

# Working in the Spherical Harmonic Oscillator Basis

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The purpose of these notes is to help you computing the matrix elements of the Minnesota potential in the harmonic oscillator basis.

## 1 The Spherical Harmonic Oscillator Basis

In this section, we look at the eigenstates of the spherical quantum harmonic oscillator

$$\hat{H}_0 = \frac{\mathbf{p}^2}{2m} + \frac{1}{2}m\omega\mathbf{r}^2 \quad (1)$$

in the special case of spherical symmetry.

### 1.1 Eigenstates of the Harmonic Oscillator

**General Form** - The solutions to the Schrödinger equation for an arbitrary central potential in spherical symmetry are entirely characterized by the quantum numbers  $n$ ,  $\ell$ ,  $j$  and  $m$ ; the wave-functions factorize according to

$$\boxed{\psi_{n\ell jm}(r, \theta, \varphi) = R_{n\ell}(r)\mathfrak{Y}_{\ell jm}(\theta, \varphi)} \quad (2)$$

where  $R_{n\ell}(r)$  is the radial wave-function and  $\mathfrak{Y}_{\ell jm}(\theta, \varphi)$  are the solid harmonics. The solid harmonics  $\ell, j, m$  correspond to the tensor product of the spherical harmonics  $Y_{\ell m_\ell}(\theta, \varphi)$  with the spin functions  $\chi_{sm_s}$ ,

$$\mathfrak{Y}_{\ell jm}(\theta, \varphi) = [Y_{\ell m_\ell}(\theta, \varphi) \otimes \chi_{sm_s}]_{jm}. \quad (3)$$

More explicitly, this can be re-written

$$\mathfrak{Y}_{\ell jm}(\theta, \varphi) = \sum_{m_s=\pm 1/2} C_{\ell m_\ell, sm_s}^{jm} Y_{\ell m_\ell}(\theta, \varphi), \chi_{sm_s} \quad (4)$$

where the symbols  $C_{\ell m_\ell, sm_s}^{jm}$  are the Clebsch-Gordan coefficients.

**Radial Function for the Harmonic Oscillator** - In the case where the potential is the harmonic oscillator, the radial wave function  $R_{n\ell}(r)$  becomes

$$R_{n\ell}(r) = \frac{A_{n\ell}}{b^{3/2}} \xi^\ell e^{-\xi^2/2} L_n^{\ell+1/2}(\xi^2) \quad (5)$$

where  $\xi = r/b$  is a dimensionless variable and  $b = \sqrt{\hbar/(m\omega)}$  is the oscillator length (in fm). The quantities  $L_n^{\ell+1/2}$  are the generalized Laguerre polynomials. In Eq. (5),  $A_{n\ell}$  is a normalization constant. To determine it, we use the orthonormality of the wave functions  $\psi_{n\ell jm}$  and find

$$A_{n\ell} = \sqrt{\frac{2^{n+\ell+2} n!}{\pi^{1/2} (2n+2\ell+1)!!}} \quad (6)$$

## 1.2 Generalized Laguerre Polynomials

**Recurrence Relation** - The generalized Laguerre polynomials verify the following recurrence relations (Abramowitz, 22.7.29, 22.7.30)

$$L_n^{(\alpha+1)}(x) = \frac{1}{x} \left[ (x-n) L_n^{(\alpha)}(x) + (\alpha+n) L_{n-1}^{(\alpha)}(x) \right] \quad (7)$$

$$L_n^{(\alpha-1)}(x) = L_n^{(\alpha)}(x) - L_{n-1}^{(\alpha)}(x), \quad (8)$$

where  $n$  is an integer,  $n \in \mathbb{N}$ , and  $\alpha$  is a real number. In the following, we will only need  $\alpha$  half-integer. The two relations (7)-(8) are equivalent to

$$L_n^{(\alpha+1)}(x) = \frac{1}{x} \left[ (x+\alpha) L_n^{(\alpha)}(x) - (\alpha+n) L_n^{(\alpha-1)}(x) \right]. \quad (9)$$

The first two polynoms are obtained from

$$L_n^{(-1/2)}(x) = \frac{(-1)^n}{n! 2^n} H_{2n}(\sqrt{x}) \quad (10)$$

$$L_n^{(+1/2)}(x) = \frac{(-1)^n}{n! 2^{n+1}} H_{2n+1}(\sqrt{x}) \quad (11)$$

where  $H_n(x)$  is the Hermite polynomials of order  $n$ .

**Orthonormality** - The generalized Laguerre polynomials verify the following orthonormality condition

$$\int_0^{+\infty} e^{-u} u^\alpha L_n^{(\alpha)}(u) L_{n'}^{(\alpha)}(u) du = \delta_{nn'} \frac{\Gamma(n+\alpha+1)}{n!}, \quad (12)$$

for  $\alpha > -1$  and  $n \in \mathbb{N}$ . The Gamma function is, for any integer  $k$  (Abramowitz, 6.1.12),

$$\Gamma\left(k + \frac{1}{2}\right) = \frac{1 \times 3 \times \cdots \times (2k-1)}{2^k} \Gamma\left(\frac{1}{2}\right) \quad (13)$$

which can be recast into

$$\Gamma\left(k + \frac{1}{2}\right) = \frac{(2k)!}{2^{2k}p!} \Gamma\left(\frac{1}{2}\right) \quad (14)$$

with  $\Gamma(1/2) = \sqrt{\pi}$ .

