



# Priority Queues

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## Where we are ?

**Graph**

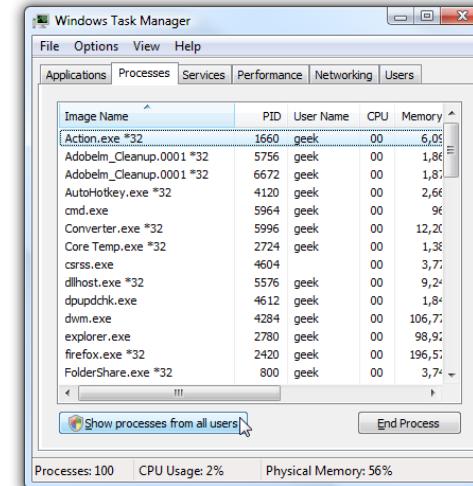
**Tree** ➔ **Binary Tree** ➔ **Binary Search Tree** ➔ **AVL Search Tree**

**Heap**

# Queue

## ■ FIFO queue

- ▶ Elements are served by order of arrival
- ▶ No priority among elements



## ■ What if there are priorities?

# Priority Queues

## ■ Priority queue

- ▶ A collection of elements such that each element has an associated priority.

## ■ (priority = key, content)

- ▶ Similar to dictionary
- ▶ Lower/higher keys(min-heap/max-heap) represent higher priorities

## ■ Operations

- ▶ Insert
- ▶ Find/Remove min or max key



Insert (5, Alex)



## Example – Priority Queues

### ■ Emergency room

- ▶ What if patients are served based on a FIFO queue?
- ▶ (key = waiting time)

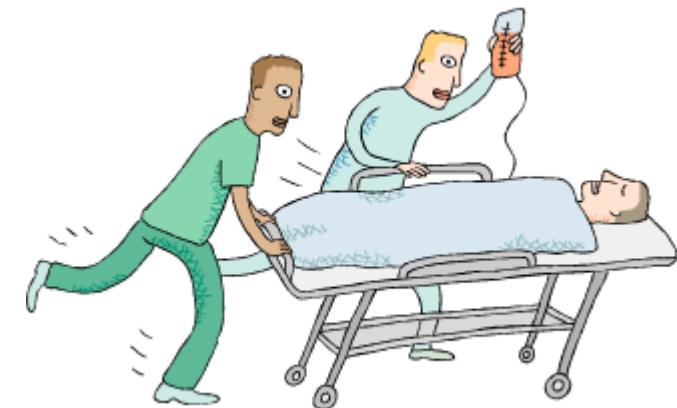


- ▶ Average waiting time
- ▶  $(90 + 115 + 155 + 170)/4 = 132.5$

## Example – Priority Queues

### ■ Emergency room

- ▶ Priority queue
- ▶ Patients with less waiting time are served first



- ▶ Average waiting time
- ▶  $(15 + 40 + 80 + 170)/4 = 76.25$
- ▶ This can be preferable

# Priority Queues

## ■ Implemented based on “heap”

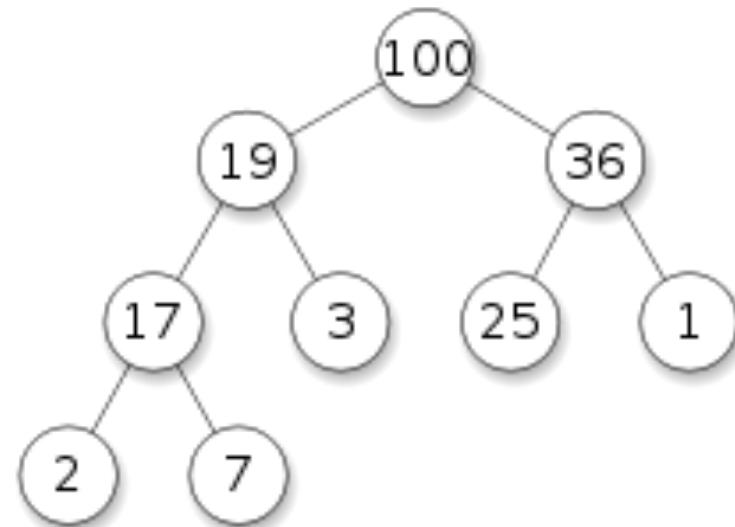
- ▶ Heap is a tree-based structure.
- ▶ A heap is a binary tree whose left and right subtrees have values less(max-heap)/greater (min-heap) than or equal to their parents.

## ■ Min heap

- ▶ Keys of parents  $\leq$  those of children

## ■ Max heap

- ▶ Keys of parents  $\geq$  those of children

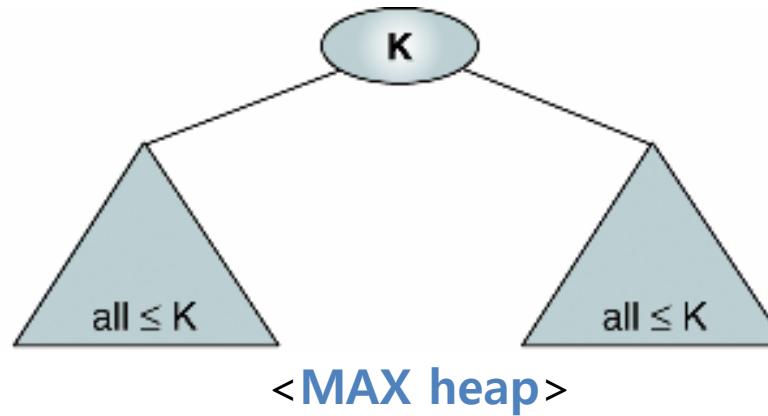


Max heap

# Heap

## ■ Heap: a binary tree structure with the properties ...

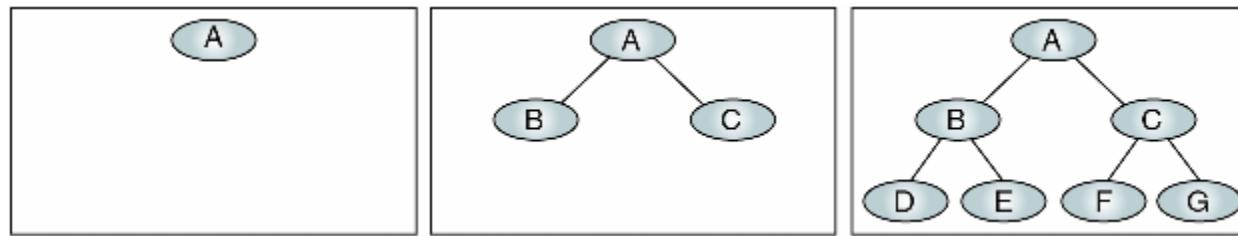
- ▶ [Rule 1] The tree is complete.
- ▶ [Rule 2] The key value of each node is **greater than or equal to** the key value in each of its descendants (**MAX heap**)  
Cf. **MIN heap**: key value of each node is less than or equal to the key value in each of its descendants.



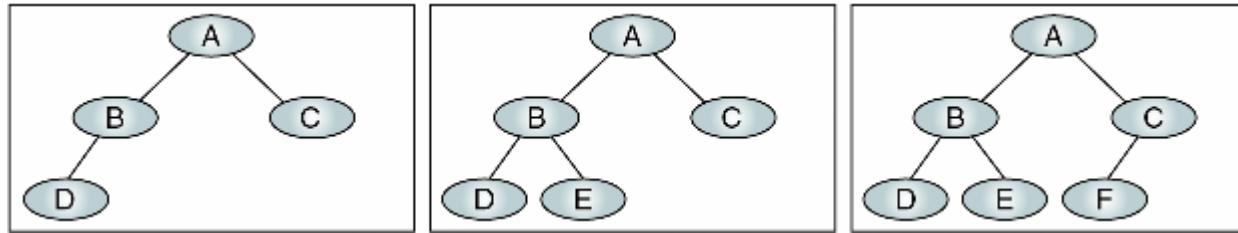
# Complete Binary Tree (review)

