Marine Protected Areas Product Specification

Edition 1.2.1 - December 2024





Published by the International Hydrographic Organization 4b quai Antoine 1 er Principauté de Monaco Tel: (377) 93.10.81.00 Fax: (377) 93.10.81.40 info@iho.int \www.iho.int

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Document History

Changes to this Specification are coordinated by IHO NIPWG. New editions will be made available via the IHO web site. Maintenance of the Specification shall conform to IHO Resolution 2/2007 (as amended).

Table — Document History

Version Number	Date Approved By		Purpose
1.0.0	April 2012	TSMAD	Approved edition of S-102
2.0.0	March 2017	S-102PT	Updated clause 4.0 and 12.0. Populated clause 9.0 and Annex B.

#include::sections/01-overview.adoc[]

#include::sections/02-spec_scope.adoc[]

#include::sections/03-data_product_id.adoc[]

#include::sections/04-data_content_struct.adoc[]

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1

1 Coordinate Reference Systems (CRS)

1.1 Introduction

The Coordinate Reference System information contained in <u>Table 1-1</u> is defined in the manner specified in <u>part=6</u>. The vertical datum is specified separately using other root group attributes.

1.2 Horizontal Coordinate Reference System

Table 1-1 — S-102 Coordinate Reference Systems (EPSG Codes)

EPSG Code	Coordinate Reference System			
4326	WGS84			
32601 — 32660	WGS 84 / UTM Zone 1N to Zone 60N			
32701 — 32760	WGS 84 / UTM Zone 1S to Zone 60S			
5041	WGS 84 / UPS North (E,N)			
5042	WGS 84 / UPS South (E,N)			
The full reference to EPSG can be found at https://epsg.org.				

Horizontal Coordinate Reference System EPSG (see Table 1-1)

Projection NONE/UTM/UPS

Temporal reference system Gregorian Calendar

Coordinate Reference System registry <u>EPSG Geodetic Parameter Dataset</u>

Date type (according to [iso-19115-1]) 002 — publication

Responsible party International Association of Oil & Gas Producers (IOGP)

URL https://www.iogp.org/

1.3 Vertical Coordinate Reference System

Although in this product there are no direct vertical coordinates the values of the depth attributes are indirectly such coordinates. Therefore, it is important to specify the vertical CRS to which these values conform. The vertical CRS is an earth gravity-based, one-axis coordinate system. The Orientation of the axis is defined by the vertical coordinate system attribute (*verticalCS*) in the root group (see <u>Table 6-2</u>).

The vertical datum must be taken from the code-list specified by the IHO Geospatial Information (GI) Registry for the attribute named *Vertical Datum*. It will be defined in the root group as an HDF5 attribute (see <u>Table 6-2</u>).

1.4 Temporal reference system

The temporal reference system is the Gregorian calendar for date and UTC for time. Time is measured by reference to Calendar dates and Clock time in accordance with <u>clause=5.4.4</u>. A date-time variable will have the following 16-character format: *yyyymmddThhmmssZ*.

2 Data Quality

Data quality allows users and user systems to assess fitness for use of the provided data. Data quality measures and the associated evaluation are reported as metadata of a data product. This metadata improves interoperability with other data products and provides usage by user groups that the data product was not originally intended for. The secondary users can make assessments of the data product usefulness in their application based on the reported data quality measures.

2.1 Completeness

2.1.1 Commission

Commission is applicable for S-102. Data Producers must verify that no excess items have been included in the dataset. Such excess items include duplicate items, which must be removed.

If no excess items are present, the dataset PASSES this test.

2.1.2 Omission

Omission is applicable for S-102. Data Producers must verify that no items that should have been included in the dataset have been omitted.

If no necessary items have been omitted, the dataset PASSES this test.

2.2 Logical consistency

2.2.1 Conceptual consistency

Conceptual Consistency is applicable for S-102 and follows the guidelines from part=1.

Data Producers must verify that the dataset conforms to the S-100 General Feature Model.

If the dataset conforms to the S-100 General Feature Model, the dataset PASSES this test.

2.2.2 Domain consistency

Domain consistency is applicable for S-102 and follows the guidelines from part=5.

Data Producers must verify that the dataset conforms to the S-102 Feature Catalogue and to <u>Annex A</u>. If the dataset conforms to the S-102 Feature Catalogue and to <u>Annex A</u>, the dataset PASSES this test.

2.2.3 Format consistency

Format Consistency is applicable for S-102 and follows the guidelines from part=10c.

Data Producers must verify that the dataset conforms to Section 6 of this Product Specification.

If the dataset conforms to Section 6, the dataset PASSES this test.

2.3 Positional accuracy

2.3.1 Gridded data positional accuracy

Gridded positional accuracy is defined by the precision of the positional reference used to specify its location within its spatial projection. These positional references are contained within the spatial metadata of the S-102 grid. It is assumed that any horizontal errors are assimilated into the vertical uncertainty. The vertical values are calculated for each grid point using the processes and procedures used by each hydrographic office during the creation of the S-102 grid. Appropriate selection of both the origin reference point and spatial resolution are important and are another factor in gridded positional accuracy.

2.3.2 Relative internal positional accuracy

The internal positional accuracy is defined as the precision of the location of each grid point within the S-102 grid. The position of each grid point within the grid is referenced by a row and column combination. The metadata for S-102 defines a gridded resolution along both the X and Y axis of the grid. This absolute

position of a grid point within the spatial projection of the grid is calculated using the row/column and the X/Y resolution. In this case, the accuracy is controlled by the precision used in defining these resolutions.

2.4 Temporal accuracy

Temporal accuracy, consistency, and validity of bathymetric grids are confined to elements of the vertical control processes. These aspects are addressed during the formulation and application of vertical control processes applied by the various hydrographic offices. Details of these processes will be included in the Lineage portion of the metadata defined in Section 8 of this Product Specification.

2.5 Thematic accuracy

2.5.1 Thematic classification correctness

For S-102 bathymetric grids there are two classifications of data values, which are land and water. There are two considerations for assessing classification correctness when using the grid. The first is that values given in the depth layer of the S-102 grid are based on the associated hydrographic office's chosen vertical datum. Should another value in relation to a different vertical datum be required, a series of correctors would need to be applied. Secondly, when considering the data values, the value stored in the uncertainty for a given grid point must be considered. This uncertainty value represents the magnitude of possible deviation in either direction from the data value and must be applied when assessing the classification correctness. The new value generated when applied may cause a change in the classification.

2.5.2 Non-quantitative attribute accuracy

Thematic accuracy of S-102 bathymetric data is wholly quantitative.

2.5.3 Quantitative attribute accuracy

As defined in <u>part=4c</u> the data quality for the depth coverage is also defined as a co-located optional coverage, which is the uncertainty. This value particularly refers to the vertical uncertainty at each grid point. The uncertainty coverage supports multiple definitions of vertical uncertainty.

See Table 6-9.

3 Data Capture and Classification

The DCEG describes how data describing the real world should be captured using the types defined in the S-102 Feature Catalogue. The DCEG is located at Annex A.

A number of sounding techniques are used to capture bathymetric data. It is permitted, but not required, to include data acquisition information in the metadata of an S-102 Bathymetric Surface product. The metadata class S102_AcquisitionMetadata has been defined, but the information elements to populate this metadata class should be identified in a national profile of S-102.

3.1 Quality and source metadata

Quality and source metadata in S-102 are intended to enable and support future navigation software to appropriately auto-generate and attribute cartographic features such as custom depth contours and soundings from S-102 products, all while minimally impacting the overall file size of the product.

Quality and source metadata are encoded in a feature attribute table compliant with both HDF5 and S-100. This feature attribute table will provide valuable information about the bathymetry on a grid cellwise basis compared to traditional vector-based metadata files, simplifying the interpretation and implementation by navigation software systems.

The fields of the feature attribute table are defined elsewhere in this Product Specification (Table 6-7).

Quality and source metadata in S-102 are based on S-101 quality attributes, with significant augmentations and omissions described below. The quality and source metadata support a threefold purpose:

- 1) Support S-101-defined attribution of auto-generated vector depth areas, depth contours, and soundings created directly from the S-102 dataset.
 - a) The attribute featureSizeVar is meant to augment featureSize which corresponds to S-101 size of features detected. As noted in S-101, size of features detected is intended to be described as the smallest size in cubic metres the survey was capable of detecting. Depending on various survey parameters, this definition might require different depth ranges to have different values. For example, a survey vessel working at a fixed height off the seafloor (such as an autonomous underwater survey vessel) could maintain a fixed feature detection size capability over a wide range of depths. Conversely, a surface vessel working over that same range of depths may have a feature detection capability that varies with depth. The latter situation could foreseeably cause the detection capability to be ambiguous and potentially misrepresented. For this reason, featureSizeVar is defined as the ratio (expressed as a percentage) of minimum detectable feature size to water depth. When both featureSize and featureSizeVar are present, the whichever value implies a larger feature size should be considered valid. The expectation is that featureSizeVar will be set to zero if the feature size does not scale with depth. As with featureSize, featureSizeVar should be ignored if significantFeatures is False.
 - b) Note that depth range maximum and minimum in S-101 are omitted. The assumption is that if this information is required, then the corresponding grid cells in the elevation layer can be queried for a minimum and maximum depth for each table row.
- 2) Provide necessary uncertainty information as an input into critical underkeel clearance precision navigation systems.
- 3) Prevent the automated selection of soundings from interpolated grid cells, while still providing the continuous data required for depth contour creation. This purpose is accomplished by the bathyCoverage Boolean attribute field. This field enables the flagging of grid cells populated by interpolation (when that interpolation occurs across gaps in bathymetric observations greater than the S-102 grid spatial resolution). This functionality is especially useful in side-scan surveys which are characterized by gaps in bathymetric observations with full coverage side-scan imagery. In this case, interpolated gaps between bathymetry coverage would show fullSeafloorCoverageAchieved = True and bathyCoverage = False. However, if fullSeafloorCoverageAchieved = False, bathyCoverage must also equal False (e.g., gaps between single beam echosounder data without correlating side scan sonar coverage). Thus, this facility will provide navigation software systems with the required information necessary to preferably select soundings from direct bathymetric observations.

