

Evolving Neural Networks

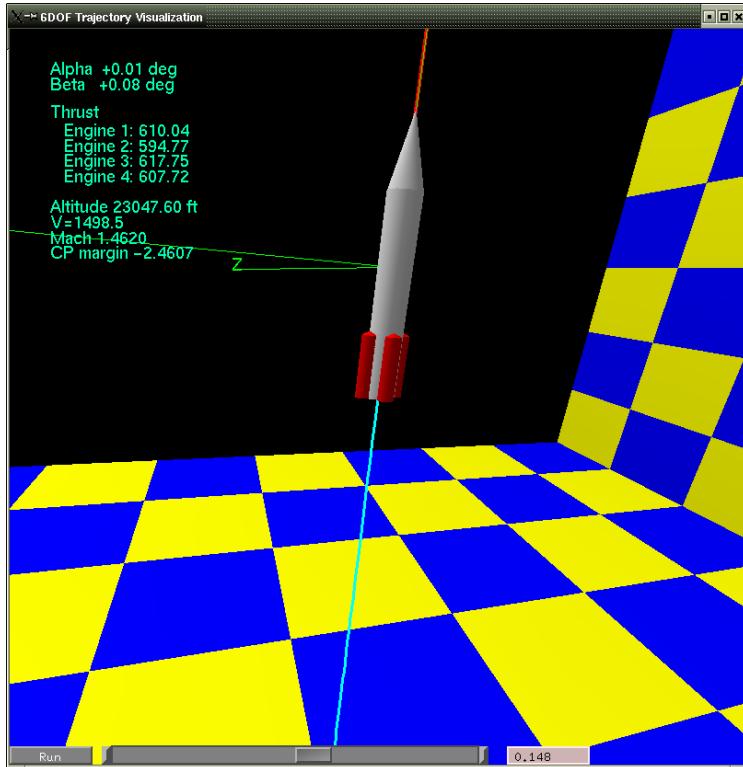
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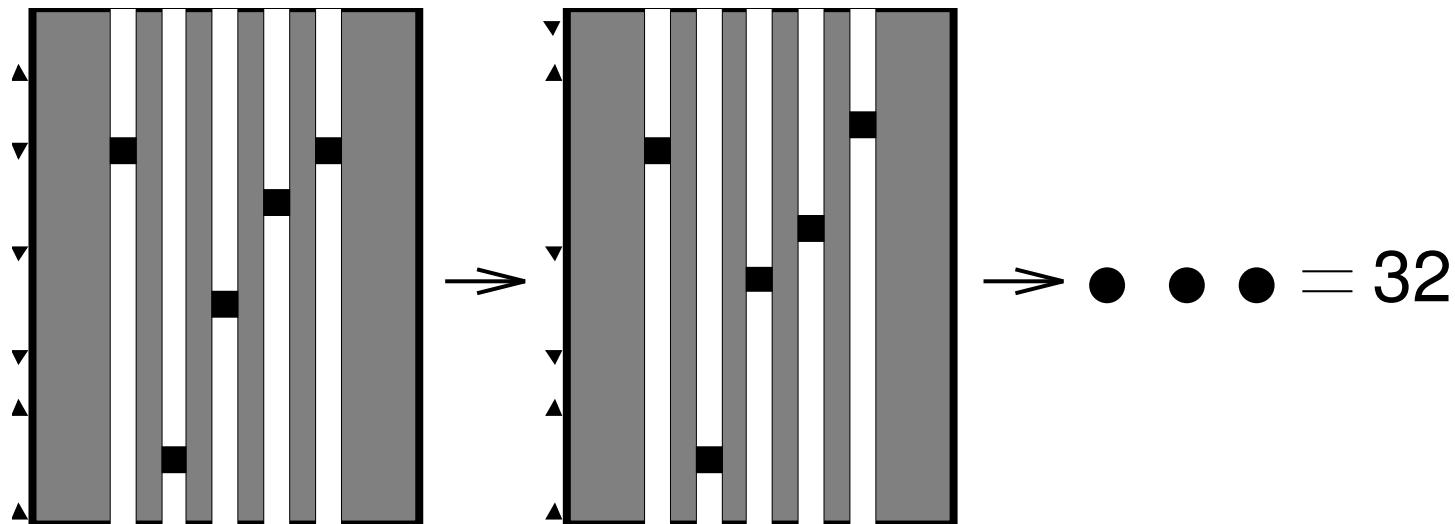
<http://www.cs.utexas.edu/~risto>

Why Neuroevolution?



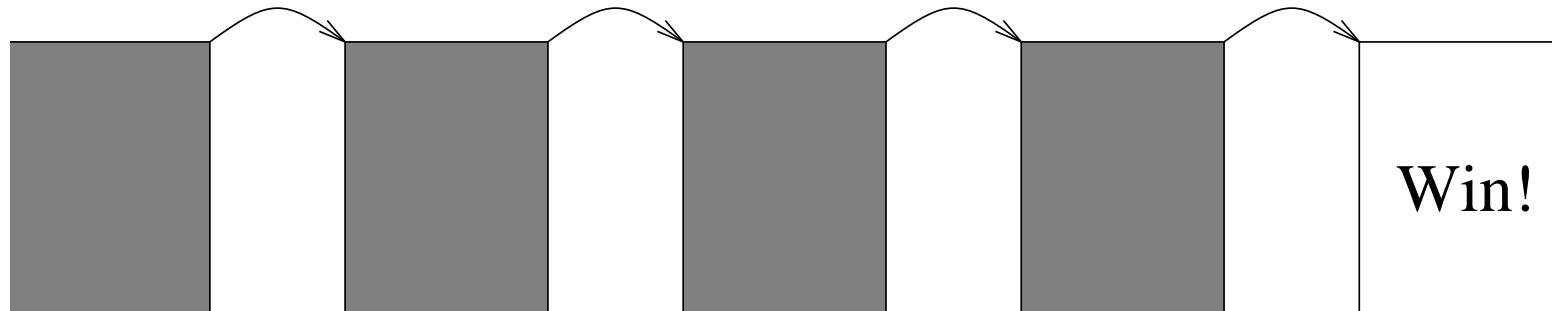
- Neural nets powerful in many statistical domains
 - E.g. control, pattern recognition, prediction, decision making
 - Where no good theory of the domain exists
- Good supervised training algorithms exist
 - Learn a nonlinear function that matches the examples
- What if correct outputs are not known?

Sequential Decision Tasks



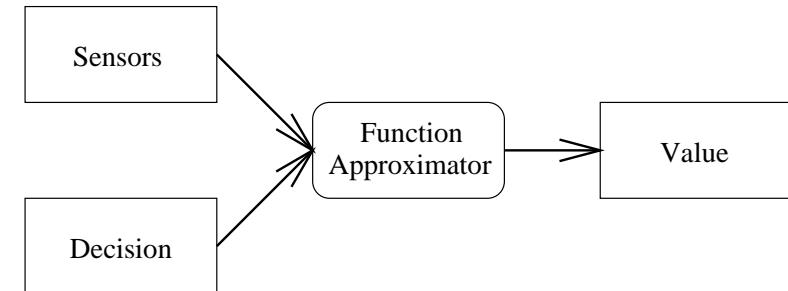
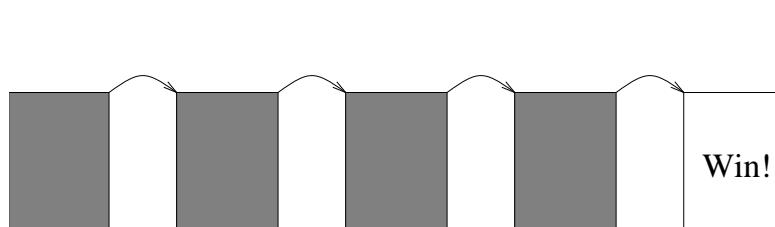
- POMDP: Sequence of decisions creates a sequence of states
- No targets: Performance evaluated after several decisions
- Many important real-world domains:
 - Robot/vehicle/traffic control
 - Computer/manufacturing/process optimization
 - Game playing

Forming Decision Strategies



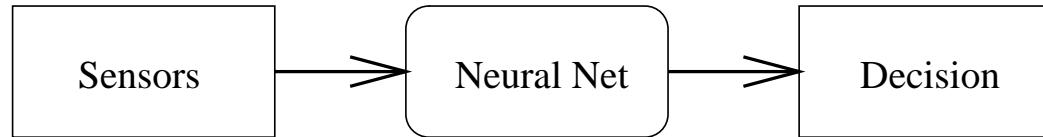
- Traditionally designed by hand
 - Too complex: Hard to anticipate all scenarios
 - Too inflexible: Cannot adapt on-line
- Need to discover through exploration
 - Based on sparse reinforcement
 - Associate actions with outcomes

Standard Reinforcement Learning



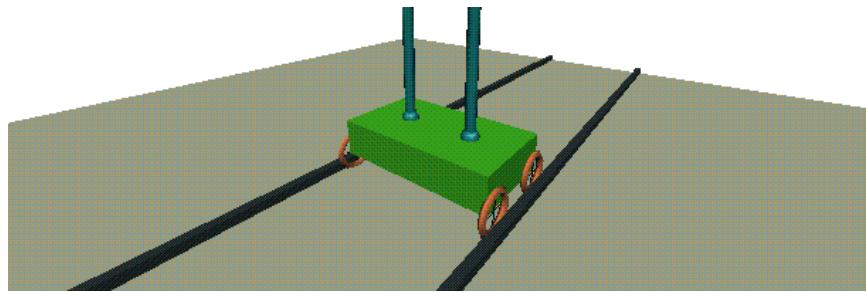
- AHC, Q-learning, Temporal Differences
 - Generate targets through prediction errors
 - Learn when successive predictions differ
- Predictions represented as a value function
 - Values of alternatives at each state
- Difficult with large/continuous state and action spaces
- Difficult with hidden states

Neuroevolution (NE) Reinforcement Learning



- NE = constructing neural networks with evolutionary algorithms
- Direct nonlinear mapping from sensors to actions
- Large/continuous states and actions easy
 - Generalization in neural networks
- Hidden states disambiguated through memory
 - Recurrency in neural networks⁸⁸

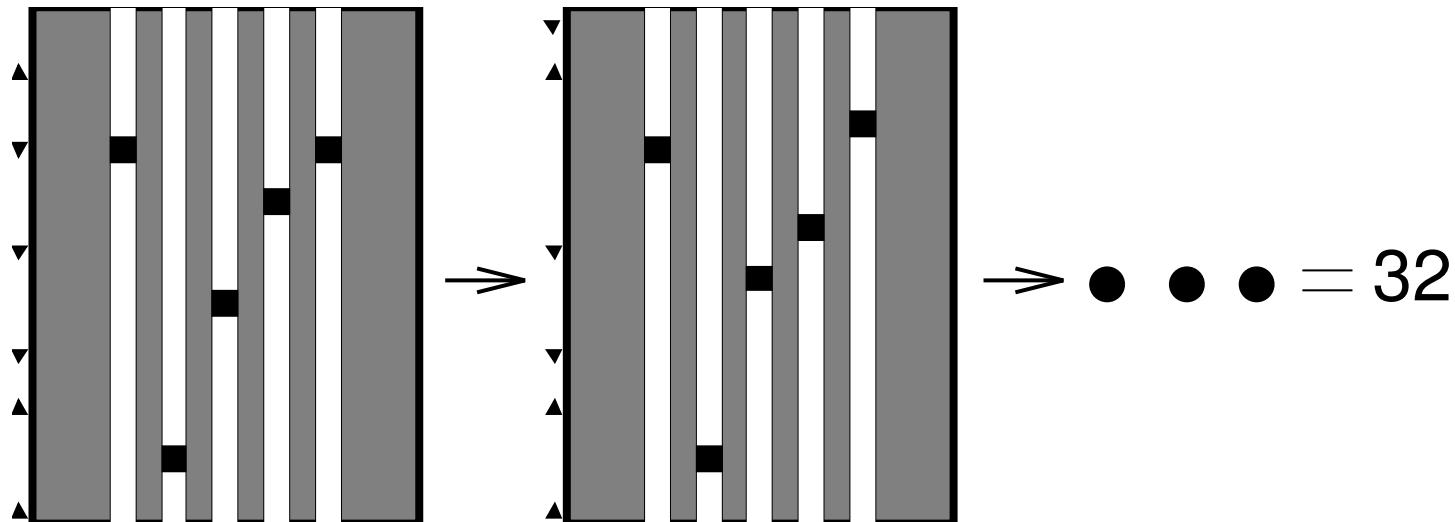
How well does it work?



| Poles | Method | Evals | Succ. |
|-------|--------|-----------|-------|
| One | VAPS | (500,000) | 0% |
| | SARSA | 13,562 | 59% |
| | Q-MLP | 11,331 | |
| | NE | 127 | |
| Two | NE | 3,416 | |

- Difficult RL benchmark: Non-Markov Pole Balancing
- NE 3 orders of magnitude faster than standard RL²⁸
- NE can solve harder problems

Role of Neuroevolution



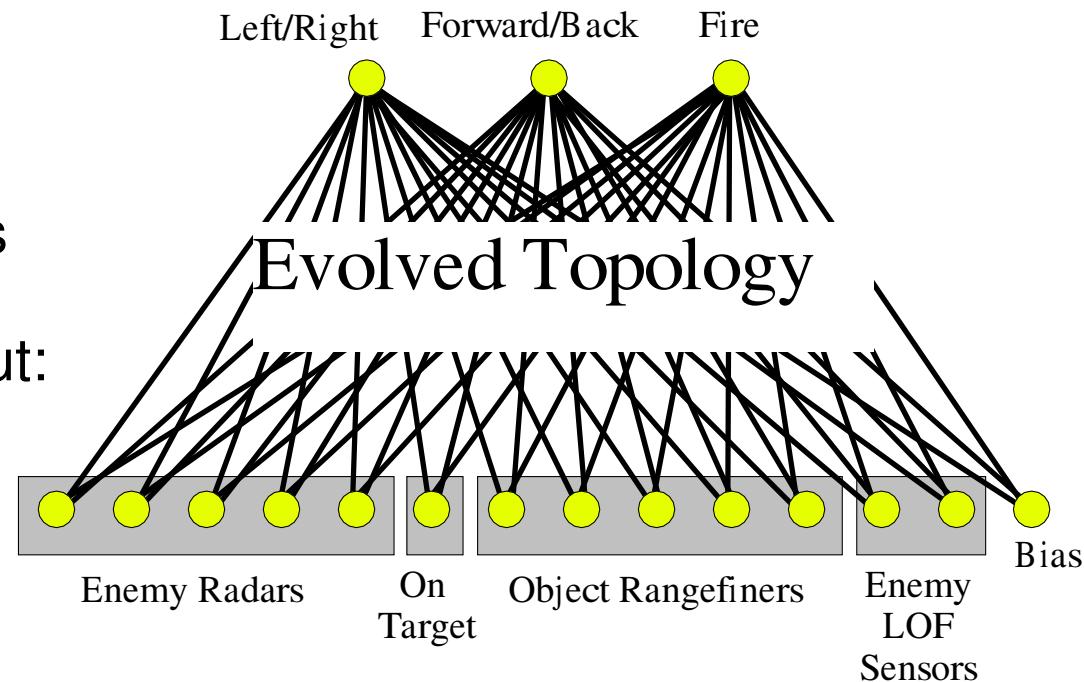
- Powerful method for sequential decision tasks^{16;28;54;104}
 - Optimizing existing tasks
 - Discovering novel solutions
 - Making new applications possible
- Also may be useful in supervised tasks^{50;61}
 - Especially when network topology important
- A unique model of biological adaptation/development^{56;69;99}
8/66