Binary Search Tree <-> Heap 과의 차이

- **Full binary tree:** a tree with the maximum # of entries for its height

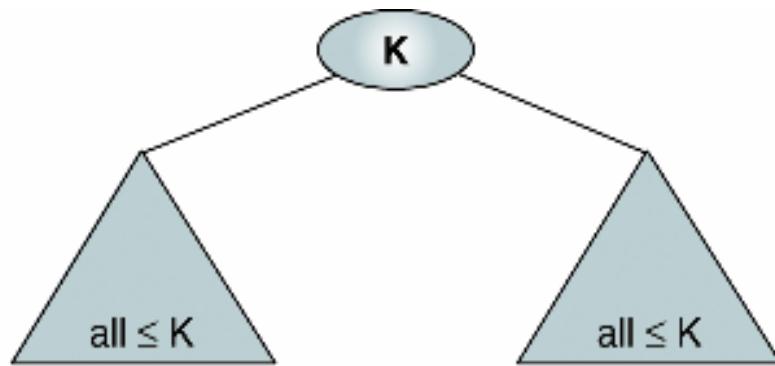


- **Complete binary tree:** a tree that has minimum height for its nodes and all nodes in the last level are found on the left

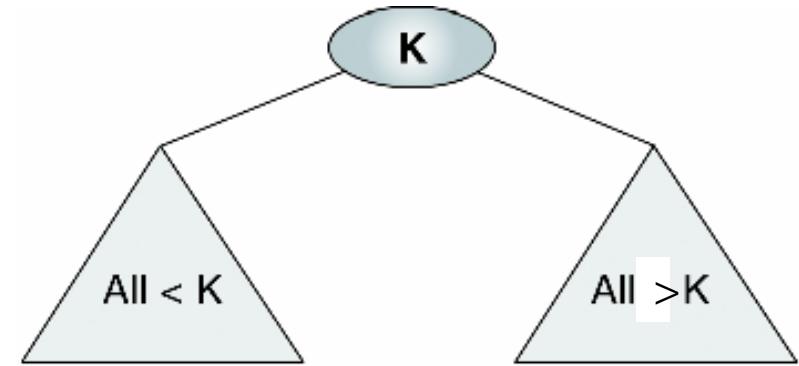


# Heap

## ■ Heap vs. binary search tree



Heap

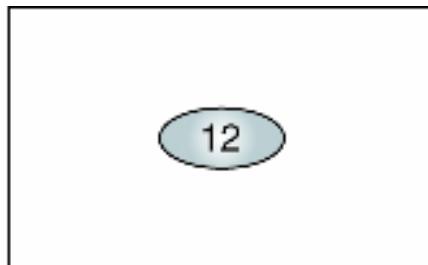


Binary search tree

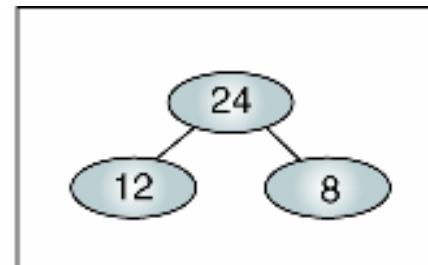
- ▶ The lesser-valued nodes of a heap can be placed on either the right or the left subtree.

# Examples of Heaps

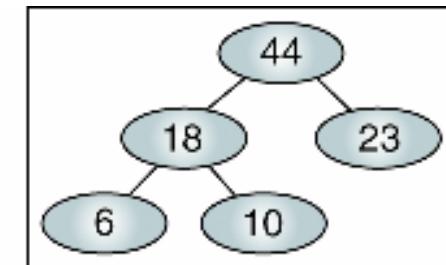
## ■ Heaps



(a) Root-only heap

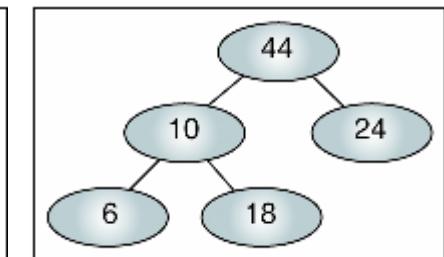
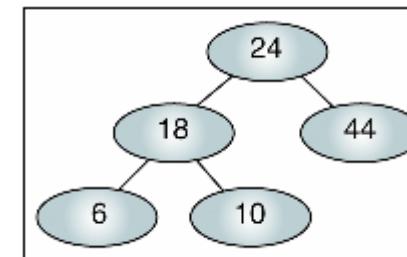
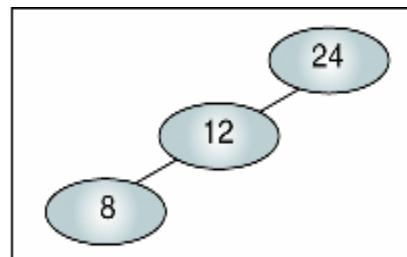
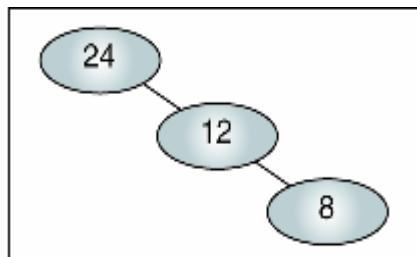


(b) Two-level heap



(c) Three-level heap

## ■ Invalid heaps (Why?)



# Why Heap?

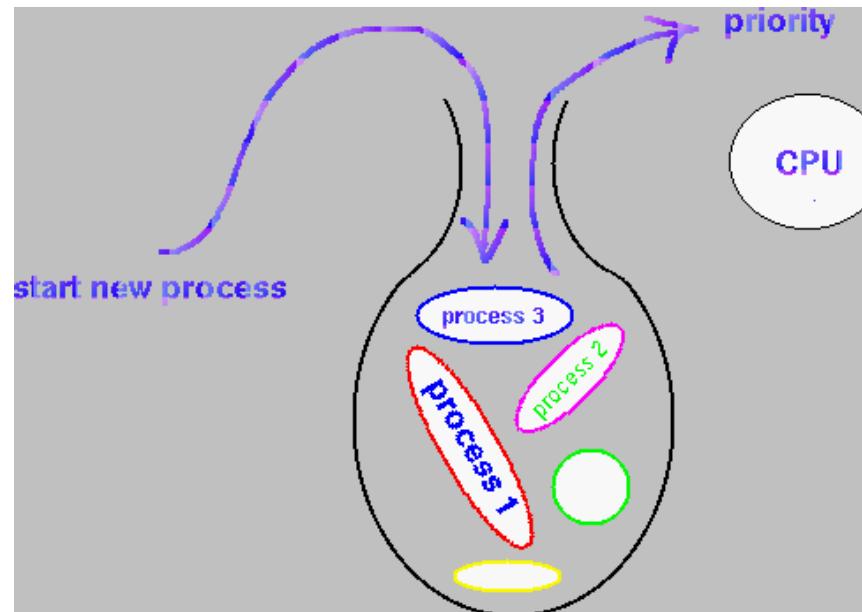
- **Heap is efficient for extraction of the largest (or smallest) element.**
- **Compromise of sorted and unsorted structures**
  - ▶ Sorted structures (array or linked-list)
    - Very efficient in extraction of largest (smallest) element
    - Inefficient in insertion
  - ▶ Unsorted structures (array or linked-list)
    - Inefficient in extraction of largest (smallest) element
    - Very efficient in insertion
- **Heap is efficient for both insertion and deletion**

# Heap and Priority Queue

## ■ Priority queue: a variation of queue

- ▶ Add an element to the queue with an associated priority
- ▶ Remove element with the highest priority and return it

## ■ Heap is an excellent structure to implement priority queue



# Priority Queue ADT

· Object : a collection of elements with a priority of type n elements

· Operation :

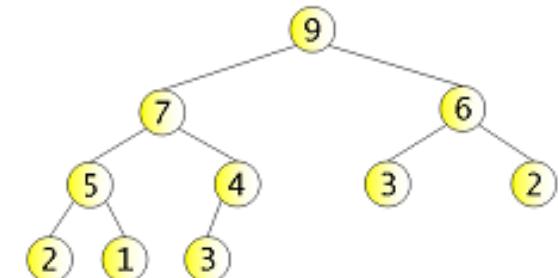
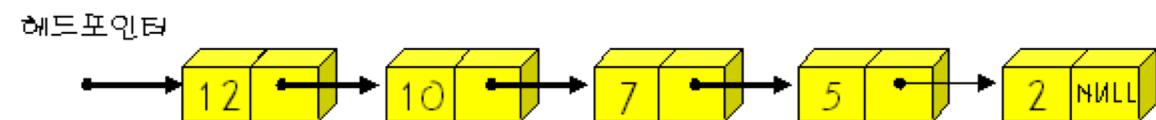
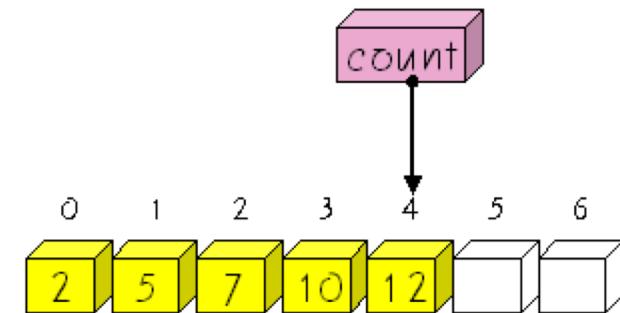
- create() ::= create a priority queue
- init(q) ::= initialize a priority queue q
- is\_empty(q) ::= check if a priority queue q is empty
- is\_full(q) ::= check if a priority queue q is full.
- insert(q, x) ::= insert an element x in a priority queue q
- delete(q) ::= delete the highest priority element and return it
- find(q) ::= Return the highest priority element