Quality and source metadata are encoded as records within a featureAttributeTable dataset, which is itself within the QualityOfBathymetryCoverage container group (<u>Table 6-7</u>).

4 Data Maintenance

4.1 Maintenance and update frequency

Datasets are maintained by replacement on a dataset basis. That is, the entire data product and the associated metadata are replaced as a unit. This is unlike vector data that may be updated incrementally. Also, each replacement data set must have its own digital signature.

4.2 Data source

Data producers must use applicable sources to maintain and update data and provide a brief description of the sources that were used to produce the dataset.

4.3 Production process

Data Producers should follow their established production processes for maintaining and updating datasets.

5 Portrayal

5.1 Introduction

S-102 portrayal is intended to contribute to the safe operation of an S-100 based marine navigation system by:

- Ensuring display of bathymetric surfaces, standards of colours, and their assignment to depths;
- Ensuring the display is clear and unambiguous;
- Establishing an accepted pattern for presentation that becomes familiar to mariners and so can be recognized instantly without confusion; and
- Utilizing the S-100 portrayal model to ensure interoperability.

To ensure that presentation remains intuitive, the following principles must be followed when changes are made to the S-102 Portrayal Catalogue:

- S-102 must maintain equivalence in terms of alerts and indications functionality in ECDIS;
- The S-102 Portrayal Catalogue should be modified by extension. Portrayal rules should be retained
 for items that have been superseded in the current version of S-102. This retention ensures that
 S-102 data produced to previous versions can be displayed using the latest Portrayal Catalogue.

S-102 portrayal is covered by the portrayal model as defined in S-100. This model reflects how the Portrayal Catalogue is defined for use in marine navigation systems.

S-102 uses the portrayal processes defined in <u>part=9</u> and <u>part=9a</u>. Items included in the S-102 Portrayal Catalogue must be registered in the IHO Geospatial Information (GI) Registry.

5.2 Portrayal Catalogue

Citation information for the S-102 Portrayal Catalogue is provided in <u>Table 5-1</u> below.

Table 5-1 — S-102 Portrayal Catalogue Citation Information

No.	ISO class or attribute	Туре	Value
	CI_Citation	Class	
1	title	Character String	S-102 Portrayal Catalogue
2	date	CI_Date (class)	
2.1	date	DateTime	2024-06-11T00:00:00
2.2	dateType	CI_ DateTypeCode (ISO codelist)	publication
3	edition	CharacterString	3.0.0
4	editionDate	DateTime	2024-06-11T00:00:00
5	citedResponsibleParty	CI_responsibility (class)	
5.1	role	CI_RoleCode (ISO codelist)	publisher
5.2	party	CI_Organisation (class)	
5.2.1	name	CharacterString	International Hydrographic Organization
6	otherCitationDetails	CharacterString	Found under: GI Registers

No.	ISO class or attribute	Туре	Value
			Product Specifications Bathymetric Surface
7	onlineResource	CI_ OnlineResource (class)	
7.1	linkage	CharacterString (URL)	https://registry.iho.int/
7.2	name	CharacterString	S-102 Portrayal Catalogue
7.3	description	CharacterString	XML Portrayal Catalogue accompanied by related files for colour profiles, rules, etc.

The S-102 Portrayal Catalogue contains the mechanisms for the system to portray information found in S-102 bathymetric surfaces. The S-102 Portrayal Catalogue contains the following types of mechanisms and structures:

- Set of portrayal rules;
- Set of colour profiles.

The Portrayal Catalogue model is defined in <u>part=9,clause=9-13</u>. The S-102 Portrayal Catalogue is available in an XML document, which conforms to the S-100 XML Portrayal Catalogue Schema. The structure for the Portrayal Catalogue is described in <u>part=9,clause=9-13.2</u>.

<u>Figure 5-1</u> is included to illustrate informative depth zone colouring as adapted from S-52. More comprehensive portrayal details can be found in the S-102 Portrayal Catalogue, which is available in the IHO GI Registry (as detailed in <u>Table 5-1</u>).

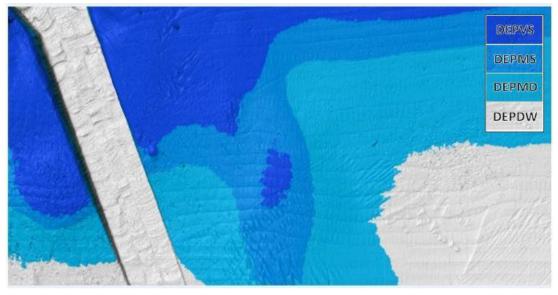


Figure 5-1 — S-52, Edition 6.1(.1) Depth Zone Colouring for Day

5.2.1 Use of sun-illumination

S-102 data can be visualized as a sun-illuminated or static (flat) dataset. The depiction of sun-illumination requires the entry of a sun azimuth and corresponding elevation. <u>Figure 5-2</u> shows the difference between a sun-illuminated and static (flat) surface.

NOTE: Although sun-illumination provides marked benefit to the user, it is not currently supported by S-100. As such, it is advisable for ECDIS manufacturers to implement the facility of sun-illumination in order to make such a benefit available.

Informative values for sun azimuth angle and elevation have been provided in Table 5-2 below.

Table 5-2 — Sun Azimuth and Eleva	ation Values
-----------------------------------	--------------

Attribute	Value in Degrees				
Attribute	Sun-Illuminated	Flat Surface			
Sun Azimuth Angle	135 Degrees	0.0 Degrees			
Sun Elevation	45 Degrees	0.0 Degrees			

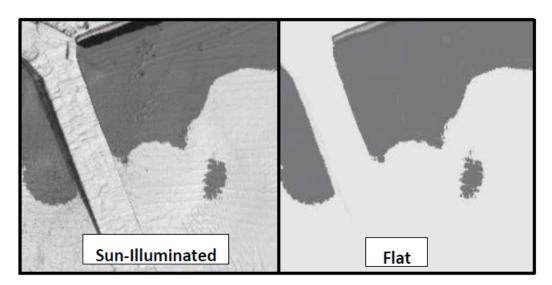


Figure 5-2 — Sun-illuminated and Static (Flat) Shading

6 Data Product Format (Encoding)

6.1 Introduction

The S-102 data set must be encoded using the Hierarchical Data Format standard, Version 5 (HDF5).

Format Name HDF5
Version 1.8.8
Character Set UTF-8

Specification https://www.hdfgroup.org/

The key idea behind the S-102 product structure is that each coverage is a feature. Each of these features is co-located with the others. Therefore, they share the same spatial metadata, and each is required to correctly interpret the others.

For the use of HDF5, the following key concepts (part=10c,clause=5.1) are important:

File a contiguous string of bytes in a computer store (memory, disk, etc.), and the bytes represent

zero or more objects of the model;

Group a collection of objects (including groups);

Dataset a multidimensional array of data elements with attributes and other metadata;

Dataspace a description of the dimensions of a multidimensional array;

Datatype a description of a specific class of data element including its storage layout as a pattern of

bits; (Enumerations are encoded with unsigned 8-bit or unsigned 16-bit indices, depending

on the number of transported values.)

Attribute a named data value associated with a group, dataset, or named datatype and stored as

a scalar:

Property a collection of parameters (some permanent and some transient) controlling options in the

List library

In addition, datasets may be a compound (a single record consisting of an array of simple value types) and have multiple dimensions.

6.2 Product structure

The structure of the data product follows the form given in <u>part=10c</u> — HDF5 Data Model and File Format. The general structure, which was designed for several S-100 products is given in <u>Figure 6-1</u>.

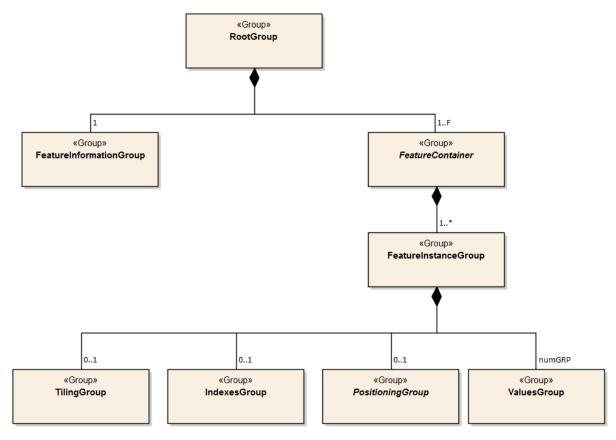


Figure 6-1 — Outline of the generic data file structure

<u>Figure 6-1</u> shows the four levels defined within the HDF encoding as defined in <u>part=10c</u>. Below is a further definition of these levels.

Level At the top level lies the Root Group, and it contains the Root Metadata and two subsidiary groups.
 The Root Metadata applies to all S-100 type products.

Level The next Level contains the Feature Information Group and the Feature Container Group. The Feature Information Group contains the feature BathymetryCoverage, the feature attribute codes and the optional feature QualityOfBathymetryCoverage. The Feature Container Group contains the Feature Metadata and one or more Feature Instance Groups.

