

# Constraints

DSCI 551  
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# Kinds of Constraints

- Keys
- Foreign-key, or referential-integrity
- Value-based constraints
  - Constrain values of a particular attribute
- Tuple-based constraints
  - Constrain relationship among attributes
- Assertions: any SQL boolean expression
  - Very expressive

# Example

Parent: department(id, name)  
child: person(pid, name, did)

-- parent: delete, update

```
Create table person(pid int primary key,  
name char(10), did int, foreign key (did)  
references department(id)  
on delete set null  
on update set null);
```

# Keys

- Specified using "primary key" or "unique"

```
create table Sells(
    bar varchar(100) references
        Bars(name),
    beer varchar(100) references
        Beers(name),
    price real,
    primary key(bar, beer)
) ;
```

# Foreign Keys

- Consider Relation Sells(bar, beer, price).
- We might expect that a beer value is a real beer --- something appearing in Beers.name.
- A constraint that requires a beer in Sells to be a beer in Beers is called a *foreign -key* constraint.

# Expressing Foreign Keys

- Use the keyword REFERENCES, either:
  1. Within the declaration of an attribute, when only one attribute is involved, or
  2. As an element of the schema, as:

FOREIGN KEY ( <list of attributes> )

REFERENCES <relation> ( <attributes> )

- Note MySQL seems to enforce FK only when defined as an element

# Example: Express FK with Attribute

```
CREATE TABLE Beers (
    name      CHAR(20) PRIMARY KEY,
    manf      CHAR(20) );
```

```
CREATE TABLE Sells (
    bar       CHAR(20),
    beer     CHAR(20) REFERENCES Beers(name),
    price    REAL );
```

# Example: Express FK as Element

```
CREATE TABLE Beers (
    name    CHAR(20) PRIMARY KEY,
    manf    CHAR(20) );
```

```
CREATE TABLE Sells (
    bar      CHAR(20),
    beer     CHAR(20),      Note parentheses are necessary!
    price    REAL,
    FOREIGN KEY(beer) REFERENCES
        Beers(name));
```

# Primary Key vs. Unique

- Referenced attributes must be declared as **PRIMARY KEY** or **UNIQUE**.
  - Otherwise, MySQL does not allow creation of the table
  - Note that primary key can not be null, but unique attribute can
- Null values can be inserted into attribute of foreign key
  - Even though it refers to primary key in referenced table

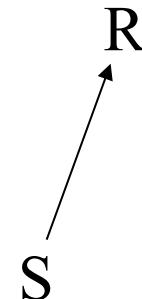
# Example of FKs with Unique Attributes

- create table R (a int primary key);
  - insert into R values (1);
  - Select \* from R;
- create table S(b int, foreign key (b) references R(a));
  - insert into S values (1);
  - insert into S values (null); // this works even though "a" is primary key in R
  - select \* from S;

Or "a int unique"

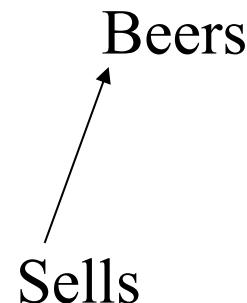
# Enforcing Foreign-Key Constraints

- If there is a foreign-key constraint from attributes of relation  $S$  to the primary key (or unique attribute) of relation  $R$ , two violations are possible:
  1. An insert or update to  $S$  introduces values not found in  $R$ .
  2. A deletion or update to  $R$  causes some tuples of  $S$  to “dangle.”



# Actions Taken

- Suppose  $R = \text{Beers}$ ,  $S = \text{Sells}$ .
- An insert or update to Sells that introduces a nonexistent beer must be rejected.
- A deletion or update to Beers that removes a beer value found in some tuples of Sells can be handled in three ways.



# Actions Taken (Cont'd)

- The three possible ways to handle beers that suddenly cease to exist are:
  1. *Default* : Reject the modification.
  2. *Cascade* : Make the same changes in Sells.
    - Deleted beer: delete Sells tuple.
    - Updated beer: change value in Sells.
  3. *Set NULL* : Change the beers in Sells to NULL.

# Example: Cascade

- Suppose we delete the Bud tuple from Beers.
  - Then delete all tuples from Sells that have beer = ‘Bud’.
- Suppose we update the Bud tuple by changing ‘Bud’ to ‘Budweiser’.
  - Then change all Sells tuples with beer = ‘Bud’ so that beer = ‘Budweiser’.

# Example: Set NULL

- Suppose we delete the Bud tuple from Beers.
  - Change all tuples of Sells that have beer = ‘Bud’ to have beer = NULL.
- Suppose we update the Bud tuple by changing ‘Bud’ to ‘Budweiser’.
  - Same change.

