

## DBMS - IV

### Transactions & Indexes

①

#### Transactions

→ ACID

→ InnoDB - ACID

②

#### Indexes

→ Type of indexes

→ Implementation of indexes

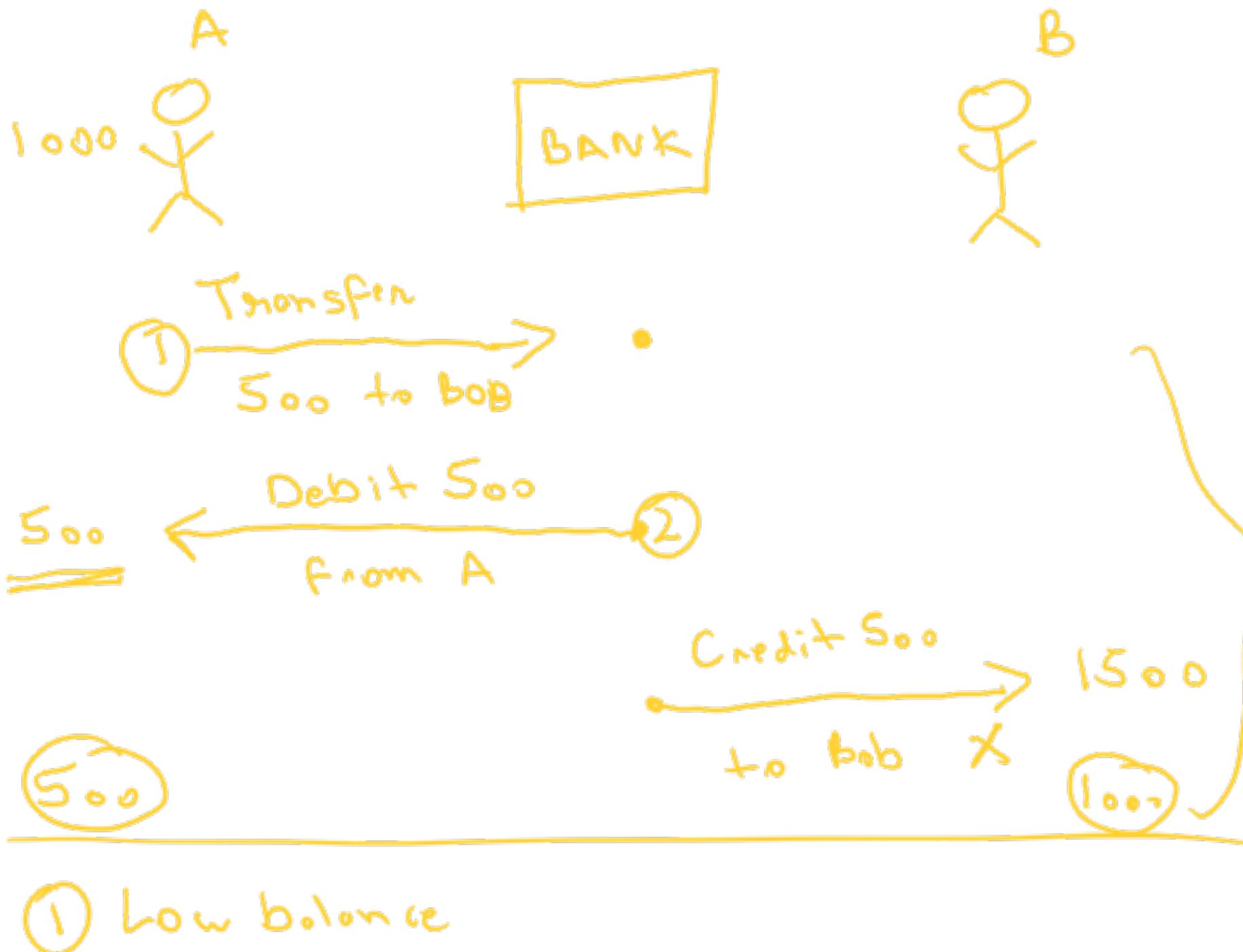
### Transactions

### Banking

- Alice - 1000

- Bob - 1000

Alice  $\xrightarrow{500}$  Bob



② One operation fails

③ Server crash

all or none - atomic

group of related operations  
that should be executed as  
one unit of work

→ Debit      } Transaction  
→ Credit

BMS

26A

→ Book a seat

→ M.h. D...

all or none

- atomicity

Transactions - ACID

① Atomic

→ all or none

DONE vs NOT-DONE

- Simple - undivisible

→ BEGIN Transaction

→ Transfer from A to B

→ Debit from Alice

→ Credit to Bob

begin

balance := read (ALICE)

if balance < 500:

ABORT

A = 10



-

begin

-

rollback

begin

balance = ALICE

DEBIT

CREDIT

COMMIT

A = 10

begin

A = 20

COMMIT

A = 20

→ begin

→ rollback

Abort

→ Commit )

Abort - cancel the transaction

Rollback - revert changes  
- keep the transaction alive

Bomb

① HDFC  
→ HDFC down  
→ rollback

② ICICI → re run

Abort + rollback → flag

END

HDFC

ICICI

$A = 10$

BE IN

$A = 20$

$A = 10$

error

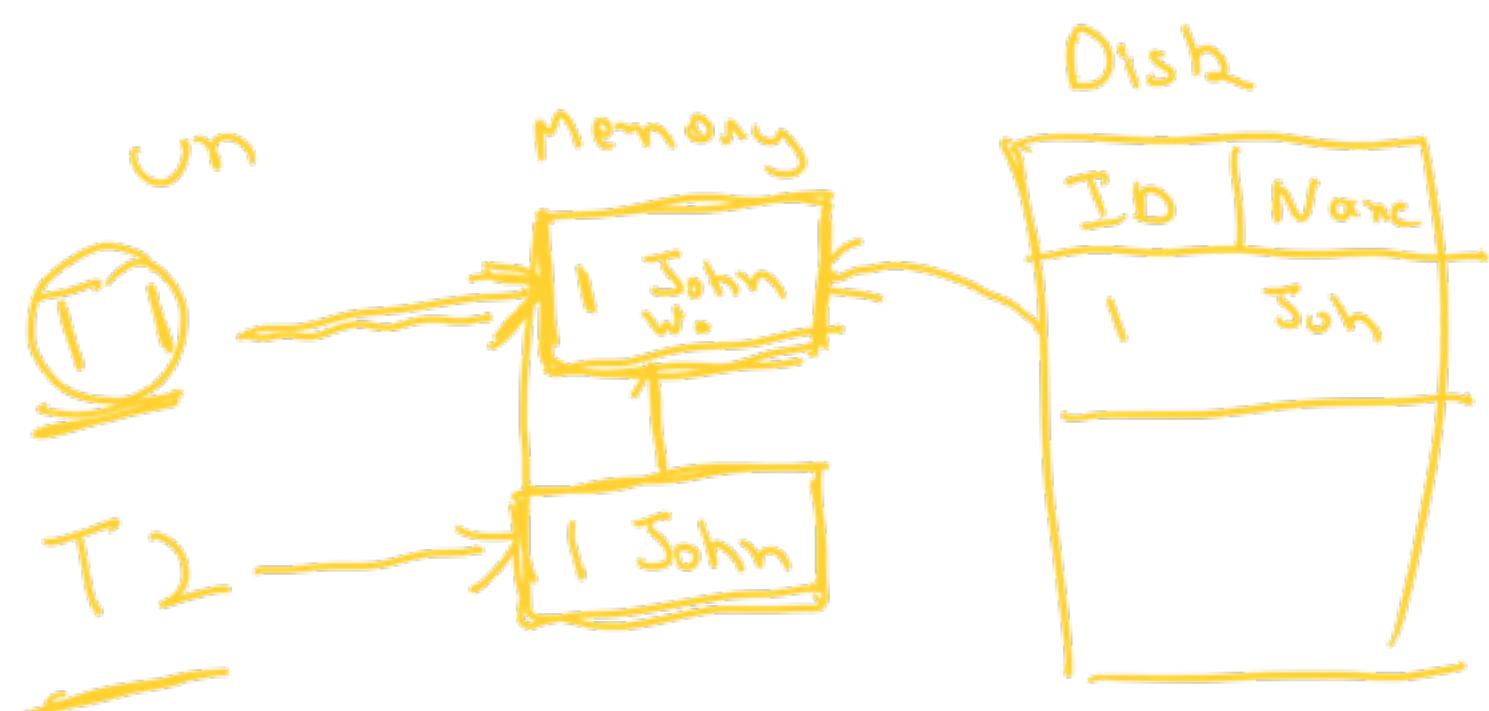
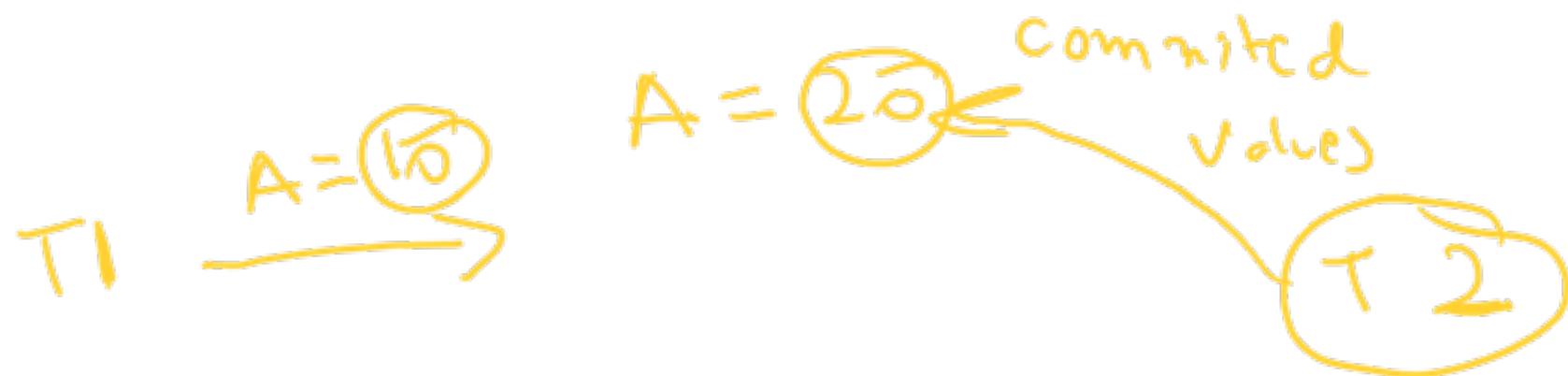
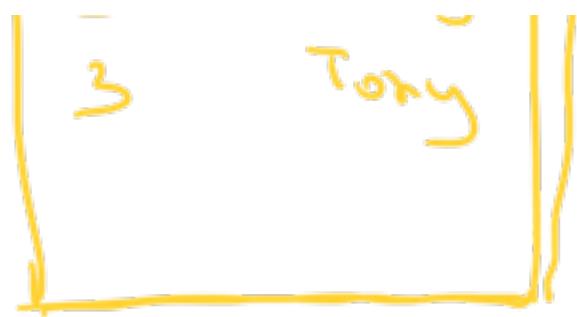
rollback