Outline

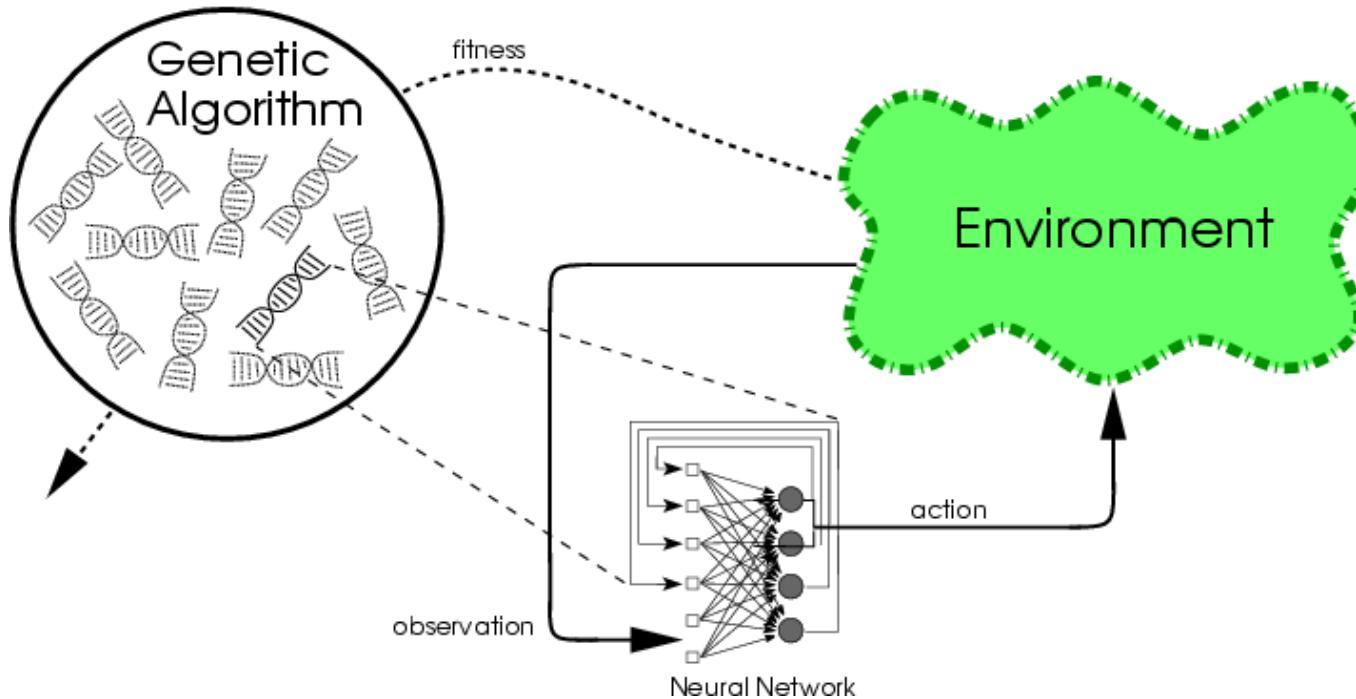
- Basic neuroevolution techniques
- Advanced techniques
 - E.g. combining learning and evolution; novelty search
- Extensions to applications
- Application examples
 - Control, Robotics, Artificial Life, Games

Neuroevolution Decision Strategies

- Input variables describe the state
- Output variables describe actions
- Network between input and output:
 - Nonlinear hidden nodes
 - Weighted connections
- Execution:
 - Numerical activation of input
 - Performs a nonlinear mapping
 - Memory in recurrent connections

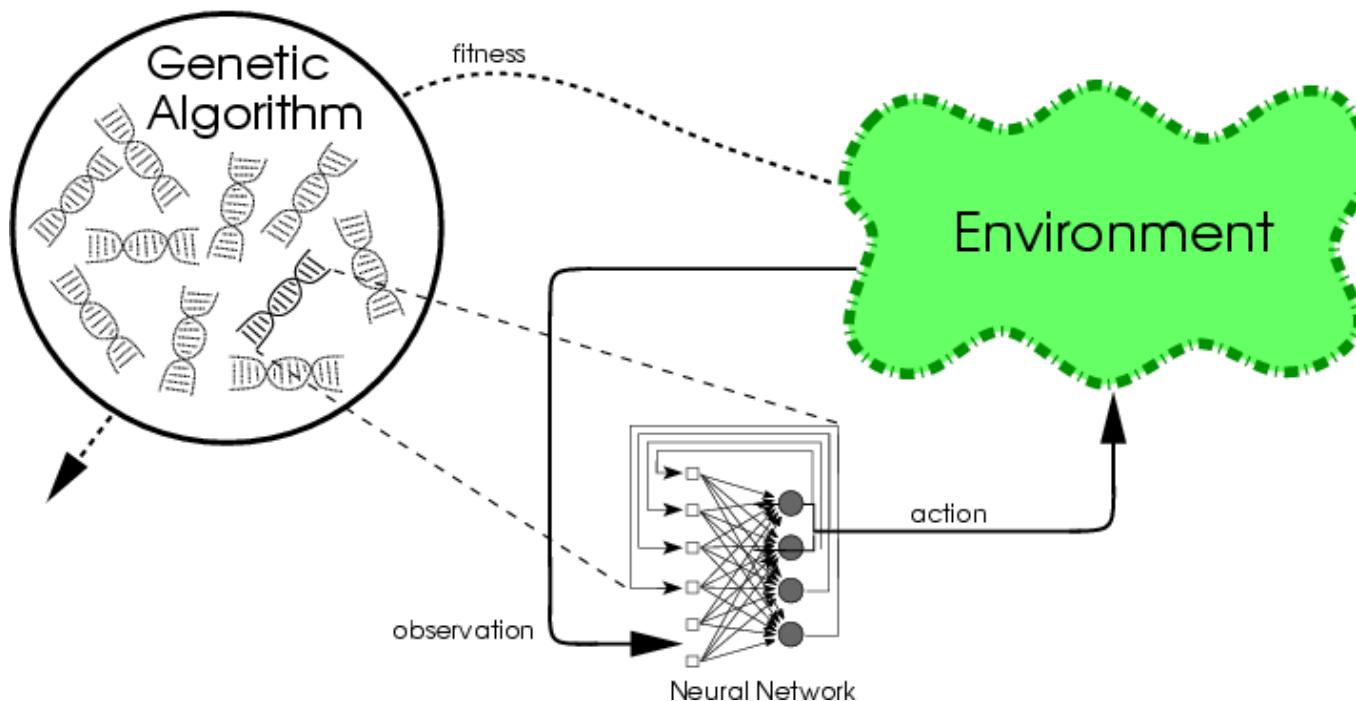


Conventional Neuroevolution (CNE)



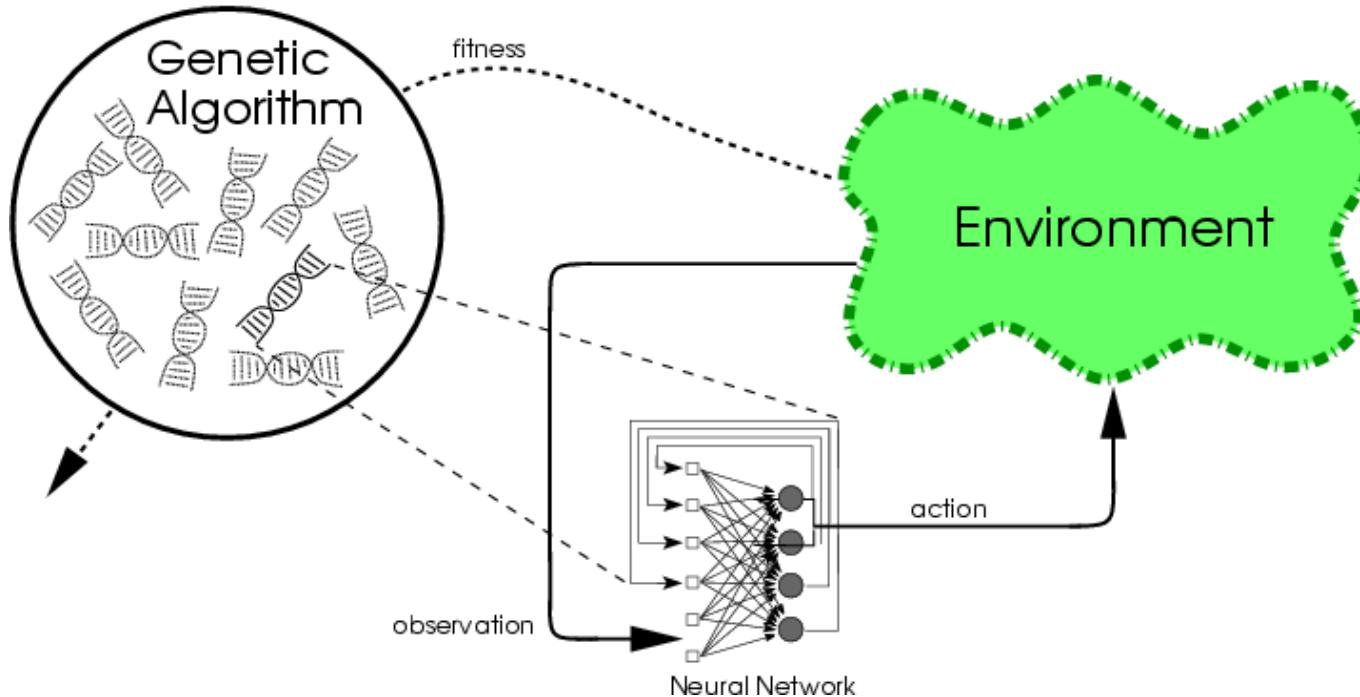
- Evolving connection weights in a population of networks^{50;70;104;105}
- Chromosomes are strings of connection weights (bits or real)
 - E.g. 10010110101100101111001
 - Usually fully connected, fixed topology
 - Initially random

Conventional Neuroevolution (2)



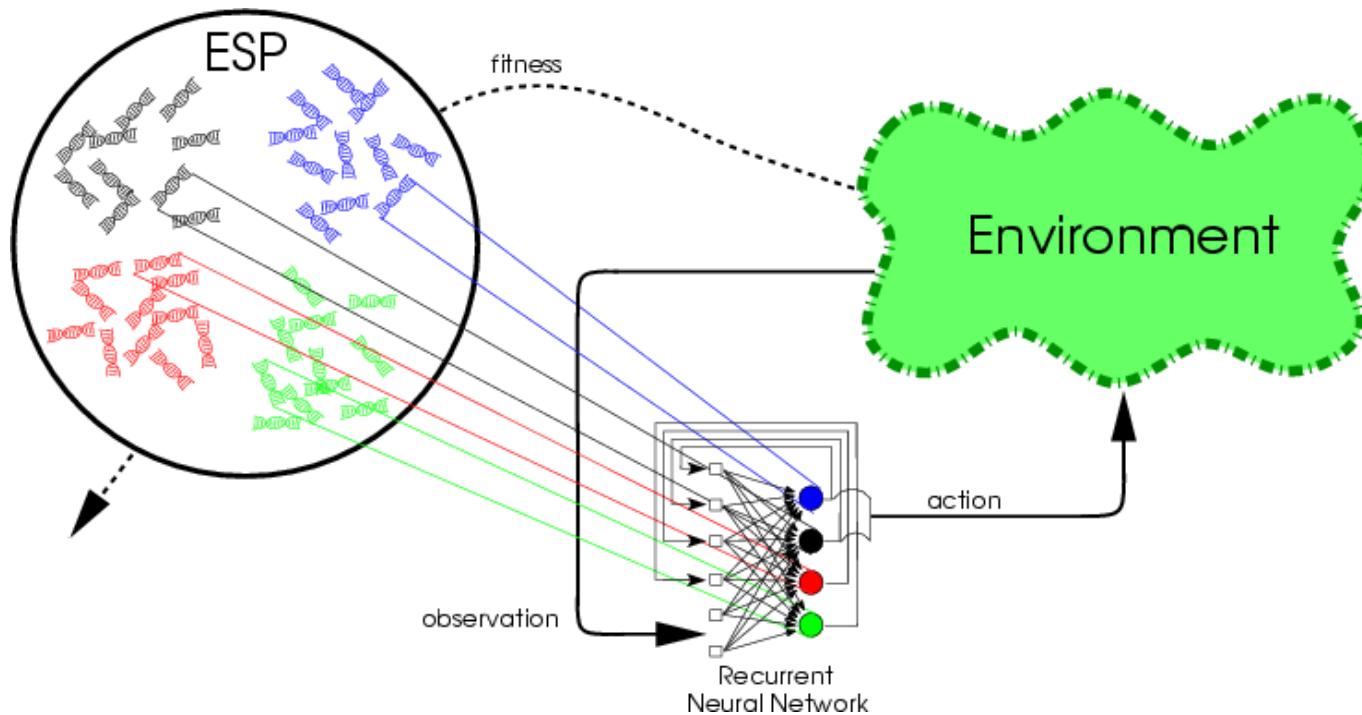
- Parallel search for a solution network
 - Each NN evaluated in the task
 - Good NN reproduce through crossover, mutation
 - Bad thrown away
- Natural mapping between genotype and phenotype
 - GA and NN are a good match!

Problems with CNE



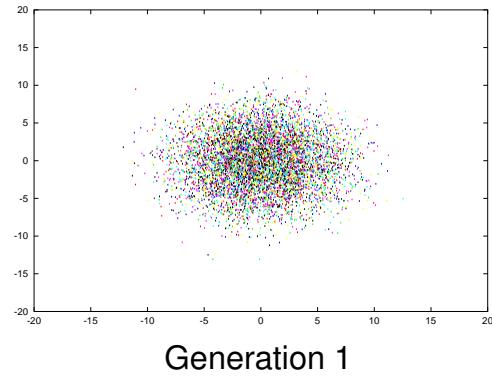
- Evolution converges the population (as usual with EAs)
 - Diversity is lost; progress stagnates
- Competing conventions
 - Different, incompatible encodings for the same solution
- Too many parameters to be optimized simultaneously
 - Thousands of weight values at once

Advanced NE 1: Evolving Partial Networks

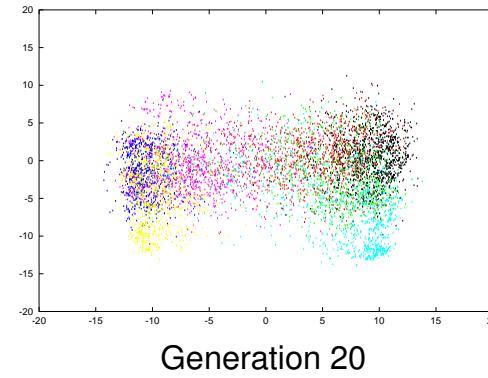


- Evolving individual neurons to cooperate in networks^{1;53;61}
- E.g. Enforced Sub-Populations (ESP²³)
 - Each (hidden) neuron in a separate subpopulation
 - Fully connected; weights of each neuron evolved
 - Populations learn compatible subtasks

