

DATABASE MANAGEMENT SYSTEMS

Authors – Raghu Ramakrishna, Johannes Gehrke

Edition – 3

UNIT-III

Schema refinement – Problems Caused by redundancy –
Decompositions – Problem related to decomposition –
reasoning about FDS – FIRST, SECOND, THIRD Normal
forms – BCNF– Schema refinement in Database Design –
Multi valued Dependencies – FOURTH Normal Form.

Schema Refinement

- The *Schema Refinement* refers to refine the schema by using some technique. The best technique of schema refinement is decomposition.
- Normalization or Schema Refinement is a technique of organizing the data in the database.
- It is a systematic approach of decomposing tables to eliminate data redundancy and undesirable characteristics like *Insertion, Update and Deletion Anomalies*.
- **Redundancy**: refers to repetition of same data or duplicate copies of same data stored in different locations.
- **Anomalies**: refers to the problems occurred after poorly planned and normalized databases where all the data is stored in one table which is sometimes called a **flat file database**.



Database

NORMALIZATION

normalization is

a technique of organizing the data into
multiple related tables, to minimize
DATA REDUNDANCY.



What is Data Redundancy?

and why should we **reduce** it?

TABLE

| | | | |
|-------|--|--|---|
| ROW 1 | | | X |
| ROW 2 | | | X |
| ROW 3 | | | X |
| ROW 4 | | | X |

- Repetition of data increases the size of database.
- Other issues like:
 - Insertion Problems
 - Deletion Problems
 - Updation Problems

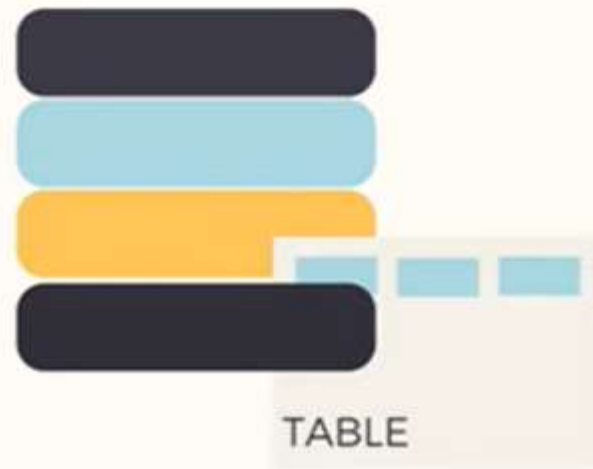
EXAMPLE:

STUDENTS TABLE

| rollno | name | branch | hod | office_tel |
|--------|------|--------|-------|------------|
| 1 | Akon | CSE | Mr. X | 53337 |
| 2 | Bkon | CSE | Mr. X | 53337 |
| 3 | Ckon | CSE | Mr. X | 53337 |
| 4 | Dkon | CSE | Mr. X | 53337 |

Unnecessary data
repetition increases the
size of the database.

And leads to more issues.



**issues due to
redundancy.**

1. Insertion Anomaly
2. Deletion Anomaly
3. Updation Anomaly

STUDENTS TABLE

| rollno | name | branch | hod | office_tel |
|--------|------|--------|-------|------------|
| 1 | Akon | CSE | Mr. X | 53337 |
| 2 | Bkon | CSE | Mr. X | 53337 |
| 3 | Ckon | CSE | Mr. X | 53337 |
| 4 | Dkon | CSE | Mr. X | 53337 |
| 5 | Ekon | CSE | Mr. X | 53337 |

Insertion Anomaly

To insert redundant data for every new row (of Student data in our case) is a data insertion problem or anomaly.

Deletion Anomaly

STUDENTS TABLE

| rollno | name | branch | hod | office_tel |
|--------|------|--------|-----|------------|
|--------|------|--------|-----|------------|

Branch information deleted along
with Student data.

Loss of a related dataset when some other dataset is deleted.

Updating Anomaly

STUDENTS TABLE

| rollno | name | branch | hod | office_tel |
|--------|------|--------|------------------------|------------|
| 1 | Akon | CSE | Mr. X Mr. Y | 53337 |
| 2 | Bkon | CSE | Mr. X Mr. Y | 53337 |
| 3 | Ckon | CSE | Mr. X | 53337 |
| 4 | Dkon | CSE | Mr. X Mr. Y | 53337 |

**How Normalization will
solve all these problems?**

Student Table



Student Table + Branch Table

rollno

name

branch

hod

branch_tel

Old Student Table

rollno

name

branch

New Student Table

branch

hod

branch_tel

New Branch Table

STUDENTS TABLE

| rollno | name | branch |
|--------|------|--------|
| 1 | Akon | CSE |
| 2 | Bkon | CSE |
| 3 | Ckon | CSE |
| 4 | Dkon | CSE |
| 5 | Ekon | CSE |

| | | |
|---|------|-----|
| 3 | Ckon | CSE |
| 4 | Dkon | CSE |
| 5 | Ekon | CSE |

BRANCH TABLE

| branch | hod | office_tel |
|--------|-------|------------|
| CSE | Mr. Y | 53337 |

Insertion problem solved

STUDENTS TABLE

| rollno | name | branch |
|--------|------|--------|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

No Data

BRANCH TABLE

| branch | hod | office_tel |
|--------|-------|------------|
| CSE | Mr. Y | 53337 |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Deletion problem solved

STUDENTS TABLE

| rollno | name | branch |
|--------|------|--------|
| 1 | Akon | CSE |
| 2 | Bkon | CSE |
| 3 | Ckon | CSE |

BRANCH TABLE

| branch | hod | office_tel |
|--------|-------|------------|
| CSE | Mr. Y | 53337 |



Whenever we update branch table automatically reflect to the students table. This is called Minimization of Redundancy but not eliminating the Redundancy

Anomalies or problems facing without normalization (**problems caused by redundancy**) :

- *Redundant Storage*: Repeatedly storing information.
- *Update Anomalies*: If one copy of repeated data is updated, inconsistency is created unless all copies of data are updated.
- *Insertion Anomalies*: It is not possible to insert certain information until some other waste information is stored with it.
- *Deletion Anomalies*: It is not possible to delete certain information until some other useful information is deleted with it.

Insertion Anomalies:

| SID | Sname | CID | Cname | FEE |
|-----|-------|-----|-------|-----|
| S1 | A | C1 | C | 5k |
| S2 | A | C1 | C | 5k |
| S1 | A | C2 | C | 10k |
| S3 | B | C2 | C | 10k |
| S3 | B | C2 | JAVA | 15k |

| | | | | |
|------|------|----|----|-----|
| NULL | NULL | CA | DB | 12k |
|------|------|----|----|-----|

To Insert that
Row, It is
Required to Put
Dummy Data..

