

OpenAI

OpenAI, Inc. is an American <u>artificial intelligence</u> (AI) research organization founded in December 2015 and headquartered in <u>San Francisco</u>, <u>California</u>. It aims to develop "safe and beneficial" <u>artificial general intelligence</u> (AGI), which it defines as "highly autonomous systems that outperform humans at most economically valuable work". [6] As a leading organization in the ongoing <u>AI boom</u>, [7] OpenAI is known for the GPT family of <u>large language models</u>, the <u>DALL-E</u> series of <u>text-to-image models</u>, and a <u>text-to-video model</u> named <u>Sora</u>. [8][9] Its release of <u>ChatGPT</u> in November 2022 has been credited with catalyzing widespread interest in generative AI.

The organization has a complex corporate structure. As of April 2025, it is led by the <u>non-profit</u> OpenAI, Inc., <u>[1]</u> registered in Delaware, and has multiple forprofit subsidiaries including OpenAI Holdings, LLC and OpenAI Global, LLC. <u>[10]</u> <u>Microsoft</u> has invested US\$13 billion in OpenAI, and is entitled to 49% of OpenAI Global, LLC's profits, capped at an estimated 10x their investment. <u>[11][12]</u> Microsoft also provides computing resources to OpenAI through its <u>cloud</u> platform, Microsoft Azure. <u>[13]</u>

In 2023 and 2024, OpenAI faced multiple lawsuits for alleged copyright infringement against authors and media companies whose work was used to train some of OpenAI's products. In November 2023, OpenAI's board removed Sam Altman as CEO, citing a lack of confidence in him, but reinstated him five days later following a reconstruction of the board. Throughout 2024, roughly half of then-employed AI safety researchers left OpenAI, citing the company's prominent role in an industry-wide problem. [14][15]

OpenAl

OpenAl

Company type Private

Industry Artificial intelligence

Founded December 8, 2015^[1]

Founder John Schulman

Elon Musk Ilya Sutskever Sam Altman

Headquarters 1455 3rd Street, San

Francisco, California,

U.S.[2]

Key people Bret Taylor (Chairman)

Sam Altman (CEO)

Greg Brockman (President)

Sarah Friar (CFO)[3]

Products OpenAl Five

GPT-1 · 2 · 3 · 4 · 40 · 4.5 ·

<u>4.1</u> DALL∙E

OpenAl Codex

<u>ChatGPT</u> SearchGPT

Sora

01 · 03 · 04-mini

Operator

Deep Research

Revenue △ US\$3.7 billion^[4]

(2024 est.)

Net income ▼ US\$-5 billion^[4]

(2024 est.)

Total assets 19,976,363 United States

dollar (2021, 2021)

Number of employees

2,000+ (2024)^[5]

2015–2018: Non-profit beginnings

In December 2015, OpenAI was founded by <u>Sam Altman</u>, <u>Elon Musk</u>, <u>Ilya Sutskever</u>, <u>Greg Brockman</u>, <u>Trevor Blackwell</u>, Vicki Cheung, <u>Andrej Karpathy</u>, Durk Kingma, John Schulman, Pamela Vagata, and <u>Wojciech Zaremba</u>, with Sam Altman and Elon Musk as the co-chairs. A total of \$1 billion in capital was pledged by Sam Altman, Greg Brockman, Elon Musk, <u>Reid Hoffman</u>, <u>Jessica Livingston</u>, <u>Peter Thiel</u>, <u>Amazon Web Services</u> (AWS), <u>Infosys</u>, and <u>YC Research</u>. <u>[16][17]</u> The actual collected total amount of contributions was only \$130 million until 2019. <u>[10]</u> According



Former headquarters at the <u>Pioneer</u> Building in San Francisco

to an investigation led by <u>TechCrunch</u>, while YC Research never contributed any funds, <u>Open Philanthropy</u> contributed \$30 million and another \$15 million in verifiable donations were traced back to Musk. OpenAI later stated that Musk's contributions totaled less than \$45 million. The organization stated it would "freely collaborate" with other institutions and researchers by making its patents and research open to the public. OpenAI was initially run from Brockman's living room. It was later headquartered at the Pioneer Building in the Mission District, San Francisco.

According to OpenAI's charter, its founding mission is "to ensure that artificial general intelligence (AGI) —by which we mean highly autonomous systems that outperform humans at most economically valuable work—benefits all of humanity." [6]

According to *Wired*, Brockman met with <u>Yoshua Bengio</u>, one of the "founding fathers" of <u>deep learning</u>, and drew up a list of the "best researchers in the field". Brockman was able to hire nine of them as the first employees in December 2015. In 2016, OpenAI paid corporate-level (rather than nonprofit-level) salaries, but did not pay AI researchers salaries comparable to those of Facebook or Google.

Microsoft's Peter Lee stated that the cost of a top AI researcher exceeds the cost of a top $\overline{\text{NFL}}$ quarterback prospect. OpenAI's potential and mission drew these researchers to the firm; a Google employee said he was willing to leave Google for OpenAI "partly because of the very strong group of people and, to a very large extent, because of its mission." Brockman stated that "the best thing that I could imagine doing was moving humanity closer to building real AI in a safe way." OpenAI co-founder Wojciech Zaremba stated that he turned down "borderline crazy" offers of two to three times his market value to join OpenAI instead.

In April 2016, OpenAI released a public beta of "OpenAI Gym", its platform for reinforcement learning research. Nvidia gifted its first DGX-1 supercomputer to OpenAI in August 2016 to help it train larger and more complex AI models with the capability of reducing processing time from six days to two hours. In December 2016, OpenAI released "Universe", a software platform for measuring and training an AI's general intelligence across the world's supply of games, websites, and other applications.

In 2017, OpenAI spent \$7.9 million, or a quarter of its functional expenses, on cloud computing alone. [33] In comparison, DeepMind's total expenses in 2017 were \$442 million. In the summer of 2018, simply training OpenAI's *Dota 2* bots required renting 128,000 CPUs and 256 GPUs from Google for multiple weeks.

In 2018, Musk resigned from his Board of Directors seat, citing "a potential future conflict [of interest]" with his role as CEO of <u>Tesla</u> due to Tesla's <u>AI development for self-driving cars. [34]</u> Sam Altman claims that Musk believed that OpenAI had fallen behind other players like Google and Musk proposed instead to take over OpenAI himself, which the board rejected. Musk subsequently left OpenAI.

In February 2019, $\underline{\text{GPT-2}}$ was announced, which gained attention for its ability to generate human-like text. [35]

2019: Transition from non-profit

In 2019, OpenAI transitioned from non-profit to "capped" for-profit, with the profit being capped at 100 times any investment. According to OpenAI, the capped-profit model allows OpenAI Global, LLC to legally attract investment from venture funds and, in addition, to grant employees stakes in the company. Many top researchers work for Google Brain, DeepMind, or Facebook, which offer stock options that a nonprofit would be unable to. Before the transition, public disclosure of the compensation of top employees at OpenAI was legally required. [39]

The company then distributed <u>equity</u> to its employees and partnered with Microsoft, announcing an investment package of \$1 billion into the company. Since then, OpenAI systems have run on an <u>Azure-based</u> supercomputing platform from Microsoft. [41][42][43]

OpenAI Global, LLC then announced its intention to commercially license its technologies. [44] It planned to spend the \$1 billion "within five years, and possibly much faster". [45] Altman has stated that even a billion dollars may turn out to be insufficient, and that the lab may ultimately need "more capital than any non-profit has ever raised" to achieve artificial general intelligence. [46]

The transition from a nonprofit to a capped-profit company was viewed with skepticism by <u>Oren Etzioni</u> of the nonprofit <u>Allen Institute for AI</u>, who agreed that wooing top researchers to a nonprofit is difficult, but stated "I disagree with the notion that a nonprofit can't compete" and pointed to successful low-budget projects by OpenAI and others. "If bigger and better funded was always better, then <u>IBM</u> would still be number one."