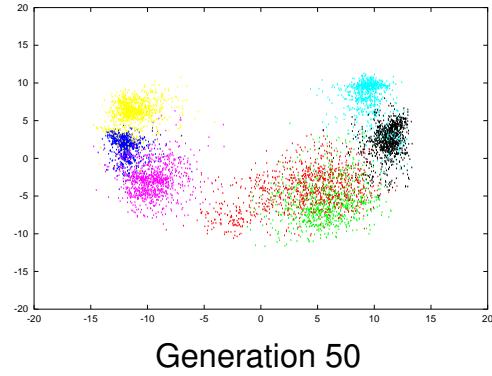
Evolving Neurons with ESP



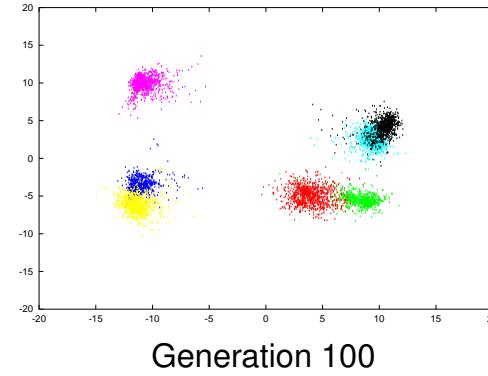
Generation 1



Generation 20



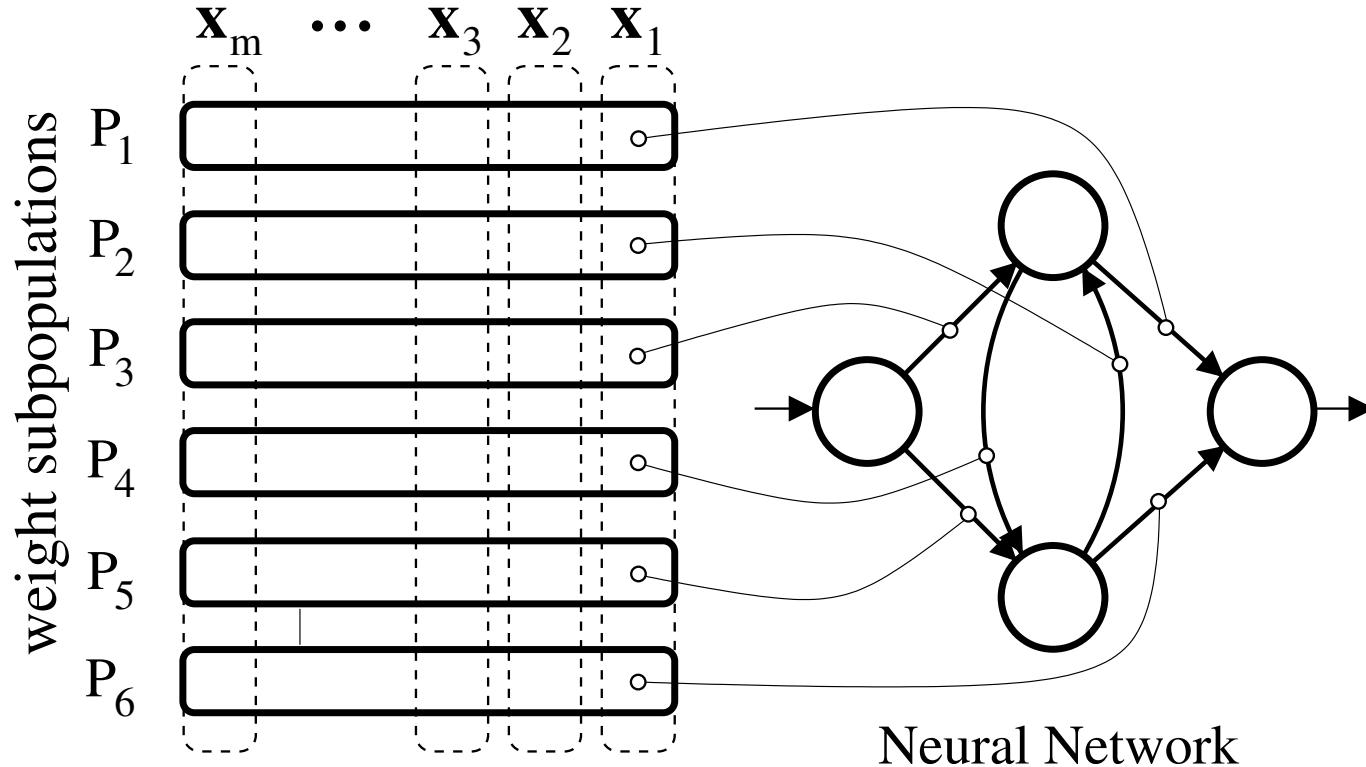
Generation 50



Generation 100

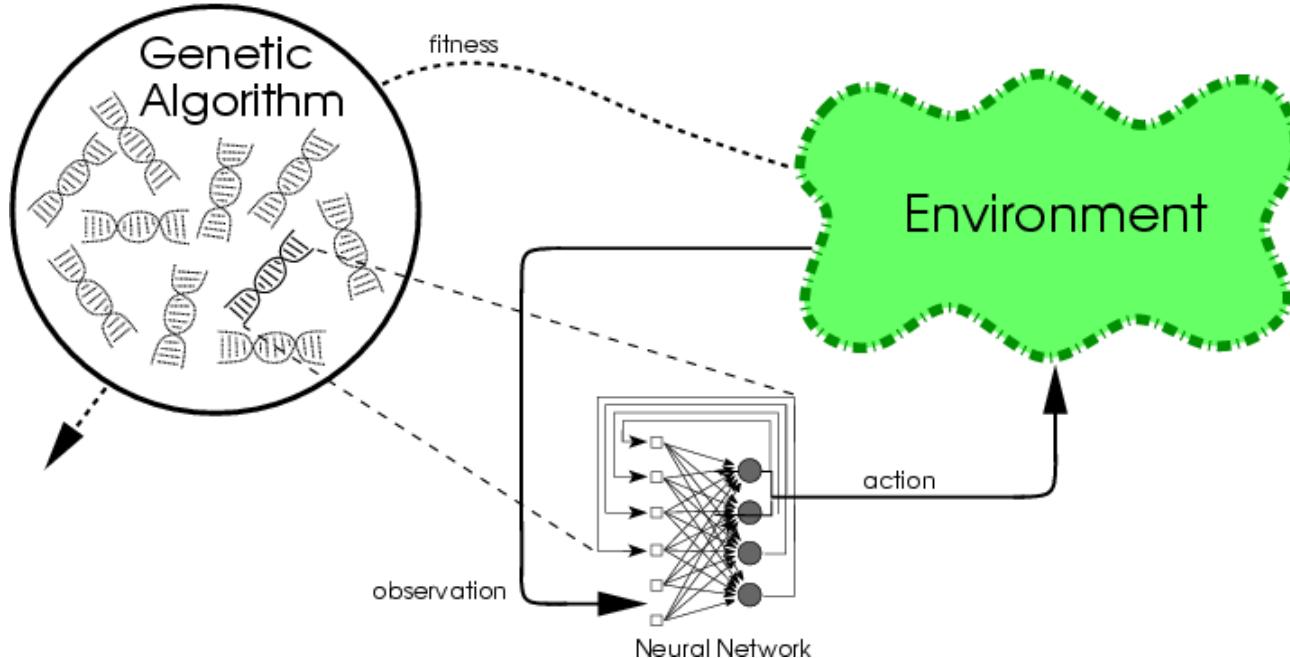
- Evolution encourages diversity automatically
 - Good networks require different kinds of neurons
- Evolution discourages competing conventions
 - Neurons optimized for compatible roles
- Large search space divided into subtasks
 - Optimize compatible neurons

Evolving Partial Networks (2)



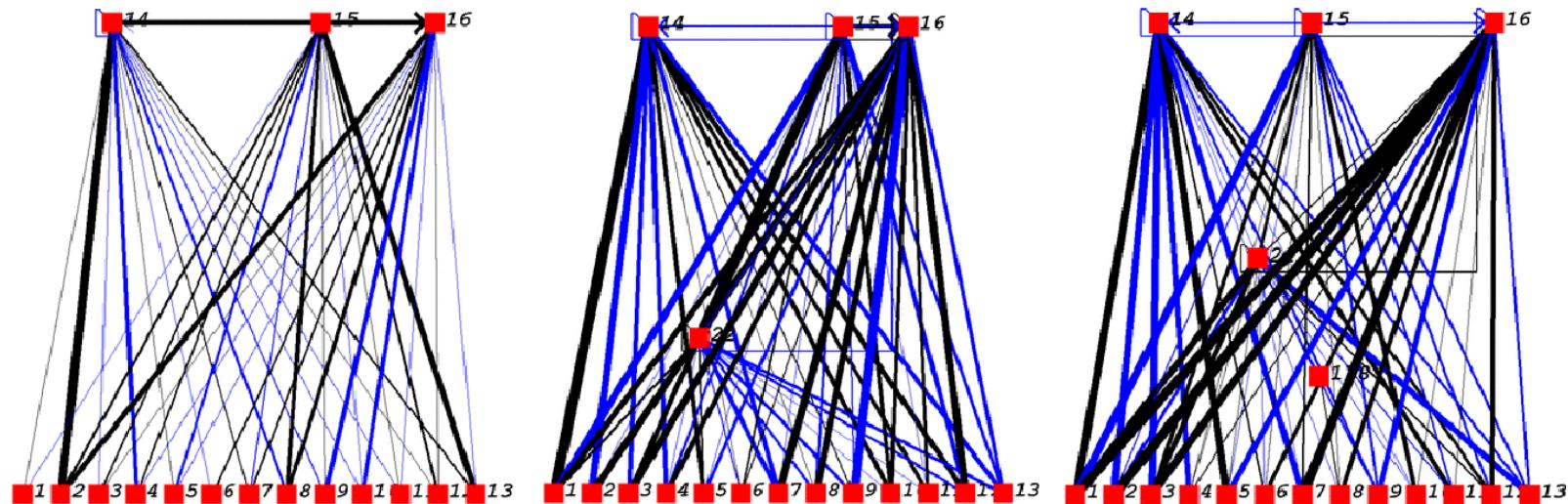
- Extend the idea to evolving connection weights
- E.g. Cooperative Synapse NeuroEvolution (CoSyNE²⁸)
 - Connection weights in separate subpopulations
 - Networks formed by combining neurons with the same index
 - Networks mutated and recombined; indices permuted
- Sustains diversity, results in efficient search

Advanced NE 2: Evolutionary Strategies



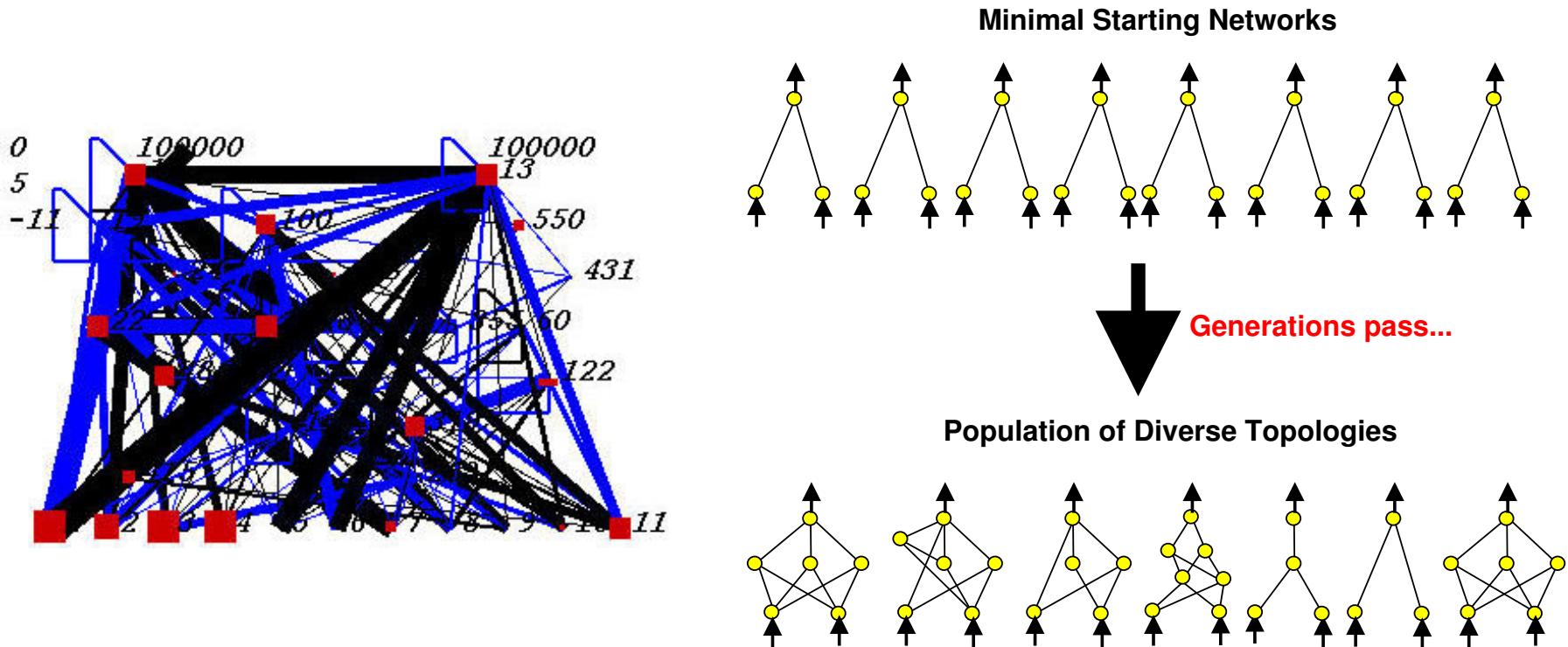
- Evolving complete networks with ES (CMA-ES³⁵)
- Small populations, no crossover
- Instead, intelligent mutations
 - Adapt covariance matrix of mutation distribution
 - Take into account correlations between weights
- Smaller space, less convergence, fewer conventions

Advanced NE 3: Evolving Topologies



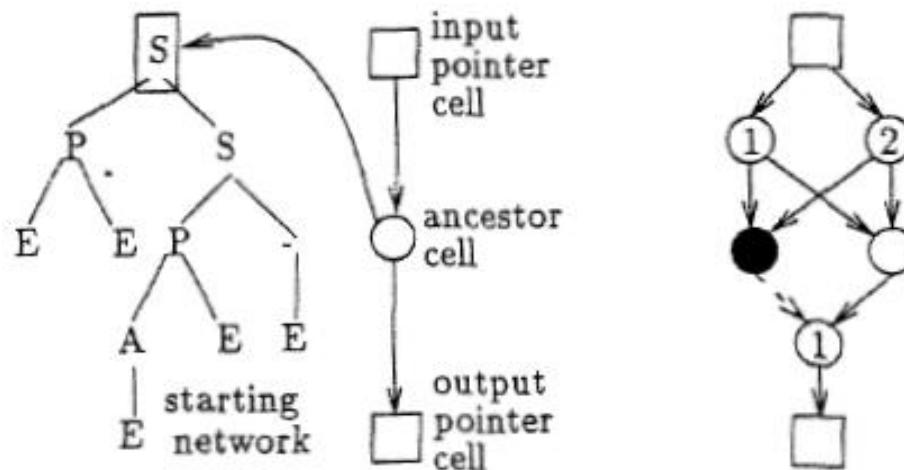
- Optimizing connection weights and network topology^{3;16;21;106}
- E.g. Neuroevolution of Augmenting Topologies (NEAT)^{79;82}
- Based on *Complexification*
- Of networks:
 - Mutations to add nodes and connections
- Of behavior:
 - Elaborates on earlier behaviors

Why Complexification?