Therefore,

| | | | | |
|----|----|----|----|-----|
| xx | xx | CA | DB | 12k |
|----|----|----|----|-----|

Deletion Anomalies:

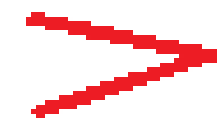
| SID | Sname | CID | Cname | FEE |
|-----|-------|-----|-------|-----|
| S1 | A | C1 | C | 5k |
| S2 | A | C1 | C | 5k |
| S1 | A | C2 | C | 10k |
| S2 | B | C2 | C | 10k |
| S3 | B | C2 | JAVA | 15k |

Update Anomalies:

| SID | Sname | CID | Cname | FEE |
|-----|-------|-----|-------|---------------|
| S1 | A | C1 | C | 5k |
| S2 | A | C1 | C | 5k |
| S1 | A | C2 | C | 10k |
| S3 | B | C2 | C | 10k |
| S3 | B | C2 | JAVA | 15k |

7k

7k



Costly Operation



More IO Cost

Solutions To Anomalies :

Decomposition of Tables – Schema Refinement

| SID | Sname | CID | Cname | FEE |
|-----|-------|-----|-------|-----|
| S1 | A | C1 | C | 5k |
| S2 | A | C1 | C | 5k |
| S1 | A | C2 | C | 10k |
| S3 | B | C2 | C | 10k |
| S3 | B | C2 | JAVA | 15k |

| SID | Sname | CID |
|---------------|--------------|---------------|
| S1 | A | C1 |
| S2 | A | C1 |
| S1 | A | C2 |
| S3 | B | C2 |
| S3 | B | C2 |

PK(SID,CID)

Deletion Anamoly
Removed

| CID | CNAME | FEE |
|-----|-------|---------------|
| C1 | C | 5k |
| C2 | C | 10k |
| C3 | JAVA | 15k |
| C4 | DB | 12k |

PK(CID)

7k (Updation Anamoly
Removed

Insertion Anamoly
Removed

There are some Anomalies in this again –

| SID | Sname | CID |
|-----|-------------------|-----|
| S1 | A (AA) | C1 |
| S2 | A (AA) | C1 |
| S1 | A (AA) | C2 |
| S3 | B | C2 |
| S3 | B | C3 |
| S4 | B | xx |

Updation Anamoly

Deletion Anamoly as C2 course is allotted to some students

| CID | CNAME | FEE |
|---------------|--------------|----------------|
| C1 | C | 5k |
| C2 | C | 10k |
| C3 | JAVA | 15k |
| C4 | DB | 12k |

A student having no course is enrolled. We have to put dummy data again.

What is the Solution ??

Solution : decomposing into relations as shown below

R1

| SID | Sname |
|-----|-------|
| | |

R2

| SID | CID |
|-----|-----|
| | |

R3

| CID | Cname | Fee |
|-----|-------|-----|
| | | |

Functional Dependency:

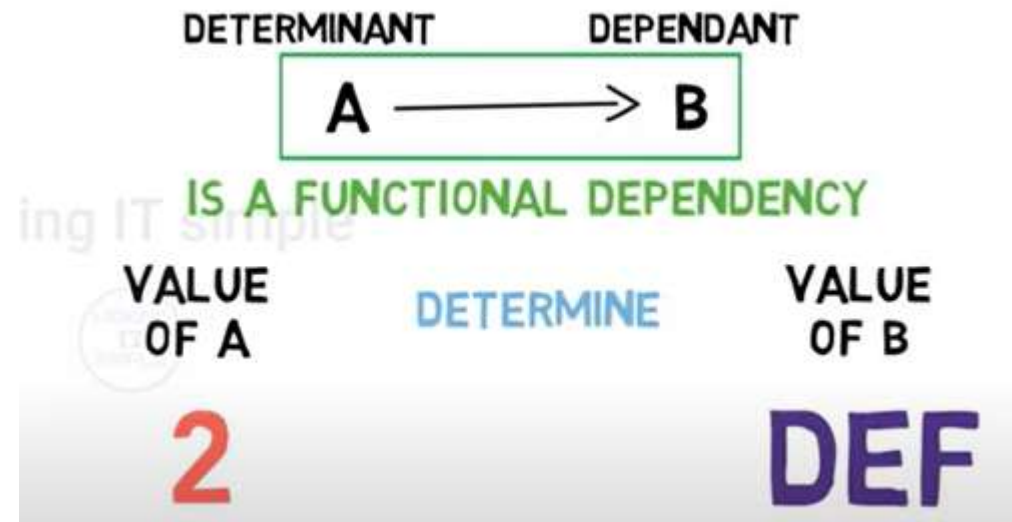
- **Functional** dependency is a **Constraint** that **determines** the relation of one attribute to another. It typically exists between the primary key and non-key attribute within a table.

$$X \rightarrow Y$$

- The left side of FD is known as a ***Determinant***, the right side of the production is known as a ***Dependent***.

| A | B |
|---|-----|
| 1 | ABC |
| 2 | DEF |
| 3 | GHI |
| 4 | JKL |

Table T



Scenario 1

STUDENT TABLE

| Roll_No | Student_Name | Dept_Name | Dept_Building |
|---------|--------------|-----------|---------------|
| 2 | abc | CS | A4 |
| 3 | pqr | IT | A3 |
| 4 | xyz | CS | A4 |
| 5 | xyz | IT | A3 |
| 6 | mno | EC | B2 |
| 7 | jkl | ME | B2 |

FUNCTIONAL DEPENDENCY

$ROLL_NO \longrightarrow \{ STUDENT_NAME, DEPT_NAME, DEPT_BUILDING \}$

VALID FUNCTIONAL DEPENDENCY

ing 3 simple $\longrightarrow \{ PQR, IT, A3 \}$

| Determinant | Dependant |
|-------------|-----------|
| 1 | a |
| 2 | b |
| | |

Scenario 2

| Roll_No | Student_Name | Dept_Name | Dept_Building |
|---------|--------------|-----------|---------------|
| 2 | abc | CS | A4 |
| 3 | pqr | IT | A3 |
| 4 | xyz | CS | A4 |
| 5 | xyz | IT | A3 |
| 6 | mno | EC | B2 |
| 7 | jkl | ME | B2 |

