

PROBLEM 1. For each of the following, decide:

- Does the mapping give a well-defined function? (If not, why?)

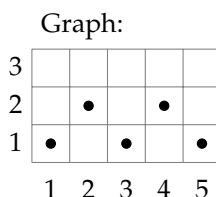
If so:

- Graph the function.
- Is the function injective, surjective, both, or neither?
- Is the function invertible? If so, what is the inverse?

Recall that for  $n \in \mathbb{Z}_{\geq 1}$ , we denote  $[n] = \{1, \dots, n\}$ . Note that the symbol  $\rightarrow$  is used between sets (the domain and codomain), whereas the symbol  $\mapsto$  means “maps to”, and is used between elements.

SOLUTION:

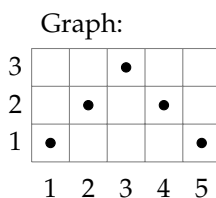
$$\begin{aligned} f: [5] &\rightarrow [3] \\ 1 &\mapsto 1 \\ 2 &\mapsto 2 \\ 3 &\mapsto 1 \\ 4 &\mapsto 2 \\ 5 &\mapsto 1 \end{aligned}$$



The map  $f$  is well-defined, but is neither injective (for example,  $f(1) = f(3)$ ) nor surjective (for example,  $3 \in [3] \setminus \text{im}(f)$ ).

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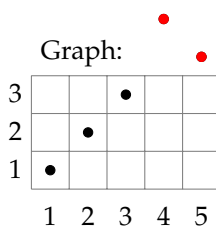
$$\begin{aligned} g: [5] &\rightarrow [3] \\ 1 &\mapsto 1 \\ 2 &\mapsto 2 \\ 3 &\mapsto 3 \\ 4 &\mapsto 2 \\ 5 &\mapsto 1 \end{aligned}$$



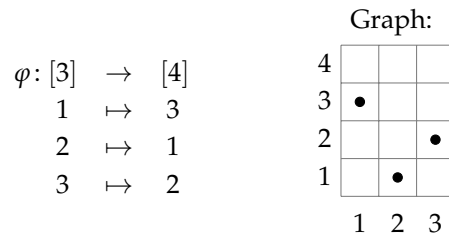
The map  $g$  is well-defined and surjective, but is not injective (for example,  $g(1) = g(5)$ ).

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$$\begin{aligned} h: [5] &\rightarrow [3] \\ 1 &\mapsto 1 \\ 2 &\mapsto 2 \\ 3 &\mapsto 3 \\ 4 &\mapsto 5 \\ 5 &\mapsto 4 \end{aligned}$$

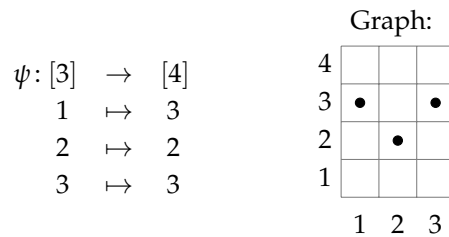


The map  $h$  is not well-defined, since  $h(4) = 5$  but  $5 \notin [3]$ .



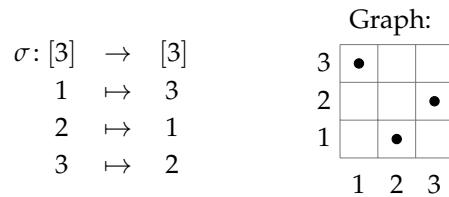
The map  $\varphi$  is well-defined and injective, but is not surjective (for example,  $4 \in [4] \setminus \text{im}(\varphi)$ ).

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The map  $\psi$  is well-defined, but is neither injective (for example,  $\psi(1) = \psi(3)$ ) nor surjective (for example,  $4 \in [4] \setminus \text{im}(\psi)$ ).

