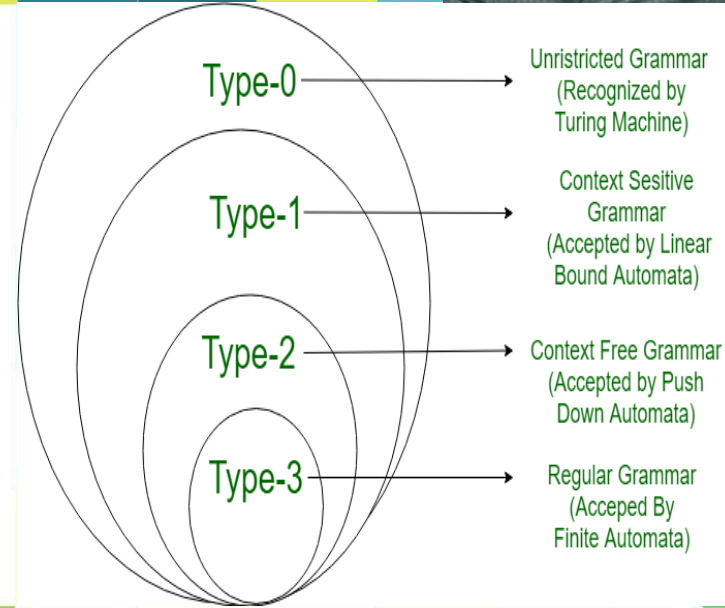




# BCSC0011: THEORY OF AUTOMATA & FORMAL LANGUAGES

(Module-II)



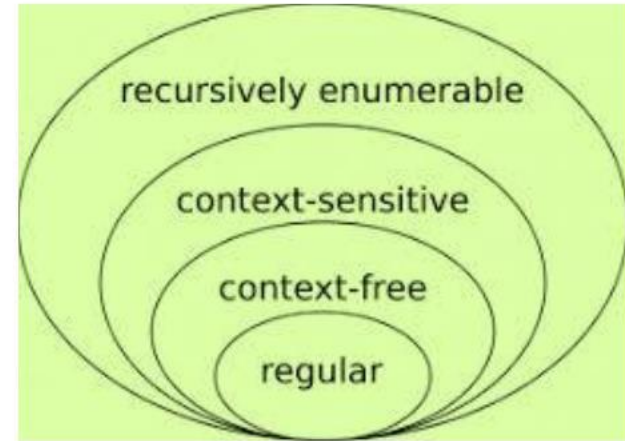
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## Module II

- Context Free Grammar and Context Free Languages: Introduction, Derivation Trees or Parse Tree
- Ambiguity in Grammar, Ambiguous to Unambiguous CFG
- Simplification of CFGs:** Removal of useless symbols, elimination of null productions, elimination of unit productions
- Normal Forms** for CFGs - CNF and GNF
- Pumping lemma for CFLs
- Equivalence of PDA and CFG
- Turing Machine**

# Context-Free Grammars

- Languages that are **generated** by context-free grammars are context-free languages
- Context-free grammars are more expressive than finite automata: if a language  $L$  is **accepted** by a finite automata then  $L$  can be **generated** by a context-free grammar
- Beware:  
**The converse is NOT true**



A Grammar is the collection of 4-tuple i.e.

**$G = (V_N, \Sigma, P, S)$  where:**

**$V_N$ :** Set of Variables;     **$\Sigma$ :** Set of Terminals

**$P$ :** Production Rule;     **$S$ :** Start Symbol

$V_N = \{ \langle \text{Sentence} \rangle, \langle \text{noun} \rangle, \langle \text{verb} \rangle, \langle \text{adverb} \rangle \}$

$\Sigma = \{ \text{sandeep, hari, read, quickly} \}$

$S = \langle \text{Sentence} \rangle$

$\langle \text{Sentence} \rangle \quad \langle \text{noun} \rangle \langle \text{verb} \rangle \langle \text{adverb} \rangle$

$\langle \text{noun} \rangle \longrightarrow \text{Sandeep, hari}$

$\langle \text{verb} \rangle \longrightarrow \text{read}$

$\langle \text{adverb} \rangle \longrightarrow \text{quickly}$



## Validation Rules:

1. If  $S \rightarrow BC$  is a given production, it means we can replace  $S$  by  $BC$  but can not replace  $BC$  by  $S$ . **[Reverse substitution is not possible]**
2. If  $S \rightarrow BC$  is a given production, it is not necessary that  $BC \rightarrow S$  is a production. **[No inverse operation is permitted]**



# Formal Definition Grammar

$$G = (V_N, \Sigma, P, S)$$

Finite Set of  
Variables /  
Non  
Terminals

Finite Set of  
terminal  
symbols

Set of  
Productions rules

Start  
variable

All productions in **P** are of  
the form

$$A \rightarrow \alpha$$

Variable

String of  
variables and  
terminals

## Example of Context-Free Grammar

$$S \rightarrow aSb \mid \lambda$$

$$P = \{ S \rightarrow aSb, S \rightarrow \lambda \}$$

productions

$$G = (V_N, \Sigma, S, P)$$

$$V_N = \{ S \}$$

variables

$$\Sigma = \{ a, b \}$$

terminals

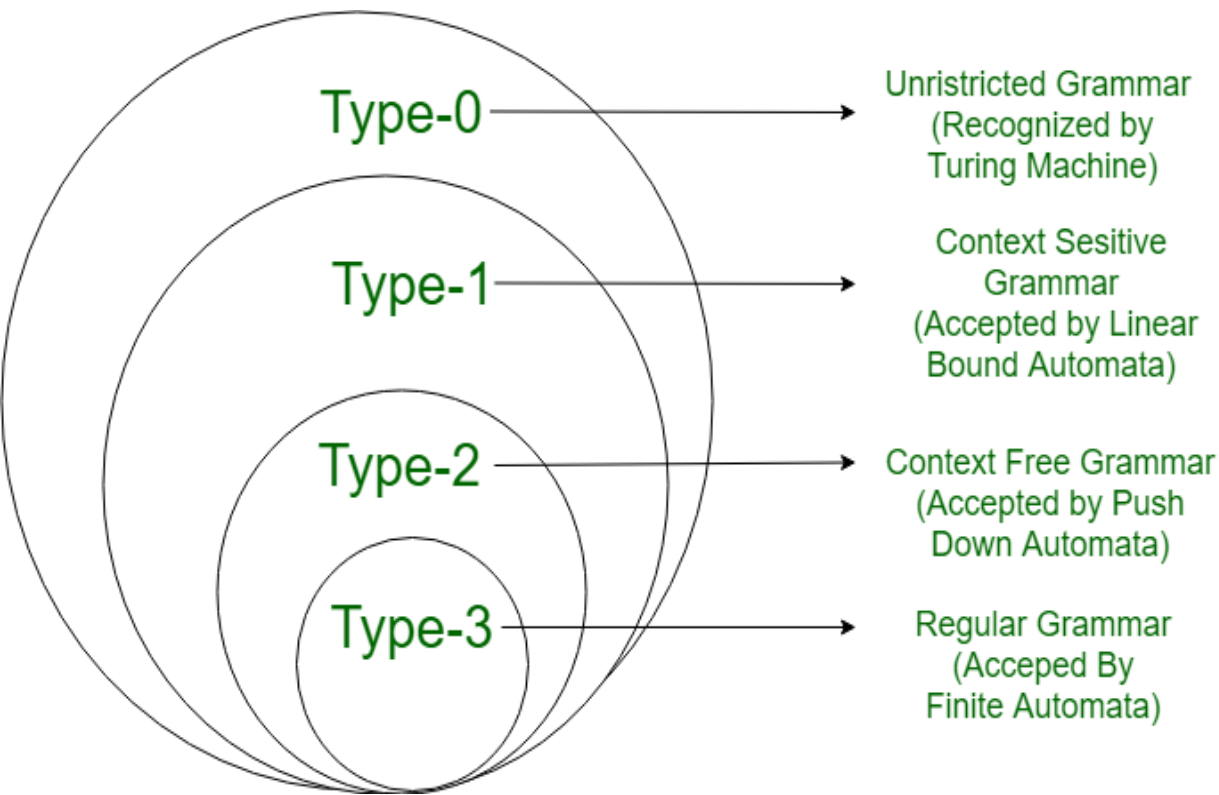
start variable

# Chomsky Classification on Grammar

According to Noam Chomsky, there are four types of grammars – Type 0, Type 1, Type 2, and Type 3.

Grammar Type	Grammar Accepted	Language Accepted	Automaton
Type 0	Unrestricted grammar	Recursively enumerable language	Turing Machine
Type 1	Context-sensitive grammar	Context-sensitive language	Linear-bounded automaton
<b>Type 2</b>	<b>Context-free grammar</b>	<b>Context-free language</b>	<b>Pushdown automaton</b>
Type 3	Regular grammar	Regular language	Finite state automaton





Type 0 known as unrestricted grammar.  
Type 1 known as context sensitive grammar.  
Type 2 known as context free grammar.  
Type 3 Regular Grammar.

## Type-0 grammars

The productions have no restrictions.

**Type-1 grammars** generate context-sensitive languages. The productions must be in the form  $\alpha A \beta \rightarrow \alpha \gamma \beta$  where  $A \in N$  (Non-terminal) and  $\alpha, \beta, \gamma \in (V_N \cup \Sigma)^*$  (Strings of terminals and non-terminals)

The strings  $\alpha$  and  $\beta$  may be empty, but  $\gamma$  must be non-empty.

$AB \rightarrow AbBc$   
 $A \rightarrow bcA$   
 $B \rightarrow b$

**Type-2 grammars** generate context-free languages.

The productions must be in the form  $A \rightarrow \alpha$ , where  $A \in V_N$  (Non terminal) and  $\alpha \in (V_N \cup \Sigma)^*$

It should be type1

$S \rightarrow Xa$

$X \rightarrow a$

$X \rightarrow aX$

$X \rightarrow abc$

$X \rightarrow \epsilon$

**Type-3 grammars** generate regular languages.

Type-3 grammars must have a single non-terminal on the left-hand side and a right-hand side consisting of a single terminal or single terminal followed by a single

The productions must be in the form  $X \rightarrow a$  or  $X \rightarrow aY$

where  $X, Y \in V_N$  (Non terminal)

and  $a \in \Sigma$  (Terminal)

$X \rightarrow \epsilon$

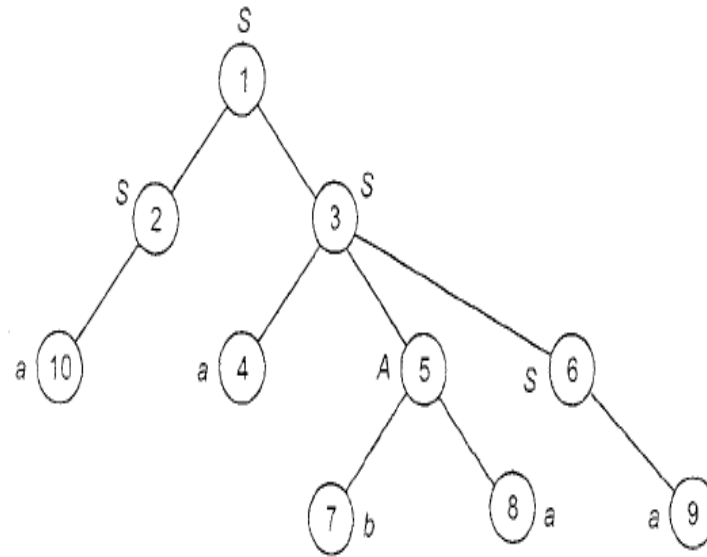
$X \rightarrow a \mid aY$

$Y \rightarrow b$

# Derivation Trees or Parse Tree

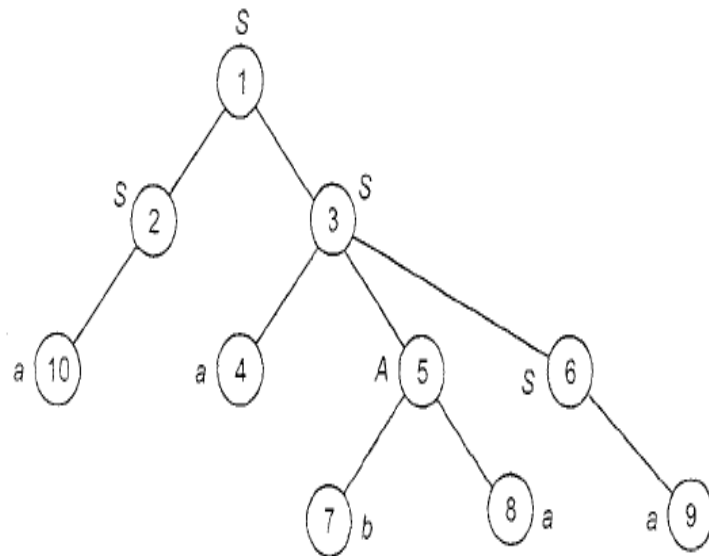
- Given a derivable string, we may represent the derivation by a derivation / parse tree.
- A **parse tree** is a tree with the following properties:
  - Every vertex has a label which is a variable or terminal or null ( $\lambda$ ).
  - The root node is the start symbol  $S$ .
  - The label of an internal vertex is a variable.
  - If the vertices  $n_1, n_2, \dots, n_k$  written with labels  $X_1, X_2, \dots, X_k$  are the sons of vertex  $n$  with label  $A$ , then  $A \rightarrow X_1, X_2, \dots, X_k$  is a production in  $P$ .
  - A vertex  $n$  is a leaf if its label is  $a \in \Sigma$  or null.