FUNCTIONAL DEPENDENCY

DEPT_NAME \longrightarrow DEPT_BUILDING

VALID FUNCTIONAL DEPENDENCY

making IT CS \longrightarrow A4



CS \longrightarrow A4

| Determinant | Dependant |
|-------------|-----------|
| 1 | a |
| 1 | a |

Scenario 3

| Roll_No | Student_Name | Dept_Name | Dept_Building |
|---------|--------------|-----------|---------------|
| 2 | abc | CS | A4 |
| 3 | pqr | IT | A3 |
| 4 | xyz | CS | A4 |
| 5 | xyz | IT | A3 |
| 6 | mno | EC | B2 |
| 7 | jkl | ME | B2 |

| Determinant | Dependant |
|-------------|-----------|
| 1 | a |
| 2 | a |

FUNCTIONAL DEPENDENCY

DEPT_NAME \longrightarrow DEPT_BUILDING

VALID FUNCTIONAL DEPENDENCY

EC



B2

ME



B2

ROLL_NO



STUDENT_NAME

Scenario 4

| Roll_No | Student_Name | Dept_Name | Dept_Building |
|---------|--------------|-----------|---------------|
| 2 | abc | CS | A4 |
| 3 | pqr | IT | A3 |
| 4 | xyz | CS | A4 |
| 5 | xyz | IT | A3 |
| 6 | mno | EC | B2 |
| 7 | jkl | ME | B2 |

| Determinant | Dependant |
|-------------|-----------|
| 1 | a |
| 1 | b |

FUNCTIONAL DEPENDENCY

STUDENT_NAME \longrightarrow DEPT_NAME

INVALID FUNCTIONAL DEPENDENCY

XYZ



CS

XYZ



IT

Functional Dependency:

| Determinant | Dependant |
|-------------|-----------|
| 1 | a |
| 2 | b |
| Valid F.D. | |

| Determinant | Dependant |
|-------------|-----------|
| 1 | a |
| 1 | a |
| Valid F.D. | |

| Determinant | Dependant |
|-------------|-----------|
| 1 | a |
| 2 | a |
| Valid F.D. | |

| Determinant | Dependant |
|--------------|-----------|
| 1 | a |
| 1 | b |
| Invalid F.D. | |

TYPES OF FUNCTIONAL DEPENDENCY

FUNCTIONAL DEPENDENCY

PARTIAL DEPENDENCY



TRIVIAL FUNCTIONAL DEPENDENCY

NON TRIVIAL FUNCTIONAL DEPENDENCY

MULTI VALUED FUNCTIONAL DEPENDENCY

TRANSITIVE FUNCTIONAL DEPENDENCY

FULLY FUNCTIONAL DEPENDENCY

Trivial Functional Dependency:

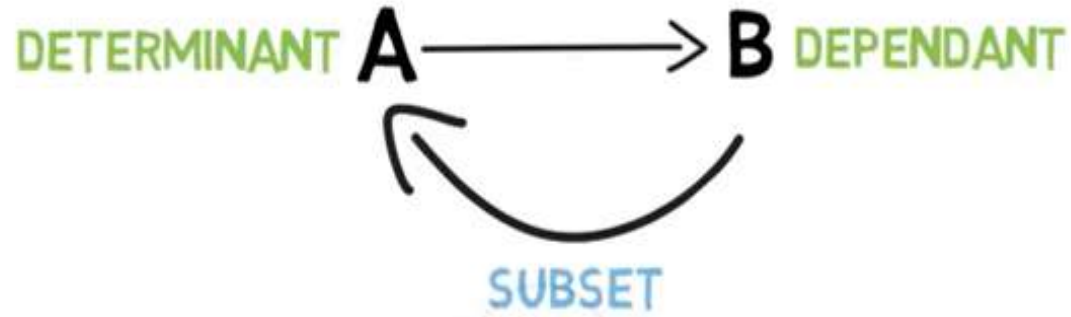
- A functional dependency is called *trivial* if the attributes on the right side are the subset of the attributes on the left side of the functional dependency.

| Employee_Id | Name | Age |
|-------------|-------|-----|
| 1 | Zayn | 24 |
| 2 | Phobe | 34 |
| 3 | Hikki | 26 |

$\{ \text{Employee_Id}, \text{Name} \} \rightarrow \{ \text{Name} \}$ is a Trivial functional dependency.

$\{ \text{Employee_Id} \} \rightarrow \{ \text{Employee_Id} \}$, $\{ \text{Name} \} \rightarrow \{ \text{Name} \}$, $\{ \text{Age} \} \rightarrow \{ \text{Age} \}$ are also Trivial.

TRIVIAL FUNCTIONAL DEPENDENCY



| Emp_ID | Name | Age |
|--------|------|-----|
| 1 | XYZ | 54 |
| 2 | MNO | 25 |
| 3 | ABC | 28 |
| 4 | RST | 25 |
| 5 | ABC | 35 |
| 6 | GHI | 22 |



**TRIVIAL FUNCTIONAL
DEPENDENCY**

Non Trivial Functional Dependency

- It is the opposite of Trivial functional dependency. Formally speaking, in **Non-Trivial functional dependency**, dependent is **not a subset** of the determinant.
- $\mathbf{X} \rightarrow \mathbf{Y}$ is called a Non-trivial functional dependency if \mathbf{Y} is **not a subset** of \mathbf{X} . So, a functional dependency $\mathbf{X} \rightarrow \mathbf{Y}$ where \mathbf{X} is a set of attributes and \mathbf{Y} is also a set of the attribute but not a subset of \mathbf{X} , then it is called *Non-trivial functional dependency*.

| Employee_Id | Name | Age |
|-------------|-------|-----|
| 1 | Zayn | 24 |
| 2 | Phobe | 34 |

- Here, $\{ \mathbf{Employee_Id} \} \rightarrow \{ \mathbf{Name} \}$ is a non-trivial functional dependency because $\mathbf{Name}(\text{dependent})$ is **not a subset** of $\mathbf{Employee_Id}(\text{determinant})$.
- Similarly, $\{ \mathbf{Employee_Id, Name} \} \rightarrow \{ \mathbf{Age} \}$ is also a non-trivial functional dependency.

NON TRIVIAL FUNCTIONAL DEPENDENCY

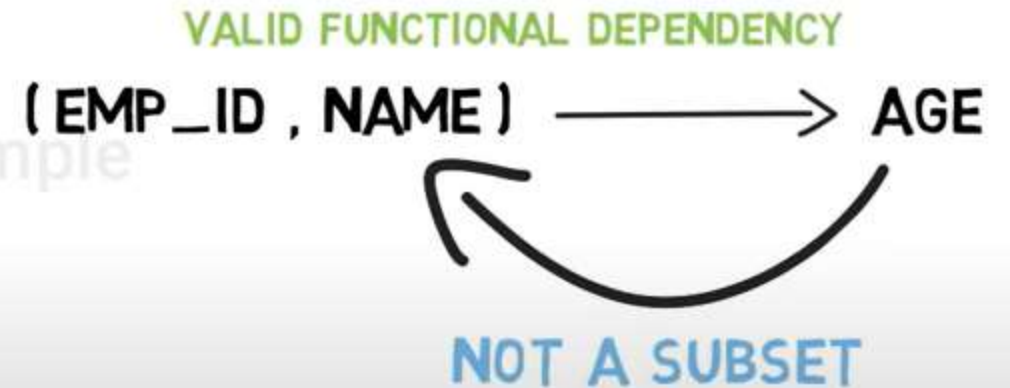


EMP_ID \longrightarrow NAME

EMP_ID \longrightarrow AGE

| Emp_ID | Name | Age |
|--------|------|-----|
| 1 | XYZ | 54 |
| 2 | MNO | 25 |
| 3 | ABC | 28 |
| 4 | RST | 25 |
| 5 | ABC | 35 |
| 6 | GHI | 22 |