The nonprofit, OpenAI, Inc., is the sole <u>controlling shareholder</u> of OpenAI Global, LLC, which, despite being a for-profit company, retains a formal <u>fiduciary responsibility</u> to OpenAI, Inc.'s nonprofit charter. A majority of OpenAI, Inc.'s board is barred from having financial stakes in OpenAI Global, LLC. [37] In addition, minority members with a stake in OpenAI Global, LLC are barred from certain votes due to conflict of interest. [38] Some researchers have argued that OpenAI Global, LLC's switch to for-profit status is inconsistent with OpenAI's claims to be "democratizing" AI. [47]

2020-2023: ChatGPT, DALL-E, partnership with Microsoft

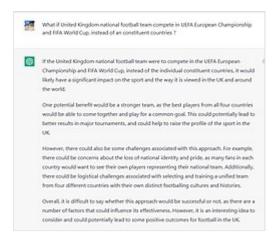
In 2020, OpenAI announced <u>GPT-3</u>, a language model trained on large internet datasets. GPT-3 is aimed at natural language answering questions, but it can also translate between languages and coherently generate improvised text. It also announced that an associated API, named simply "the API", would form the heart of its first commercial product. [48]

Eleven employees left OpenAI, mostly between December 2020 and January 2021, in order to establish Anthropic. [49]

In 2021, OpenAI introduced <u>DALL-E</u>, a specialized deep learning model adept at generating complex digital images from textual descriptions, utilizing a variant of the GPT-3 architecture. [50]

In December 2022, OpenAI received widespread media coverage after launching a free preview of <u>ChatGPT</u>, its new AI <u>chatbot</u> based on GPT-3.5. According to OpenAI, the preview received over a million signups within the first five days. [52] According to anonymous sources cited by <u>Reuters</u> in December 2022, OpenAI Global, LLC was projecting \$200 million of revenue in 2023 and \$1 billion in revenue in 2024. [53]

In January 2023, OpenAI Global, LLC was in talks for funding that would value the company at \$29 billion, double its 2021 value. $\frac{[54]}{}$ On January 23, 2023, Microsoft announced a new US\$10 billion investment in OpenAI Global, LLC over multiple years, partially needed to use Microsoft's cloud-computing service Azure. $\frac{[55][56]}{}$ Rumors of this deal suggested that Microsoft may receive 75% of OpenAI's profits until it secures its investment return and a 49% stake in the company. $\frac{[57]}{}$ The investment is believed to be a part of



The release of <u>ChatGPT</u> was a major event in the <u>AI boom</u>. By January 2023, ChatGPT had become what was then the fastest-growing consumer software application in history, gaining over 100 million users in two months. [51]

Microsoft's efforts to integrate OpenAI's ChatGPT into the Bing search engine. Google announced a similar AI application (Bard), after ChatGPT was launched, fearing that ChatGPT could threaten Google's place as a go-to source for information. [58][59]

On February 7, 2023, Microsoft announced that it was building AI technology based on the same foundation as ChatGPT into Microsoft Bing, Edge, Microsoft 365 and other products. [60]

On March 3, 2023, <u>Reid Hoffman</u> resigned from his board seat, citing a desire to avoid conflicts of interest with his investments in AI companies via <u>Greylock Partners</u>, and his co-founding of the AI startup Inflection AI. Hoffman remained on the board of Microsoft, a major investor in OpenAI. [61]

On March 14, 2023, OpenAI released $\underline{GPT-4}$, both as an API (with a waitlist) and as a feature of ChatGPT Plus. [62]

On May 22, 2023, Sam Altman, Greg Brockman and Ilya Sutskever posted recommendations for the governance of <u>superintelligence</u>. They consider that superintelligence could happen within the next 10 years, allowing a "dramatically more prosperous future" and that "given the possibility of existential risk, we can't just be reactive". They propose creating an international watchdog organization similar to IAEA

to oversee AI systems above a certain capability threshold, suggesting that relatively weak AI systems on the other side should not be overly regulated. They also call for more technical safety research for superintelligences, and ask for more coordination, for example through governments launching a joint project which "many current efforts become part of". [63][64]

In July 2023, OpenAI launched the superalignment project, aiming to find within 4 years how to $\underline{\text{align}}$ future superintelligences by automating alignment research using AI. [65]

In August 2023, it was announced that OpenAI had acquired the <u>New York</u>-based start-up Global Illumination, a company that deploys AI to develop digital infrastructure and creative tools. [66]

On September 21, 2023, Microsoft had begun rebranding all variants of its Copilot to Microsoft Copilot, including the former *Bing Chat* and the *Microsoft 365 Copilot*. This strategy was followed in December 2023 by adding the MS-Copilot to many installations of Windows 11 and Windows 10 as well as a standalone *Microsoft Copilot app* released for Android and one released for iOS thereafter. [69]

In October 2023, Sam Altman and Peng Xiao, CEO of the Emirati AI firm $\underline{G42}$, announced Open AI would let G42 deploy Open AI technology. [70]

On November 6, 2023, OpenAI launched GPTs, allowing individuals to create customized versions of ChatGPT for specific purposes, further expanding the possibilities of AI applications across various industries. On November 14, 2023, OpenAI announced they temporarily suspended new sign-ups for ChatGPT Plus due to high demand. Access for newer subscribers re-opened a month later on December 13.

2024: Public/Non-Profit Efforts, Sora, Partnership with Apple

In January 2024, OpenAI partnered with <u>Arizona State University</u> to provide complete access to ChatGPT Enterprise in its first educational collaboration. [74]

In February, amidst SEC probes and investigations into CEO Altman's communications $^{[75]}$ OpenAI unveiled its text-to-video model $\underline{\text{Sora}}$ (text-to-video model), currently available to red teams for managing risks $^{[76][77]}$

On February 29, 2024, <u>Elon Musk</u> filed a lawsuit against OpenAI and CEO Sam Altman, accusing them of shifting focus from public benefit to profit maximization—a case OpenAI dismissed as "incoherent" and "frivolous," though Musk later revived legal action against Altman and others in August 2024 [78][79][80][81]

In May 2024, significant leadership changes occurred as Chief Scientist <u>Ilya Sutskever</u> resigned—being succeeded by <u>Jakub Pachocki</u>—and co-leader <u>Jan Leike</u> departed amid concerns over safety and trust. That same month, OpenAI formed a partnership with <u>Reddit</u> to integrate its content into OpenAI products and inked content deals with <u>News Corp</u>, along with licensing arrangements involving publishers such as <u>Axios</u> and <u>Vox Media</u>.