## 2 Matrix Elements of the Hamiltonian

We now move to the problem of computing the matrix elements of the Minnesota Hamiltonian in the HO basis. Recall that the Hamiltonian reads

$$\hat{H} = \sum_{ab} t_{ab} c_a^\dagger c_b + \frac{1}{2} \sum_{abcd} \bar{v}_{abcd} c_a^\dagger c_b^\dagger c_d c_c, \quad (15)$$

with the antisymmetrized matrix elements defined by

$$\bar{v}_{abcd} = \int d^3\mathbf{r}_1 \int d^3\mathbf{r}_2 \phi_a^*(x_1) \phi_b^*(x_2) \hat{V} \left(1 - \hat{P}_\sigma \hat{P}_r\right) \phi_c^*(x_1) \phi_d(x_2) \quad (16)$$

with  $a$  a generic notation for  $a \equiv (n_a, \ell_a, j_a, m_a)$ .

### 2.1 Matrix of the Kinetic Energy Operator

We give below, without demonstration, the matrix elements of the kinetic energy operator, i.e., the elements  $t_{ac}$ . By virtue of the spherical symmetry, we have

$$t_{ac} = \langle a | \hat{T} | c \rangle = \langle n_a \ell_a j_a m_a | \hat{T} | n_c \ell_c j_c m_c \rangle = \delta_{\ell_a \ell_c} \delta_{j_a j_c} \delta_{m_a m_c} \langle n_a \ell_a j_a m_a | \hat{T} | n_c \ell_a j_a m_a \rangle \quad (17)$$

In practice, straightforward but somewhat lengthy calculations (involving various tricks from angular momentum algebra) give

$\langle n_a \ell_a j_a m_a   \hat{T}   n_c \ell_a j_a m_a \rangle = \frac{1}{2} \hbar \omega \left( N + \frac{3}{2} \right) \quad \text{for } n_a = n_c$
$\langle n_a \ell_a j_a m_a   \hat{T}   n_c \ell_a j_a m_a \rangle = \frac{1}{2} \hbar \omega \sqrt{n_c(n_c + \ell_a + 1/2)} \quad \text{for } n_a = n_c - 1$
$\langle n_a \ell_a j_a m_a   \hat{T}   n_c \ell_a j_a m_a \rangle = \frac{1}{2} \hbar \omega \sqrt{n_a(n_a + \ell_a + 1/2)} \quad \text{for } n_a = n_c + 1$

In this expression,  $N = 2n + \ell$  is the main oscillator number.

### 2.2 Gauss-Laguerre Quadratures

**Presentation -** Gauss quadratures are general mathematical methods used to compute integrals of a function. They are based on the properties of orthogonal polynomials and

come in several variants. The Gauss-Laguerre quadrature formula reads

$$\int_0^{+\infty} x^\alpha e^{-x} f(x) dx = \sum_{n=1}^{N_q} w_n f(x_n) + R_{N_q}, \quad (18)$$

where the weights  $w_n$  are given by

$$w_n = \frac{\Gamma(n + \alpha + 1) x_n}{n!(n + 1)^2 [L_{n+1}^\alpha(x_n)]^2}, \quad (19)$$

the nodes  $x_n$  are the zeros of the generalized Laguerre polynomials, and  $R_{N_q}$  is a remainder. The integer  $N_q$  is the order of the quadrature.

*The essential property of all types of Gauss quadrature is that the quadrature formula is exact if  $f(x)$  is a polynomial of order  $p \leq 2N_q - 1$ , that is:*

$$\int_0^{+\infty} x^\alpha e^{-x} f(x) dx = \sum_{n=1}^{N_q} w_n f(x_n).$$

**Example -** To illustrate how useful quadrature formula can be in practice, consider the calculation of the radial integral giving the matrix element of some operator  $\hat{O}(r)$  in spherical symmetry. For the sake of simplicity, let us assume that  $\hat{O}(r)$  does not contain differential operators for the time being. We have to compute something like

$$\langle n_a | \hat{O}(r) | n_c \rangle \propto \int_0^{+\infty} r^2 dr \times e^{-\xi^2/2} \xi^{\ell_a} L_{n_a}^{\ell_a+1/2}(\xi^2) \times \hat{O}(r) \times e^{-\xi^2/2} \xi^{\ell_c} L_{n_c}^{\ell_c+1/2}(\xi^2), \quad (20)$$

which can be simplified into something like

$$\langle n_a | \hat{O}(r) | n_c \rangle \propto \int_0^{+\infty} u^\alpha e^{-u} \hat{O}(u) L_{n_a}^\alpha(u) L_{n_c}^\alpha(u) du, \quad \alpha = \ell_a + 1/2 \quad (21)$$

Depending on the properties of the operator  $\hat{O}(r)$ , we can try to choose the order of the quadrature  $N_q$  such that these integrations are exact.