Students

ID	NAME
1	John
2	Mary

$T1 =$  John Watson

T2 - John

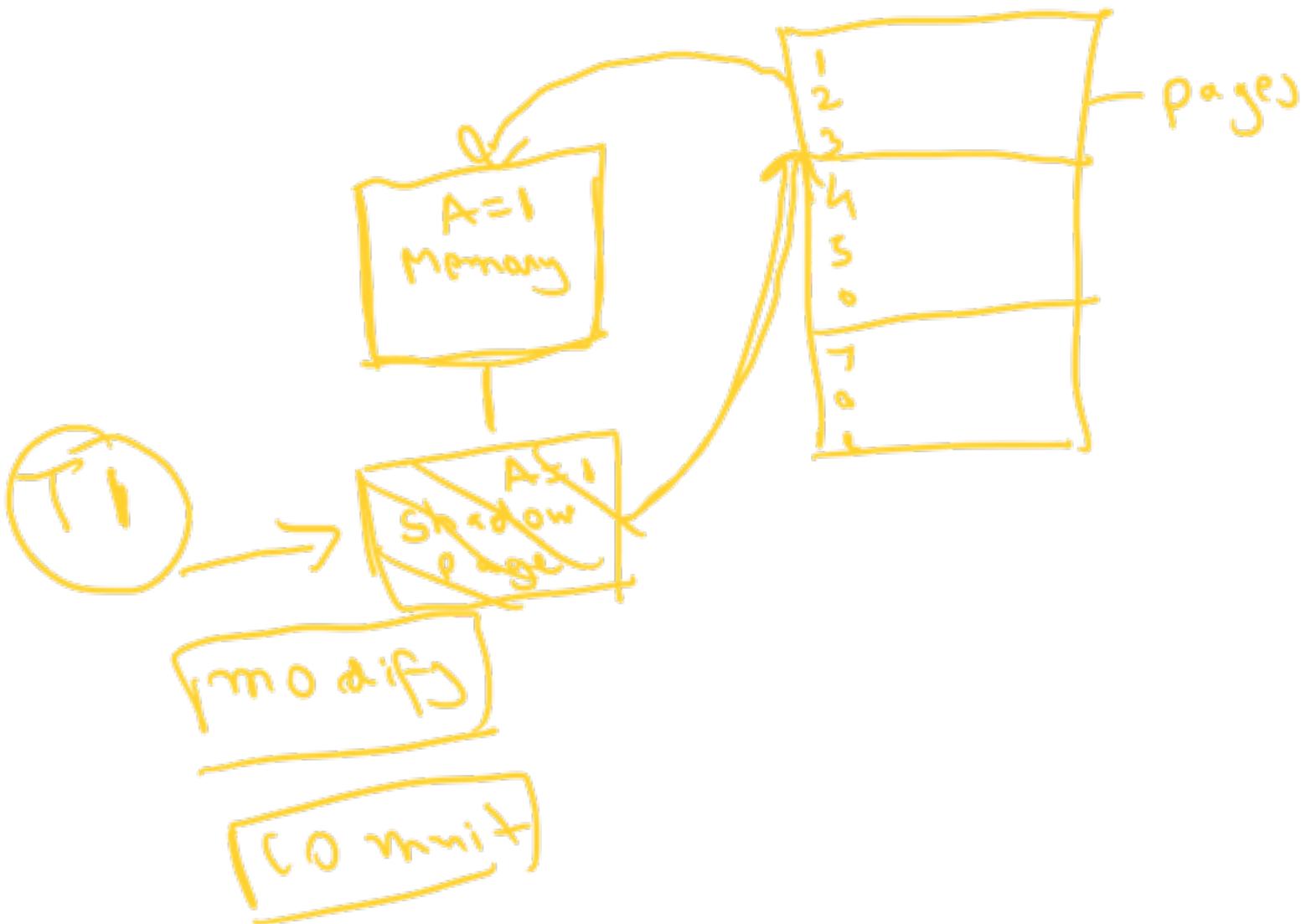


Commit

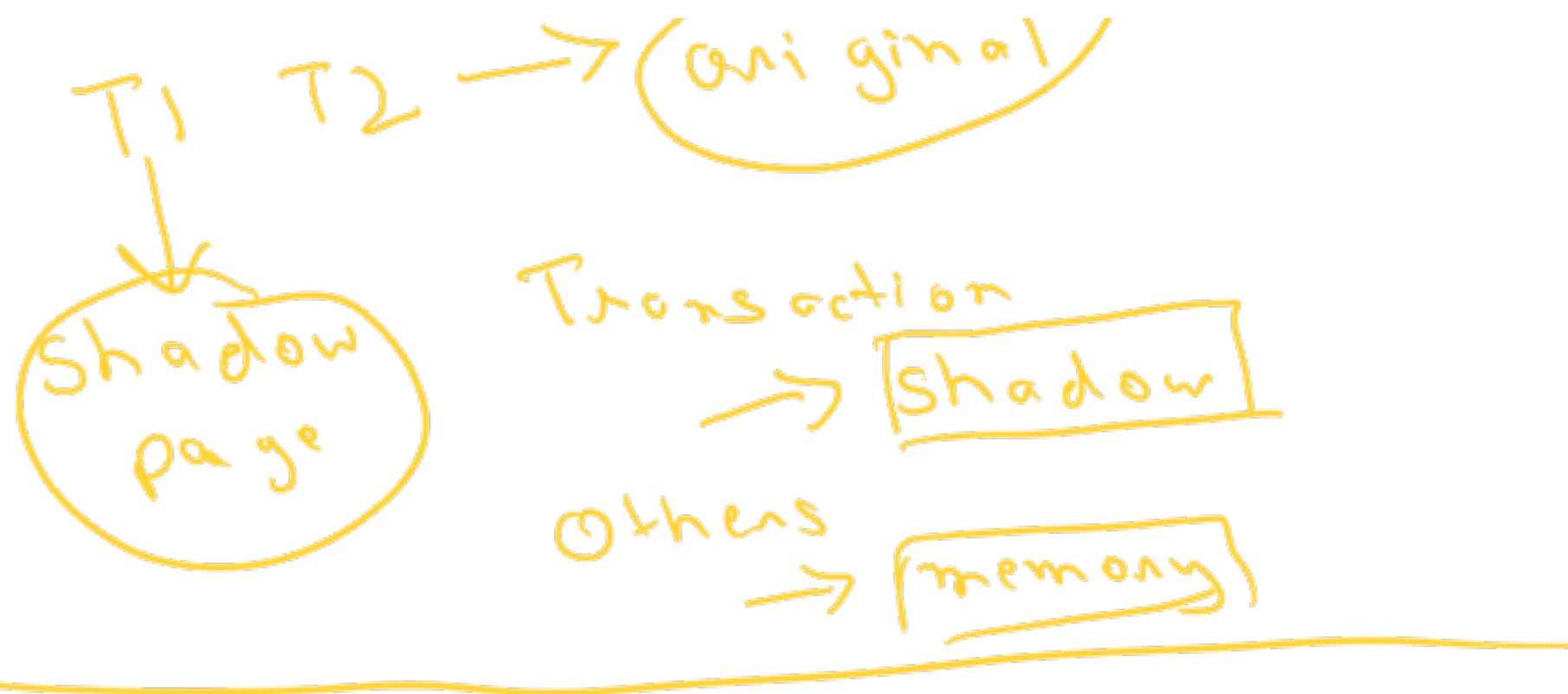
Immutability

# Shadow paging - autonomy

LJ 80's - Couch DB



→ memory - copy of a copy



InnoDB — Write-ahead logging  
 — redo log



Committer

- how to do an undo update

→  
redo log

$A = 20$  → ① Write in log

② Update in memory

if roll back ① read from log back to revert

WAL

ACID

→ Atomicity

→ all or none

→ BEGIN

→ ROLLBACK

--

→ COMMIT ✓

→ Shadow paging

→ DB keeps a copy  
of the records in a  
transaction

→ Transaction uses  
the copy (shadow)  
while others use  
the main

→ WAL (redo log)

→ stores the operations,  
how to undo/redo

→ In case of failure,  
DB just uses the log  
to re-run the operations



Consistency



begin

**A = "String"**

domain

1000  
Alice  $\rightarrow$  1000  
Bob • 2000

be given

DEBIT  $\rightarrow$  Alice (500)  
Failure  $\rightarrow$  1500

bef one  $A + B \Rightarrow 2000$

After  $A + B \Rightarrow 1500$

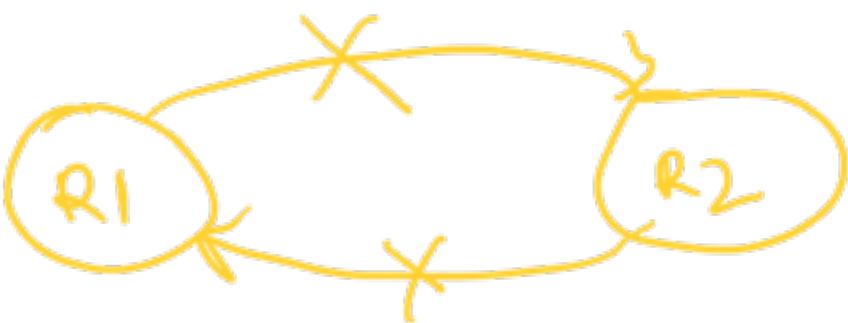
2000

① constraints are met  
② some business validation  
 $\Rightarrow A + B = \underline{A'} + \underline{B'}$