In June 2024, OpenAI joined forces with <u>Apple Inc.</u> to integrate ChatGPT features into <u>Apple Intelligence</u> and $\underline{iPhone}^{[86]}$ and added former NSA head <u>Paul Nakasone</u> to its board, while acquiring Multi, a startup focused on remote collaboration. [88]

In July 2024, Reuters reported that OpenAI was developing a project, codenamed 'Strawberry', to enhance AI reasoning—a project later released in September as the o1 model. [89][90]

In August 2024, cofounder John Schulman left to join rival startup <u>Anthropic</u>, and OpenAI's president Greg Brockman took extended leave until November. [91]

In September 2024, OpenAI's global affairs chief endorsed the UK's "smart" AI regulation during testimony to a <u>House of Lords</u> committee, Meanwhile, CTO <u>Mira Murati</u> announced her departure amid internal concerns. [93][94]

In October 2024, OpenAI secured \$6.6 billion in funding—valuing it at \$157 billion—with major investors including Microsoft, Nvidia, and SoftBank, [95] It also acquired the domain Chat.com, [96][97] and saw the return of Greg Brockman after his brief absence. [98]

In December 2024, during the "12 Days of OpenAI" event, the company launched the <u>Sora</u> model for ChatGPT Plus and Pro users, [99][100] It also launched the advanced <u>OpenAI o1</u> reasoning model [101][102] Additionally, ChatGPT Pro—a \$200/month subscription service offering unlimited o1 access and enhanced voice features—was introduced, and preliminary benchmark results for the upcoming <u>OpenAI o3</u> models were shared. [103]

2025

On January 20, 2025, <u>DeepSeek</u> released the "DeepSeek-R1" model, which rivaled the performance of OpenAI's o1 and was open-weight. <u>[104]</u> DeepSeek claimed that this model only took \$5.6 million to train. This news led to panic from investors and caused <u>Nvidia</u> to record the biggest single day market cap loss in history losing \$589 billion on January 27. <u>[105]</u>

On January 21, 2025, it was announced that OpenAI, <u>Oracle</u>, <u>SoftBank</u> and <u>MGX</u> would launch <u>The Stargate Project</u>, a joint venture to build an AI infrastructure system in conjunction with the <u>US government</u>. The project takes its name from OpenAI's existing "Stargate" supercomputer project and is estimated to cost \$500 billion. The project will be funded over the next four years. [106]

On January 23, OpenAI released *Operator*, an $\underline{\text{AI agent}}$ and web automation tool for accessing websites to execute goals defined by users. The feature was only available to Pro users in the United States. [107][108]

On February 2, OpenAI made a <u>deep research agent</u>, that achieved an accuracy of 26.6 percent on <u>Humanity's Last Exam</u> (HLE) benchmark, available to \$200-monthly-fee paying users with up to 100 queries per month, while more "limited access" was promised for Plus, Team and later Enterprise users. [109]

In February, OpenAI underwent a rebranding with a new typeface, word mark, symbol and palette. [110] OpenAI began collaborating with [100] in 2024 to design a custom AI chip capable of both training and inference targeted for mass production in 2026 and to be manufactured by [100] in 3 nm node. This initiative is intended to reduce OpenAI's dependence on Nvidia GPUs, which are costly and face high demand in the market. [111]

On February 13, Sam Altman announced that GPT-4.5, internally known as "Orion", will be the last model without full chain-of-thought reasoning. Altman also indicated that GPT-5, expected to be released within months, could unify the O-Series and GPT-Series models, eliminating the need to choose between them and phasing out O-series models. [112][113]

In March 2025, OpenAI signed an \$11.9 billion agreement with <u>CoreWeave</u>, an Nvidia-backed, AI-focused cloud service provider. As part of the deal, OpenAI will receive \$350 million worth of CoreWeave shares and gain access to its AI infrastructure, which includes over a quarter million NVIDIA GPUs. [114]

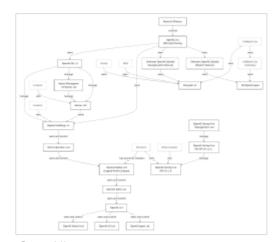
In April 2025, OpenAI raised \$40 billion at a \$300 billion post-money valuation, marking the largest private technology deal on record. The financing round was led by SoftBank, with other participants including Microsoft, Coatue, Altimeter, and Thrive. [115][116]

On April 9, 2025, OpenAI countersued Musk in federal court, alleging that he had engaged in "bad-faith tactics" to slow the company's progress and seize its innovations for his personal benefit. OpenAI also argued that Musk had previously supported the creation of a for-profit structure and had expressed interest in controlling OpenAI himself. The countersuit seeks damages and legal measures to prevent further alleged interference. [117]

Management

Key employees

- CEO and co-founder: <u>Sam Altman</u>, former president of the startup accelerator Y Combinator
- President and co-founder: Greg Brockman, former CTO, 3rd employee of Stripe^[118]
- Chief Scientist Officer: <u>Jakub Pachocki</u>, former Director of Research at OpenAl^[82]
- Chief Operating Officer: Brad Lightcap, previously at Y Combinator and JPMorgan Chase^[119]
- Chief Financial Officer: <u>Sarah Friar</u>, former <u>Nextdoor</u> CEO and former CFO at Block, Inc. [120]
- Chief Product Officer: Kevin Weil, previously at Twitter, Inc. and Meta Platforms^[120]



OpenAI's corporate structure

- Chief Research Officer: Mark Chen, former SVP of Research at OpenAl^[121]
- Chief Compliance Officer: Scott Schools, former Chief Compliance Officer of Uber

Board of directors of the OpenAl nonprofit

- Bret Taylor (chairman), former chairman of <u>Twitter</u>'s board of directors and co-CEO of Salesforce
- Sam Altman

- Lawrence Summers, former <u>U.S. Secretary of the Treasury</u> and <u>President of Harvard</u> University
- Adam D'Angelo, co-founder and CEO of Quora
- Sue Desmond-Hellmann, former CEO of the Bill & Melinda Gates Foundation
- Nicole Seligman, attorney and former executive vice president of the Sony Corporation
- Fidji Simo, CEO and chair of Instacart
- Paul Nakasone, former Director of the National Security Agency (2018–2024)[122]
- Zico Kolter, computer scientist^[123]
- Adebayo Ogunlesi, managing partner at Global Infrastructure Partners [124]

Sources: [10][125]

Principal individual investors

Source:[118]

- Reid Hoffman, LinkedIn co-founder^[126]
- Peter Thiel, PayPal co-founder^[126]
- Jessica Livingston, a founding partner of Y Combinator
- Elon Musk, co-founder

Initial motivations

Some scientists, such as <u>Stephen Hawking</u> and <u>Stuart Russell</u>, have articulated concerns that if advanced AI gains the ability to redesign itself at an ever-increasing rate, an unstoppable "<u>intelligence explosion</u>" could lead to <u>human extinction</u>. Co-founder <u>Musk</u> characterizes AI as humanity's "biggest existential threat". [127]

Musk and Altman have stated they are partly motivated by concerns about <u>AI safety</u> and the <u>existential risk from artificial general intelligence</u>. [128][129] OpenAI states that "it's hard to fathom how much human-level AI could benefit society," and that it is equally difficult to comprehend "how much it could damage society if built or used incorrectly". [21] Research on safety cannot safely be postponed: "because of AI's surprising history, it's hard to predict when human-level AI might come within reach." [130] OpenAI states that AI "should be an extension of individual human wills and, in the spirit of liberty, as broadly and evenly distributed as possible." [21] Co-chair Sam Altman expects the decades-long project to surpass human intelligence. [131]

<u>Vishal Sikka</u>, former CEO of Infosys, stated that an "openness", where the endeavor would "produce results generally in the greater interest of humanity", was a fundamental requirement for his support; and that OpenAI "aligns very nicely with our long-held values" and their "endeavor to do purposeful work". Cade Metz of *Wired* suggested that corporations such as <u>Amazon</u> might be motivated by a desire to use open-source software and data to level the playing field against corporations such as Google and Facebook, which own enormous supplies of proprietary data. Altman stated that Y Combinator companies would share their data with OpenAI. [131]

Strategy

In the early years before his 2018 departure, Musk posed the question: "What is the best thing we can do to ensure the future is good? We could sit on the sidelines or we can encourage regulatory oversight, or we could participate with the right structure with people who care deeply about developing AI in a way that is safe and is beneficial to humanity." He acknowledged that "there is always some risk that in actually trying to advance (friendly) AI we may create the thing we are concerned about"; but nonetheless, that the best defense was "to empower as many people as possible to have AI. If everyone has AI powers, then there's not any one person or a small set of individuals who can have AI superpower." [118]