**Example:** Let  $G = (\{S, A\}, \{a, b\}, P, S)$ , where  $P$  consists of  $S \rightarrow aAS \mid a \mid SS$ ,  $A \rightarrow SbA \mid ba$



**Note:** The yield of a derivation tree is the concatenation of the labels of the leaves without repetition in the left to right ordering

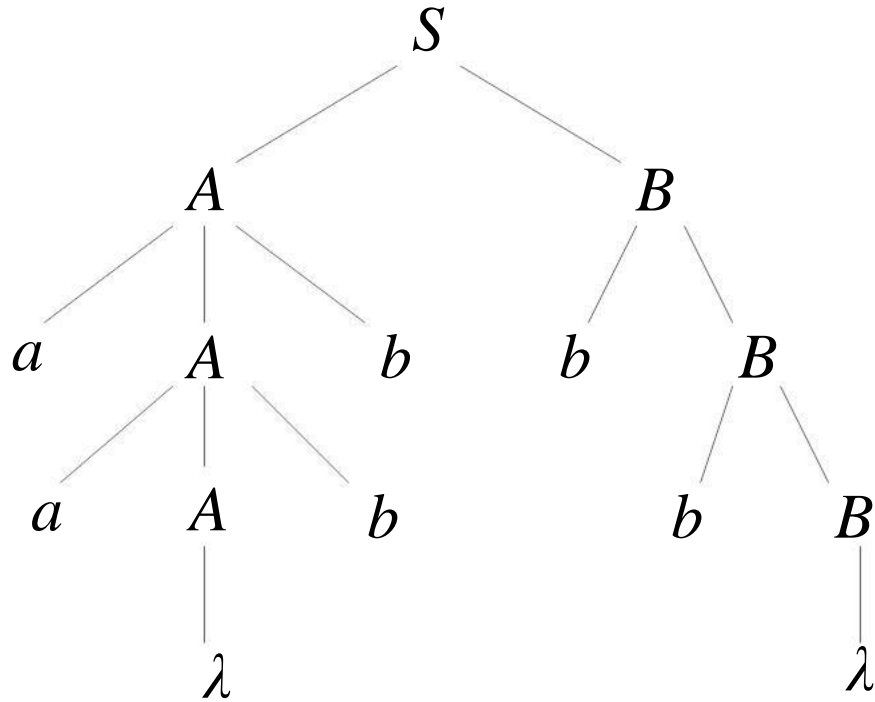
**Example:** Let  $G = (\{S, A\}, \{a, b\}, P, S)$ , where  $P$  consists of  $S \rightarrow aAS \mid a \mid SS$ ,  $A \rightarrow SbA \mid ba$ . Find derivation tree for string **aabaa**



# Example of a Derivation Tree

- Let the grammar  $G$  be
  - $S \rightarrow AB$
  - $A \rightarrow aAb \mid \lambda$
  - $B \rightarrow bB \mid \lambda$
- Let the string be  $w = aabbbb$ .
- Derive the string  $w$ .

# Now draw the Parse Tree of *aabbbb*



This is the parse tree of many different, but equivalent, derivations of *aabbbb*.

For example,

$S \Rightarrow AB \Rightarrow aAbB \Rightarrow aaAbbbB \Rightarrow aabbbB \Rightarrow aabbbbB \Rightarrow aabbbb.$

$S \Rightarrow AB \Rightarrow AbB \Rightarrow AbbB \Rightarrow Abb \Rightarrow aAbbbb \Rightarrow aaAbbbb \Rightarrow aabbbb.$

# Leftmost and Rightmost Derivations

## 1. Leftmost Derivations

- A *leftmost derivation* of a string is a derivation in which each production rule is applied to the leftmost non terminal in the string.

or

A derivation  $A \Rightarrow^* w$  is called a *left most derivation* (LMD) if we apply a production only to the leftmost variable at every step.



## 2. Rightmost Derivations

- A *rightmost derivation* of a string is a derivation in which each production rule is applied to the rightmost non terminal in the string.

or

A derivation  $A \xRightarrow{*} w$  is called a *rightmost derivation* (RMD) if we apply a production only to the rightmost variable at every step.

Example: Let  $G$  be the grammar  $S \rightarrow 0B \mid 1A$ ,  $A \rightarrow 0 \mid 0S \mid 1AA$ ,  $B \rightarrow 1 \mid 1S \mid 0BB$ . For the string 00110101, find:

- a) LMD      b) RMD      c) Derivation Tree or Parse Tree

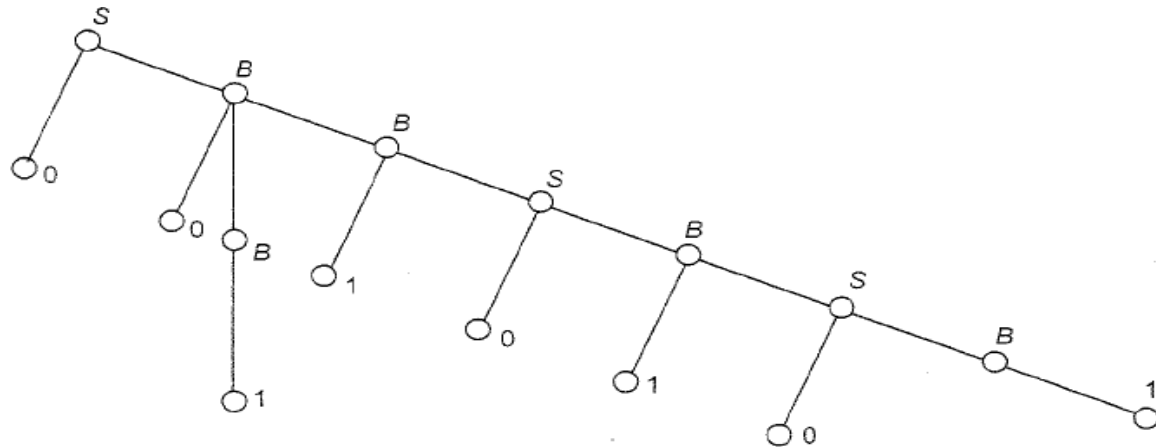
Solution: LMD:

$S \Rightarrow 0B \Rightarrow 00\textcolor{red}{B} \Rightarrow 001B \Rightarrow 0011S \Rightarrow 00110B \Rightarrow 001101S \Rightarrow 0011010B \Rightarrow 00110101$

RMD:

$S \Rightarrow 0B \Rightarrow 00B\textcolor{red}{B} \Rightarrow 00B1\textcolor{red}{S} \Rightarrow 00B10\textcolor{red}{B} \Rightarrow 00B101\textcolor{red}{S} \Rightarrow 00B1010\textcolor{red}{B} \Rightarrow 00\textcolor{red}{B}10101 \Rightarrow 00110101$

Parse Tree:



# Ambiguous Grammars

- ▼ If there exists  $w \in L(G)$  such that  $w$  has two or more different
  - parse trees or
  - leftmost (or rightmost) derivations ,
- ▼ then  $G$  is *ambiguous*.

Consider the grammar G with production rules –

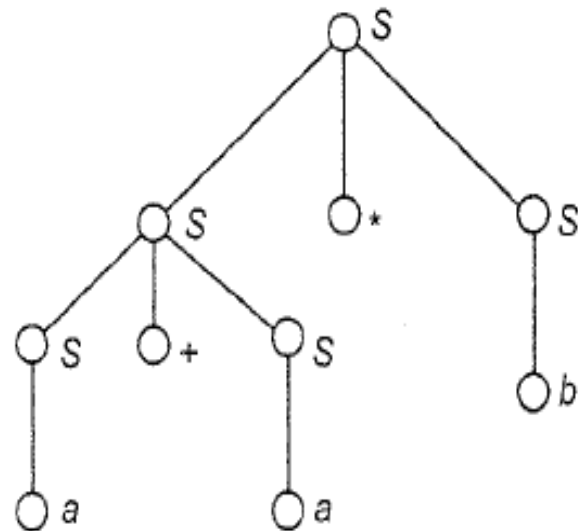
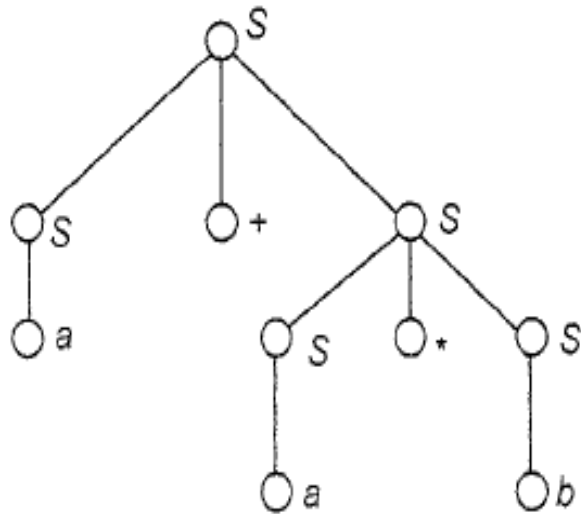
$$S \rightarrow S+S \mid S^*S \mid a \mid b$$

Find two Left Most Derivations of the string "**a+a\*b**"

**Derivation 1 :**  $S \rightarrow S+S \rightarrow a+S \rightarrow a+S^*S \rightarrow a+a^*S \rightarrow a+a^*b$

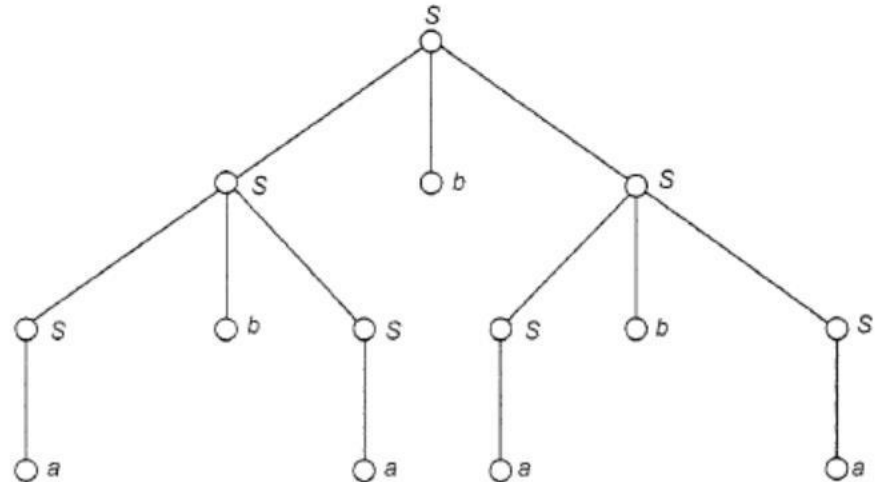
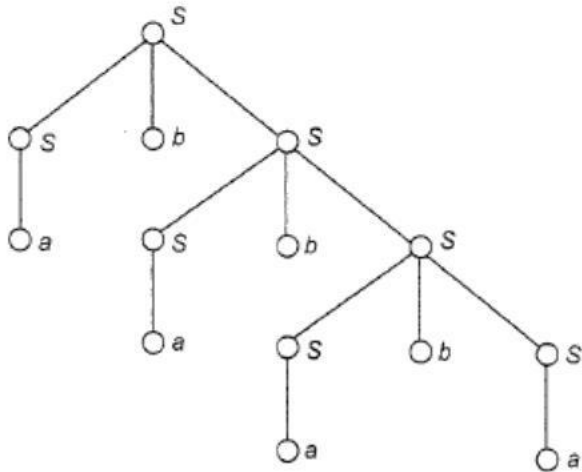
**Derivation 2 :**  $S \rightarrow S^*S \rightarrow S+S^*S \rightarrow a+S^*S \rightarrow a+a^*S \rightarrow a+a^*b$

## Parse trees for **a+a\*b**



If  $G$  is the grammar  $S \rightarrow SbS \mid a$ , show that  $G$  is ambiguous.