Level This level contains one or more Feature Instance groups. A BathymetryCoverage feature instance is a bathymetric gridded data set for a single region and at a single vertical datum. A QualityOfBathymetryCoverage feature instance is a corresponding dataset for the same single region and for all applicable vertical datums.

Level This level contains the actual data for each feature. In S-102 BathymetryCoverage and
 QualityOfBathymetryCoverage each use the ValuesGroup to define the content. The other groups at this level are not used.

In <u>Table 6-1</u> below, levels refer to HDF5 structuring (see <u>Figure 6-1</u>). Naming in each box below the header line is as follows: Generic name; S-100 or S-102 name, or nothing if none; and (*HDF5 type*) group, attribute or attribute list, or dataset. <u>Figure 6-2</u> depicts the same structure using a graphical representation.

Table 6-1 — Overview of S-102 Data Product

LEVEL 1 CONTENT	LEVEL 2 CONTENT	LEVEL 3 CONTENT	LEVEL 4 CONTENT
General Metadata (metadata) (h5_attribute)			
Feature Codes Group_F (h5_group)	Feature Name BathymetryCoverage (h5_dataset)		
	QualityOfBathymetryCoverage (h5_dataset)		
	Feature Codes featureCode (h5_dataset)		
Feature Type BathymetryCoverage (h5_group)	Type Metadata (metadata) (h5_attribute)		
	Feature Instance BathymetryCoverage.01 BathymetryCoverage.nn (h5_group)	Instance Metadata (metadata) (h5_attribute)	
		First data group Group_001 (h5_group)	Group Metadata (metadata) (h5_attribute)
	X and Y Axis Names axisNames (h5_dataset)		Bathymetric Data Array values (h5_dataset)
Feature Type QualityOfBathymetryCoverage (h5_group)	Metadata (h5_attribute) (same as BathymetryCoverage)		
	QualityOfBathymetryCoverage.01 (h5_group)	Group_001 (h5_group)	Group Metadata (metadata) (h5_attribute)
	X and Y Axis Names axisNames (h5_dataset)		Quality of Bathymetry Data Array values (h5_dataset)
	Feature Attribute Table (h5_dataset)		

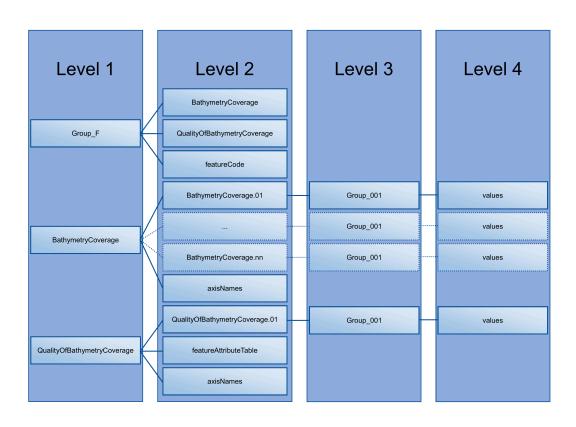


Figure 6-2 — Hierarchy of S-102 Data Product

The following sections explain entries in <a>Table 6-1 in greater detail.

6.2.1 Root Group

The root group is required by HDF5. The S-100 HDF5 format (part=10c) attaches metadata attributes applicable to the whole dataset to this group. S-102 uses all the S-100 attributes except geographicIdentifier and metaFeatures. The attributes used in S-102 are listed in Table 6-2, with specific requirements, if any, added in the Remarks column.

Table 6-2 — Root group attributes

No	Name	Camel Case	Mult	Data Type	Remarks
1	Product specification number and version	productSpecification	1	String	part=10c,table=6 Example: INT.IHO.S-102.3.0.0
2	Time of data product issue	issueTime	01	String (Time format)	part=1,table=2 part=10c,table=1
3	Issue date	issueDate	1	String (Date format)	part=1,table=2 part=10c,table=1
4	Horizontal CRS	horizontalCRS	1	Integer 32-bit	The identifier (EPSG code) of the horizontal CRS as defined in <u>Clause 1.2</u> (see <u>Clause 6.2.1</u> , <u>Note 2</u>).
5	Epoch of realization	epoch	01	String	
6a	Bounding box	westBoundLongitude	1	Float 32-bit	The values are in decimal degrees. If a projected CRS is used for the
6b		eastBoundLongitude	1	Float	dataset, these values refer to those of

No	Name	Camel Case	Mult	Data Type	Remarks
				32-bit	the baseCRS underlying the projected CRS (see Clause 6.2.1, Note 3).
6c		southBoundLatitude	1	Float 32-bit	The root bounding box needs to encompass all data, including fill values.
6d		northBoundLatitude	1	Float 32-bit	The outermost cell boundaries of the grid cells and the bounding box / domain extent polygon of each feature instance group form the basis for the root bounding box.
7	Metadata	metadata	01	String	Name of metadata file MD_file base name>.XML (or .xml) ISO metadata (per part=10c,clause=12 & part=8).
8	Vertical coordinate system	verticalCS	1	Integer 32-bit	Mandatory in S-102. EPSG code; The only allowed value is: *6498 (Depth—metres—orientation down)
9	Vertical coordinate base	verticalCoordinateBase	1	Enumeration	Mandatory in S-102. The only allowed value is 2: verticalDatum (see part=10c,table=22).
10	Vertical datum reference	verticalDatumReference	1	Enumeration	Mandatory in S-102. The only allowed value is 1: s100VerticalDatum (see part=10c,table=23).
11	Vertical datum	verticalDatum	1	Integer unsigned 16-bit	Numeric code from IHO GI Registry Vertical Datum attribute 1 – 30 & 44 see Clause 6.2.1, Note 4

NOTE 1: The *productIdentifier* ("S-102") and *version* fields (X.X.X) of S100_ProductSpecification must be used. NOTE 2: The value *horizontalCRS* specifies the horizontal Coordinate Reference System. At the time of writing, S-100 does not yet provide a mechanism for this value's definition within HDF5 encoding (such as an enumeration of horizontal CRSs). Consequently, this configuration causes a deviation from S-100. The horizontal datum is implicitly defined by this CRS because each horizontal CRS consists of a coordinate system and a datum. S-102 does not use "user defined" CRS as mentioned in part=10c,table=6. NOTE 3: The baseCRS is the geodetic CRS on which the projected CRS is based. In particular, the datum of the base CRS is also used for the derived CRS (see part=6, table=6).

NOTE 4: This is the default vertical datum. If and only if a **BathymetryCoverage** feature instance group does not specify a vertical datum, this (Root Group) vertical datum shall apply.

6.2.2 Feature Codes (Group_F)

No attributes.

This group specifies the S-100 features to which the data applies, and consists of three components:

featureCode — a 1-dimensional dataset with the featureCode(s) of the S-100 feature(s) contained in the data product. For S-102, the dataset has only two elements — the string "**BathymetryCoverage**" and "**QualityOfBathymetryCoverage**" (without quotes). The entries in this dataset give the names of the other two components of Group F.

BathymetryCoverage — A 1-dimensional dataset that contains the standard definition of the bathymetry coverage feature class in terms of its attributes and their types, units of measure, etc. The datatype of its elements is the compound type described in part=10c,table=8.

QualityOfBathymetryCoverage — A 1-dimensional dataset of the same datatype as the **BathymetryCoverage** dataset described above. This **QualityOfBathymetryCoverage** dataset contains

the definition of the reference to metadata records. The reference is a single integer which identifies a metadata record in *featureAttributeTable* (described in <u>part=10c,clause=9.6.2</u> and <u>Clause 6.2.8</u>.

6.2.3 BathymetryCoverage and QualityOfBathymetryCoverage Tables (in Group_F)

BathymetryCoverage and QualityOfBathymetryCoverage are arrays of compound type elements, whose components are the 8 components specified in <u>Table 6-3</u>.

Table 6-3 — Sample contents of the BathymetryCoverage and QualityOfBathymetryCoverage arrays

Name	Explanation	BathymetryCo	verage	QualityOfBathymetryCoverage
		S-100 Attribute 1	S-100 Attribute 2	S-100 Attribute 1
code	Camel Case code of attribute as in Feature Catalogue	depth	uncertainty	iD
name	Long name as in Feature Catalogue	depth	uncertainty	ID
uom.name	Units (uom.name from S-100 Feature Catalogue)	metres	metres	(empty)
fillValue	Fill value (integer or float, string representation, for missing values)	1000000	1000000	0
datatype	HDF5 datatype, as returned by H5Tget_class() function	H5T_FLOAT	H5T_FLOAT	H5T_INTEGER
lower	Lower bound on value of attribute	-14	0	1
upper	Upper bound on value of attribute	11050	(empty)	(empty)
closure	Open or Closed data interval. See S100_ IntervalType in part=1.	closedInterval	geSemiInterval	geSemiInterval

NOTE: The *uncertainty* attribute of BathymetryCoverage may be omitted under certain conditions. See <u>Clause 6.2.7</u>.

According to <u>part=10c,clause=9.5</u>, "All the numeric values in the feature description dataset are string representations of numeric values; for example, "-9999.0" not the float value -9999.0."

While the sample contents are shown in the two attributes columns, these are actually rows in the BathymetryCoverage table. They are also each a single HDF5 compound type and represent a single HDF5 element in the table.

All cells shall be HDF5 variable length strings. The minimum and maximum values are stored in lower and upper columns. Variable length strings allow future proofing the format in the event editing is allowed or correcting these values is required.

