# STICK INDEX OF KNOTS AND LINKS IN THE CUBIC LATTICE

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ABSTRACT. The cubic lattice stick index of a knot type is the least number of sticks necessary to construct the knot type in the 3-dimensional cubic lattice. We present the cubic lattice stick index of various knots and links, including all (p, p+1)-torus knots, and show how composing and taking satellites can be used to obtain the cubic lattice stick index for a relatively large infinite class of knots. Additionally, we present several bounds relating cubic lattice stick index to other known invariants.

#### 1. Introduction

An important invariant in physical knot theory is the stick index, namely the least number of sticks (i.e. line segments) needed to construct a given knot in 3-space. In this paper we are interested in a related invariant, the cubic lattice stick index, which is the least number of sticks (without regard to length) necessary to construct a knot in the 3-dimensional cubic lattice  $(\mathbb{R} \times \mathbb{Z} \times \mathbb{Z}) \cup (\mathbb{Z} \times \mathbb{R} \times \mathbb{Z}) \cup (\mathbb{Z} \times \mathbb{Z} \times \mathbb{Z})$  ( $\mathbb{Z} \times \mathbb{Z} \times \mathbb{Z$ 

**Definition 1.1.** A *stick* of a lattice stick conformation K is a maximal line segment of K in  $\mathbb{R}^3$ , i.e. a line segment contained in K that is not contained in any longer line segment of K. An *x-stick* of K is a stick parallel to the *x*-axis. We define y-sticks and z-sticks similarly.

**Definition 1.2.** The lattice stick number  $s_{CL}(K)$  of a lattice stick conformation K is the number of constituent sticks of K. We minimize  $s_{CL}(K)$  over all lattice stick conformations  $K \in [K]$  and we call this number the lattice stick index  $s_{CL}[K]$  of the knot type [K]. A lattice stick conformation realizing this minimum is called a minimal lattice conformation.

Previously, lattice stick index was known for three knots. In 1993, Diao proved that  $s_{CL}[3_1] = 12$  ([2]). Then in 1999, Promislow and Rensburg proved that  $s_{CL}[9_{47}] = 18$  ([9]), and in 2005 Huh and Oh proved that  $s_{CL}[4_1] = 14$  ([7]). Huh

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and Oh also proved in 2010 that the trefoil and figure eight are the only knots with lattice stick index less than 15 ([6]).

In Section 2 we present a lower bound on lattice stick index based on bridge number. We use this to find the lattice stick indices of  $8_{20}$ ,  $8_{21}$ , and  $9_{46}$ , as well as all (p, p + 1)-torus knots. In Section 3, we show how exterior L's and clean L's can be used to compose minimal lattice stick conformations to obtain the minimal lattice stick conformation of the composite knot. In Section 4, we show how known minimal lattice stick conformations can be used to determine lattice stick indices for various satellite knots. In Section 5, we present both upper and lower bounds on  $s_{CL}$  in terms of crossing number. Finally, in Section 6, we consider the minimal lattice stick index of certain links of more than one component.

### 2. Determining Cubic Lattice Stick Index

We use a lower bound based on bridge index and an upper bound based on construction to determine the lattice stick index of many knots. The following lemma was also found by Promislow and Rensburg in [9].

# Lemma 2.1. $s_{CL}[K] \ge 6b[K]$ .

Proof. Let  $K \in [K]$  be a minimal lattice stick conformation. Then K must have at least b[K] local maxima in each of the x, y and z directions. First considering the z direction, let S be a portion of K corresponding to a local z-maximum and contained in a certain z-level. Let  $s_1$  and  $s_2$  be the first z-sticks we encounter as we continue along K in either direction, starting at S. Since S corresponds to a local maximum,  $s_1$  and  $s_2$  must both connect the z-level of S to lower z-levels. Then for each local z-maximum of K we have two distinct corresponding z-sticks, and it follows that K must contain at least 2b[K] z-sticks. A similar argument for the y and z directions shows that K must contain at least 2b[K] sticks in each of the three primary directions.

Note that by the same argument, we must have two z-sticks corresponding to each local z-minimum, and similarly for the y and z directions. Then if K is a lattice stick conformation constructed with exactly 6b[K] sticks, each stick must connect a local minimum to a local maximum in its own direction and lie at a local extremum in the other two primary directions.

**Theorem 2.2.** Knots  $8_{20}$ ,  $8_{21}$ , and  $9_{46}$  all have lattice stick index 18.

*Proof.* Knots  $8_{20}$ ,  $8_{21}$ , and  $9_{46}$  are all 3-bridge knots. Lemma 2.1 and Figure 1 complete the proof.

Next we find the lattice stick index for an infinite class of prime knots, namely the (p, p + 1)-torus knots, which we denote  $T_{p,p+1}$ .

**Theorem 2.3.**  $s_{CL}[T_{p,p+1}] = 6p \text{ for } p \geq 2.$ 

*Proof.* By [10], we know  $b[T_{p,p+1}] = p$ . Figure 2 shows a construction of the first three knots in the class of (p, p+1)-torus knots using 6p sticks. Using the pattern in Figure 2, we can construct the rest of the (p, p+1)-torus knots with 6p sticks. Lemma 2.1 completes the proof.

#### 3. Composition

We begin by defining clean L's and exterior L's of a lattice stick conformation.