## Priority Queue ADT

- The most important operation: **insert, delete**
- Priority Queue
  - ▶ Min priority queue
  - ▶ Max priority queue

# Representation for priority queue

- Array
- Linked list
- Heap



# Heap

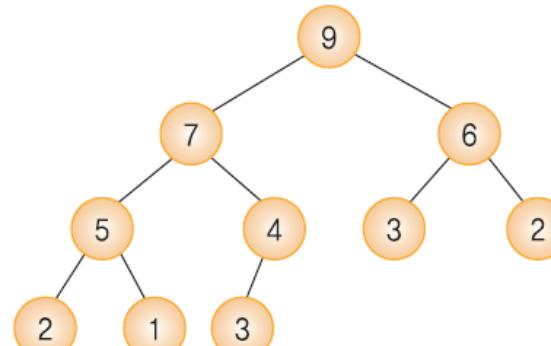
■ A heap is a **complete binary tree** in which the keys stored by the nodes satisfy the following properties:

## ■ Max heap

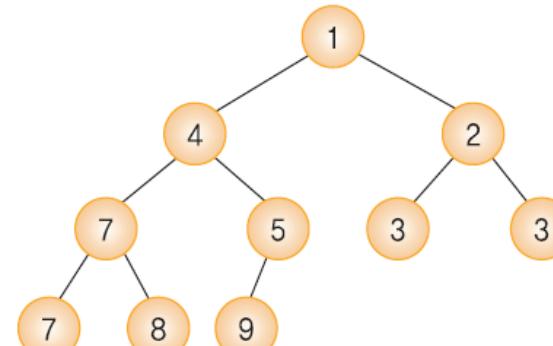
- ▶ A complete binary tree where the key value of the parent node is greater than or equal to the key value of the child node
- ▶  $\text{key}(\text{parent node}) \geq \text{key}(\text{child node})$

## ■ Min heap

- ▶ A complete binary tree where the key value of the parent node is less than or equal to the key value of the child node
- ▶  $\text{key}(\text{parent node}) \leq \text{key}(\text{child node})$



Max heap



Min heap

# Heap

## ■ The height of heap with n nodes: $O(\log n)$ 왜 $\log n$ 인가?

- ▶ Heap is a complete binary tree.
- ▶ Except the last level, h, each i level has  $2^{i-1}$  nodes.

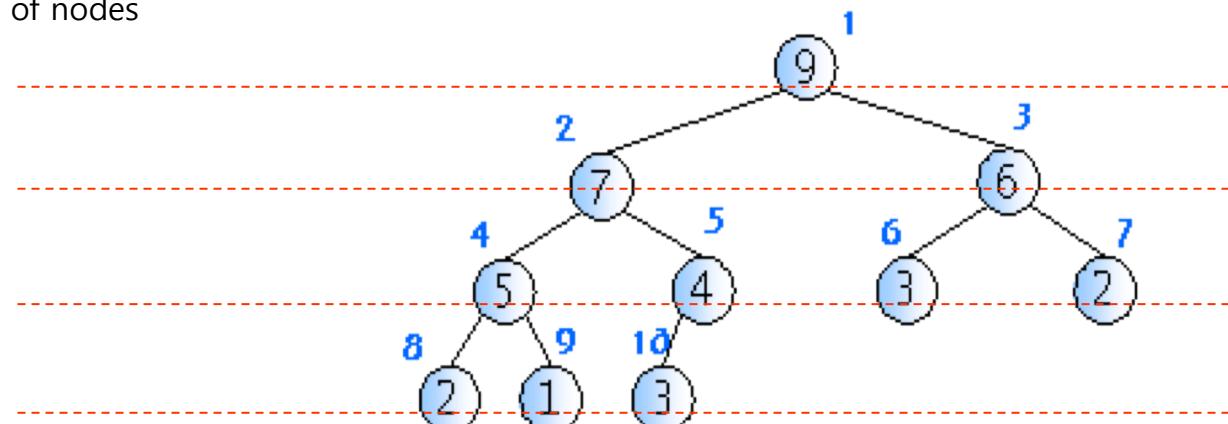
Level the number of nodes

$$1 = 2^0$$

$$2 = 2^1$$

$$4 = 2^2$$

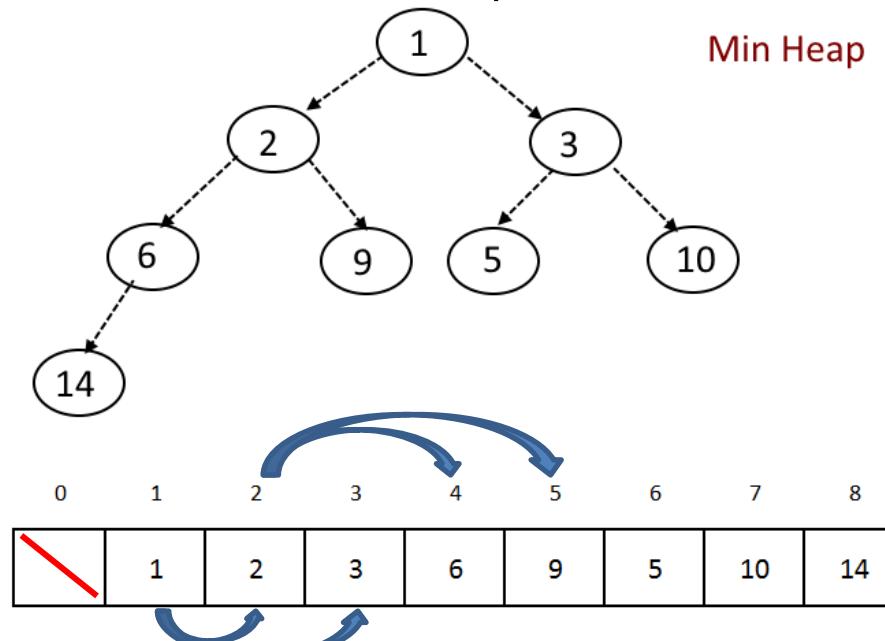
$$3$$



## Binary heap as array

### ■ How to access parents/children?

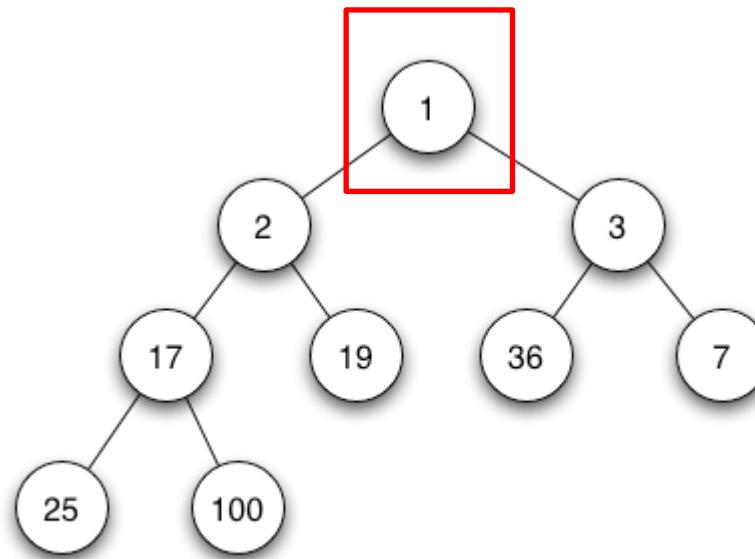
- ▶ First element of the array is empty
- ▶ The  $i^{\text{th}}$  node:  
Left child will be  $2i$   
Right child will be  $2i+1$   
Parent will be  $[i/2]$



