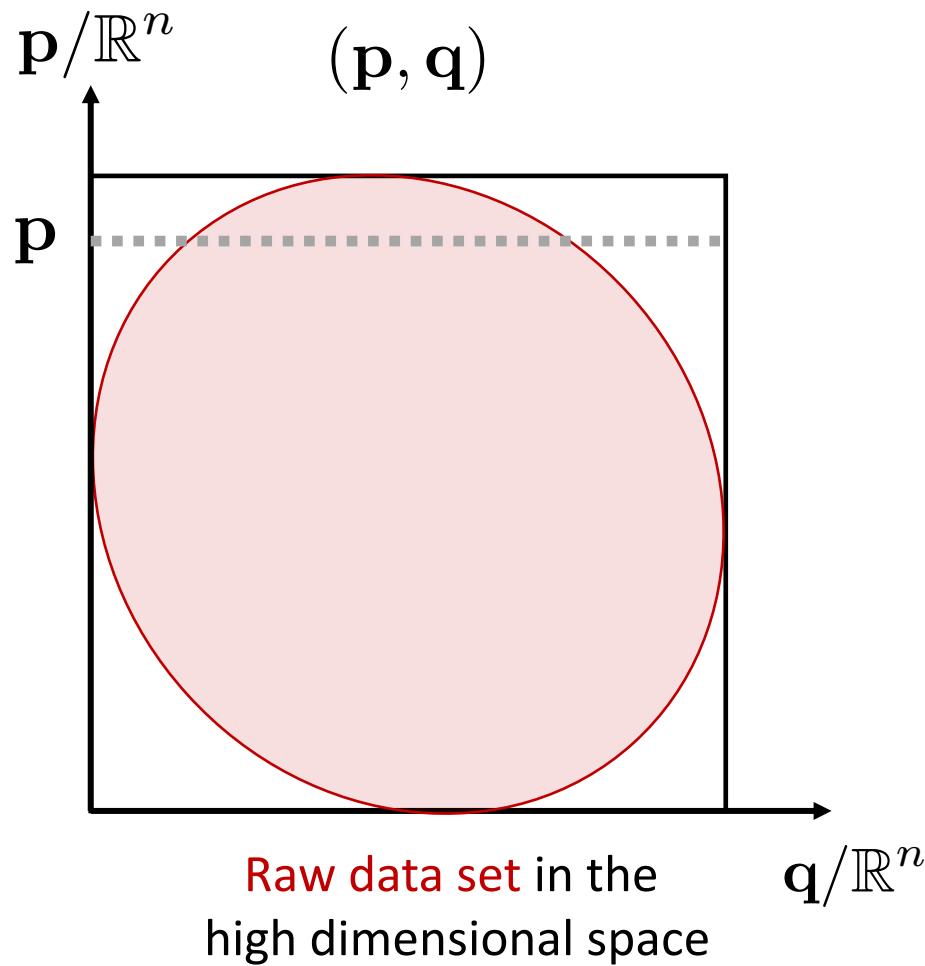
$$r_k = \min_{\rho \in S(M, \mathbf{p})} \max_{I_k} \text{Tr } N_{I_k} \rho$$

