CS 5/7320 Artificial Intelligence

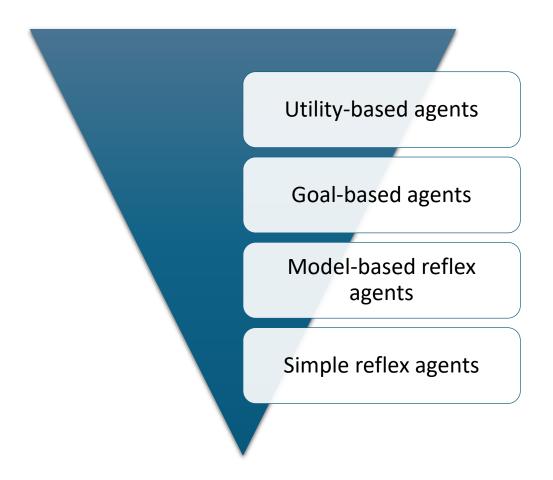
Knowledge-Based Agents AIMA Chapters 7-9

Slides by Michael Hahsler

based on slides by Svetlana Lazepnik with figures from the AIMA textbook



Agent Types So Far



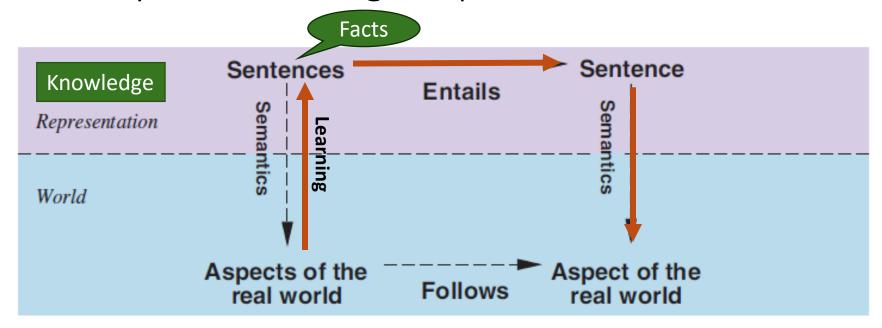
We will introduce a different class of agents that relies on reasoning using knowledge.

Outline

Knowledge-Based Agents

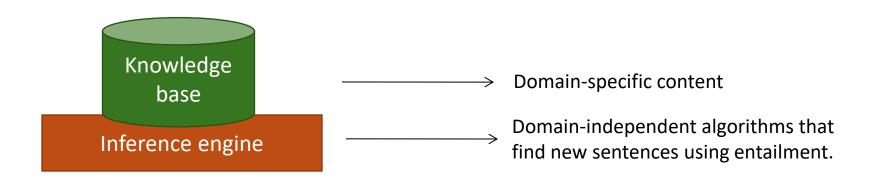
Logical Agents Probabilistic Reasoning Agents Large Language Models

Reality vs. Knowledge Representation



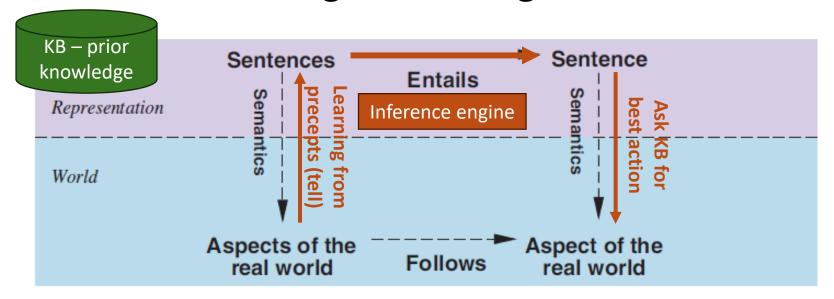
- Facts: Sentences we know to be true.
- **Possible worlds**: all worlds/models which are consistent with the facts we know (compare with belief state).
- Learning new facts reduces the number of possible worlds.
- Entailment: A new sentence logically follows from what we already know.
- Reasoning: The agent can reason about what will happen in the real world.