Employee Table



NON TRIVIAL FUNCTIONAL
DEPENDENCY

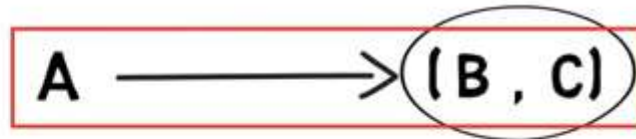
Multivalued Functional Dependency in DBMS

- In **Multivalued functional dependency**, attributes in the dependent set are **not dependent** on each other.
- For example, $X \rightarrow \{ Y, Z \}$, if there exists is **no functional dependency dependency** between **Y and Z**, then it is called as *Multivalued functional dependency*.

| Employee_Id | Name | Age |
|-------------|-------|-----|
| 1 | Zayn | 24 |
| 2 | Phobe | 34 |
| 3 | Hikki | 26 |

Here, $\{ \text{Employee_Id} \} \rightarrow \{ \text{Name}, \text{Age} \}$ is a Multivalued functional dependency, since the dependent attributes **Name, Age** are not *functionally dependent*

MULTI VALUED FUNCTIONAL DEPENDENCY

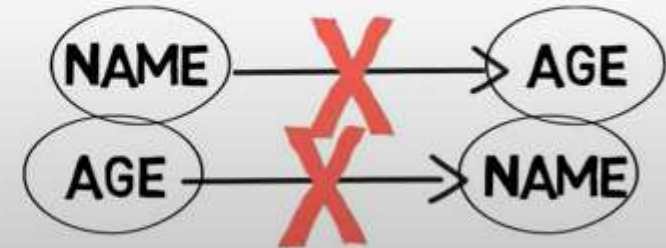
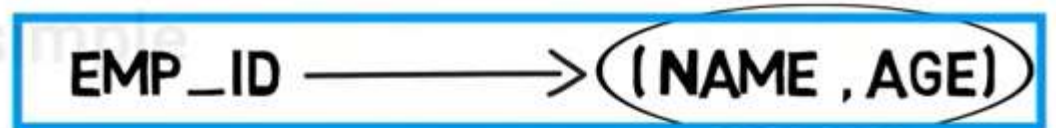


$B \longrightarrow C$

$C \longrightarrow B$

MULTI VALUED FUNCTIONAL DEPENDENCY

| Emp_ID | Name | Age |
|--------|------|-----|
| 1 | XYZ | 54 |
| 2 | MNO | 25 |
| 3 | ABC | 28 |
| 4 | RST | 25 |
| 5 | ABC | 35 |
| 6 | GHI | 22 |



Transitive Functional Dependency in DBMS

- Consider two functional dependencies $A \rightarrow B$ and $B \rightarrow C$ then according to the *transitivity axiom* $A \rightarrow C$ must also exist. This is called a transitive functional dependency.

| Employee_Id | Name | Department | Street Number |
|-------------|-------|------------|---------------|
| 1 | Zayn | CD | 11 |
| 2 | Phobe | AB | 24 |

Here, { **Employee_Id** \rightarrow **Department** } and { **Department** \rightarrow **Street Number** } holds true. *Hence*, according to the **axiom of transitivity**, { **Employee_Id** \rightarrow **Street Number** } is a valid functional dependency

TRANSITIVE FUNCTIONAL DEPENDENCY

$A \xrightarrow{\text{VALID}} B$ $B \xrightarrow{\text{VALID}} C$

$A \xrightarrow{\text{VALID}} C$

$\text{EMP_ID} \xrightarrow{\text{VALID}} \text{DEPT_NAME}$ $\text{DEPT_NAME} \xrightarrow{\text{VALID}} \text{DEPT_BUILDING}$

$\text{EMP_ID} \xrightarrow{\text{VALID}} \text{DEPT_BUILDING}$

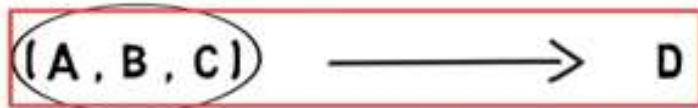
| Emp_ID | Name | Dept_Name | Dept_Buliding |
|--------|------|------------|---------------|
| 1 | XYZ | R & D | Building A |
| 2 | MNO | Design | Building B |
| 3 | ABC | Production | Building C |



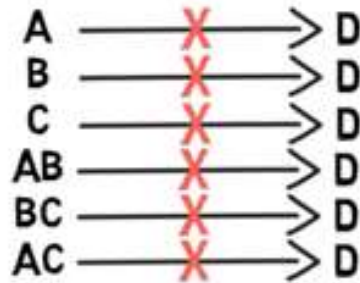
Fully Functional Dependency:

An attribute is fully functional dependent on another attribute, if it is FD on that attribute and not on any of its proper subset.

FULLY FUNCTIONAL DEPENDENCY

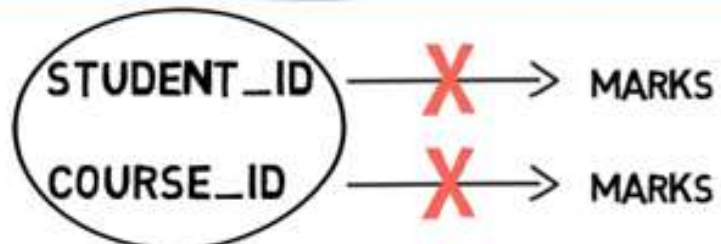


PROPER SUBSETS:



| Student_ID | Course_ID | Marks |
|------------|-----------|-------|
| 1 | A | 87 |
| 1 | B | 82 |
| 1 | C | 91 |
| 2 | A | 77 |
| 2 | B | 81 |
| 2 | C | 75 |

Marks Table



FULLY FUNCTIONAL DEPENDENCY

Decompositions

- Redundancy arises when relational schema forces an association between two or more attributes.
- Schema Refinement is the solution for many problems arising due to redundancy, and is solved by replacing the relation into a collection of smaller relations.
- Decomposition of a relation schema R replaces the relation schema by two or more relation schemas, that each consists the subsets of attributes of R and together includes all attributes of R.