*Consider  $w=abababa$*



Example1

$E \rightarrow E + E$

$E \rightarrow E - E$

$E \rightarrow id$

String "id + id - id"

**First Leftmost derivation**

$E \rightarrow E + E$

$\rightarrow id + E$

$\rightarrow id + E - E$

$\rightarrow id + id - E$

$\rightarrow id + id - id$

**Second Leftmost derivation**

$E \rightarrow E - E$

$\rightarrow E + E - E$

$\rightarrow id + E - E$

$\rightarrow id + id - E$

$\rightarrow id + id - id$

Prove that grammar  
is ambiguous...

Example1

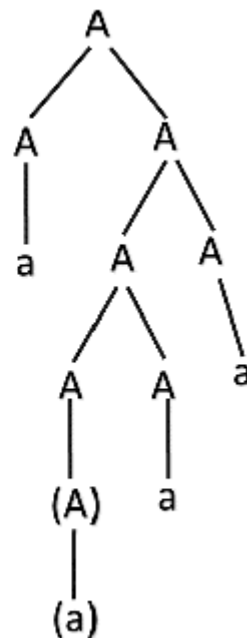
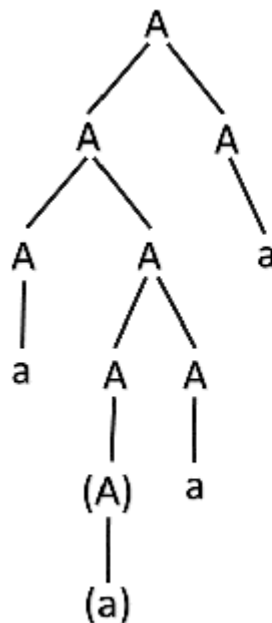
$A \rightarrow AA$

$A \rightarrow (A)$

$A \rightarrow a$

For the string "a(a)aa"

Two different parse tree for the same string:



- Ambiguity can be removed by rewriting the grammar such that there is only one derivation or parse tree possible for a string of the language which the grammar represents.

E.g.

- ▶ Ambiguous Grammar

$S \rightarrow SbS \mid a$

- ▶ Can be rewritten as

$S \rightarrow abS \mid a$

There are some inherently ambiguous languages like  
 $L = \{a^n b^n c^m\} \cup \{a^n b^m c^m\}$





**Rule:**  $A \rightarrow A\alpha_1 \mid A\alpha_2 \mid A\alpha_3 \mid \dots \mid A\alpha_m \mid B_1 \mid B_2 \mid \dots \mid B_n$  where no  $B_i$  begin with  $A$ .  
then we replace the  $A$ -production by:

$A \rightarrow B_1 A' \mid B_2 A' \mid \dots \mid B_n A'$

$A' \rightarrow \alpha_1 A' \mid \alpha_2 A' \mid \dots \mid \alpha_m A' \mid \lambda$

*[Note: productions of the form of  $A \rightarrow A\alpha$ , called immediate left recursion]*

**Example:**  $E \rightarrow E+T \mid T$ ,  $T \rightarrow T*F \mid F$ ,  $F \rightarrow (E) \mid a$

**Solution:**

$E \rightarrow TE'$        $E' \rightarrow +TE' \mid \lambda$

$T \rightarrow FT'$        $T' \rightarrow *FT' \mid \lambda$

$F \rightarrow (E) \mid a$

# Simplification of CFGs



Can't always eliminate ambiguity.

But, CFG simplification & restriction still useful  
theoretically & pragmatically.

- Simpler grammars are easier to understand.
- Simpler grammars can lead to faster parsing.
- Restricted forms useful for some parsing algorithms.
- Restricted forms can give you more knowledge about derivations.

■ Context Free Grammar can be simplified by:

■ Useless production elimination

or

Construction of Reduced Grammar

■  $\epsilon$  production elimination

■ Unit production elimination

# Useless Productions

- A non-terminal  $X$  is **useless** if:

- $X$  does not generate any string of terminals.
  - It cannot derive a terminal string

OR

- $X$  does not occur in any sentential form
  - It cannot be reached from start symbol

Derive some strings

$$S \rightarrow aSb \mid c \mid Ac$$

$$A \rightarrow aA$$

$$B \rightarrow bB \mid b$$

Any production involving a useless symbol is a **useless production**.

# Elimination of Useless Productions

**Phase 1** – Derivation of an equivalent grammar,  $G'$ , from the CFG,  $G$ , such that **each variable derives some terminal string**.

## Construction of $V'$ :

- ♦ We define  $V_i' \subseteq V$  by recursion
- ♦  **$V_1' = \{A \in V \mid \text{there exists a production } A \rightarrow \omega \text{ where } \omega \in \Sigma^*\}$**
- ♦  $V_{i+1}' = V_i' \cup \{A \in V \mid \text{there exists some production } A \rightarrow \alpha \text{ with } \alpha \in (\Sigma \cup V_i')^*\}$
- ♦ At some point  **$V_k' = V_{k+1}'$** . Then we get  $V' = V_k'$
- ♦ Construction of  $P'$
- ♦  $P' = \{A \rightarrow \alpha \mid A, \alpha \in (V' \cup \Sigma)^*\}$

Let  $G$  be  **$S \rightarrow AB$ ,  $A \rightarrow a$ ,  $B \rightarrow b$ ,  $B \rightarrow C$ , and  $E \rightarrow c$**   
Find  $G'$  such that every variable in  $G'$  derives some terminal string.

$$V_1' = \{A, B, E\}$$

$$A \rightarrow a, B \rightarrow b, E \rightarrow c$$

$$V_2' = \{A, B, E, S\}$$

$$S \rightarrow AB$$

$$V_3' = \{A, B, E, S\}$$

$$= V_2'$$

**Here we get  $V' = \{S, A, B, E\}$ .**

**So  $P' = S \rightarrow AB$ ,  $A \rightarrow a$ ,  $B \rightarrow b$  and  $E \rightarrow c$**



**Phase 2** – Derivation of an equivalent grammar,  $G''$ , from the CFG,  $G'$ , such that **each symbol appears in a sentential form**.

Construction of  $V''$ :

- ♦ We define  $V_i'' \subseteq V$  by recursion
- ♦  $V_1'' = \{S\}$
- ♦  $V_{i+1}'' = V_i'' \cup \{X \in V' \mid \text{there exists some production } A \rightarrow \alpha \text{ with } A \in V_i'' \text{ \& } \alpha \text{ contains the symbol } X\}$
- ♦ At some point  $V_k'' = V_{k+1}''$ . Then we get  $V'' = V_k''$
- ♦ Construction of  $P''$
- ♦  $P'' = \{A \rightarrow \alpha \mid A, \alpha \in (V'' \cup \Sigma)^*\}$

We had **G** as  **$S \rightarrow AB$** ,  **$A \rightarrow a$** ,  **$B \rightarrow b$** ,  **$B \rightarrow C$** , and  **$E \rightarrow c$**

Then we got **G'** as  **$S \rightarrow AB$** ,  **$A \rightarrow a$** ,  **$B \rightarrow b$**  and  **$E \rightarrow c$**

$$V_1'' = \{S\}$$

$$V_2'' = \{S, A, B\}$$

$$S \rightarrow AB$$

$$V_3'' = \{S, A, B\}$$

$$A \rightarrow a, B \rightarrow b$$

$$= V_2''$$

**Here we get  $V'' = \{S, A, B\}$ .**

**So  $P'' = S \rightarrow AB, A \rightarrow a, B \rightarrow b$**

**Question:** Find a reduced grammar equivalent to the grammar G, having production rules,  $P: S \rightarrow AC \mid B, A \rightarrow a, C \rightarrow c \mid BC, E \rightarrow aA \mid e$ .

**Solution:**

**Step1:**

Terminals =  $\{ a, c, e \}$

$W_1 = \{ A, C, E \}$  from rules  $A \rightarrow a, C \rightarrow c$  and  $E \rightarrow e$

$W_2 = \{ A, C, E \} \cup \{ S \}$  from rule  $S \rightarrow AC$

$W_3 = \{ A, C, E, S \} \cup \emptyset$

Since  $W_2 = W_3$ , we can derive  $G'$  as –

$G' = \{ \{ A, C, E, S \}, \{ a, c, e \}, P, \{ S \} \}$ , where  $P: S \rightarrow AC, A \rightarrow a, C \rightarrow c, E \rightarrow aA \mid e$

**Step2:**

$V_1 = \{ S \}$

$V_2 = \{ S, A, C \}$  from rule  $S \rightarrow AC$

$V_3 = \{ S, A, C, a, c \}$  from rules  $A \rightarrow a$  and  $C \rightarrow c$

$V_4 = \{ S, A, C, a, c \}$

Since  $V_3 = V_4$ , we can derive  $G''$  as –

$G'' = \{ \{ A, C, S \}, \{ a, c \}, P, \{ S \} \}$ , where  $P: S \rightarrow AC, A \rightarrow a, C \rightarrow c$

Construct a reduced grammar equivalent to the grammar:

$S \rightarrow aAa, \quad A \rightarrow Sb \mid bCC \mid DaA, \quad C \rightarrow abb \mid DD,$

$E \rightarrow aC, \quad D \rightarrow aDA$

**Solution:** Step1:

$W_1 = \{C\}$  as  $C \rightarrow abb$  is the only production with a terminal string on the R.H.S.

$W_2 = \{C\} \cup \{E, A\}$ , as  $E \rightarrow aC$  and  $A \rightarrow bCC$  are productions with R.H.S. in  $(\Sigma \cup \{C\})^*$

$W_3 = \{C, E, A\} \cup \{S\}$ , as  $S \rightarrow aAa$  and  $aAa$  is in  $(\Sigma \cup W_2)^*$

$W_4 = W_3 \cup \emptyset$

Hence,  $V_n = W_4 = \{S, A, C, E\}$

$P' = \{S \rightarrow aAa, A \rightarrow Sb \mid bCC, C \rightarrow abb, E \rightarrow aC\}$

$G' = (V_n, \{a, b\}, P', S)$

**Solution:** Step2:

$W1 = \{S\}$ , As we have  $S \rightarrow aAa$ ,

$W2 = \{S\} \cup \{A, a\}$ , As  $A \rightarrow Sb \mid bCC$ ,

$W3 = \{S, A, a\} \cup \{S, b, C\} = \{S, A, C, a, b\}$

As we have  $C \rightarrow abb$ ,

$W4 = W3 \cup \{a, b\} = W3$

Hence  $P'' = \{S \rightarrow aAa, \quad A \rightarrow Sb \mid bCC, \quad C \rightarrow abb\}$

$G'' = (\{S, A, C\}, \{a, b\}, P'', S)$  is the reduced grammar.