# Binary Heap

## ■ Get the minimum element

- ▶ Simply output the root node



## Min-heap operation

### ■ Important operations

- ▶ Insertion
- ▶ Deletion

### ■ Problem: preserving conditions of min heap

1. Complete tree
2. Key value of each node should be no bigger than those of children

# Insertion into heap

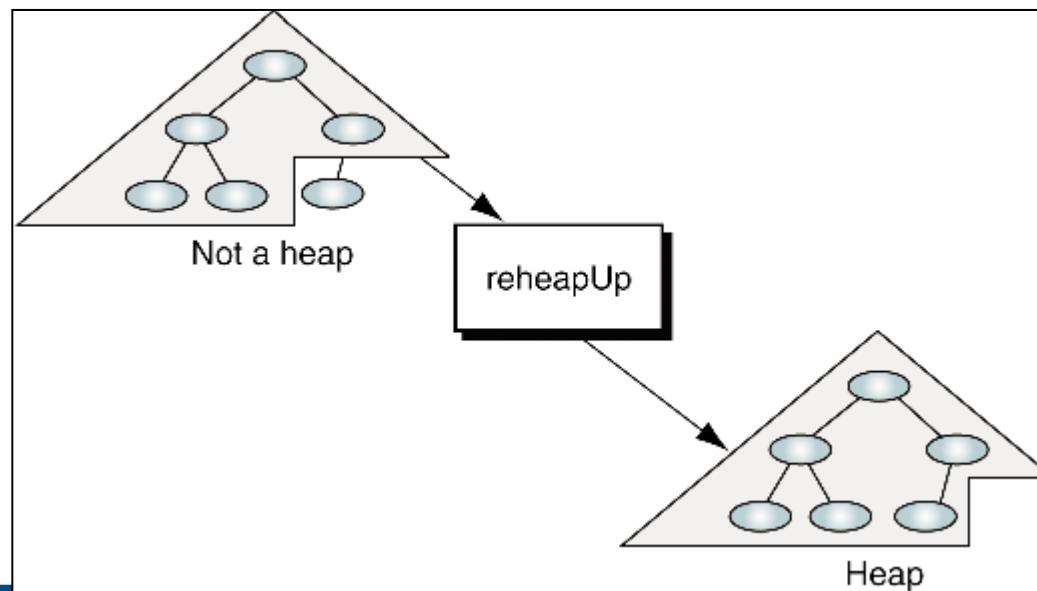
## ■ Inserting a node into heap

### 1. Insert the node to heap

- To preserve 1<sup>st</sup> condition, the new node should be added at the last leaf level at the first empty position
- 2<sup>nd</sup> condition could be broken

### 2. Repair the structure so that 2<sup>nd</sup> condition is satisfied

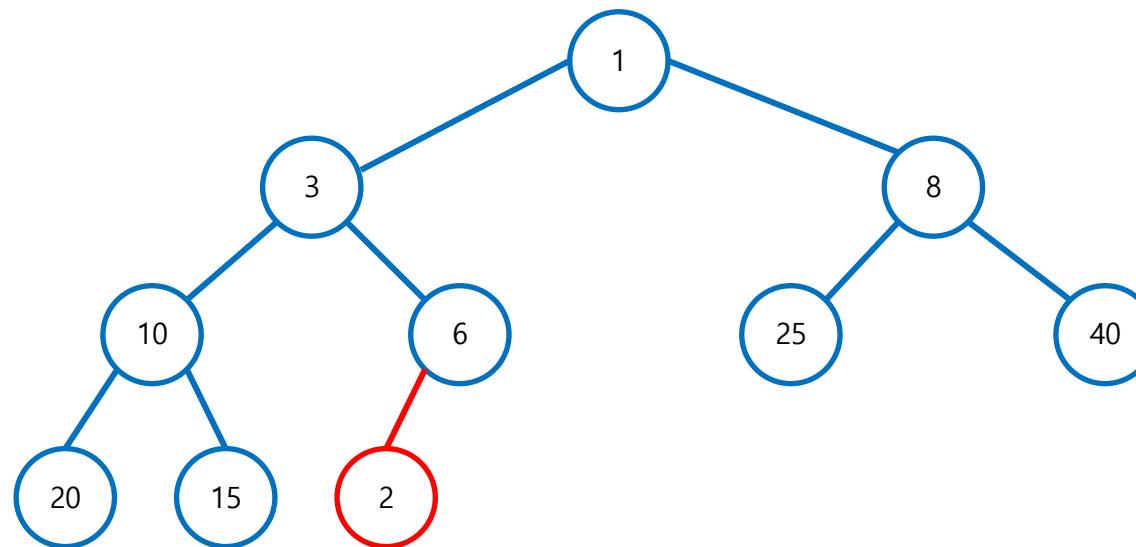
- **ReheapUp**



# Binary Heap : Operations

## ■ Insert a new element

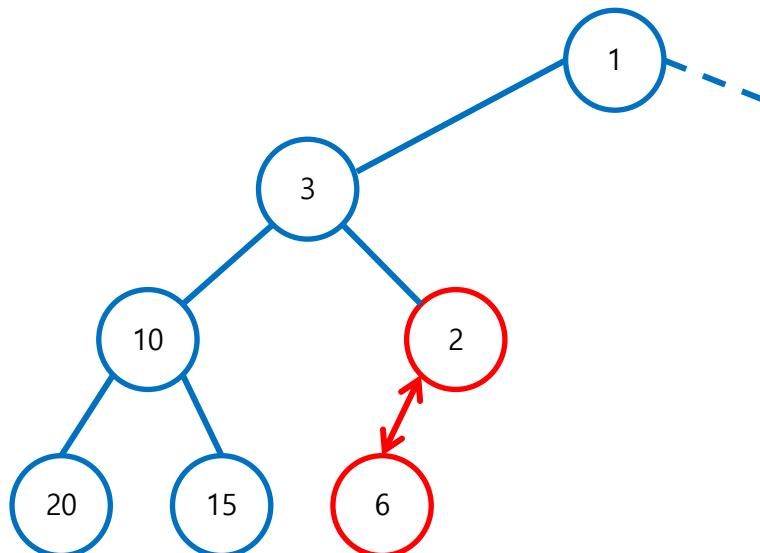
- ▶ 1. place it at the bottom level, as left as possible
- ▶ (why?)