Example: Consider the hourly_emp table

| Eno | Ename | Salary | Rating | Hourly_wages | Hours_worked |
|------------|--------------|---------------|---------------|---------------------|---------------------|
| 001 | AAA | 2200 | 8 | 10 | 40 |
| 002 | BBB | 4800 | 8 | 10 | 30 |
| 003 | BBB | 3500 | 5 | 7 | 30 |
| 004 | CCC | 3500 | 5 | 7 | 32 |
| 005 | DDD | 3500 | 8 | 10 | 40 |

- The above relation R is decomposed into two smaller relations namely **hourly_empsd** and **wages**.
- As a result, updating any one **hourly_wages** tuple associated with a rating in wages relation, involves updating single wage tuple. So this is more efficient than updating several tuples in **hourly_emp** relation and eliminates inconsistency.

| Eno | Ename | Salary | Rating | Hours_worked |
|-----|-------|--------|--------|--------------|
| 001 | AAA | 2200 | 8 | 40 |
| 002 | BBB | 4800 | 8 | 30 |
| 003 | BBB | 3500 | 5 | 30 |
| 004 | CCC | 3500 | 5 | 32 |
| 005 | DDD | 3500 | 8 | 40 |

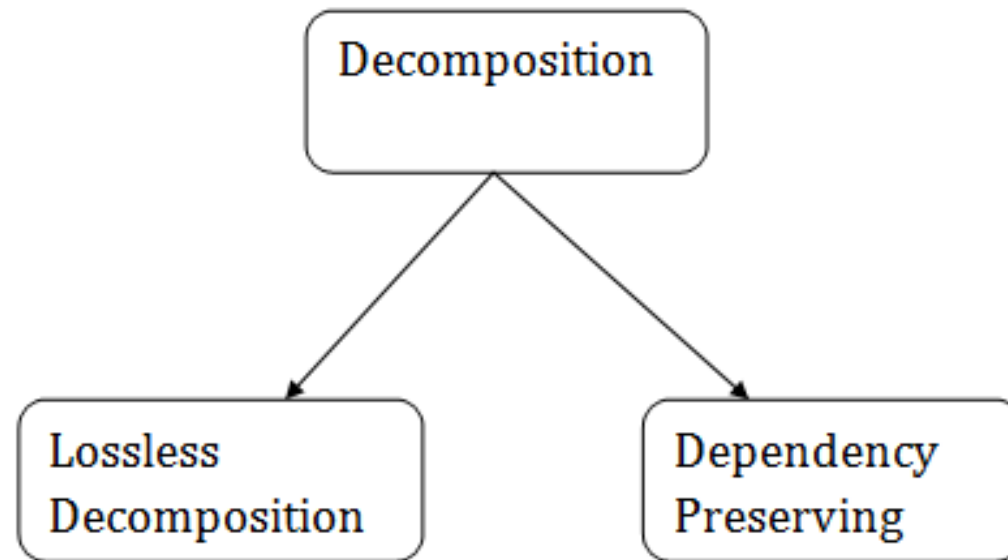
| Rating | Hourly_wages |
|--------|--------------|
| 8 | 10 |
| 5 | 7 |

Problems caused by Decomposition:

Decomposition may solve the problems of redundancy but may lead to some other problems.

- What are the problems caused by decomposition?
- When do we have to decompose a relation? -> [Normal Forms]

To answer the above first question, we have two properties



Lossless Decomposition

- When a relation is decomposed into two or more smaller relations, and the original relation can be perfectly reconstructed by taking the natural join of the decomposed relations, then it is termed as **lossless decomposition**. If not, it is termed "**lossy decomposition**."

Example:

- Let's consider a table **R(A, B, C)** with a dependency **A → B**.
- If you decompose it into **R1(A, B)** and **R2(B, C)**, it would be lossy because you can't recreate the original table using natural joins.

R(A,B,C
)

| A | B | C |
|---|---|---|
| 1 | X | P |
| 1 | Y | P |
| 2 | Z | Q |

R into R1(A,B) and R2(A,C).

R1(A, B):

| A | B |
|---|---|
| 1 | X |
| 1 | Y |
| 2 | Z |

R2(A, C):

| A | C |
|---|---|
| 1 | P |
| 1 | P |
| 2 | Q |

Now, if we take the natural join of R1 and R2 on attribute A, we get back the original relation R. Therefore, this is a lossless decomposition.

Dependency Preserving

- It is an important constraint of the database.
- In the dependency preservation, at least one decomposed table must satisfy every dependency.
- If a relation R is decomposed into relation R_1 and R_2 , then the dependencies of R either must be a part of R_1 or R_2 or must be derivable from the combination of functional dependencies of R_1 and R_2 .
- For example, suppose there is a relation $R(A, B, C, D)$ with functional dependency set $(A \rightarrow BC)$. The relational R is decomposed into $R_1(ABC)$ and $R_2(AD)$ which is dependency preserving because FD $A \rightarrow BC$ is a part of relation $R_1(ABC)$.

<EmployeeProjectDetail>

| Emp_Code | Emp_Name | Emp_Email | Proj_Name | Proj_ID |
|----------|-----------|--|------------|---------|
| 101 | John | j@demo.com | Project103 | P03 |
| 101 | John | jo@demo.com | Project101 | P01 |
| 102 | Ryan | r@exam.com | Project104 | P04 |
| 103 | Stephanie | st@abc.com | Project102 | P02 |

In this relation we have the following FDs:

- Emp_Code -> {Emp_Name, Emp_Email}
- Proj_ID -> Proj_Name

Now, after decomposing the relation into EmployeeProject and ProjectDetail as:

<EmployeeProject> :

Emp_Code -> {Emp_Name, Emp_Email}

| Emp_Code | Proj_ID | Emp_Name | Emp_Email |
|----------|---------|-----------|--|
| 101 | P03 | John | j@demo.com |
| 101 | P01 | John | jo@demo.com |
| 102 | P04 | Ryan | r@exam.com |
| 103 | P02 | Stephanie | st@abc.com |

<ProjectDetail>:

Proj_ID -> Proj_Name

| Proj_ID | Proj_Name |
|---------|------------|
| P03 | Project103 |
| P01 | Project101 |
| P04 | Project104 |
| P02 | Project102 |

Armstrong Axioms/ Reasoning about FD's:

- Armstrong axioms defines the set of rules for reasoning about functional dependencies and also to infer all the functional dependencies on a relational database.

Various axioms rules or inference rules:

- **Primary Axioms:**

| | |
|---------------|--|
| Rule 1 | Reflexivity If A is a set of attributes and B is a subset of A, then A holds B. $\{ A \rightarrow B \}$ |
| Rule 2 | Augmentation If A hold B and C is a set of attributes, then AC holds BC. $\{AC \rightarrow BC\}$ It means that attribute in dependencies does not change the basic dependencies. |
| Rule 3 | Transitivity If A holds B and B holds C, then A holds C. If $\{A \rightarrow B\}$ and $\{B \rightarrow C\}$, then $\{A \rightarrow C\}$ A holds B $\{A \rightarrow B\}$ means that A functionally determines B. |

- **Secondary or derived axioms:**

| | |
|---------------|--|
| Rule 1 | Union If A holds B and A holds C, then A holds BC. If $\{A \rightarrow B\}$ and $\{A \rightarrow C\}$, then $\{A \rightarrow BC\}$ |
| Rule 2 | Decomposition If A holds BC and A holds B, then A holds C. If $\{A \rightarrow BC\}$ and $\{A \rightarrow B\}$, then $\{A \rightarrow C\}$ |
| Rule 3 | Pseudo Transitivity If A holds B and BC holds D, then AC holds D. If $\{A \rightarrow B\}$ and $\{BC \rightarrow D\}$, then $\{AC \rightarrow D\}$ |

- **Attribute Closure (X^+):** Attribute closure of an attribute set can be defined as set of attributes which can be functionally determined from it. It is also used to find out the Candidate Keys.