Musk and Altman's counterintuitive strategy—that of trying to reduce the potential harm of AI by giving everyone access to it—is controversial among those concerned with existential risk from AI. Philosopher Nick Bostrom said, "If you have a button that could do bad things to the world, you don't want to give it to everyone." During a 2016 conversation about technological singularity, Altman said, "We don't plan to release all of our source code" and mentioned a plan to "allow wide swaths of the world to elect representatives to a new governance board". Greg Brockman stated, "Our goal right now ... is to do the best thing there is to do. It's a little vague." [133]

Conversely, OpenAI's initial decision to withhold GPT-2 around 2019, due to a wish to "err on the side of caution" in the presence of potential misuse, was criticized by advocates of openness. Delip Rao, an expert in text generation, stated, "I don't think [OpenAI] spent enough time proving [GPT-2] was actually dangerous." Other critics argued that open publication was necessary to replicate the research and to create countermeasures. [134]

More recently, in 2022, OpenAI published its approach to the <u>alignment problem</u>, anticipating that aligning <u>AGI</u> to human values would likely be harder than aligning current AI systems: "Unaligned AGI could pose substantial risks to humanity[,] and solving the AGI alignment problem could be so difficult that it will require all of humanity to work together". They stated that they intended to explore how to better use human feedback to train AI systems, and how to safely use AI to incrementally automate alignment research. [135]

In 2024, following the temporary removal of Sam Altman and his return, many employees gradually left OpenAI, including most of the original leadership team and a significant number of AI safety researchers. [136][137] OpenAI also planned a restructuring to operate as a for-profit company. This restructuring could grant Altman a stake in the company. [138]

Stance on China

In February 2025, OpenAI CEO <u>Sam Altman</u> stated that the company is interested in collaborating with the <u>People's Republic of China</u>, despite <u>regulatory restrictions imposed by the U.S. government</u>. This shift comes in response to the growing influence of the Chinese artificial intelligence company <u>DeepSeek</u>, which has disrupted the AI market with advanced models, including DeepSeek V3 and DeepSeek R1, known for their efficiency and cost-effectiveness. [140]

The emergence of DeepSeek has led major Chinese tech firms such as <u>Baidu</u> and others to embrace an <u>open-source</u> strategy, intensifying competition with OpenAI. Altman acknowledged the uncertainty regarding U.S. government approval for AI cooperation with China but emphasized the importance of fostering dialogue between technological leaders in both nations. [141]

Products and applications

Reinforcement learning

At its beginning, OpenAI's research included many projects focused on <u>reinforcement learning</u> (RL). OpenAI has been viewed as an important competitor to DeepMind. [143]

Gym

Announced in 2016, Gym was an open-source <u>Python</u> library designed to facilitate the development of reinforcement learning algorithms. It aimed to standardize how environments are defined in AI research, making published research more easily reproducible while providing users with a simple interface for interacting with these environments. In 2022, new developments of Gym have been moved to the library Gymnasium. [145][146]

Gym Retro

Released in 2018, Gym Retro is a platform for reinforcement learning (RL) research on video games [147] using RL algorithms and study generalization. Prior RL research focused mainly on optimizing agents to solve single tasks. Gym Retro gives the ability to generalize between games with similar concepts but different appearances.

RoboSumo

Released in 2017, RoboSumo is a <u>virtual world</u> where humanoid <u>metalearning</u> robot agents initially lack knowledge of how to even walk, but are given the goals of learning to move and to push the opposing agent out of the ring. Through this adversarial learning process, the agents learn how to adapt to changing conditions. When an agent is then removed from this virtual environment and placed in a new virtual environment with high winds, the agent braces to remain upright, suggesting it had learned how to balance in a generalized way. OpenAI's Igor Mordatch argued that competition between agents could create an intelligence "arms race" that could increase an agent's ability to function even outside the context of the competition.

OpenAl Five

OpenAI Five is a team of five OpenAI-curated <u>bots</u> used in the competitive five-on-five video game <u>Dota</u> <u>2</u>, that learn to play against human players at a high skill level entirely through trial-and-error algorithms. Before becoming a team of five, the first public demonstration occurred at <u>The International 2017</u>, the annual premiere championship tournament for the game, where <u>Dendi</u>, a professional Ukrainian player, lost against a bot in a live one-on-one matchup. [150][151] After the match, CTO Greg Brockman explained that the bot had learned by playing against itself for two weeks of real time, and that the learning software

was a step in the direction of creating software that can handle complex tasks like a surgeon. $\frac{[152][153]}{[153]}$ The system uses a form of reinforcement learning, as the bots learn over time by playing against themselves hundreds of times a day for months, and are rewarded for actions such as killing an enemy and taking map objectives. $\frac{[154][155][156]}{[154][155][156]}$

By June 2018, the ability of the bots expanded to play together as a full team of five, and they were able to defeat teams of amateur and semi-professional players. [157][154][158][159] At The International 2018, OpenAI Five played in two exhibition matches against professional players, but ended up losing both games. [160][161][162] In April 2019, OpenAI Five defeated OG, the reigning world champions of the game at the time, 2:0 in a live exhibition match in San Francisco. [163][164] The bots' final public appearance came later that month, where they played in 42,729 total games in a four-day open online competition, winning 99.4% of those games. [165]

OpenAI Five's mechanisms in Dota 2's bot player shows the challenges of AI systems in multiplayer online battle arena (MOBA) games and how OpenAI Five has demonstrated the use of deep reinforcement learning (DRL) agents to achieve superhuman competence in Dota 2 matches. [166]

Dactyl

Developed in 2018, Dactyl uses machine learning to train a <u>Shadow Hand</u>, a human-like robot hand, to manipulate physical objects. It learns entirely in simulation using the same RL algorithms and training code as <u>OpenAI Five</u>. OpenAI tackled the object orientation problem by using <u>domain randomization</u>, a simulation approach which exposes the learner to a variety of experiences rather than trying to fit to reality. The set-up for Dactyl, aside from having motion tracking cameras, also has <u>RGB</u> cameras to allow the robot to manipulate an arbitrary object by seeing it. In 2018, OpenAI showed that the system was able to manipulate a cube and an octagonal prism. [168]

In 2019, OpenAI demonstrated that Dactyl could solve a <u>Rubik's Cube</u>. The robot was able to solve the puzzle 60% of the time. Objects like the Rubik's Cube introduce complex physics that is harder to model. OpenAI did this by improving the robustness of Dactyl to perturbations by using Automatic Domain Randomization (ADR), a simulation approach of generating progressively more difficult environments. ADR differs from manual domain randomization by not needing a human to specify randomization ranges. [169]

API

In June 2020, OpenAI announced a multi-purpose <u>API</u> which it said was "for accessing new AI models developed by OpenAI" to let developers call on it for "any English language AI task". [170][171]

Text generation

The company has popularized generative pretrained transformers (GPT). [172]

OpenAI's original GPT model ("GPT-1")

The original paper on generative pre-training of a <u>transformer</u>-based language model was written by <u>Alec Radford</u> and his colleagues, and published in preprint on OpenAI's website on June 11, 2018. It showed how a generative model of language could acquire world knowledge and process long-range

dependencies by pre-training on a diverse corpus with long stretches of contiguous text.