① referential integrity

## ② Application

### TSolution



interfere with

each other

sum of all the



$A \rightarrow B$

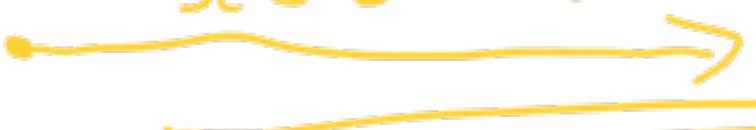
TI

sets  $A = 500$

Bank



sets  $B = 1500$



$A + B = 2000$

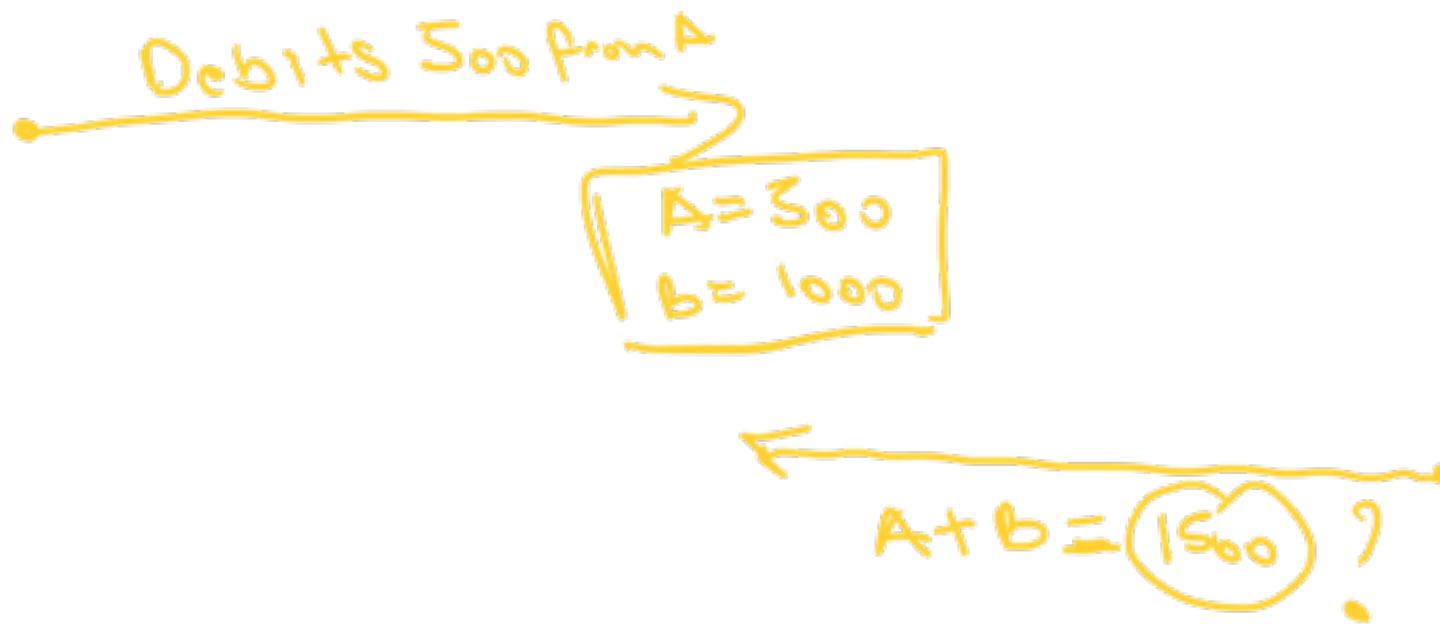
1000

1000

T1

Bonk

T2



Isolations

- helps us to manage how multiple transactions execute



T2

SUM

$$A + B = 1600$$

$$1000 + 1000$$

$$= 2000$$

Isolation levels



Issues

- Phenomena

,  $T_1$  - Dirty read

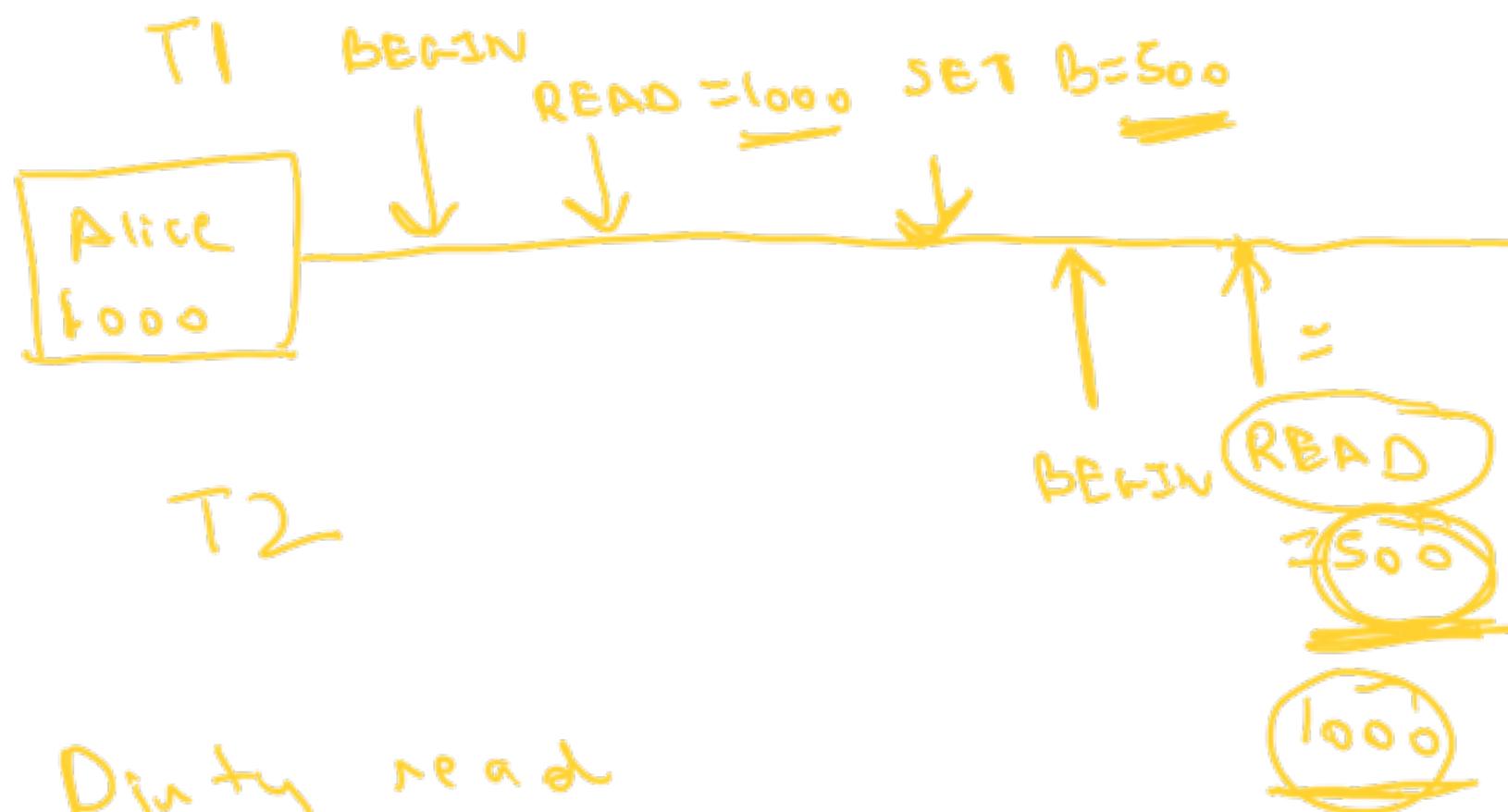
↓  
updated



PO - Dirty write

dirty bit

Dirty read (PI)

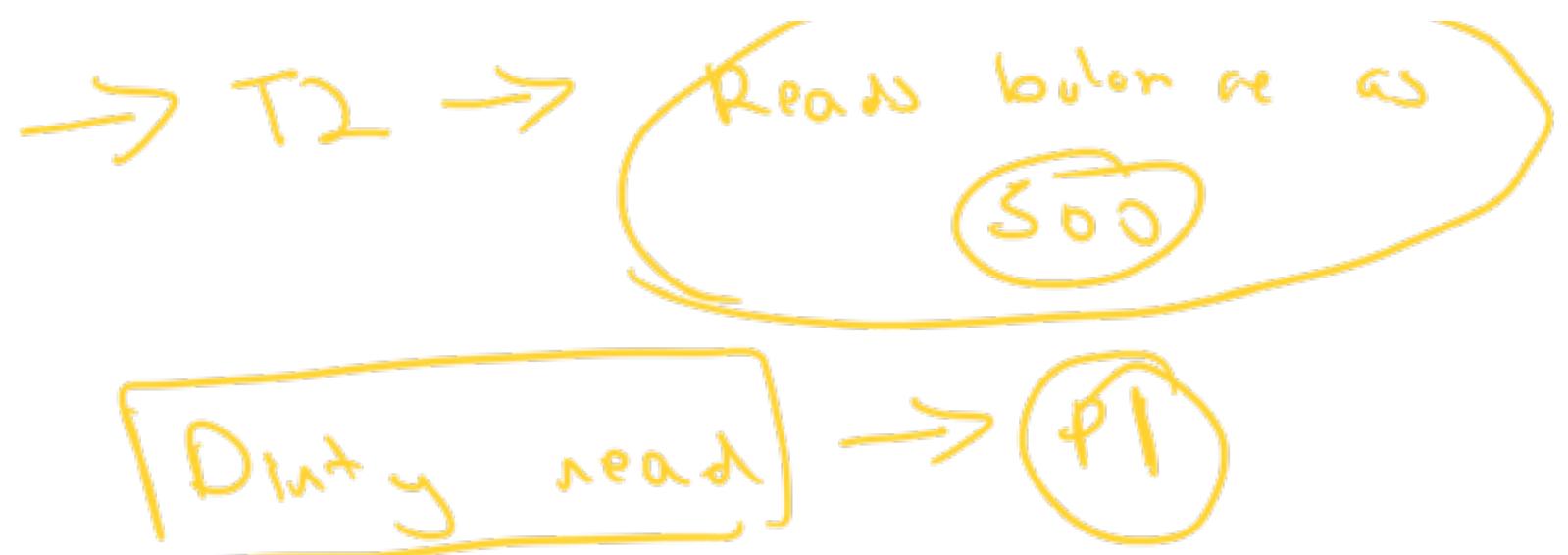


Dirty read

$\rightarrow$  T2 reads uncommitted  
values

$\rightarrow$  T1 - Reads balance as  $\underline{1000}$

$\rightarrow$  T1  $\rightarrow$  Updated balance  $\approx 500$



PO - Dirty write

$$T_1 \rightarrow \text{Re} = 20 \text{ bbl.} = 1000$$

$$T1 \Rightarrow \text{Updates} = 500$$

T2 → balance = bob

 → Commits ↗ 300

T2  $\Rightarrow$  roll back

## Dirty write

P0 (w)      P1 (R)

P2 - Non-repeatable records

P3 - Phantom records

(P2)

T1 - Read B<sub>01</sub> = 1000

T2 - Update B<sub>01</sub> - 500

T1 - Read = 500

T1 - 1000 }  
- 500 } non-repeatable  
read

P5 - Phantom (Ghost)

- insertion or deletion

T1 - select\* from s =  $\{100\}$  rows

T2 - inserts 1 row  
Commit

T1 - select\* from  $\rightarrow \{101\}$

phantom read

101

100

P0	Dirty write	} Uncommitted
P1	Dirty read	
P2	non-repeatable	$A = 1000$
	phantom	$A' = 500$

# P3 - Prisoners - Warden (P3)

## Isolation level

- ① Read uncommitted =  $P_0, P_1, P_2, P_3$
  - ② Read committed =  $\text{NO } P_0, P_1$
  - ③ Repeatable reads =  $\text{NO } P_0, P_1, P_2$
  - ④ Serializable
- serially

T1

T2

T3

→ No issues ( $\cancel{P_0}, \cancel{P_1}, \cancel{P_2}, \cancel{P_3}$ )