$$t_k = \max_{\rho \in S(M, \mathbf{p})} \max_{I_k} \text{Tr } N_{I_k} \rho$$

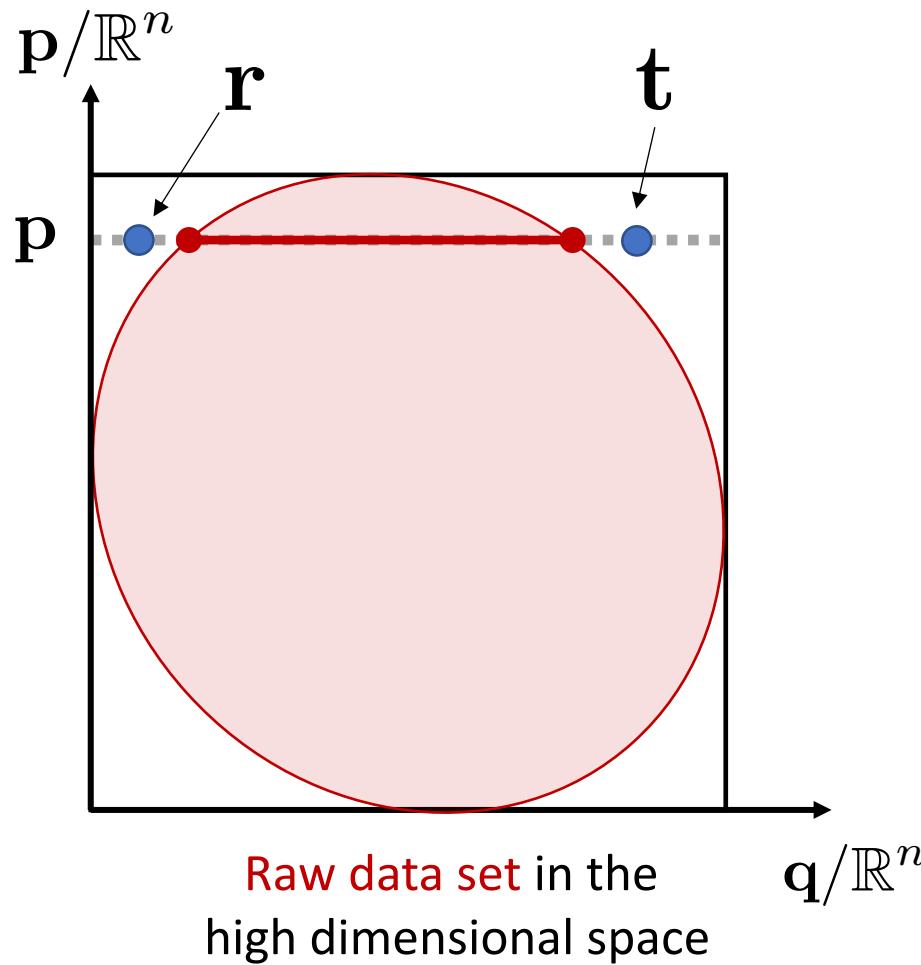
Remarks: 1. $r_k = \min_{\rho \in S(M, \mathbf{p})} \boxed{\max_{I_k} \text{Tr } N_{I_k} \rho} = \min_{\rho \in S(M, \mathbf{p})} \boxed{\min\{x : x \geq \text{Tr } N_{I_k} \rho, \forall I_k\}}$

2. Upper boundary t_k is not necessarily concave, thus may not be a valid Lorenz curve. But we can construct a tightest concave curve above t_k by a standard process (*flatness process* [see Cicalese- Vaccaro'02])

