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TabNet: A Deep Learning Breakthrough for Tabular Data

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How TabNet Bridges the Gap Between Neural Networks and Gradient Boosting Trees

Introduction

About

This study introduces **TabNet**, a deep learning model optimized for **tabular data** processing. TabNet is an end-to-end neural network designed to directly handle raw tabular data, leveraging an attention mechanism to selectively interpret each feature. The authors demonstrate that TabNet offers interpretability, tunability through multiple hyper-parameters, and applicability to complex tasks such as self-supervised learning.

Background

Most deep learning models have been primarily developed to handle structured data types such as images, text, and audio, achieving remarkable performance in these domains. However, deep learning approaches for tabular data remain relatively underexplored in comparison.

Traditionally, decision tree-based machine learning models, such as XGBoost and **LightGBM**, have been the standard for tabular data tasks. In contrast, **deep learning** models for tabular data have been largely limited to Multilayer Perceptrons (MLPs), as more sophisticated architectures like CNNs and LSTMs are primarily designed for high-dimensional image and text processing.

Despite these challenges, the authors highlight several **advantages** of using deep learning for tabular data:

- Efficiently encoding multiple data types, including tabular data alongside images.
- Reducing the need for extensive feature engineering, a key requirement in traditional tree-based models.
- Enabling learning from streaming data, making models more adaptable to real-time applications.
- Facilitating representation learning in an end-to-end manner, unlocking valuable applications such as data-efficient domain adaptation.

Method

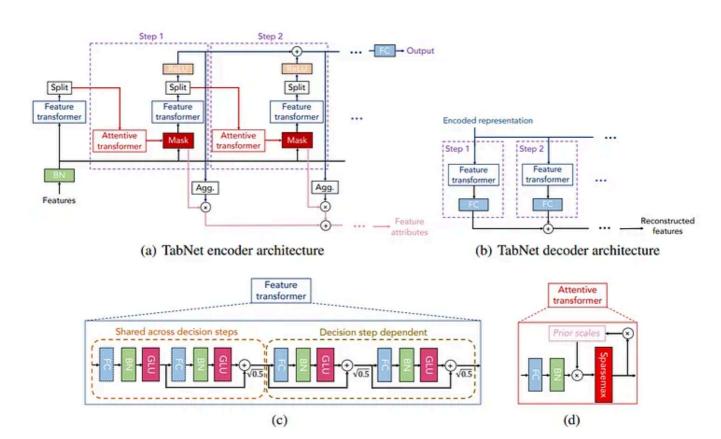


Fig 1. Overall structure of TabNet. (a) is the encoder part that encodes the input data with the transformer manner. (b) indicates a decoder that restores the encoded representation to the original data representation.

And (c) and (d) show the structure of the feature transformer and the attentive transformer, respectively.

(Source)

The TabNet proposed in this paper learns data through several steps. Similar to the existing decision tree mechanism, each step in **TabNet selects features among the given inputs for reducing dimension**. Here, an **attention manner** is utilized in each process of selecting features. The authors mention that these elements of deep learning at each step improve the learning capacity of the model.

The authors consider both numeric and categorical types of tabular data. As in other deep learning studies, input categorical data is preprocessed through trainable embedding while the raw numerical features are inserted to the model directly. Instead of global feature normalization for the input data, a batch normalization is applied.

The feature $\mathbf{f} \in \Re^{B^{X}D}$ is the hidden features of each decision steps, where B is the batch size and D means the dimension of the input data. TabNet outputs the result after going through a total of N_{steps} . The authors propose this method by referring to the <u>architecture</u> that processing visual and text data. According to the overall flow in Fig 1, the structure of the presented TabNet is similar to recursive-based neural network models.