- **Prime and non-prime attributes:** Attributes which are parts of any candidate key of relation are called as prime attribute, others are non-prime attributes.
- **Candidate Key:** Candidate Key is minimal set of attributes of a relation which can be used to identify a tuple uniquely. Consider student table: student(sno, sname, sphone,age) we can take sno as candidate key. We can have more than 1 candidate key in a table.
 - Types of candidate keys:
 1. simple(having only one attribute)
 2. composite(having multiple attributes as candidate key)
- **Super Key:** Super Key is set of attributes of a relation which can be used to identify a tuple uniquely. Consider student table: student(sno, sname,sphone,age) we can take sno, (sno, sname) as super key

Primary Key and Non-key attributes

Primary Key

Non-key attributes



| student_id | student_name | mobile | gender |
|------------|--------------|------------|--------|
| 1 | John | 9797979797 | Male |
| 2 | Ron | 7878787878 | Male |
| 3 | Pom | 8282828282 | Female |

Finding the Attribute Closure

| course | year | teacher | date_of_birth | age |
|-------------|------|----------------|---------------|-----|
| Databases | 2019 | Chris Cape | 1974-10-12 | 45 |
| Mathematics | 2019 | Daniel Parr | 1985-05-17 | 34 |
| Databases | 2020 | Jennifer Clock | 1990-06-09 | 30 |

Here are the functional dependencies in this table:

- $course, year \rightarrow teacher$
 - Given the course and year, you can determine the teacher who taught the course that year.
- $teacher \rightarrow date_of_birth$
 - Given a teacher, you can determine the teacher's date of birth.
- $year, date_of_birth \rightarrow age$
 - Given the year and date of birth, you can determine the age of the teacher at the time the course was taught.

- First, consider the closure of a set $\{\text{year}\}$, denoted $\{\text{year}\}^+$
- The first functional dependency $[\text{course}, \text{year} \rightarrow \text{teacher}]$ requires the course in addition to the year, so it doesn't add anything to $\{\text{year}\}^+$.
- The functional dependency $[\text{year}, \text{date_of_birth} \rightarrow \text{age}]$ requires the date of birth in addition to the year, so it doesn't add anything to $\{\text{year}\}^+$ either. So, $\{\text{year}\}^+$ contains only one column, year, that is $\{\text{year}\}^+ = \{\text{year}\}$.
- Next, let's look at $\{\text{year}, \text{teacher}\}^+$. If I know the teacher, I also know the date of birth because of the $\text{teacher} \rightarrow \text{date_of_birth}$ functional dependency.
- So, date_of_birth is also in $\{\text{year}, \text{teacher}\}^+$, and I know three columns: $\{\text{year}, \text{teacher}, \text{date_of_birth}\}$.
- If I know the year and date of birth, I can also determine the age. Now, $\{\text{year}, \text{teacher}\}^+$ has four columns $\{\text{year}, \text{teacher}, \text{date_of_birth}, \text{age}\}$.

Example1:

We are given the relation $R(A, B, C, D, E)$. This means that the table R has five columns: A , B , C , D , and E . We are also given the set of functional dependencies: $\{A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow E\}$.

What is $\{A\}^+$?

Sol:

| | |
|---------------------------------------|-------------------|
| $\{A\}^+ \supseteq \{A\}$ | $[A \supseteq A]$ |
| $\supseteq \{A, B\}$ | $[A \supseteq B]$ |
| $\supseteq \{A, B, C\}$ | $[B \supseteq C]$ |
| $\supseteq \{A, B, C, D\}$ | $[C \supseteq D]$ |
| $\{A\}^+ \supseteq \{A, B, C, D, E\}$ | $[D \supseteq E]$ |

Example 2

- Let's look at another example. We are given $R(A, B, C, D, E, F)$. The functional dependencies are $\{AB \rightarrow C, BC \rightarrow AD, D \rightarrow E, CF \rightarrow B\}$.

What is $\{A, B\}^+$?

Sol:

$\{A, B\}^+ \sqsupseteq \{A, B\}$

$\sqsupseteq \{A, B, C\}$

$\sqsupseteq \{A, B, C, D\}$

$\{A, B\}^+ \sqsupseteq \{A, B, C, D, E\}$

Question: The following functional dependencies are given:

$\{AB \rightarrow CD, AF \rightarrow D, DE \rightarrow F, C \rightarrow G, F \rightarrow E, G \rightarrow A\}$

Which one of the following options is false? (**GATE 2006**)

- A. $CF^+ = \{ACDEFG\}$
- B. $BG^+ = \{ABCDG\}$
- C. $AF^+ = \{ACDEFG\}$
- D. $AB^+ = \{ABCDFG\}$

Solution:

If we take the attribute closure of option A, we will get, $(CF)^+ = \{ACDEFG\}$

If we take the attribute closure of option B, we will get, $(BD)^+ = \{ABCDG\}$

This can be done with the steps discussed above in the article.

But option C and D have attribute closure: $(AF)^+ = \{AFDE\}$ and $(AB)^+ = \{ABCDG\}$.

Therefore, options C and D are false.

Example 2: Find candidate keys for $R(ABCDE)$ having following FD's

$A \rightarrow BC, CD \rightarrow E, B \rightarrow D, E \rightarrow A$

Solution:

$A^+ = \{ABCDE\}$ A is candidate key and prime attribute

$E \rightarrow A$ so replace A by E

$E^+ = \{ABCDE\}$ E is candidate key and prime attribute

$CD \rightarrow E$ replace E by CD

$CD^+ = \{ABCDE\}$ ($C^+ = C$ and $D^+ = D$) no proper subset of CD is superkey. so CD is candidate key

$B \rightarrow D$

$BC^+ = \{ABCDE\}$ ($B^+ = BD$) BC is candidate key

A, E, CD, BC are candidate keys

GATE Question: Consider a relation scheme $R = (A, B, C, D, E, H)$ on which the following functional dependencies hold: $\{A \rightarrow B, BC \rightarrow D, E \rightarrow C, D \rightarrow A\}$. What are the candidate keys of R ?

1. AE, BE
2. AE, BE, DE
3. AEH, BEH, BCH
4. AEH, BEH, DEH

Solution: A set of attributes S is a candidate key of relation R if the closure of S is all attributes of R and there is no subset of S whose closure is all attributes of R .

If we look closely, attributes E and H are not determined by any of the dependencies given in the FD set. Therefore they need to be present on the left-hand side. Only option D satisfies the given condition. Therefore, we can check for attribute closure.

$(AEH)^+ = \{ABCDEH\}$

$(BEH)^+ = \{ABCDEH\}$

$(DEH)^+ = \{ABCDEH\}$

Option D is correct.

