

- Whitney approx gave  $\delta$ -close smooth approx'n to any cts  $F: M \rightarrow \mathbb{R}^k$ .
- For  $F: M \rightarrow N$  cts, use Whitney embedding to view  $N$  inside  $\mathbb{R}^k$ .
- But now the smooth approx'n will miss  $N \subseteq \mathbb{R}^k$  by a bit.
- Thus we need

### Tubular neighborhoods

For  $M \subseteq \mathbb{R}^n$  smoothly embedded,

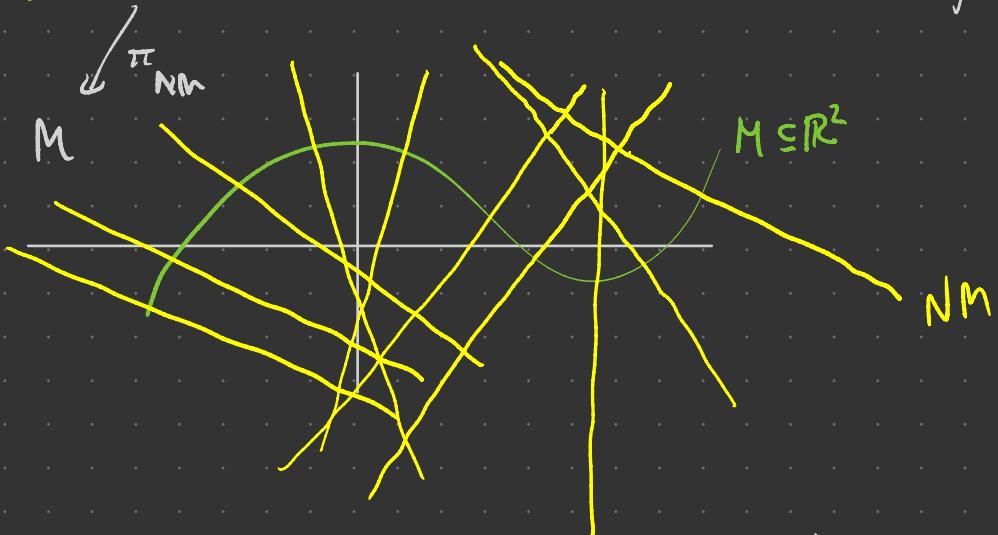
define  $N_x M \subseteq T_x \mathbb{R}^n$  to be

$$(T_x M)^\perp = \{(x, v) \in T_x \mathbb{R}^n \mid v \cdot w = 0 \quad \forall (x, w) \in T_x M\}$$



(Here using the canonical id'n  $TR^n \cong R^n \times R^n$ .)

The normal bundle  $NM = \{(x, v) \in TR^n \mid x \in M, v \in N_x M\}$



Thm If  $M \subseteq R^n$  is an emb. n-dim'l smooth mfld, then  $NM$  is an embedded n-dim'l submfld of  $TR^n$ .

Pf  $x_0 \in M$ ,  $(U, \varphi)$  slice chart for  $M$  in  $\mathbb{R}^n$  centered at  $x_0$

$$\begin{array}{ccc} M \cap U & \xrightarrow{\varphi} & \hat{a} \in \mathbb{R}^n \\ n \parallel & & u^1, \dots, u^n \\ \mathbb{R}^n & & M \cap U = \{u^{n+1} = \dots = u^n = 0\} \\ x^1, \dots, x^n & & \end{array}$$

For  $x \in U$ ,  $E_j|_x := (d\varphi_x)^{-1} \left( \frac{\partial}{\partial u^j} \Big|_{\varphi(x)} \right)$  form a basis for  $T_x \mathbb{R}^n$ .

Write  $E_j|_x = \sum_i E_j^i(x) \frac{\partial}{\partial x^i} \Big|_x$  for  $E_j^i(x)$  smooth in  $x$ .

Define  $\underline{\Phi}: U \times \mathbb{R}^n \longrightarrow \hat{U} \times \mathbb{R}^n$   
 $(x, v) \longmapsto (u^1(x), \dots, u^n(x), v \cdot E_1|_x, \dots, v \cdot E_n|_x)$

Then  $J\underline{\Phi}_{(x,v)} = \begin{pmatrix} \frac{\partial u^i}{\partial x^j}(x) & 0 \\ * & E_j^i(x) \end{pmatrix}$  which is invertible, so  $\underline{\Phi}$  is a local diffeo.

If  $\underline{\Phi}(x, v) = \underline{\Phi}(x', v')$ , then  $x = x'$  b/c  $v$  is  $\in$

and  $v \cdot E_i|_x = v' \cdot E_i|_{x'} \forall i \Rightarrow v - v' \perp \text{span}\{E_1|_x, \dots, E_n|_x\}$   
 $\Rightarrow v - v' = 0$ .

Thus  $\underline{\Phi}$  is injective  $\Rightarrow$  defines smooth coord chart on  $U \times \mathbb{R}^n$ .