GPT-2

Generative Pre-trained Transformer 2 ("GPT-2") is an unsupervised transformer language model and the successor to OpenAI's original GPT model ("GPT-1"). GPT-2 was announced in February 2019, with only limited demonstrative versions initially released to the public. The full version of GPT-2 was not immediately released due to concern about potential misuse, including applications for writing fake news. [174] Some experts expressed skepticism that GPT-2 posed a significant threat.

In response to GPT-2, the Allen Institute for Artificial Intelligence responded with a tool to detect "neural fake news". [175] Other researchers, such as Jeremy Howard, warned of "the technology to totally fill Twitter, email, and the web up with reasonable-sounding, context-appropriate prose, which would drown out all other speech and be impossible to filter". [176] In November 2019, OpenAI released the complete version of the GPT-2 language model. [177] Several websites host interactive demonstrations of different instances of GPT-2 and other transformer models. [178][179][180]

GPT-2's authors argue unsupervised language models to be general-purpose learners, illustrated by GPT-2 achieving

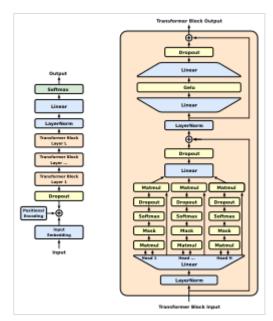
state-of-the-art accuracy and <u>perplexity</u> on 7 of 8 <u>zero-shot</u> tasks (i.e. the model was not further trained on any task-specific input-output examples).

The corpus it was trained on, called WebText, contains slightly 40 gigabytes of text from <u>URLs</u> shared in <u>Reddit</u> submissions with at least 3 <u>upvotes</u>. It avoids certain issues encoding vocabulary with word tokens by using <u>byte pair encoding</u>. This permits representing any string of characters by encoding both individual characters and multiple-character tokens. [181]

GPT-3

First described in May 2020, Generative Pre-trained Transformer 3 (GPT-3) is an unsupervised transformer language model and the successor to GPT-2. [182][183][184] OpenAI stated that the full version of GPT-3 contained 175 billion parameters, two orders of magnitude larger than the 1.5 billion [185] in the full version of GPT-2 (although GPT-3 models with as few as 125 million parameters were also trained). [186]

OpenAI stated that GPT-3 succeeded at certain "<u>meta-learning</u>" tasks and could generalize the purpose of a single input-output pair. The GPT-3 release paper gave examples of translation and cross-linguistic transfer learning between English and Romanian, and between English and German. [184]



The original GPT model



An instance of GPT-2 writing a paragraph based on a prompt from its own Wikipedia article in February 2021

GPT-3 dramatically improved benchmark results over GPT-2. OpenAI cautioned that such scaling-up of language models could be approaching or encountering the fundamental capability limitations of predictive language models. Pre-training GPT-3 required several thousand petaflop/s-days of compute, compared to tens of petaflop/s-days for the full GPT-2 model. Like its predecessor, the GPT-3 trained model was not immediately released to the public for concerns of possible abuse, although OpenAI planned to allow access through a paid cloud $\overline{\text{API}}$ after a two-month free private beta that began in June 2020. [170][189]

On September 23, 2020, GPT-3 was licensed exclusively to Microsoft. [190][191]

Codex

Announced in mid-2021, Codex is a descendant of GPT-3 that has additionally been trained on code from 54 million GitHub repositories, and is the AI powering the code autocompletion tool GitHub Copilot. In August 2021, an API was released in private beta. According to OpenAI, the model can create working code in over a dozen programming languages, most effectively in Python.

Several issues with glitches, design flaws and security vulnerabilities were cited. [195][196]

OpenAI announced that they would discontinue support for Codex API on March 23, 2023. [197]

GPT-4

On March 14, 2023, OpenAI announced the release of Generative Pre-trained Transformer 4 (GPT-4), capable of accepting text or image inputs. They announced that the updated technology passed a simulated law school bar exam with a score around the top 10% of test takers. (By contrast, GPT-3.5 scored around the bottom 10%.) They said that GPT-4 could also read, analyze or generate up to 25,000 words of text, and write code in all major programming languages.

Observers reported that the iteration of ChatGPT using GPT-4 was an improvement on the previous GPT-3.5-based iteration, with the caveat that GPT-4 retained some of the problems with earlier revisions. [200] GPT-4 is also capable of taking images as input on ChatGPT. [201] OpenAI has declined to reveal various technical details and statistics about GPT-4, such as the precise size of the model. [202]

GPT-40

On May 13, 2024, OpenAI announced and released <u>GPT-4o</u>, which can process and generate text, images and audio. [203] GPT-4o achieved state-of-the-art results in voice, multilingual, and vision benchmarks, setting new records in audio speech recognition and translation. [204][205] It scored 88.7% on the Massive Multitask Language Understanding (MMLU) benchmark compared to 86.5% by GPT-4. [206]

On July 18, 2024, OpenAI released GPT-40 mini, a smaller version of GPT-40 replacing GPT-3.5 Turbo on the ChatGPT interface. Its <u>API</u> costs \$0.15 per million input tokens and \$0.60 per million output tokens, compared to \$5 and \$15 respectively for GPT-40. OpenAI expects it to be particularly useful for enterprises, startups and developers seeking to automate services with AI agents. [207]

In March 2025, OpenAI released GPT-4o's native image generation feature, as an alternative to DALL-E $3.\frac{[208]}{}$

GPT-4.5

On February 27, 2025, OpenAI released <u>GPT-4.5</u>, codenamed Orion. Sam Altman claimed that GPT-4.5 would present inaccurate information less frequently than previous models, and described it as a "giant, expensive model". [209]

GPT-4.1

On April 14, 2025, OpenAI released the $\underline{\text{GPT-4.1}}$ model. They also released two "smaller, faster, and cheaper" models including GPT-4.1 mini and GPT-4.1 nano. $\underline{^{[210][211][212]}}$

o1

On September 12, 2024, OpenAI released the o1-preview and o1-mini models, which have been designed to take more time to think about their responses, leading to higher accuracy. These models are particularly effective in science, coding, and reasoning tasks, and were made available to ChatGPT Plus and Team members. [213][214] In December 2024, o1-preview was replaced by o1. [215] In March 2025, the o1-Pro model was made available through OpenAI's developer API, which was previously available to ChatGPT Pro users since December 2024. The pricing is \$150 per million input tokens and \$600 per million output tokens. [216]

о3

On December 20, 2024, OpenAI unveiled o3, the successor of the o1 reasoning model. OpenAI also unveiled o3-mini, a lighter and faster version of OpenAI o3. As of December 21, 2024, this model is not available for public use. According to OpenAI, they are testing o3 and o3-mini. [217][218] Until January 10, 2025, safety and security researchers had the opportunity to apply for early access to these models. [219] The model is called o3 rather than o2 to avoid confusion with telecommunications services provider O2. [220] On April 2025, OpenAI released o3 to all the paid users. o3 has enhance reasoning and problem-solving capabilities than o1. [221]

Deep research

Deep research is an <u>agent</u> developed by OpenAI, unveiled on February 2, 2025. It leverages the capabilities of OpenAI's o3 model to perform extensive web browsing, data analysis, and synthesis, delivering comprehensive reports within a timeframe of 5 to 30 minutes. With browsing and Python tools enabled, it reached an accuracy of 26.6 percent on <u>HLE (Humanity's Last Exam)</u> benchmark. In April 2025, OpenAI started rolling out a lightweight version of Deep Research to all its ChatGPT free users.