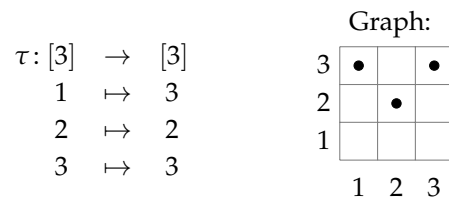
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The map  $\sigma$  is well-defined, injective, and surjective. Thus  $\sigma$  is bijective, and therefore invertible with

$$\begin{aligned} \sigma^{-1}: [3] &\rightarrow [3] \\ 1 &\mapsto 2 \\ 2 &\mapsto 3 \\ 3 &\mapsto 1 \end{aligned}$$

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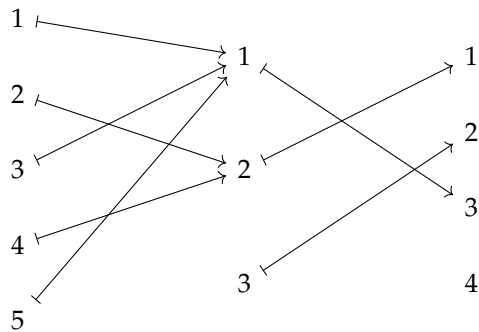
The map  $\tau$  is well-defined, but is neither injective (for example,  $\tau(1) = \tau(3)$ ) nor surjective (for example,  $1 \in [3] \setminus \text{im}(\tau)$ ).

PROBLEM 2. Which ordered pairs of functions from Problem 1 are composable (for which functions  $a$  and  $b$  is  $a \circ b$  defined)? Compute the composites for two or three of these examples. [Hint: For example,  $\varphi \circ f$  is defined, but  $f \circ \varphi$  is not. Caution:  $\varphi \circ \varphi$  is not defined—why?]

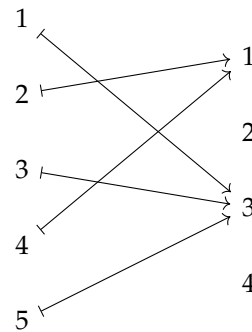
SOLUTION: For two functions  $a: A \rightarrow B$  and  $b: C \rightarrow D$ , we have that  $a \circ b$  is defined if and only if  $D = A$ . (Even if  $\text{im}(b) \subset D$ , we don't define  $a \circ b$  unless the *codomain* of  $b$  matches the domain of  $a$ . So  $a \circ b$  is defined for any  $a \in \{\varphi, \psi, \sigma, \tau\}$  and  $b \in \{f, g, \sigma, \tau\}$ .

A couple of examples out of these 16 possibilities:

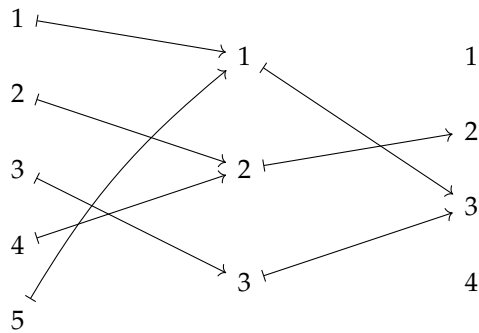
$$[5] \xrightarrow{f} [3] \xrightarrow{\varphi} [4]$$



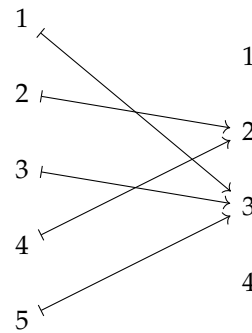
$$[5] \xrightarrow{\varphi \circ f} [4]$$



$$[5] \xrightarrow{g} [3] \xrightarrow{\psi} [4]$$



$$[5] \xrightarrow{\psi \circ g} [4]$$



PROBLEM 3. Let  $A$  and  $B$  be finite sets, and let  $f: A \rightarrow B$  be a function.

