# Chapter 3. Growth of Functions

## **Growth of functions**

- A way to describe behavior of functions in the limit
  -- asymptotic efficiency.
- Growth of functions.
- Focus on what's important by abstracting away low-order terms and constant factors.
- How to indicate running times of algorithms?
- A way to compare "sizes" of functions:

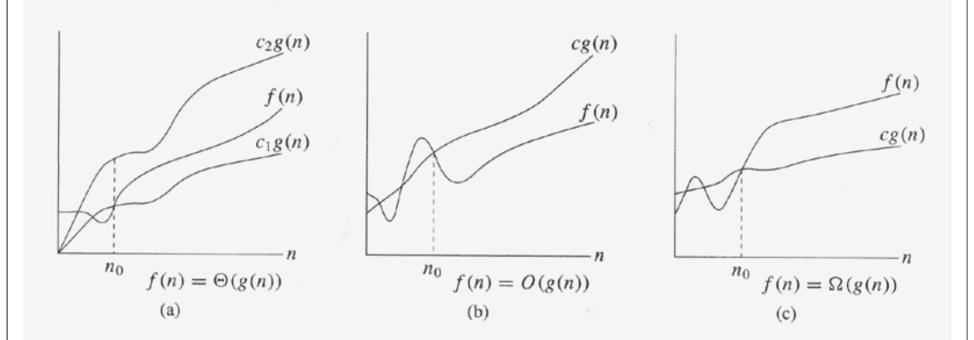


Figure 3.1 Graphic examples of the  $\Theta$ , O, and  $\Omega$  notations. In each part, the value of  $n_0$  shown is the minimum possible value; any greater value would also work. (a)  $\Theta$ -notation bounds a function to within constant factors. We write  $f(n) = \Theta(g(n))$  if there exist positive constants  $n_0$ ,  $c_1$ , and  $c_2$  such that to the right of  $n_0$ , the value of f(n) always lies between  $c_1g(n)$  and  $c_2g(n)$  inclusive. (b) O-notation gives an upper bound for a function to within a constant factor. We write f(n) = O(g(n)) if there are positive constants  $n_0$  and c such that to the right of  $n_0$ , the value of f(n) always lies on or below cg(n). (c)  $\Omega$ -notation gives a lower bound for a function to within a constant factor. We write  $f(n) = \Omega(g(n))$  if there are positive constants  $n_0$  and c such that to the right of  $n_0$ , the value of f(n) always lies on or above cg(n).

# **Asymptotic Notation**

#### **O**-notation

```
O(g(n)) = \{f(n) : \text{ there exist positive constants } c \text{ and } n_0 \}
such that 0 \le f(n) \le cg(n) \text{ for all } n \ge n_0 \}.
```

g(n) is an asymptotic upper bound for f(n).

#### Example:

Also,

$$2n^2 = O(n^3)$$
, with  $c=1$  and  $n_0=2$ .  
also,  $2n^2 = O(n^2)$ , with  $c=2$  and  $n_0=0$ .

Examples of functions in  $O(n^2)$ :

$$n^2$$
,  $n^2 + n$ ,  $n^2 + 1000n$ ,  $1000n^2 + 1000n$ 

$$n$$
,  $n/1000$ ,  $n^{1.9999}$ ,  $n^2/\lg \lg \lg n$ 

## .. continued

#### $\Omega$ -notation

```
\Omega(g(n)) = \{f(n) : \text{ there exist positive constants } c \text{ and } n_0 \}
such that 0 \le c g(n) \le f(n) for all n \ge n_0\}.
```

g(n) is an **asymptotic lower bound** for f(n).

#### Example:

$$\sqrt{n} = \Omega(\lg n)$$
, with  $c=1$  and  $n_0=16$ .