6.2.4 Root BathymetryCoverage

Table 6-4 — Attributes of BathymetryCoverage feature container group

No	Name	Camel Case	Mult	Data Type	Remarks
1	Data organization index	dataCodingFormat	1	Enumeration	Value: 2
2	Dimension	dimension	1	Integer	Value: 2

No	Name	Camel Case	Mult	Data Type	Remarks
				unsigned 8-bit	
3	Common point rule	commonPointRule	1	Enumeration	Value: 2 (low) see part=8,table=11.
4	Horizontal position uncertainty	horizontalPositionUncertainty	1	Float 32-bit	Value: -1.0 (if unknown or not available)
5	Vertical position uncertainty	verticalUncertainty	1	Float 32-bit	Value: -1.0 (if unknown or not available)
6	Number of feature instances	numInstances	1	Integer unsigned 8-bit	This is the total number of Feature Instance Groups within the Feature Container Group. The minimum is 1. see Clause 6.2.4, Note
7a	Sequencing rule	sequencingRule.type	1	Enumeration	Value: 1 (linear) see part=8,table=12.
7b		sequencingRule. scanDirection	1	String	Value: <axisnames entry=""> (comma-separated). For example, "latitude,longitude". Reverse scan direction along an axis is indicated by prefixing a '-' sign to the axis name. See [scanDirection]</axisnames>
8	Interpolation type	interpolationType	1	Enumeration	Value: 1 (nearestneighbor). See part=8,table=13
9	Offset of data point in cell	dataOffsetCode	1	Enumeration	Value: 5 barycenter (centroid) of cell. See part=10c,table=10
NOT	E: The number	depends on the number of differ	rent ve	rtical datums in	the Feature Container Group.

6.2.5 Feature Instance group — BathymetryCoverage.nn

The BathymetryCoverage Feature Container Group can contain one or more Feature Instance Groups. The naming of the Feature Instance Groups follows the notation specified by the S-100. For generalization, the numbering is indicated with ".nn".

Each feature instance group implements a unique vertical datum. All feature instance groups must share the same spatial location and extent. For each feature instance group, only the grid cells falling within the area of validity for that feature instance group's vertical datum should be populated with (real) data. Within that feature instance group, all other grid cells should be populated with the fill value. Therefore, it is expected that:

- The only grid cells that should be populated in more than one feature instance group are those that fall along a vertical datum boundary.
- Where multiple population occurs, the ECDIS should choose the set of values resulting in the most conservative description to the mariner. (I.e., it should choose the shoalest adjusted depth.)

As derived from <u>part=10c,clause=9.7</u> and <u>part=10c,table=12</u>, <u>Table 6-5</u> and <u>Table 6-6</u> describe the structure and attributes, respectively, of the **BathymetryCoverage** feature instance group.

Table 6-5 — Structure of BathymetryCoverage feature instance group

Group	HDF5 Category	Name	Mult	Data Type	Remarks / Data Space
/BathymetryCoverage/ BathymetryCoverage.01	attributes	(see Remarks)	1	(see Remarks)	Single-valued attributes as descripted in Table 6-6
	Dataset	domainExtent. polygon	01	Compound (Float, Float)	Spatial extent of the domain of the coverage Array (1-d): i=0, P Components: , latitude> or , Y> (coordinates of bounding polygon vertices as a closed ring; that is, the first and last elements will contain the same values) Either this or the bounding box attribute must be populated.
/BathymetryCoverage/ BathymetryCoverage.nn	attributes	(see Remarks)	1	(see Remarks)	Single-valued attributes as descripted in Table 6-6
	Dataset	domainExtent. polygon	01	Compound (Float, Float)	Spatial extent of the domain of the coverage Array (1-d): i=0, P Components: , latitude> or , Y> (coordinates of bounding polygon vertices as a closed ring; that is, the first and last elements will contain the same values) Either this or the bounding box attribute must be populated.

Table 6-6 — Attributes of BathymetryCoverage feature instance group

No	Name	Camel Case	Mult	Data Type	Remarks
1a	Bounding box	westBoundLongitude	01	Float 32-bit	Coordinates should refer to the previously defined Coordinate Reference System.
1b		eastBoundLongitude	01	Float 32-bit	Either this or the domainExtent.polygon dataset must be populated
1c		southBoundLatitude	01	Float 32-bit	
1d		northBoundLatitude	01	Float 32-bit	
2	Number of groups	numGRP	1	Integer unsigned 8-bit	The number of data values groups contained in this instance group. Value: 1
3	Longitude of grid origin	gridOriginLongitude	1	Float 64-bit	Longitude or easting of grid origin. Unit: (to correspond with previously defined Coordinate Reference System)
4	Latitude of grid origin	gridOriginLatitude	1	Float 64-bit	Latitude or northing of grid origin. Unit: (to correspond with previously defined Coordinate Reference System)
5	Grid spacing, longitude	gridSpacingLongitudinal	1	Float 64-bit	Cell size in x dimension.

No	Name	Camel Case	Mult	Data Type	Remarks
6	Grid spacing, latitude	gridSpacingLatitudinal	1	Float 64-bit	Cell size in y dimension.
7	Number of points, longitude	numPointsLongitudinal	1	Integer unsigned 32-bit	Number of points in x dimension.
8	Number of points, latitude	numPointsLatitudinal	1	Integer unsigned 32-bit	Number of points in y dimension.
9	Start sequence	startSequence	1	String	Grid coordinates of the grid point to which the first in the sequence of values is to be assigned. The choice of a valid point for the start sequence is determined by the sequencing rule. Format: n, n Example: "0,0" (without quotes)
10	Vertical datum	verticalDatum	01	Integer unsigned 16-bit	see remark Table 6-2 row vertical datum and [mvdvdr] Mandatory for feature instance groups with a different vertical datum from that specified in the Root Group (prohibited otherwise)
11	Vertical datum reference	verticalDatumReference	01	Integer unsigned 8-bit	The only allowed value is 1: s100VerticalDatum (see part=10c,table=23). see [mvdvdr] Mandatory if this value were to differ from what is contained in the Root Group

The *gridOriginLongitude*, *gridOriginLatitude*, *gridSpacingLongitudinal*, and *gridSpacingLatitudinal* attributes should be in the same geographic units as the bounding box. Note that this practice deviates from S-100 where it indicates that this value should be in Arc Degrees.

numPointsLongitude and *numPointsLatitude* must contain the number of cells in the x and y dimensions of the values table.

The S-102 uses the "Overriding of Attributes" concept of the part=10c,clause=9.7.1. This usage allows the feature instance group to overwrite the attributes of a higher group, in this case the *verticalDatum*. The default vertical datum is specified in the root group (see Table 6-2). The feature instance group for this default vertical datum must not use the additional attributes *verticalDatum* and *verticalDatumReference* (on the feature instance group).

If multiple vertical datums are present in the product, a separate feature instance group must be created for each vertical datum. These feature instance groups must use the additional attribute *verticalDatum* (on the feature instance group).

Note: At present, this Product Specification does not allow values other than 1: s100VerticalDatum for verticalDatumReference. However, if future changes allow the value of 2: EPSG (and if the value at the feature instance group differs from what is contained in the Root Group), then this value would become mandatory.

According to S-100, either the BoundingBox at the Feature Instance Group or the *domainExtent.polygon* must be specified. If *domainExtent.polygon* is specified, the BoundingBox is not specified in this case. The grid cells that do not belong to the area of the respective vertical datum should be assigned the fill value. If more than one *domainExtent.polygon* is used, those of different feature instance groups should

not overlap. At positions where the polygons of different Feature Instance groups touch, the edges should be identical. The *domainExtent.polygon* does not have to follow grid cell boundaries but is an independent vector geometry based on the *SoundingDatum* surface from S-101. The *domainExtent.polygon* only supports a simple polygon geometry in accordance with <u>part=10c,table=11</u>. The mapping of multipolygons and inner rings is not possible.

6.2.6 The values group — Group_001

This group contains 5 attributes, all of which are mandatory. According to <u>part=10c,table=19</u>, *timePoint* applies because the *dataCodingFormat* = 2. The other four attributes for this group are an extension of this Product Specification and, thus, are not defined by <u>part=10c</u>. <u>Table 6-7</u> lists all 5 attributes.

No	Name	Camel Case	Mult	Data Type	Remarks
1	minimum Depth	minimumDepth	1	Float 32-bit	The minimum depth value in the values dataset(s) of this group
2	maximum Depth	maximumDepth	1	Float 32-bit	The maximum depth value in the values dataset(s) of this group
3	minimum Uncertainty	minimumUncertainty	1	Float 32-bit	The minimum uncertainty value in the values dataset(s) of this group. If no uncertainty values are in the dataset(s) the value must be the fillValue
4	maximum Uncertainty	maximumUncertainty	1	Float 32-bit	The maximum uncertainty value in the values dataset(s) of this group. If no uncertainty values are in the dataset(s) the value must be the fillValue
5	Time stamp	timePoint	1	String	Because S-102 specifies survey dates elsewhere in its structure, this value should always be the fill value: 00010101T000000Z

Table 6-7 — Attributes of values group

The group contains an HDF5 dataset named values containing the bathymetric gridded data.

6.2.7 BathymetryCoverage feature instance group — values dataset

This dataset contains the compound data arrays containing bathymetric gridded data. These components are explained below.

For bathymetric gridded data, the dataset includes a two-dimensional array containing always the depth and under certain conditions uncertainty data. These dimensions are defined by *numPointsLongitudinal* and *numPointsLatitudinal*. By knowing the grid origin and the grid spacing, the position of every grid point and grid cell can be simply computed.