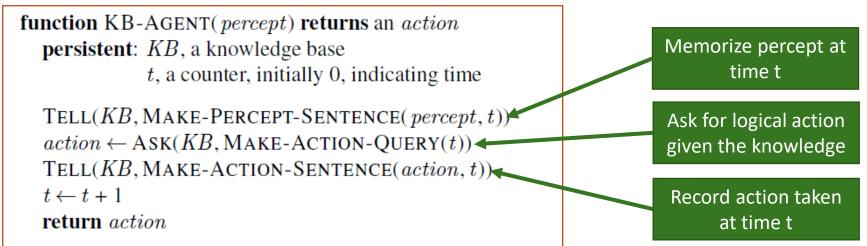
Knowledge-Based Agents



- Knowledge base (KB) = set of facts. E.g., set of sentences in a formal language that are known to be true.
- Separation between data (knowledge) and program (inference).
- Declarative approach to building an agent: Define what it needs to know in its KB. Use an off-the-shelf inference engine.
- The concepts of goals or utility can be incorporated into the knowledge base as facts.
- Actions are based on knowledge (sentences + inferred sentences).

Generic Knowledge-based Agent



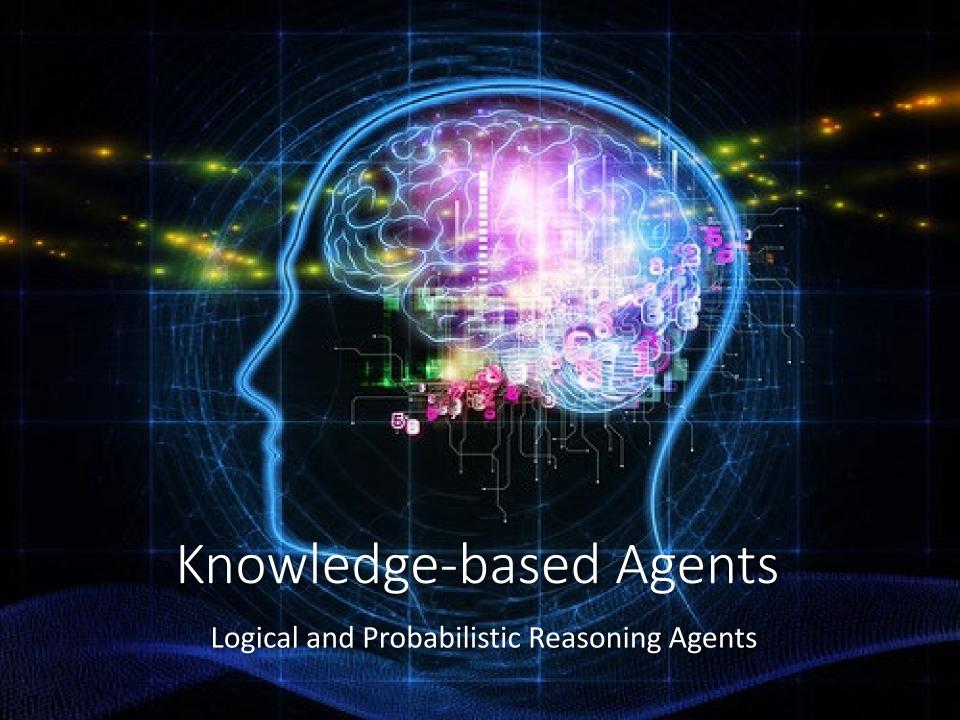


Different Languages to Represent Knowledge

Language	Ontological Commitment (What exists in the world)	Epistemological Commitment (What an agent believes about facts)	
Propositional logic First-order logic Temporal logic Probability theory Fuzzy logic	facts facts, objects, relations facts, objects, relations, times facts facts with degree of truth $\in [0,1]$	true/false/unknown true/false/unknown true/false/unknown degree of belief $\in [0,1]$ known interval value	

???

→ Natural Language word patterns representing facts, objects, relations, ...