Image classification

CLIP

Revealed in 2021, CLIP (Contrastive Language–Image Pre-training) is a model that is trained to analyze the semantic similarity between text and images. It can notably be used for image classification. [225]

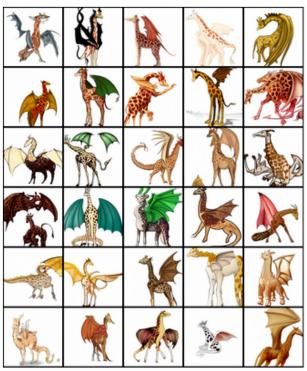
Text-to-image

DALL-E

Revealed in 2021, DALL-E is a Transformer model that creates images from textual descriptions. DALL-E uses a 12-billion-parameter version of GPT-3 to interpret natural language inputs (such as "a green leather purse shaped like a pentagon" or "an isometric view of a sad capybara") and generate corresponding images. It can create images of realistic objects ("a stained-glass window with an image of a blue strawberry") as well as objects that do not exist in reality ("a cube with the texture of a porcupine"). As of March 2021, no API or code is available.

DALL-E 2

In April 2022, OpenAI announced DALL-E 2, an updated version of the model with more realistic results. [227] In December 2022, OpenAI published on GitHub software for Point-E, a new rudimentary system for converting a text description into a 3-dimensional model. [228]



Images produced in 2021 by DALL-E when given the text prompt "a professional high-quality illustration of a giraffe dragon chimera. a giraffe imitating a dragon. a giraffe made of dragon."

DALL-E3

In September 2023, OpenAI announced DALL-E 3, a more powerful model better able to generate images from complex descriptions without manual prompt engineering and render complex details like hands and text. [229] It was released to the public as a ChatGPT Plus feature in October. [230]

Text-to-video

Sora

Sora is a $\underline{\text{text-to-video}}$ model that can generate videos based on short descriptive prompts [231] as well as extend existing videos forwards or backwards in time. [232] It can generate videos with resolution up to $1920 \times 1080 \times 1920$. The maximal length of generated videos is unknown.

Sora's development team named it after the <u>Japanese</u> word for "sky", to signify its "limitless creative potential". [231] Sora's technology is an adaptation of the technology behind the <u>DALL·E 3 text-to-image model</u>. [233] OpenAI trained the system using publicly-available videos as well as copyrighted videos licensed for that purpose, but did not reveal the number or the exact sources of the videos. [231]

OpenAI demonstrated some Sora-created <u>high-definition videos</u> to the public on February 15, 2024, stating that it could generate videos up to one minute long. It also shared a technical report highlighting the methods used to train the model, and the model's capabilities. [233] It acknowledged some of its

shortcomings, including struggles simulating complex physics. [234] Will Douglas Heaven of the <u>MIT</u> <u>Technology Review</u> called the demonstration videos "impressive", but noted that they must have been cherry-picked and might not represent Sora's typical output. [233]

Despite skepticism from some academic leaders following Sora's public demo, notable entertainment-industry figures have shown significant interest in the technology's potential. In an interview, actor/filmmaker Tyler Perry expressed his astonishment at the technology's ability to generate realistic video from text descriptions, citing its potential to revolutionize storytelling and content creation. He said that his excitement about Sora's possibilities was so strong that he had decided to pause plans for expanding his Atlanta-based movie studio. [235]

Speech-to-text

Whisper

Released in 2022, Whisper is a general-purpose speech recognition model. [236] It is trained on a large dataset of diverse audio and is also a multi-task model that can perform multilingual speech recognition as well as speech translation and language identification. [237]

Music generation

MuseNet

Released in 2019, MuseNet is a deep neural net trained to predict subsequent musical notes in MIDI music files. It can generate songs with 10 instruments in 15 styles. According to *The Verge*, a song generated by MuseNet tends to start reasonably but then fall into chaos the longer it plays. [238][239] In pop culture, initial applications of this tool were used as early as 2020 for the internet psychological thriller Ben Drowned to create music for the titular character. [240][241]

Jukebox

Released in 2020, Jukebox is an open-sourced algorithm to generate music with vocals. After training on 1.2 million samples, the system accepts a genre, artist, and a snippet of lyrics and outputs song samples. OpenAI stated the songs "show local musical coherence [and] follow traditional chord patterns" but acknowledged that the songs lack "familiar larger musical structures such as choruses that repeat" and that "there is a significant gap" between Jukebox and human-generated music. *The Verge* stated "It's technologically impressive, even if the results sound like mushy versions of songs that might feel familiar", while *Business Insider* stated "surprisingly, some of the resulting songs are catchy and sound legitimate". [242][243][244]

User interfaces

Debate Game

In 2018, OpenAI launched the Debate Game, which teaches machines to debate <u>toy problems</u> in front of a human judge. The purpose is to research whether such an approach may assist in auditing AI decisions and in developing <u>explainable AI.^{[245][246]}</u>

Microscope

Released in 2020, Microscope^[247] is a collection of visualizations of every significant layer and neuron of eight neural network models which are often studied in interpretability.^[248] Microscope was created to analyze the features that form inside these neural networks easily. The models included are <u>AlexNet</u>, <u>VGG-19</u>, different versions of <u>Inception</u>, and different versions of <u>CLIP</u> <u>Resnet</u>.^[249]

ChatGPT

Launched in November 2022, ChatGPT is an artificial intelligence tool built on top of GPT-3 that provides a conversational interface that allows users to ask questions in <u>natural language</u>. The system then responds with an answer within seconds. ChatGPT reached 1 million users 5 days after its launch. [250][251]

As of 2023, ChatGPT Plus is a GPT-4 backed version of ChatGPT^[252] available for a US\$20 per month subscription fee^[253] (the original version is backed by GPT-3.5).^[254] OpenAI also makes GPT-4 available to a select group of applicants through their GPT-4 API waitlist;^[255] after being accepted, an additional fee of US\$0.03 per 1000 tokens in the initial text provided to the model ("prompt"), and US\$0.06 per 1000 tokens that the model generates ("completion"), is charged for access to the version of the



OpenAl's "Blossom" is used as a symbol for ChatGPT and the company.

model with an 8192-token <u>context window</u>; for the 32768-token context window, the prices are doubled. [256]

In May 2023, OpenAI launched a user interface for ChatGPT for the <u>App Store</u> on iOS and later in July 2023 for the <u>Play Store</u> on Android. The app supports chat history syncing and voice input (using <u>Whisper</u>, OpenAI's <u>speech recognition</u> model). In September 2023, OpenAI announced that ChatGPT "can now see, hear, and speak". ChatGPT Plus users can upload images, while mobile app users can talk to the chatbot. [260][261]

In October 2023, OpenAI's latest image generation model, <u>DALL-E 3</u>, was integrated into ChatGPT Plus and ChatGPT Enterprise. The integration uses ChatGPT to write prompts for DALL-E guided by conversation with users. [262][263]

OpenAI's GPT Store, initially slated for a 2023 launch, is now deferred to an undisclosed date in early 2024, attributed likely to the leadership changes in November following the initial announcement. [264]

Concerns about the energy consumption of generative AI, including ChatGPT, are rising. In September 2024, Microsoft entered a deal with <u>Constellation Energy</u> to reopen the <u>Three Mile Island</u> nuclear plant to supply power to its AI-driven data centers. [265]

In December 2024, OpenAI launched a new feature allowing users to call ChatGPT for up to 15 minutes per month for free. [266][267]

SearchGPT

SearchGPT, a prototype <u>search engine</u> developed by OpenAI, was unveiled on July 25, 2024, with an initial limited release to 10,000 test users. It combines traditional search engine features with generative AI capabilities. [268][269]

Stargate and other supercomputers

<u>Stargate</u> is a potential <u>artificial intelligence</u> <u>supercomputer</u> in development by <u>Microsoft</u> and OpenAI, in collaboration with <u>Oracle</u>, <u>SoftBank</u>, and MGX. Stargate is designed as part of a greater data center project, which could represent an investment of as much as \$100 billion by Microsoft.