Concurrency vs performance

↓

↓

Isolation levels

P0

P1

P2

P3

AO<sup>PS</sup>

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6:13 - 6:20

10:43 - 10:50

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Db - isolation level

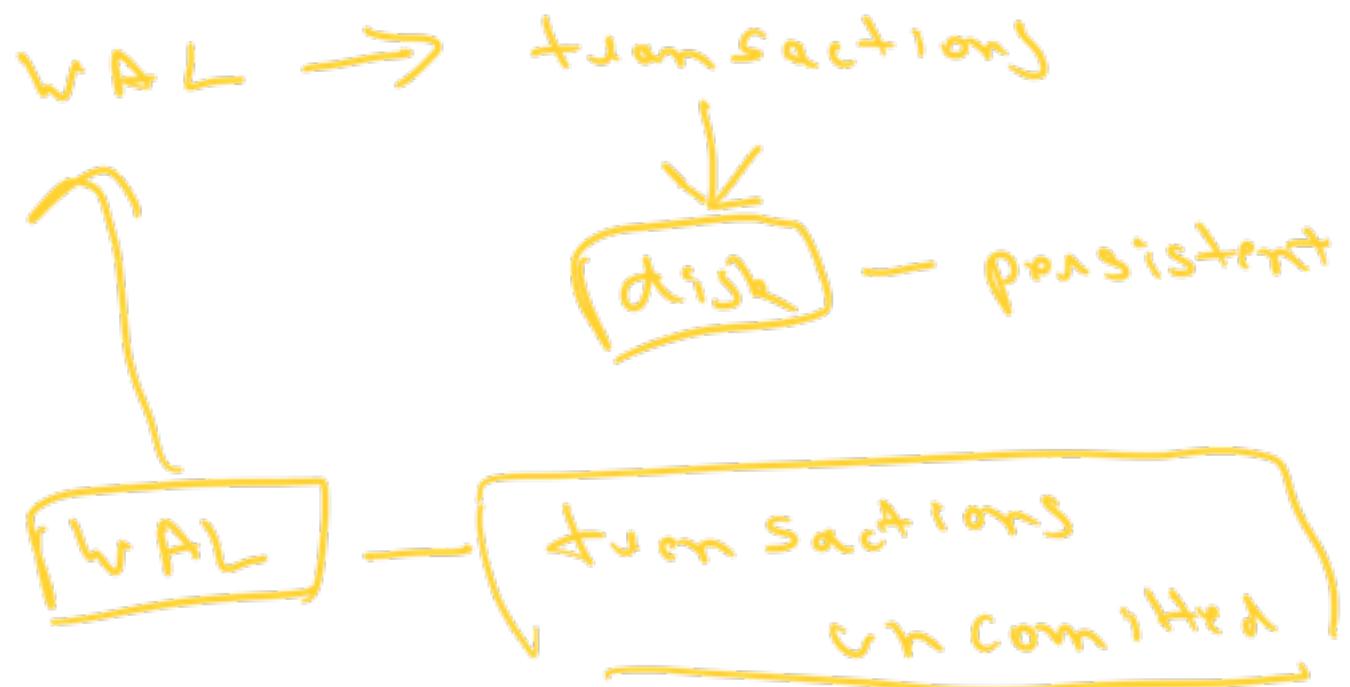
- transaction

---

in SQL = non visible records

My -> - Preparation steps

SQL Server - Read Committed



- 
- ① Cache
- ② Isolation
- 
- The diagram shows two items: 'Cache' and 'Isolation'. A brace on the right side groups these two items together.

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Final write

# כִּי יְהוָה בְּרוּךְ הוּא

→ your results must be  
**permanent**

→ begin  
UPDATE

## COMMIT

++lo

## Db Croshes

pen man ent

— Disk

WAIk  
binary log

⇒ log file

בָּרוּךְ

```
graph TD; disk((disk)) --- log((log))
```

Update

↳ Store 

↳ begin transaction

COMMIT \*

→ bin log (status)