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Large Language Models

Logical Agents

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- Facts are logical sentences that are known to be true.
- Inference: Generate new sentences that are entailed by all known sentences.
- Implementation: Typically using Prolog
 - Declarative logic programing language.
 - Runs queries over the program (= the knowledge base)
- Synonyms: Symbolic AI, Expert Systems

Issues:

- Inference is computationally very expensive.
- Logic cannot deal with uncertainty.

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Probabilistic Reasoning

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- Replaces true/false with a probability.
- This is the basis for
 - Probabilistic reasoning under uncertainty
 - Decision theory
 - Machine Learning

Conclusion

- The clear separation between knowledge and inference engine is very useful.
- **Pure logic** is often not flexible enough. The fullest realization of knowledge-based agents using logic was in the field of expert systems or knowledge-based systems in the 1970s and 1980s.
- Pretrained Large Language Models are an interesting new application of knowledge-based agents based on natural language.
- In one of the following chapters, we will talk about probability theory, the standard language to reason under uncertainty, and forms the basis of machine learning.





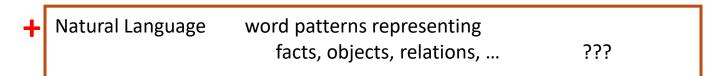
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LLMs - Large Language Models

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- Extract knowledge from large text corpora.
- Store knowledge compressed as parameters in a deep neural network.

Using Natural Language for Knowledge Representation

Pretrained model knows words relationship, grammar, and facts stored as parameters in a network.

Sentences

Representation

Prompts

Frails

Generates

Text

Aspect of the

real world

• The user formulates a question about the real world as a natural language **prompt** (a sequence of tokens).

Follows

Useful?

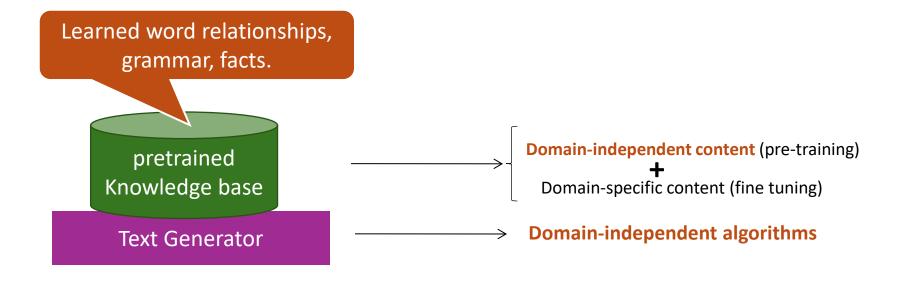
 The LLM generates text using a pretrained model that represents its knowledge base.

Aspects of the

real world

• The text (hopefully) is useful in the real world. The **objective function** is not clear. Maybe it is implied in the prompt?

LLM as a Knowledge-Based Agents



Current text generators are:

- Pretrained decoder-only transformer models (e.g., GPT stands for Generative Pre-trained Transformer). The knowledge base is not updated during interactions.
- Tokens are created autoregressively. One token is generated at a time based on all the previous tokens using the transformer attention mechanism.

LLM as a Generic Knowledge-based Agent

Prompt + already generated tokens

```
function KB-AGENT(percept) returns an action
persistent: KB, a knowledge base
t, a counter, initially 0, indicating time

\frac{\text{Tell}(KB, \text{Make-Percept-Sentence}(percept, t))}{action \leftarrow \text{Ask}(KB, \text{Make-Action-Query}(t))}
\frac{\text{Tell}(KB, \text{Make-Action-Sentence}(action, t))}{t \leftarrow t + 1}
return action
```

Next token

 A chatbot repeatedly calls the agent function till the agent function returns the 'end' token. Many Open Questions about LLMs

- Correlation is not causation: Can LLMs reason to solve problems?
- Leaky data makes it hard to evaluate true reasoning performance.
- Generative stochasticity leads to hallucinations: LLM makes up facts.
- Autoregression is an exponentially diverging diffusion process.
- The training data contains **biases**, nonsense, and harmful content.
- **Security**: LLM can leak sensitive information it was trained on.
- Rights laundering: Copyrighted or licensed material can be included in the training data.