**Question:** Find a reduced grammar equivalent to the grammar G whose productions are  
 $S \rightarrow AB \mid CA$ ,  $B \rightarrow BC \mid AB$ ,  $A \rightarrow a$ ,  $C \rightarrow aB \mid b$

**Solution:**

Step1:

$G' = (\{S, A, C\}, \{a, b\}, \{S \rightarrow CA, A \rightarrow a, C \rightarrow b\}, S)$

Step2:

$G'' = (\{S, A, C\}, \{a, b\}, \{S \rightarrow CA, A \rightarrow a, C \rightarrow b\}, S)$

## Elimination of Null Productions

- A CFG may have productions of the form  $A \rightarrow \lambda$ . So, a production in the form of  $A \rightarrow \lambda$ , where  $A$  is variable, is *c/d null production*.
- If  $G$  is a context free grammar, then we can find a context free grammar  $G_1$  having no null productions such that  $L(G) = L(G_1) \cup \{\lambda\}$

## Step 1: Construction of the set $W$ of all nullable variables

- ▶  $W_1 = \{A_1 \in V \mid A_1 \rightarrow \lambda \text{ is a production in } P\}$
- ▶  $W_{i+1} = W_i \cup \{K \in V \mid \exists \text{ a production } K \rightarrow \alpha \text{ with } \alpha \in W_i^* \}$
- ▶ At some point  $W_{i+1} = W_i$

$$S \rightarrow aS \mid AB$$

$$A \rightarrow \lambda \mid a$$

$$B \rightarrow \lambda \mid b$$

$$D \rightarrow b$$

$$W_1 = \{A, B\}$$

$$W_2 = \{A, B, S\}$$

$$W_3 = \{A, B, S\} \\ = W_2$$



## Step 2: Construction P'

- ▶ All prod<sup>n</sup>s whose RHS doesn't have any nullable variables are included in P'

- ▶ If  $A \rightarrow X_1 X_2 \dots X_k$  is in P then include

$$A \rightarrow \alpha_1 \alpha_2 \dots \alpha_k \quad \text{where}$$

$$\alpha_i = \begin{cases} X_i & \text{if } X_i \notin W \\ \lambda \text{ or } X_i & \text{if } X_i \in W \end{cases}$$

$$\text{Provided } A \rightarrow \alpha_1 \alpha_2 \dots \alpha_k \neq \lambda$$

$$A \rightarrow a$$

$$B \rightarrow b$$

$$D \rightarrow b$$

$$S \rightarrow aS \mid a \mid AB \mid A \mid B$$

**Question:** Remove null production from the following –  
 $S \rightarrow ASA \mid aB \mid b, A \rightarrow B, B \rightarrow b \mid \epsilon$

**Solution:**

$S \rightarrow ASA \mid aB \mid b \mid a \mid SA \mid AS \mid S, \quad A \rightarrow B, \quad B \rightarrow b$

Eliminate Null productions:

1.  $S \rightarrow ABAC$

$A \rightarrow aA / \epsilon$

$B \rightarrow bB / \epsilon$

$C \rightarrow c$

Solution after null elimination:

$S \rightarrow ABAC / ABC / BAC / BC / AAC / AC / C$

$A \rightarrow aA / a$

$B \rightarrow bB / b$

$C \rightarrow c$

Eliminate Null productions:

2.  $S \rightarrow aSb / aAb / ab / a$

$A \rightarrow \epsilon$

Solution after null elimination:

$S \rightarrow aSb / aAb / ab / a$

## Eliminate Null( $\epsilon$ )-Productions

1.  $S \rightarrow AB$ ,  $A \rightarrow aAA/\epsilon$ ,  $B \rightarrow bBB/\epsilon$

**Solution:**

$S \rightarrow AB | A | B$ ,

$A \rightarrow aAA | aA | a$

$B \rightarrow bBB | bB | b$

2.  $S \rightarrow ABCd$

$A \rightarrow BC$ ,  $B \rightarrow bB \mid \epsilon$ ,  $C \rightarrow cC \mid \epsilon$

**Solution:**

$S \rightarrow ABCd \mid ABd \mid ACd \mid BCd \mid Ad \mid Bd \mid Cd \mid d$

$A \rightarrow BC \mid B \mid C$

$B \rightarrow bB \mid b$

$C \rightarrow cC \mid c$

## Eliminate Null( $\epsilon$ )-Productions

1.  $S \rightarrow ABA$ ,  $A \rightarrow aA/\epsilon$ ,  $B \rightarrow bB/\epsilon$

**Solution:**

$S \rightarrow ABA \mid AB \mid BA \mid AA \mid A \mid B$

$A \rightarrow aA \mid a$

$B \rightarrow bB \mid b$

2.  $S \rightarrow ABCd$

$A \rightarrow BC$ ,  $B \rightarrow bB \mid \epsilon$ ,  $C \rightarrow cC \mid \epsilon$

**Solution:**

$S \rightarrow ABCd \mid ABd \mid ACd \mid BCd \mid Ad \mid Bd \mid Cd \mid d$

$A \rightarrow BC \mid B \mid C$

$B \rightarrow bB \mid b$

$C \rightarrow cC \mid c$

# Eliminate Null Productions

$S \rightarrow ABCBCDA$

$A \rightarrow CD$

$B \rightarrow Cb$

$C \rightarrow a \mid \lambda$

$D \rightarrow bD \mid \lambda$

The CFG will have total 40  
prod<sup>n</sup>s!!

➤ 32 S prod<sup>n</sup>s

➤  $A \rightarrow CD \mid C \mid D$

➤  $B \rightarrow Cb \mid b$

➤  $C \rightarrow a$

➤  $D \rightarrow bD \mid b$

# Elimination of Unit Productions

$A \rightarrow B$  where  $A, B \in V$

## Step 1: Construction of the set variables, derivable from A

- ▶  $W_1(A) = \{A\}$
- ▶  $W_{i+1}(A) = W_i(A) \cup \{C \in V \mid \text{there is a production } B \rightarrow C \text{ \& } B \in W_i(A)\}$
- ▶ At some point  $W_{i+1}(A) = W_i(A)$

$S \rightarrow A \mid bb$

$A \rightarrow B \mid b$

$B \rightarrow S \mid a$

$W(S) = \{S, A, B\}$

$W(A) = \{S, A, B\}$

$W(B) = \{S, A, B\}$

$W_1(S) = \{S\}$

$W_2(S) = \{S, A\}$

$W_3(S) = \{S, A, B\} = W_4(S)$

$W(S) = \{S, A, B\}$

## Step 2: All non unit prod<sup>n</sup>s are included as such

$$S \rightarrow bb$$

$$A \rightarrow b$$

$$B \rightarrow a$$

## Step 3: Add prod<sup>n</sup> $A \rightarrow \alpha$ whenever

- ▶  $B \in W(A)$  and
- ▶  $B \rightarrow \alpha$  is in  $P$  and
- ▶  $\alpha \notin V$

$$S \rightarrow A \mid bb$$

$$A \rightarrow B \mid b$$

$$B \rightarrow S \mid a$$

$$W(S) = \{S, A, B\}$$

$$W(A) = \{S, A, B\}$$

$$W(B) = \{S, A, B\}$$

$$S \rightarrow b \mid a$$

$$A \rightarrow bb \mid a$$

$$B \rightarrow bb \mid b$$

Hence prod<sup>n</sup>s in  $G'$  are:

$$S \rightarrow a \mid b \mid bb$$

$$A \rightarrow a \mid b \mid bb$$

$$B \rightarrow a \mid b \mid bb$$



## Eliminate Unit-productions

$S \rightarrow Aa \mid B$

$A \rightarrow b \mid B$

$B \rightarrow A \mid a$

### Solution:

$S \rightarrow Aa \mid b \mid a$

$A \rightarrow b \mid a$

$B \rightarrow a \mid b$

B-production doesn't occur in the production 'S', then the following grammar becomes

$S \rightarrow Aa \mid b \mid a$

$A \rightarrow b \mid a$

**Question:** Remove unit production from the following:

$S \rightarrow XY, X \rightarrow a, Y \rightarrow Z \mid b, Z \rightarrow M, M \rightarrow N, N \rightarrow a$

**Solution:**

$S \rightarrow XY, X \rightarrow a, Y \rightarrow a \mid b, Z \rightarrow a, M \rightarrow a, N \rightarrow a$

Now Z, M, and N are unreachable, hence we can remove those.

The final CFG is unit production free –

$S \rightarrow XY, X \rightarrow a, Y \rightarrow a \mid b$

Eliminates unit production:

$E \rightarrow E + T \mid T, \quad T \rightarrow T * F \mid F, \quad F \rightarrow (E) \mid a$

Solution after Elimination of unit production:

$E \rightarrow E + T \mid T * F \mid (E) \mid a$

$T \rightarrow T * F \mid (E) \mid a$

$F \rightarrow (E) \mid a$

Simplify the following grammar:

$S \rightarrow 0A0 \mid 1B1 \mid BB,$       $A \rightarrow C,$       $B \rightarrow S \mid A$       $C \rightarrow S \mid \epsilon$

Solution after null removal:

$S \rightarrow 0A0 \mid 00 \mid 1B1 \mid 11 \mid B \mid BB$

$A \rightarrow C$

$B \rightarrow S \mid A$

$C \rightarrow S$

Solution without unit production:

$S \rightarrow 0A0 \mid 00 \mid 1B1 \mid 11 \mid BB$

$A \rightarrow 0A0 \mid 00 \mid 1B1 \mid 11 \mid BB$

$B \rightarrow 0A0 \mid 00 \mid 1B1 \mid 11 \mid BB$

$C \rightarrow 0A0 \mid 00 \mid 1B1 \mid 11 \mid BB$

Simplified Grammar:

*Symbol C is not reachable i.e. useless*

*so final simplified grammar:*

$S \rightarrow 0A0 \mid 00 \mid 1B1 \mid 11 \mid BB$

$A \rightarrow 0A0 \mid 00 \mid 1B1 \mid 11 \mid BB$

$B \rightarrow 0A0 \mid 00 \mid 1B1 \mid 11 \mid BB$

Simplify the following grammar:

$S \rightarrow AB \mid BC \mid aACb \mid a$ ,  $A \rightarrow AAB \mid BD \mid abD \mid C$ ,  $C \rightarrow CA \mid S \mid a$ ,  $D \rightarrow d$ ,  $E \rightarrow ab$

\*Answer is not verified, pls verify it.

Solution without unit production:

$S \rightarrow AB \mid BC \mid aACb \mid a$

$A \rightarrow AAB \mid BD \mid abD \mid CA \mid AB \mid BC \mid aACb \mid a$

$C \rightarrow CA \mid AB \mid BC \mid aACb \mid a$

$D \rightarrow d$ ,  $E \rightarrow ab$

Simplified Grammar:

*Symbol E is not reachable i.e. useless so*

*final simplified grammar:*

$S \rightarrow AB \mid BC \mid aACb \mid a$

$A \rightarrow AAB \mid BD \mid abD \mid CA \mid AB \mid BC$   
 $\mid aACb \mid a$

$C \rightarrow CA \mid AB \mid BC \mid aACb \mid a$

$D \rightarrow d$

# Normal Forms

▣ Chomsky Normal Form (CNF)

▣ Griebach Normal Form (GNF)

*By:*  
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# Chomsky Normal Form

- A CFG is in CNF if all the prod<sup>n</sup>s are in any one of the following forms
  - $A \rightarrow a$
  - $A \rightarrow BC$where A, B, and C are non-terminals and **a** is a terminal

# Steps of Chomsky Normal Form

Step1: Elimination of null production and unit production

Step2: Elimination of terminals on RHS

Step3: Restricting the number of variable on RHS



# Conversion to CNF

## *Algorithm to Convert into Chomsky Normal Form –*

**Step 1** – If the start symbol **S** occurs on some right side, create a new start symbol **S'** and a new production **S' → S**.