Question 1 : Given a relation R(ABCDEF) having FDs {AB→C, C→D, D→E, F→B, E→F} Identify the prime attributes and non prime attributes

Solution :

$(AB)^+ : \{ABCDEF\} \Rightarrow$ Super Key

$(A)^+ : \{A\} \Rightarrow$ Not Super Key

$(B)^+ : \{B\} \Rightarrow$ Not Super Key

Prime Attributes : {A,B}

$(AB) \rightarrow$ Candidate Key

↓ (as $F \rightarrow B$)

$(AF)^+ : \{AFBCDE\}$

$(A)^+ : \{A\} \Rightarrow$ Not Super key

$(F)^+ : \{FB\} \Rightarrow$ Not Super Key

$(AF) \rightarrow$ Candidate Key

↓

$(AE)^+ : \{AEFBCD\}$

$(A)^+ : \{A\} \Rightarrow$ Not Super key

$(E)^+ : \{EFB\} \Rightarrow$ Not Super key

$(AE) \rightarrow$ Candidate Key

↓

$(AD)^+ : \{ADEFBBC\}$

$(A)^+ : \{A\} \Rightarrow$ Not Super key

$(D)^+ : \{DEFB\} \Rightarrow$ Not Super key

$(AD) \rightarrow$ Candidate Key

↓

$(AC)^+ : \{ACDEFB\}$

$(A)^+ : \{A\} \Rightarrow$ Not Super Key

$(C)^+ : \{DCEFB\} \Rightarrow$ Not Super Key

\Rightarrow Candidate Keys {AB, AF, AE, AD, AC}

\Rightarrow Prime Attributes {A,B,C,D,E,F}

\Rightarrow Non Prime Attributes { }

Question 2: Given a relation R(ABCDEF) having FDs {AB \rightarrow C, C \rightarrow DE, E \rightarrow F, C \rightarrow B} Identify the prime attributes and non prime attributes.

Solution :

$(AB)^+$: {A B C D E F}

$(A)^+$: {A}

$(B)^+$: {B}

$(AB) \Rightarrow (AC)$, $(AC)^+$: {ABCDEF}

$(C)^+$: {DECBF}

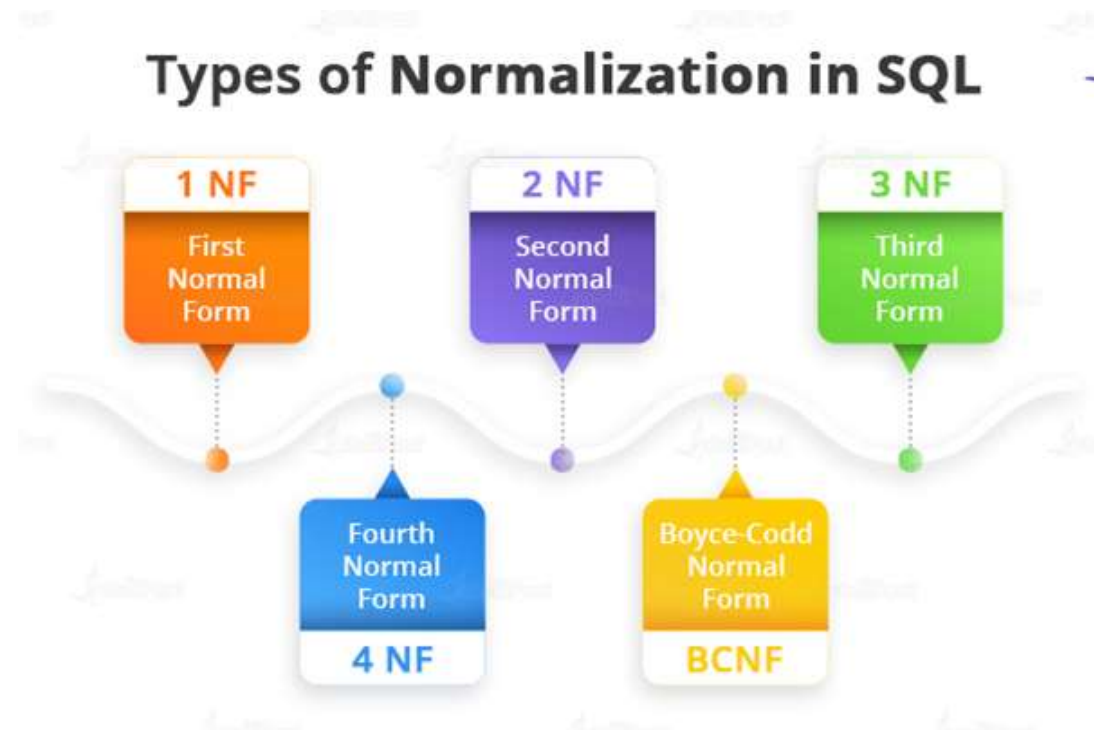
\Rightarrow Candidate Keys {AB, AC}

\Rightarrow Prime Attributes {A,B,C}

\Rightarrow Non Prime Attributes {D,E,F}

Normalization

- Normalization in DBMS is a technique using which you can organize the data in the database tables so that:
 - There is less repetition of data,
 - A large set of data is structured into a bunch of smaller tables,
 - and the tables have a proper relationship between them.
- Types of Normalization:



1st Normal Form (1NF):

- There are 4 basic rules that a table should follow to be in 1st Normal Form

Rule 1:

- Each column should contain atomic value
- Entries like X,Y and W,X violates the rule

Rule 2:

- A column should contain values that are of the same type
- Do not inter-mix different types of values in any column

Rule 3:

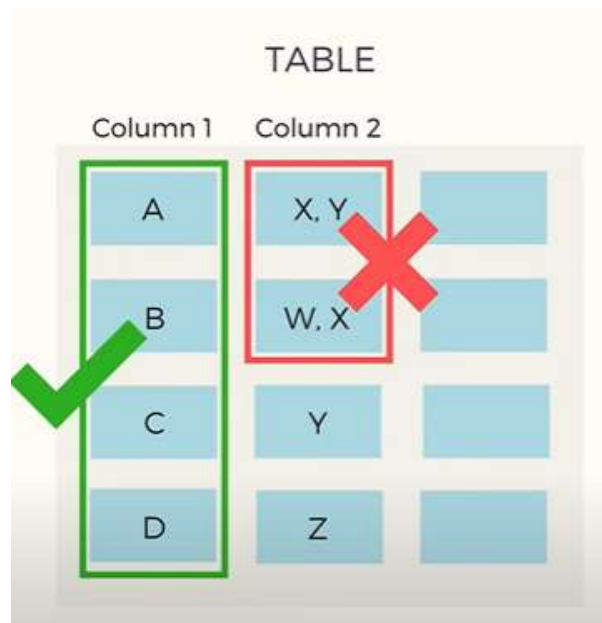
- Each column should have a Unique name
- Same names leads to confusion at the time of data retrieval.