Reading: [2307.04821] Amplifying Limitations, Harms and Risks of Large Language Models (arxiv.org)





Logic to Represent Knowledge



Logic is a formal system for representing and manipulating facts (i.e., knowledge) so that true conclusions may be drawn



Syntax: rules for constructing valid sentences

E.g., $x + 2 \ge y$ is a valid arithmetic sentence, $\ge x2y + is$ not



Semantics: "meaning" of sentences, or relationship between logical sentences and the real world

Specifically, semantics defines truth of sentences

E.g., $x + 2 \ge y$ is true in a world where x = 5 and y = 7

Propositional Logic

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Propositional Logic: Syntax in Backus-Naur Form

OPERATOR PRECEDENCE : $\neg, \land, \lor, \Rightarrow, \Leftrightarrow$

```
Sentence \rightarrow AtomicSentence \mid ComplexSentence
AtomicSentence \rightarrow True \mid False \mid P \mid Q \mid R \mid \dots = Symbols
ComplexSentence \rightarrow (Sentence)
\mid \neg Sentence \rightarrow Sentence
\mid Sentence \wedge Sentence \rightarrow Sentence
\mid Sentence \vee Sentence \rightarrow Sentence
\mid Sentence \Rightarrow Sentence \rightarrow Sentence \rightarrow Sentence
\mid Sentence \Rightarrow Sentence \rightarrow Sentence \rightarrow Sentence
\mid Sentence \Rightarrow Sentence \rightarrow Sentence \rightarrow Sentence \rightarrow Sentence
```

Validity and Satisfiability

A sentence is **valid** if it is true in **all** models/worlds

e.g., *True*, $A \lor \neg A$, $A \Rightarrow A$, $(A \land (A \Rightarrow B)) \Rightarrow B$ are called tautologies and are useful to deduct new sentences.

A sentence is **satisfiable** if it is true in **some** model

e.g., AVB, C useful to find new facts that satisfy all current possible worlds.

A sentence is **unsatisfiable** if it is true in no models

e.g., A∧¬A

Possible Worlds, Models and Truth Tables

A **model** specifies a "possible world" with the true/false status of each proposition symbol in the knowledge base

- E.g., **P** is true and **Q** is true
- With two symbols, there are $2^2 = 4$ possible worlds/models, and they can be enumerated exhaustively using:

A **truth table** specifies the truth value of a composite sentence for each possible assignments of truth values to its atoms. Each row is a model.

P	Q	$\neg P$	$P \wedge Q$	$P \lor Q$	$P \Rightarrow Q$	$P \Leftrightarrow Q$
false	false	true	false	false	true	true
false	true	true	false	true	true	false
true	false	false	false	true	false	false
true	true	false	true	true	true	true

We have 3 possible worlds for $P \Rightarrow Q = true$

Propositional Logic: Semantics

Rules for evaluating truth with respect to a model:

```
iff P
                                  is false
       is true
• ¬P
• P \wedge Q is true
                    iff P
                                  is true and Q
                                                           is true
                    iff P
• P \lor Q is true
                                  is true
                                                           is true
                                           or Q
                    iff
                                  is false or
• \mathbf{P} \Rightarrow \mathbf{Q} is true
                                                  Q
                                                          is true
    Sentence
                                          Model
```