→ uncommitted changes

→ write to disk

→ rollback those operation

---

Distribution

→ distributed log file



n



s



binlog -

transaction -

WAL - redolog

---

log - periodically cleaned

ACID



Atomic - all or none

Consistency - constraints  
- db state correct

Isolation - concurrent  
transactions

→ Durability  
— permanent after  
commit

Db - ACID compliant

RD BMS

NoSQL - BASE

eventually consistent

In depCL

book - Common

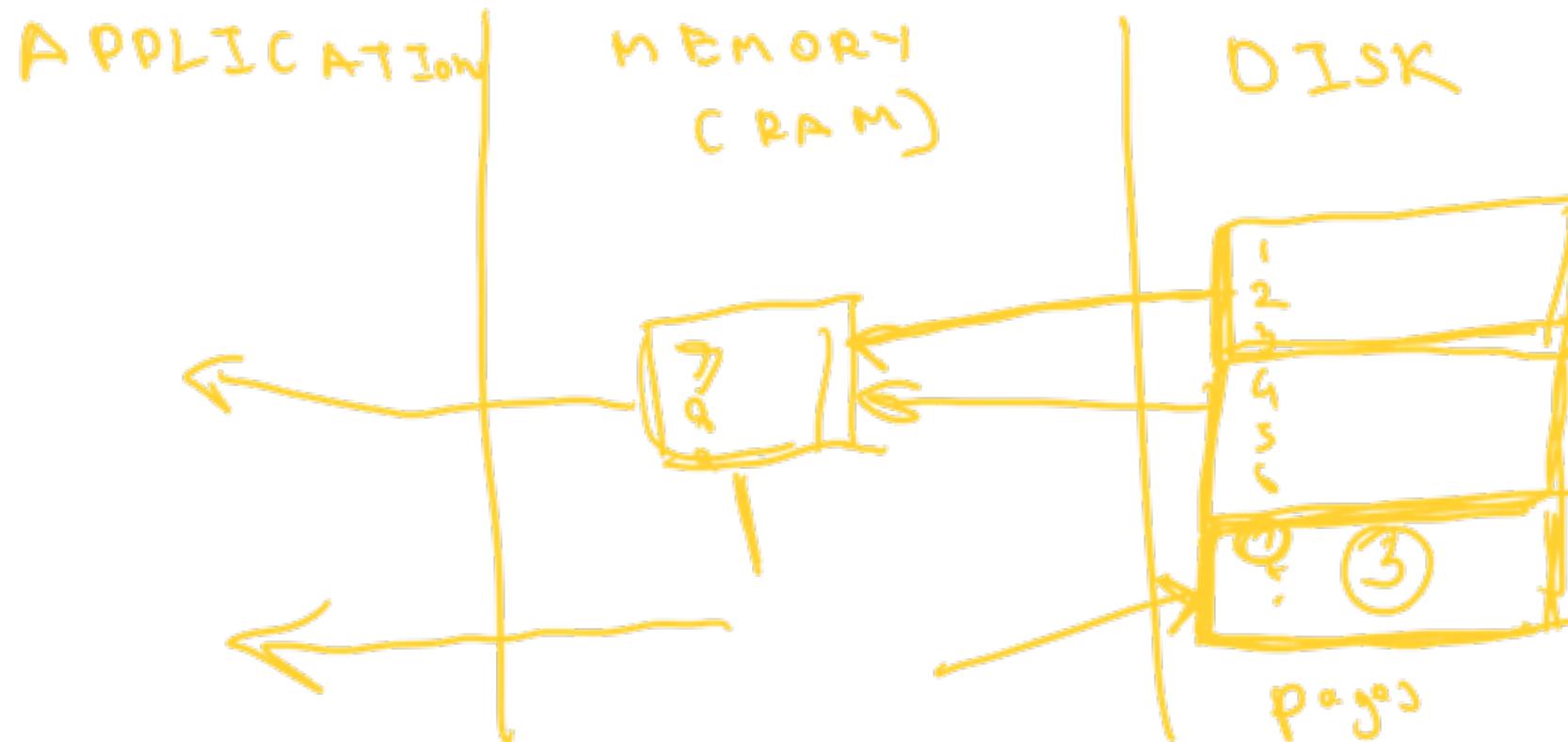
→ backtracking

↳ Page 10.20

→ Index

back tracking - Page 1, 10, 20

Database

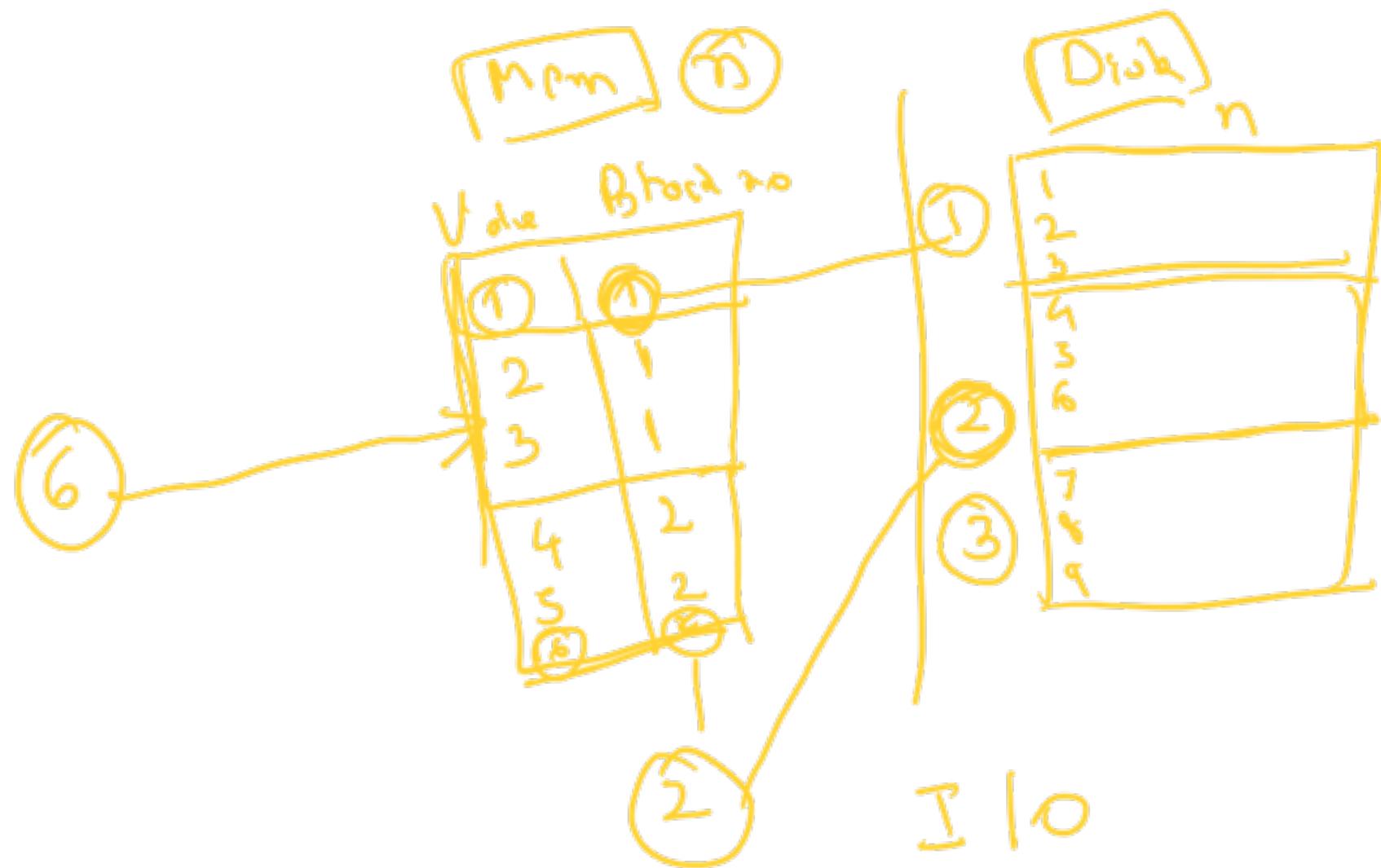


Sequential

Disk → RAM

## I/O operation

extremely slow



Index - Data structure  
that helps us  
reduce I/O operations

Dense index

1:1 b/w value : address

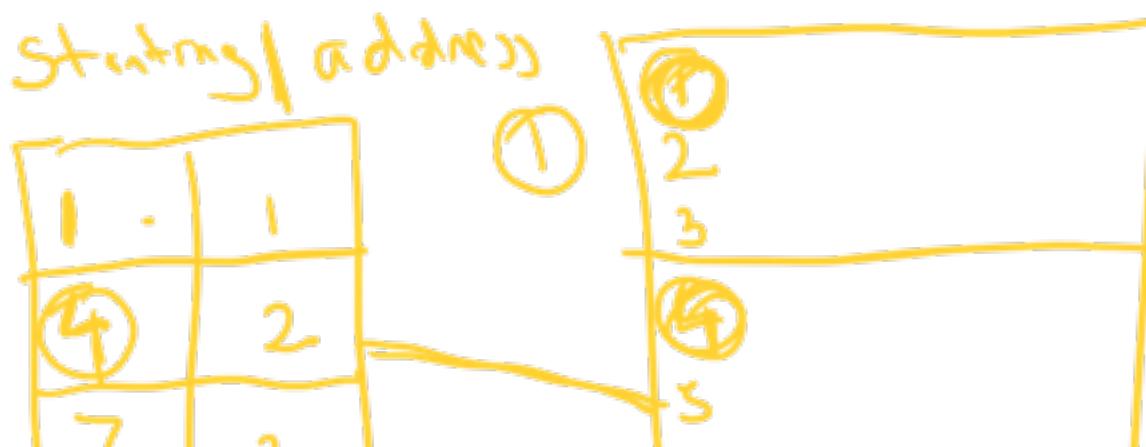
⇒ large storage

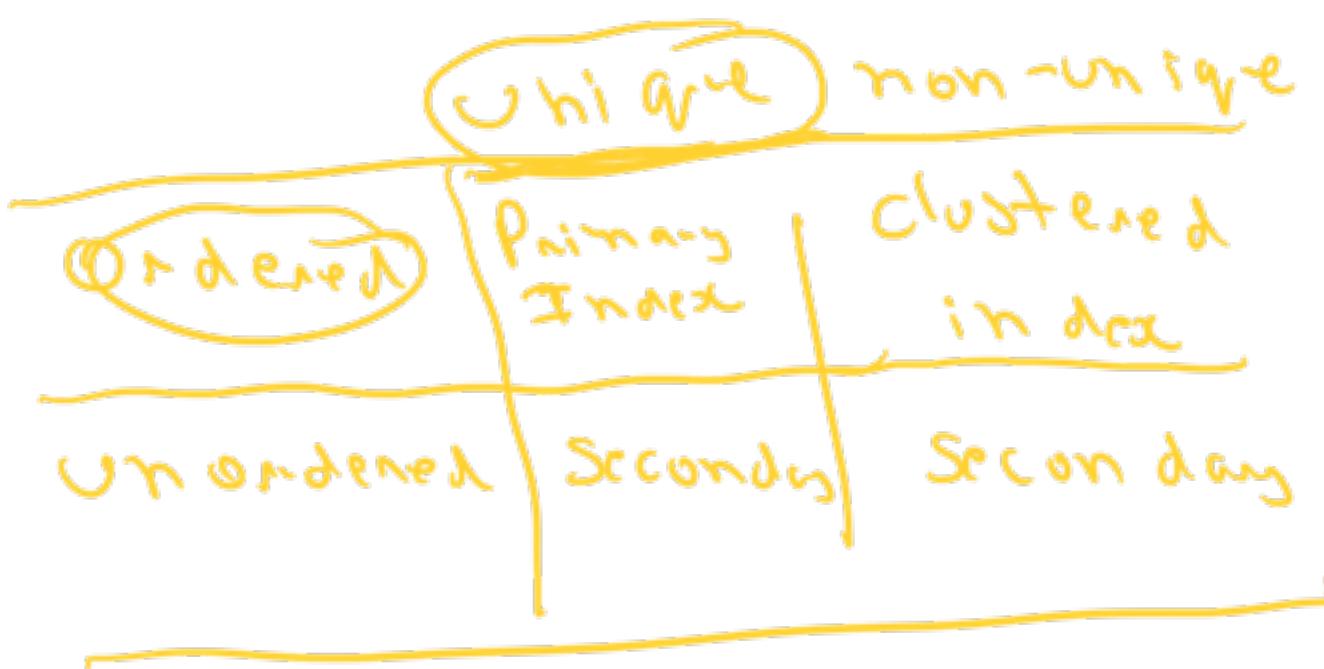
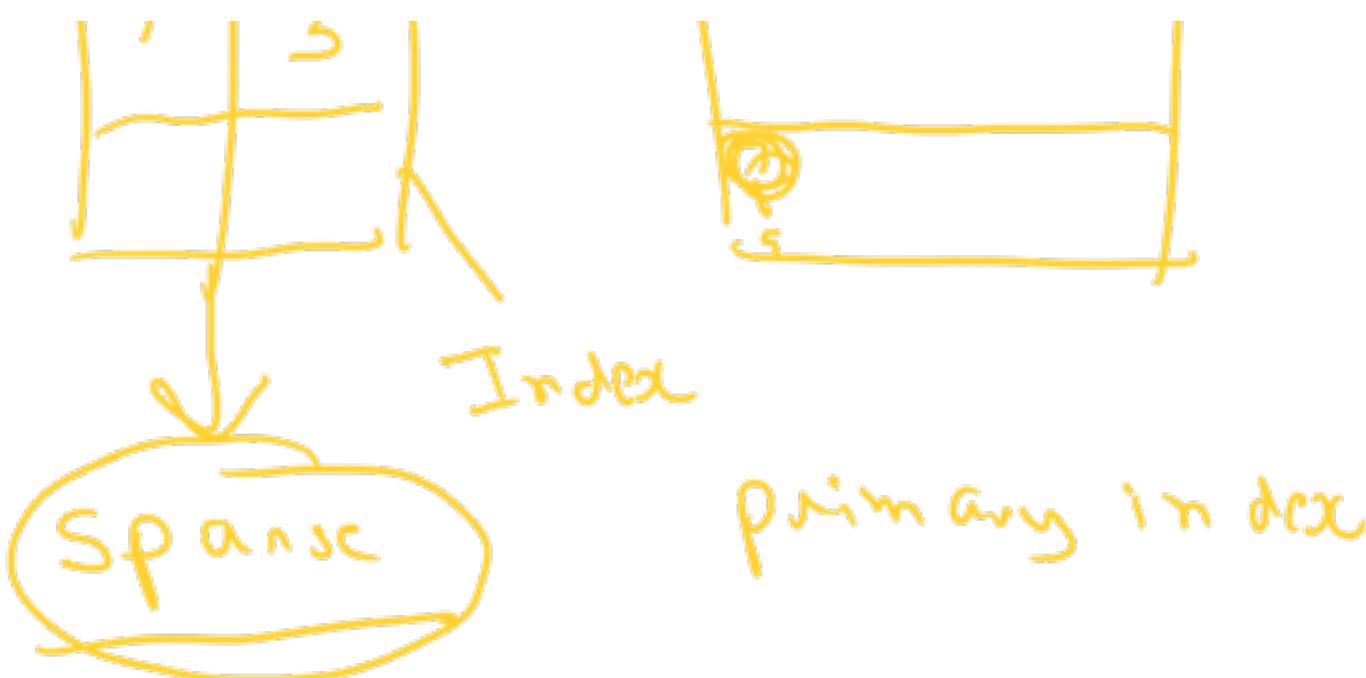
Type of values