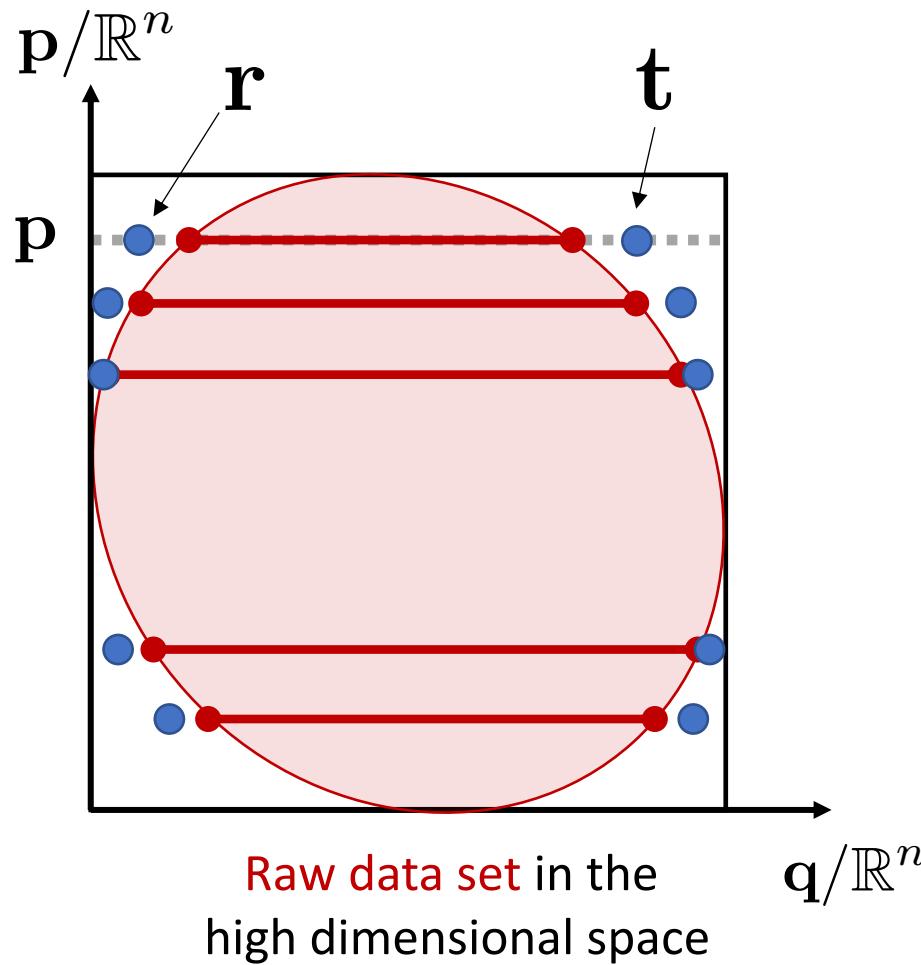
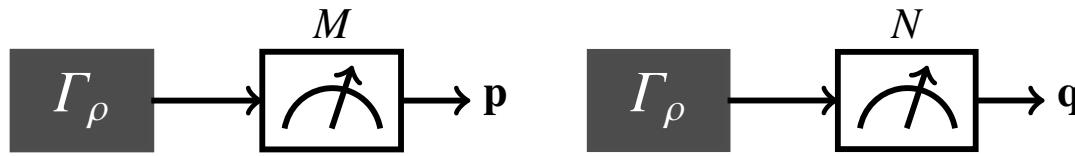
Application 1: Universal Uncertainty Region