Logical Equivalence

Two sentences are logically equivalent iff (read if, and only if) they are true in same models

```
(\alpha \wedge \beta) \equiv (\beta \wedge \alpha) commutativity of \wedge
           (\alpha \vee \beta) \equiv (\beta \vee \alpha) commutativity of \vee
((\alpha \wedge \beta) \wedge \gamma) \equiv (\alpha \wedge (\beta \wedge \gamma)) associativity of \wedge
((\alpha \vee \beta) \vee \gamma) \equiv (\alpha \vee (\beta \vee \gamma)) associativity of \vee
             \neg(\neg\alpha) \equiv \alpha double-negation elimination
       (\alpha \Rightarrow \beta) \equiv (\neg \beta \Rightarrow \neg \alpha) contraposition
       (\alpha \Rightarrow \beta) \equiv (\neg \alpha \lor \beta) implication elimination
      (\alpha \Leftrightarrow \beta) \equiv ((\alpha \Rightarrow \beta) \land (\beta \Rightarrow \alpha)) biconditional elimination
       \neg(\alpha \land \beta) \equiv (\neg \alpha \lor \neg \beta) de Morgan
       \neg(\alpha \lor \beta) \equiv (\neg \alpha \land \neg \beta) de Morgan
(\alpha \wedge (\beta \vee \gamma)) \equiv ((\alpha \wedge \beta) \vee (\alpha \wedge \gamma)) distributivity of \wedge over \vee
(\alpha \vee (\beta \wedge \gamma)) \equiv ((\alpha \vee \beta) \wedge (\alpha \vee \gamma)) distributivity of \vee over \wedge
```

Entailment

• Entailment means that a sentence follows from the premises contained in the knowledge base:

$$KB \models \alpha$$

- The knowledge base KB entails sentence α iff α is true in all models where KB is true
 - E.g., KB with x = 0 entails sentence x * y = 0
- Tests for entailment
 - KB $\models \alpha$ iff (KB $\Rightarrow \alpha$) is valid
 - $KB = \alpha$ iff $(KB \land \neg \alpha)$ is unsatisfiable

Inference

• Logical inference: a procedure for generating sentences that follow from (ar entailed by) a knowledge base KB.

• An inference procedure is **sound** if it derives a sentence α iff KB $\models \alpha$. I.e, it only derives **true sentences**.

• An inference procedure is **complete** if it can derive **all** α for which $KB \models \alpha$.

Inference

- How can we check whether a sentence α is entailed by KB?
- How about we **enumerate all possible models of the KB** (truth assignments of all its symbols), and check that α is true in every model in which KB is true?
 - This is sound: All produced answer are correct.
 - This is complete: It will produce all correct answers.
 - **Problem**: if KB contains n symbols, the truth table will be of size 2^n
- Better idea: use *inference rules*, or sound procedures to generate new sentences or *conclusions* given the *premises* in the KB.
- Look at the textbook for inference rules and resolution.

Inference Rules

Modus Ponens

$$\frac{\alpha \Rightarrow \beta, \alpha}{\beta} \longleftarrow \begin{array}{c} \text{premises} \\ \text{conclusion} \end{array}$$

This means: If the KB contains the sentences $\alpha \Rightarrow \beta$ and α then β is true.

And-elimination

$$\frac{\alpha \wedge \beta}{\alpha}$$

Inference Rules

And-introduction

$$\frac{\alpha, \beta}{\alpha \wedge \beta}$$

$$\frac{\alpha}{\alpha \vee \beta}$$

Inference Rules

• Double negative elimination

$$\frac{\neg\neg\alpha}{\alpha}$$

• Unit resolution

$$\frac{\alpha \vee \beta, \neg \beta}{\alpha}$$

Resolution

$$\frac{\alpha \vee \beta, \neg \beta \vee \gamma}{\alpha \vee \gamma} \qquad \text{or} \qquad \frac{\alpha \vee \beta, \beta \Rightarrow \gamma}{\alpha \vee \gamma}$$

• Example:

 $\alpha\text{:}$ "The weather is dry"

 β : "The weather is rainy"

γ: "I carry an umbrella"

Resolution is Complete

$$\frac{\alpha \vee \beta, \neg \beta \vee \gamma}{\alpha \vee \gamma}$$

- To prove KB $= \alpha$, assume KB $\wedge \alpha$ and derive a contradiction
- Rewrite KB $\wedge \neg \alpha$ as a conjunction of *clauses*, or disjunctions of *literals*
 - Conjunctive normal form (CNF)
- Keep applying resolution to clauses that contain complementary literals and adding resulting clauses to the list
 - If there are no new clauses to be added, then KB does not entail α
 - If two clauses resolve to form an *empty clause*, we have a contradiction and KB = α