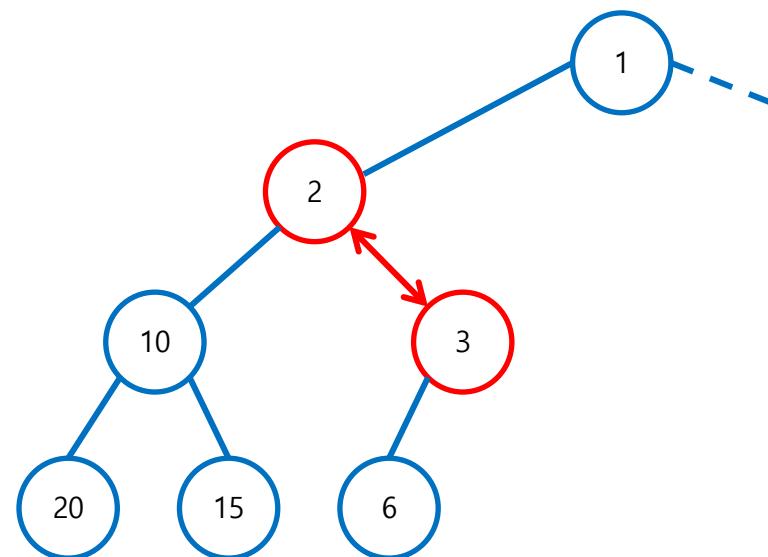
# Binary Heap : Operations

## ■ Insert a new element

- ▶ 2. exchange the nodes until the minimum heap property is satisfied



Exchange 1

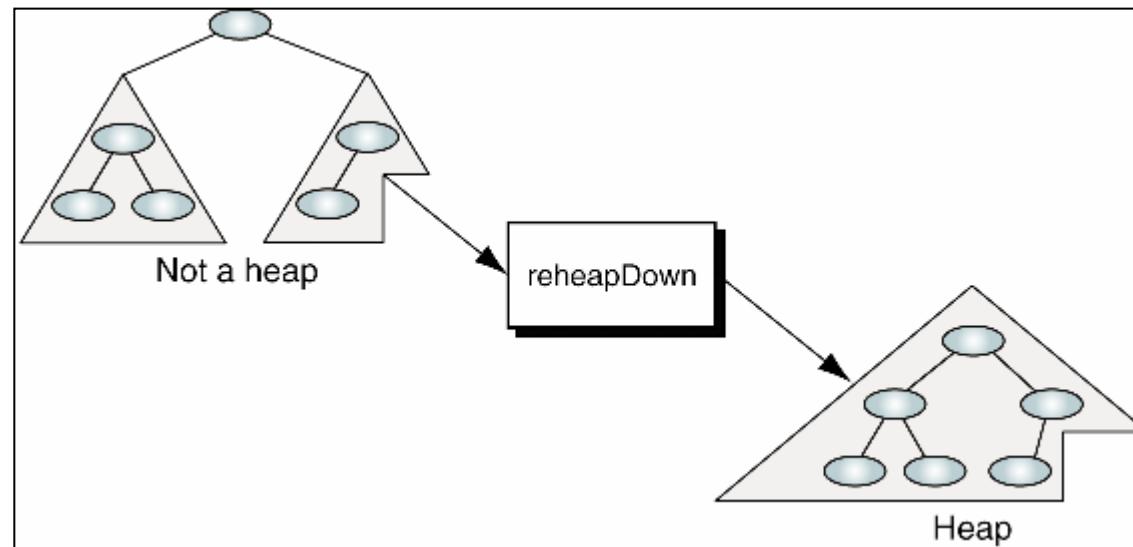


Exchange 2

# Deletion from heap

## ■ Deleting a node from heap

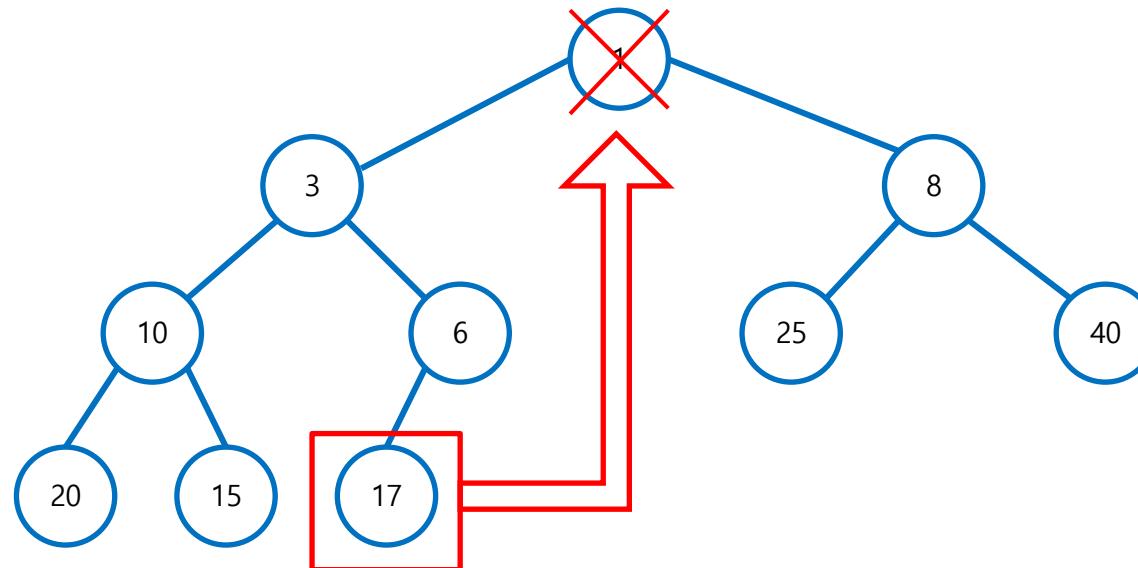
1. Deletion always occurs at the root
  - To preserve 1<sup>st</sup> condition, the last node should move to the root
  - 2<sup>nd</sup> condition could be broken
2. Repair the structure so that 2<sup>nd</sup> condition is satisfied
  - **ReheapDown**



## Binary Heap : Operations

### ■ Remove the minimum element

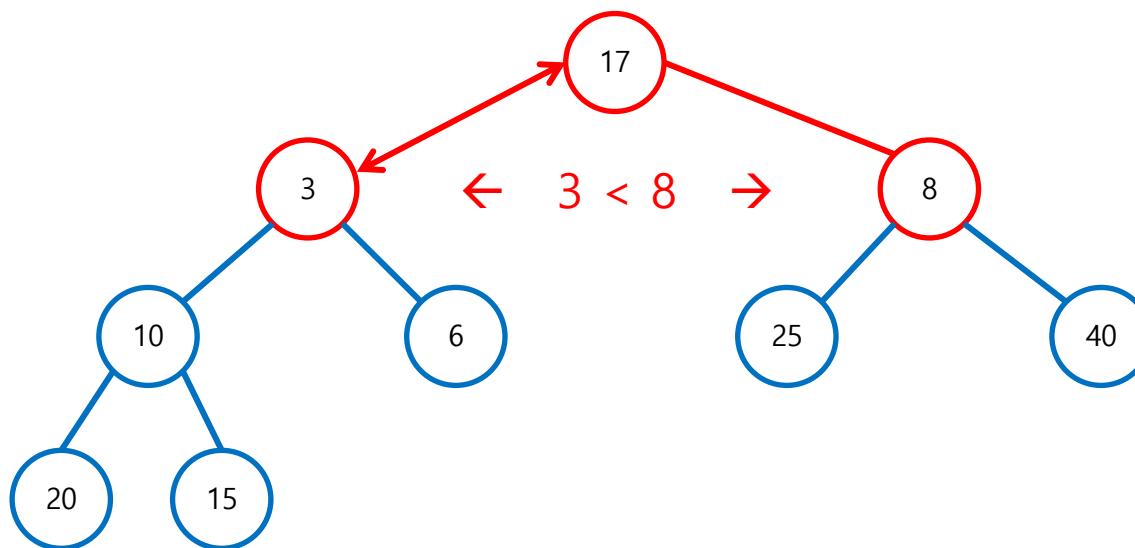
- ▶ 1. exchange the root with the right-most node in the bottom level (why?)



## Binary Heap : Operations

### ■ Remove the minimum element

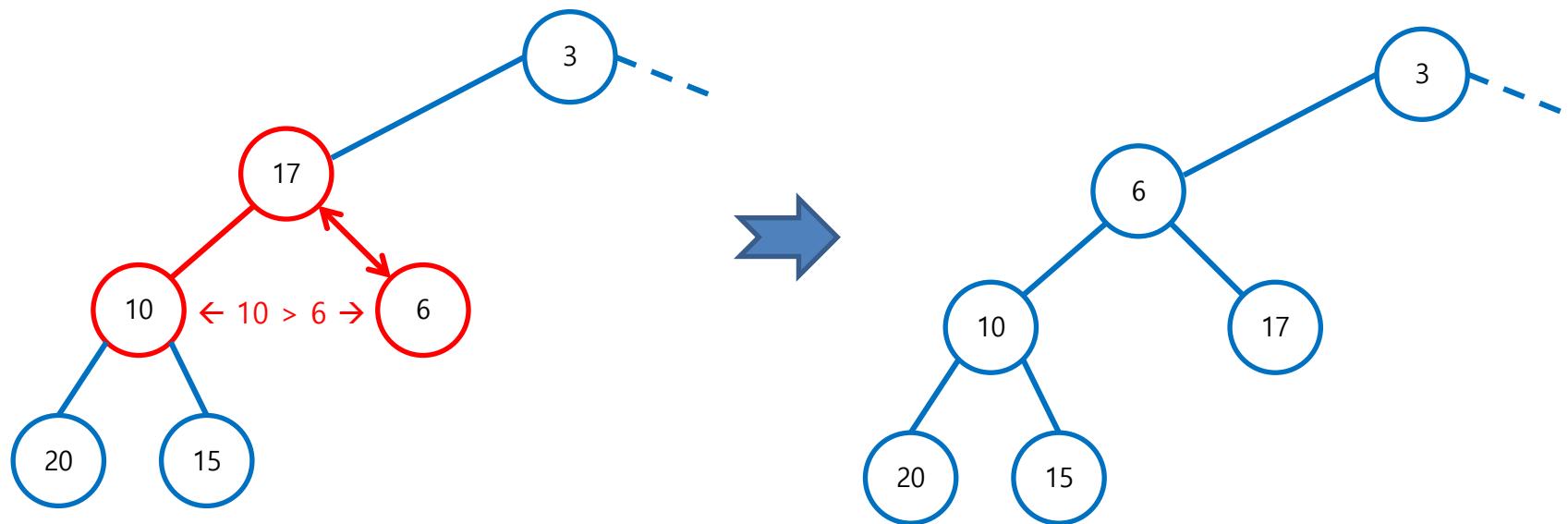
- ▶ 2. exchange the root with the minimum of its children
- ▶ (why not the entire subtrees but the children?)