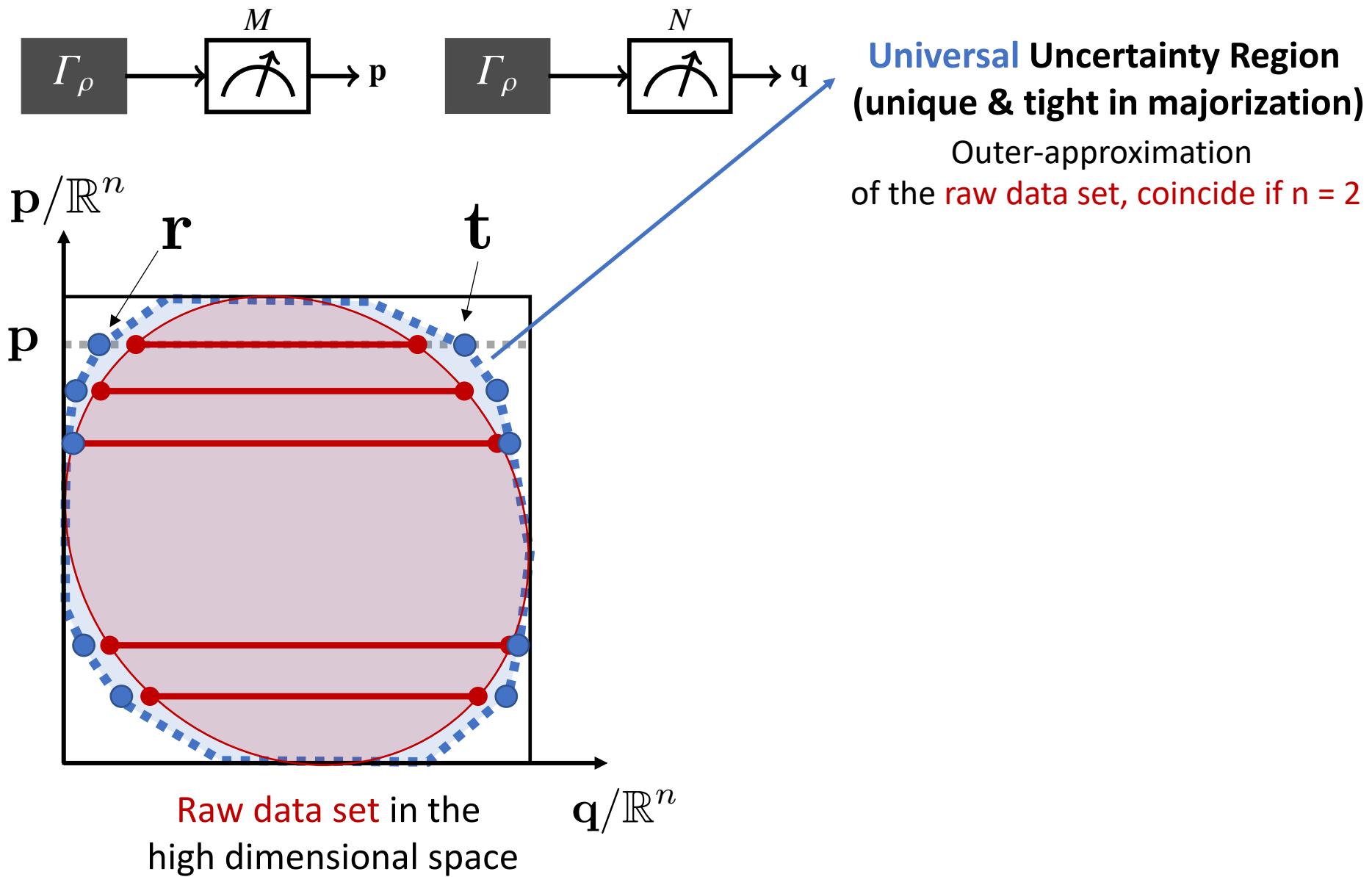
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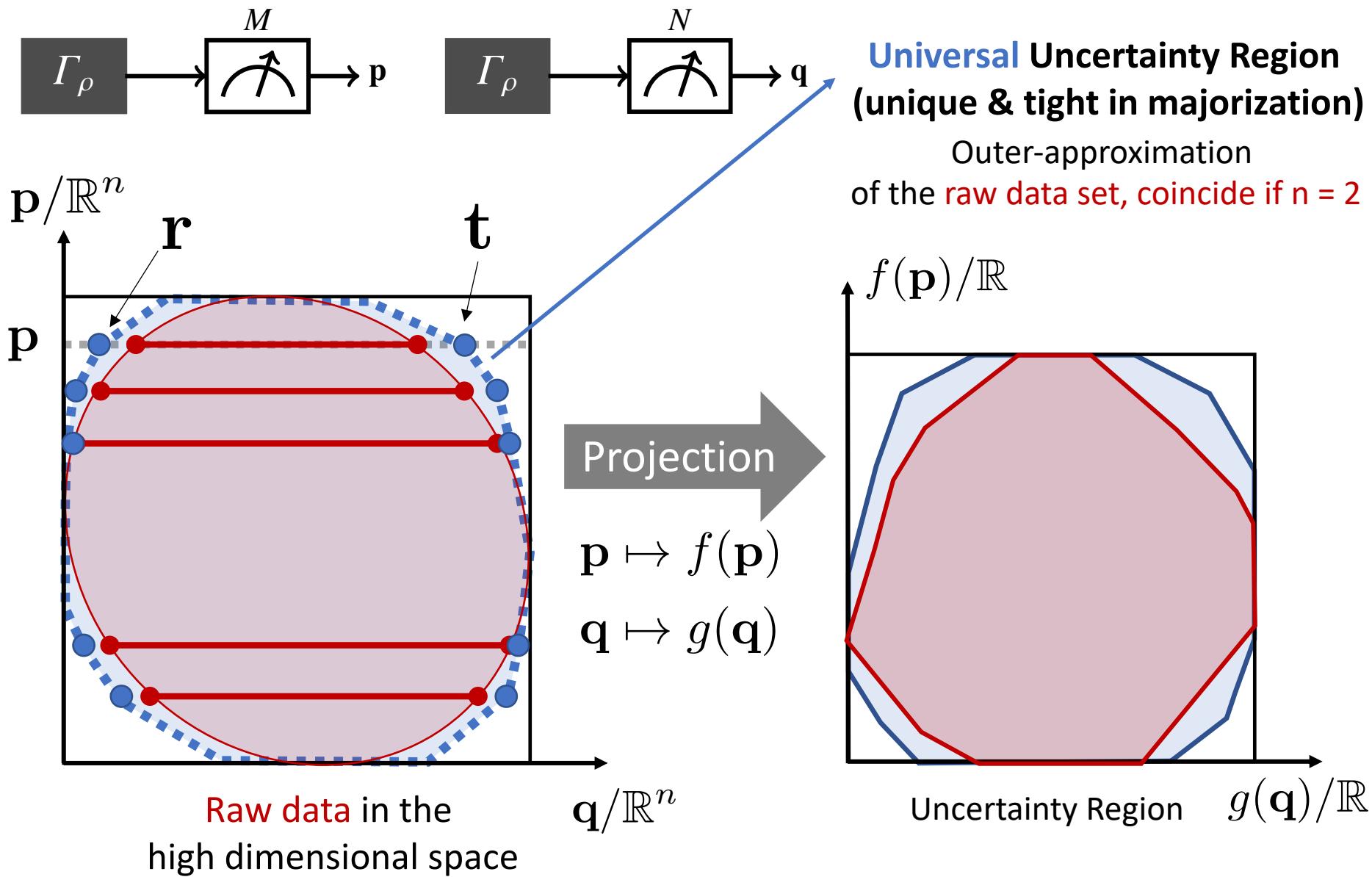
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