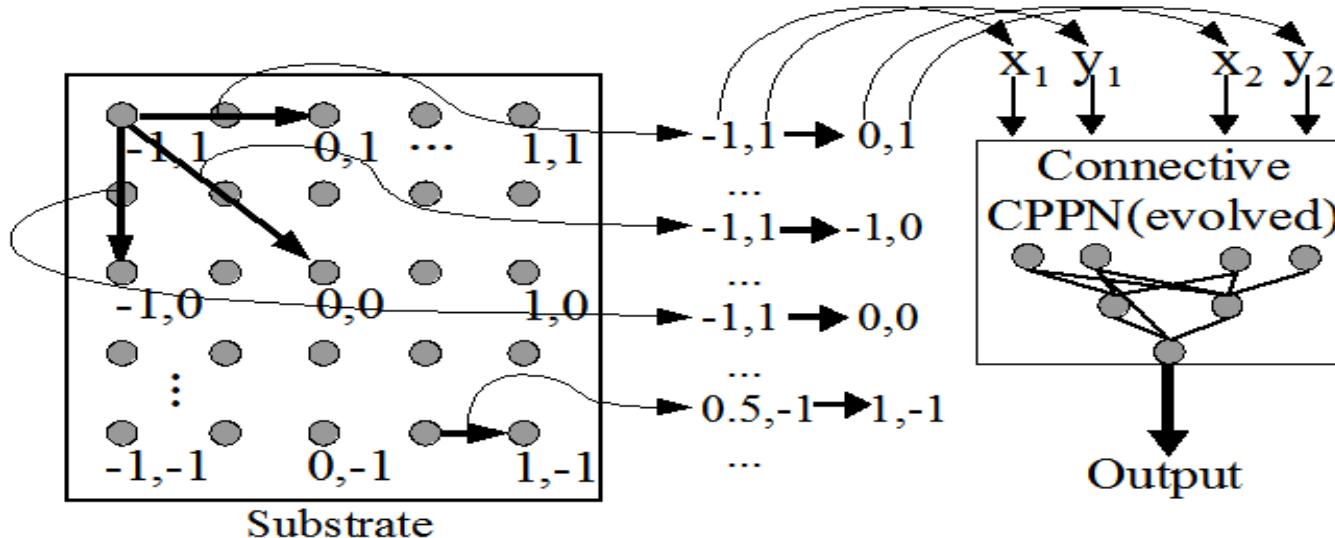
- Problem with NE: Search space is too large
- Complexification keeps the search tractable
 - Start simple, add more sophistication
- Incremental construction of intelligent agents

Advanced NE 4: Indirect Encodings

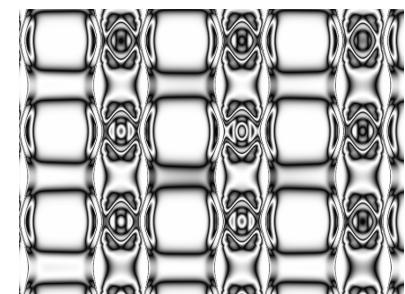


- Instructions for constructing the network evolved
 - Instead of specifying each unit and connection^{3;16;49;76;106}
- E.g. Cellular Encoding (CE³⁰)
- Grammar tree describes construction
 - Sequential and parallel cell division
 - Changing thresholds, weights
 - A “developmental” process that results in a network

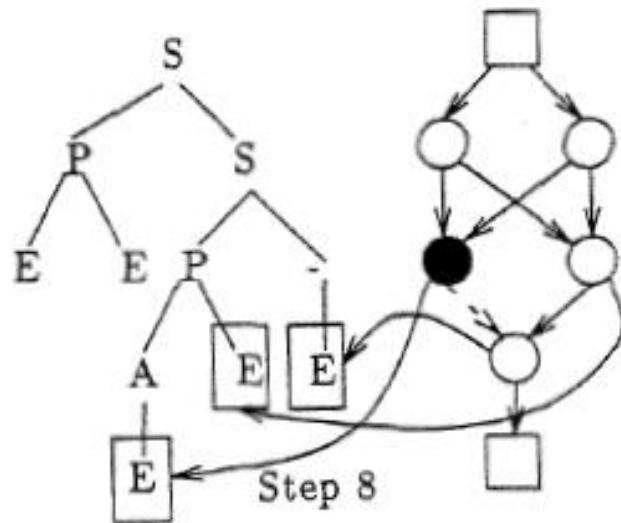
Indirect Encodings (2)



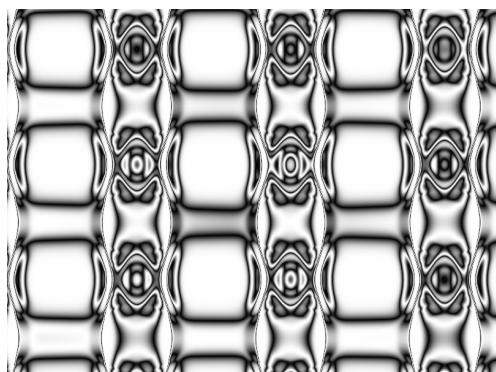
- Encode the networks as spatial patterns
- E.g. Hypercube-based NEAT (HyperNEAT¹²)
- Evolve a neural network (CPPN) to generate spatial patterns
 - 2D CPPN: (x, y) input \rightarrow grayscale output
 - 4D CPPN: (x_1, y_1, x_2, y_2) input $\rightarrow w$ output
 - Connectivity and weights can be evolved indirectly
 - Works with very large networks (millions of connections)



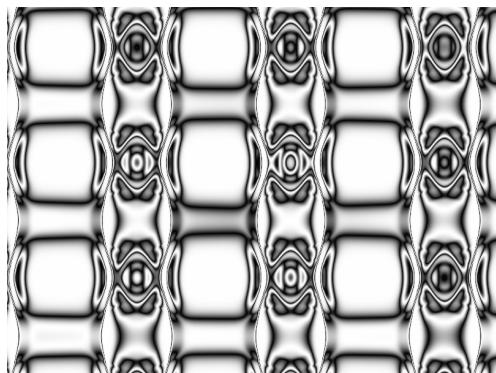
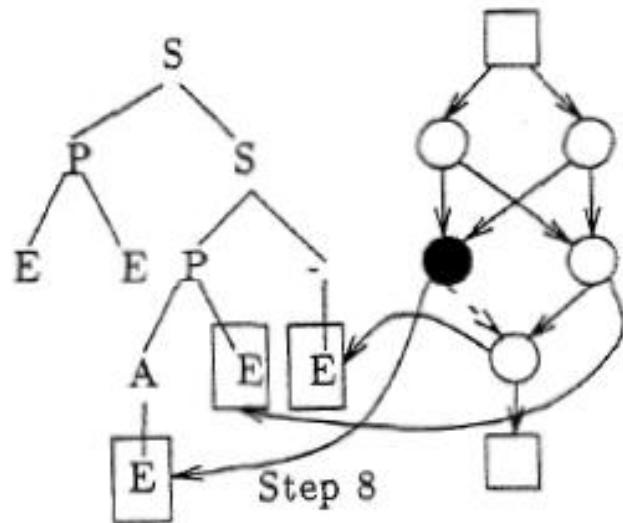
Properties of Indirect Encodings



- Smaller search space
- Avoids competing conventions
- Describes classes of networks efficiently
- Modularity, reuse of structures
 - Recurrency symbol in CE: XOR → parity
 - Repetition with variation in CPPNs
 - Useful for evolving morphology

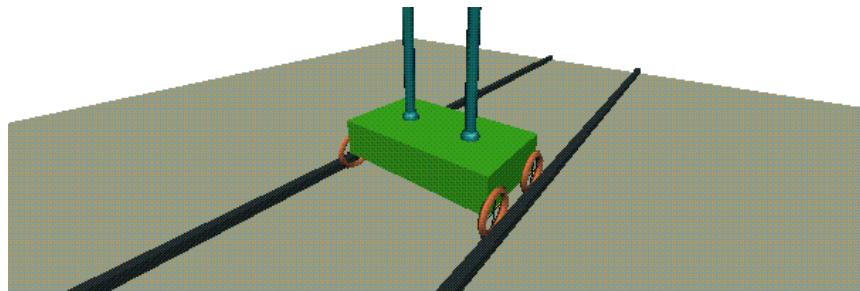


Properties of Indirect Encodings



- Not fully explored (yet)
 - See e.g. GDS track at GECCO
- Promising current work
 - More general L-systems; developmental codings; embryogeny⁸³
 - Scaling up spatial coding^{13,22}
 - Genetic Regulatory Networks⁶⁵
 - Evolution of symmetries⁹³

How Do the NE Methods Compare?



| Poles | Method | Evals |
|-------|--------|-----------|
| Two | CE | (840,000) |
| | CNE | 87,623 |
| | ESP | 26,342 |
| | NEAT | 6,929 |
| | CMA-ES | 6,061 |
| | CoSyNE | 3,416 |

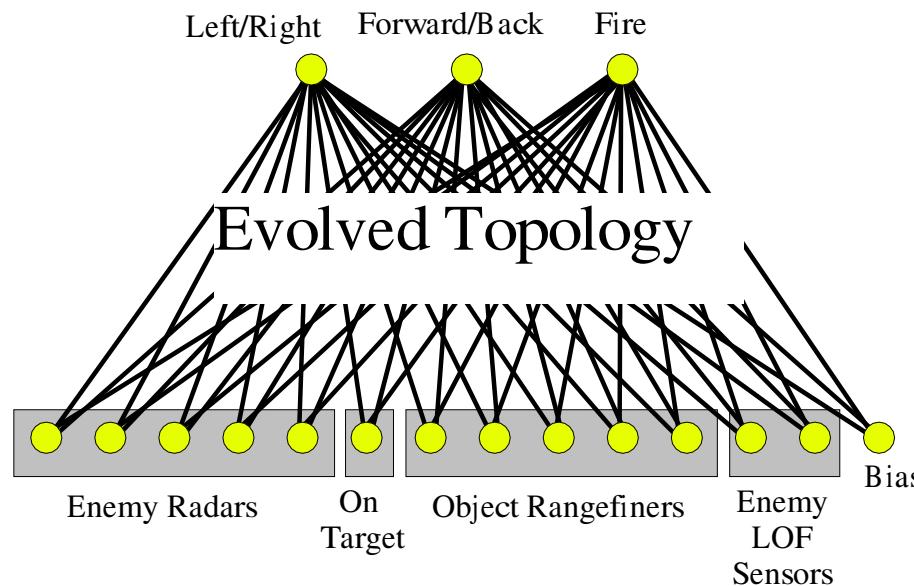
Two poles, no velocities, damping fitness²⁸

- Advanced methods better than CNE
- Advanced methods still under development
- Indirect encodings future work

Further NE Techniques

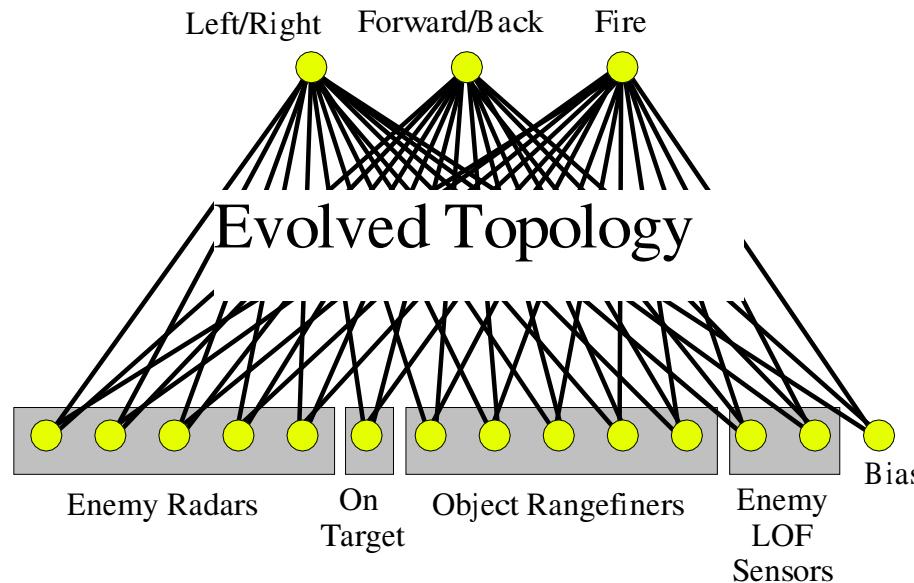
- Incremental and multiobjective evolution^{25;72;91;105}
- Utilizing population culture^{5;47;87}
- Utilizing evaluation history⁴⁴
- Evolving NN ensembles and modules^{36;43;60;66;101}
- Evolving transfer functions and learning rules^{8;68;86}
- Combining learning and evolution
- Evolving for novelty

Combining Learning and Evolution



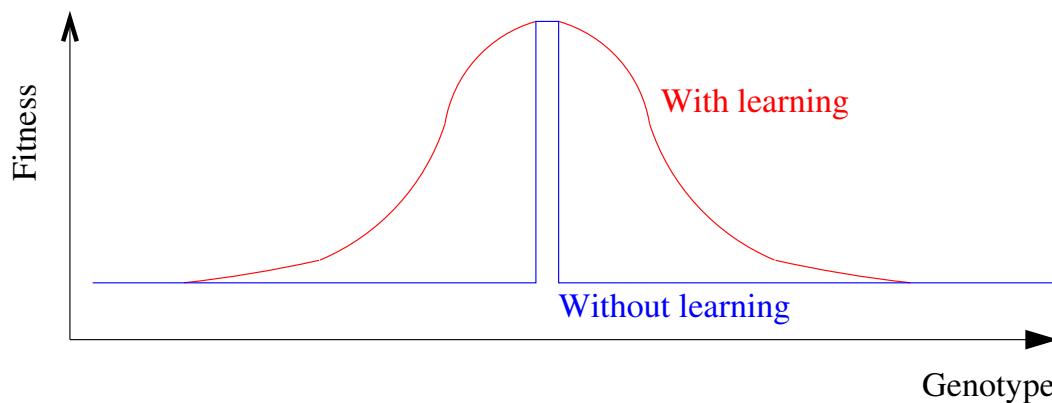
- Good learning algorithms exist for NN
 - Why not use them as well?
- Evolution provides structure and initial weights
- Fine tune the weights by learning

Lamarckian Evolution



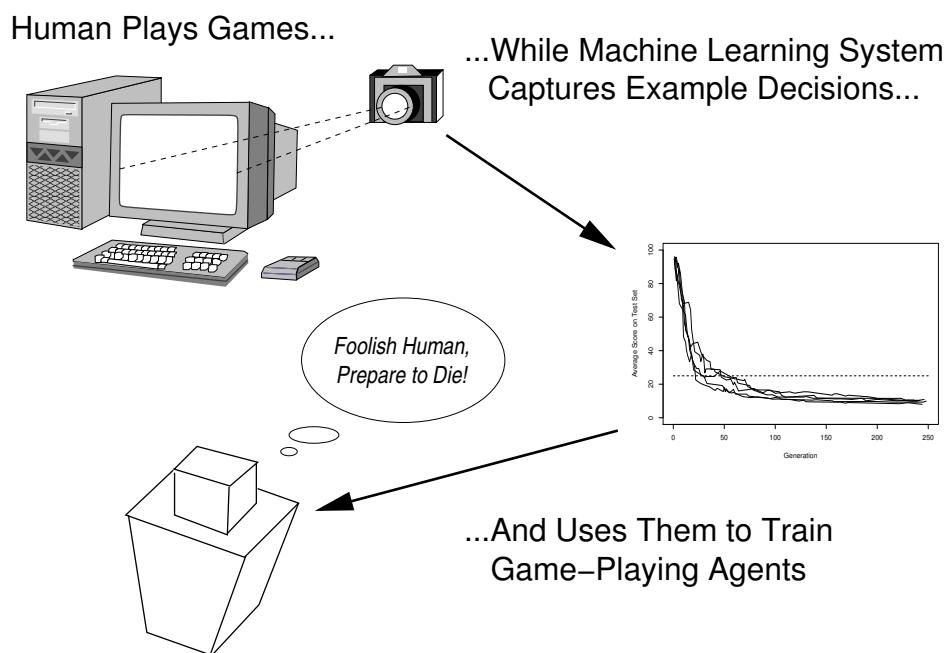
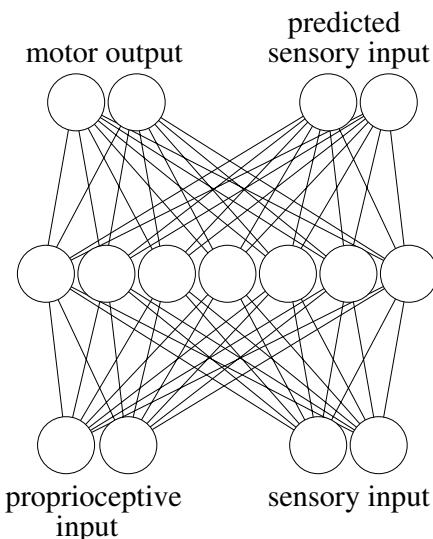
- Lamarckian evolution is possible^{7;30}
 - Coding weight changes back to chromosome
- Difficult to make it work
 - Diversity reduced; progress stagnates