Complexity of Inference

- Propositional inference is *co-NP-complete*
 - *Complement* of the SAT problem: $\alpha \models \beta$ if and only if the sentence $\alpha \land \neg \beta$ is unsatisfiable
 - Every known inference algorithm has worst-case exponential run time complexity.
- Efficient inference is only possible for restricted cases
 - e.g., Horn clauses are disjunctions of literals with at most one positive literal.

Example: Wumpus World

4 \$\frac{\fr

1,4	2,4	3,4	4,4
1,3	2,3	3,3	4,3
1,2 OK	2,2	3,2	4,2
1,1 A OK	2,1 OK	3,1	4,1

A	= Agent
В	= Breeze
\mathbf{G}	= Glitter, Gold
OK	= Safe square
P	= Pit
\mathbf{S}	= Stench
V	= Visited
\mathbf{W}	= Wumpus

1,4	2,4	3,4	4,4
1,3	2,3	3,3	4,3
1,2 OK	2,2 P?	3,2	4,2
1,1 V OK	2,1 A B OK	3,1 P?	4,1

(a)

(b)

Example: Wumpus World

Initial KB needs to contain rules like these for each square:

```
Breeze(1,1) \Leftrightarrow Pit(1,2) \vee Pit(2,1)

Breeze(1,2) \Leftrightarrow Pit(1,1) \vee Pit(1,3) \vee Pit(2,2)

Stench(1,1) \Leftrightarrow W(1,2) \vee W(2,1)

...
```

Percepts at (1,1) are no breeze or stench. Add the following facts to the KB:

```
\neg Breeze(1,1)
\neg Stench(1,1)
```

Inference will tell us that the following facts are entailed:

$$\neg Pit(1,2), \neg Pit(2,1), \neg W(1,2), \neg W(2,1)$$

This means that (1,2) and (2,1) are safe.

We have to enumerate all possible scenarios in propositional logic! First-order logic can help.

Summary

- Logical agents apply inference to a knowledge base to derive new information and make decisions.
- Basic concepts of logic:
 - syntax: formal structure of sentences
 - semantics: truth of sentences in models
 - entailment: necessary truth of one sentence given another
 - inference: deriving sentences from other sentences
 - soundness: derivations produce only entailed sentences
 - completeness: derivations can produce all entailed sentences
- Resolution is complete for propositional logic.
- Algorithms use forward, backward chaining, are linear in time, and complete for special clauses (definite clauses).

Limitations of Propositional Logic

Suppose you want to say "All humans are mortal"

• In propositional logic, you would need ~6.7 billion statements of the form:

```
Michael_Is_Human and Michael_Is_Mortal, Sarah_Is_Human and Sarah_Is_Mortal, ...
```

Suppose you want to say "Some people can run a marathon"

• You would need a disjunction of ~6.7 billion statements:

Michael_Can_Run_A_Marathon or ... or Sarah_Can_Run_A_Marathon

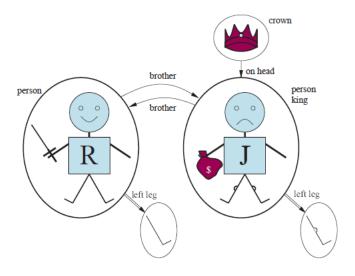
First-Order Logic

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First-order Logic adds **objects** and **relations** to the facts of propositional logic.

This addresses the issues of propositional logic, which needs to store a fact for each instance of and object individually.