Feature Selection

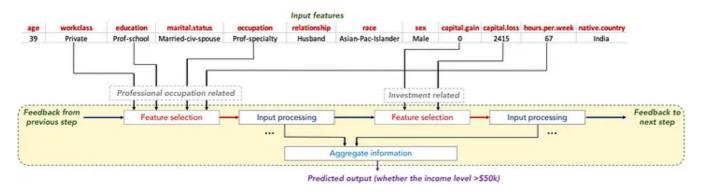


Fig 2. TabNet's sparse feature selection exemplified for Adult Census Income prediction. (Source)

The authors design a concept of the model that selects significant features. **Fig 2**. describes that TabNet does not use all features for model training. To select features, a learnable mask $M[i] \in \Re^{B^{X}D}$ is considered. Note that i indicates i-th step in the encoder. The sum of the elements of this mask matrix is 1, which means that the importance is evaluated relatively. Like the description in **Fig 3**., The mask selects the sparse salient features which makes each step not to flow irrelevantly of the task.

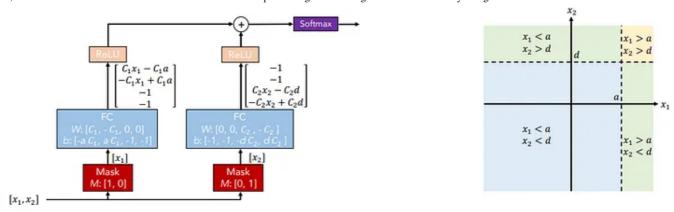


Fig 3. Illustration of classification using DNN (left) and the corresponding decision manifold (right). With trained masks, the values of specific features are fixed. Here, the C₁ and C₂ are related to the sharpness of the decision boundary. (Source)

An attentive transformer is employed to obtain the masks using the output features from the preceding step. The authors express how to obtain the current-phase mask from the results of the previous step as follows.

$$\mathbf{M}[\mathbf{i}] = \operatorname{sparsemax}(\mathbf{P}[\mathbf{i} - \mathbf{1}] \cdot \mathbf{h}_i(\mathbf{a}[\mathbf{i} - \mathbf{1}]))$$

Here, P[i - 1] is the *prior scale* term which denotes how much a specific parameter has been used previously. This can be written like the equation below.

$$\mathbf{P[i]} = \prod_{j=1}^{i} (\gamma - \mathbf{M[j]})$$

The prior scale is tunable by relaxation parameter γ . As the value of this variable increases, features can be selected more flexibly at each step. This is similar to the forget gate of <u>LSTM</u>. They are the same in that it controls how much information amount determined in the previous step is reflected in the next step. In particular, the prior term of the first step **P[0]** is set to $\mathbf{1}^{B^{\mathsf{X}D}}$ because there is no element of the previous step. This way is also modifiable to made some elements to **0** (some columns of the input data are unused) to help the training phase in a self-supervised learning task.

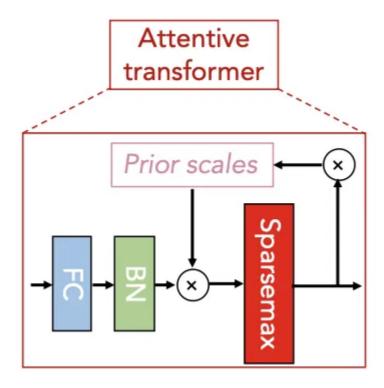


Fig 4. Structure of the attentive transformer. This is a enlarged picture of Fig 1. (d). (Source)

With the trainable function h_i , the preceding outcomes are processed. As described in Fig 4, h consists of a fully connected (FC) neural network and a following batch normalization (BN) layer. The feature obtained through h_i is multiplied by the prior scale P[i-1].

Finally, the result is refined through *sparsemax* normalization. This method functions **to better select sparse but well salient features**. In tabular data, sometimes only a few columns have significant features while others are trivial. The authors describe the sparcity regularization in the following form of entropy.

$$L_{sparse} = \sum_{i=1}^{N_{steps}} \sum_{b=1}^{B} \sum_{j=1}^{D} \frac{-\mathbf{M}_{b,j}[\mathbf{i}] \mathrm{log}(\mathbf{M}_{b,j}[\mathbf{i}] + \epsilon)}{N_{steps \cdot B}}$$

The loss is used to control the sparsity of the selected feature. The constant \epsilon is a very small number for numerical stability. This is multiplied by coefficient γ_{sparse} and added to the overall loss. This method is utilized to emphasize features in tabular data in which only a small number of columns show decisive features.