Baldwin Effect



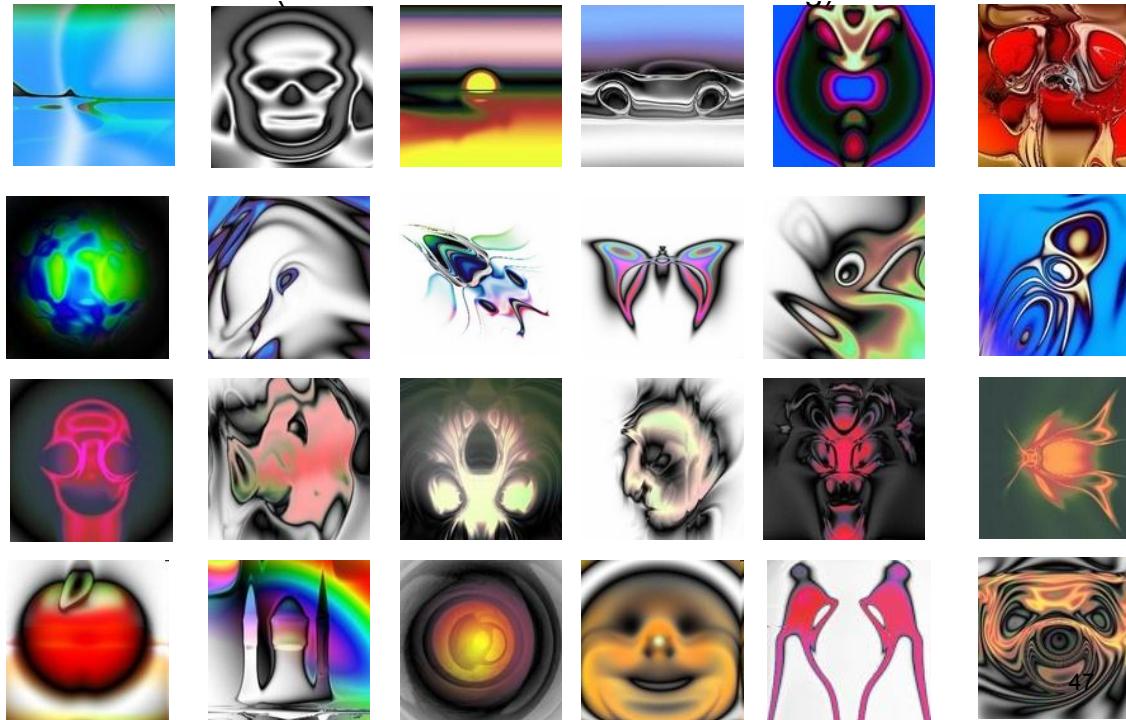
- Learning can guide Darwinian evolution as well^{4;30;32}
 - Makes fitness evaluations more accurate
- With learning, more likely to find the optimum if close
- Can select between good and bad individuals better
 - Lamarckian not necessary

Where to Get Learning Targets?



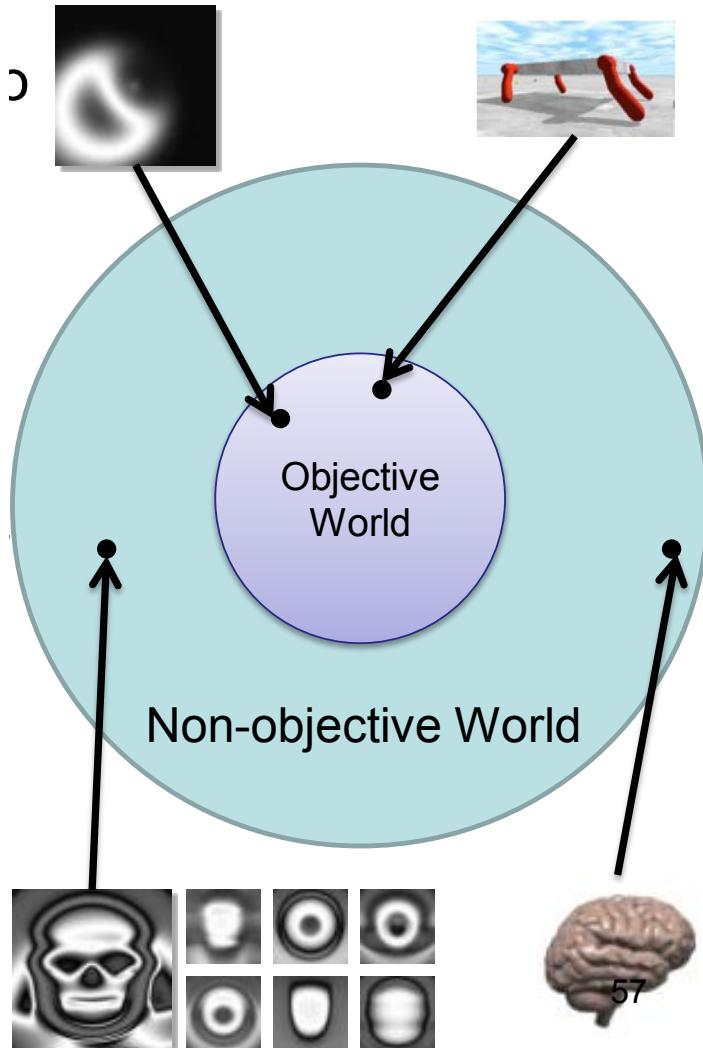
- From a related task⁵⁶
 - Useful internal representations
- Evolve the targets⁵⁹
 - Useful training situations
- From Q-learning equations¹⁰²
 - When evolving a value function
- Utilize Hebbian learning^{18;80;95}
 - Correlations of activity
- From the population^{47;87}
 - Social learning
- From humans⁷
 - E.g. expert players, drivers

Evolving Novelty



- Motivated by humans as fitness functions
- E.g. picbreeder.com, endlessforms.com⁷³
 - CPPNs evolved; Human users select parents
- No specific goal
 - Interesting solutions preferred
 - Similar to biological evolution?

Novelty Search



- Reward maximally different solutions
 - Can be a secondary, diversity objective⁵⁵
 - Or, even as the only objective^{40;41}
- To be different, need to capture structure
 - Problem solving as a side effect
- DEMO (at eplex.cs.ucf.edu/noveltysearch)
- Potential for innovation
- Needs to be understood better

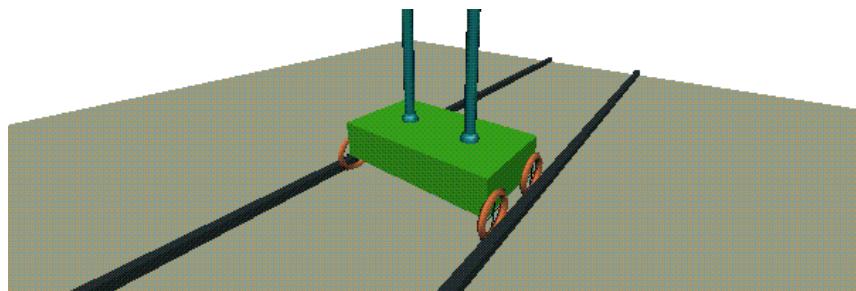
Extending NE to Applications

- Control
- Robotics
- Artificial life
- Gaming

Issues:

- Facilitating robust transfer from simulation^{27;92}
- Utilizing problem symmetry and hierarchy^{38;93;96}
- Utilizing coevolution^{67;84}
- Evolving multimodal behavior^{71;72;101}
- Evolving teams of agents^{6;81;107}
- Making evolution run in real-time⁸¹

Applications to Control



- Pole-balancing benchmark
 - Originates from the 1960s
 - Original 1-pole version too easy
 - Several extensions: acrobat, jointed, 2-pole, particle chasing⁶⁰
- Good surrogate for other control tasks
 - Vehicles and other physical devices
 - Process control⁹⁷

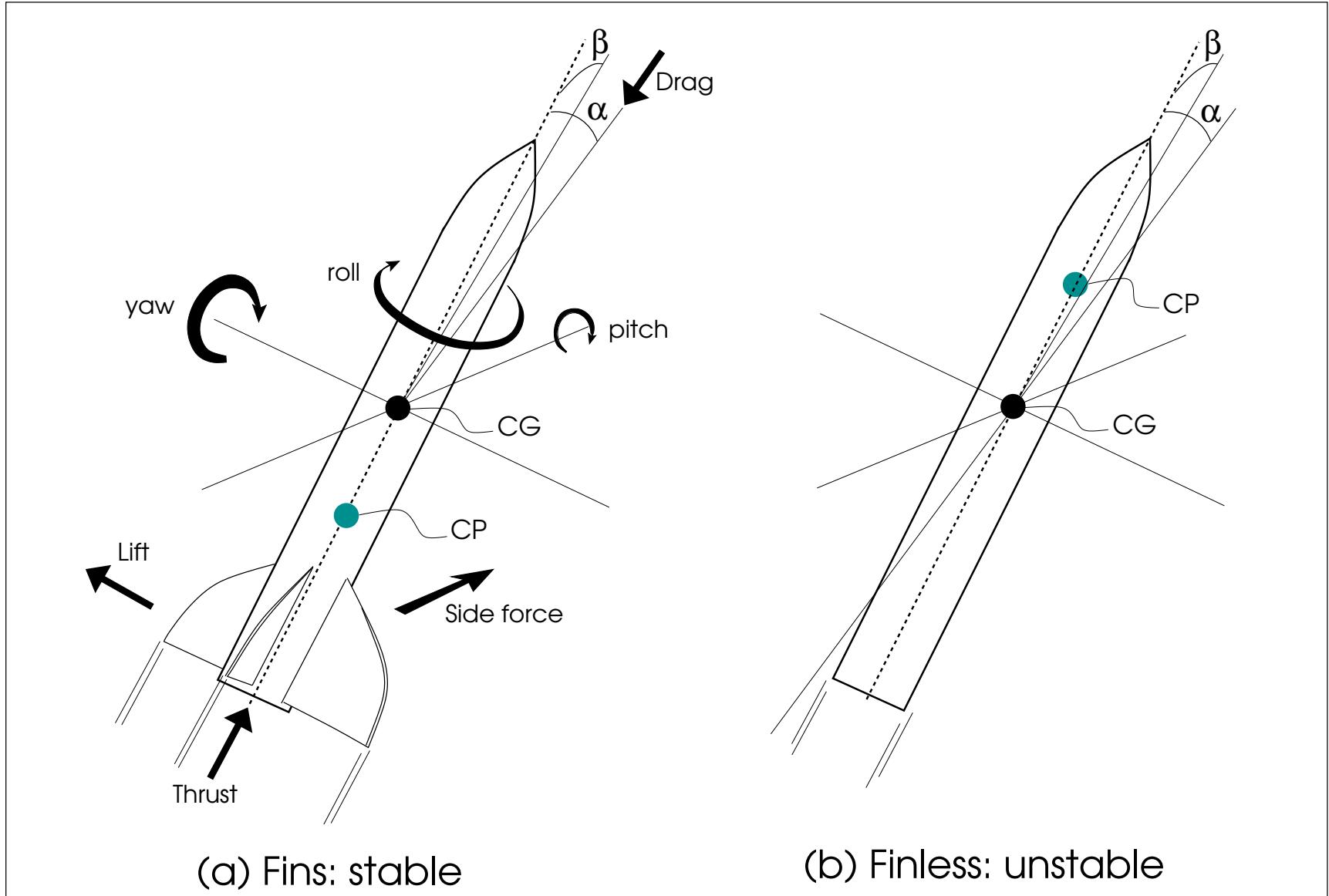
Controlling a Finless Rocket



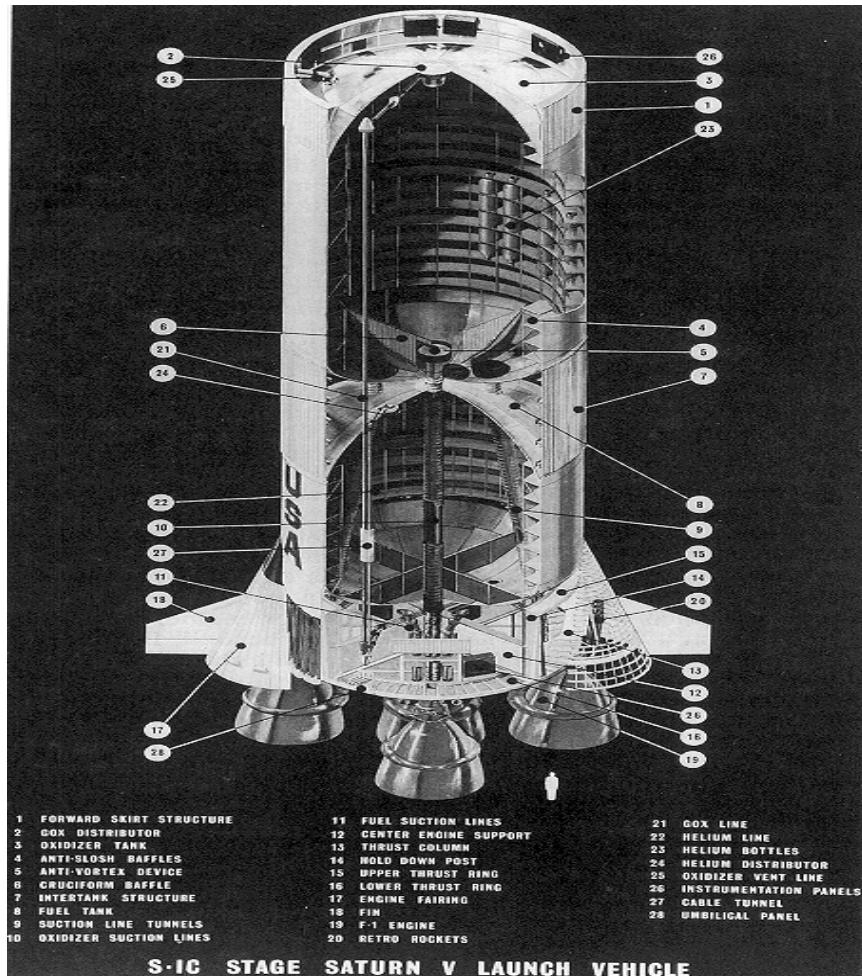
Task: Stabilize a finless version of the Interorbital Systems RSX-2 sounding rocket²⁶

- Scientific measurements in the upper atmosphere
- 4 liquid-fueled engines with variable thrust
- Without fins will fly much higher for same amount of fuel