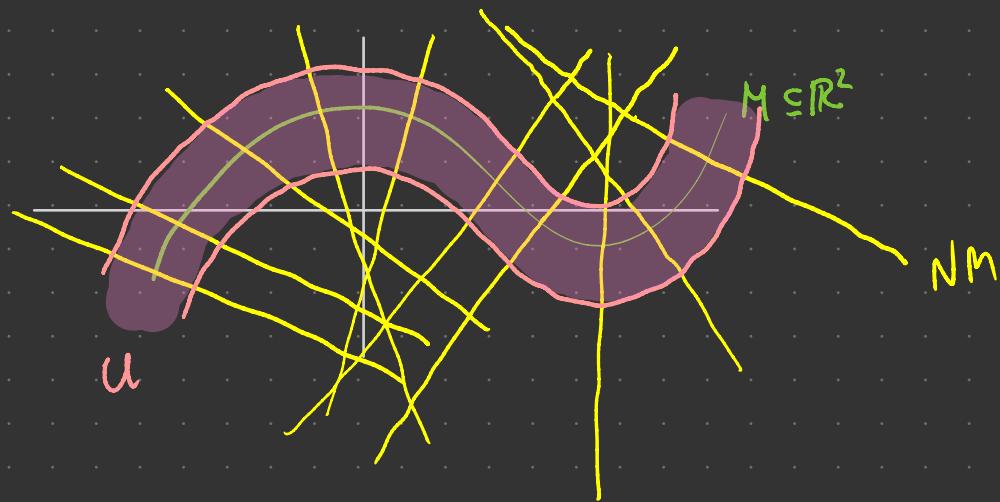
Have  $(x, v) \in NM$  iff  $\underline{\Phi}(x, v) \in \{(y, z) \in \mathbb{R}^n \times \mathbb{R}^n \mid y^{m+1} = \dots = y^n = 0, z' = \dots = z^m = 0\}$   
 $\cap U \times \mathbb{R}^n$

$\Rightarrow \underline{\Phi}$  is a slice chart for  $NM$  in  $T\mathbb{R}^n$ .  $\square$

Defn  $E: NM \longrightarrow \mathbb{R}^n$  so that  $\bar{E}(J_x \times N_x M)$  = affine space thru  $x$ ,  
 $(x, v) \longmapsto x + v$   $\perp T_x M$

A tubular neighborhood of  $M \subseteq \mathbb{R}^n$  is a nbhd  $U$  of  $M$  in  $\mathbb{R}^n$

s.t.  $U = E \underbrace{\{(x, v) \in NM \mid |v| < \delta(x)\}}_V$  for somects  $\delta: M \rightarrow \mathbb{R}_{>0}$ ,  
E a diffeo  $V \rightarrow U$ .



Thm Every  $M \subseteq \mathbb{R}^n$  embedded submfld has a tubular neighborhood.

Pf pp. 139-140.  $\square$

Recall that a retraction of a space  $X$  onto a subspace  $M \subseteq X$  is  $r: X \rightarrow M$  cts s.t.  $r|_M = \text{id}_M$ .

Prop  $M \subseteq \mathbb{R}^n$  emb submfld,  $U$  a tubular nbhd of  $M$

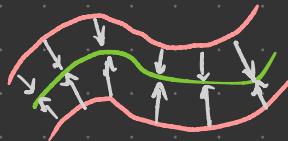
Then  $\exists$  a smooth map  $r: U \rightarrow M$  that is a retraction & a smooth submersion.

Pf Define  $M_0 \subseteq NM \subseteq T\mathbb{R}^n$  by  $M_0 = \{(x, 0) \mid x \in M\}$ .

Have  $M_0 \subseteq V \subseteq NM$  with  $E: V \rightarrow U$  diffeo.  
open

Define  $r: U \rightarrow M$ . Then  $r$  is smooth by comp'n.

$$\begin{array}{ccc} E^{-1} & \searrow & \pi \\ & V & \end{array}$$



For  $x \in M$ ,  $r(x) = \pi E^{-1}(x) = \pi(x, 0) = x$ , so  $r$  is a retraction.

$\pi$  smooth submersion +  $E^{-1}$  diffeo  $\Rightarrow r$  smooth submersion.  $\square$

Thm (Whitney Approximation):  $N$  a smooth mfld w/ or w/o  $\partial$ ,

$M$  a smooth mfld (no  $\partial$ ), and  $F: N \rightarrow M$  cts. Then  $F$  is homotopic to a smooth map. If  $F$  is already smooth on  $A \subseteq N$  closed, then the htpy can be taken rel  $A$ .

Pf By Whitney embedding, may assume  $M \subseteq \mathbb{R}^n$  properly embedded. Take  $U$  a tubular nbhd of  $M$  in  $\mathbb{R}^n$ , and let  $r: U \rightarrow M$  be the smooth retraction of the prop'n.