**Step 2** – Remove Null productions. (Using the Null production removal algorithm discussed earlier)

**Step 3** – Remove unit productions. (Using the Unit production removal algorithm discussed earlier)

**Step 4** – Replace each production **A → B<sub>1</sub>...B<sub>n</sub>** where **n > 2** with **A → B<sub>1</sub>C** where **C → B<sub>2</sub> ...B<sub>n</sub>**. Repeat this step for all productions having two or more symbols in the right side.

**Step 5** – If the right side of any production is in the form **A → aB** where **a** is a terminal and **A**, **B** are non-terminal, then the production is replaced by **A → XB** and **X → a**. Repeat this step for every production which is in the form **A → aB**.

Convert the following CFG into CNF

$$S \rightarrow aSa \mid bSb \mid aa \mid bb \mid a \mid b$$

▢ No null or unit prod<sup>n</sup>s or  $\varepsilon \in L$

▢ Add prod<sup>n</sup>s already in CNF

▢ Convert  $S \rightarrow aSa$

▢ Convert  $S \rightarrow bSb$

▢ Convert  $S \rightarrow aa$

▢ Convert  $S \rightarrow bb$

$$S \rightarrow a \mid b$$

$$S \rightarrow N_a S N_a, \quad N_a \rightarrow a$$

$$S \rightarrow N_a S_1$$

$$S_1 \rightarrow S N_a$$

$$S \rightarrow N_b S N_b, \quad N_b \rightarrow b$$

$$S \rightarrow N_b S_2$$

$$S_2 \rightarrow S N_b$$

$$S \rightarrow N_a N_a$$

$$S \rightarrow N_b N_b$$

Convert the following CFG into CNF

$$S \rightarrow aAD, A \rightarrow aB | bAB, B \rightarrow b, D \rightarrow d$$

▢ No null or unit prod<sup>n</sup>s

▢ Add prod<sup>n</sup>s already in CNF

$$B \rightarrow b, D \rightarrow d$$

$S \rightarrow aAD$  gives rise to  $S \rightarrow CaAD$  and  $Ca \rightarrow a$ .

$A \rightarrow aB$  gives rise to  $A \rightarrow CaB$ .

$A \rightarrow bAB$  gives rise to  $A \rightarrow CbAB$  and  $Cb \rightarrow b$ .

$$V' = \{S, A, B, D, Ca, Cb\}.$$

$P1$  consists of  $S \rightarrow CaAD, A \rightarrow CaB | CbAB, B \rightarrow b, D \rightarrow d, Ca \rightarrow a, Cb \rightarrow b$ .

$A \rightarrow CaB, B \rightarrow b, D \rightarrow d, Ca \rightarrow a, Cb \rightarrow b$  are added to  $P2$

$S \rightarrow CaAD$  is replaced by  $S \rightarrow CaC1$  and  $C1 \rightarrow AD$ .

$A \rightarrow CbAB$  is replaced by  $A \rightarrow CbC2$  and  $C2 \rightarrow AB$ .

Let

$$G2 = (\{S, A, B, D, Ca, Cb, C1, C2\}, \{a, b, d\}, P2, S)$$

where  $P2$  consists of  $S \rightarrow CaC1, A \rightarrow CaB | CbC2,$

$C1 \rightarrow AD, C2 \rightarrow AB, B \rightarrow b, D \rightarrow d, Ca \rightarrow a,$

$Cb \rightarrow b$ .  $G2$  is in CNF and equivalent to  $G$ .

**Question:** Convert the following CFG into CNF

$S \rightarrow ASA \mid aB, A \rightarrow B \mid S \mid \epsilon, B \rightarrow b \mid \epsilon$

**Solution:**

(1) Since **S** appears in R.H.S, we add a new state **S<sub>0</sub>** and **S<sub>0</sub>→S** is added to the production set and it becomes **S<sub>0</sub>→S, S→ ASA | aB, A → B | S, B → b | ε**

(2) Now we will remove the null productions –

$B \rightarrow \epsilon$  and  $A \rightarrow \epsilon$

After removing  $B \rightarrow \epsilon$ , the production set becomes –

$S_0 \rightarrow S, S \rightarrow ASA \mid aB \mid a, A \rightarrow B \mid S \mid \epsilon, B \rightarrow b$

After removing  $A \rightarrow \epsilon$ , the production set becomes –

$S_0 \rightarrow S, S \rightarrow ASA \mid aB \mid a \mid AS \mid SA \mid S, A \rightarrow B \mid S, B \rightarrow b$

**(3)** Now we will remove the unit productions.

After removing  $S \rightarrow S$ , the production set becomes –

$S_0 \rightarrow S, S \rightarrow ASA \mid aB \mid a \mid AS \mid SA, A \rightarrow B \mid S, B \rightarrow b$

After removing  $S_0 \rightarrow S$ , the production set becomes –

$S_0 \rightarrow ASA \mid aB \mid a \mid AS \mid SA, S \rightarrow ASA \mid aB \mid a \mid AS \mid SA$

$A \rightarrow B \mid S, B \rightarrow b$

After removing  $A \rightarrow B$ , the production set becomes –

$S_0 \rightarrow ASA \mid aB \mid a \mid AS \mid SA, S \rightarrow ASA \mid aB \mid a \mid AS \mid SA$

$A \rightarrow S \mid b$

$B \rightarrow b$

After removing  $A \rightarrow S$ , the production set becomes –

$S_0 \rightarrow ASA \mid aB \mid a \mid AS \mid SA, S \rightarrow ASA \mid aB \mid a \mid AS \mid SA, A \rightarrow b \mid ASA \mid aB \mid a \mid AS \mid SA, B \rightarrow b$

**(4)** Now we will find out more than two variables in the R.H.S

Here,  $S_0 \rightarrow ASA$ ,  $S \rightarrow ASA$ ,  $A \rightarrow ASA$  violates two Non-terminals in R.H.S.

Hence we will apply step 4 and step 5 to get the following final production set which is in CNF –

$S_0 \rightarrow AX \mid aB \mid a \mid AS \mid SA$

$S \rightarrow AX \mid aB \mid a \mid AS \mid SA$

$A \rightarrow b \mid AX \mid aB \mid a \mid AS \mid SA$

$B \rightarrow b$

$X \rightarrow SA$

**(5)** We have to change the productions  $S_0 \rightarrow aB$ ,  $S \rightarrow aB$ ,  $A \rightarrow aB$

And the final production set becomes –

**$S_0 \rightarrow AX \mid YB \mid a \mid AS \mid SA$**

**$S \rightarrow AX \mid YB \mid a \mid AS \mid SA$**

**$A \rightarrow b \mid AX \mid YB \mid a \mid AS \mid SA$**

**$B \rightarrow b$**

**$X \rightarrow SA$**

**$Y \rightarrow a$**

## Practice Session

Question1:  $S \rightarrow aAbB$ ,  $A \rightarrow aA \mid a$ ,  $B \rightarrow bB \mid b$ . Convert it into CNF

Solution:

$G = (\{S, A, B, Ca, Cb, C1, C2\}, \{a, b\}, P2, S)$ ,

where  $P2$  consists of  $S \rightarrow CaC1$ ,  $C1 \rightarrow AC2$ ,  $C2 \rightarrow CbB$ ,  $A \rightarrow CaA$ ,

$B \rightarrow CbB$ ,  $Ca \rightarrow a$ ,  $Cb \rightarrow b$ ,  $A \rightarrow a$  and  $B \rightarrow b$ .

Question2:

Find a grammar in CNF equivalent to the grammar

$$S \rightarrow \sim S \mid [S \supset S] \mid p \mid q \quad (S \text{ being the only variable})$$

$$G_2 = (\{S, A, B, C, D, C_1, C_2, C_3\}, \Sigma, P_2, S)$$

where  $P_2$  consists of  $S \rightarrow p \mid q \mid AS \mid BC_1$ ,  $A \rightarrow \sim$ ,  $B \rightarrow [$ ,  $C \rightarrow \supset$ ,  $D \rightarrow ]$ ,  
 $C_1 \rightarrow SC_2$ ,  $C_2 \rightarrow CC_3$ ,  $C_3 \rightarrow SD$ .  $G_2$  is in CNF and equivalent to the given grammar.

### Find the CNF:

$S \rightarrow a \mid aA \mid B$

$A \rightarrow aBB \mid \epsilon$

$B \rightarrow Aa \mid b$

### Solution:

$S \rightarrow a \mid XA \mid AX \mid b$

$A \rightarrow RB$

$B \rightarrow AX \mid b \mid a$

$X \rightarrow a$

$R \rightarrow XB$



# Greibach Normal Form

- A context-free grammar is in Greibach Normal Form if the right-hand side of each rule has one terminal followed by zero or more non-terminals:

$$A \rightarrow a \alpha$$

where

$$a \in \Sigma$$

$$\alpha \in V^*$$

Example:

$$S \rightarrow aB \mid bA$$

$$A \rightarrow a \mid aS \mid bAA$$

$$B \rightarrow b \mid bS \mid aBB$$

## Algorithm to Convert a CFG into Greibach Normal Form

- Step 1** – If the start symbol **S** occurs on some right side, create a new start symbol **S'** and a new production **S' → S**.
- Step 2** – Remove Null productions. (Using the Null production removal algorithm discussed earlier)
- Step 3** – Remove unit productions. (Using the Unit production removal algorithm discussed earlier)
- Step 4** – Remove all direct and indirect **left-recursion**.
- Step 5** – Do proper substitutions of productions to convert it into the proper form of GNF.

## To convert a CFG in to a GNF:

1. the grammar has to be in Chomsky Normal Form
2. there should be no Left-Recursive Rules

**Lemma1:** Let  $G=(V_N, \Sigma, P, S)$  be a CFG. Let  $A \rightarrow B\gamma$  be an A-production in  $P$ . Let the B-productions be  $B \rightarrow \beta_1 | \beta_2 | \beta_3 | \dots | \beta_s$ . Define  $P_1 = (P - \{A \rightarrow B\gamma\} \cup \{A \rightarrow \beta_i \gamma\} \mid 1 \leq i \leq s)$ . Then,  $G_1 = (V_N, \Sigma, P_1, S)$  is a CFG equivalent to  $G$ .

**Lemma2:** Let  $G=(V, \Sigma, P, S)$  be a CFG. Let set of A-productions be  $A \rightarrow A\alpha_1 | A\alpha_2 | \dots | A\alpha_r | \beta_1 | \beta_2 | \beta_3 | \dots | \beta_s$ . ( $\beta_i$  do not start with A)  
Let  $z$  be a new variable. Let  $G_1=(G' = (V_N \cup \{Z\}), \Sigma, P_1, S)$  where  $P_1$  is defined as:

*Set of A-productions:*

$A \rightarrow \beta_1 | \beta_2 | \dots | \beta_s$

$A \rightarrow \beta_1 Z | \beta_2 Z | \dots | \beta_s Z$

*Set of Z-productions:*

$Z \rightarrow \alpha_1 | \alpha_2 | \dots | \alpha_r$

$Z \rightarrow \alpha_1 Z | \alpha_2 Z | \dots | \alpha_r Z$

# Conversion to GNF

1. Eliminate **null**, **unit productions** & **useless symbols** from the grammar  $G$
2. Convert it to **Chomsky Normal Form** (CNF) generating the language  $L(G') = L(G) - \{\epsilon\}$  where  
 $G' = (V', \Sigma, P', S)$  in.
3. **Rename the variables** like  $A_1, A_2, \dots, A_n$  starting with  $S = A_1$ . **Rewrite the prod<sup>n</sup>s**

#### 4. Checking for loop

- ◇ Prod<sup>n</sup>s of following form don't give rise to loops

$A_i \rightarrow a X$  where  $a \in \Sigma$ ,  $X \in (V \cup \Sigma)^*$

$A_i \rightarrow A_j X$  where  $a \in \Sigma$ ,  $X \in (V \cup \Sigma)^*$  and  $i < j$

- ◇ In prod<sup>n</sup>s having loop, carry out substitution to get Left Recursion.