→ unique ✓

→ ordered ✓

⑥





PK - Primary Index

In dec

→ reduce I/O

→ increase dot no use  
book keeping

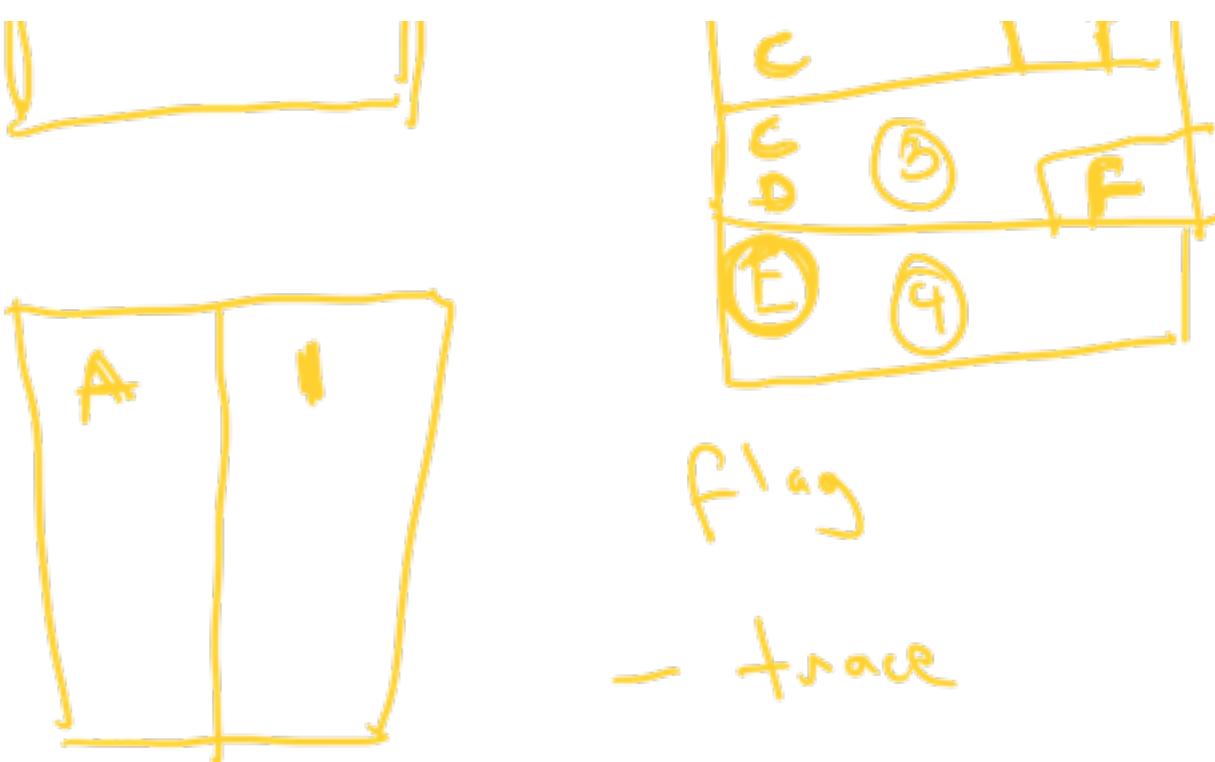
→ write slower

→ clustered  
→ secondary

Clustered → Ordered  
- non-unique

↓  
A - [1, 2]  
B - [2]  
C - [2, 5]





I is flag true?

