

# Capstone Project Documentation

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Project Repository: <https://github.com/kworathur/CV-Capstone/tree/main>

## Problem

Brain tumours affect approximately 50,000 Canadians every year, according to the Brain Tumour Registry of Canada (BTRC) [1]. Manual diagnosis of brain tumours is time-intensive and requires specialized knowledge of the brain [2]. We seek to develop automatic methods for diagnosis of glioma, meningioma, and pituitary tumors from Magnetic Resonance Imaging (MRI) scans. This entails a multi-class classification problem for which we hope to develop novel approaches.

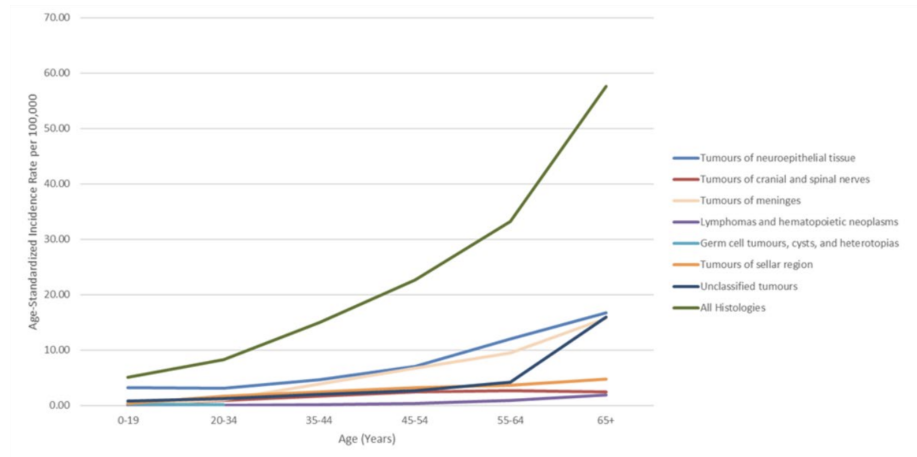


Figure 1: Brain Tumour Incidence Rates

## Dataset

Our chosen dataset is the figshare brain tumour dataset [3]. This dataset is available on kaggle. The dataset contains 3,064 slices of MRI scans from patients at Nanfang Hospital, Guangzhou, China. The slices were taken in the sagittal, axial, and coronal planes.

Examples of the tumour scans are shown below:

The input to the model is a MRI slice resized to  $256 \times 256$  pixels. The labels are 1 for Meningioma, 2 for Glioma, and 3 for Pituitary.

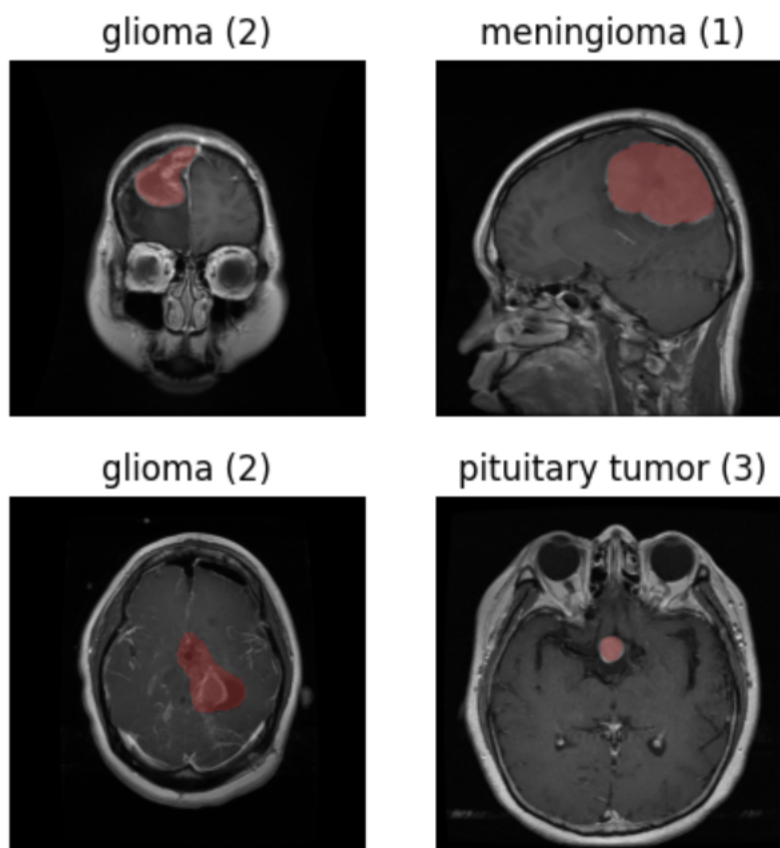


Figure 2: Examples of MRI slices

The dataset notably includes patient IDs, which we will use during evaluation of the model to prevent the model from being evaluated on patients on which it was trained. This is important to ensure that a model cannot use patient-specific markers in a scan as a “shortcut” for making predictions. # Implementation Details

We implemented a custom Convolutional Neural Network (CNN) in Tensorflow. The architecture is detailed in the paper “Classification of Brain Tumors using a Convolutional Neural Network”[3]

## Loading the Data

To load in the dataset, we created a custom pre-processing pipeline:

1. Resize the image from  $512 \times 512$  pixels to  $256 \times 256$  pixels.
2. Augment the image by performing a  $90^\circ$  rotation or by performing a flip over the horizontal axis.
3. Standardizing the pixels values in the input image.