If the *uncertainty* for each grid cell is equal, it is not necessary to store it at each cell in the grid. The uniqueness of the uncertainty results from the equality of the attributes *minimumUncertainty* and *maximumUncertainty* of Group_001 of the BathymetryCoverage (see <u>Table 6-7</u> No. 3 & 4). If the uncertainty values at the grid cells are omitted, it must be ensured that the entry of the *uncertainty* of the BathymetryCoverage in the Group_F is also omitted (see <u>Table 6-3</u>). This type of storage technique can reduce the amount of memory required for the uncertainty without loss of information. The uncertainty of each grid cell can be immediately obtained from the *minimumUncertainty* or *maximumUncertainty* attributes of Group_001 of the BathymetryCoverage.

If the *uncertainty* is not the same for each grid cell, it must be stored at each cell in the grid. For unknown or unused uncertainty data, it must be filled with the *fillValue* specified in the Group_F feature information dataset.

The grid cell values are stored in two-dimensional arrays with a prescribed number of columns (*numCOL*) and rows (*numROW*). This grid is defined as a regular grid (*dataCodingFormat* = 2); therefore, the *depth*

and *uncertainty* values will be for each cell in the grid. The data type of the array values is a compound with one or two members.

6.2.8 Root QualityOfBathymetryCoverage

The QualityOfBathymetryCoverage container group has the same metadata attributes as BathymetryCoverage container group (see <u>Table 6-4</u>). The values of the attributes must also be the same as the BathymetryCoverage container group. An exception is the attribute *dataCodingFormat*, which must be '9'. The use of multiple BathymetryCoverage Feature Instance groups (different Vertical Datums) does not affect the multiplicity of the QualityOfBathymetryCoverage, which remains 0 to 1. This means that the different BathymetryCoverage Feature Instance groups share a common QualityOfBathymetryCoverage.

The QualityOfBathymetryCoverage container group contains an additional 1-dimensional array named featureAttributeTable (part=10c,table=9; part=10c,clause=9.6.2). This dataset is mandatory within the QualityOfBathymetryCoverage group. Each element of this array is a metadata record of HDF5 compound type. The fields are described in Table 6-8 below.

Table 6-8 — Elements of featureAttributeTable compound datatype

No	Attribute	Description	Mult	Data Type	Remarks
1	id	Metadata record identifier	1	Integer unsigned 32-bit	Each record must have a unique identifier.
2	dataAssessment	The categorization of the assessment level of bathymetric data for an area.	01	Integer unsigned 8-bit	*1: Assessed *2: Unassessed *3: Oceanic
3	featuresDetected. leastDepthOfDetectedFeaturesMeasured	Expression stating if the least depth of detected features in an area was measured.	01	Integer unsigned 8-bit	Boolean, Values: *1 (TRUE) *0 (FALSE). See <u>Clause</u> 6.2.8, Note 1.
4	featuresDetected. significantFeaturesDetected	A statement expressing if significant features have or have not been detected in the course of a survey.	01	Integer unsigned 8-bit	Boolean, Values: *1 (TRUE) *0 (FALSE). See <u>Clause</u> 6.2.8, Note 2.
5	featuresDetected. sizeOfFeaturesDetected	The size of detected bathymetric features in an area.	01	Float 32-bit	See <u>Clause</u> 6.2.8, Note 3 and <u>Clause</u> 6.2.8, Note 4.
6	featureSizeVar	Percentage of depth that a feature of such size could be detected.	01	Float 32-bit	Set to zero if the feature size does not scale with depth. See Clause 6.2.8, Note 3 and Clause 6.2.8, Note 4.
7	fullSeafloorCoverageAchieved	Expression stating if full seafloor coverage has been achieved in the area by hydrographic surveys.	01	Integer unsigned 8-bit	Boolean, Values: *1 (TRUE) *0 (FALSE). See <u>Clause</u> 6.2.8, Note 5.

No	Attribute	Description	Mult	Data Type	Remarks
8	bathyCoverage	False for grid cells populated by interpolation.	01	Integer unsigned 8-bit	Boolean, Values: *1 (TRUE) *0 (FALSE). See <u>Clause</u> 6.2.8, Note 6.
9	zoneOfConfidence. horizontalPositionUncertainty. uncertaintyFixed	The best estimate of the fixed horizontal or vertical accuracy component for positions, depths, heights, vertical distances, and vertical clearances.	01	Float 32-bit	
10	zoneOfConfidence. horizontalPositionUncertainty. uncertaintyVariableFactor	The factor to be applied to the variable component of an uncertainty equation so as to provide the best estimate of the variable horizontal or vertical accuracy component for positions, depths, heights, vertical distances, and vertical clearances.	01	Float 32-bit	
11	surveyDateRange.dateStart	The start date of the period of the hydrographic survey.	01	Date	ISO 8602:2004 date format. Complete or truncated date, see part=1, table=2.
12	surveyDateRange.dateEnd	The end date of the period of the hydrographic survey.	01	Date	ISO 8602:2004 date format. Complete or truncated date, see part=1, table=2.
13	sourceSurveyID	The survey filename or ID.	01	String	
14	surveyAuthority	The authority which was responsible for the survey.	01	String	
15	typeOfBathymetricEstimationUncertainty	The measure used to estimate the magnitude of the difference between true and estimated bathymetric depth,	01	Enumeration	See <u>Table 6-9</u> . See <u>Clause</u> 6.2.8, Note 7.

No	Attribute	Description	Mult	Data Type	Remarks
		after all appropriate corrections are made.			
		corrections are made.			

NOTE 1: A feature in this context is any object, whether manmade or not, projecting above the sea floor, which may be a danger for surface navigation [iho-s44]. Least depth of detected features measured does not describe the least depth of features that were actually detected during a hydrographic survey, but the ability of the survey to detect the least depth of features with a maximum uncertainty as defined in [iho-s44].

NOTE 2: A feature in this context is any object, whether manmade or not, projecting above the sea floor, which may be a danger for surface navigation [iho-s44]. Significant features detected does not describe if significant features were actually detected during a hydrographic survey, but whether the survey had the capacity to detect significant features.

NOTE 3: The role of the attribute, *featureSizeVar* is described in <u>Clause 3.1</u>. The expectation is that *featureSizeVar* will be set to zero if the feature size does not scale with depth. As with *featureSize*, *featureSizeVar* should be ignored if *significantFeatures* is False.

NOTE 4: When both *featureSize* and *featureSizeVar* are present, the greater of the two should be considered valid.

NOTE 5: Full seafloor coverage achieved applies to both the spatial completeness of feature detection and to the spatial completeness of the measurement of the regular seafloor. The former is further specified by the complex attribute features detected; the latter by the attributes depth range maximum value and depth range minimum value.

NOTE 6: The attribute *bathyCoverage* is especially useful in side-scan surveys which are characterized by gaps in bathymetric observations with full coverage side-scan imagery. In this case, interpolated gaps between bathymetry coverage would show *fullSeafloorCoverageAchieved* = True and *bathyCoverage* = False. However, if *fullSeafloorCoverageAchieved* = False, *bathyCoverage* must also equal False (e.g., gaps between single beam echosounder data without correlating side-scan sonar coverage).

NOTE 7: Names and listed values which are not currently defined in the IHO GI Registry are subject to change upon acceptance in the Registry.

Table 6-9 — Codes defining how uncertainty of bathymetric depth was determined

Role Name	Name	Description	Code	Remarks
Enumeration	S102_ BatymetricUncertaintyType	An estimate of the magnitude of the difference between true and estimated bathymetric depth, after all appropriate corrections are made.	-	
Value	rawStandardDeviation	Raw standard deviations of soundings that contributed to the grid cell.	1	-
Value	cUBEStandardDeviation	Standard deviation of soundings captured by a CUBE hypothesis (that is, CUBE's standard output of uncertainty).	2	-
Value	productUncertainty	The greater of (1) standard deviation of the soundings contributing to the depth solution or, (2) the <i>a priori</i> computed uncertainty estimate (that is, modelled Total Vertical Uncertainty).	3	-
Value	historicalStandardDeviation	Estimated standard deviation based on historical/archive data.	4	-
Value	(fill value representing "unknown")	(fill value when the uncertainty is an unknown layer type)	0	This is a "fill value" and will not be in the feature catalogue.

6.2.9 Instance group QualityOfBathymetryCoverage.01

The QualityOfBathymetryCoverage.01 instance group has the same metadata attributes as BathymetryCoverage.01 instance group (see Table 6-6). The values of the attributes must also be the same as the BathymetryCoverage instance group.

6.2.10 Values group for QualityOfBathymetryCoverage

The values group for QualityOfBathymetryCoverage contains no metadata attributes and a single dataset named values, which is described in Clause 6.2.11.

6.2.11 Values dataset for QualityOfBathymetryCoverage

The values dataset for QualityOfBathymetryCoverage is a single two-dimensional array of unsigned integers (the same datatype and size as the "id" field in featureAttributeTable — Table 6-7). The array must have the same dimensions as the values dataset in the BathymetryCoverage feature instance (Clause 6.2.7).

Each cell in this values dataset must be populated with a value that is one of the record identifiers in the featureAttributeTable dataset or with the fill value 0 (zero).

6.2.12 Mandatory Naming Conventions

The following group and attribute names are mandatory in S-100:

- Group F
- featureCode
- (for S-102)
 - BathymetryCoverage
 - axisNames
 - BathymetryCoverage.01
 - QualityOfBathymetryCoverage.01
 - featureAttributeTable
 - Group_nnn

7 Data Product Delivery

7.1 Introduction

This clause describes how S-102 data will be delivered from the charting authority to the mariner.

Units of

Delivery

Exchange Set

Medium

Transfer Size See Clause 7.2.2. Digital Data Delivery

Name

Other

Delivery Information Each dataset must be contained in a physically separate, uniquely identified file on the transfer medium.