Stargate is reported to be part of a series of AI-related construction projects planned in the next few years by the companies Microsoft and OpenAI. The supercomputers will be constructed in five phases. The fourth phase should consist in a smaller OpenAI supercomputer, planned to launch around 2026. Stargate is the fifth and final phase of the program, and will take five and six years to complete and is slated to launch around 2028.

The artificial intelligence of Stargate is slated to be contained on millions of special server chips. [272] The supercomputer's data center will be built in the US across 700 acres of land. [272] It has a planned power consumption of 5 gigawatts, for which it could rely on <u>nuclear energy</u>. [272] The name "Stargate" is a <u>homage</u> to the 1994 sci-fi film <u>Stargate</u>. [272]

Controversies

Firing of Altman

On November 17, 2023, Sam Altman was removed as CEO when its board of directors (composed of Helen Toner, Ilya Sutskever, Adam D'Angelo and Tasha McCauley) cited a lack of confidence in him. Chief Technology Officer Mira Murati took over as interim CEO. Greg Brockman, the president of OpenAI, was also removed as chairman of the board [273][274] and resigned from the company's presidency shortly thereafter. Three senior OpenAI researchers subsequently resigned: director of research and GPT-4 lead Jakub Pachocki, head of AI risk Aleksander Mądry, and researcher Szymon Sidor. [276][277]

On November 18, 2023, there were reportedly talks of Altman returning as CEO amid pressure placed upon the board by investors such as Microsoft and <u>Thrive Capital</u>, who objected to Altman's departure. Although Altman himself spoke in favor of returning to OpenAI, he has since stated that he considered starting a new company and bringing former OpenAI employees with him if talks to

reinstate him didn't work out. [279] The board members agreed "in principle" to resign if Altman returned. [280] On November 19, 2023, negotiations with Altman to return failed and Murati was replaced by Emmett Shear as interim CEO. [281] The board initially contacted Anthropic CEO Dario Amodei (a former OpenAI executive) about replacing Altman, and proposed a merger of the two companies, but both offers were declined. [282]

On November 20, 2023, Microsoft CEO <u>Satya Nadella</u> announced Altman and Brockman would be joining Microsoft to lead a new advanced AI research team, but added that they were still committed to OpenAI despite recent events. <u>[283]</u> Before the partnership with Microsoft was finalized, Altman gave the board another opportunity to negotiate with him. <u>[284]</u> About 738 of OpenAI's 770 employees, including Murati and Sutskever, signed an open letter stating they would quit their jobs and join Microsoft if the board did not rehire Altman and then resign. <u>[285][286]</u> This prompted OpenAI investors to consider legal action against the board as well. <u>[287]</u> In response, OpenAI management sent an internal memo to employees stating that negotiations with Altman and the board had resumed and would take some time.

On November 21, 2023, after continued negotiations, Altman and Brockman returned to the company in their prior roles along with a reconstructed board made up of new members <u>Bret Taylor</u> (as chairman) and <u>Lawrence Summers</u>, with D'Angelo remaining. On November 22, 2023, emerging reports suggested that Sam Altman's dismissal from OpenAI may have been linked to his alleged mishandling of a significant breakthrough in the organization's secretive project codenamed Q*. According to sources within OpenAI, Q* is aimed at developing AI capabilities in logical and mathematical reasoning, and reportedly involves performing math on the level of grade-school students. [290][291][292] Concerns about Altman's response to this development, specifically regarding the discovery's potential safety implications, were reportedly raised with the company's board shortly before Altman's firing. On November 29, 2023, OpenAI announced that an anonymous Microsoft employee had joined the board as a non-voting member to observe the company's operations; [294] Microsoft resigned from the board in July 2024. [295]

Content moderation contract with Sama

In January 2023, OpenAI has been criticized for outsourcing the <u>annotation of data sets</u> to <u>Sama</u>, a company based in San Francisco that employed workers in <u>Kenya</u>. These annotations were used to train an AI model to detect toxicity, which could then be used to <u>moderate toxic content</u>, notably from ChatGPT's training data and outputs. However, these pieces of text usually contained detailed descriptions of various types of violence, including sexual violence. The investigation uncovered that OpenAI began sending snippets of data to Sama as early as November 2021. The four Sama employees interviewed by <u>Time</u> described themselves as mentally scarred. OpenAI paid Sama \$12.50 per hour of work, and Sama was redistributing the equivalent of between \$1.32 and \$2.00 per hour post-tax to its annotators. Sama's spokesperson said that the \$12.50 was also covering other implicit costs, among which were infrastructure expenses, quality assurance and management. [296]

Lack of technological transparency

In March 2023, the company was also criticized for disclosing particularly few technical details about products like GPT-4, contradicting its initial commitment to openness and making it harder for independent researchers to replicate its work and develop safeguards. OpenAI cited competitiveness and

safety concerns to justify this strategic turn. OpenAI's former chief scientist Ilya Sutskever argued in 2023 that open-sourcing increasingly capable models was increasingly risky, and that the safety reasons for not open-sourcing the most potent AI models would become "obvious" in a few years. [297]

Non-disparagement agreement

On May 17, 2024, a <u>Vox</u> article reported that OpenAI was asking departing employees to sign a lifelong non-disparagement agreement forbidding them from criticizing OpenAI or acknowledging the existence of the agreement. <u>Daniel Kokotajlo</u>, a former employee, publicly stated that he forfeited his vested equity in OpenAI in order to leave without signing the agreement. [298][299] Sam Altman stated that he was unaware of the equity cancellation provision, and that OpenAI never enforced it to cancel any employee's vested equity. [300] Vox published leaked documents and emails challenging this claim. [301] On May 23, 2024, OpenAI sent a memo releasing former employees from the agreement. [302]

Proposed shift from nonprofit control

OpenAI, Inc. was originally designed as a nonprofit in order to ensure that AGI "benefits all of humanity" rather than "the private gain of any person". In 2019, it created OpenAI Global, LLC, a capped-profit subsidiary controlled by the nonprofit. In December 2024, OpenAI proposed a restructuring plan to convert the capped-profit into a Delaware-based <u>public benefit corporation</u> (PBC), and to release it from the control of the nonprofit. The nonprofit would sell its control and other assets, getting equity in return, and would use it to fund and pursue separate charitable projects, including in science and education. OpenAI's leadership described the change as necessary to secure additional investments, and claimed that the nonprofit's founding mission to ensure AGI "benefits all of humanity" would be better fulfilled. [303]

The plan has been criticized by experts and former employees. A legal letter named "Not For Private Gain (https://notforprivategain.org/)" asked the attorneys general of California and Delaware to intervene, stating that the restructuring is illegal and would remove governance safeguards from the nonprofit and the attorneys general. The letter argues that OpenAI's complex structure was deliberately designed to remain accountable to its mission, without the conflicting pressure of maximizing profits. It contends that the nonprofit is best positioned to advance its mission of ensuring AGI benefits all of humanity by continuing to control OpenAI Global, LLC, whatever the amount of equity that it could get in exchange. PBCs can choose how they balance their mission with profit-making. Controlling shareholders have a large influence on how closely a PBC sticks to its mission. [306][305]