We load the images into memory in batches of 16, to avoid exceeding the RAM quota in Google Colab.

## Model Implementation

Our model consists of four classification blocks, arranged in a sequence to down-sample the input image into a summary vector of size 2048. A diagram containing two such classification blocks is shown below:

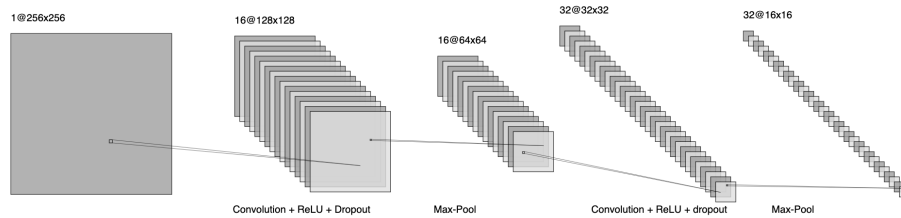


Figure 3: Diagram Depicting Classification Blocks

The model outputs a **softmax** vector of probabilities, where each probability represents the likelihood that a tumor class is present in the MRI scan. We take the class corresponding to the largest probability as the model’s prediction.

As we can see, the model has a much smaller capacity than models such as VGG16, which has 138 million parameters. The small size of our model makes

Model: "sequential\_1"

Layer (type)	Output Shape	Param #
resizing_1 (Resizing)	(None, 256, 256, 1)	0
normalization (Normalization)	(None, 256, 256, 1)	131073
conv2d (Conv2D)	(None, 128, 128, 16)	416
dropout (Dropout)	(None, 128, 128, 16)	0
max_pooling2d (MaxPooling2D)	(None, 64, 64, 16)	0
conv2d_1 (Conv2D)	(None, 32, 32, 32)	4640
dropout_1 (Dropout)	(None, 32, 32, 32)	0
max_pooling2d_1 (MaxPooling2D)	(None, 16, 16, 32)	0
conv2d_2 (Conv2D)	(None, 16, 16, 64)	18496
dropout_2 (Dropout)	(None, 16, 16, 64)	0
max_pooling2d_2 (MaxPooling2D)	(None, 8, 8, 64)	0
conv2d_3 (Conv2D)	(None, 8, 8, 128)	73856
dropout_3 (Dropout)	(None, 8, 8, 128)	0
max_pooling2d_3 (MaxPooling2D)	(None, 4, 4, 128)	0
flatten (Flatten)	(None, 2048)	0
dense (Dense)	(None, 2048)	4196352
dense_1 (Dense)	(None, 3)	6147
Total params: 4430980 (16.90 MB)		
Trainable params: 4299907 (16.40 MB)		
Non-trainable params: 131073 (512.01 KB)		

Figure 4: Listing of Model Layers

it possible to be deployed on a mobile phone or other inexpensive hardware.  
## Problems we could not solve

When we planned our project, we aimed to explain the decisions of our model by generating **counterfactual** images. Counterfactual images help us answer questions such as:

How would this scan of a patient with a Meningioma tumor be different if the patient instead had a Glioma tumor according to the model?

Asking such questions help us as humans understand what features in the scan cause the model to choose one class over another. This in turn allows us to gain more insight into the model’s decision making process.

To implement this idea, we considered using Generative Adversarial Networks (GANs), for generating such counterfactual images. However, the complexity that lies in training a GAN from scratch persuaded us to consider alternative approaches.

## Novel Contribution

When a model and a neurologist differ in their opinions about a scan, how can we reconcile these differences? Our novel contribution is the use of saliency maps for interpreting our classifier’s decisions. Our saliency maps are computed by taking partial derivatives of the predicted class with respect to each pixel of the original image.

## Evaluation Results

We trained our model using the NVIDIA T4 GPU available in Colab. We stopped training if the validation accuracy did not improve for 11 consecutive epochs.

The first approach used for evaluating the model is called “one test”. We simply use a 60-20-20 split for the training, validation, and test sets respectively. When evaluating our model on a single test set, we obtained a test accuracy of 93.4%. This is a promising result, however we wanted to be more confident in our assessment of the model’s performance so we employed another evaluation technique called **10-fold subject-wise cross validation**.