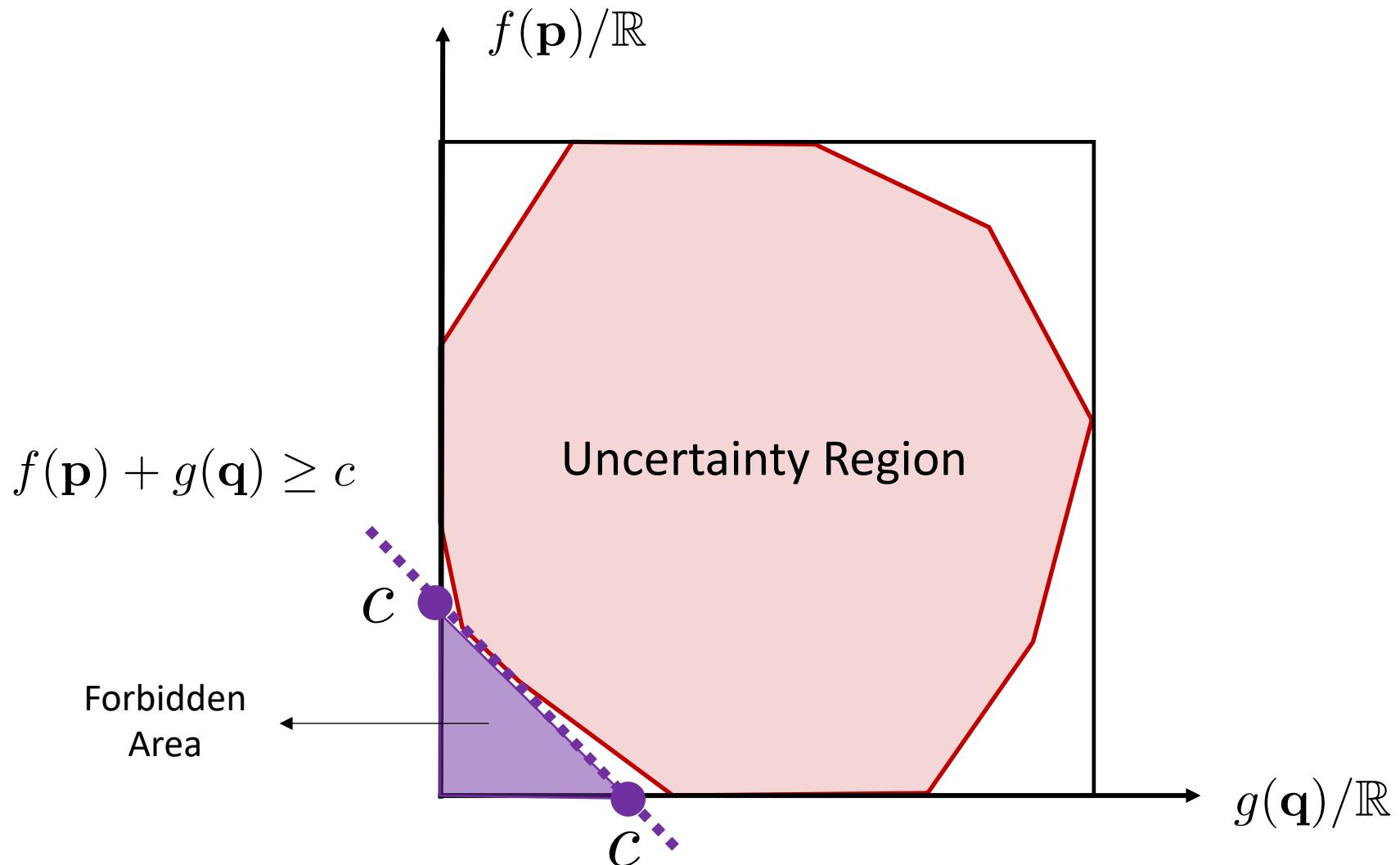
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Uncertainty Region and Uncertainty relation