For  $x \in M$ , let  $\delta(x) = \sup\{\varepsilon \leq 1 \mid B_\varepsilon(x) \subseteq U\}$ .

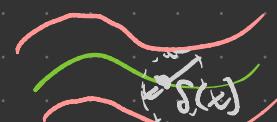
$\delta$  is ctr ( $\Delta$ -ineq...) as a fn  $M \rightarrow \mathbb{R}_{>0}$ .

Let  $\tilde{\delta} = \delta \circ F: N \rightarrow \mathbb{R}_{>0}$ . Know  $\exists$  smooth  $\tilde{F}: N \rightarrow \mathbb{R}^n$  that is  $\tilde{\delta}$ -close to  $F$  & equal to  $F$  on  $A$ .

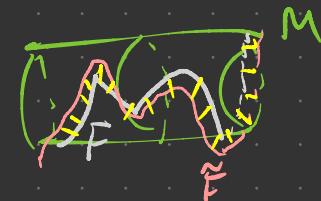
Let  $H: N \times I \rightarrow M$

$$(p, t) \mapsto r((1-t)F(p) + t\tilde{F}(p))$$

straight line homotopy  $F(p) \rightsquigarrow \tilde{F}(p)$



$$N \xrightarrow{F} M$$



For  $p \in N$ ,  $|\tilde{F}(p) - F(p)| < \tilde{\delta}(p) = \delta(F(p)) \Rightarrow \tilde{F}(p) \in \mathcal{B}_{\delta(F(p))}(F(p))$

$\subseteq U \Rightarrow$  whole line segment  $F(p) \rightsquigarrow \tilde{F}(p) \subseteq U$ .

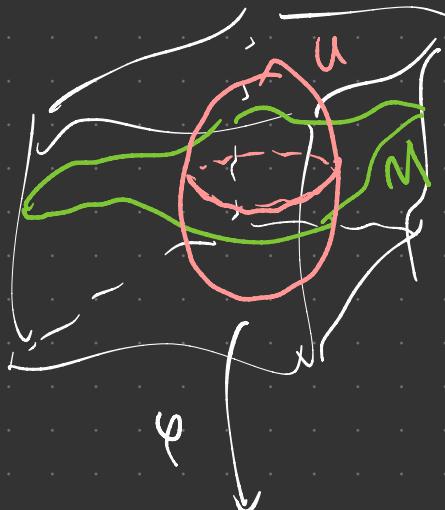
Thus  $H$  is well-defined  $\text{htpy } F \Rightarrow \underbrace{r \circ \tilde{F}}_{\text{smooth by comp'}}$

For  $p \in A$ ,  $H(p, t) = F(p)$  since  $\tilde{F}|_A = F$ .  $\square$

See pp. 142 - 143 for how to use these ideas to convert  
htpies b/w smooth maps into smooth htpies.

$M \subseteq N$  emb submfld

$m \quad n$



$N$

$$M \cap U = \{u^{m+1} = \dots = u^n = 0\}$$

$M \hookrightarrow N$

$U_i$

$U_j$

$M \cap U$

$U$

$\varphi_i$

$\int \varphi$

$$\hat{u} \in \mathbb{R}^n$$

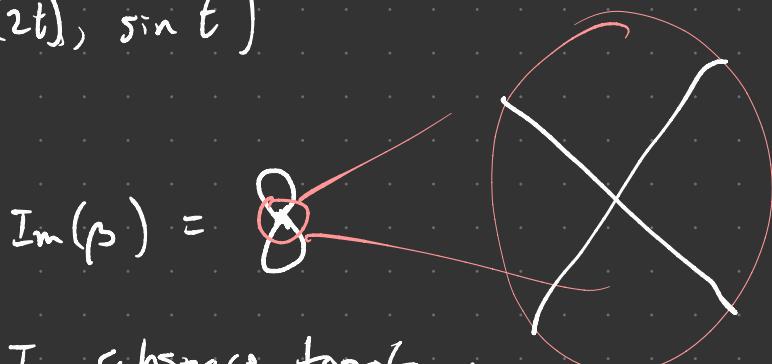
$$u^1, \dots, u^n$$

$$\widehat{M \cap U} \hookrightarrow \hat{u} \in \mathbb{R}^n$$

$$(u^1, \dots, u^m) \mapsto (u^1, \dots, u^m, 0, \dots, 0)$$

✓  
Fig 8 immersion which is not an embedding

$$\beta : (-\pi, \pi) \longrightarrow \mathbb{R}^2$$
$$t \longmapsto (\sin(2t), \sin t)$$



In subspace topology,

$\text{Im}(\beta) \subseteq \mathbb{R}^2$  is not loc Euclidean  
so not a mfld.

$F: M \rightarrow N$  smooth



smooth  $\Leftrightarrow M \rightarrow S$  is cts

immersed submfld  
— not w/ subspace

$G: \mathbb{R} \rightarrow \mathbb{R}^2$



$G: \mathbb{R} \rightarrow \text{Im } \rho$

no longer cts !

topology