The procedure for evaluating the model using subject-wise cross validation is as follows:

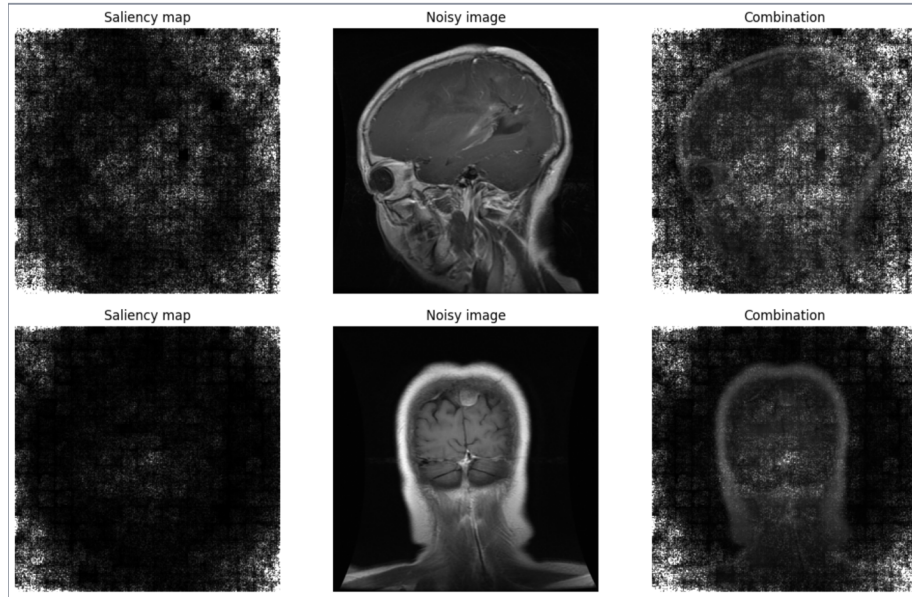


Figure 5: Saliency Maps

- Split the dataset into 10 subsets, called **folds**, where each patient can appear in only one fold. The number of distinct patients in each fold is roughly equal.
- Set aside two folds for the test set, two folds for the validation set, and using the remaining six folds as the training dataset.
- Train the model and record the test accuracy.
- Repeat this process until every example has appeared in the test set. Average the test accuracies to produce an estimate of the model's performance on unseen data.

We obtained an average test accuracy of 91.3%. This shows the model can differentiate between the different tumor classes. However, there is strong evidence the model may misclassify tumors when deployed in the real world.

While the model performs well on the provided test set, we must consider issues relating to model robustness. This model was trained on MRI slices originating from a single hospital. CNN models are capable of learning patterns unique to a specific imaging technology. Future experiments should subject the model to scans from different hospitals to ensure the model's prediction accuracy does not degrade.

## Individual Contributions

Throughout the semester, we worked as a group to develop our project and address issues as they arised. All members contributed equally to the project, with individual contributions listed below:

Laura Madrid explored novel extensions to our project, such as GANs for producing counterfactual images and the vanilla gradient method of producing saliency maps.

Lucas Noritomi-Hartwig selected the dataset and performed data pre-processing. He also researched novel extensions to our project and wrote the code for saliency maps.

Keshav Worathur researched related works pertaining to our problem and set up the project repository. He wrote code for the data pre-processing pipeline and trained the model.

## References

- [1] Brain Tumour Registry of Canada. <https://braintumourregistry.ca/>, 2019. Accessed: 2023-10-01.
- [2] E. S. Biratu, F. Schwenker, Y. M. Ayano, and T. G. Debelee, “A survey of brain tumor segmentation and classification algorithms,” *J Imaging*, vol. 7, Sept. 2021.
- [3] Milica M Badža and Marko Č Barjaktarović. Classification of brain tumors from mri images using a convolutional neural network. *Applied Sciences*, 10(6):1999, 2020.

## Workflow

The notebook `brain_tumor_classification.ipynb` contains code for:

- Downloading the dataset from Kaggle.
- Extracting images from `.mat` files, saving them to `.png` files.
- Visualizing MRI slices with tumour annotations.
- Pre-processing the data and augmenting the dataset.
- Training the model, saving model checkpoints to `best_model.h5`.
- Producing saliency maps from the trained model, with the option to load a pre-trained model.

To open the notebook in Google Colab:

1. File > Open Notebook
2. Open Notebook > From GitHub
3. Paste the repository url (<https://github.com/kworathur/CV-Capstone/tree/main>) in the search bar and click `brain_tumor_classification.ipynb` to open the notebook.
4. Runtime > Change Runtime Type > T4 GPU
5. Runtime > Run All
6. The second cell contains the following code:

```
from google.colab import files
files.upload() # Upload your kaggle API Key
```

This waits until the user uploads their kaggle API key, named `kaggle.json`. The notebook runs without user input, first visualizing the data then training the model. The saved model is saved to `best_model.h5`

7. If you would only like to view the saliency maps, locate the section titles “Load the Best Model”, and upload the pretrained model `best_model.h5` (found in this repository). Then, run the remaining cells to view the saliency maps.