Legally, under nonprofit law, assets dedicated to a charitable purpose must continue to serve that purpose. To change its purpose, OpenAI would have to prove that its current purposes have become unlawful, impossible, impracticable, or wasteful. [307] Elon Musk, who had initiated a lawsuit against OpenAI and Altman in August 2024 alleging the company violated contract provisions by prioritizing profit over its mission, reportedly leveraged this lawsuit to stop the restructuring plan. [306] On February 10, 2025, a consortium of investors led by Elon Musk submitted a \$97.4 billion unsolicited bid to buy the nonprofit that controls OpenAI, declaring willingness to match or exceed any better offer. [308][309] The offer was rejected on 14 February 2025, with OpenAI stating that it was not for sale, [310] but the offer complicated Altman's restructuring plan by suggesting a lower bar for how much the nonprofit should be valued. [309]

In May 2025, the nonprofit's board chairman <u>Bret Taylor</u> announced that the nonprofit would renounce plans to cede control after outside pressure. The capped-profit will still transition to a PBC. [311]

Copyright infringement in training data

OpenAI was sued for copyright infringement by authors Sarah Silverman, Matthew Butterick, Paul Tremblay and Mona Awad in July 2023. [312][313][314] In September 2023, 17 authors, including George R. R. Martin, John Grisham, Jodi Picoult and Jonathan Franzen, joined the Authors Guild in filing a class action lawsuit against OpenAI, alleging that the company's technology was illegally using their copyrighted work. [315][316] The New York Times also sued the company in late December 2023. [313][317] In May 2024 it was revealed that OpenAI had destroyed its Books1 and Books2 training datasets, which were used in the training of GPT-3, and which the Authors Guild believed to have contained over 100,000 copyrighted books. [318]

In 2021, OpenAI developed a <u>speech recognition</u> tool called Whisper. OpenAI used it to transcribe more than one million hours of YouTube videos into text for training GPT-4. The automated transcription of YouTube videos raised concerns within OpenAI employees regarding potential violations of YouTube's terms of service, which prohibit the use of videos for applications independent of the platform, as well as any type of automated access to its videos. Despite these concerns, the project proceeded with notable involvement from OpenAI's president, <u>Greg Brockman</u>. The resulting dataset proved instrumental in training GPT-4. [319]

In February 2024, <u>The Intercept</u> as well as <u>Raw Story</u> and Alternate Media Inc. filed lawsuit against OpenAI on copyright litigation ground. The lawsuit is said to have charted a new legal strategy for digital-only publishers to sue OpenAI. [320]

On April 30, 2024, eight newspapers filed a lawsuit in the <u>Southern District of New York</u> against OpenAI and Microsoft, claiming illegal harvesting of their copyrighted articles. The suing publications included *The Mercury News*, *The Denver Post*, *The Orange County Register*, *St. Paul Pioneer Press*, *Chicago Tribune*, *Orlando Sentinel*, *Sun Sentinel*, and *New York Daily News*. [323]

GDPR compliance

In April 2023, the EU's <u>European Data Protection Board</u> (EDPB) formed a dedicated task force on ChatGPT "to foster cooperation and to exchange information on possible enforcement actions conducted by data protection authorities" based on the "enforcement action undertaken by the Italian data protection authority against Open AI about the Chat GPT service". [324]

In late April 2024 NOYB filed a complaint with the <u>Austrian</u> Datenschutzbehörde against OpenAI for violating the European <u>General Data Protection Regulation</u>. A text created with ChatGPT gave a false <u>date of birth</u> for a living person without giving the individual the option to see the personal data used in the process. A request to correct the mistake was denied. Additionally, neither the recipients of ChatGPT's work nor the sources used, could be made available, OpenAI claimed. [325]

Use by military

OpenAI was criticized for lifting its ban on using ChatGPT for "military and warfare". Up until January 10, 2024, its "usage policies" included a ban on "activity that has high risk of physical harm, including," specifically, "weapons development" and "military and warfare." Its new policies prohibit "[using] our service to harm yourself or others" and to "develop or use weapons". [326][327] As one of the industry collaborators, OpenAI provides LLM to the Artificial Intelligence Cyber Challenge (AIxCC) sponsored

by <u>Defense Advanced Research Projects Agency</u> (DARPA) and <u>Advanced Research Projects Agency for Health</u> to protect software critical to Americans. In October 2024, The Intercept revealed that OpenAI's tools are considered "essential" for <u>AFRICOM</u>'s mission and included in an "Exception to Fair Opportunity" contractural agreement between the United States Department of Defense and Microsoft. In December 2024, OpenAI said it would partner with defense-tech company <u>Anduril</u> to build drone defense technologies for the United States and its allies.

Data scraping

In June 2023, a lawsuit claimed that OpenAI scraped 300 billion words online without consent and without registering as a data broker. It was filed in <u>San Francisco</u>, <u>California</u>, by sixteen anonymous plaintiffs. They also claimed that OpenAI and its partner as well as customer <u>Microsoft</u> continued to unlawfully collect and use personal data from millions of consumers worldwide to train artificial intelligence models. [332]

On May 22, 2024, OpenAI entered into an agreement with News Corp to integrate news content from *The Wall Street Journal*, the *New York Post*, *The Times*, and *The Sunday Times* into its AI platform. Meanwhile, other publications like *The New York Times* chose to sue OpenAI and Microsoft for copyright infringement over the use of their content to train AI models. [333] In November 2024, a coalition of Canadian news outlets, including the Toronto Star, Metroland Media, Postmedia, The Globe and Mail, The Canadian Press and CBC, sued OpenAI for using their news articles to train its software without permission. [334]

Suicide of Suchir Balaji

<u>Suchir Balaji</u>, a former researcher at OpenAI, was found dead in his San Francisco apartment on November 26, 2024. Independent investigations carried out by the San Francisco Police Department (SFPD) and the San Francisco Office of the Chief Medical Examiner (OCME) concluded that Balaji shot himself. [335]

The death occurred 34 days after a *New York Times* interview in which he accused OpenAI of violating copyright law in developing its commercial LLMs, one of which (GPT-4) he had helped engineer. He was also a likely witness in a major copyright trial against the AI company, and was one of several of its current or former employees named in *The New York Times*'s court filings as potentially having documents relevant to the case. The death led to speculation and conspiracy theories suggesting he had been deliberately silenced. [335][336] Elon Musk, Tucker Carlson, California Congressman Ro Khanna, and San Francisco Supervisor Jackie Fielder have publicly echoed Balaji's parents' skepticism and calls for an investigation, as of January, 2025. [337][338]

In February 2025, the OCME autopsy and SFPD police reports were released. A joint letter from both agencies to the parents' legal team noted that he had purchased the firearm used two years prior to his death, and had recently searched for brain anatomy information on his computer. The letter also highlighted that his apartment's only entrance was dead-bolted from inside with no signs of forced entry. [335]

See also

- Anthropic American artificial intelligence research company
- Center for Al Safety US-based Al safety research center
- Future of Humanity Institute Defunct Oxford interdisciplinary research centre
- Future of Life Institute International nonprofit research institute
- Google DeepMind Artificial intelligence research laboratory
- Machine Intelligence Research Institute Nonprofit organization researching AI safety

Notes

- a. The term "pre-training" refers to general language training as distinct from fine-tuning for specific tasks.
- b. One petaflop/s-day is approximately equal to 10^{20} neural net operations. [188]

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 There are three main reasons we did this. First, commercializing the technology helps us pay for our ongoing AI research, safety, and policy efforts. Second, many of the models underlying the API are very large, taking a lot of expertise to develop and deploy and making them very expensive to run. This makes it hard for anyone except larger companies to benefit from the underlying technology. We're hopeful that the API will make powerful AI systems more accessible to smaller businesses and organizations. Third, the API model allows us to more easily respond to misuse of the technology. Since it is hard to predict the downstream use cases of our models, it feels inherently safer to release them via an API and broaden access over time, rather than release an open source model where access cannot be adjusted if it turns out to have harmful applications."
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