

Flowouts (informally; see pp. 217 - 227 for details/proofs)

Thm  $M$  a smooth mfld,  $S \subseteq M$  emb  $k$ -dim/ submfld,  
 $V \in \mathcal{X}(M)$  nowhere tangent to  $S$ . Let  $\Theta : D \rightarrow M$  be the  
flow of  $V$ ,  $\mathcal{O} = (\mathbb{R} \times S) \cap D$ ,  $\underline{\Phi} = \Theta|_{\mathcal{O}}$ .

(a)  $\underline{\Phi} : \mathcal{O} \rightarrow M$  is an immersion

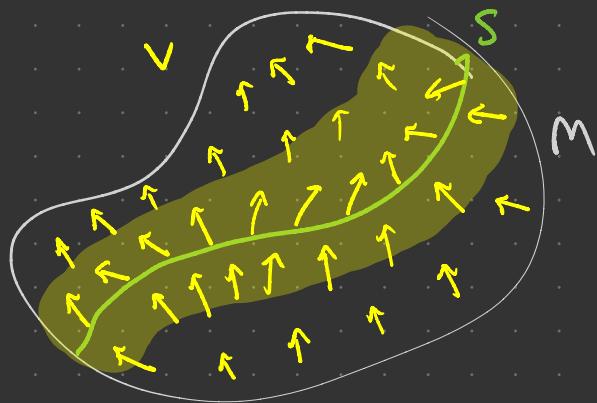
(b)  $\frac{\partial}{\partial t} \in \mathcal{X}(\mathcal{O})$  is  $\underline{\Phi}$ -related to  $V$

(c)  $\exists \delta : S \rightarrow \mathbb{R}_{>0}$  smooth s.t.  $\underline{\Phi}|_{\mathcal{O}_S}$  is injective, where

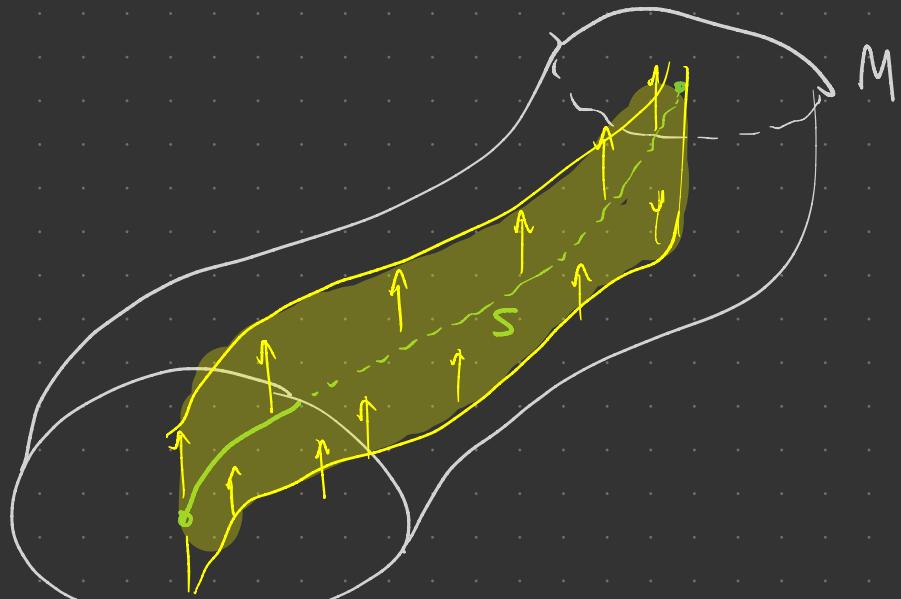
$$\mathcal{O}_S = \{(t, p) \in \mathcal{O} \mid |t| < \delta(p)\}.$$

Thus  $\underline{\Phi}(\mathcal{O}_S)$  is an immersed submfld of  $M$  containing  $S$ ,  
and  $V$  is tangent to  $\underline{\Phi}(\mathcal{O}_S)$ .

(d) If  $\text{codim } S = 1$ , then  $\bar{\Phi}|_{\mathcal{O}_S}$  is a diffeo onto an open submfld of  $M$ .