$A_i \rightarrow A_j X$  where  $a \in \Sigma$ ,  $X \in (V \cup \Sigma)^*$  and  $i > j$

#### 5. Eliminate Left Recursion from all the Prod<sup>n</sup>s

#### 6. Do substitution in prod<sup>n</sup>s not in GNF

## Convert given CFG to GNF

$S \rightarrow AA \mid a$   
 $A \rightarrow SS \mid b$

### Step 1 & 2: Convert to CNF

No null and unit productions and  
Already in CNF

### Step 3: Rename the variables & Rewrite the prod<sup>n</sup>s

$S - A_1$        $A - A_2$

$A_1 \rightarrow A_2 A_2 \mid a$

$A_2 \rightarrow A_1 A_1 \mid b$

### Step 4

A1-productions are in the required form.  
They are  $A_1 \rightarrow A_2 A_2 \mid a$ .

(ii)  $A_2 \rightarrow b$  is in the required form.

Apply Lemma 1 to  $A_2 \rightarrow A_1 A_1$ .

The resulting productions are  $A_2 \rightarrow A_2 A_2 A_1$ ,  
 $A_2 \rightarrow a A_1$

Thus the A2- productions are

$A_2 \rightarrow A_2 A_2 A_1$ ,     $A_2 \rightarrow a A_1$ ,  $A_2 \rightarrow b$

### Step 5

We have to apply Lemma 2 to A2-Productions  
as we have  $A_2 \rightarrow A_2 A_2 A_1$ . Let Z2 be the new  
variable. The resulting productions are

$A_2 \rightarrow a A_1$ ,  $A_2 \rightarrow b$

$A_2 \rightarrow a A_1 Z_2$ ,  $A_2 \rightarrow b Z_2$

$Z_2 \rightarrow A_2 A_1$ ,  $Z_2 \rightarrow A_2 A_1 Z_2$ ,

Finally A2-productions are:

$$A2 \rightarrow aA1 \mid b \mid aA1Z2 \mid bZ2 \quad (1)$$

$$A1 \rightarrow A2A2 \mid a$$

Apply lemma1 to A1-production

A1  $\rightarrow$  A2A2 then we find

$$A1 \rightarrow aA1A2 \mid bA2 \mid aA1Z2A2 \mid bZ2A2$$

Finally A1- productions are:

$$A1 \rightarrow a \mid aA1A2 \mid bA2 \mid aA1Z2A2 \mid bZ2A2 \quad (2)$$

Z2-productions are:

$$Z2 \rightarrow A2A1, Z2 \rightarrow A2A1Z2, \text{ [Apply lemma1]}$$

$$\begin{aligned} Z2 &\rightarrow aA1A1 \mid bA1 \mid aA1Z2A1 \mid bZ2A1 \\ Z2 &\rightarrow aA1A1Z2 \mid bA1Z2 \mid aA1Z2A1Z2 \mid bZ2A1Z2 \end{aligned} \quad (3)$$

Equivalent grammar is:

$G' = (\{A1, A2, Z2\}, \{a, b\}, P1, A1)$  where P1 shown as:  
(1)(2) and (3).



## Convert given CFG to GNF

$S \rightarrow AB$

$A \rightarrow BS \mid b$

$B \rightarrow SA \mid a$

### Step 1 & 2: Convert to CNF

Already in CNF

### Step 3: Rename the variables & Rewrite the prod<sup>n</sup>s

$S - A_1 \quad A - A_2 \quad B - A_3$

$A_1 \rightarrow A_2 A_3$

$A_2 \rightarrow A_3 A_1 \mid b$

$A_3 \rightarrow A_1 A_2 \mid a$

### Step 4: Check for Loop

$A_3 \rightarrow A_1 A_2 \quad // i > j$

$A_3 \rightarrow A_2 A_3 A_2 \quad // i > j$

$A_3 \rightarrow A_3 A_1 A_3 A_2 \mid b A_3 A_2$

### Step 5: Eliminate Left Recursion

$A_1 \rightarrow A_2 A_3$

$A_2 \rightarrow A_3 A_1 \mid b$

$A_3 \rightarrow A_3 A_1 A_3 A_2 \mid b A_3 A_2 \mid a$

$$A_3 \rightarrow A_3 \underbrace{A_1 A_3 A_2}_{\alpha_1} \mid \underbrace{b A_3 A_2}_{\beta_1} \mid \underbrace{a}_{\beta_2}$$

$\alpha$ 's &  $\beta$ 's ??

A prod<sup>n</sup>s are:

$$A_3 \rightarrow b A_3 A_2 \mid a$$

$$A_3 \rightarrow b A_3 A_2 Z_3 \mid a Z_3$$

Z prod<sup>n</sup>s are:

$$Z_3 \rightarrow A_1 A_3 A_2 Z_3$$

$$Z_3 \rightarrow A_1 A_3 A_2$$

## 5. Step 6: Do substitution in prod<sup>n</sup>s not in GNF

$$A_1 \rightarrow A_2 A_3$$

$$A_2 \rightarrow A_3 A_1 \mid b$$

$$A_3 \rightarrow b A_3 A_2 \mid a$$

$$A_3 \rightarrow b A_3 A_2 Z_3 \mid a Z_3$$

$$Z_3 \rightarrow A_1 A_3 A_2 Z_3$$

$$Z_3 \rightarrow A_1 A_3 A_2$$

Total 24  
prod<sup>n</sup>s

All  $A_3$  prod<sup>n</sup>s are in GNF

$$A_3 \rightarrow b A_3 A_2 \mid b A_3 A_2 Z_3 \mid a Z_3 \mid a$$

Substitute in  $A_2 \rightarrow A_3 A_1 \mid b$

**So we get 5  $A_2$  prod<sup>n</sup>s**

Substitute in  $A_1 \rightarrow A_2 A_3$

**So we get 5  $A_1$  prod<sup>n</sup>s**

Substitute in  $Z_3 \rightarrow A_1 A_3 A_2 Z_3 \mid A_1 A_3 A_2$

**So we get 5 + 5  $Z_3$  prod<sup>n</sup>s**

Convert into GNF

$S \rightarrow XB \mid AA$

$A \rightarrow a \mid SA$

$B \rightarrow b$

$X \rightarrow a$

Solution:

$S \rightarrow aB \mid aCA \mid aBACA \mid aA \mid aBAA$

$A \rightarrow aC \mid aBAC \mid a \mid aBA$

$C \rightarrow aCAC \mid aBACAC \mid aAC \mid aBAAC$

$C \rightarrow aCA \mid aBACA \mid aA \mid aBAA$

$B \rightarrow b$

$X \rightarrow a$

Find GNF of the given CFG:

$E \rightarrow E + T \mid T, \quad T \rightarrow T * F \mid F, \quad F \rightarrow (E) \mid a$

# Questions for Practice

1. Convert following grammar into CNF:

$$S \rightarrow bA / aB$$

$$A \rightarrow bAA / aS / a$$

$$B \rightarrow aBB / bS / b$$

2. Convert following grammar to PDA:

$$S \rightarrow aAA$$

$$A \rightarrow aS / bS / a$$

3. Eliminate Null and Unit production:

$$S \rightarrow aXbX$$

$$X \rightarrow aY / bY / \wedge$$

$$Y \rightarrow X / c$$

*After Null*

$$S \rightarrow aXbX / abX / aXb / ab$$

$$X \rightarrow aY / bY / a / b$$

$$Y \rightarrow X / c$$

*After Unit*

$$S \rightarrow aXbX / abX / aXb / ab$$

$$X \rightarrow aY / bY / a / b$$

$$Y \rightarrow aY / bY / a / b / c$$

## Discussion

Eliminate null production:

$S \rightarrow ASA \mid aB \mid b, A \rightarrow B, B \rightarrow b \mid \epsilon$

Solution:

$S \rightarrow ASA \mid aB \mid b \mid a \mid SA \mid AS \mid S, A \rightarrow B \mid b, B \rightarrow b$

Remove unit production:

$S \rightarrow XY, X \rightarrow a, Y \rightarrow Z \mid b, Z \rightarrow M, M \rightarrow N, N \rightarrow a$

Solution:

$S \rightarrow XY, X \rightarrow a, Y \rightarrow a \mid b, Z \rightarrow a, M \rightarrow a, N \rightarrow a$  (after removal of unit production)

$S \rightarrow XY, X \rightarrow a, Y \rightarrow a \mid b$  [after removal of unreachable symbols since, Z, M, and N are unreachable]

Eliminate null production:

$S \rightarrow XYX$

$X \rightarrow 0X \mid \epsilon$

$Y \rightarrow 1Y \mid \epsilon$

Solution:

$S \rightarrow XY \mid YX \mid XX \mid X \mid Y$

$X \rightarrow 0X \mid 0$

$Y \rightarrow 1Y \mid 1$

# Pumping Lemma for Context Free Languages



- ▮ Let  $L$  be a CFL. There exists some integer  $n$  such that for all  $w$  in  $L$  with  $|w| \geq n$ ,
- ▮  $w = uvxyz$  with  $|vxy| \leq n$  and  $|vy| > 0$  such that
- ▮  $uv^i xy^i z \in L$  for all  $i = 0, 1, 2, 3, \dots$

- ✱ Let  $G$  be a grammar in CNF generating the language  $L - \{\epsilon\}$
- ✱ Let the grammar have  $m$  variables.
- ✱ Pick  $n = 2^m$ .
- ✱ Let  $w \in L(G)$  &  $|w| \geq n$
- ✱ Any derivation tree for  $w$  has height at least  $m+1$ .

Consider the following grammar.

$S \rightarrow AB \mid a$

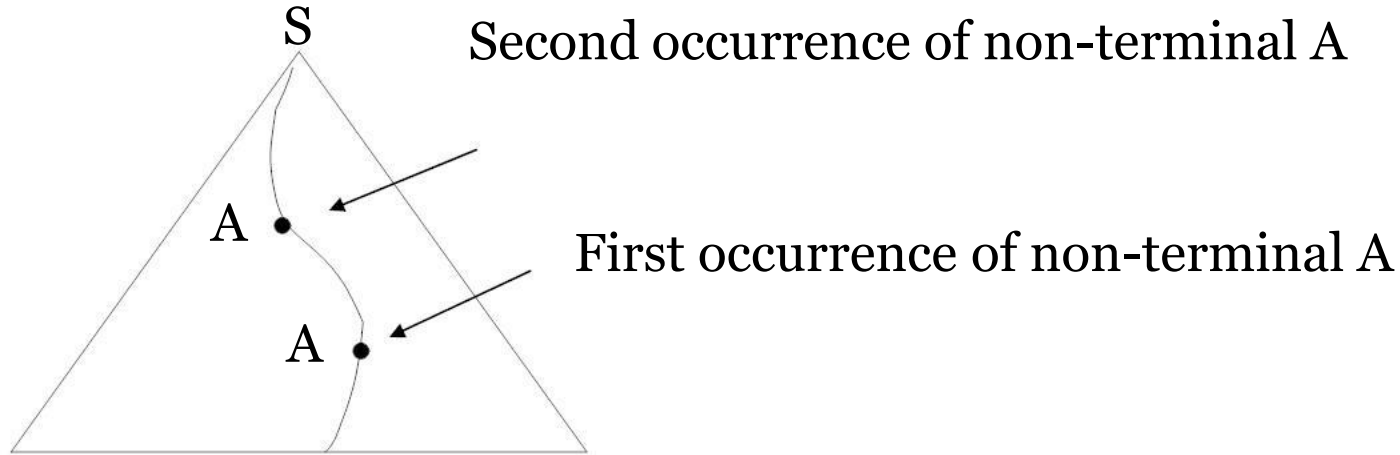
$A \rightarrow AS \mid b$

$B \rightarrow AS \mid c$

Take any string of length  $2^p$  & check that the height is atleast  $p+1$ .