Each exchange set has a single exchange catalogue which contains the discovery metadata for each dataset.

An exchange set is encapsulated into a form suitable for transmission by a mapping called an encoding. An encoding translates each of the elements of the exchange set into a logical form suitable for writing to media and for transmission online. An encoding may also define other elements in addition to the exchange set contents (This is media identification, data extents etc. ...) and may define commercial constructs such as encryption and compression methods.

If the data is transformed in S-102 it must not be changed.

This Product Specification defines the encoding which must be used as a default for transmission of data between parties.

The encoding encapsulates exchange set elements as follows:

Mandatory Elements

- S-102 datasets HDF encoding
- Exchange Catalogue—the XML encoded representation of exchange set catalogue features [discovery metadata].

Optional Elements

- S-102 Feature Catalogue If it is necessary to deliver the latest Feature Catalogue to the end user it may be done using the S-102 exchange set mechanism for datasets
- S-102 Portrayal Catalogue If it is necessary to deliver the latest Portrayal Catalogue to the end user it may be done using the S-102 exchange set mechanism for datasets.

7.2 Dataset

7.2.1 Dataset management

Three types of dataset files may be produced and contained within an exchange set:

- New dataset: Initial.
- New edition of a dataset: Includes new information. New editions must cover at least the same area as its predecessor.
- Cancellation: The dataset is cancelled and no longer available to be displayed or used.

7.2.1.1 Production of a cancellation

S-102 uses only the fileless cancellation method described in <u>part=17,clause=17-4.4.1</u>. In order to cancel a dataset, the cancelling authority (generally the producer of the original dataset) must:

- 1) Prepare an exchange catalogue with an S100_DatasetDiscoveryMetadata block with field values as described in <u>Clause 7.2.1.2</u>.
- 2) Complete other parts of the exchange catalogue as required by <u>Clause 8.4</u> (for example, provide discovery metadata for a replacement dataset if such is included in the same exchange set).
- 3) Sign and distribute the exchange catalogue in a normally structured exchange set.

7.2.1.2 Metadata for cancellation

S-102 uses only the fileless cancellation method described in part=17,clause=17-4.4.1. For a cancellation, set:

- fileName = fileName of the cancelled dataset
- purpose = cancellation
- issueDate and issueTime = the issue date and time of the cancellation
- replacedData = true if and only if the cancelled dataset is replaced by another dataset; otherwise false. This attribute must be populated for a cancellation.
- dataReplacement = fileName of the replacement dataset (if and only if the cancelled dataset is replaced by another dataset). This attribute must be populated when replacedData=true.
- all other mandatory attributes to the same values as in the discovery metadata block for the dataset being cancelled.

7.2.2 Dataset size

S-102 delivery will take place in one form: network transfer to platform (that is, internet download). An example scenario has been provided below:

NOTE: The use of 10 MB in this and other sections should be treated as informative information only. Additionally, any computed values associated with either file size limit should be treated as approximate

answers. Final selection of an appropriate file size limit or grid resolution is left to the discretion of the data producer.

Network Transfer To minimize overall file size, the HO produces a 10 MB file for wireless transmission to marine vessels. In uncompressed form, this file would contain roughly 600 by 600 grid cells.

<u>Table 7-1</u> provides general information to aid in the compilation of S-102 data for specific charting scales.

7.2.2.1 S-102 grid resolution and tiling

Table 7-1 — Informative Grid Resolution and Resulting Tile Size at Chart Scale

Scale	Informative Grid Resolution	Resulting Tile Size @ 10 MB
NULL (only allowed on minimum display scale where the maximum display scale = 10,000,000)		Approximate Linear Distance in Nautical Miles (M) for a 600 X 600 cell grid
1:10,000,000	900 metres	291 X 291
1:3,500,000	900 metres	291 X 291
1:1,500,000	450 metres	145 X 145
1:700,000	210 metres	68 X 68
1:350,000	105 metres	34 X 34
1:180,000	54 metres	17.5 X 17.5
1:90,000	27 metres	8.7 X 8.7
1:45,000	13 metres	4.2 X 4.2
1:22,000	6 metres	1.9 X 1.9
1:12,000	3 metres	1.0 X 1.0
1:8,000	2 metres	0.6 X 0.6
1:4,000	1 metres	0.3 X 0.3
1:3,000	1 metres	0.3 X 0.3
1:2,000	1 metres	0.3 X 0.3
1:1,000	1 metres	0.3 X 0.3

7.2.3 Dataset file naming

Dataset naming must follow a standard pattern to give implementers greater predictability of incoming datasets (see part=17,clause=4.3). S-102 dataset naming conventions must follow these rules.

102YYYYØØØØØØØØØØØØ0. 102 **H5**

the first 3 characters identify the dataset as an S-102 dataset (mandatory).

YYYY the fourth to seventh characters identify the producer code according to the Producer Code Register.

ØØØØ the eighth to the maximum nineteenth characters are optional and may be used in any way by the producer to provide the unique file name. The following characters are allowed in the dataset name: A to Z, 0 to 9 and the special character _ (underscore).

H5 denotes an HDF5 file.

7.3 Exchange Set

The structure of an S-102 Exchange Set must be according to the structure described below, which is based on part=17,clause=4.2.

- 1) An S-102 Exchange Set must contain an Exchange Set Catalogue, CATALOG.XML, its digital signature CATALOG.SIGN, and may contain any number of S-102 conformant dataset files, support files, and Catalogue files.
- 2) All content must be placed inside a top root folder named S100_ROOT. This is the only top level root folder in an Exchange Set containing only S-100 products.
- 3) The S100_ROOT folder must contain a subfolder named S-102. This subfolder holds content specific to the S-102 Product Specification.
- 4) The S-102 subfolder must contain subfolders for the component dataset files (DATASET_FILES) and Catalogues (CATALOGUES) as required.
- 5) The required Exchange Set Catalogue XML document instance must be named CATALOG.XML and placed in the S100_ROOT folder, together with its digital signature (CATALOG.SIGN) file. All other digital signatures are included within their corresponding resource metadata records in the CATALOG.XML.
- 6) Support files are not allowed in S-102 exchange sets for this edition of S-102.

7.4 Exchange Catalogue

The Exchange Catalogue acts as the table of contents for the Exchange Set. The Catalogue file of the Exchange Set must be named CATALOG.XML. No other file in the Exchange Set may be named CATALOG.XML. The contents of the Exchange Catalogue are described in <u>Section 8</u>.

7.5 Data integrity and encryption

<u>part=15</u> defines the algorithms for compressing, encrypting and digitally signing datasets based on the S-100 Data Model. The individual Product Specifications provide details about which of the elements are being used and on which files in the dataset.

7.5.1 Use of compression

The data producer decides if compression will be used on the S-102 product files (HDF5). It is expected that a hydrographic office will make a policy decision and that all the S-102 datasets from the producer will be either compressed or uncompressed.

It is recommended to compress all the dataset files, for example HDF5 files. The ZIP compression method defined in <u>part=15,clause=5.2</u> must be applied to the product files.

7.5.2 Use of data protection

It is recommended to encrypt all the dataset files, for example HDF5. The encryption method defined in <u>part=15</u> must be applied.

7.5.3 Use of digital signatures

Digital signatures shall be used on all files included in a S-102 compliant Exchange Set to meet the requirements of IMO resolution MSC.428(98) to reduce cyber security risks among users, especially when used in navigations systems at sea. The recommended signature method is defined in <u>part=15</u>.

The digital signature information is encoded in the corresponding discovery block in the exchange catalogue for each file included in the Exchange Set.

8 Metadata

8.1 Introduction

The Metadata elements used in the Bathymetric Surface product are derived from S-100 and from [iso-19115-1] and [iso-19115-2]. Optionally additional metadata may be derived from [iso-ts-19130] and [iso-ts-19130-2] especially metadata relating to the sonar equipment which may have been used to acquire the bathymetric data.

S-102 metadata is encoded in two places:

- Metadata used for the discovery, identification, and use of S-102 datasets in S-100-based navigations systems (specifically, an S-100-capable ECDIS) is encoded in the exchange catalogue. This metadata conforms to S-100 Part 17, with product-specific restrictions added.
- Metadata required by the S-100 HDF5 encoding (<u>part=10c</u>) and product-specific metadata defined by this product specification are encoded at various levels in the HDF5 group hierarchy, as specified by <u>part=10c</u> or <u>Clause 6.2</u>.

8.2 Exchange Set metadata

For information exchange, there are several categories of metadata required: metadata about the overall Exchange Catalogue, metadata about each of the datasets contained in the Catalogue.

<u>Figure 8-1</u> depicts the relationships of exchange set elements (datasets and feature/portrayal catalogues) and exchange set metadata. This figure is derived from <u>part=17,figure=2</u> with relationships not applicable to S-102 omitted.

<u>Figure 8-2</u> depicts the structure of the exchange catalogue and its component discovery metadata blocks. The structure is the same as in <u>part=17</u>.

More detailed information about the various classes is shown in <u>Figure 8-3</u> with further description in <u>Table 8-1</u> to <u>Clause 8.8.2</u>. In the cases in which classes are used without modification, refer to <u>part=17</u> for their descriptions.

The discovery metadata classes have numerous attributes which enable important information about the datasets to be examined without the need to process the data (e.g., decryption, decompression, loading). Other Catalogues can be included in the Exchange Set in support of the datasets such as Feature and Portrayal.