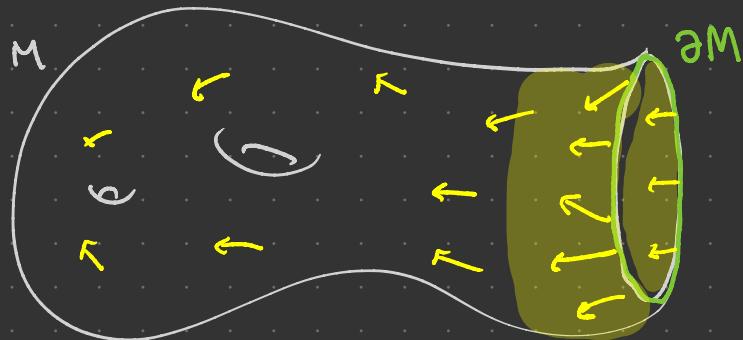


$$= \underline{\Phi}(\mathcal{O}_S)$$



Boundary Flowout Thm  $M$  smooth mfld with  $\partial M \neq \emptyset$ ,

$N \in \mathcal{X}(M)$  inward pointing on  $\partial M$ .  $\exists \delta: \partial M \rightarrow \mathbb{R}_{>0}$  smooth and smooth emb  $\Phi: P_\delta \rightarrow M$  where  $P_\delta = \{(t, p) \mid p \in \partial M, 0 < t < \delta(p)\} \subseteq \mathbb{R} \times \partial M$  s.t.  $\Phi(P_\delta)$  is a nbhd of  $\partial M$ , and  $\forall p \in \partial M$ ,  $t \mapsto \Phi(t, p)$  is an integral curve of  $N$  starting at  $p$ .



A nbhd of  $\partial M$  is called a collar nbhd if it is the image of a smooth emb  $(0,1) \times \partial M \rightarrow M$  s.t.  $(0,p) \mapsto p \quad \forall p \in \partial M$ .

Collar Nbhd Thm If  $M$  is a smooth mfld w/  $\partial M \neq \emptyset$ , then  $\partial M$  has a collar nbhd.

Pf By HW,  $\exists N \in \mathcal{X}(M)$  inward pointing on  $\partial M$ .

Take  $\delta, \varphi$  as in previous thm, define  $\psi: (0,1) \times \partial M \xrightarrow{\cong} P_\delta$   
 $(t,p) \mapsto (t\delta(p), p)$

$\Phi \circ \psi$  does the job.  $\square$

Applications (1) Every smooth mfld is htpic to its interior.  
(2) Whitney approximation for mflds w/  $\partial$ :

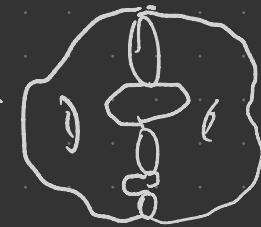


cts maps b/w mflds w/  $\partial$  are htpic to smooth maps.

- (3) Homotopy smooth maps are smoothly htpic.
- (4) If  $h: \partial N \xrightarrow{\sim} \partial M$ , then the top'l mfld  $M \cup_N h$  has a smooth structure with naturally emb submflds  $M, N$  intersecting in  $\partial M = \partial N$



- (5) Smooth connect sum & doubles of mflds.



## Regular points, singular points, & canonical form

$V \in \mathcal{X}(M)$

$p \in M$  is a singular point of  $V$  when  $V_p = 0$

and a regular point of  $V$  when  $V_p \neq 0$

Prop  $V \in \mathcal{X}(M)$ ,  $\Theta : D \rightarrow M$  flow gen'd by  $V$ .

If  $p \in M$  is a singular point of  $V$ , then  $D^{(p)} = \mathbb{R}$  and

$\Theta^{(p)}$  is the constant curve  $\Theta^{(p)}(t) = p$ . If  $p$  is a regular point, then  $\Theta^{(p)} : D^{(p)} \rightarrow M$  is a smooth immersion.

Pf Sing pts ✓

Suppose  $\Theta^{(p)}$  is not a smooth immersion. We show that  $p$  is

singular in this case (whence  $\Theta^{(p)}$  is in fact constant at  $p$ ).

Know  $\Theta^{(p)'}(s) = 0$  for some  $s \in D^{(p)}$ . Let  $q = \Theta^{(p)}(s)$ .

Then  $D^{(q)} = \mathbb{R}$  and  $\Theta^{(q)}(t) = q \quad \forall t \in \mathbb{R}$ . But then  $D^{(p)} = \mathbb{R}$  as well and

$$\Theta^{(p)}(t) = \Theta_t(p) = \Theta_{t-s}(\Theta_s(p)) = \Theta_{t-s}(q) = q$$