Uncertainty region is more informative than uncertainty relation in general.

Application 1: qubit case

$$M = \{|0\rangle, |1\rangle\}, N = \{(|0\rangle - \sqrt{3}|1\rangle)/2, (\sqrt{3}|0\rangle + |1\rangle)/2\}$$

.....

MU bound

$$H_\alpha(M) + H_\beta(N) \geq \log(4/3),$$

$$1/\alpha + 1/\beta = 2$$



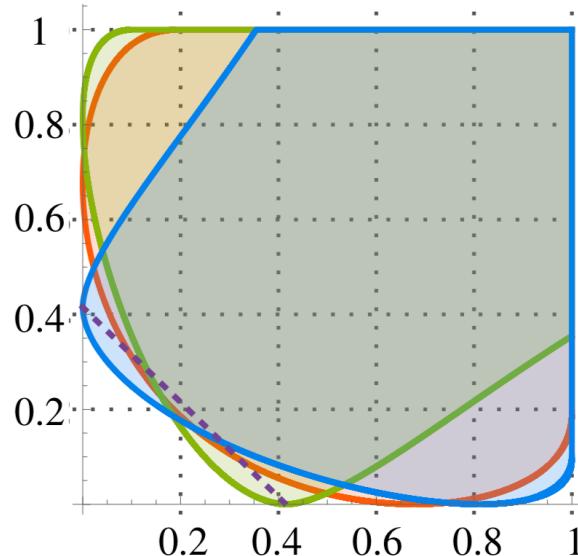
$$(\alpha, \beta) = \left(\frac{2}{c}, \frac{2}{c}\right)$$



