

REACHABILITY PROJECT LOG :)

1. PROBLEM DESCRIPTION

Our goal is to learn *where the robot should stand* given a desired grasp.

1.1. **Problem inputs.** Formulating the problem, we are given as inputs:

- $x_e \in SE(3)$: desired end-effector pose in world coordinates
- $b \in SE(2)$: wheeled mobile base pose (x, y, γ)
- $q \in \mathbb{R}^n$: arm joint configuration.

1.2. **Problem outputs.** We want to find a range of feasible whole-body configurations that satisfy the following requirements:

- (1) **IK feasibility:** forward kinematics(b, q) = x_e
- (2) **Visibility/sensing constraints:** The end-effector should lie within the camera’s field of view and range (and un-occluded by the robot itself), i.e. $\text{visible}(b, q, x_e) = 1$
- (3) **Ball of feasible configurations:** We want a region in joint space around (b, q) that keeps the hand in approximately the right pose and satisfies the above constraints.

Our target is to model some distribution $p(b, q \mid x_e)$ subject to these constraints \uparrow , ideally with some notion of pose quality (visibility, manipulability, clearance, etc.).

2. SIMPLIFIED PROBLEM

2.1. **Setup.** Simple robot is a round thing with a fixed “stick” attached to it: a disk on the floor with a rigid stick of fixed length L glued to it, and the stick rotates with the disk.

- Configuration: $Q = x, y, \theta$ ($\mathbb{R}^2 \times S^1$)
- Hand target: $H = h_x, h_y$ (point in \mathbb{R}^2)

In this setup, $H \in \mathbb{R}^2$ is the desired 2D point on the floor where we want the tip of the stick to be.

2.2. **Forward kinematics.**

$$\text{hand}(x, y, \theta) := f(Q) = \begin{bmatrix} x \\ y \end{bmatrix} + L \begin{bmatrix} \cos \theta & \sin \theta \end{bmatrix}$$

So the IK constraint (“hand hits the target”) is:

$$H = \begin{bmatrix} h_x \\ h_y \end{bmatrix} = \begin{bmatrix} x \\ y \end{bmatrix} + L \begin{bmatrix} \cos \theta \\ \sin \theta \end{bmatrix}$$

Rearranging shows that as θ varies, the base center (x, y) traces out a circle of radius L around the target point H : the set of feasible base positions for the robot to reach H is a circle centered at H .

Ground truth feasible set for a fixed $H := \{Q : f(Q) = H\} = \{(h_x - L \cos \theta, h_y - L \sin \theta, \theta) : \theta \in [0, 2\pi)\}$

2.3. **Approaches.** We try the following approaches to model $p(Q \mid H)$:

(1) *Nearest neighbors* (baseline):

- Given a dataset $\mathcal{D} = \{(H_i, Q_i)\}_{i=1}^N$: for a query H' ,
 - (a) Find indices of the k nearest H_i to H' (under $\|H_i - H'\|$)
 - (b) Return their associated Q_i ’s (either all or sample one etc.)
- For a new H' , we can return the Q_i associated to the nearest H_i , or sample from an empirical conditional distribution created based on the nearest neighbor graph: $\hat{p}_{\text{kNN}}(Q \mid H') = \sum_{j \in \mathcal{N}_k(H')} w_j(H') \delta(Q - Q_j)$ (where weight w_j is proportional to the distance between H' and H_i , i.e. $w_j \propto \exp(-\|H_j - H'\|^2 / \sigma^2)$).

(2) *Conditional VAE*: Introduce latent $z \in \mathbb{R}^d$: $z \sim \mathcal{N}(0, I)$, $Q \sim p_\theta(Q \mid H, z)$

- Train an encoder $q_\phi(z | Q, H)$ and decoder $p_\theta(Q | H, z)$ via ELBO loss:

$$\log p_\theta(Q | H) \geq \mathbb{E}_{z \sim q_\phi(z | Q, H)} [\log p_\theta(Q | H, z)] - \text{KL}[q_\theta(z | Q, H) || \mathcal{N}(0, I)]$$

- Potential decoder choice: output $\mu_\theta(H, z)$ and diagonal $\Sigma_\theta(H, z)$; use Gaussian likelihood:

$$\log p_\theta(Q | H, z) = \log \mathcal{N}(Q; \mu_\theta(H, z), \Sigma_\theta(H, z))$$