Feature Processing

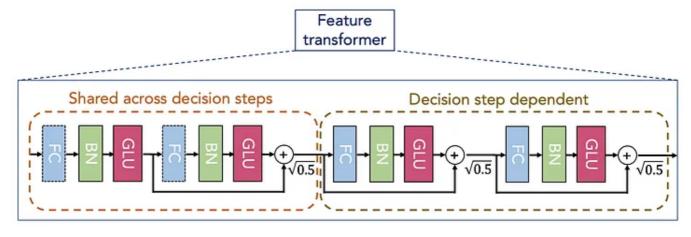


Fig 5. Architecture of the feature transformer. This is a enlarged picture of Fig 1. (c). (Source)

To further learn the selected features obtained through the mask, a feature transformer is devised. The additional learning model is called a feature transformer, and is denoted by f_i in this paper. With the trained mask M[i] from the feature selection manner and the hidden feature f, the output of the feature transformer is as follows.

$$[\mathbf{d}[\mathbf{i}], \mathbf{a}[\mathbf{i}]] = f_i(\mathbf{M}[\mathbf{i}] \cdot \mathbf{f})$$

Note that the result of feature selection split in two. The first term $\mathbf{d}[\mathbf{i}] \in \Re^{B^{X}N^{d}}$ means decision step output and $\mathbf{a}[\mathbf{i}] \in \Re^{B^{X}N^{a}}$ stands for the prior scale for the next step. As shown in Fig 1, the result going out to the **ReLU** cell is $\mathbf{d}[\mathbf{i}]$, and the result going out to the attentive transformer of the next step is $\mathbf{a}[\mathbf{i}]$. For robust learning and parameter efficiency, the final prediction is output by accumulating the results of all steps with the adding manner.

The feature transformer structure is a simple MLP(Multi-Layer Perceptron) as shown in Fig 5. MLP consists of FC layer, BN layer and an activation function(GLU). Especially, there are residual connections between the MLP blocks. Especially, a ghost BN form is employed for preserving low-variance averaging among the batches. In this paper, the size of a virtual batch is expressed as B_v , and the corresponding momentum is called m_B. The authors additionally applied normalization with $\sqrt{0.5}$ right before the connection. Normalization stands for the stabilized learning throughout the model layer.

The hidden feature a[i] which is for the prior scales of the next step is returned in the middle of feature transformer, as expressed by the red dashed box in Fig 4. This output is input to the next attentive transformer. On the other hand, The hidden

feature for decision output d[i] returned from the whole procedures in the feature transformer. The final decision output is expressed as $W(final)d_{out}$, where W(final) is a linear mapping, and d_{out} is the ReLU sum of the d[i] in all steps.

Interpretability

The trained mask M[i] is a kind of indicator that presents which features considered more important. The authors show by way of example that the j^{th} feature of the b^{th} sample means no contribution to the decision when M[i] = 0. Note that the masks of each step each can represent this feature importance. Here, a coefficient that can weigh the relative importance of each step in the decision is required for combining the masks at different steps. The authors proposed the aggregated decision contribution of i^{th} step of the b^{th} sample with the equation below.

$$\eta_{\mathbf{b}}[\mathbf{i}] = \sum_{c=1}^{N_d} \text{ReLU}(\mathbf{d}_{\mathbf{b}, \mathbf{c}}[\mathbf{i}])$$

The larger this value, the greater the contribution to the overall mask combination.

With this metric, the author ultimately aims to see the importance of the input data. To do so, the feature importance mask is defined as follows.

$$\mathbf{M_{agg-b,j}} = \frac{\sum_{i=1}^{N_{steps}} \eta_{\mathbf{b}}[\mathbf{i}] \mathbf{M_{b,j}}[\mathbf{i}]}{\sum_{j=1}^{D} \sum_{i=1}^{N_{steps}} \eta_{\mathbf{b}}[\mathbf{i}] \mathbf{M_{b,j}}[\mathbf{i}]}$$

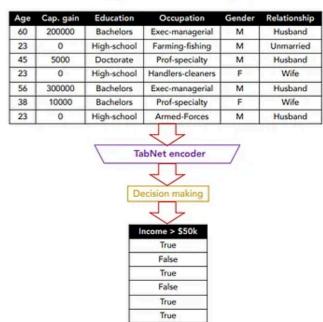
Note that this property M is normalized so that it sums to 1 for all j. Through this, it is possible to interpret the prediction result.