Examples of functions in  $\Omega(n^2)$ :

$$n^2$$
,  $n^2 + n$ ,  $n^2 - n$ ,  $1000n^2 + 1000n$ ,  $1000n^2 - 1000n$ ,

Also,

$$n^3$$
,  $n^{2.0000}$ ,  $n^2 \lg \lg \frac{2^{2^4}}{3}n$ ,

## .. continued

#### **Θ**-notation

```
\Theta(g(n)) = \{f(n) : \text{ there exist positive constants } c_1, c_2 \text{ and } n_0 \} such that 0 \le c_1 g(n) \le f(n) \le c_2 g(n) \text{ for all } n \ge n_0 \}.
```

g(n) is an **asymptotic tight bound** for f(n).

#### Example:

$$n^2/2 - 2n = \Theta(n^2)$$
, with  $c_1 = 1/4$ ,  $c_2 = 1/2$  and  $n_0 = 8$ .  
Also,  $2n^2 = \Theta(n^2)$ , with  $c_1 = 1$ ,  $c_2 = 3$  (or  $c_1 = c_2 = 2$ ) and  $n_0 = 0$ .

#### Theorem:

$$f(n) = \Theta(g(n)) \text{ iff } f = O(g(n)) \text{ and } f = \Omega$$

$$(g(n)).$$

## .. continued

#### o-notation

```
o(g(n)) = \{f(n) : \text{ for all constants } c > 0, \text{ there exist a constant } n_0 > 0 \text{ such that } 0 \le f(n) < cg(n) \text{ for all } n \ge n_0\}.
```

g(n) is an asymptotic strict upper bound for f(n).

Another view:  $\lim_{n\to\infty}\frac{f(x)}{g(x)}=0$ 

#### Example:

$$n^{1.9999} = o(n^2)$$
,  $n^2 \neq o(n^2)$  (just like  $n^2 \neq 0$ ),  $n^2/1000 \neq o(n^2)$ 

#### $\omega$ -notation

 $\omega(g(n)) = \{f(n) : \text{ for all constants } c > 0, \text{ there exist a constant } n_0 > 0 \text{ such that } 0 \le cg(n) < f(n) \text{ for all } n \ge n_0\}.$ 

g(n) is an asymptotic strict lower bound for f(n).

Another view:  $\lim_{n\to\infty} \frac{f(n)}{g(n)} = \infty$ .

#### Example:

$$n^{2.0001} = \omega(n^2), \quad n^2 \lg n = \omega(n^2), \quad n^2 \neq \omega(n^2)$$

## **Comparisons of Functions**

#### **Related Properties:**

#### **Transitivity:**

```
f(n) = \Theta(g(n)) and g(n) = \Theta(h(n)) \Rightarrow f(n) = \Theta(h(n)).
Same for O, \Omega, o, and \omega.
```

#### Reflexivity:

$$f(n) = \Theta(f(n)).$$
  
Same for  $O$  and  $\Omega$ .

#### Symmetry:

$$f(n) = \Theta(g(n))$$
 if and only if  $g(n) = \Theta(f(n))$ .

#### Transpose symmetry:

```
f(n) = O(g(n)) if and only if g(n) = \Omega(f(n)).

f(n) = \omega(g(n)) if and only if g(n) = \omega(f(n)).
```

### Comparisons:

```
f(n) is asymptotically smaller than g(n) if f(n) = o(g(n)). f(n) is asymptotically larger than g(n) if f(n) = \omega(g(n)).
```

#### Standard notations and common functions

#### Monotonicity:

```
f(n) is monotonically increasing if m \le n \Rightarrow f(m) \le f(n).

f(n) is monotonically decreasing if m \le n \Rightarrow f(m) \ge f(n).

f(n) is strictly increasing if m < n \Rightarrow f(m) < f(n).

f(n) is strictly decreasing if m < n \Rightarrow f(m) > f(n).
```

Floor and Ceilings:  $x-1 < \lfloor x \rfloor \le x \le \lceil x \rceil < x+1$ 

Modular arithmetic:  $a \mod n = a - \lfloor a/n \rfloor n$ 

Polynomials:  $p(n) = \sum_{i=0}^{d} a_i n^i$ 

**Exponentials:** 

Logarithms:

Factorials: -- refer to the textbook (p52-55).