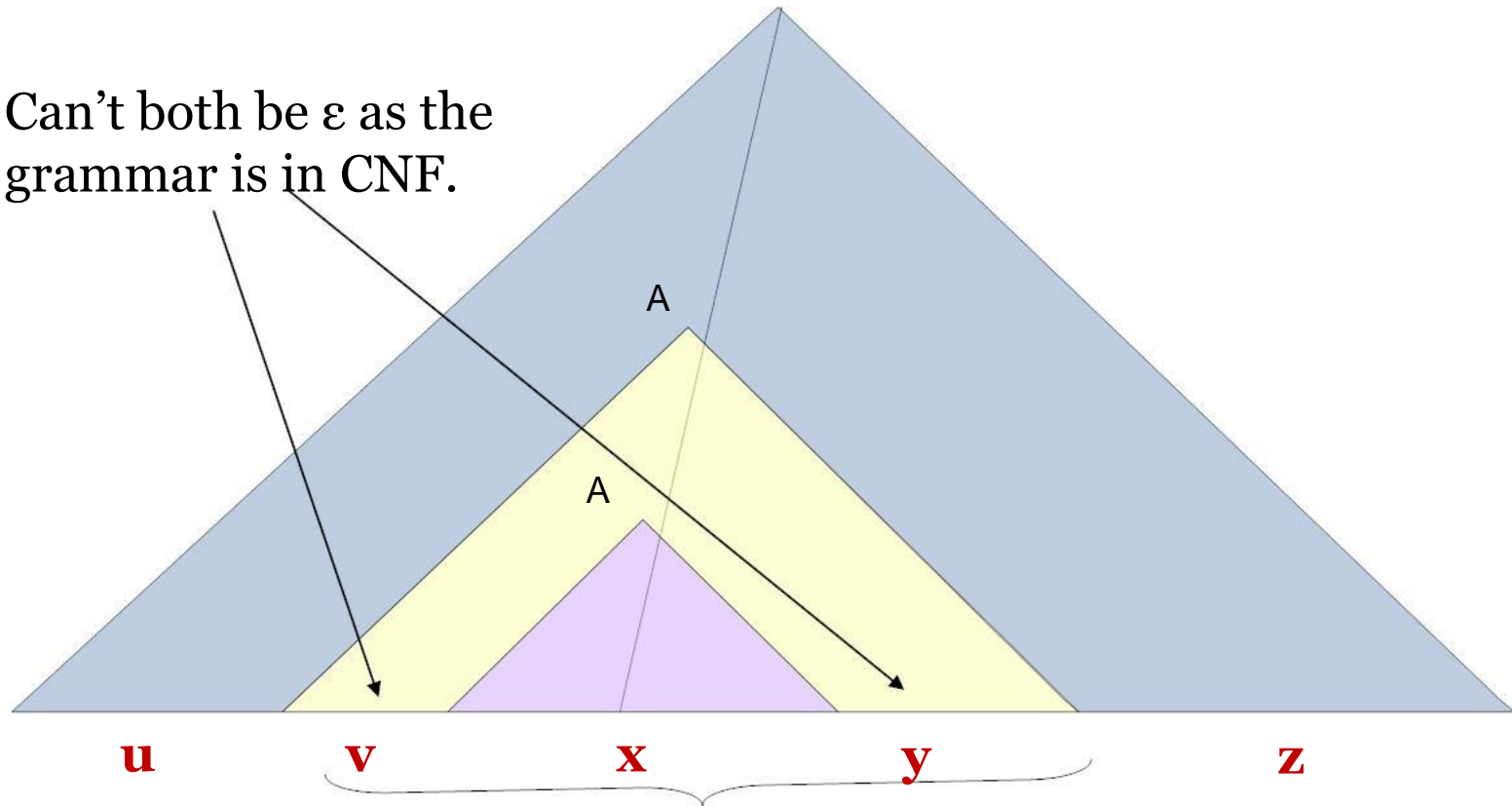
- ✱ If any derivation tree for  $w$  has **height at least  $m+1$** , then the number of **nodes in the path is at least  $m + 2$** .
- ✱ One node is the leaf node labeled with a terminal.
- ✱ At least  **$m + 1$  node are internal nodes** labeled with non-terminals.
- ✱ Since there are only  $m$  non-terminals in the grammar, and since  $m+1$  appear on this long path, it follows that **some non-terminal (and perhaps many) appears at least twice on this path.**

❌ Consider the first non-terminal that is repeated, when traversing the path from the leaf to the root.

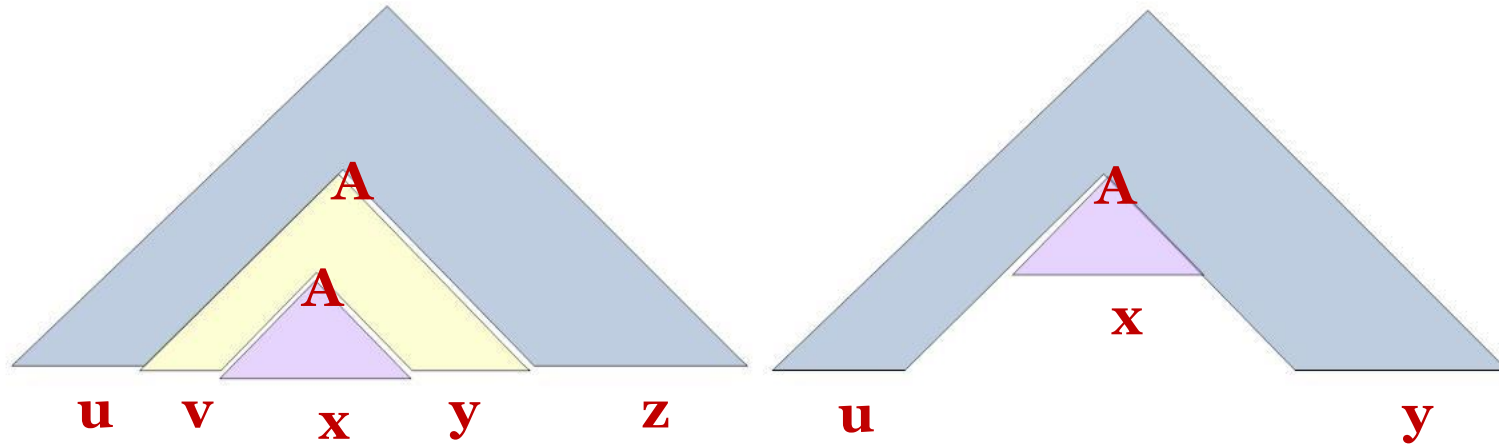


# Parse Tree in the Pumping-Lemma Proof

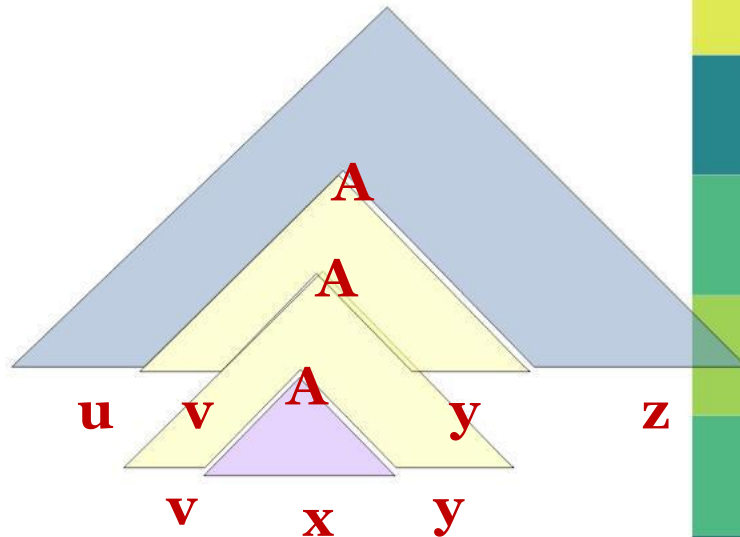
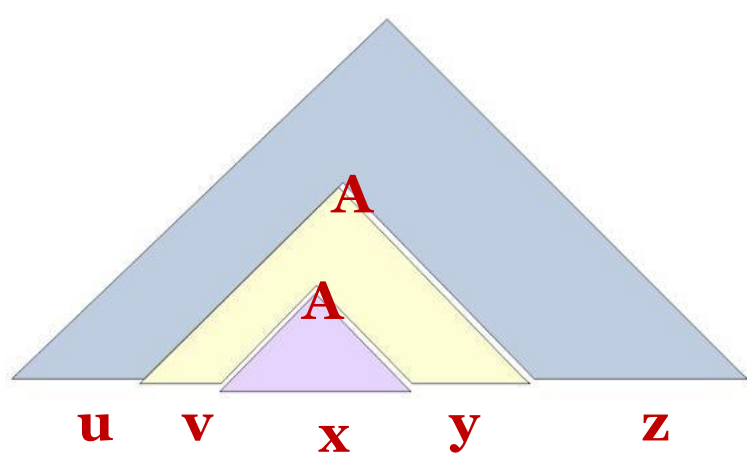
Can't both be  $\varepsilon$  as the grammar is in CNF.



# Pump Zero Times



# Pump Twice, Thrice ...



# Observe

We have the production rules which lead us to the following derivations:

➤  $S \Rightarrow u A z$

➤  $A \Rightarrow v A y$

➤  $A \Rightarrow x$

➤  $w = uvxyz$  with  $|vxy| \leq n$  and  $|vy| > 0$  such that

➤  $uv^i xy^i z \in L$   
for all  $i = 0, 1, 2, 3 \dots$



Prove that  $L = \{a^i b^i c^i \mid i \geq 0\}$  is not a CFL.

**Proof:**

- Let  $L$  be a CFL. Since  $L$  is infinite, the pumping lemma can be applied. Let  **$n$**  be the constant of the lemma.
- Let  $w \in L$  &  $w = a^k b^k c^k$  for some  $k > n$ .
  - Then we can write  $w = uvxyz$
  - Such that  $|vy| > 0$
  - And  $|vxy| \leq n$
- Since  $|vxy| \leq n$ , therefore it cannot contain all 3 symbols –  $a, b$  &  $c$

- **Case I: Suppose  $vxy$  contains one of the symbol in  $\Sigma$ .**
  - Suppose  $vxy$  contains at least one  $a$ .
  - Then  $uv^2xy^2z$  will more  $a$ 's than  $b$  or  $c$ .
  - Therefore  $uv^2xy^2z$  does not belong to  $L$ .
  - This is a contradiction of the pumping lemma.
- **Case II: Suppose  $vxy$  contains two of the symbol in  $\Sigma$ .**
  - Suppose  $vxy$  contains at least one  $a$  & at least one  $b$ .
  - Then  $uv^2xy^2z$  will more  $a$ 's &  $b$ 's than  $c$ .
  - Therefore  $uv^2xy^2z$  does not belong to  $L$ .
  - This is a contradiction of the pumping lemma.

**In all the cases we get a contradiction.**

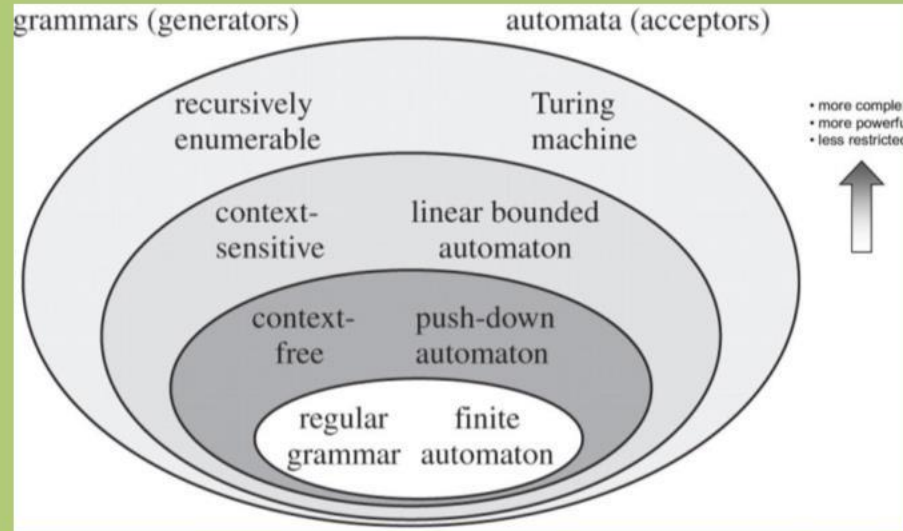
**Therefore our assumption that  $L$  is context free is wrong.**

Prove that the following languages are not CFLs.