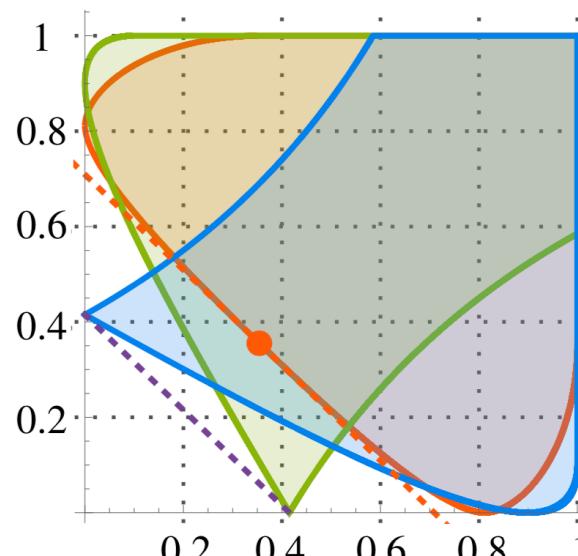
$$(\alpha, \beta) = \left(\frac{1}{c}, \infty\right)$$



$$(\alpha, \beta) = \left(\infty, \frac{1}{c}\right)$$



$$(a) 1/\alpha + 1/\beta = 1$$



$$(b) 1/\alpha + 1/\beta = 2$$

Application 2: Majorization based QRTs

Task: Given an unknown pure state $|\psi\rangle$ and measurement device M

$$|\psi\rangle \xrightarrow[\text{IO}]{?} |\varphi\rangle = \sum_{j=1}^n \sqrt{y_j} |j\rangle$$

$$|\psi\rangle = \sum_{j=1}^n \sqrt{x_j} |j\rangle \quad |\varphi\rangle = \sum_{j=1}^n \sqrt{y_j} |j\rangle \quad |\psi\rangle \xrightarrow[\text{IO}]{\text{free}} |\varphi\rangle \iff x \prec y$$

Strategy: 1. perform measurement M and obtain the pre-testing outcome \mathbf{p}

2. Let $N = \{|j\rangle\}_{j=1}^n$ be the post-testing and compute \mathbf{r} and \mathbf{t} by SDPs.
We have $\mathbf{r} \prec \mathbf{x} \prec \mathbf{t}$.

3. $\mathbf{t} \prec \mathbf{y} \xrightarrow{\quad} \mathbf{x} \prec \mathbf{t} \prec \mathbf{y} \xrightarrow{\quad} |\psi\rangle \xrightarrow{\text{yes}} |\varphi\rangle$

$\mathbf{y} \prec \mathbf{r} \xrightarrow{\quad} \mathbf{y} \prec \mathbf{r} \prec \mathbf{x} \xrightarrow{\text{w.p. 1}} |\psi\rangle \xrightarrow{\text{no}} |\varphi\rangle$

otherwise $\xrightarrow{\quad}$ No enough information

Summary & Discussions

Summary

- **Complementary Information Principle:** given the information gain from the pre-testing outcome, we can fully characterize the uncertainty of the post-testing.
 - Majorization bounds are SDP computable;
 - Unique and tight in majorization.
 - works for POVMs and even multiple measurements.
 - **Applications**
 - Universal uncertainty region
 - Determine quantum state transformation
 - Bounding joint uncertainty for any given measures
-

Open problems and future directions:

1. Is it possible to compute the majorization upper bound \mathbf{t} in a single SDP, instead of exponential many independent SDPs ?
2. Is there any more concrete applications of our general framework?
E.g. in quantum cryptography, ERP steering....

Thanks for your attention!

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