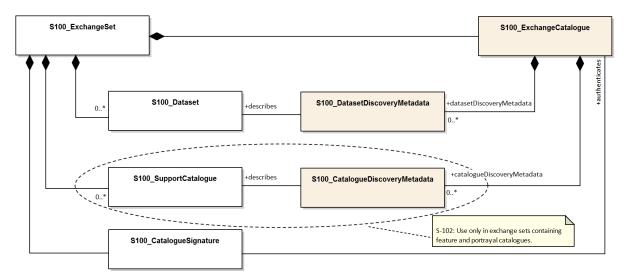


Figure 8-1 — Components and associated metadata for the S-102 exchange set (part=17,figure=2 with items not used by S-102 omitted)

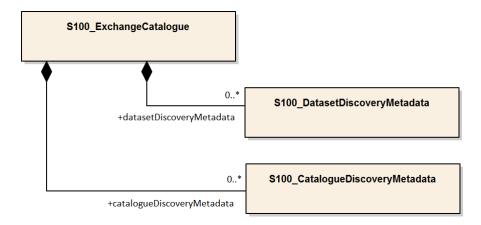


Figure 8-2 — Relationship between exchange catalogue, discovery metadata, and dataset (part=17,figure=6 with items not used by S-102 omitted)

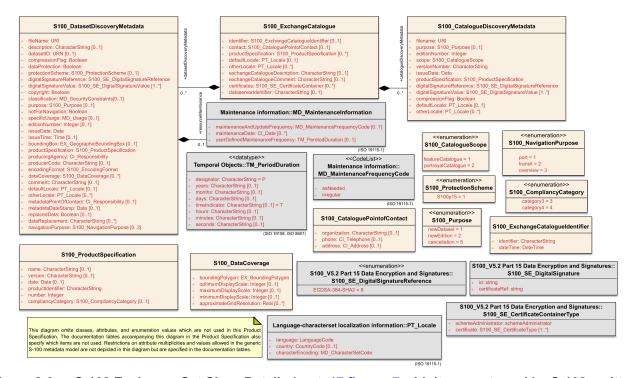


Figure 8-3 — S-102 Exchange Set Class Details (part=17,figure=7 with items not used by S-102 omitted)

The following clauses define the mandatory and optional metadata needed for S-102. In some cases, the metadata may be repeated in a national language. If this is the case it is noted in the Remarks column.

The XML schemas for S-102 exchange catalogues will be available from the IHO Geospatial Information (GI) Registry and/or the S-100 GitHub site (https://github.com/IHO-S100WG).

The S-102 exchange catalogue uses the S-100 exchange catalogue schemas which are available from the S-100 schema server at https://schemas.s100dev.net (downloadable archives are also available on the site for offline use). Implementation of the S-102-specific constraints described in following clauses below is left to developer decision as it can be done in various ways depending on implementation frameworks and the requirements of production or application software.

8.3 Language

The exchange language must be English.

Character strings must be encoded using the character set defined in [iso-10646-1], in Unicode Transformation Format-8 (UTF-8). A BOM (byte order mark) must not be used.

8.4 S100_ExchangeCatalogue

Each Exchange Set has a single S100_ExchangeCatalogue which contains meta information for the data in the Exchange Set.

S-102 uses S100_ExchangeCatalogue without modification.

8.4.1 S100_ExchangeCatalogueIdentifier

S-102 uses S100_ExchangeCatalogueIdentifier without modification.

8.4.2 S100_CataloguePointOfContact

S-102 uses S100_CataloguePointOfContact without modification.

8.5 S100_DatasetDiscoveryMetadata

Dataset discovery metadata in S-102 restricts certain attributes and roles as described in <u>Table 8-1</u>. Optional S-100 attributes which are mandatory in S-102 are indicated in the Remarks column.

Table 8-1 — S100_DatasetDiscoveryMetadata parameters

Role name	Name	Description	Mult	Туре	Remarks
Class	S100_ DatasetDiscoveryMetadata	Metadata about the individual datasets in the Exchange Catalogue	-	-	The optional S-100 attributes updateNumber, updateApplicationDate, referenceID, and temporalExtent are not used in S-102. References to support file discovery metadata are not permitted because S-102 does not use support files.
Attribute	fileName	Dataset file name	1	URI	See part=1,clause=4.6 Format: file:/S-102/DATASET_FILES/ <dsname> Dataset file name <dsname> must be according to format defined in Clause 7.2.3.</dsname></dsname>
Attribute	description	Short description giving the area or location covered by the dataset	01	CharacterString	For example a harbour or port name, between two named locations, etc.

Role name	Name	Description	Mult	Туре	Remarks	
Attribute	datasetID	Dataset ID expressed as a Maritime Resource Name	01	URN	The URN must be an MRN. See part=3,clause=10	
Attribute	compressionFlag	Indicates if the resource is compressed	1	Boolean	True indicates a compressed dataset resource. False indicates an uncompressed dataset resource.	
Attribute	dataProtection	Indicates if the data is encrypted	1	Boolean	True indicates an encrypted dataset resource. False indicates an unencrypted dataset resource.	
Attribute	protectionScheme	Specification of method used for data protection	01	S100_ProtectionScheme	Populate if and only if dataProtection = True.	
Attribute	digitalSignatureReference	Specifies the algorithm used to compute digitalSignatureValue	1	S100_SE_ DigitalSignatureReference	See part=15,clause8.11.7 & Clause 8.9	
Attribute	digitalSignatureValue	Value derived from the digital signature	1*	S100_SE_DigitalSignature	see part=15,clause=15-8.11.3 The value resulting from application of digitalSignatureReference Implemented as the digital signature format specified in part=15	
Attribute	copyright	Indicates if the dataset is copyrighted	1	Boolean	True indicates the resource is copyrighted. False indicates the resource is not copyrighted.	
Attribute	classification	Indicates the security classification of the dataset	1	Class MD_SecurityConstraints>MD_ ClassificationCode (codelist)	Mandatory in S-102 a) unclassified b) restricted c) confidential d) secret e) top secret f) sensitive but unclassified g) for official use only h) protected i) limited distribution	
Attribute	purpose	The purpose for which the dataset has been issued	1	S100_Purpose	Mandatory in S-102	

Role name	Name	Description	Mult	Туре	Remarks
Attribute	notForNavigation	Indicates the dataset is not intended to be used for navigation	1	Boolean	True indicates the dataset is not intended to be used for navigation. False indicates the dataset is intended to be used for navigation.
Attribute	specificUsage	The use for which the dataset is intended	01	MD_USAGE>specificUsage (character string)	-
Attribute	editionNumber	The edition number of the dataset	1	Integer	When a data set is initially created, the Edition number 1 is assigned to it. The Edition number is increased by 1 at each new Edition. Edition number remains the same for a re-issue. Mandatory in S-102
Attribute	issueDate	Date on which the data was made available by the Data Producer	1	Date	-
Attribute	issueTime	Time of day at which the data was made available by the Data Producer	01	Time	The S-100 datatype Time May be required if multiple instances of a product are issued on the same day.
Attribute	boundingBox	The extent of the dataset limits	1	EX_GeographicBoundingBox	Mandatory in S-102 Defined as a rectangle coincident with the outermost cell boundaries of the dataset.
Attribute	productSpecification	The Product Specification used to create this dataset	1	S100_ProductSpecification	Table 8-5
Attribute	producingAgency	Agency responsible for producing the data	1	CI_Responsibility>CI_ Organisation	See part=17,table=17-3
Attribute	producerCode	The official IHO Producer Code from S-62	1	CharacterString	Mandatory in S-102
Attribute	encodingFormat	The encoding format of the dataset	1	S100_EncodingFormat	The only allowed value is HDF5 Table 8-4
Attribute	dataCoverage	Provides information about data coverages within the dataset	1*	S100_DataCoverage	Mandatory in S-102 Table 8-2

Role name	Name	Description	Mult	Туре	Remarks
Attribute	comment	Any additional information	01	CharacterString	-
Attribute	defaultLocale	Default language and character set used in the dataset	01	PT_Locale	In absence of defaultLocale, the language is English, and the character set is UTF-8.
Attribute	otherLocale	Other languages and character sets used in the dataset	0*	PT_Locale	
Attribute	metadataPointOfContact	Point of contact for metadata			Only if metadataPointOfContact differs from producingAgency
Attribute	metadataDateStamp	Date stamp for metadata	01	Date	May or may not be the issue date
Attribute	replacedData	Indicates if a cancelled dataset is replaced by another data file(s)	01	Boolean	See note following part=17,table=S100_ DatasetDiscoveryMetadata Mandatory when purpose = cancellation
Attribute	dataReplacement	Dataset name	0*	CharacterString	A dataset may be replaced by 1 or more datasets. Dataset name must be according to format defined in Clause 7.2.3. For example, 102DE00KD54.H5 See note following part=17,table=S100 DatasetDiscoveryMetadata Mandatory when replacedData = true
Attribute	navigationPurpose	Classification of intended navigation purpose (for Catalogue indexing purposes)	13	S100_NavigationPurpose	If Product Specification is intended for creation of navigational products, this attribute should be mandatory. Mandatory in S-102
Role	resourceMaintenance	Information about the frequency of resource updates, and the scope of those updates	01	MD_MaintenanceInformation	S-100 restricts the multiplicity to 01 and adds specific restrictions on the ISO 19115 structure and content. See part=17. Format: PnYnMnDTnHnMnS (XML built-in type for ISO 8601 duration). See part=17,clause=4.9. S-102 discovery metadata blocks should populate maintenance information if and only if the date of

Role name	Name	Description	Mult	Туре	Remarks
					the next edition is definite, whether it is due on a regular or irregular schedule.

8.5.1 S100_NavigationPurpose

S-102 uses S100_NavigationPurpose without modification.

8.5.2 S100_DataCoverage

S-102 uses S100_DataCoverage without modification, but with additional remarks and changes to the multiplicity.