# Choosing a Policy

- When we declare a foreign key, we may choose policies SET NULL or CASCADE independently for deletions and updates.
- Follow the foreign-key declaration by:  
ON [UPDATE, DELETE][SET  
NULL/CASCADE]
- Two such clauses may be used.
- Otherwise, the default (reject) is used.

# Example

```
CREATE TABLE Sells (
    bar      CHAR(20) ,
    beer     CHAR(20) ,
    price    REAL,
    FOREIGN KEY(beer)
              REFERENCES Beers(name)
              ON DELETE SET NULL
              ON UPDATE CASCADE ) ;
```

# Multi-attribute keys (unique, PK, FK)

```
create table R(a int, b int, unique(a, b));
```

```
insert into R values(1, null);
```

```
create table P(a int, b int, primary key(a, b));
```

```
insert into P values(1, 2);
```

```
insert into P values(2, null);
```

Are  
they ok?

```
create table F(a int, b int, foreign key (a, b)
```

```
references P (a, b));
```

```
insert into F values(1, null);
```

```
create table F1 (a int, foreign key (a) references P(a));
```

```
insert into F1 values(2);
```

# Kinds of Constraints

- Keys.
- Foreign-key, or referential-integrity.
- **Value-based constraints.**
  - Constrain values of a particular attribute.
- Tuple-based constraints.
  - Relationship among components.
- Assertions: any SQL boolean expression.



# Attribute-Based Checks

- Put a constraint on the value of a particular attribute.
- CHECK( <condition> ) must be added to the declaration for the attribute.
- The condition may use the name of the attribute, but any other relation or attribute name must be in a subquery.
- Note: MySQL does not seem to support this
  - Accept definition, but does not enforce it
- Other DBMS, e.g., PostgreSQL, may support it

# Example

```
CREATE TABLE Sells (
    bar      CHAR(20) ,
    beer     CHAR(20)      CHECK ( beer IN
                                (SELECT name FROM Beers) ) ,
    price    REAL      CHECK ( price <= 5.00 )
);
```

# Timing of Checks

- An attribute-based check is checked only when a value for that attribute is inserted or updated.
  - Example: CHECK (price <= 5.00) checks every new price and rejects it if it is more than \$5.
  - Example: CHECK (beer IN (SELECT name FROM Beers)) not checked if a beer is deleted from Beers (**unlike foreign-keys**).

# PostgreSQL example

Previous queries Delete Delete All

```
drop table if exists Sells1;
create table Sells1 (
    bar    CHAR(20),
    beer   CHAR(20),
    price  REAL CHECK ( price <= 5.00 )
);

insert into Sells1 values('abc', 'def', 6);
```

Output pane

Data Output Explain **Messages** History

```
ERROR: new row for relation "sells1" violates check constraint "sells1_price_check"
DETAIL: Failing row contains (abc , def , 6).
***** Error *****

ERROR: new row for relation "sells1" violates check constraint "sells1_price_check"
SQL state: 23514
```

# Tuple-Based Checks

- CHECK ( <condition> ) may be added as another element of a schema definition.
- The condition may refer to any attribute of the relation, but any other attributes or relations require a subquery.
- Checked on insert or update only.

# Example: Tuple-Based Check

- Only Joe's Bar can sell beer for more than \$5:

```
CREATE TABLE Sells (
    bar      CHAR(20),
    beer     CHAR(20),
    price    REAL,
    CHECK (bar = 'Joe' OR
           price <= 5.00)
) ;
```

# Example (work in PostgreSQL)

- `insert into sells values('Joe', 'bud', 8);`
  - This insert is ok
- `update sells set bar = 'joe1'`
  - This update is **not** ok

# Assertions

- These are database-schema elements, like relations or views.
- Defined by:

```
CREATE ASSERTION <name>
    CHECK ( <condition> );
```

- Condition may refer to any relation or attribute in the database schema.
- Very expensive to enforce
  - Neither PostgreSQL nor MySQL supports this

# Example: Assertion

- In `Sells(bar, beer, price)`, no bar may charge an average of more than \$5.

```
CREATE ASSERTION NoRipoffBars CHECK (
    NOT EXISTS (
```

```
        SELECT bar FROM Sells
        GROUP BY bar
        HAVING 5.00 < AVG(price)
```

```
));
```

Bars with an  
average price  
above \$5

# Example: Assertion

- In Drinkers(name, addr, phone) and Bars(name, addr, license), there cannot be more bars than drinkers.

```
CREATE ASSERTION FewBar CHECK (
    (SELECT COUNT(*) FROM Bars) <=
    (SELECT COUNT(*) FROM Drinkers)
);
```

# Timing of Assertion Checks

- In principle, we must check every assertion after every modification to any relation of the database.
- A clever system can observe that only certain changes could cause a given assertion to be violated.
  - Example: No change to Beers can affect FewBar. Neither can an insertion to Drinkers.