Tabular self-supervised learning

Unsupervised pre-training Relationsh 53 200000 Exec-managerial Wife 19 0 ? Farming-fishing M 2 5000 Doctorate Prof-specialty M Husband Handlers-cleaners 25 F Wife 59 300000 Bachelors Husband 33 0 High-school Armed-Forces Husband TabNet encoder TabNet decoder Masters High-school Unmarried 43 High-school M

Exec-managerial

Supervised fine-tuning



False

Fig 6. Description of self-supervised tabular learning. In this paper, the authors intend to use TabNet for the task of exploring unknown data as in the case on the left. (Source)

Wife

TabNet reproduces the result encoded by the previous process through a decoder. As shown in Fig 1 (b), the decoder consists of stepwise feature transformers with following FC layers. The outputs are summed to obtain the reconstructed features.

The authors additionally propose the usage for the self-supervised learning of this model. As an example like Fig 6., the authors introduce the task of inferring missing values of input data. Considering the binary mask $S \in \{0, 1\}^{B^{X}D}$, the TabNet is set the encoder to take $P[0] \cdot f$ as input and the decoder to take $S \cdot f$ as output, where P[0] is initialized to (1 - S). Through this initialization, the known features are further emphasized, and the missed feature is inferred the by multiplying the model result f and S.

Reconstruction loss is defined as

$$\sum_{b=1}^{B} \sum_{j=1}^{D} \left| \frac{(\hat{\mathbf{f}}_{\mathbf{b}, \mathbf{j}} - \mathbf{f}_{\mathbf{b}, \mathbf{j}}) \cdot \mathbf{S}_{\mathbf{b}, \mathbf{j}}}{\sqrt{\sum_{b=1}^{B} (\mathbf{f}_{\mathbf{b}, \mathbf{j}} - 1/B \sum_{b=1}^{B} \mathbf{f}_{\mathbf{b}, \mathbf{j}})^{2}}} \right|^{2}$$

Normalization adjusts different scales of features. The binary mask S(b, j) is independently sampled through the Bernoulli distribution at each iteration.

Experiment

The authors conducted very extensive experiments with the proposed model, including regression and classification. For the purposes of TabNet, no preprocessing was done for all datasets except for trainable scalar embedding of categorical data.

The loss functions are simply **cross-entropy** (classification) and **mean squared error** (regression). For the dataset, the **public benchmark datasets** were mainly used for comparison with other studies, and other datasets were also used. **Data split of training/validation/test proceeds in the same way as corresponding comparative studies.** Since **the hyper-parameters of TabNet optimized for each task are all different**, the <u>appendix of the preprint</u> mentioned the details of model set-up.

Instance-wise Feature Selection

Model		Test AUC				
Model	Synl	Syn2	Syn3	Syn4	Syn5	Syn6
No selection	$.578 \pm .004$	$.789 \pm .003$	$.854 \pm .004$	$.558 \pm .021$	$.662 \pm .013$	$.692 \pm .015$
Tree	$.574 \pm .101$	$.872 \pm .003$	$.899 \pm .001$	$.684 \pm .017$	$.741 \pm .004$	$.771 \pm .031$
Lasso-regularized	$.498 \pm .006$	$.555 \pm .061$	$.886 \pm .003$	$.512 \pm .031$	$.691 \pm .024$	$.727 \pm .025$
L2X	$.498 \pm .005$	$.823 \pm .029$	$.862 \pm .009$	$.678 \pm .024$	$.709 \pm .008$	$.827 \pm .017$
INVASE	$.690 \pm .006$	$.877 \pm .003$	$.902 \pm .003$	$\textbf{.787} \pm \textbf{.004}$	$.784 \pm .005$	$.877 \pm .003$
Global	$.686 \pm .005$	$.873 \pm .003$	$.900 \pm .003$	$.774 \pm .006$	$.784 \pm .005$	$.858 \pm .004$
TabNet	$.682 \pm .005$	$.892 \pm .004$	$.897 \pm .003$	$.776 \pm .017$	$.789 \pm .009$	$\textbf{.878} \pm \textbf{.004}$