- (a) Suppose  $f$  is injective. What can you say about the cardinalities of  $A$ ,  $\text{im}(f)$ , and  $B$ ? Why?
- (b) Suppose  $f$  is surjective. What can you say about the cardinalities of  $A$ ,  $\text{im}(f)$ , and  $B$ ? Why?

SOLUTION:

- (a) If  $f$  is injective, every element in  $A$  has a distinct element in  $\text{im}(f)$  corresponding to it, so  $|\text{im}(f)| = |A|$ . But there may be additional elements in  $B$  besides those in  $\text{im}(f)$ . Thus  $|A| = |\text{im}(f)| \leq |B|$ .
- (b) If  $f$  is surjective,  $\text{im}(f) = B$ , so that every element of  $B$  has at least one element from  $A$  that maps to it, so  $|A| \geq |\text{im}(f)| = |B|$ .

PROBLEM 4. Let  $n, k$  be integers such that  $1 \leq k \leq n$ , and consider the following two sets.

$$A = \{X \subseteq [n] \mid |X| = k \text{ and } n \in X\},$$

$$B = \{Y \subseteq [n-1] \mid |Y| = k-1\}.$$

Prove that  $|A| = |B|$  by producing a bijection  $f: A \rightarrow B$ . You need to define the function  $f$  and prove that it is a bijection, either by proving it has a two-sided inverse, or proving that it is injective and surjective.

SOLUTION: We define the function  $f: A \rightarrow B$  by  $f(X) = X \setminus \{n\}$ . Since  $X$  is a subset of  $[n]$  that contains  $n$ , then  $f(X)$  is a subset of  $[n-1]$ , and moreover, given that  $X$  has  $k$  elements, then we know that  $f(X)$  has  $k-1$  elements. This shows that  $f(X) \in B$ .

To prove that  $f$  is a bijection we construct a two-sided inverse,  $g: B \rightarrow A$ . For  $Y \in B$ , we define  $g(Y) = Y \cup \{n\}$ . Note that since  $Y \subseteq [n-1]$ , we then know that  $g(Y) \subseteq [n]$ , and given that  $Y$  has  $k-1$  elements, by construction  $g(Y)$  has  $k$  elements. This shows that  $g(Y) \in A$ . Now note that  $f$  takes a set that contains  $n$  and removes  $n$  from it, and  $g$  takes a set that does not contain  $n$  and includes  $n$  in it, thus showing that  $g \circ f = \text{id}_A$  and  $f \circ g = \text{id}_B$ . Therefore  $f$  is a bijection.

PROBLEM 5. Define a function  $f: \mathbb{Z}_{\geq 0} \rightarrow \mathbb{Z}$  by the piecewise formula

$$f(n) = \begin{cases} \frac{n}{2} & \text{if } n \text{ is even,} \\ \frac{-1-n}{2} & \text{if } n \text{ is odd.} \end{cases}$$

Show that  $f$  is a bijection by finding a function  $g: \mathbb{Z} \rightarrow \mathbb{Z}_{\geq 0}$  that is a two-sided inverse to  $f$ . [Hint: Start by computing  $f(n)$  for  $n = 0, 1, 2, 3, \dots$ . Then write out  $g(k)$  for  $k = 0, \pm 1, \pm 2, \dots$ , using  $f(n) = k$  means  $g(k) = n$ . Then try to write a piecewise formula.]