[TODO: Read into math more], notes in 3.2

- Advantages of cVAE for this problem:
 - Multi-modality via latent variable z (different z 's can map to different valid configurations on the circle) \rightarrow addresses mode collapse relative to deterministic nets [assuming we avoid posterior collapse (decoder ignores z)]
 - Smooth generalization in H : theoretically, the encoder/decoder can learn continuous maps in H -space, allowing better interpolation than the nearest neighbors approach.
- Note: θ is periodic, so training a Gaussian likelihood $p_\theta(Q | H, z)$ (in the decoder) on raw $\theta \in [0, 2\pi)$ is awkward because $\theta \approx 0$ and $\theta \approx 2\pi$ are close physically but far numerically ($\hat{\theta} = 2\pi - 0.01$ is a pretty good prediction for ground truth $\theta = 0.01$). To avoid this, instead of modeling $\theta \sim \mathcal{N}(\mu, \sigma^2)$, we can model a Gaussian over Cartesian coordinates in \mathbb{R}^2 :

$$(\cos \theta, \sin \theta) \sim \mathcal{N}(\mu_{cs}, \text{diag}(\sigma_{cs}^2))$$

We can recover θ via atan2 at inference time.

(3) *Invertible NN* (FrEIA): [TODO: Read into math more], notes in 3.3

- Invertibility requires equal dimensions \rightarrow in the toy problem, $\dim(Q) = 3 \neq \dim(H) = 2$, so add a latent $z \in \mathbb{R}^1$ s.t. $(H, z) \in \mathbb{R}^3$
- Learn an invertible map: $F_\theta : Q \leftrightarrow (\hat{H}, z)$ where z is encouraged to be $\mathcal{N}(0, 1)$ and \hat{H} matches H
- \uparrow Training objectives:
 - Forward consistency: $\hat{H} \approx H \rightarrow \mathcal{L}_H = \|\hat{H} - H\|^2$
 - Latent regularization: make $z \sim \mathcal{N}(0, 1)$
- At test time, the inverse we want is:

$$Q = F_\theta^{-1}(H, z), \quad z \sim \mathcal{N}(0, 1)$$

2.4. Evaluation. Assuming that the ground-truth $p^*(Q | H)$ is a uniform distribution over the feasible circle set, i.e.: $\theta \sim \text{Unif}[0, 2\pi) \rightarrow x = h_x - L \cos \theta, y = h_y - L \sin \theta$:

- Accuracy: $e_{\text{hand}}(Q, H) := \|f(Q) - H\|$
- Diversity/coverage: convert each sample to its implied angle on the circle:

$$\theta_{\text{implied}}^{(s)} := \text{atan2}(h_y - y^{(s)}, h_x - x^{(s)})$$

Check how well the empirical distribution over θ matches the target (here we assume $\theta \sim \text{Unif}[0, 2\pi)$): for histogram $\hat{p}(\theta)$, we can compute:

- KL divergence to uniform: $D_{\text{KL}}(\hat{p}(\theta) || \text{Unif})$
 - Max angle gap: sort angles $\theta^{(s)}$ around the circle, compute max gap $\Delta_{\text{max}} = \max_i(\theta_{i+1} - \theta_i)$
- Large Δ_{max} = model is missing big arcs (collapse)

- “Uses latent” test (for cVAE/cINN): fix H , sample many z 's, then measure output variance $\text{Var}(Q | H)$. If the model ignores z , this variance will be small.
- Generalization in H : make a test set where H is (1) in-distribution, (2) near boundary, (3) out-of-distribution (slightly outside training workspace). Compare success and coverage!
- Inference speed per sample

2.5. Extending to more general cases. Ideally, would be nice if this toy problem gave us an idea of the advantages/disadvantages of different approaches in regards to the following challenges:

- *Mode collapse*: Test: fixed H , sample 1k solutions, compute Δ_{max} , KL-to-uniform, etc.
- *Generalization*: Test: distribution of $e_{\text{hand}} = \|f(Q) - H\|$
- *Bias from data collection* (forward vs. inverse sampling):

2.6. Potential next-step extensions.

- Disconnected feasible sets: modify toy with a “visibility” constraint such as

$$\text{visible}(Q, H) = 1 \iff \theta \in [\alpha_1, \beta_1] \cup [\alpha_2, \beta_2]$$

Now the true $p^*(Q | H)$ is two separated modes. **Test:** cluster sampled angles into arcs and compute mode recall: fraction of samples that land in each arc.

- Scalability with dimension: add DoF to the toy (e.g., 2-link arm, variable stick length). **Test:** track how coverage/error degrades with dimension.

3. EXTRA NOTES

3.1. Mode collapse. A generative model has mode collapse if, for a fixed condition H , the samples $Q \sim \hat{p}(Q | H)$ cover only a small subset of the true support of $p^*(Q | H)$.