## Binary Heap : Operations

### ■ Remove the minimum element

- ▶ 3. Repeat the process until the min-heap property is satisfied



## Heap implementation

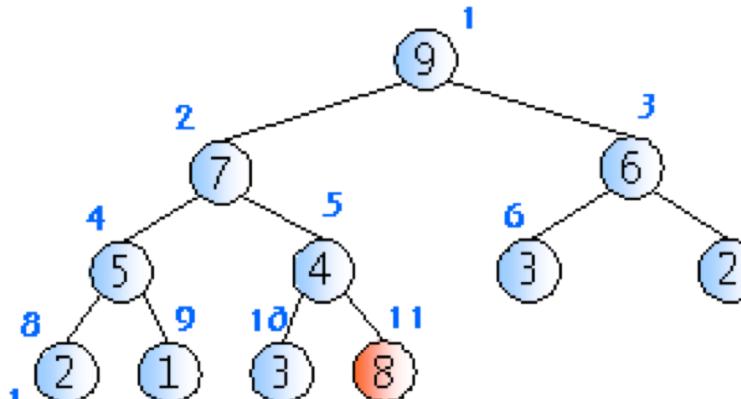
- Heap can be represented as the one dimensional array.
  - ▶ Elements of heap: one dimensional array of structure
  - ▶ heap\_size: the number of elements

```
#define MAX_ELEMENT 200
typedef struct {
    int key;
} element;
typedef struct {
    element heap[MAX_ELEMENT];
    int heap_size;
} HeapType;
```

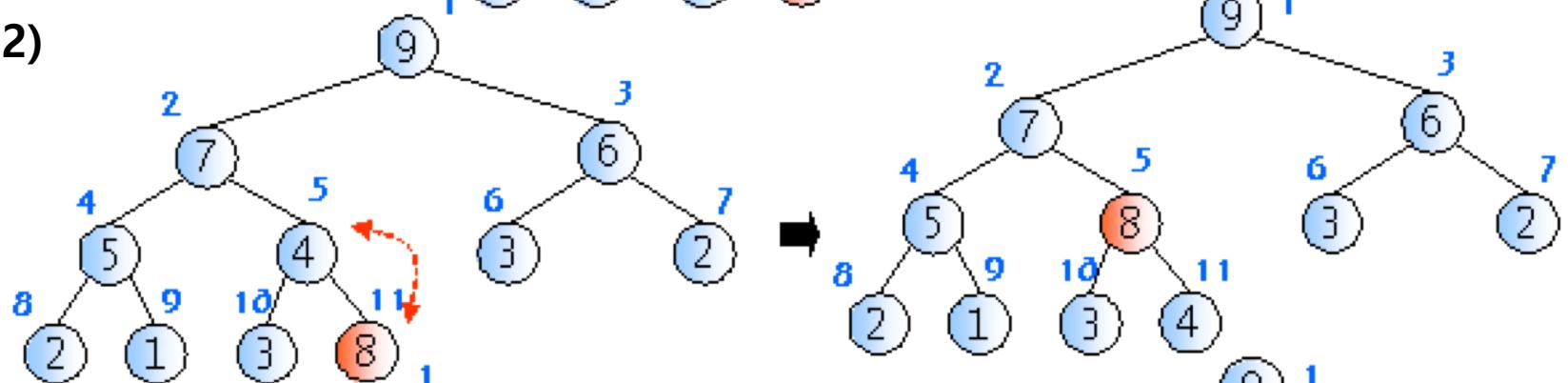
## Example of insertion

- *insert 8*

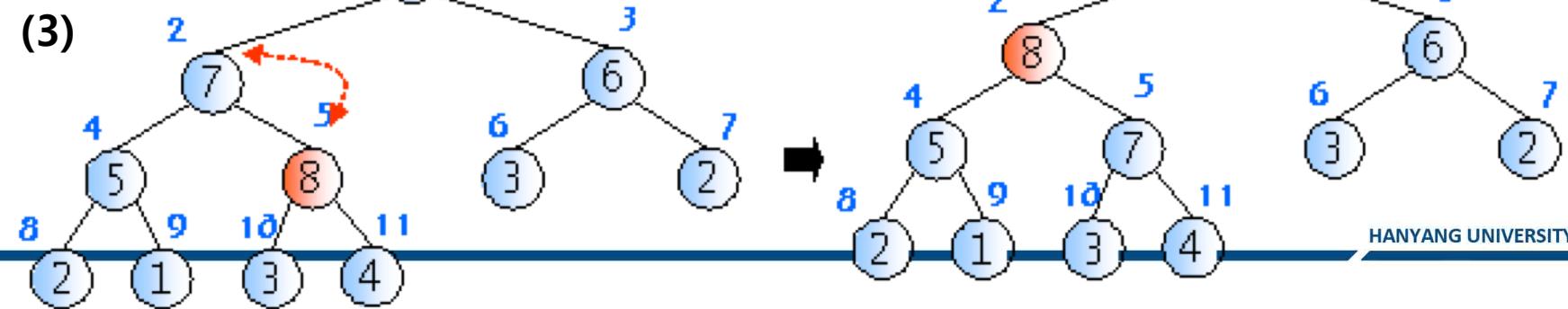
(1)



(2)

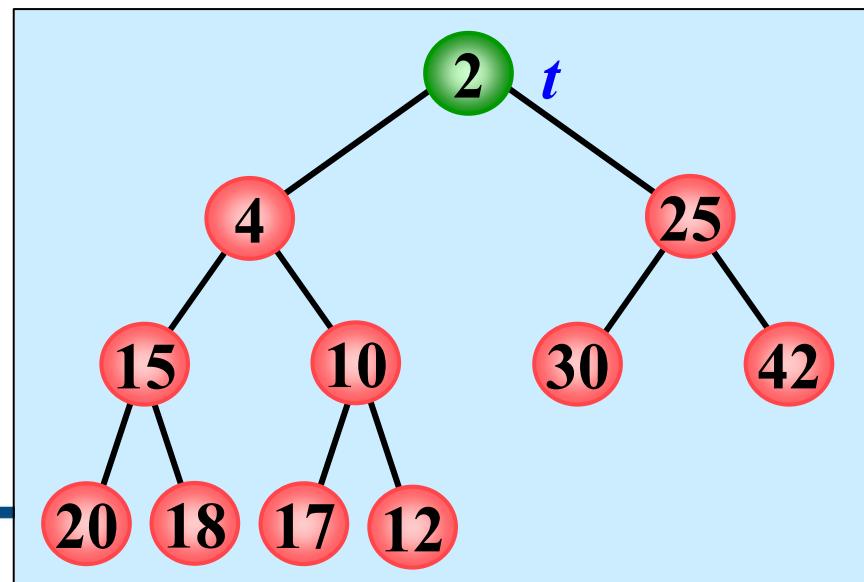
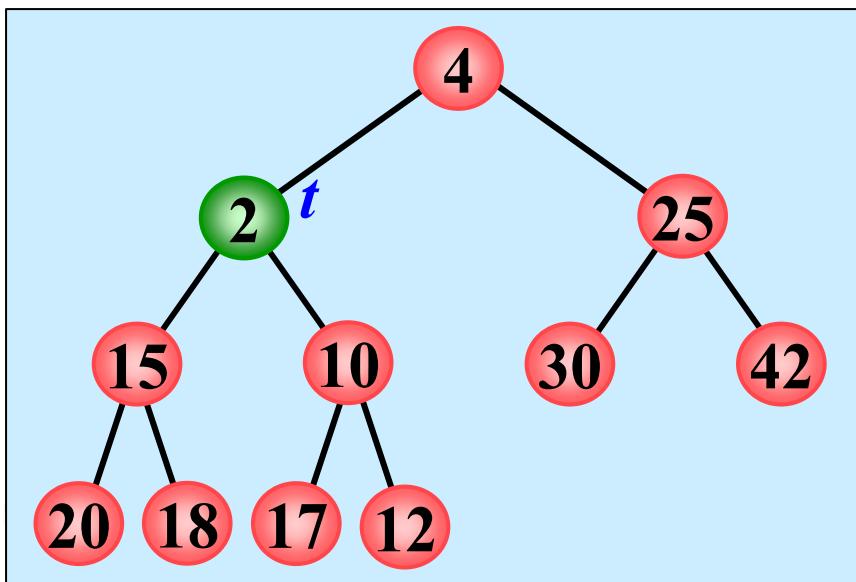
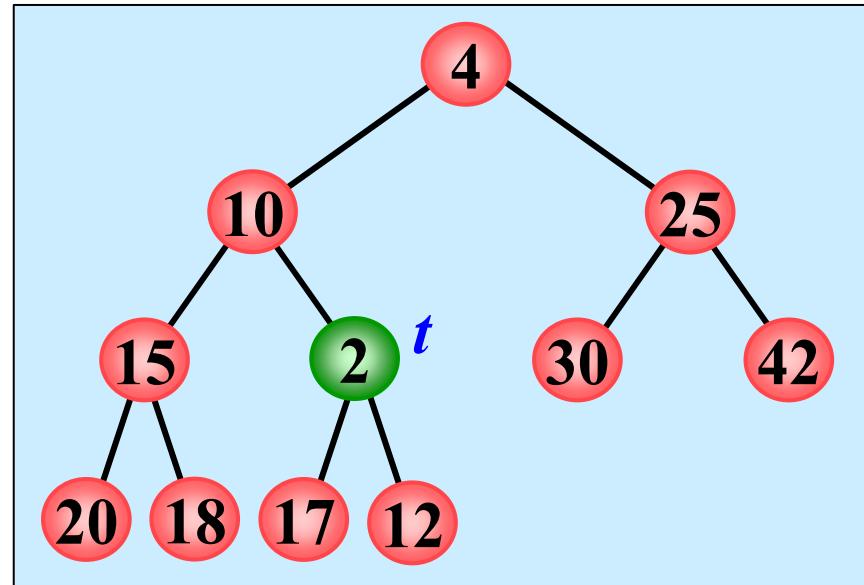
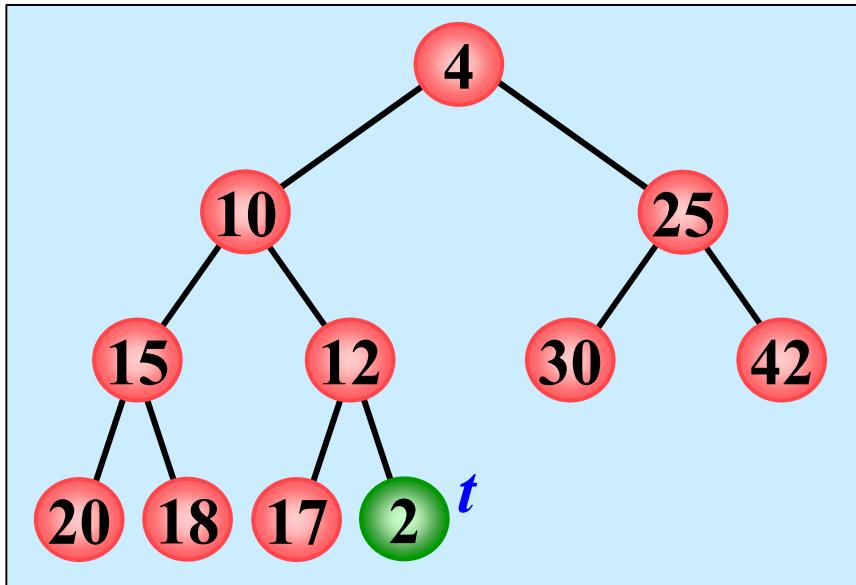


