




## Project 1: B+ tree implementation

**Jay D. Bodra**  
**ITLAB@UTA**  
 Email: jay.bodra@mavs.uta.edu  
 URL: itlab.uta.edu

---








## Talk Outline

- Understanding B+ tree concept in minibase
- Algorithm for insert(), \_insert(), NaiveDelete()
- Demo

---

9/22/2014

© Jay D. Bodra
2

## Understanding B+ tree concept in minibase



- HeaderPage
  - Header Page is the root node
  - There is only one Header Page
  - When you run the program a header page is created by the BtreeFile(String, int, int, int) constructor which will be pointing to an INVALID\_PAGE

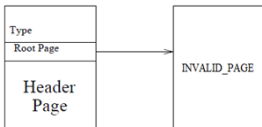




Figure 1: Initial B+ tree headerPage points to an INVALID\_PAGE

---


9/22/2014

© Jay D. Bodra
3

## Understanding B+ tree concept in minibase(1)

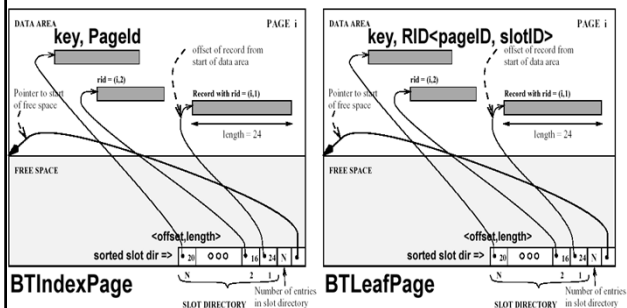


- IndexPage
  - Index Page is represented in the form of <key, PageId>
  - It points to the left leaf Page by its pageId and the rest leafPages that it points to are stored in form of <key, PageId>
- LeafPage
  - Leaf Page is represented in the form of <key, PageNo, SlotNo>
  - Leaf Pages are organized as a doubly link list
  - Previous pointer of Left most leaf page points to INVALID\_PAGE
  - Next Pointer of the right most leaf page points to INVALID\_PAGE

---

9/22/2014

© Jay D. Bodra
4

## Understanding B+ tree concept in minibase(2)



9/22/2014



© Jay D. Bodra

5

## An overview of given code

- To create a new Leaf Page or IndexPage
  - `BTLeafPage newRootPage=new BTLeafPage(headerPage.get_keyType());` defined under `BTLeafPage` class
  - What will the code for `IndexPage`?
- `PageId newRootPageId = newRootPage.getCurPage();`
  - `getCurPage()` is a method defined under `HFPAGE` Class which returns the page number of current page
- `currentPage.getType() == NodeType.INDEX`
  - `getType()` is a method defined under `HFPAGE` Class which return the type of page – leaf or Index
- `nextPageId=currentIndexPage.getPageNoByKey(key)`
  - `getPageNoByKey` is a method defined under `IndexPage` which will return the pageid or pageno of the page holding the key that is passed

9/22/2014



© Jay D. Bodra

6

## Algorithm for insert()

- Check the `headerPage.get_rootId().pid == INVALID_PAGE`
  - the tree is empty and we have to create a new first page **newRootPage**; this page will be a **leaf page**
  - set the `newRootPage.setNextPage(new PageId(INVALID_PAGE))` similarly set the `PrevPage` pointers of the new page created as it is a leaf node
  - insert the record on the page that is created
  - unPinpage the `newRootPage` as it is dirty [Note: it is help when the lower index page or leaf page gets split]
  - update the header `updateHeader(newRootPage)`
- Now check for a split
  - Create an instance of `KeyDataEntry newRootEntry` that will catch the return statement from `_insert(KeyClass, RID, pageId)` method

9/22/2014



© Jay D. Bodra

7

## Algorithm for insert() cont..

- If the `newRootEntry` is not null means a split occurred
  - Create a new page; this time the page will be an Index page as the initial leaf page got split
  - Insert record on this index page in the form of `<key, pageId>`; `newRootPage.insertKey( newRootEntry.key, ((IndexData)newRootEntry.data).getData() )`
  - The old root is split and it will now become the left child of new root; set the `prevPage` pointer to the old root using `headerPage.get_rootId()`
  - unPinpage the new root using its page id
  - Update the header to new root using its page id

9/22/2014



© Jay D. Bodra

8

## Algorithm for \_insert()



- Initially create an empty Page page; and pin it using the pageld passed to the \_insert() and then create a BTSortedPage currentpage of the page which will associate the sorted page instance with the page instance; Also create a KeyDataEntry upEntry
- Check if currentpage is of type Index
  - Create a BTIndexpage currentIndexPage, a variable to store its pageld CurrentIndexpageld, and a variable to store the pageld of the new key nextPageId=currentIndexPage.getPageNoByKey(key)
  - Unpin the currentIndexPage using its pageld; recurse by using upEntry and passing correct parameters to \_insert() then pin it again
    - If upEntry is null no split occurred; return null;
  - Check if the currentIndexPage has space for new entries `currentIndexPage.available_space() >= BT.getKeyDataLength(upEntry.key, NodeType.INDEX)`
    - If true insert the data on currentIndexPage and unpin the page as it is dirty
  - No space is available so create a newIndexPage; get its pageld