Fig 6. Area under curve (AUC) results on 6 synthetic datasets from the <u>previous study</u>. The first column on the left lists the feature selection-based comparative models. (Source)

The authors experimented using synthetic datasets presented in existing studies. Some datasets are in such a case that only a subset of the features determine the output, while the others are the cases which have salient features which are instancely dependent. For example, the output of **Syn2** is determined based on features $X_3 - X_6$. On the other hand, the output of **Syn4** depends on either $X_1 - X_2$ or $X_3 - X_6$, which both depend on the value of X_{11} . Note that the tests are the classification task.

The comparison models are as follows.

- No Selection: Using all features without any feature selection.
- Tree: Tree Ensemble model.

- Lasso-regularized: Lasso-regularized model
- L2X: A feature selection model from the <u>study</u> which presents the synthetic datasets.
- **INVASE**: A neural network-based feature selection model from the existing study.
- Global: Using only globally-salient features. (Human-based selection)

The results in the **Fig 6**. above show that TabNet outperforms other existing methods except INVASE, which is the latest technique at the time, in terms of feature selection. The performance is better for **Syn4-Syn6** with different important features for each instance than for **Syn1-Syn3** with the same salient features regardless of each instance.

Performance on Real-World Datasets

Model	Test accuracy (%)
XGBoost	89.34
LightGBM	89.28
CatBoost	85.14
AutoML Tables	94.95
TabNet	96.99

(a) Forest Cover Type

Model	Test accuracy (%)
DT	50.0
MLP	50.0
Deep neural DT	65.1
XGBoost	71.1
LightGBM	70.0
CatBoost	66.6
TabNet	99.2
Rule-based	100.0

(b) Poker Hand

Model	Test acc. (%)	Model size
Sparse evolutionary MLP	78.47	81K
Gradient boosted tree-S	74.22	0.12M
Gradient boosted tree-M	75.97	0.69M
MLP	78.44	2.04M
Gradient boosted tree-L	76.98	6.96M
TabNet-S	78.25	81K
TabNet-M	78.84	0.66M

(c) Higgs Boson

Fig 8. Performance results of 3 real-world cases with several comparison models. All three of these tests are classification tasks. (Source)

There were several experiments with **real-world datasets**. The description of datasets are below.

- Forest Cover Type: <u>Dataset</u> that classifies forest types through tree categories.
- Poker Hand: <u>Dataset</u> ranked through poker hands.
- Sarcos: <u>Dataset</u> of regressing inverse dynamics of an anthropomorphic robot arm.
- Higgs Boson: <u>Dataset</u> which distinguishing between a Higgs bosons process vs. background.
- Rossmann Store Sales: <u>Dataset</u> that predicts store sales through static and timevarying data.

The authors adopt existing machine learning-based methodologies as comparative models (e.g. XGBoost, LightGBM, etc.). In the Forest classification test, the authors additionally used <u>Google's AutoML Tables</u> and in the poker hand problem case, the <u>Rule-Based Model</u> was set as the standard, which always returns correct answers. In addition, for the Higgs Boson dataset, the authors experimented with changing the size of the TabNet (*TabNet-S*, *TabNet-M*).

In Fig 8., all three comparison experiments prove that the proposed **TabNet is** superior to the existing machine learning model. In particular, in the case of the Poker Hand problem, it shows much better accuracy than other models. However, the accuracy in the Higgs Boson task does not improve as much as the size of the TabNet model increases.