Rocket Stability

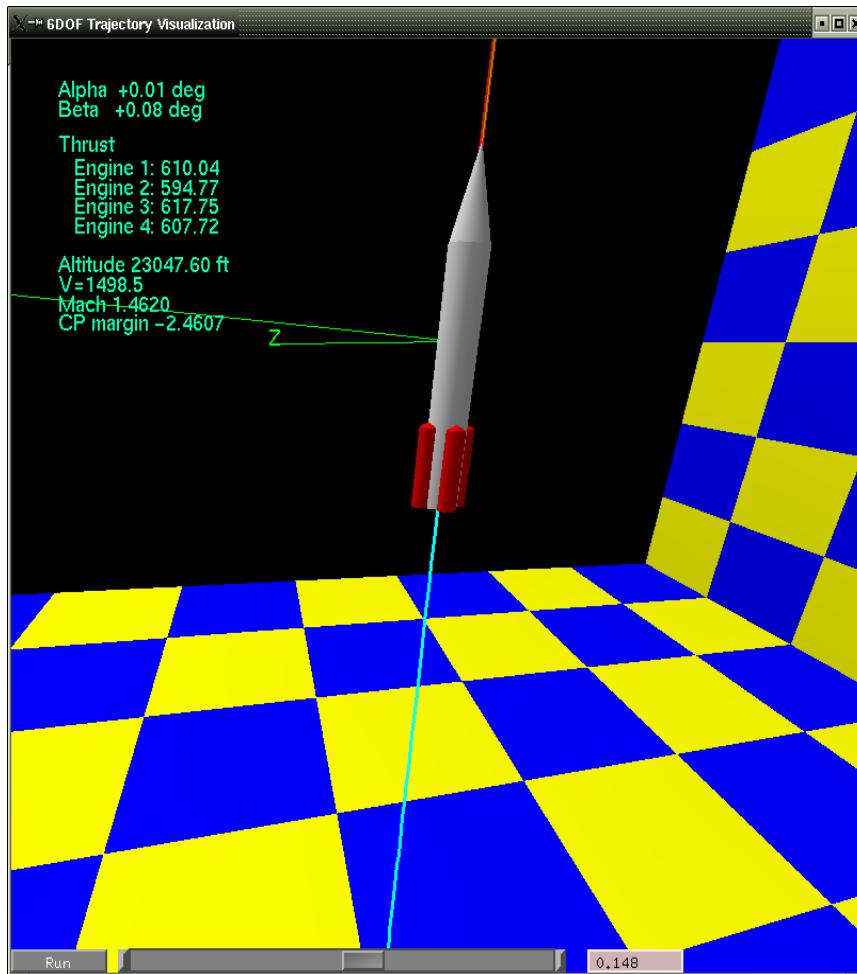


Active Rocket Guidance



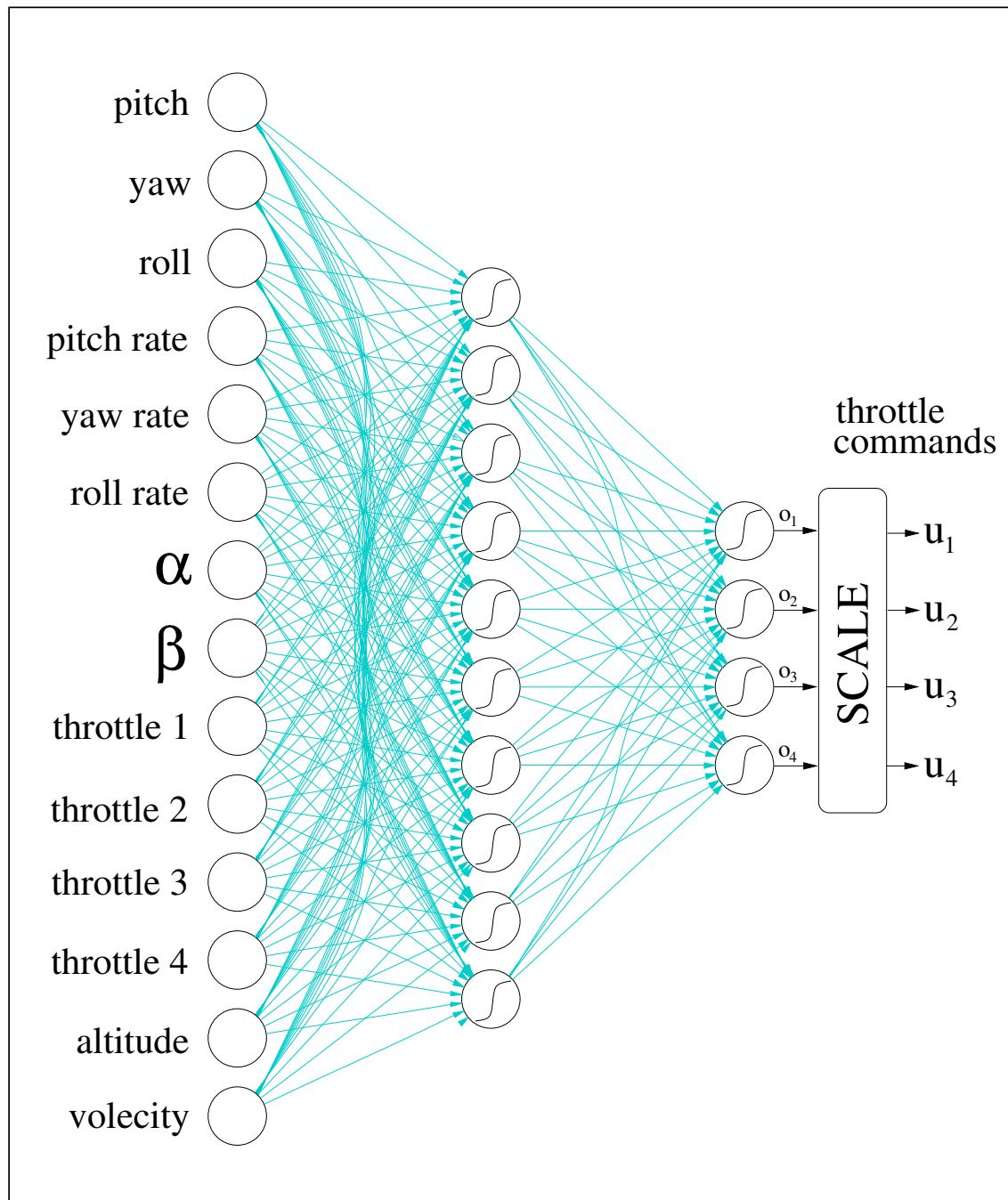
- Used on large scale launch vehicles (Saturn, Titan)
- Typically based on classical linear feedback control
- High level of domain knowledge required
- Expensive, heavy

Simulation Environment: JSBSim

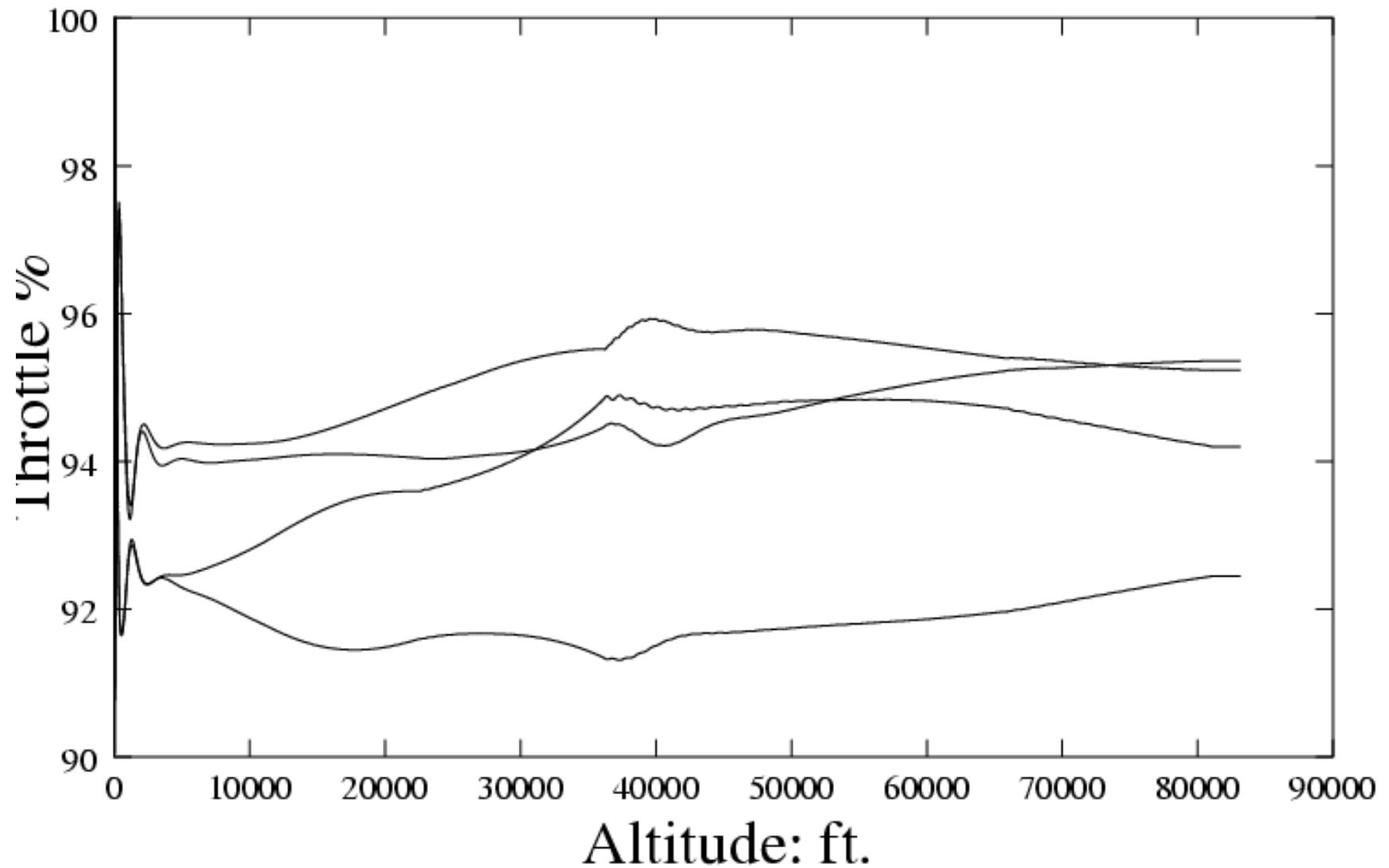


- General rocket simulator
- Models complex interaction between airframe, propulsion, aerodynamics, and atmosphere
- Used by IOS in testing their rocket designs
- Accurate geometric model of the RSX-2

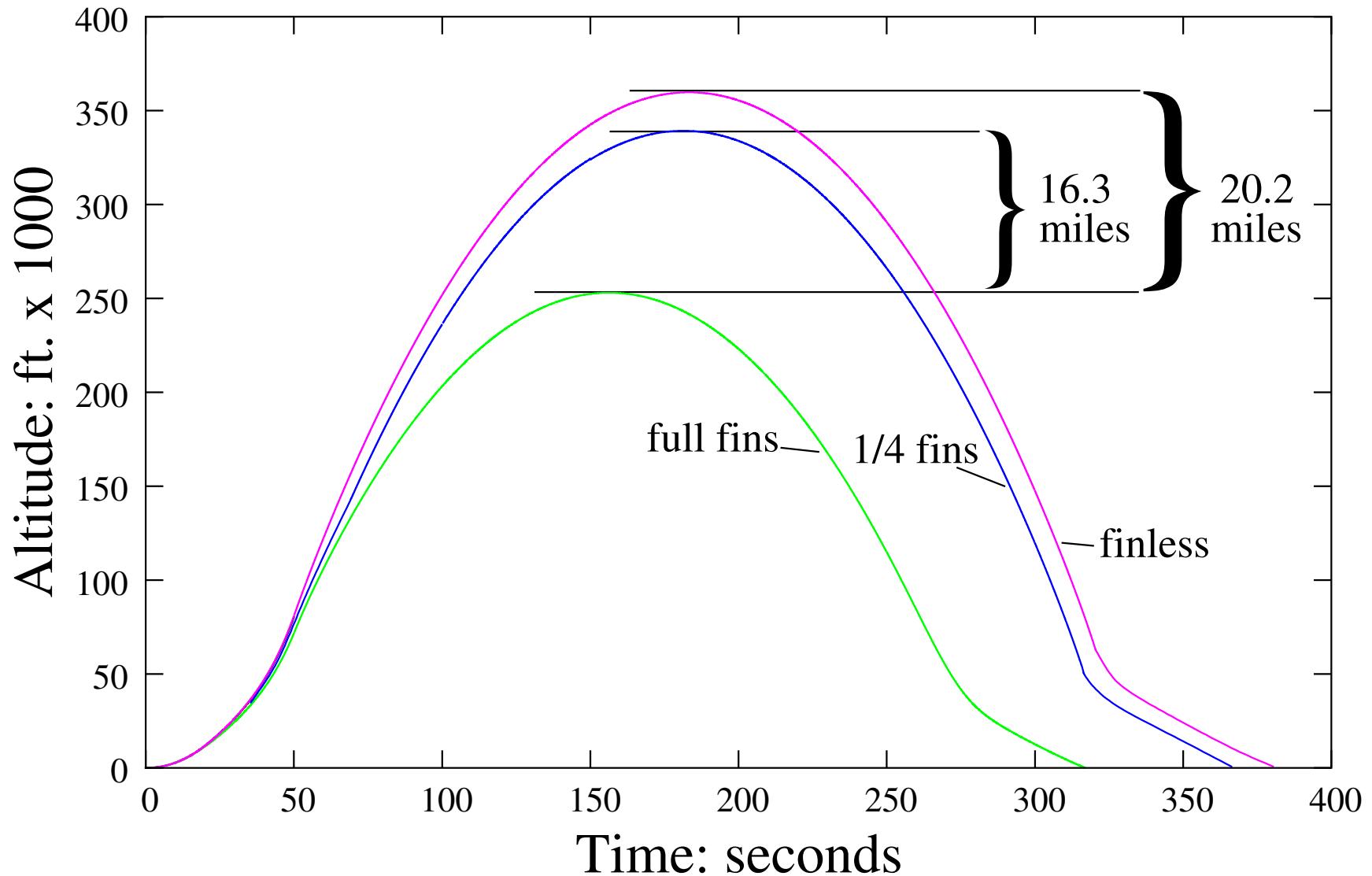
Rocket Guidance Network



Results: Control Policy



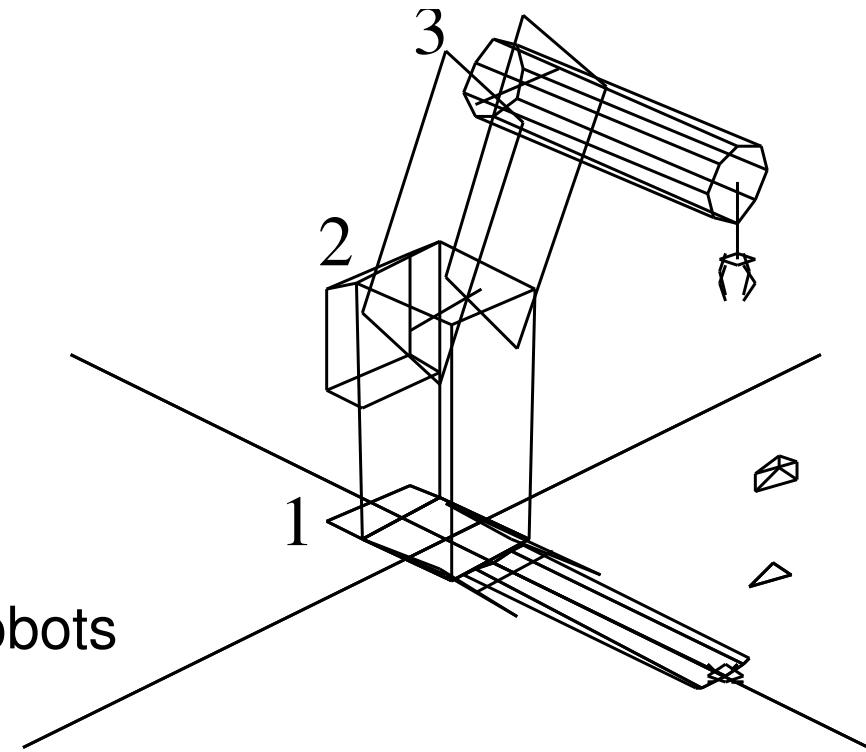
Results: Apogee



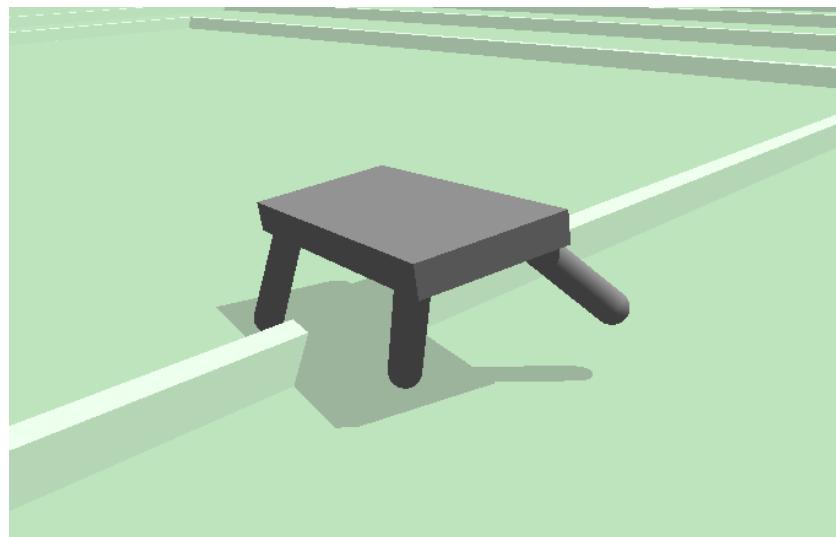
- DEMO (available at nn.cs.utexas.edu)