Syntax of FOL



 $Function \rightarrow Mother \mid LeftLeg \mid \cdots \blacktriangleleft$

Relations. Predicate is/returns True or False

Objects

OPERATOR PRECEDENCE : $\neg, =, \land, \lor, \Rightarrow, \Leftrightarrow$

Function returns an object

Universal Quantification

- ∀x P(x)
- Example: "Everyone at SMU is smart"
 ∀x At(x,SMU) ⇒ Smart(x)
 Why not ∀x At(x,SMU) ∧ Smart(x)?
- Roughly speaking, equivalent to the conjunction of all possible instantiations of the variable:

```
[At(John, SMU) \Rightarrow Smart(John)] \wedge ...
[At(Richard, SMU) \Rightarrow Smart(Richard)] \wedge ...
```

• $\forall x P(x)$ is true in a model m iff P(x) is true with x being each possible object in the model

Existential Quantification

- ∃x P(x)
- Example: "Someone at SMU is smart"
 ∃x At(x,SMU) ∧ Smart(x)
 Why not ∃x At(x,SMU) ⇒ Smart(x)?
- Roughly speaking, equivalent to the disjunction of all possible instantiations:

```
[At(John,SMU) ∧ Smart(John)] ∨ [At(Richard,SMU) ∧ Smart(Richard)] ∨ ...
```

• $\exists x P(x)$ is true in a model m iff P(x) is true with x being some possible object in the model

Properties of Quantifiers

- $\forall x \forall y$ is the same as $\forall y \forall x$
- $\exists x \exists y \text{ is the same as } \exists y \exists x$
- $\exists x \forall y \text{ is not the same as } \forall y \exists x \exists x \forall y \text{ Loves}(x,y)$

"There is a person who loves everyone"

 $\forall y \exists x Loves(x,y)$

"Everyone is loved by at least one person"

 Quantifier duality: each quantifier can be expressed using the other with the help of negation

```
\forallx Likes(x,IceCream) \neg \existsx \existsx Likes(x,Broccoli) \neg \forallx
```

Equality

- Term₁ = Term₂ is true under a given model if and only if Term₁ and Term₂ refer to the same object
- E.g., definition of **Sibling** in terms of **Parent**:

```
\forall x,y \; Sibling(x,y) \Leftrightarrow
[\neg(x = y) \land \exists m,f \neg (m = f) \land Parent(m,x) \land Parent(f,x) \land Parent(m,y) \land Parent(f,y)]
```

Example: The Kinship Domain

- Brothers are siblings
 ∀x,y Brother(x,y) ⇒ Sibling(x,y)
- "Sibling" is symmetric
 ∀x,y Sibling(x,y) ⇔ Sibling(y,x)
- One's mother is one's female parent
 ∀m,c (Mother(c) = m) ⇔ (Female(m) ∧ Parent(m,c))

Example: The Set Domain

- $\forall s \text{ Set}(s) \Leftrightarrow (s = \{\}) \lor (\exists x, s_2 \text{ Set}(s_2) \land s = \{x \mid s_2\})$
- $\neg \exists x, s \{x \mid s\} = \{\}$
- $\forall x, s \ x \in s \Leftrightarrow s = \{x \mid s\}$
- $\forall x, s \ x \in s \Leftrightarrow [\exists y, s_2 (s = \{y \mid s_2\} \land (x = y \lor x \in s_2))]$
- $\forall s_1, s_2 s_1 \subseteq s_2 \Leftrightarrow (\forall x \ x \in s_1 \Rightarrow x \in s_2)$
- $\forall s_1, s_2 (s_1 = s_2) \Leftrightarrow (s_1 \subseteq s_2 \land s_2 \subseteq s_1)$
- $\forall x, s_1, s_2 \ x \in (s_1 \cap s_2) \Leftrightarrow (x \in s_1 \land x \in s_2)$
- $\forall x, s_1, s_2 \ x \in (s_1 \cup s_2) \Leftrightarrow (x \in s_1 \lor x \in s_2)$

Inference in FOL

Inference in FOL is complicated!

1. Reduction to propositional logic and then use propositional logic inference.

2. Directly do inference on FOL (or a subset like definite clauses)

- Unification: Combine two sentences into one.
- Forward Chaining for FOL
- Backward Chaining for FOL
- Logical programming (e.g., Prolog)