1)  $L = \{0^i 1^i 0^i 1^i \mid i > 0\}$

2)  $L = \{0^{2^i} : i \geq 1\}$

# Push Down Automata



# Equivalence of Push Down Automata & Context Free Languages

*By:*  
*Dr. Sandeep Rathor*

# CFG to PDA

- ◆ The PDA simulates the **left-sentential forms** that  $G$  uses to generate any string  $w$
- ◆ Let  $G = (V, \Sigma, P, S)$
- ◆ Construct PDA  $N$  that **accepts  $L(G)$  by empty stack**
- ◆  **$N = (\{q\}, \Sigma, V \cup \Sigma, \delta, q, S)$**
- ◆ Transitions are defined as

- For each variable  $A$

$$\delta(q, \epsilon, A) = \{(q, \beta) \mid A \rightarrow \beta \text{ is a prod}^n \text{ in } G\}$$

- For each terminal  $a$

$$\delta(q, a, a) = \{(q, \epsilon)\}$$

Find a PDA equivalent to the grammar  **$S \rightarrow aSbb \mid a$**   
Generate the string aabb and also simulate the PDA for it

◆  **$N = (\{q\}, \Sigma, V \cup \Sigma, \delta, q, S)$**

Where  $\Sigma = \{a, b\}$ ,  $V = \{S\}$  &  $\delta$  is  
as following

1.  $\delta(q, \varepsilon, S) = \{(q, aSbb), (q, a)\}$
2.  $\delta(q, a, a) = \{(q, \varepsilon)\}$
3.  $\delta(q, b, b) = \{(q, \varepsilon)\}$

**$S \Rightarrow aSbb \Rightarrow aabb$**

(q, aabb, S)  
├ (q, aabb, aSbb)  
├ (q, abb, Sbb)  
├ (q, abb, abb)  
├ (q, bb, bb)  
├ (q, b, b)  
└ (q,  $\varepsilon$ ,  $\varepsilon$ )

Stack Empty – string  
accepted

Find a PDA equivalent to the following grammar that generates simple expressions like  $a * (a + b010)$

$$\begin{aligned} E &\rightarrow I \mid E * E \mid E + E \mid (E) \\ I &\rightarrow a \mid b \mid Ia \mid Ib \mid Io \mid I1 \end{aligned}$$

Let  $N$  be a PDA that accepts the language generated by the given CFG

- ◆  $N = (\{q\}, \Sigma, V \cup \Sigma, \delta, q, S)$
- ◆  $\Sigma = \{a, b, o, 1, (, ), *, +\}$
- ◆  $V = \{E, I\}$



1.  $\delta(q, \varepsilon, E) = \{(q, I), (q, E^*E), (q, E+E), (q, (E))\}$
2.  $\delta(q, \varepsilon, I) = \{(q, a), (q, b), (q, Ia), (q, Ib), (q, Io), (q, I1)\}$
3.  $\delta(q, a, a) = \{(q, \varepsilon)\}$
4.  $\delta(q, b, b) = \{(q, \varepsilon)\}$
5.  $\delta(q, o, o) = \{(q, \varepsilon)\}$
6.  $\delta(q, 1, 1) = \{(q, \varepsilon)\}$
7.  $\delta(q, (, () = \{(q, \varepsilon)\}$
8.  $\delta(q, ), )) = \{(q, \varepsilon)\}$
9.  $\delta(q, *, *) = \{(q, \varepsilon)\}$
10.  $\delta(q, +, +) = \{(q, \varepsilon)\}$

## PDA to CFG

We construct grammar  $G$  as follows.

$$G = (V, \Sigma, P, S)$$

$$\boxed{\text{▣}} \quad V = \{S\} \cup \{q, z, q' \mid q, q' \in Q, z \in \Gamma\}$$

The productions in  $P$  are induced by the moves of PDA as follows

$\boxed{\text{▣}}$  **Rule 1:**

The  $S$  productions are given by

$$S \rightarrow [q_o, Z_o, q] \quad \forall q \in Q$$

### ▣ Rule 2:

Each move erasing a pushdown symbol given by  $(q', \varepsilon) \in \delta(q, a, z)$  induces the prod<sup>n</sup>

$$[q, z, q'] \rightarrow a$$

### ▣ Rule 3:

Each move not erasing a pushdown symbol given by  $(q_1, \underline{z_1 z_2 \dots z_m}) \in \delta(q, a, z)$  induces many prod<sup>n</sup>s of the following form where  $q', q_2, \dots, q_m$  can be any state in  $Q$

$$[q, z, q'] \rightarrow a [q_1, z_1, q_2] [q_2, z_2, q_3] \dots [q_m, z_m, q']$$

Construct a context-free grammar  $G$  which accepts  $L(N)$ , where

$$N = (\{q_0, q_1\}, \{a, b\}, \{Z_0, Z\}, \delta, q_0, Z_0, \varphi)$$

$\delta$  is given by

1.  $\delta(q_0, b, Z_0) = \{(q_0, ZZ_0)\}$
2.  $\delta(q_0, \varepsilon, Z_0) = \{(q_0, \varepsilon)\}$
3.  $\delta(q_0, b, Z) = \{(q_0, ZZ)\}$
4.  $\delta(q_0, a, Z) = \{(q_1, Z)\}$
5.  $\delta(q_1, b, Z) = \{(q_1, \varepsilon)\}$
6.  $\delta(q_1, a, Z_0) = \{(q_0, Z_0)\}$

Let  $G = \{V, \{a, b\}, P, S\}$

$$\boxed{\mathbf{V = \{S\} \cup \{q, z, q'\} \mid q, q' \in Q, z \in \Gamma}}$$

$$V = \{S\} \cup \{[q_0, Z_0, q_0], [q_0, Z_0, q_1],$$

$$[q_0, Z_1, q_0], [q_0, Z_1, q_1],$$

$$[q_1, Z_0, q_0], [q_1, Z_0, q_1],$$

$$[q_1, Z_1, q_0], [q_1, Z_1, q_1],$$

The productions in  $P$  are induced by the moves of PDA

▣ **Rule 1:**  $S \rightarrow [q_o, Z_o, q] \forall q \in Q$

1.  $S \rightarrow [q_o, Z_o, q_o]$

2.  $S \rightarrow [q_o, Z_o, q_1]$

▣ **Rule 2:**  $\delta(\mathbf{q}, \mathbf{a}, \mathbf{z}) = (\mathbf{q}', \varepsilon)$  induces  $[\mathbf{q}, \mathbf{z}, \mathbf{q}'] \rightarrow \mathbf{a}$

- $\delta(q_0, \varepsilon, Z_0) = \{(q_0, \varepsilon)\}$

**3.  $[q_0, Z_0, q_0] \rightarrow \varepsilon$**

- $\delta(q_1, b, Z) = \{(q_1, \varepsilon)\}$

**4.  $[q_1, Z, q_1] \rightarrow b$**

▣ **Rule 3:**  $\delta(q, a, z) = (q_1, z_1 z_2 \dots z_m)$

$[q, z, q'] \rightarrow a [q_1, z_1, q_2] [q_2, z_2, q_3] \dots [q_m, z_m, q']$

- $\delta(q_0, b, Z_0) = \{(q_0, ZZ_0)\}$

$[q_0, Z_0, \blacklozenge] \rightarrow b [q_0, Z, \blacklozenge] [\blacklozenge, Z_0, \blacklozenge]$

5.  $[q_0, Z_0, q_0] \rightarrow b [q_0, Z, q_0] [q_0, Z_0, q_0]$
6.  $[q_0, Z_0, q_0] \rightarrow b [q_0, Z, q_1] [q_1, Z_0, q_0]$
7.  $[q_0, Z_0, q_1] \rightarrow b [q_0, Z, q_0] [q_0, Z_0, q_1]$
8.  $[q_0, Z_0, q_1] \rightarrow b [q_0, Z, q_1] [q_1, Z_0, q_1]$



- $\delta(q_0, b, Z) = \{(q_0, ZZ)\}$

$$[q_0, Z, \blacklozenge] \rightarrow b [q_0, Z, \blacklozenge] [\blacklozenge, Z, \blacklozenge]$$

$$9. [q_0, Z, q_0] \rightarrow b [q_0, Z, q_0] [q_0, Z, q_0]$$

$$10. [q_0, Z, q_0] \rightarrow b [q_0, Z, q_1] [q_1, Z, q_0]$$

$$11. [q_0, Z, q_1] \rightarrow b [q_0, Z, q_0] [q_0, Z, q_1]$$

$$12. [q_0, Z, q_1] \rightarrow b [q_0, Z, q_1] [q_1, Z, q_1]$$

- $\delta(q_0, a, Z) = \{(q_1, Z)\}$

$$[q_0, Z, \blacklozenge] \rightarrow a [q_1, Z, \blacklozenge]$$

$$13. [q_0, Z, q_0] \rightarrow a [q_1, Z, q_0]$$

$$14. [q_0, Z, q_1] \rightarrow a [q_1, Z, q_1]$$

- $\delta(q_1, a, Z_0) = \{(q_0, Z_0)\}$

$$[q_1, Z_0, \blacklozenge] \rightarrow a [q_0, Z_0, \blacklozenge]$$

$$15. [q_1, Z_0, q_0] \rightarrow a [q_0, Z_0, q_0]$$

$$16. [q_1, Z_0, q_1] \rightarrow a [q_0, Z_0, q_1]$$

## Closure Properties of Languages

<i>Property</i>	<i>Regular</i>	<i>CFL</i>	<i>DCFL</i>	<i>CSL</i>	<i>Recursive</i>	<i>RE</i>
Union	Yes	Yes	No	Yes	Yes	Yes
Intersection	Yes	No	No	Yes	Yes	Yes
Set Difference	Yes	No	No	Yes	Yes	No
Complementation	Yes	No	Yes	Yes	Yes	No
Intersection with a regular lang.	Yes	Yes	Yes	Yes	Yes	Yes
Concatenation	Yes	Yes	No	Yes	Yes	Yes
Kleen Closure	Yes	Yes	No	Yes	Yes	Yes
Kleen Plus	Yes	Yes	No	Yes	Yes	Yes
Reversal	Yes	Yes	No	Yes	Yes	Yes
Homomorphism	Yes	Yes	No	No	No	Yes
Inverse Homomorphism	Yes	Yes	No	Yes	Yes	Yes

4. Convert following grammar into CNF:

$$S \rightarrow bA / aB$$

$$A \rightarrow bAA / aS / a$$

$$B \rightarrow aBB / bS / b$$

5. Convert following grammar to PDA:

$$S \rightarrow aAA$$

$$A \rightarrow aS / bS / a$$

6. Eliminate Null and Unit production:

$$S \rightarrow aXbX$$

$$X \rightarrow aY / bY / \wedge$$

$$Y \rightarrow X / c$$

*After Null*

$$S \rightarrow aXbX / abX / aXb / ab$$

$$X \rightarrow aY / bY / a / b$$

$$Y \rightarrow X / c$$

*After Unit*

$$S \rightarrow aXbX / abX / aXb / ab$$

$$X \rightarrow aY / bY / a / b$$

$$Y \rightarrow aY / bY / a / b / c$$