Model	Test MSE	Model size
Random forest	2.39	16.7K
Stochastic DT	2.11	28K
MLP	2.13	0.14M
Adaptive neural tree	1.23	0.60M
Gradient boosted tree	1.44	0.99M
TabNet-S	1.25	6.3K
TabNet-M	0.28	0.59M
TabNet-L	0.14	1.75M

(a) Sarcos

Model	Test MSE
MLP	512.62
XGBoost	490.83
LightGBM	504.76
CatBoost	489.75
TabNet	485.12

(b) Rossmann Store Sales

Fig 8. Performance results of 2 real-world cases with several comparison models. These two tests are regression tasks. (Source)

The regression task is also tested by comparing it with existing machine learning models. The MSE results are shown in Fig 9. For regression problems, TabNet also shows superior performance. Especially, the accuracy improves significantly as the size of the model increases, contrary to the classification tasks.

Interpretability

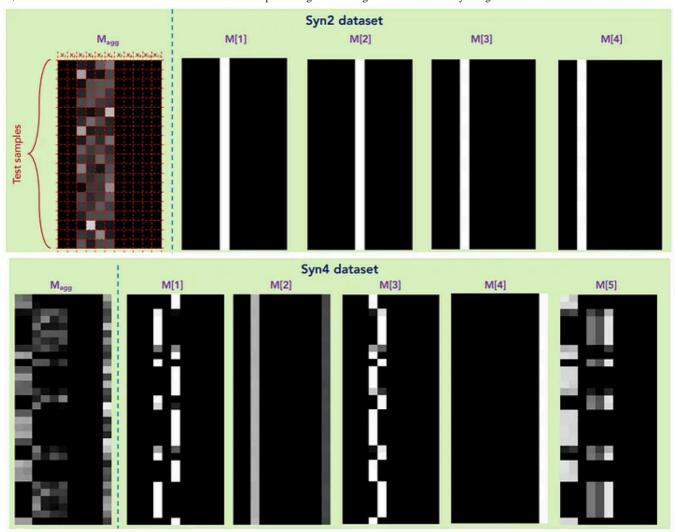


Fig 9. Feature importance masks **M[i]**. These masks are from the experiment with **Syn2** and **Syn4** Dataset.

Bright colors indicate high values, meaning they are just as important. (<u>Source</u>)

The authors check how the model extracts features through masks. As mentioned, the mask of TabNet can explain the reason for returned output. The mask results for the two synthesized datasets (Syn2, Syn4) are shown in Fig 10. Syn2 dataset has the same important features regardless of instance, and Syn4 dataset has different salient characteristics for each instance. The mask descriptions in Fig 10. show that the model users can infer feature importance via masks.

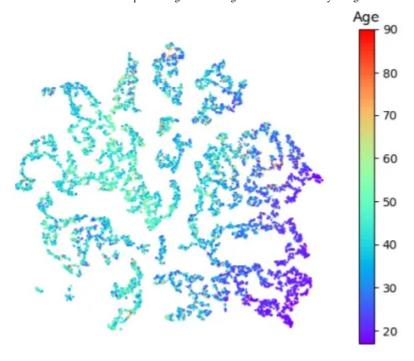


Fig 11. First two dimensions of the T-SNE of the decision manifold created by TabNet from Adult Census Income dataset. This shows the impact of the top feature 'Age'. (Source)

The authors show the experimental results on the actual dataset "Adult Census Income" in Fig 11. This figure plots the T-SNE manifold for the "Age" variable, which is considered to have the greatest value on the feature importance evaluated by TabNet. Instance groups are well segregated according to the most important variable "Age".

Self-supervised Learning

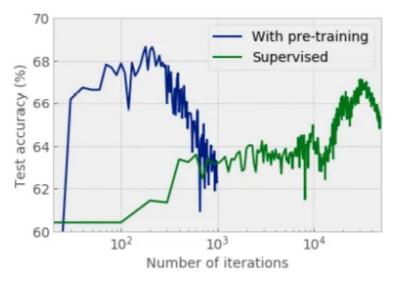


Fig 12. Training curves on Higgs Boson dataset with 10,000 samples. (Source)

Training	Test accuracy (%)	
dataset size	Supervised	With pre-training
1k	57.47 ± 1.78	61.37 ± 0.88
10k	66.66 ± 0.88	68.06 ± 0.39
100k	72.92 ± 0.21	73.19 ± 0.15

Fig 13. Higgs Boson test results with TabNet-M model. (Source)

The authors evaluate whether TabNet can be utilized for the purpose of self-supervised learning. In the experiment, the model undergoes unsupervised pretraining. Then, comparison experiments are designed between the pre-trained model and the vanila model (trained from the raw-stage). The test accuracies are shown in Fig 12 and Fig 13.