SOLUTION: First, let's compute a few values of  $f$  to get a sense of what's going on. Breaking into even and odd cases, we get some of the following data points.

even $n$ :	odd $n$ :
$n: \begin{array}{ c c c c c } \hline 0 & 2 & 4 & 6 & \dots \\ \hline \end{array}$	$n: \begin{array}{ c c c c c } \hline 1 & 3 & 5 & 7 & \dots \\ \hline \end{array}$
$f(n): \begin{array}{ c c c c c } \hline 0 & 1 & 2 & 3 & \dots \\ \hline \end{array}$	$f(n): \begin{array}{ c c c c c } \hline -1 & -2 & -3 & -4 & \dots \\ \hline \end{array}$

In particular, it looks like all every element of  $\mathbb{Z}_{\geq 0}$  gets mapped to by some even  $n$ ; and every element of  $\mathbb{Z}_{< 0}$  gets mapped to by some odd  $n$ . Turning this around, the inverse must satisfy the following:

$k: \begin{array}{ c c c c c c c c c c } \hline \dots & -4 & -3 & -2 & -1 & 0 & 1 & 2 & 3 & \dots \\ \hline \end{array}$
$g(k): \begin{array}{ c c c c c c c c c c } \hline \dots & 7 & 5 & 3 & 1 & 0 & 2 & 4 & 6 & \dots \\ \hline \end{array}$

So we should break the definition of  $g$  into the cases where  $k \leq -1$  and  $k \geq 0$ . For  $k \leq -1$ , we're in the image of the odd branch of  $f$ ; so solve

$$\frac{-1-n}{2} = k \quad \text{for } n \text{ to get } g(k) = n = -(2k+1).$$

Checking against our data verifies this formula. And for  $k \geq 0$ , we're in the even branch of  $f$ ; so solve

$$\frac{n}{2} = k \quad \text{for } n \text{ to get } g(k) = n = 2k.$$

Again, checking against our data verifies this formula.

Putting it all together, define  $g: \mathbb{Z} \rightarrow \mathbb{Z}_{\geq 0}$  by the piecewise formula

$$g(k) = \begin{cases} 2k & \text{if } k \geq 0, \\ -(2k+1) & \text{if } k \leq -1. \end{cases}$$

A piecewise algebraic check shows that  $f \circ g = \text{id}_{\mathbb{Z}}$  and  $g \circ f = \text{id}_{\mathbb{Z}_{\geq 0}}$ .

$n: \begin{array}{ c c c c c } \hline 0 & 1 & 2 & 3 & \dots \\ \hline \end{array}$
$f(n): \begin{array}{ c c c c c } \hline \dots & -2 & -1 & 0 & 1 & 2 & \dots \\ \hline \end{array}$
$g(k): \begin{array}{ c c c c c c c } \hline \dots & 7 & 5 & 3 & 1 & 0 & 2 & 4 & 6 & \dots \\ \hline \end{array}$

PROBLEM 6. Consider the function  $g: \mathbb{Z} \rightarrow \mathbb{Z}$  given by

$$g(n) = \begin{cases} \frac{n}{2} & \text{if } n \text{ is even,} \\ \frac{n+1}{2} & \text{if } n \text{ is odd.} \end{cases}$$

Determine whether or not  $g$  is injective, and whether or not  $g$  is surjective. Prove your answers.

SOLUTION: Again, it's good to start by collecting some data:

even $n$ :								odd $n$ :							
$n$ :	$\dots$	$-4$	$-2$	$0$	$2$	$4$	$\dots$	$n$ :	$\dots$	$-3$	$-1$	$1$	$3$	$\dots$	
$g(n)$ :	$\dots$	$-2$	$-1$	$0$	$1$	$2$	$\dots$	$g(n)$ :	$\dots$	$-1$	$0$	$1$	$2$	$\dots$	

From this, we can see that  $g$  is *not* injective; for example,

$$g(1) = 1 = g(2).$$

On the other hand,  $g$  is surjective: for any  $k \in \mathbb{Z}$ , we would like to show that  $k \in \text{im}(g)$ . Taking a hint from our “even  $n$ ” data above, note that  $2k \in \mathbb{Z}$  is even. Hence,

$$g(2k) = \frac{2k}{2} = k \in \text{im}(g).$$

### Challenge

PROBLEM. Let  $A$  and  $B$  be sets, and let  $f: A \rightarrow B$  be a function. For  $X \subseteq A$ , the *image of  $X$  along  $f$*  is

$$f(X) = \{f(x) \mid x \in X\};$$

and for  $Y \subseteq B$ , the *preimage of  $Y$  along  $f$*  is

$$f^{-1}(Y) = \{x \in A \mid f(x) \in Y\}.$$

(The notation  $f^{-1}(Y)$  isn't meant to imply that  $f$  is an invertible function: it's just the set defined above, and might even be empty!)