Table 8-2 — S100_DataCoverage parameters

Role name	Name	Description	Mult	Туре	Remarks
Class	S100_DataCoverage	A spatial extent where data is provided along with the display scale information for the provided data	-	-	This field is used by user systems as part of the data loading and unloading algorithms, and it is strongly encouraged that Product Specifications mandate the use of one or more of the displayScale provided as part of S100_DataCoverage.
Attribute	boundingPolygon	A polygon which defines the actual data limit	1	EX_ BoundingPolygon	Clause 8.5.2, Note
Attribute	temporalExtent	Specification of the temporal extent of the coverage	0	S100_ TemporalExtent	The temporalExtent is not used in S-102.
Attribute	optimumDisplayScale	The scale at which the data is optimally displayed	01	Integer	Example: A scale of 1:25000 is encoded as 25000
Attribute	maximumDisplayScale	The maximum scale at which the data is displayed	01	Integer	
Attribute	minimumDisplayScale	The minimum scale at which the data is displayed	01	Integer	
Attribute	approximateGridResolution	The resolution of gridded or georeferenced data (in metres)	12	Real	Mandatory in S-102 A single value may be provided when all axes have a common resolution. For multiple value provision, use axis order as specified in dataset.

Role name	Name	Description	Mult	Туре	Remarks
					For example, for 5 metre resolution, the value 5 must be encoded. If the grid cell size varies over the extent of the grid (i.e., when using a geographic grid), an approximated value should be used.

NOTE: boundingPolygon is restricted to a single GML Polygon with one exterior and 0 or more interiors expressed as Linear Rings using SRS EPSG:4326. The exterior and optional interiors shall be composed of a closed sequence of >=4 coordinate positions expressed individually or as a list (posList). The GML polygon shall have a valid GML identifier.

8.5.3 S100_Purpose

S-102 uses S100_Purpose without modification, but with a restriction on the allowed values.

Table 8-	-3 - S100	Purpose
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Role name	Name	Description	Code	Remarks
Enumeration	S100_Purpose	The purpose of the dataset	-	The S-100 values <i>update</i> , <i>reissue</i> , and <i>delta</i> are not used in S-102.
Value	newDataset	Brand new dataset	1	No data has previously been produced for this area.
Value	newEdition	New edition of the dataset or Catalogue	2	Includes new information which has not been previously distributed by updates.
Value	cancellation	Dataset or Catalogue that has been cancelled	5	Indicates the dataset or Catalogue should no longer be used and can be deleted.

8.5.4 S100_EncodingFormat

S-102 uses S100_EncodingFormat with a restriction on the allowed values to permit only the S-100 HDF5 format for S-102 datasets.

Table 8-4 — \$100_EncodingFormat parameters

Role name Name		Description	Code	Remarks
Enumeration	S100_EncodingFormat	The encoding format		The only value allowed in S-102 is "HDF5".
Value	HDF5	The HDF5 data format as defined in part=10c	3	-

8.5.5 S100_ProductSpecification

S-102 uses S100_ProductSpecification without modification, but with additional remarks and changes to the multiplicity.

Table 8-5 — S100_ProductSpecification parameters

Role name	Name	Description	Mult	Туре	Remarks
Class	S100_ ProductSpecification	The Product Specification contains the information needed to build the specified product.	-	-	-
Attribute	name	The name of the Product Specification used to create the datasets	1	CharacterString	The name in the GI Registry should be used for this field. For S-102, this name is "Bathymetric Surface" (as of 25 June 2024).
Attribute	version	The version number of the Product Specification	1	CharacterString	TR 2/2007 specifies versioning of Product Specifications Example: 3.0.0 for S-102 Edition 3.0.0
Attribute	date	The version date of the Product Specification	1	Date	-
Attribute	productIdentifier	Machine readable unique identifier of a product type	1	CharacterString (Restricted to Product ID values from the IHO Product Specification Register in the IHO Geospatial Information (GI) Registry)	For S-102, this identifier is "S-102" (without quotes).
Attribute	number	The number used to lookup the product in the Product Specification Register of the IHO GI registry	1	Integer	For IHO Product Specifications, these numbers should be taken from the IHO Product Specification Register in the IHO GI Registry. The corresponding ldx-number of the IHO Registry for S-102 is numbered 199.
Attribute	compliancyCategory	The level of compliance of the Product Specification to S-100	01	S100_CompliancyCategory	See part=4a,clause=4a-5.5 and Clause 8.5.6 below.

8.5.6 S100_CompliancyCategory

S-102 exchange sets conforming to this edition of S-102 and using a CRS from the EPSG registry may be encoded as category 3 or 4 when the compliancyCategory metadata attribute is populated. Because S-98 interoperability assumes category4 datasets, category4 may be used for test purposes,

though the absence of test datasets and of a published IHO interoperability catalogue mean this edition of S-102 does not yet qualify for *category4*. Given the uncertainty about interoperability testing requirements and availability of test datasets, the S-100 WG chair and S-102 PT chair should be consulted for up-to-date guidance.

Table 8-6 — S100_CompliancyCategory

Role Name	Name	Description	Code	Remarks
Enumeration	S100_ CompliancyCategory	-	-	S-102 should use <i>category3</i> or <i>category4</i> , subject to the guidance provided in Clause 8.5.6.
Value	category3	IHO S-100 compliant with standard encoding	3	Qualifies as <i>category2</i> ; plus "The Product Specification uses only an encoding method defined in <u>part=10;and!part=4a,clause=5.5.3</u> "
Value	category4	IHO S-100 and IMO harmonized display compliant	4	Qualifies as <i>category3</i> ; plus additional requirements, including a portrayal catalogue, cybersecurity (digital signatures and encryption), test material, use of a CRS from the EPSG Registry, and compliance with the IHO S-98 interoperability catalogue. part=4a,clause=5.5.4

8.5.7 S100_ProtectionScheme

S-102 uses S100_ProtectionScheme without modification.

8.6 MD_MaintenanceInformation

S-102 uses MD_MaintenanceInformation without modification.

8.7 MD_MaintenanceFrequencyCode

S-102 uses MD_MaintenanceFrequencyCode without modification.

8.8 S100_CatalogueDiscoveryMetadata

S-102 uses S100_CatalogueDiscoveryMetadata without modification.

8.8.1 S100_CatalogueScope

S-102 uses S100_CatalogueScope without modification.

8.8.2 PT_Locale

S-102 uses PT_Locale without modification. The class PT_Locale is defined in [iso-19115-1]. LanguageCode, CountryCode, and MD_CharacterSetCode are ISO codelists which are defined in a codelists file which is part of the S-100 Edition 5.2.0 schema distribution.

8.9 Certificates and Digital Signatures

The classes S100_SE_CertificateContainerType (<u>part=15,clause=8.11.1</u>), S100_SE_DigitalSignatureReference (<u>part=15,clause=8.11.7</u>), and S100_SE_DigitalSignature are defined in <u>part=15</u> and implemented in the S-100 generic schemas.

In accordance with <u>part=15</u>, only the ECDSA algorithm is allowed from the S100_SE_DigitalSignatureReference enumeration.

S-102 uses S100 SE DigitalSignature without modification. As stated in part=15,clause=15-8.11.3:

"The class S100_SE_DigitalSignature is realized as one of either S100_SE_SignatureOnData (a digital signature of a particular identified resource) or an additional digital signature defined using the class S100_SE_AdditionalSignature, each of which is either a S100_SE_SignatureOnData or S100_SE_SignatureOnSignature element as described in part=15, clause=8.8. part=17 metadata thus allows for multiple digital signatures, a single mandatory S100_SE_SignatureOnData and any number of additional signatures, either of the data or other signatures."

Annex A Data Classification and Encoding Guide

A.1 Features

A.1.1 BathymetryCoverage

Table A-1 — BathymetryCoverage feature parameters

Term: Bathymetry Coverage						
IHO Definition : A set of value items required to define a dataset representing a depth calculation and its associated uncertainty.						
Primitive: S100_I	F_GridCoverage					
Attribute	Allowable Encoding Value	Туре	Multiplicity			
depth	Must be in decimal metres with resolution not to exceed 0.01 metres	real (32-bit Float)	1			
uncertainty	Must be in decimal metres with resolution not to exceed 0.01 metres	real (32-bit Float)	01			

A.1.2 QualityOfBathymetryCoverage

Table A-2 — QualityOfBathymetryCoverage feature parameters

Term: Quality Of Bathymetry Coverage.				
IHO Definition : A set of references to value records that provide localised information about depth, uncertainties, and bathymetry coverage metadata.				
Primitive: S100_IF_GridCoverage				
Attribute	Constraint	Туре	Multiplicity	
iD	Each record must have a unique identifier.	unsigned 32-bit Integer	1	

A.2 Feature Attributes

A.2.1 BathymetryCoverage

Table A-3 — BathymetryCoverage feature attribute parameters

IHO Definition: depth . The vertical distance from a given water level to the bottom [[iho-s32]].		
Unit: metres		
Resolution: 0.01		
Remarks:		
Drying heights (drying depths) are indicated by a negative value.		
IHO Definition: uncertainty . Estimate characterising the range of values within which the true value of a measurement is expected to lie as defined within a particular confidence level. It is expressed as a positive value.		
Unit: metres		
Resolution: 0.01		
Remarks:		

- Represents a +/- value defining the possible range of associated depth.
- Expressed as a positive number.

A.2.2 QualityOfBathymetryCoverage

Table A-4 — QualityOfBathymetryCoverage feature attribute parameters

IHO Definition: iD. Meta data record identifier for QualityOfBathymetryCoverage	
Unit:	
Resolution:	
Remarks:	