- In this toy problem, the support is the full circle parameterized by θ . Mode collapse looks like the model always outputting $\theta \approx 0$ (i.e. stands in one favorite location) or outputting only a few discrete angles, ignoring the rest the circle, etc.

3.2. Conditional VAE theory. The goal is to model a multi-modal conditional distribution over robot configurations Q given target H : $p^*(Q | H)$. In our simple robot setup, the true conditional has a 1D manifold of solutions (a circle in (x, y) paired with corresponding θ), so it’s not unimodal in the usual sense (rather than being a single blob in \mathbb{R}^d , for fixed H , probability mass $p^*(Q | H)$ is concentrated along a ring in (x, y) with a corresponding orientation θ at each point).

3.2.1. Motivation. Because $p^*(Q | H)$ is not unimodal, if we model $p(Q | H)$ as a single Gaussian/predict a single mean, we face mean collapse: the mean of points around a circle is the center of the circle, but the center is invalid. So the “best” unimodal prediction is often physically invalid/low-probability under the true distribution.

3.2.2. Model architecture. We introduce latent $z \in \mathbb{R}^{d_z}$, which ideally will be the variable that “chooses” which solution the model means among the many possible solutions on the ring for a given H . Define a prior $p(z) = \mathcal{N}(0, I)$. There are two parts to the model (decoder and encoder):

- Decoder (generative model): The decoder is a conditional distribution $p_\theta(Q | H, z)$. For the architecture of the decoder, we use the diagonal Gaussian ([try other architectures next](#)):

$$p_\theta(Q | H, z) = \mathcal{N}(Q; \mu_\theta(H, z), \text{diag}(\sigma_\theta^2(H, z)))$$

where $\mu_\theta(H, z)$ and σ_θ^2 are the predicted mean/variance of each coordinate of Q .

- *Interlude:* $p_\theta(Q, z | H) = p(z | H)p(Q | z, H) = p(z)p_\theta(Q | H, z)$ [assuming $p(z | H) = p(z)$]. Bayes’ rule gives:

$$p_\theta(z | Q, H) = \frac{p_\theta(Q, z | H)}{p_\theta(Q | H)} = \frac{p(z)p_\theta(Q | H, z)}{p_\theta(Q | H)}$$

Expanding the denominator: $p_\theta(Q | H) = \int p(z')p_\theta(Q | H, z') dz'$ (consider all possible latent explanations z' , weight how likely each would produce Q , then sum them up). Plugging this in,

$$p_\theta(z | Q, H) = \frac{p(z)p_\theta(Q | H, z)}{\int p(z')p_\theta(Q | H, z') dz'}$$

The denominator $\int p(z')p_\theta(Q | H, z') dz'$ is intractable: $p_\theta(Q | H, z') = \mathcal{N}(Q; \mu_\theta(H, z'), \Sigma_\theta(H, z'))$, so we effectively have

$$p(z')p_\theta(Q | H, z') \Rightarrow \mathcal{N}(z'; 0, 1) \times \mathcal{N}(Q; \mu_\theta(H, z'), \Sigma_\theta(H, z'))$$

For fixed Q and H , $\mathcal{N}(Q; \mu_\theta(H, z'), \Sigma_\theta(H, z'))$ becomes some complicated nonnegative function of $z' \rightarrow g(z')$. Then,

$$p_\theta(Q | H) = \int \mathcal{N}(z'; 0, 1)g(z') dz'$$

This is very hard to compute analytically: recall the multivariate Gaussian density is given by:

$$f_{\mu, \Sigma}(Q) = \frac{1}{(2\pi)^{d/2}|\Sigma|^{1/2}} \exp\left(-\frac{1}{2}(Q - \mu)^\top \Sigma^{-1}(Q - \mu)\right)$$

Here, we plug in $\mu = \mu_\theta(H, z'), \Sigma = \Sigma_\theta(H, z')$. These are neural-net outputs, i.e. complicated nonlinear functions of z' , which makes the integral difficult to compute.

Since the true posterior is intractable, introduce a tractable approximation $q_\phi(z | Q, H) : (Q, H) \mapsto p(z)$ which essentially answers “If this Q came from some latent z , what must that z have been?”

- Encoder (inference model): The encoder is the conditional distribution $q_\phi(z | Q, H)$.

3.2.3. *ELBO loss*.

3.3. **FrEIA INN theory.** They claim that their invertible neural net is essentially normalized flow – here is the math behind this!

3.4. Normalized flow.

REFERENCES