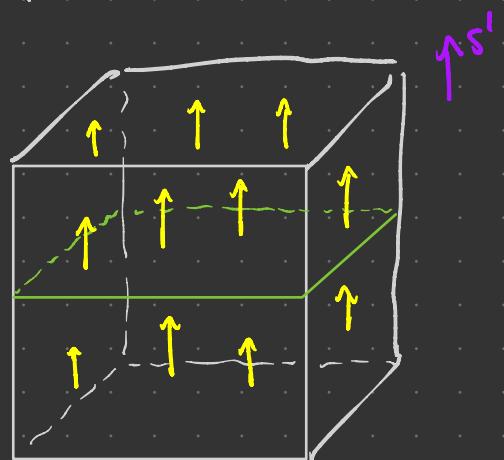
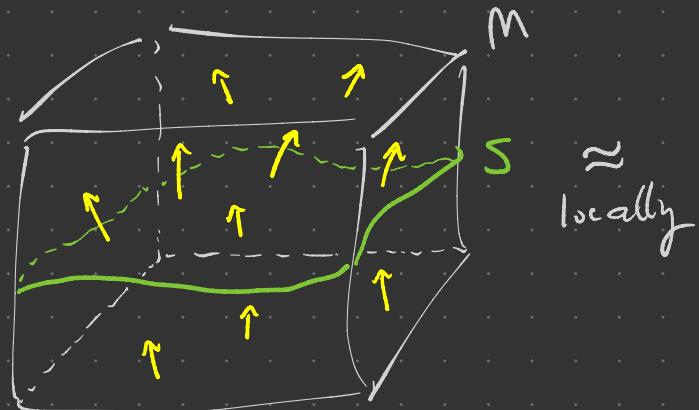
For  $t=0$ , get  $p=q$ .  $\checkmark$   $\square$

If  $\Theta: D \rightarrow M$  is a flow, a point  $p \in M$  is an equilibrium point of  $\Theta$  if  $\Theta(t, p) = p \quad \forall t \in D^{(p)}$ . In this case,  $p$  is a singular point of the infinitesimal generator of  $\Theta$ .

Thm (Canonical Form Near a Regular Point)

$V \in \mathcal{X}(M)$ ,  $p \in M$  regular pt of  $V$ .  $\exists$  smooth coords

$(s^1, \dots, s^n)$  on a nbhd of  $p$  in which  $V$  has coordinate rep'n  
 $\frac{\partial}{\partial s^1}$ . If  $S \subseteq M$  is an embedded hypersurface with  $p \in S$   
and  $V_p \notin T_p S$ , then the coords can also be chosen so that  
 $s^1$  is a local defining function for  $S$ .



Pf Idea • If no  $S$  given, choose any smooth local words  $(U, (x^i))$  and let  $S \subseteq U$  be given by  $x^i = 0$  where  $V^i(p) \neq 0$  (exists since  $p$  is regular).

- Now flow out from  $S$  to get open  $W \subseteq M$  containing  $S$  and product nbhd  $(-\varepsilon, \varepsilon) \times W_0$  of  $(0, p)$  in  $\mathbb{R}^n$ .
- Choose smooth local param  $X: S_L \rightarrow S$  with image in  $W_0$

open  $\cap$   
 $\mathbb{R}^{n+1}$

$s^1, \dots, s^n$  words

Then  $\bar{\Psi}: (-\varepsilon, \varepsilon) \times \Sigma \xrightarrow{\sim} M$   
 $(t, s^1, \dots, s^n) \mapsto \bar{\Psi}(t, X(s^1, \dots, s^n))$

with  $\bar{\Psi}_*(\frac{\partial}{\partial t}) = V = \Psi_*(\frac{\partial}{\partial t})$ . □

$u$

## Lie derivatives

In Euclidean space, we have directional derivatives :

$$v \in T_p \mathbb{R}^n \cong \mathbb{R}^n, W \in \mathcal{X}(\mathbb{R}^n),$$

$$D_v W(p) = \frac{d}{dt} \Big|_{t=0} W_{p+tv} = \lim_{t \rightarrow 0} \frac{W_{p+tv} - W_p}{t}$$

$$= \sum D_v W^i(p) \frac{\partial}{\partial x^i} \Big|_p$$



$p+tv$  doesn't make sense on a gen'l manfld.

