

## UNIT-V

### DETERMINISTIC ALGORITHMS:

The algorithms, in which the result (or, outcome) of every operation is uniquely defined, are called *deterministic algorithms*.

### NON-DETERMINISTIC ALGORITHMS:

➤ The algorithms, in which the outcomes of certain operations may not be uniquely defined but are limited to the specified sets of possibilities (i.e., possible outcomes), are said to be *non-deterministic algorithms*.

➤ The theoretical (or, hypothetical) machine executing such operations is allowed to choose any one of these possible outcomes.

➤ The non-deterministic algorithm is a two-stage algorithm.

1. Non-deterministic stage (or, Guessing stage):

Generate an arbitrary string that can be thought of as a candidate solution to the problem.

2. Deterministic stage (or, Verification stage):

This stage takes the candidate solution and the problem instance as input and returns “yes” if the candidate solution represents actual solution.

➤ To specify non-deterministic algorithms, we use three functions:

1. *Choice(S)*: arbitrarily chooses one of the elements of set ‘S’.

2. *Success()*: signals a successful completion.

3. *Failure()*: signals an unsuccessful completion.

➤ The assignment statement  $x := \text{Choice}(1, n)$  could result in  $x$  being assigned with any one of the integers in the range  $[1, n]$ .

There is no rule specifying how this choice is to be made. That’s why the name *non-deterministic* came into picture.

- The Failure( ) and Success( ) signals are used to define a completion of the algorithm.
- Whenever there is a particular choice (or, set of choices (or) sequence of choices) that leads to a successful completion of the algorithm, then that choice (or, set of choices) is always made and the algorithm terminates successfully.
- *A nondeterministic algorithm terminates unsuccessfully, if and only if **there exists no set of choices** leading to a success signal.*
- The computing times for **Choice( )**, **Failure( )**, **Success( )** are taken to be  $O(1)$ , i.e., constant time.
- A machine capable of executing a non-deterministic algorithm is called *non-deterministic machine*.

### **EXAMPLE: 1: NON-DETERMINISTIC SEARCH:**

**Algorithm** Nsearch(A, n, x)

```

{
    //A[1:n] is a set of elements, from which we have to determine
    //an index j, such that A[j]:=x, or 0 if x is not present in A.

    // Guessing Stage
    j := Choice(1, n);

    // Verification Stage
    if A[j] = x then
    {
        write(j);
        Success( );
    }
    write(0);
    Failure( );
}

```

→ The time complexity is  $O(1)$

### **EXAMPLE 2: NON-DETERMINISTIC SORTING:**

**Algorithm** NSort( $A, n$ )

*//  $A[1:n]$  is an array that stores  $n$  elements, which are positive integers.*

*//  $B[1:n]$  is an auxiliary array, in which elements are put at appropriate positions. That means,  $B$  stores the sorted elements.*

```
{
    // guessing stage
    for i := 1 to n do
    {
        j := Choice(1, n);    //guessing the position of  $A[i]$  in  $B$ 
        B[j] := A[i];        //place  $A[i]$  in  $B[j]$ 
    }
    // verification stage
    for i:= 1 to n -1 do
    {
        if (B[i] > B[i+ 1]) then    // if not in sorted order.
            Failure( );
    }
    Write(B[1 : n]);            // print sorted list.
    Success( );
}
```

Time complexity of the above algorithm is  $O(n)$ .

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→ We mainly focus on nondeterministic decision algorithms.  
Such algorithms produce either ‘1’ or ‘0’ (or, **Yes/No**) as their output.

→ In these algorithms, a successful completion is made iff the output is 1. And, a 0 is output, iff there is no choice (or, sequence of choices) available leading to a successful completion.

→ The output statement is implicit in the signals **Success( )** and **Failure( )**. No explicit output statements are permitted in a decision algorithm.

### EXAMPLE: 0/1 KNAPSACK DECISION PROBLEM:

The knapsack decision problem is to determine if there is an assignment of 0/1 values to  $x_i$ ,  $1 \leq i \leq n$  such that  $\sum_{i=1}^n p_i x_i \geq r$  and  $\sum_{i=1}^n w_i x_i \leq M$ .  $r$  is a given number. The  $p_i$ 's and  $w_i$ 's nonnegative numbers.

```
1 Algorithm DKP(p, w, n, M, r, x)
2 {
3   W:= 0; P:= 0;
4   for i := 1 to n do
5   {
6     x[i]:= Choice(0, 1);
7     W := W + x[i] * w[i];
8     P:=P+ x[i] * p[i];
9   }
10  if ((W>M) or (P < r)) then Failure( );
11  else Success( );
12 }
```

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### THE CLASSES P, NP, NP-HARD AND NP-COMPLETE:

→ **P** is the set of all decision problems solvable by a deterministic algorithm in polynomial time.

