

// FOR GRADER: Texts colored in green are important derivations and equations.  
 // FOR GRADER: Texts colored in red are results and conclusions.  
 // In question1, I defined two additional  $X_i$  values to make the thought process more  
 // thorough and complete

## Question1:

### Step1: Declare some data structures

1. Let **F[1.....n]** be an array that contains all files inside the evidence room
2. Let **k > 0** be the particular file we want to look for
3. Let **Count** be a random variable represent the number of accesses to the file pool
4. Let  $X_i$  be a random variable that denotes the "status" of each file such that  
 $X_i = -1$  if the  $i^{th}$  file in the file pool is not yet accessed and compared  
 $X_i = 1$  if the  $i^{th}$  file in the file pool is accessed and compared.  
 $X_i = 0$  if the  $i^{th}$  file in the file pool should be discarded.

### Step2: Algorithm Analysis (linearity of expectation analysis)

1. **RANDY(l, u) = (i,  $a_i$ )** algorithm implies that this particular algo will only compare each item i in the file pool with k only one
2. By linearity of expectation:  $E(Count) = \sum_{i=1}^n E(X_i)$ , and since we know that, according to

the principle of linearity of expectation:

$$E(randomVariable) = r_1 * p_1 + r_2 * p_2 + \dots r_n * p_n \Rightarrow (1)$$

where  $r_i$  is the value that random variable can have, and  $p_i$  is the probability corresponding to having that value. In our case,  $X_i$  can have two value = 1, -1, or 0

3.  $E(X_i)$  will be reduced to:  
 $1 * p(X_i = 1) + 0 * p(X_i = 0) + -1 * p(X_i = -1) = p(X_i = 1) - p(X_i = -1) \Rightarrow (2)$

Equation (2) implies that what we need to check is only the POSSIBILITY for some file in the file pool to be visited or not visited. However, since when  $X_i = -1$ , by the definition above, the  $i^{th}$  file is not yet accessed or compared. So we only need to compute the possibility for those files that are visited (That is compute the expectation of  $X_i = 1$ ), that is:

$$E(X_i) = 1 * p(X_i = 1) = p(X_i = 1) \Rightarrow (3) // \text{this is the one we actually need to compute}$$

### Step3: Final derivation and analysis

1. Assume that we have an index j such that  $F[j] \leq k \leq F[j+1]$ , and we need to find such a j. when we make the RANDY() method call and get some (i,  $a_i$ )  
 1)  $i \leq j$ , RANDY( ) will return F(i.....j), and  $p(X_i = 1) = \frac{1}{j-i+1}$   
 2) If  $i > j$ , RANDY( ) will return F(j+1.....i), and  $p(X_i = 1) = \frac{1}{i-j}$

$$\text{probability equation: } E(X_i) = \left( \frac{1}{j-i+1} (i \leq j), \text{ or } \frac{1}{i-j} (i > j) \right) \Rightarrow (4)$$

### Derivation Steps:

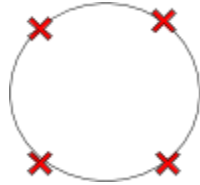
$$1) E(\text{Count}) = \sum_{i=1}^n E(X_i) = \sum_{i=1}^j \frac{1}{j-i+1} + \sum_{i=j+1}^n \frac{1}{i-j} \Rightarrow (5)$$

$$2) \text{ because } \frac{1}{j-i+1} < \frac{1}{i} \text{ and } \frac{1}{i-j} < \frac{1}{i}, \frac{1}{j-i+1} + \frac{1}{i-j} < 2 * \frac{1}{i} \Rightarrow (6)$$

$$3) E(\text{Count}) = \sum_{i=1}^n E(X_i) = \sum_{i=1}^j \frac{1}{j-i+1} + \sum_{i=j+1}^n \frac{1}{i-j} < \sum_{i=1}^n \frac{1}{i} + \sum_{i=1}^n \frac{1}{i} \Rightarrow (7)$$

$$4) \text{ By the harmonic series, } \sum_{i=1}^n \frac{1}{i} = O(\log n) \rightarrow E(\text{Count}) < 2O(\log n) = O(\log n) \Rightarrow (8)$$