Applications to Robotics

- Controlling a robot arm⁵²
 - Compensates for an inop motor
- Robot walking^{34;75;96}
 - Various physical platforms
- Mobile robots^{11;17;57;78}
 - Transfers from simulation to physical robots
 - Evolution possible on physical robots

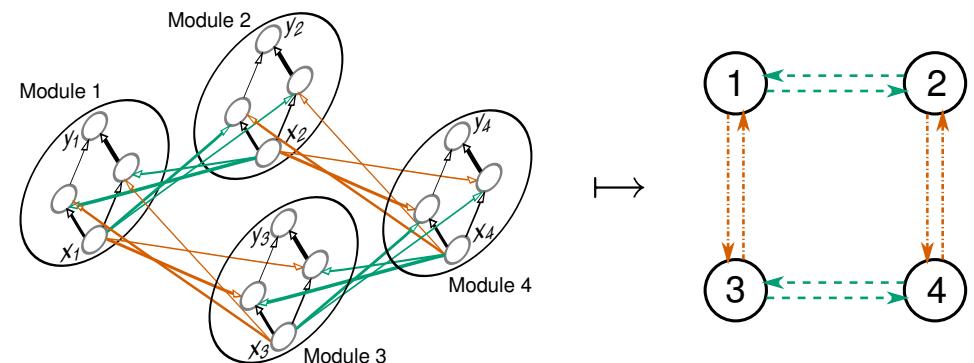


Multilegged Walking



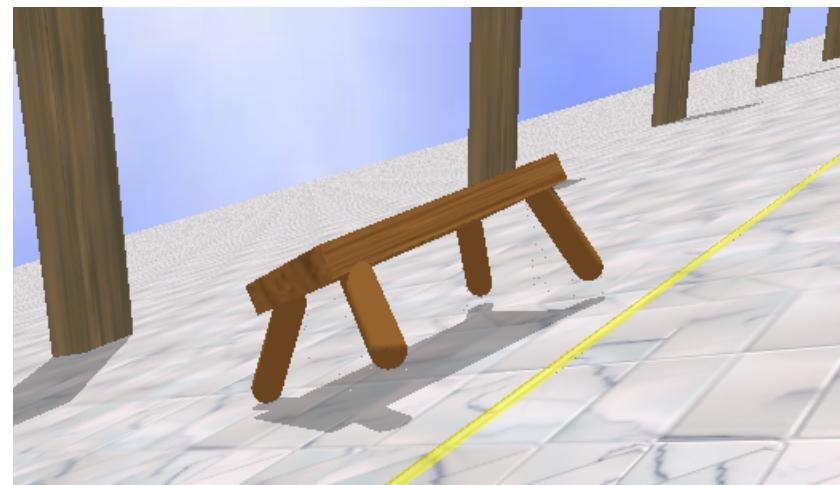
- Navigate rugged terrain better than wheeled robots
- Controller design is more challenging
 - Leg coordination, robustness, stability, fault-tolerance, ...
- Hand-design is generally difficult and brittle
- Large design space often makes evolution ineffective

ENSO: Symmetry Evolution Approach



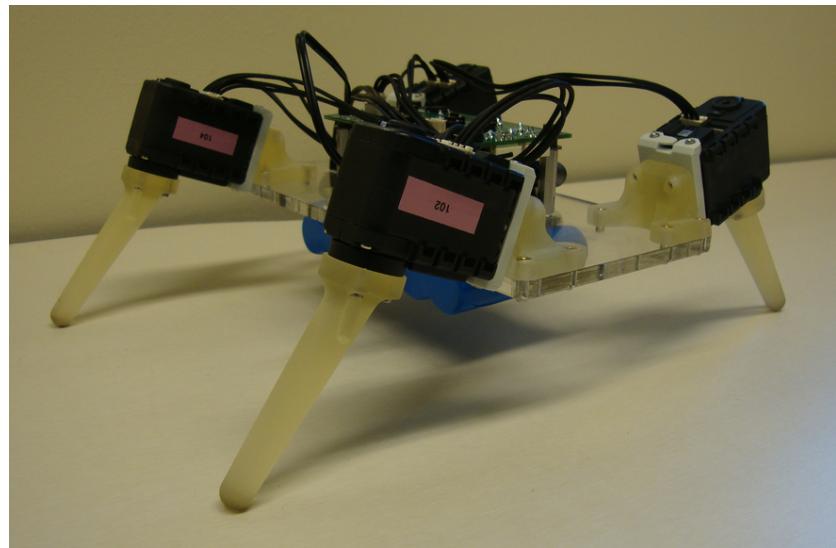
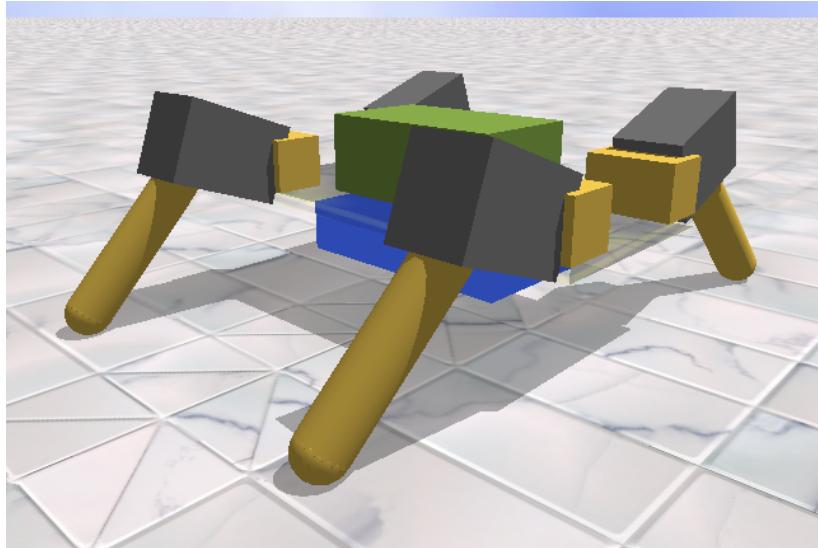
- Symmetry evolution approach^{93;94;96}
 - A neural network controls each leg
 - Connections between controllers evolved through symmetry breaking
 - Connections within individual controllers evolved through neuroevolution

Robust, Effective Solutions



- Different gaits on flat ground
 - Pronk, pace, bound, trot
 - Changes gait to get over obstacles
- Asymmetric gait on inclines
 - One leg pushes up, others forward
 - Hard to design by hand
- DEMO (available at nn.cs.utexas.edu)

Transfer to a Physical Robot



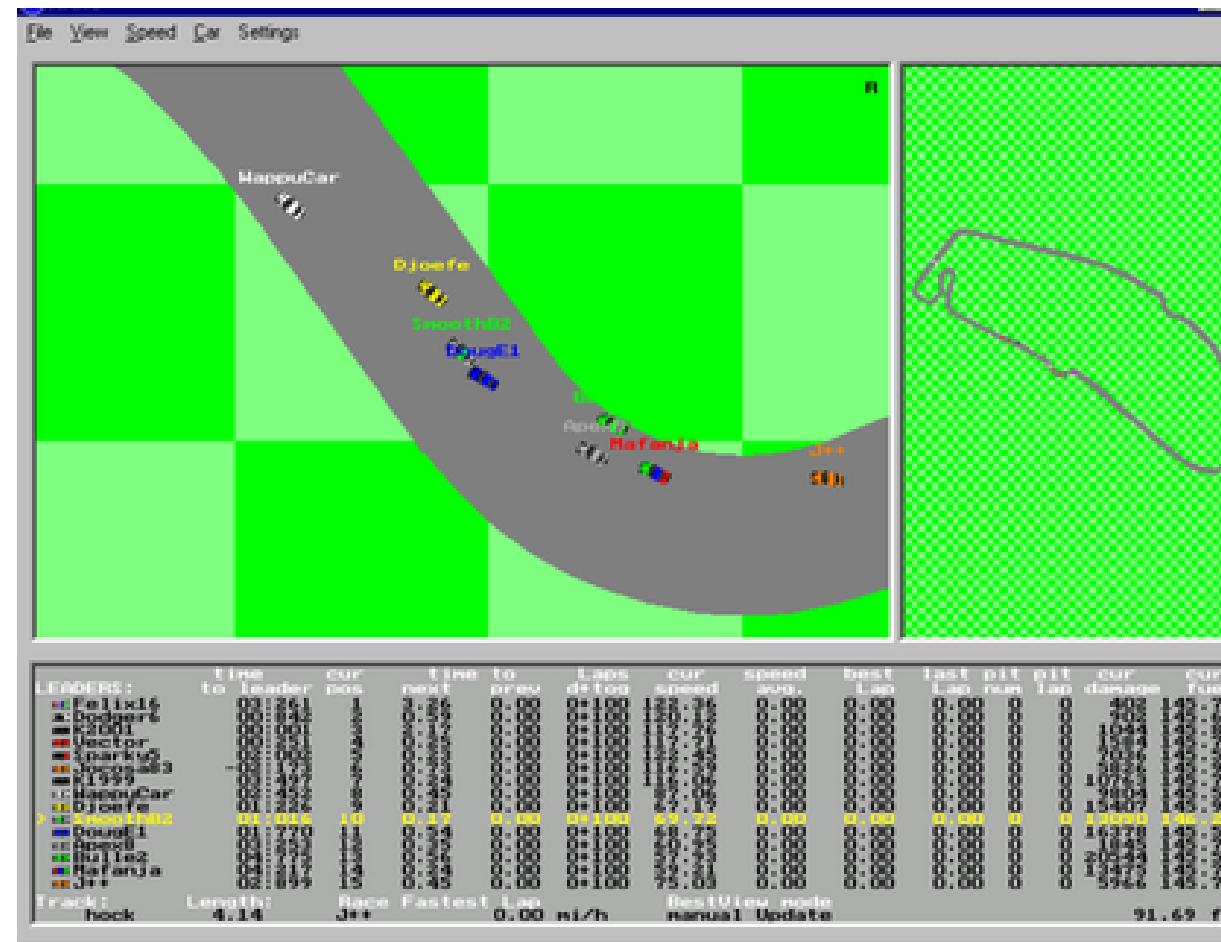
- Built at Hod Lipson's lab (Cornell U.)
 - Standard motors, battery, controller board
 - Custom 3D-printed legs, attachments
 - Simulation modified to match
- General, robust transfer⁹²
 - Noise to actuators during simulation
 - Generalizes to different surfaces, motor speeds
 - Evolved a solution for 3-legged walking!
- DEMO (available at nn.cs.utexas.edu)

Driving and Collision Warning



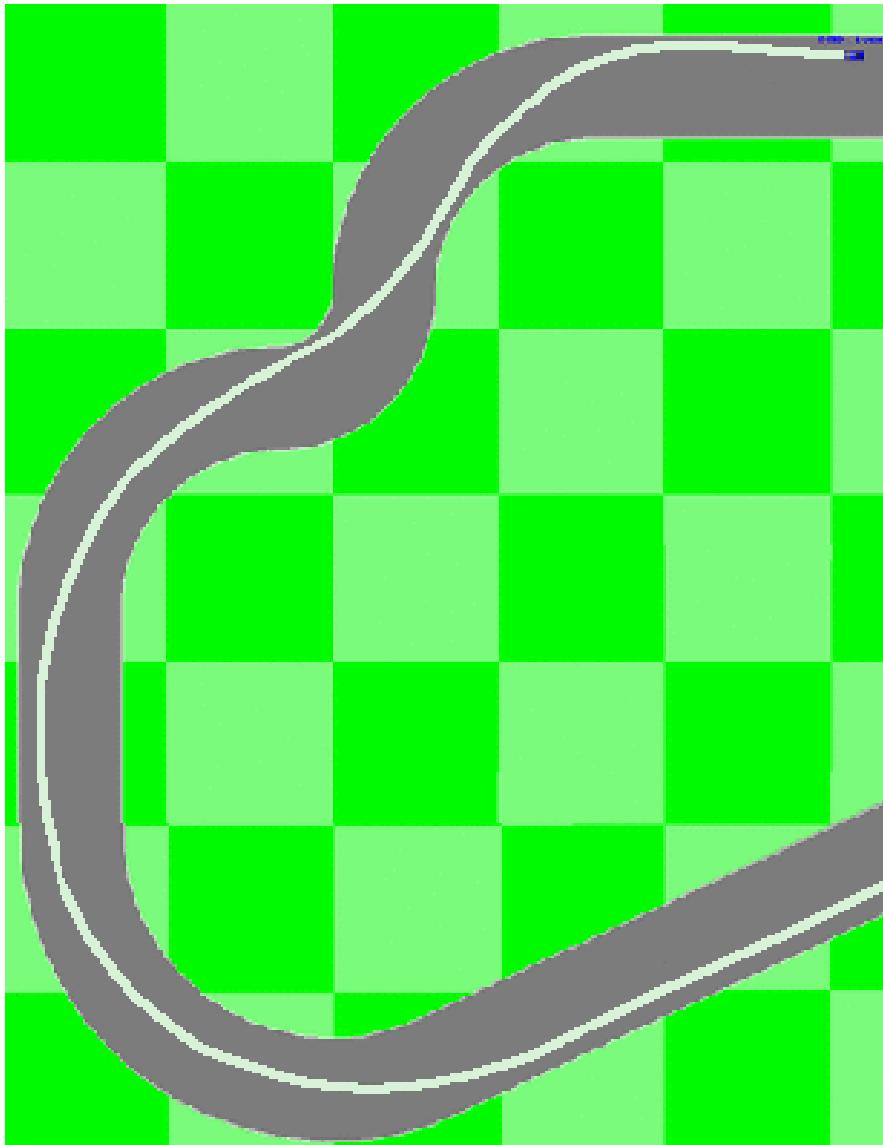
- Goal: evolve a collision warning system
 - Looking over the driver's shoulder
 - Adapting to drivers and conditions
 - Collaboration with Toyota³⁹

The RARS Domain



- RARS: Robot Auto Racing Simulator
 - Internet racing community
 - Hand-designed cars and drivers
 - First step towards real traffic

Evolving Good Drivers



- Evolving to drive fast without crashing (off road, obstacles)
- An interesting challenge of its own⁸⁹
- Discovers optimal driving strategies (e.g. how to take curves)
- Works from range-finder & radar inputs
- Works from raw visual inputs (20×14 grayscale)

Evolving Warnings



- Evolving to estimate probability of crash
- Predicts based on subtle cues (e.g. skidding off the road)
- Compensates for disabled drivers
- Human drivers learn to drive with it!
- DEMO (available at nn.cs.utexas.edu)

Transferring to the Physical World?