The results in Fig 12 and Fig 13 show that the model with the pretext task (pretraining) has better accuracy than the vanila model. In particular, pre-trained model shows better performance with fewer iterations. Moreover, the difference in performance is larger in the situation where the training data is small. The authors show that the proposed TabNet can also be used as a feature extractor.

Conclusion

TabNet, a **novel deep learning model for tabular data**, is introduced as an alternative to traditional machine learning approaches. Unlike conventional tabular data models, which primarily rely on **decision trees**, TabNet leverages a **sophisticated neural network architecture**. It selects features in a manner similar to **decision trees** but introduces a **trainable mask mechanism**, allowing the model to **highlight the basis for its predictions**.

Extensive experimental results demonstrate that **TabNet not only delivers strong predictive performance** but also offers **versatility for various tasks**, making it a valuable contribution to deep learning-based tabular data processing.

Review

The authors in this paper represents the innovative neural network architecture for tabular data tasks. One of TabNet's key innovations is its **trainable masks**, which determine **which features contribute most to each prediction**. Also, Unlike tree-based models that require handcrafted feature engineering, TabNet operates as an **end-to-end learning system**, enabling the **automatic extraction of meaningful**

representations directly from raw tabular data. I believe that this deep learning technology **could potentially replace existing manners** like trees and XGboost.

Still, concerns remain clear. First of all, TabNet requires **significantly more computational resources** than traditional models like XGBoost or LightGBM. This may limit its practicality in **low-resource environments**. Furthermore, its performance against **other deep learning methods for tabular data** remains underexplored, leaving room for further research.

Despite its challenges, TabNet represents a **significant step forward** in bringing **deep learning to tabular data processing**. Its **fusion of attention-based learning and decision-tree-inspired selection mechanisms** sets a foundation for further advancements in **interpretable deep learning models**.

Reference

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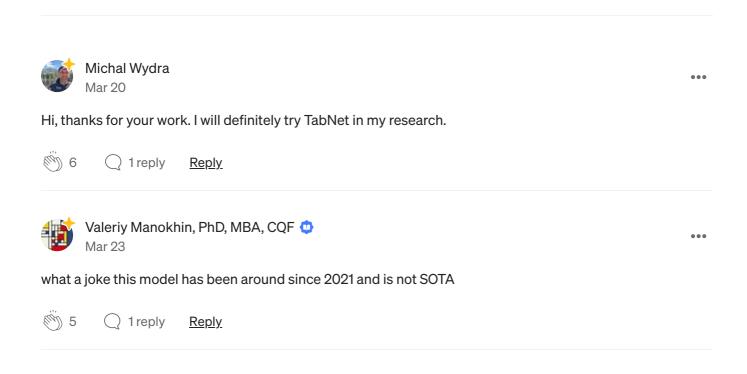
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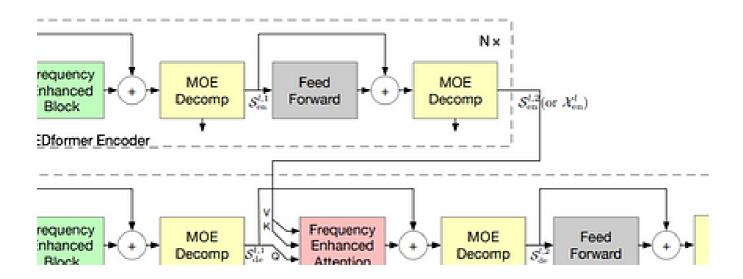




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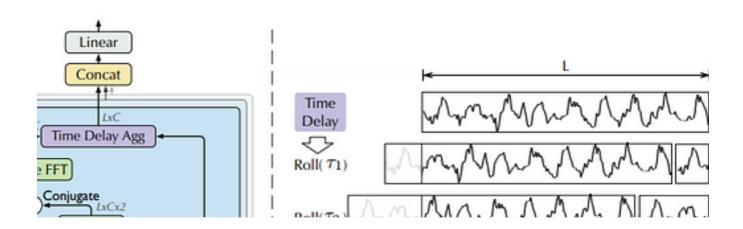