5) **COMPLETE**



### Question2:

- a) As my drawing above, if we pick  $k$  points on the circle, then we will have  $k$  arcs (segments) and because the circle is of a unit circumference, so the sum of every single expectation is supposed to be 1. Assume each arc is  $X_i$ , because of the above explanation, we will get an equation:

$$\sum_{i=1}^k E(X_i) = 1 \Rightarrow (1)$$

Equation (1) implies that the expectation for some  $X_i$  is  $\frac{1}{k}$ , therefore the expectation for a single arc is  $E(X_i) = \frac{1}{k}$  (**COMPLETE**)

### 1) Pseudocode:

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#### Algorithm of finding the file with ID $x$

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Declare some variables:

Let fileID = the file ID received through calling **RANDY( )**

Let leftBound = the leftmost fileID

Let rightBound = the rightmost fileID

// we can choose  $\sqrt{n}$  from the circularly sorted linkedList

// This is random access

**for**  $i$  from 1 to  $\sqrt{n}$ :

    Initialize leftBound = 0;

    Initialize rightBound = infinity;

    fileID = **RANDY( )**

**if**  $x == \text{fileID} \rightarrow \text{return } x$

**if**  $\text{fileID} > \text{leftBound} \ \&\& \ \text{fileID} < x \rightarrow \text{update leftBound} = \text{fileID}$

**if**  $\text{fileID} < \text{rightBound} \ \&\& \ \text{fileID} > x \rightarrow \text{update rightBound} = \text{fileID}$

**end for**

// This is linear access

for  $j$  from leftBound to rightBound:

Let nextID = **NEXT**(  $j$  );

if nextID ==  $x \rightarrow$  return  $x$

end for

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## 2) Description & explanation of the algorithm:

- The purpose of declaring the variable leftBound and rightBound is that after calling **RANDY()**, we would have a particular file ID that is either larger or smaller (or perhaps equal in the best case scenario) than  $x$ , we need to update the leftBound or the rightBound so we can narrow down the range and bring the bound closer and closer to  $x$ .
- The purpose of the first for loop is to get a leftBound and rightBound that is closest to  $x$ . After the first for loop finished, we would have a leftBound and rightBound pair that is the closest to the actual  $x$ .
- And the second for loop serves to call **NEXT()** from leftBound to rightBound, and compare each return value to  $x$ . Since given the statement that all files are organized and sorted in a way that every file points to the one with the next higher file ID, we will find  $x$  between (leftBound, rightBound).

## 3) Running Time Analysis

- Arc length analysis:** Since we know from 2(a) that the expected arc length of any arc in the circle is  $\frac{1}{k}$  (with a unit circumference). 2(b) has a little bit different context, this is a "circle" with a circumference =  $n$  since there are  $n$  files inside the file pool, so the expectation for any single arc is  $\frac{n}{k}$ . However, in the above algo we have set  $k$  to be  $\sqrt{n}$ , and  $\frac{n}{k} \rightarrow \frac{n}{\sqrt{n}} = \sqrt{n}$ . In another word, the expected arc length for the above algo is  $\sqrt{n}$ .
- First loop:** We will call the first loop  $\sqrt{n}$  times, and in each iteration the only operations are calling **RANDY()** and doing comparisons, both of which will take  $O(1)$  time. So the running time for the first loop is:  
 $O(\sqrt{n}) * O(1) = O(\sqrt{n})$
- Second loop:** we will call the second loop  $\sqrt{n}$  times (expected arc length), and in each iteration the sole operation is calling **NEXT()**, which will take  $O(1)$  time, on the range between leftBound and rightBound. So the running time for the second loop is:  
 $O(\sqrt{n}) * O(1) = O(\sqrt{n})$
- Total Running Time:**  $O(\sqrt{n}) + O(\sqrt{n}) = 2 O(\sqrt{n}) = O(\sqrt{n})$  (**COMPLETE**)