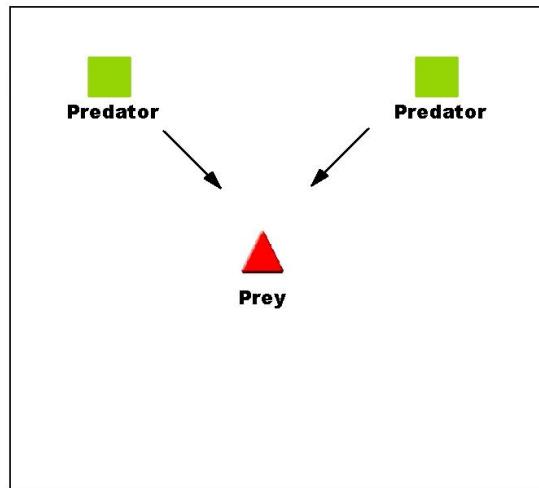
- Applied AI Gaia moving in an office environment
 - Sick laserfinder; Bumblebee digital camera
 - Driven by hand to collect data
- Learns collision warning in both cases
- Transfer to real cars?
- DEMO (available at nn.cs.utexas.edu)

Applications to Artificial Life

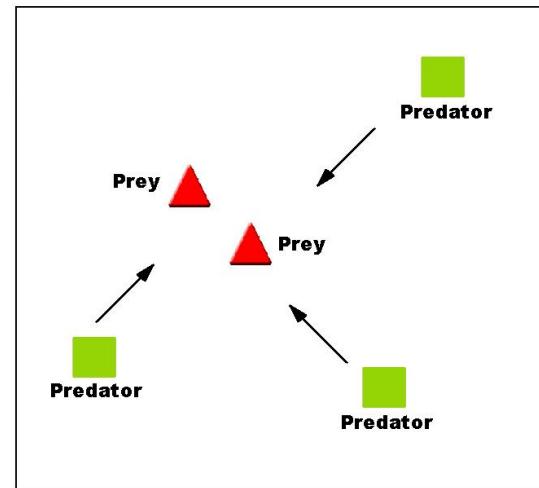


- Gaining insight into neural structure
 - E.g. evolving a command neuron^{2;37;69}
- Coevolution of structure and function
 - E.g. creature morphology and control^{42;77}
- Emergence of behaviors
 - Signaling, herding, hunting...^{62;100;107}
- Future challenges
 - Emergence of language^{58;63;90;99}
 - Emergence of community behavior

Emergence of Cooperation and Competition



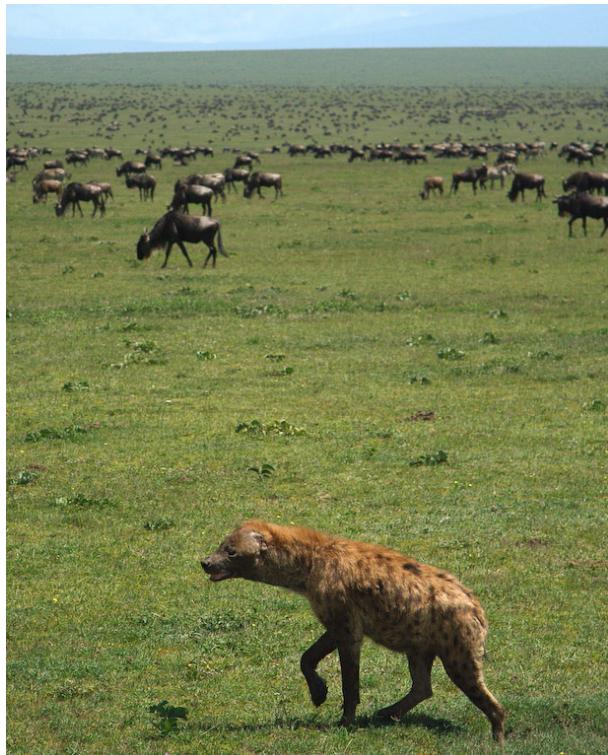
Predator cooperation



Predator, prey cooperation

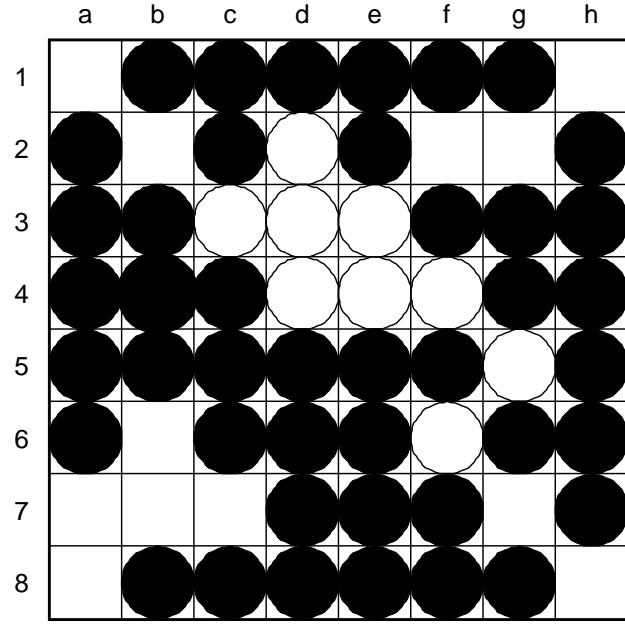
- Predator-prey simulations^{62;64}
 - Predator species, prey species
 - Prior work single pred/prey, team of pred/prey
- Simultaneous competitive and cooperative coevolution
- Understanding e.g. hyenas and zebras
 - Collaboration with biologists (Kay Holekamp, MSU)
- DEMO (available at nn.cs.utexas.edu)

Open Questions



- Role of communication
 - Stigmergy vs. direct communication in hunting
 - Quorum sensing in e.g. confronting lions
- Role of rankings
 - Efficient selection when evaluation is costly?
- Role of individual vs. team rewards
- Can lead to general computational insights

Applications to Games



- Good research platform⁴⁸
 - Controlled domains, clear performance, safe
 - Economically important; training games possible
- Board games: beyond limits of search
 - Evaluation functions in checkers, chess^{9;19;20}
 - Filtering information in go, othello^{51;85}
 - Opponent modeling in poker⁴⁵

Video Games



- Economically and socially important
- GOFAI does not work well
 - Embedded, real-time, noisy, multiagent, changing
 - Adaptation a major component
- Possibly research catalyst for CI
 - Like board games were for GOFAI in the 1980s

Video Games (2)



- Can be used to build “mods” to existing games
 - Adapting characters, assistants, tools
- Can also be used to build new games
 - New genre: Machine Learning game

BotPrize Competition



- Turing Test for game bots: \$10,000 prize (2007-12)
- Three players in Unreal Tournament 2004:
 - Human confederate: tries to win
 - Software bot: pretends to be human
 - Human judge: tries to tell them apart!
- DEMO (available at nn.cs.utexas.edu)

Evolving an Unreal Bot



- Evolve effective fighting behavior
 - Human-like with resource limitations (speed, accuracy...)
- Also scripts & learning from humans (unstuck, wandering...)
- 2007-2011: bots 25-30% vs. humans 35-80% human
- 6/2012 best bot better than 50% of the humans
- 9/2012...?

Success!!

The 2K BotPrize : Home
Can computers play like people?

480 Quality Export f Facebook t Twitter

Computers are superbly fast and accurate at playing games, but can they be programmed to be more fun to play - to play like you and me? People like to play against opponents who are like themselves - opponents with personality, who can surprise, who sometimes make mistakes, yet don't blindly make the same mistakes over and over. The BotPrize competition challenges programmers/researchers/hobbyists to create a bot for UT2004 (a first-person shooter) that can fool opponents into thinking it is another human player. The competition has been sponsored by 2K Games since 2008, with up to \$7000 prize money. It was created and is organised by Associate Professor Philip Hingston, of Edith Cowan University, in Perth, Western Australia.

In the competition, computer-controlled bots and human players (judges) meet in multiple rounds of combat, and the judges try to guess which opponents are human. To win the prize, a bot has to be indistinguishable from a human player.

Two Teams win the BotPrize!

In a breakthrough result, after five years of striving from 14 different international teams from nine countries, [two teams](#) have cracked the human-like play barrier!

The winners are the UT'2 team from the University of Texas at Austin, and Mihai Polceanu, a doctoral student from Romania, currently studying Artificial Intelligence in Brest, France. The UT'2 team consists of Professor Risto Miikkulainen, and doctoral students Jacob Schrum and Igor Karpov. The bots created by the two teams both achieved a humanness rating of 52%, easily exceeding the average humanness rating of the human players of 40%. The two teams will share the \$7000 first prize from sponsor 2K Games.

Full results can be found on the [results page](#). The UT'2 team has made their bot available at [this location](#) if you want to try it out (you'll also need a copy of Unreal Tournament 2004).

It's especially satisfying that the prize has been won in the 2012 Alan Turing Centenary Year. Where to now for human-like bots? Next year we hope to propose a new and exciting challenge for bot creators to push their technologies to the next level of human-like performance.



Home
Result
Teams
Competition Rules
Development
Press
Publications
FAQ

Quiz
[The 2008 Competition](#)
[The 2009 Competition](#)
[The 2010 Competition](#)
[The 2011 Competition](#)

The BotPrize in 2012 joins in the Centenary Celebration of the Life and Work of Alan Turing. Visit the official [2012 home page](#).

ALAN TURING 100



2012
Some Human-Like bot ideas:

- [conscious](#)
- [conscious, rational](#)
- [creatives](#)
- [Conscious++](#) - a biologically-inspired suite for consciousness research development

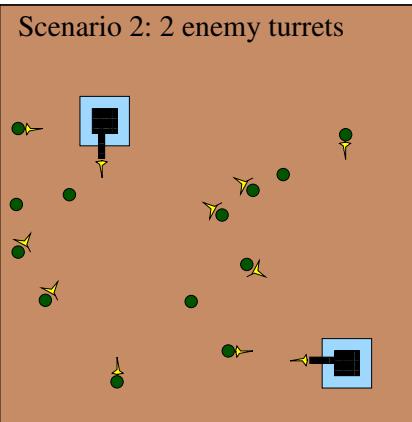
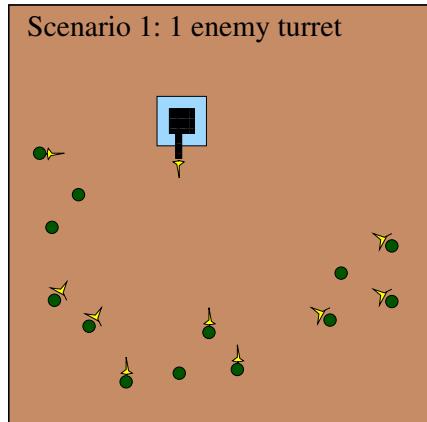
- In 2012, two teams reach the 50% mark!
- Fascinating challenges remain:
 - Judges can still differentiate in seconds
 - Judges lay cognitive, high-level traps
 - Team competition: collaboration as well

A New Genre: Machine Learning Games

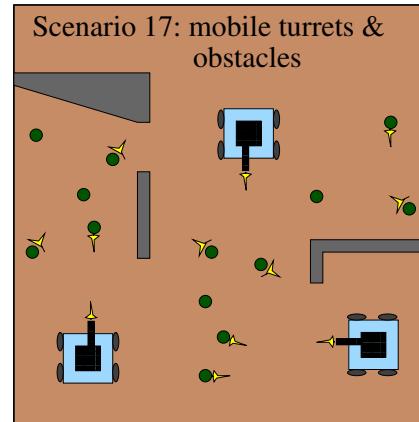


- E.g. NERO
 - Goal: to show that machine learning games are viable
 - Professionally produced by *Digital Media Collaboratory*, UT Austin
 - Developed mostly by volunteer undergraduates

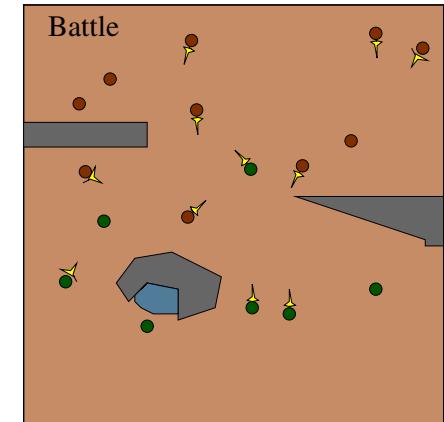
NERO Gameplay



...

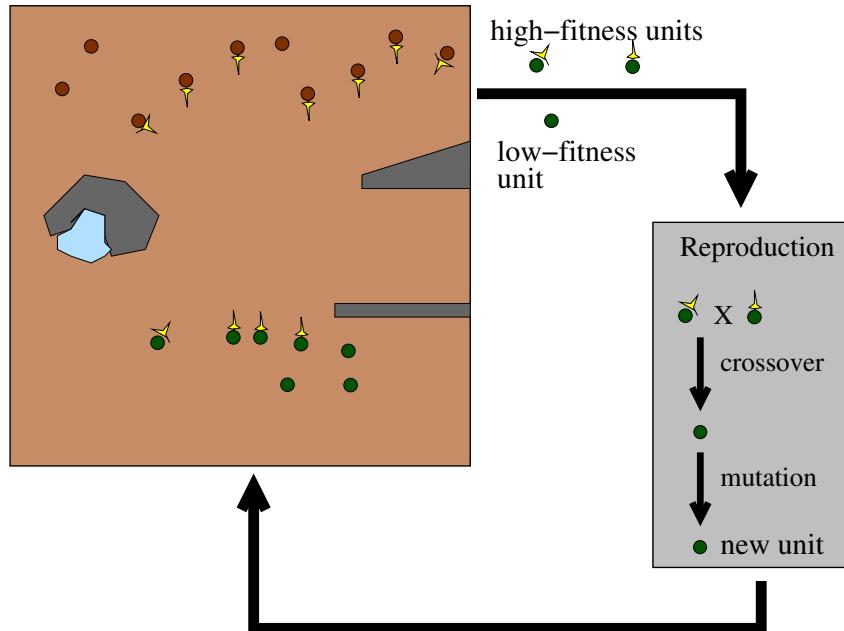


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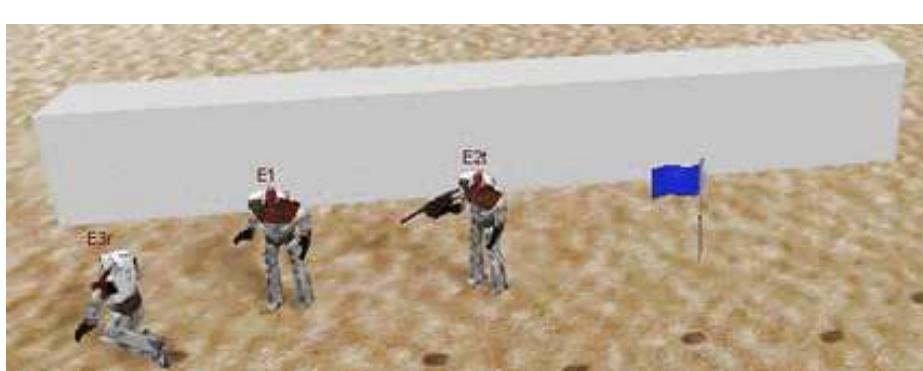
- Teams of agents trained to battle each other
 - Player trains agents through exercises
 - Agents evolve in real time
 - Agents and player collaborate in battle
- New genre: Learning *is* the game^{31;81}
 - Challenging platform for reinforcement learning
 - Real time, open ended, requires discovery
- Try it out:
 - Available for download at <http://nerogame.org>
 - Open source research platform version at opennero.googlecode.com

Real-time NEAT



- A parallel, continuous version of NEAT⁸¹
- Individuals created and replaced every n ticks
- Parents selected probabilistically, weighted by fitness
- Long-term evolution equivalent to generational NEAT

NERO Player Actions



- Player can place items on the field
 - e.g. static enemies, turrets, walls, rovers, flags
- Sliders specify relative importance of goals
 - e.g. approach/avoid enemy, cluster/disperse, hit target, avoid fire...
- Networks evolved to control the agents
- DEMO (available at nn.cs.utexas.edu)

Numerous Other Applications

- Creating art, music, dance...^{10;15;33;74}
- Theorem proving¹⁴
- Time-series prediction⁴⁶
- Computer system optimization²⁴
- Manufacturing optimization²⁹
- Process control optimization^{97;98}
- Measuring top quark mass¹⁰³
- Etc.

Evaluation of Applications



- Neuroevolution strengths
 - Can work very fast, even in real-time
 - Potential for arms race, discovery
 - Effective in continuous, non-Markov domains

- Requires many evaluations
 - Requires an interactive domain for feedback
 - Best when parallel evaluations possible
 - Works with a simulator & transfer to domain

Conclusion

- NE is a powerful technology for sequential decision tasks
 - Evolutionary computation and neural nets are a good match
 - Lends itself to many extensions
 - Powerful in applications
- Easy to adapt to applications
 - Control, robotics, optimization
 - Artificial life, biology
 - Gaming: entertainment, training
- Lots of future work opportunities
 - Theory needs to be developed
 - Indirect encodings
 - Learning and evolution
 - Knowledge, interaction, novelty

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