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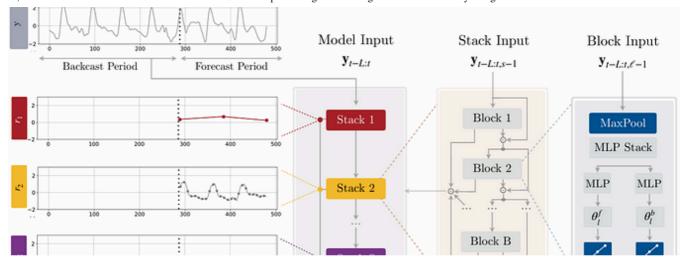




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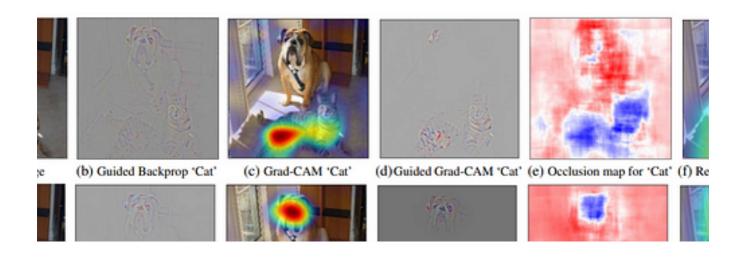


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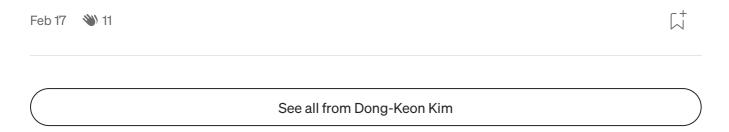




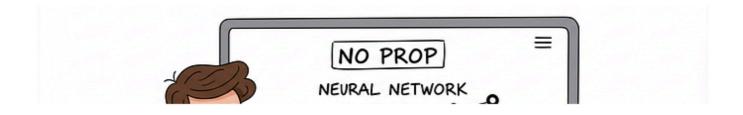
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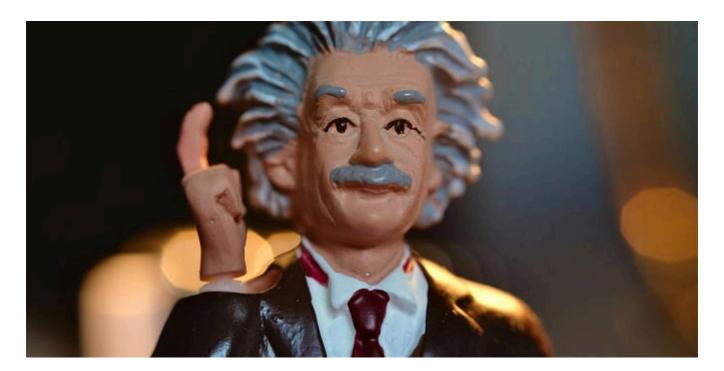
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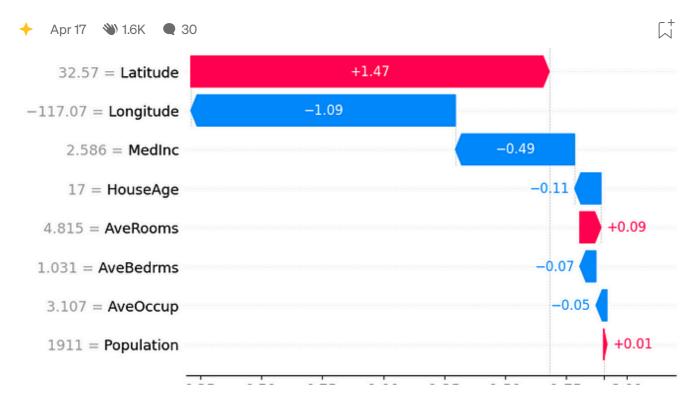
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