9/22/2014



© Jay D. Bodra

9

## Algorithm for \_insert() contd..



- Create a tmpkeyDataEntry and a RID delRID = new RID(), Use a for loop for ( tmpEntry= currentIndexPage.getFirst( delRID); tmpEntry!=null;tmpEntry= currentIndexPage.getFirst( delRID)) to transfer all the records from currentIndexPage to newIndexPage
  - Insert the records on newIndexPage
  - Delete records from currentIndexPage=deleteSortedRecord(delRID)
- Make the split equal using other for loop to split the records equally[hint: use a if statement to undo last record]
- Compare the key using `BT.keyCompare( upEntry.key, tmpEntry.key)`
  - If the value is positive the new key upEntry.key goes on the newIndexPage
  - Else on the currentIndexPage

9/22/2014



© Jay D. Bodra

10

## Algorithm for \_insert() contd..



- Unpin the currentIndexPage as it is dirty
- Fill up the upEntry= newIndexPage.getFirst(delRID)
- Set the left link in the newIndexPage .i.e. setPrevPage [hint: pass the upEntry.data]
- Delete the first record from newIndexPage
- Unpin the newIndexPage as it is dirty
- Set the higher Index page in the hierarchy to point to the newIndexPage; `((IndexData)upEntry.data).setData(newIndexPageId)`
- Return upEntry

9/22/2014



© Jay D. Bodra

11

## Algorithm for \_insert() contd..



- Else check if currentpage is of type Leaf
  - Create a BLeafpage currentLeafPage, a variable to store its pageld CurrentLeafpageld
  - Check if the currentLeafPage has space for new entries `currentLeafPage.available_space() >= BT.getKeyDataLength(upEntry.key, NodeType.LEAF)`
    - If true insert the data on currentLeafPage and unpin the page as it is dirty
  - No space is available so create a newLeafPage; get its pageld; set the nextPage and prevPage pointer for newLeafpage and nextPage Pointer for CurrentLeafPage
  - Create a tmpkeyDataEntry and a RID delRID = new RID(), Use a for loop for ( tmpEntry= currentLeafPage.getFirst( delRID); tmpEntry!=null;tmpEntry= currentLeafPage.getFirst( delRID)) to transfer all the records from currentLeafPage to newLeafPage
    - Insert the records on newLeafPage
    - Delete records from currentLeafPage=deleteSortedRecord(delRID)

9/22/2014



© Jay D. Bodra

12

## Algorithm for \_insert() contd..



- Make the split equal using other for loop to split the records equally [hint: use Keycompare to undo the last record]
- Compare the key using BT.keyCompare( key, undoEntry.key)
  - If the value is positive the key goes on the newLeafPage
  - Else on the currentLeafPage
- Unpin the currentLeafPage as it is dirty
- Fill up the tmpEntry= newLeafPage.getFirst(delRid); upEntry=new KeyDataEntry(tmpEntry.key, newLeafPageId )
- Unpin the newLeafPage as it is dirty
- Return upEntry
- Else throw and insertException(null, "")

9/22/2014



© Jay D. Bodra

13

## Algorithm for NaiveDelete()



- Create a leafPage; a iterator of type RID and a KeyDataEntry entry
- Use the function findrunStart(KeyClass, RID) to find the first page and rid of keys
- If leafpage is NULL return false
- Set entry = leafPage.getCurrent(curRid)

9/22/2014



© Jay D. Bodra

14

## Algorithm for NaiveDelete() contd..



- While(true)
- While(entry == null )
  - Have to go right; nextPage = leafPage.getNextPage()
  - Unpin the previousPage
  - if nextPage.pid == INVALID\_PAGE; return FALSE
  - Initialize the leafPage to nextPage along with pinning the nextPage
  - Initialize entry by get the first RID of the leafPage
- Using KeyCompare check the key and entry.key; if positive value break
- Check leafPage.delEntry(new KeyDataEntry(key, rid)) == true; the key is successfully found and is delete; Unpin the leafPage as it is dirty
- Go right nextPage = leafPage.getNextPage()
- Unpin the leafPage using getCurPage()
- Initialize the leafPage to nextPage along with pinning the nextPage
- Initialize entry by get the first RID of the leafPage
- Unpin the leafPage using getCurPage()
- Return false

9/22/2014



© Jay D. Bodra

15

Thank You !!!



9/22/2014



© Jay D. Bodra

16