(3)



## Example of insertion

- Insert 2



# MAX-heap insertion

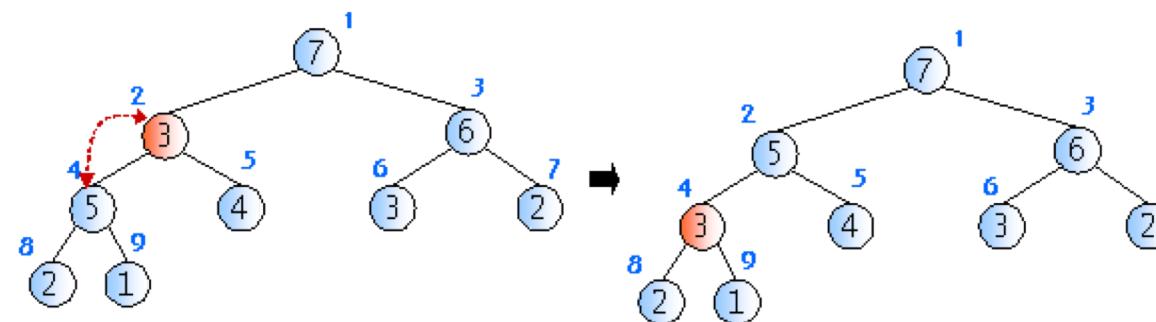
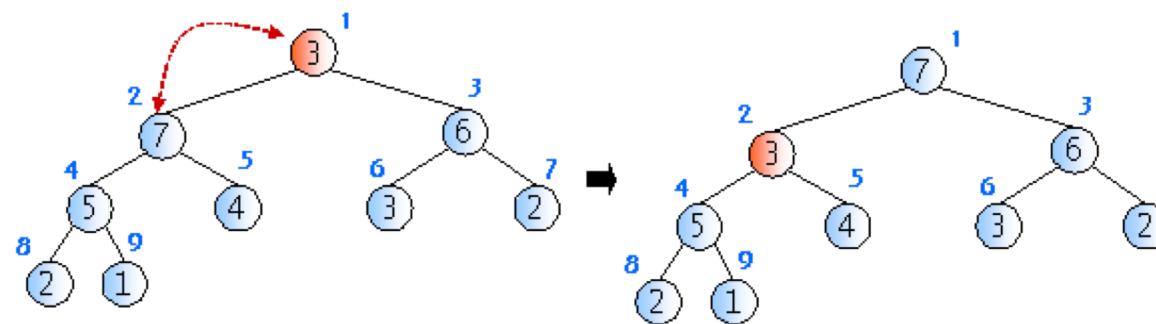
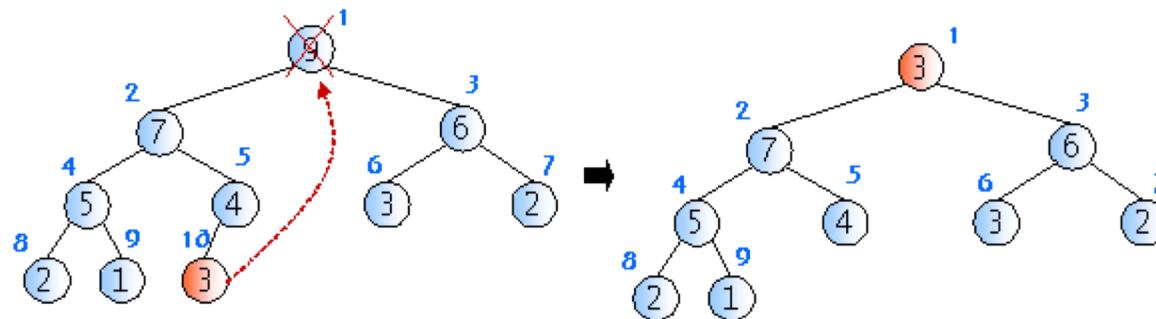
**insert\_max\_heap(A, key)**

```
heap_size ← heap_size + 1;      //힙의 크기 증가
i ← heap_size;                  //증가된 힙 크기 위치에 새로운 노드삽입
A[i] ← key;
while i ≠ 1 and A[i] > A[PARENT(i)] do //i가 루트 노드가 아니고, i번째 노드
    // 가 i의 부모 노드보다 크면
    A[i] ↔ A[PARENT]; //i번째 노드와 부모노드 교환
    i ← PARENT(i);   // 한 레벨 위로 올라함
```