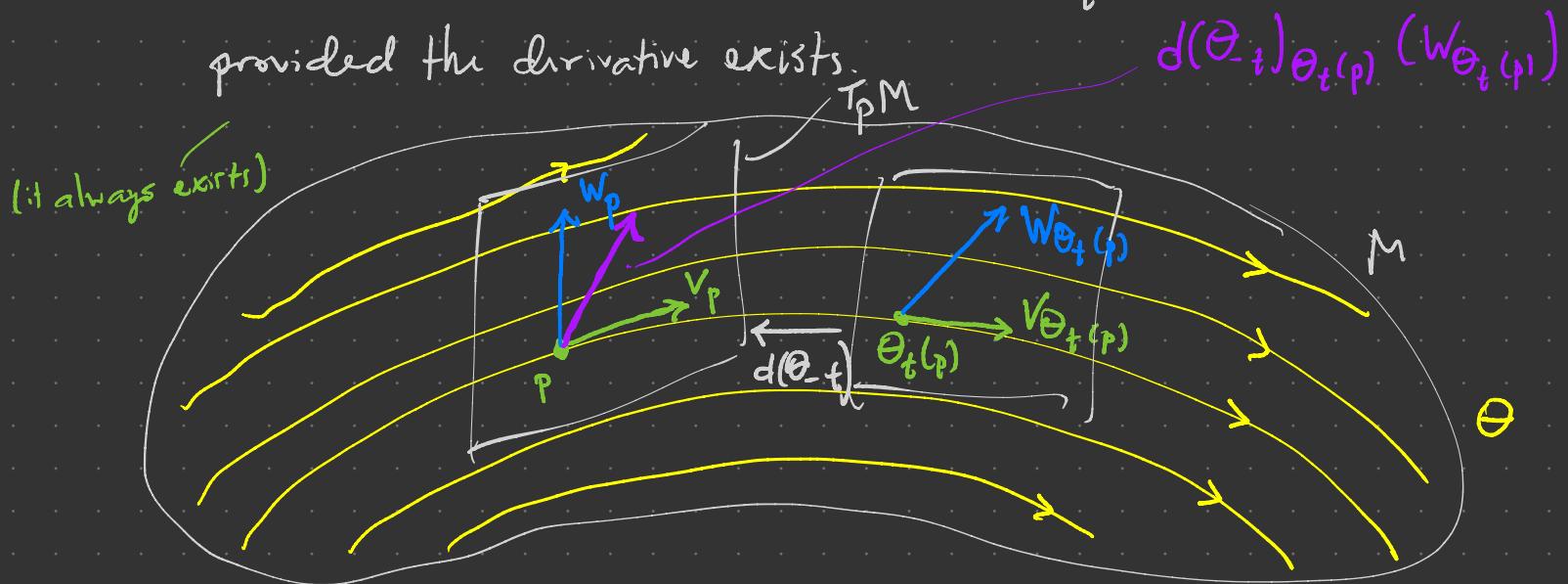
Take  $V, W \in \mathcal{X}(M)$ ,  $p \in M$ ,  $\Theta$  the flow of  $V$ .

The Lie derivative of  $W$  with respect to  $V$  is

$$(\mathcal{L}_V W)_p := \frac{d}{dt} \Big|_{t=0} d(\theta_{-t})_{\theta_t(p)} (W_{\theta_t(p)})$$

$$= \lim_{t \rightarrow 0} \frac{d(\theta_{-t})_{\theta_t(p)} (W_{\theta_t(p)}) - W_p}{t}$$

provided the derivative exists.



Lemma  $V, W \in \mathfrak{X}(M)$ ,  $V$  tangent to  $\partial M$  if  $\partial M \neq \emptyset$ ,

then  $(\mathcal{L}_V W)_p$  exists for every  $p \in M$  and  $\mathcal{L}_V W \in \mathfrak{X}(M)$ .

Pf idea Use coords to express  $d(\Theta_{-t})_{\Theta_t(x)}(W_{\Theta_t(x)})$  as  
a smooth function of  $(t, x)$ .  $\square$

Thm  $V, W \in \mathfrak{X}(M)$  then  $\mathcal{L}_V W = [V, W]$ .

Pf Let  $R(V) \subseteq M$  be the regular pts of  $V$   
 $\overset{\text{open}}{\text{open}}$

Case 1  $p \in R(V)$  Choose coords  $(u^i)$  with  $V = \frac{\partial}{\partial u^1}$ . In these  
coords,  $\Theta_t(u) = (u^1 + t, u^2, \dots, u^n)$ . Get

$$\begin{aligned}
 d(\theta_{-t})_{\theta_t(u)}(w_{\theta_t(u)}) &= d(\theta_{-t})_{\theta_t(u)} \left( \sum_j w^j(u^1+t, u^2, \dots, u^n) \frac{\partial}{\partial u^j} \Big|_{\theta_t(u)} \right) \\
 &= \sum_j w^j(u^1+t, u^2, \dots, u^n) \frac{\partial}{\partial u^j} \Big|_u .
 \end{aligned}$$

By defn of Lie derivative,

$$(L_v W)_u = \frac{d}{dt} \Big|_{t=0} \sum_j w^j(u^1+t, u^2, \dots, u^n) \frac{\partial}{\partial u^j} \Big|_u$$

$$= \sum_i \frac{\partial w^j}{\partial u^i}(u^1, \dots, u^n) \frac{\partial}{\partial u^j} \Big|_u$$

$$= [V, W]_u \quad (\text{by coord formula for Lie bracket}) . \quad \checkmark$$

Case 2  $p \in \text{supp } V$ . Since  $\text{supp } V = \overline{\mathcal{R}(V)}$ , this case follows by continuity of both sides.

Case 3  $p \in M \setminus \text{supp } V$ . In this case,  $V = 0$  on a nbhd of  $p$ .

$$\text{so } d(\Theta_{-t})_{\Theta_t(p)}(W_{\Theta_t(p)}) = W_p \text{ for } t \text{ small}$$

$$\Rightarrow (L_V W)_p = 0 = [V, W]_p. \quad \square$$