Now, define two new functions

$$\begin{array}{ccc} F: 2^A \rightarrow 2^B & & G: 2^B \rightarrow 2^A \\ X \mapsto f(X) & \text{and} & Y \mapsto f^{-1}(Y) \end{array}.$$

- (a) Do some examples. What are  $F$  and  $G$  for  $\tau$  in Problem 1? How does your answer change if working with  $\psi$  or  $\sigma$  instead?

SOLUTION: For  $\tau$ , we have

$$\begin{array}{ccc} F: & 2^{[3]} & \rightarrow & 2^{[3]} \\ & \emptyset & \mapsto & \emptyset \\ & \{1\} & \mapsto & \{3\} \\ & \{2\} & \mapsto & \{2\} \\ & \{3\} & \mapsto & \{3\} \\ & \{1,2\} & \mapsto & \{2,3\} \\ & \{1,3\} & \mapsto & \{3\} \\ & \{2,3\} & \mapsto & \{2,3\} \\ & \{1,2,3\} & \mapsto & \{2,3\} \end{array} \quad \text{and} \quad \begin{array}{ccc} G: & 2^{[3]} & \rightarrow & 2^{[3]} \\ & \emptyset & \mapsto & \emptyset \\ & \{1\} & \mapsto & \emptyset \\ & \{2\} & \mapsto & \{2\} \\ & \{3\} & \mapsto & \{1,3\} \\ & \{1,2\} & \mapsto & \{1,2,3\} \\ & \{1,3\} & \mapsto & \{3\} \\ & \{2,3\} & \mapsto & \{1,2,3\} \\ & \{1,2,3\} & \mapsto & \{1,2,3\} \end{array}$$

- (b) Draw cartoons illustrating  $f(X)$  and  $f^{-1}(Y)$ .
- (c) Is there any relationship between whether or not  $f$  is surjective and whether or not  $F$  is surjective? What about injectivity? What about  $G$ ?

SOLUTION: If  $F$  is surjective, then there is at least one  $X \subseteq A$  where  $f(X) = B$ , so  $f$  is surjective. And if  $f$  is surjective, then so is  $F$ : let  $Y \subseteq B$ , and for each  $y \in Y$ , there is at least one  $a_y$  for which  $f(a_y) = y$ . So  $X = \{a_y \mid y \in Y\}$  satisfies  $f(X) = Y$ .

Next, suppose  $F$  is injective. Then for any  $a_1 \neq a_2$  in  $A$ , we have  $\{a_1\} \neq \{a_2\}$ ; and hence  $F(\{a_1\}) \neq F(\{a_2\})$ , so that  $f(a_1) \neq f(a_2)$ . So  $f$  is also injective. On the other hand, if  $f$  is injective, then for any  $a_1 \neq a_2$ , we have  $f(a_1) \neq f(a_2)$ . And for  $X_1 \neq X_2$  in  $2^A$ , there

Challenge problems are optional and should only be attempted after completing the previous problems.

is at least one element that  $X_1$  and  $X_2$  do not have in common; and hence there is at least one element that  $f(X_1)$  and  $f(X_2)$  do not have in common. So  $f(X_1) \neq f(X_2)$ . Thus  $F$  is injective.