→ An algorithm  $A$  is said to have *polynomial complexity* (or, *polynomial time complexity*) if there exists a polynomial  $p( )$  such that the computing time of  $A$  is  $O(p(n))$  for every input of size  $n$ .

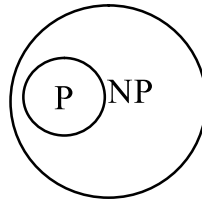
**NP (Nondeterministic Polynomial time):**

→ NP is the set of all decision problems solvable by a nondeterministic algorithm in polynomial time.

→ A non-deterministic machine can do everything that a deterministic machine can do and even more. This means that all problems in class P are also in class NP. So, we conclude that  $P \subseteq NP$ .

→ What we do not know, and perhaps what has become the most *famous unsolved problem* in computer science is, whether  $P = NP$  or  $P \neq NP$ .

→ The following figure displays the relationship between  $P$  and  $NP$  assuming that  $P \neq NP$ .



Some example problems in NP:

**1. Satisfiability (SAT) Problem:**

→ SAT problem takes a Boolean formula as input, and asks whether there is an assignment of Boolean values (or, truth values) to the variables so that the formula evaluates to TRUE.

→ A Boolean formula is a parenthesized expression that is formed from Boolean variables and Boolean operators such as OR, AND, NOT, IMPLIES, IF-AND-ONLY-IF.

→ A Boolean formula is said to be in CNF (Conjunctive Normal Form, i.e., Product of Sums form) if it is formed as a collection of sub expressions called clauses that are combined using AND, with each clause formed as the OR of Boolean literals. A *literal* is either a variable or its negation.

→ The following Boolean formula is in CNF:

$$(x_1 \vee x_3 \vee x_5 \vee x_7) \wedge (x_3 \vee x_5) \wedge (x_6 \vee x_7)$$

→ The following formula is in DNF (Sum of Products form):

$$(x_1 \wedge x_2 \wedge x_5) \vee (x_3 \wedge x_4) \vee (x_5 \wedge x_6)$$

→ *CNF-SAT* is the SAT problem for CNF formulas.

→ It is easy to show that *SAT* is in *NP*, because, given a Boolean formula  $E(x_1, x_2, \dots, x_n)$ , we can construct a polynomial time non-deterministic algorithm that could proceed by simply choosing (nondeterministically) one of the  $2^n$  possible assignments of truth values to the variables  $(x_1, x_2, \dots, x_n)$  and verifying that the formula  $E(x_1, x_2, \dots, x_n)$  is **true** for that assignment..

### **Nondeterministic Algorithm for SAT problem:**

**Algorithm NSAT(E, n)**

```
{
    //Determine whether the propositional formula E is satisfiable.
    //The variables are  $x_1, x_2, \dots, x_n$ .

    // guessing stage.
    for  $i:=1$  to  $n$  do // Choose a truth value assignment.
         $x_i := \mathbf{Choice}(\mathbf{false}, \mathbf{true});$ 

    // verification stage.
    if  $E(x_1, x_2, \dots, x_n) = \mathbf{true}$  then Success( );
    else Failure( );
}
```

→ Time complexity is  $O(n)$ , which is a polynomial time. So, *SAT* is NP problem.

## **2. CLIQUE PROBLEM:**

**Clique:** A *clique* of a graph ‘G’ is a complete subgraph of G.

→ The size of the clique is the number of vertices in it.

**Clique problem:** Clique problem takes a graph ‘G’ and an integer ‘k’ as input, and asks whether G has a clique of size at least ‘k’.

### Nondeterministic Algorithm for Clique Problem:

**Algorithm DCK( $G, n, k$ )**

```
{
    //The algorithm begins by trying to form a set of  $k$  distinct
    //vertices. Then it tests to see whether these vertices form a
    //complete sub graph.

    // guessing stage.
     $S := \emptyset$ ;    //  $S$  is an initially empty set.
    for  $i := 1$  to  $k$  do
    {
         $t := \mathbf{Choice}(1, n)$ ;
         $S := S \cup \{t\}$     // Add  $t$  to set  $S$ .
    }
    //At this point,  $S$  contains  $k$  distinct vertex indices.
    //Verification stage
    for all pairs  $(i, j)$  such that  $i \in S, j \in S$ , and  $i \neq j$  do
        if  $(i, j)$  is not an edge of  $G$  then Failure( );
    Success( );
}
```