∴ yes, fetch next block

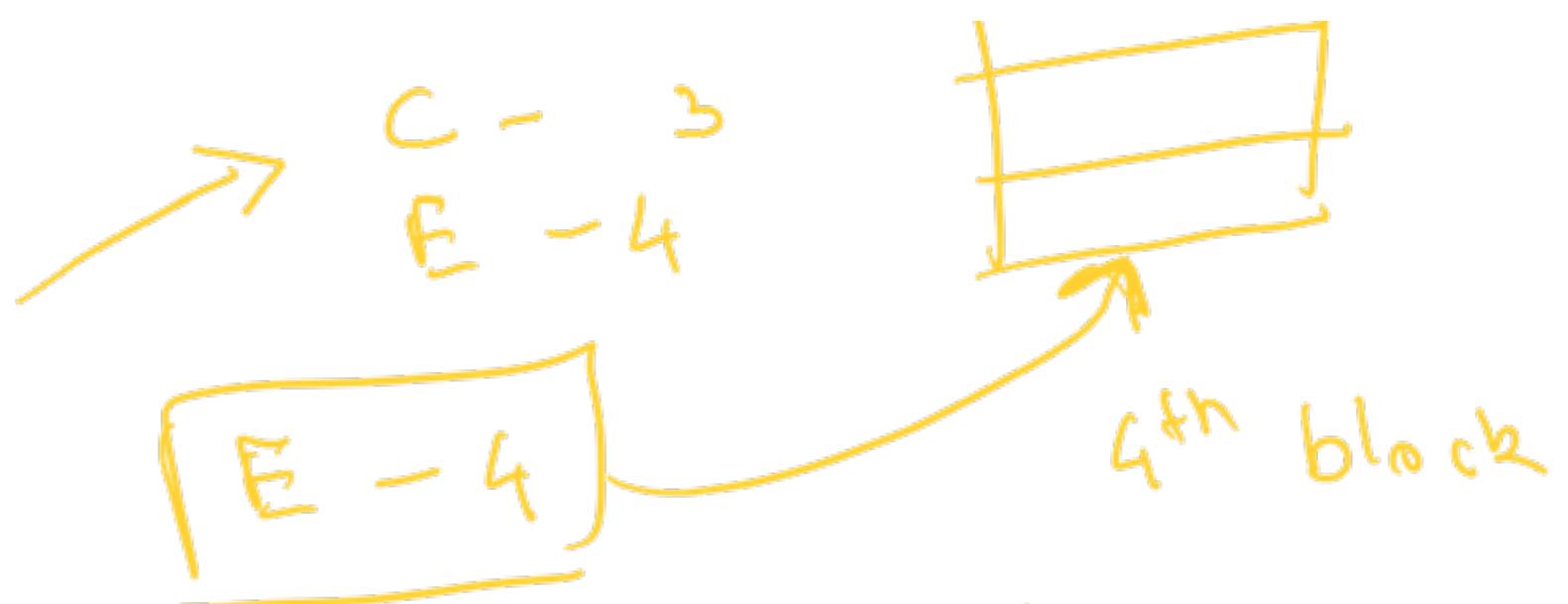


Sequential = 4 I/O operations

A = 1

b = 2



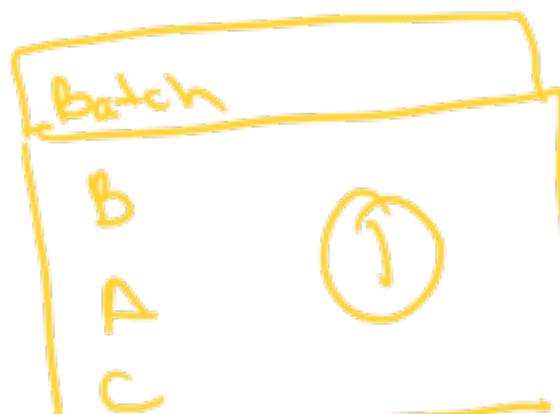


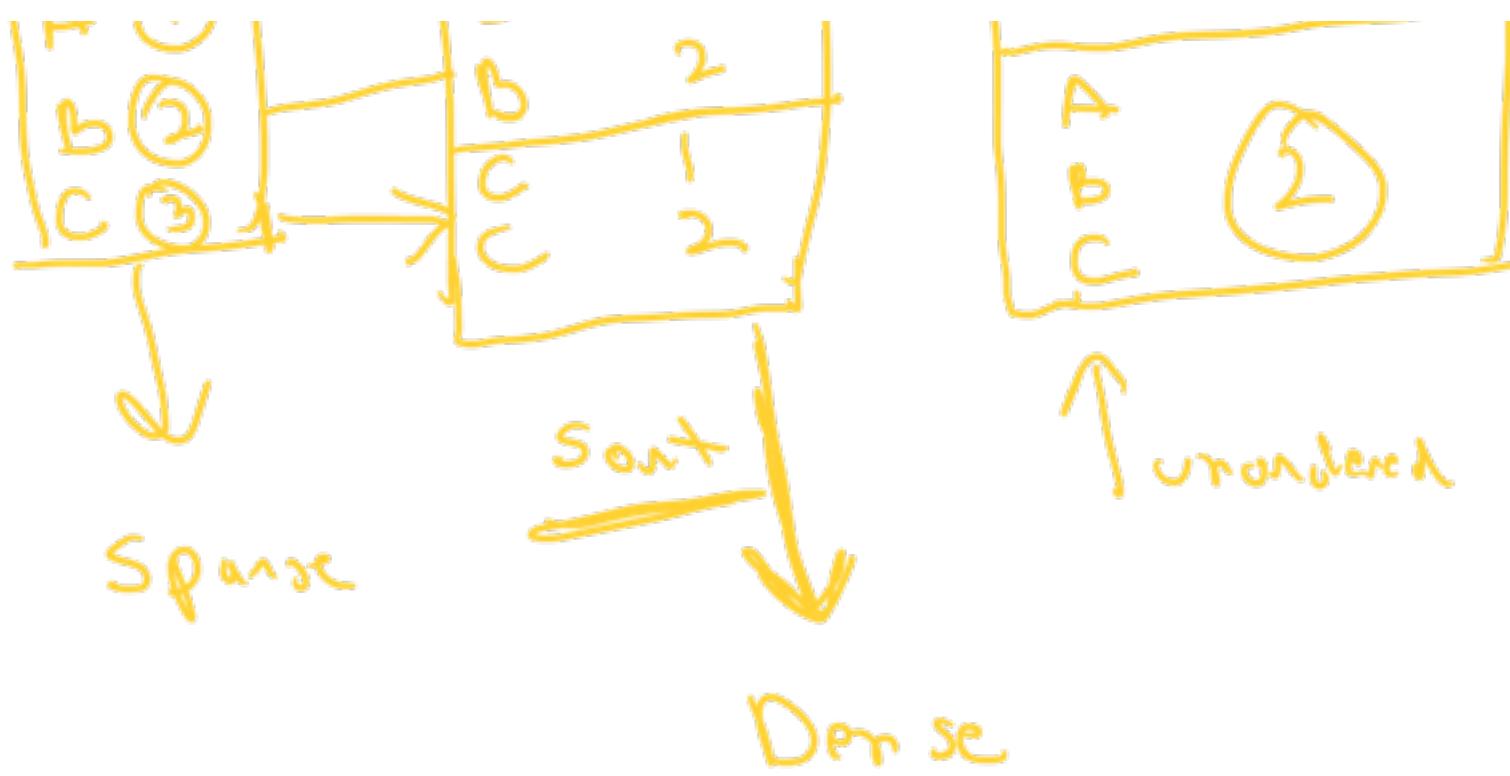
①

In order  
reduce I/O operations

Second day

- unsorted
- run-unified





Second day

- Types - Primary - unique address
  - Sparse
    - sharing value
    - to address
- Coupled - 0 but not unique
  - sparse + trace

## - secondary - unindexed

- two levels
- 1st dense - sort
- 2nd sparse
  - look up

## When to use an index

- PK
- `Select * from - where none phone`

## When not to use an index

- null values
- small table

Transactions - ACID

Index - I/O operations

- Sequential
- Primary
- Secondary
- ClustIndex

Query execution

- MySQL

- Workbench
- Table plus

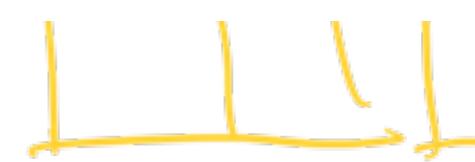
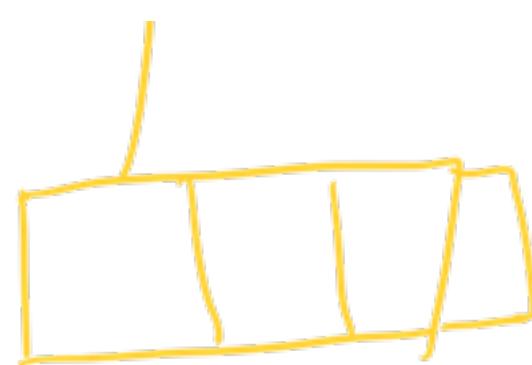
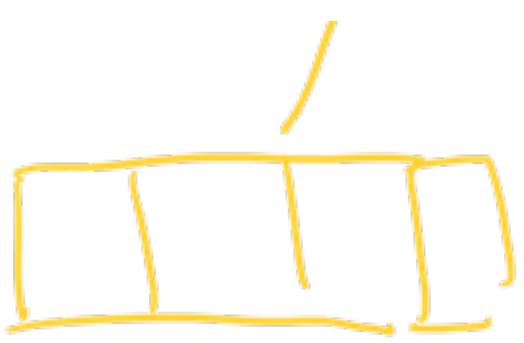
B+ trees



B+ trees

- ordered keys
- multiple children





balancing

Implementation details

A C I D

consistency

Alice  $\rightarrow$  Bob

A = 1000      Bob = 1000

Do bit 500 from Alice  $A + B = 2000$

$A = 500$ ,  $B = 1000$  -  $A + B = 1500$

Failure

1500

2000

Correct state

business logic  $A + B + 1500 = 500$   
 ~~$\simeq A' + B'$~~

constraint

should be met

int -  $\textcircled{A}$  - int

?

⑥ - Long currency control

→ 10 obs

- Read
- Read write
- Range

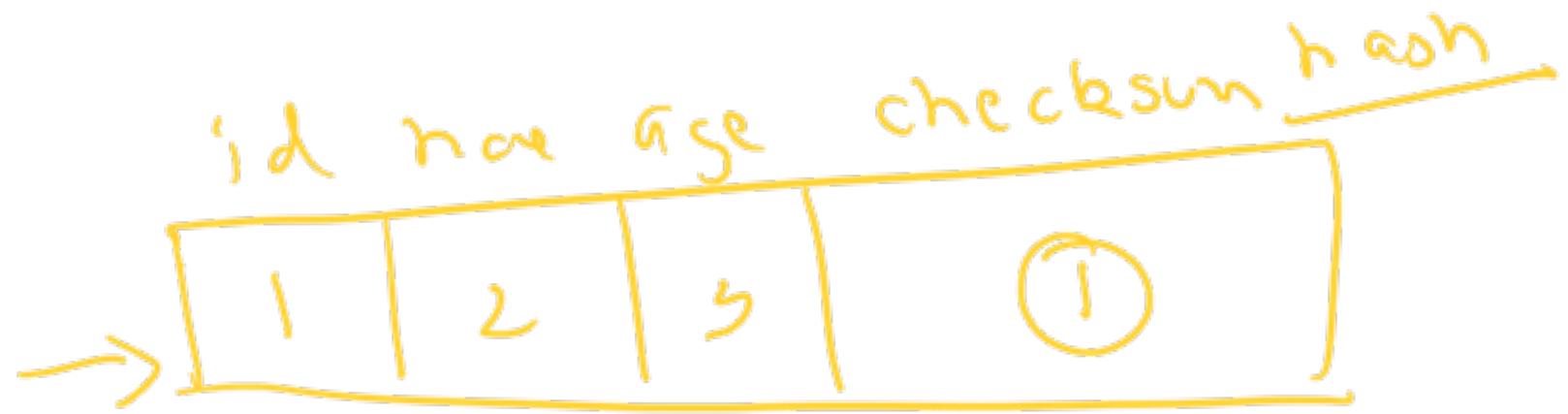
Too many indexes

- 
- large memory
  - writes

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Using indexes

FULLTEXT



data gets corrupted



hash()

1 ≠ 2  $\Rightarrow$  corrupt

Checksum