## Max-heap insertion

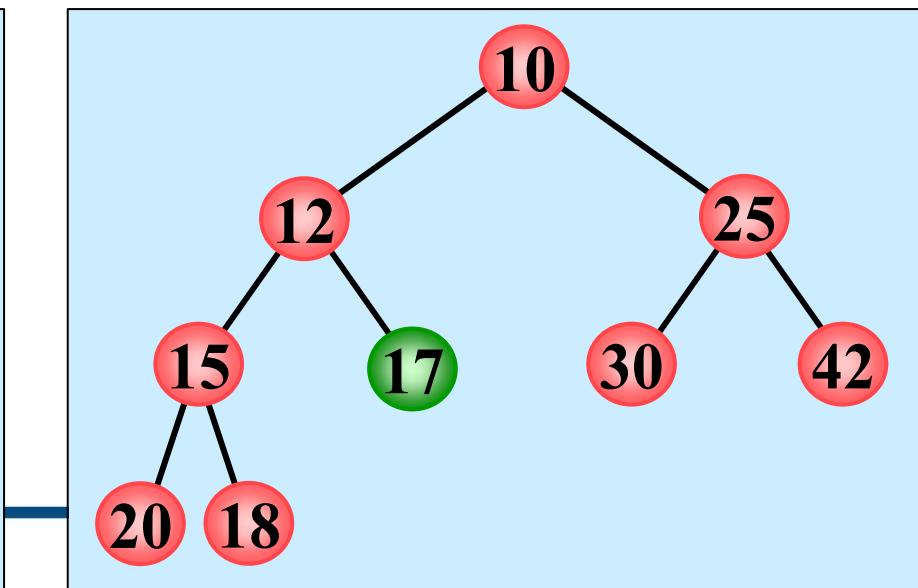
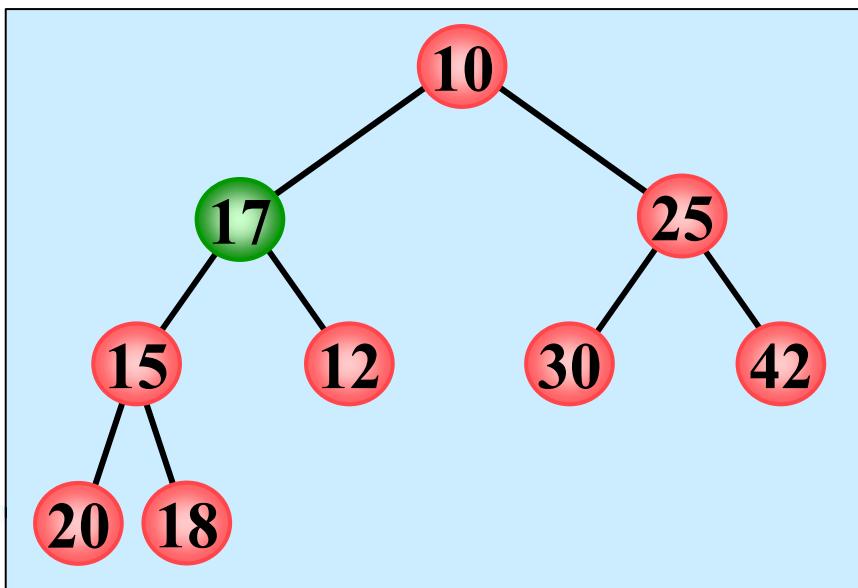
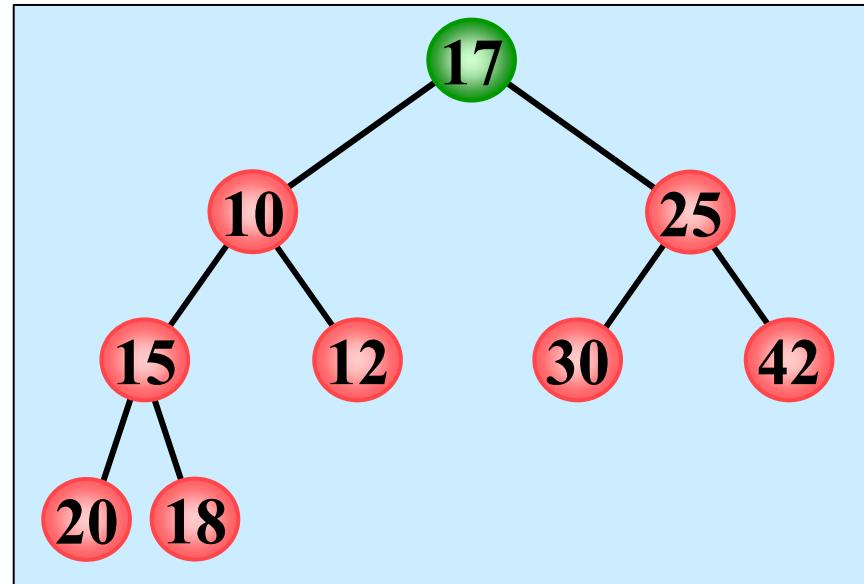
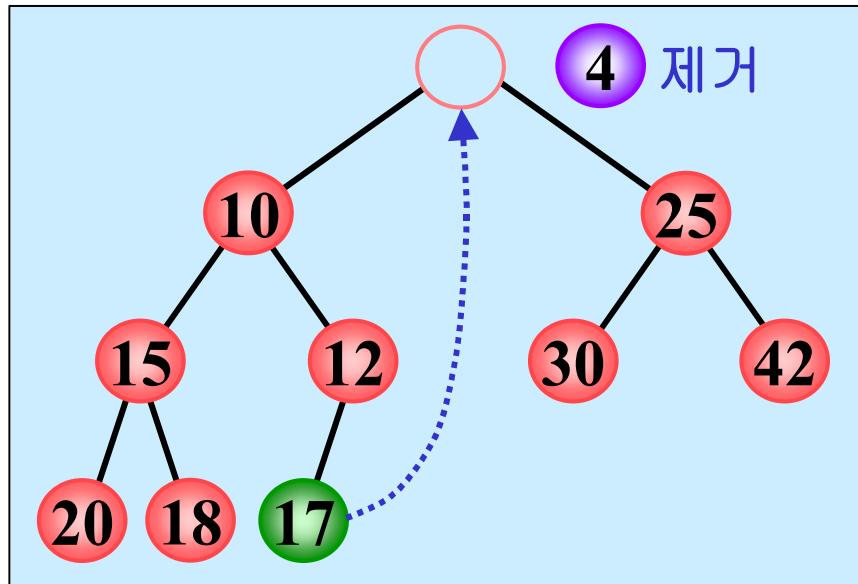
```
// 현재 요소의 개수가 heap_size인 힙 h에 item을 삽입한다.  
// 삽입 함수  
void insert_max_heap(HeapType *h, element item)  
{  
    int i;  
    i = ++(h->heap_size);  
  
    // 트리를 거슬러 올라가면서 부모 노드와 비교하는 과정  
    while((i != 1) && (item.key > h->heap[i/2].key)) {  
        h->heap[i] = h->heap[i/2];  
        i /= 2;  
    }  
    h->heap[i] = item;    // 새로운 노드를 삽입  
}
```

## Heap delete



■ Time complexity  
of heap delete  
operation :  
→  $O(\log n)$

## Heap delete



## MAX-Heap delete

```
delete_max_heap(A)

item ← A[1];
A[1] ← A[heap_size];
heap_size←heap_size-1;
i ← 2;
while i ≤ heap_size do
    if i < heap_size and A[i+1] > A[i] // (왼쪽자식과 오른쪽자식
비교)
        then largest ← i+1; //오른쪽 자식이 더 크면
        else largest ← i; // 왼쪽 자식이 더 크면
    if A[PARENT(largest)] > A[largest]//largest의 부모 노드가
                                //largest보다 더 크면
        then break;
    A[PARENT(largest)] ↔ A[largest]; //서로 교환
    i ← CHILD(largest); //한 레벨 밑으로 내려감
return item; // 최대값 반환
```

## MAX-heap delete

```
// 삭제 함수
element delete_max_heap(HeapType *h)
{
    int parent, child;
    element item, temp;

    item = h->heap[1];
    temp = h->heap[(h->heap_size)--];
    parent = 1;
    child = 2;
    while( child <= h->heap_size ) {
        // 현재 노드의 자식노드중 더 작은 자식노드를 찾는다.
        if( ( child < h->heap_size ) &&
            (h->heap[child].key) < h->heap[child+1].key)
            child++;
        if( temp.key >= h->heap[child].key ) break;
        // 한단계 아래로 이동
        h->heap[parent] = h->heap[child];
        parent = child;
        child *= 2;
    }
    h->heap[parent] = temp;
    return item;
}
```

## Heap complexity

- In the worst case of insert operations, we need to go up to the root node, so we need comparison and shift operations corresponding to the height of the tree.  $\rightarrow O(\log n)$
- In the worst case, the deletion also has to go down to the lowest level, so it takes time as much as the height of the tree.  $\rightarrow O(\log n)$

# Complexity Comparison

- Fast max or min operation
- Logarithmic complexity for other operations

	Array (unsorted)	Linked list	Array (sorted)	Binary heap
Search	$O(n)$	$O(n)$	$O(\log n)$	$O(\log n)$
Insert	$O(1)$	$O(1)$	$O(n)$	$O(\log n)$
Remove	$O(n)$	$O(n)$	$O(n)$	$O(\log n)$
Max/min	$O(n)$	$O(h)$	$O(1)$	$O(1)$