Moving on to  $G$ : if  $G$  is surjective, then in particular,  $\{a\} \in \text{im}(G)$  for every  $a \in A$ . But since  $f$  is well-defined, if

$$\{a\} = G(Y) = \{x \in A \mid f(x) \in Y\},$$

we must have  $|Y| = 1$ . So  $Y = \{b\}$  for some  $b \in B$ , and hence  $a$  is the only element that maps to  $b$ . This is true across all elements of  $A$ , so  $f$  must be injective. However,  $f$  need not be surjective: consider the map  $f : [1] \rightarrow [2]$  defined by  $1 \mapsto 1$ . Then  $G(\emptyset) = \emptyset$  and  $G(\{1\}) = [1]$ ; and hence  $G$  is surjective.

Continuing on, one can show that if  $f$  is injective, then  $G$  must be surjective; and that  $G$  is injective if and only if  $f$  is surjective.

- (d) Let  $X_1, X_2 \subseteq A$  and  $Y_1, Y_2 \subseteq B$ . Explore each of the following statements: first convince yourself of their truth, and then prove the result.

$$F(X_1 \cup X_2) = F(X_1) \cup F(X_2)$$

$$F(X_1 \cap X_2) \subseteq F(X_1) \cap F(X_2)$$

$$G(Y_1 \cup Y_2) = G(Y_1) \cup G(Y_2)$$

$$G(Y_1 \cap Y_2) = G(Y_1) \cap G(Y_2)$$

For the second statements, give an example showing why we don't have equality.

SOLUTION: We have

$$\begin{aligned} F(X_1 \cup X_2) &= \{f(x) \mid x \in X_1 \cup X_2\} \\ &= \{f(x) \mid x \in X_1 \text{ or } x \in X_2\} \\ &= \{f(x) \mid x \in X_1\} \cup \{f(x) \mid x \in X_2\} \\ &= F(X_1) \cup F(X_2). \end{aligned}$$

Next,

$$\begin{aligned} F(X_1 \cap X_2) &= \{f(x) \mid x \in X_1 \cap X_2\} \\ &= \{f(x) \mid x \in X_1 \text{ and } x \in X_2\}. \end{aligned}$$

In particular, if  $y \in F(X_1 \cap X_2)$ , then  $y = f(x)$  for some  $x \in X_1$ , so that  $y \in F(X_1)$ ; and  $y = f(x)$  for some  $x \in X_2$ , so that  $y \in F(X_2)$ . Hence  $y \in F(X_1) \cap F(X_2)$ , showing that  $F(X_1 \cap X_2) \subseteq F(X_1) \cap F(X_2)$ .



However, if we consider the example where  $f: [2] \rightarrow [1]$  is defined by  $1, 2 \mapsto 1$ . Then with  $X_1 = \{1\}$  and  $X_2 = \{2\}$ , we have

$$F(X_1 \cap X_2) = F(\emptyset) = \emptyset$$

but

$$F(X_1) \cap F(X_2) = \{1\} \cap \{1\} = \{1\}.$$

For the third pair, we have

$$\begin{aligned} G(Y_1) \cup G(Y_2) &= \{x_1 \in A \mid f(x_1) \in Y_1\} \cup \{x_2 \in A \mid f(x_2) \in Y_2\} \\ &= \{x \in A \mid f(x) \in Y_1 \text{ or } f(x) \in Y_2\} \\ &= \{x \in A \mid f(x) \in Y_1 \cup Y_2\} \\ &= G(Y_1 \cup Y_2). \end{aligned}$$

And finally,

$$\begin{aligned} G(Y_1) \cap G(Y_2) &= \{x_1 \in A \mid f(x_1) \in Y_1\} \cap \{x_2 \in A \mid f(x_2) \in Y_2\} \\ &= \{x \in A \mid f(x) \in Y_1 \text{ and } f(x) \in Y_2\} \\ &= \{x \in A \mid f(x) \in Y_1 \cap Y_2\} \\ &= G(Y_1 \cap Y_2). \end{aligned}$$

(e) Show that  $F(X) \subseteq Y$  if and only if  $X \subseteq G(Y)$ .