Rule 4:

- Order of which data is saved doesn't matter
- Using SQL query, you can easily fetch the data in any order from a table

TABLE

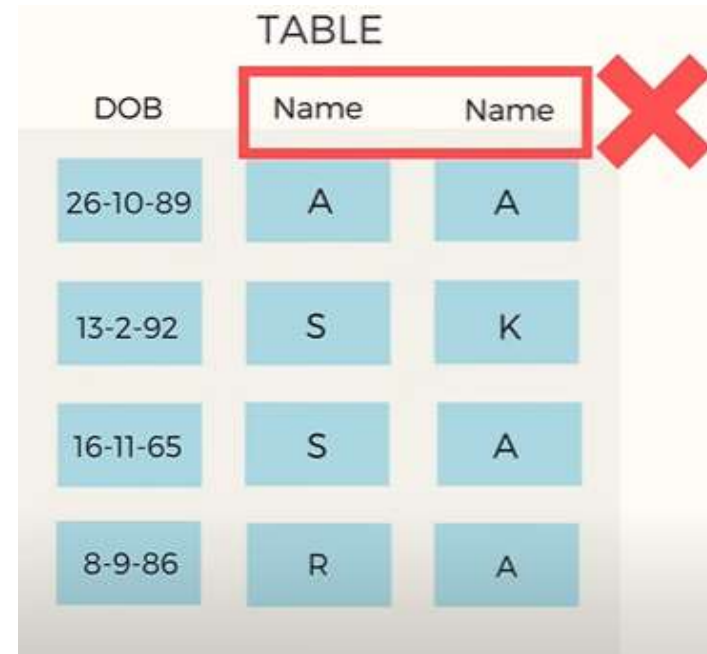
| Column 1 | Column 2 | |
|----------|----------|--|
| A | X, Y | |
| B | W, X | |
| C | Y | |
| D | Z | |



RULE 1

TABLE

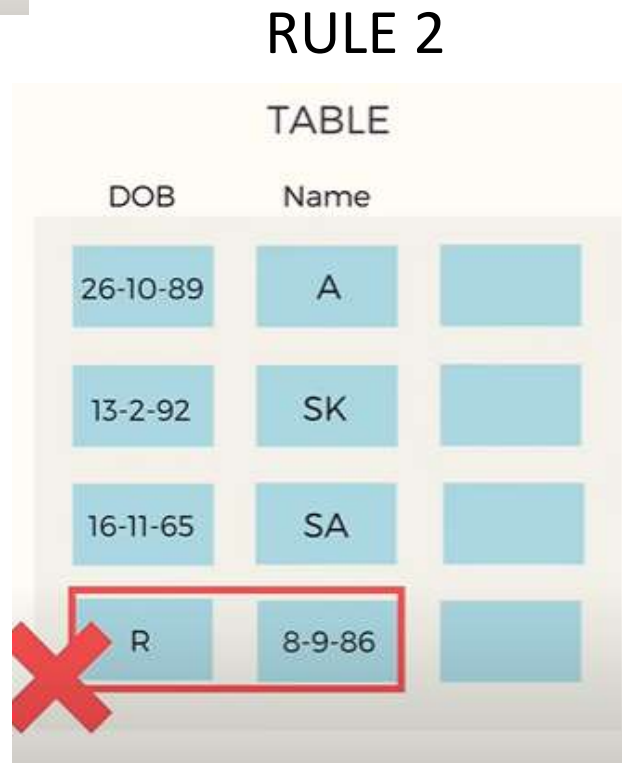
| DOB | Name | Name |
|----------|------|------|
| 26-10-89 | A | A |
| 13-2-92 | S | K |
| 16-11-65 | S | A |
| 8-9-86 | R | A |



RULE 3

TABLE

| DOB | Name | |
|----------|--------|--|
| 26-10-89 | A | |
| 13-2-92 | SK | |
| 16-11-65 | SA | |
| R | 8-9-86 | |



RULE 2

TABLE

| Roll_no | F_Name | L_Name |
|---------|--------|--------|
| 3 | A | A |
| 4 | S | K |
| 1 | S | A |
| 2 | R | A |

RULE 4

EXAMPLE:

| STUDENTS TABLE | | |
|----------------|------|---------|
| rollno | name | subject |
| 101 | Akon | OS |
| 101 | Akon | CN |
| 103 | Ckon | JAVA |
| 102 | Bkon | C |
| 102 | Bkon | C++ |
| | | |

EXAMPLE:

| emp_id | emp_name | emp_mob | emp_skills |
|--------|--------------|------------|--------------------------|
| 1 | John Tick | 9999957773 | Python, JavaScript |
| 2 | Darth Trader | 8888853337 | HTML, CSS, JavaScript |
| 3 | Rony Shark | 7777720008 | Java, Linux, C++ |

1st Normal Form

| emp_id | emp_name | emp_mob | emp_skill |
|--------|--------------|------------|------------|
| 1 | John Tick | 9999957773 | Python |
| 1 | John Tick | 9999957773 | JavaScript |
| 2 | Darth Trader | 8888853337 | HTML |
| 2 | Darth Trader | 8888853337 | CSS |
| 2 | Darth Trader | 8888853337 | JavaScript |
| 3 | Rony Shark | 7777720008 | Java |
| 3 | Rony Shark | 7777720008 | Linux |
| 3 | Rony Shark | 7777720008 | C++ |

2nd Normal Form

There are 2 basic rules that a table should follow to be in 2nd Normal Form

- It should be in 1st normal form
- the table should not have “Partial Dependency”

What is Partial Dependency?

Partial Dependency occurs when a non-prime attribute is functionally dependent on part of a candidate key.

Example

<StudentProject>

| StudentID | ProjectNo | StudentName | ProjectName |
|-----------|-----------|-------------|---------------------|
| S01 | 199 | Katie | Geo Location |
| S02 | 120 | Ollie | Cluster Exploration |

The prime key attributes are **StudentID** and **ProjectNo**, and

| |
|--|
| StudentID = Unique ID of the student StudentName = Name of the student ProjectNo = Unique ID of the project ProjectName = Name of the project |
|--|

As stated, the non-prime attributes i.e. **StudentName** and **ProjectName** should be functionally dependent on part of a **candidate key**, to be Partial Dependent.

The **StudentName** can be determined by **StudentID**, which makes the relation Partial Dependent.

The **ProjectName** can be determined by **ProjectNo**, which makes the relation Partial Dependent.

Therefore, the <StudentProject> relation violates the 2NF in Normalization and is considered a bad database design.

To remove Partial Dependency and violation on 2NF, decompose the tables –

<StudentInfo>

| StudentID | ProjectNo | StudentName |
|------------------|------------------|--------------------|
| S01 | 199 | Katie |
| S02 | 120 | Ollie |

<ProjectInfo>

| ProjectNo | ProjectName |
|------------------|---------------------|
| 199 | Geo Location |
| 120 | Cluster Exploration |

3rd Normal Form

A table is said to be in the Third Normal Form when,

1. It satisfies the First Normal Form and the Second Normal form.
2. And, it doesn't have Transitive Dependency.