**Definition 3.1.** Let S be a portion of a lattice stick conformation K consisting of four consecutive sticks  $s_1, s_2, s_3, s_4$ , where  $s_1$  and  $s_4$  are parallel to each other and  $s_2$  and  $s_3$  are perpendicular to both each other and to  $s_1$  and  $s_4$ . Let R be the closed rectangle with two edges of which are given by  $s_2$  and  $s_3$ . If  $s_1$  and  $s_4$  lie to the same side of the plane containing R and  $R \cap K \subset S$ , we call S a clean S of S of S of S of S and S of S and S of S of S and S of S and S of S

In [10], Schubert showed that  $b[K_1 \# K_2] = b[K_1] + b[K_2] - 1$ . We use this fact and Lemma 2.1 to prove the following theorem for lattice stick index under composition.

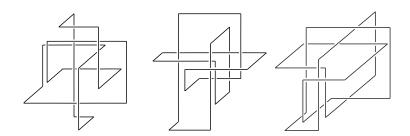


FIGURE 1. Minimal lattice conformations of 8<sub>20</sub>, 8<sub>21</sub>, and 9<sub>46</sub>.

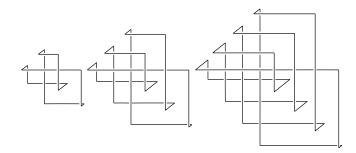


FIGURE 2. Minimal lattice conformations of  $T_{2,3}$ ,  $T_{3,4}$ , and  $T_{4,5}$ .

**Theorem 3.1.** Let  $K_1$  and  $K_2$  be minimal lattice conformations realizing  $s_{CL}[K_i] = 6b[K_i]$  for i = 1, 2 such that  $K_1$  has an exterior L and  $K_2$  has a clean L. Then  $s_{CL}[K_1 \# K_2] = s_{CL}[K_1] + s_{CL}[K_2] - 6$ .

Proof. Let  $L_1$  be an exterior L of  $K_1$  and let  $L_2$  be a clean L of  $K_2$ . Let  $R_1$  and  $R_2$  be the closed rectangles defined by the middle two sticks of  $L_1$  and  $L_2$  respectively. Assume that  $R_2$  lies at the level z=0 with the first and last sticks of  $L_2$  lying in the region  $z \leq 0$ . We now (+z)-translate all vertices of  $K_2$  lying in z>0 by some large integer  $n_0$ , and modify the sticks of  $K_2$  accordingly so that  $K_2$  is still a minimal lattice stick conformation of the same knot type, but with no x-sticks or y-sticks in the region  $0 < z \leq n_0$ . We call this move expanding  $K_2$  in the half-space  $z \geq 0$  by  $n_0$ .

Now let  $P_1, P_2, P_3, P_4$  denote the four planes that are perpendicular to the plane containing  $R_2$  and that contain an edge of  $R_2$ . Let  $S_i$  denote the closed half-space defined by  $P_i$  that does not contain  $R_2$ . Similar to before, for n = 1, 2, 3, 4 we expand the  $K_2$  in  $S_i$  by  $n_i$ , where  $n_i$  is a sufficiently large integer.

The resulting  $K_2$  after these five expansions is still a minimal lattice stick conformation of the same knot type. The advantage is that we are now in a position to place  $K_1$  (i.e. rotate and translate it) such that it lies in  $z \geq 0$  with  $R_1$  lying at z = 0, and such that  $K_1$  and  $K_2$  are disjoint except possibly at  $L_1$  and  $L_2$ . By scaling up  $K_2$  in either the x or y direction, we can make  $R_1$  a square. We can then scale up  $K_1$  (without it intersecting  $K_2$ , since  $n_0, ..., n_4$  were chosen sufficiently large) such that  $R_1$  is a square of the same size. After a possible rotation,  $R_1 \cap K_1$  and  $R_2 \cap K_2$  are now identical. We remove  $R_1$  and  $R_2$  and consolidate the remaining four sticks of  $L_1$  and  $L_2$  into two z-sticks (see Figure 3). The result is a lattice stick conformation of  $[K_1 \# K_2]$  with  $s_{CL}[K_1] + s_{CL}[K_2] - 6$  sticks, which is minimal by Lemma 2.1.

The following corollary follows by induction.

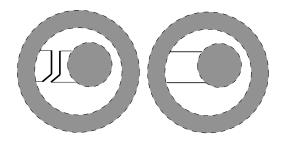


FIGURE 3. Gluing  $L_1$  and  $L_2$  by eliminating 6 sticks.

Corollary 3.2. For  $K_1, ..., K_n$  minimal lattice knot conformations realizing  $s_{CL}[K_i] = 6b[K_i]$  for i = 1, ..., n each possessing one exterior L and at least one other clean L,  $s_{CL}[K_1 \# ... \# K_n] = s_{CL}[K_1] + \cdots + s_{CL}[K_n] - 6n$ .

### 4. Lattice Stick index of Certain Satellite Knots

In this section we find the cubic lattice stick index of certain n-string satellite knots. We show that if we are given a companion knot [J] with  $s_{CL}[J] = 6b[J]$ , certain satellites of [J] have cubic lattice stick index 6nb[J].

A braid pattern B is defined to be a conformation of any knot in braid form that can be contained in a standardly embedded solid torus such that any meridinal disk of the torus intersects B at least n times, where n is the number of strands. To form a satellite knot, the solid torus containing the pattern is homeomorphically mapped to a neighborhood of the companion knot, carrying the pattern along. We say that an braid satellite knot is a satellite knot that has a braid pattern. We only consider braid patterns with a limited number of crossings, depending on the conformation of the companion knot.

**Definition 4.1.** We say a stick S in the cubic lattice has torsion  $\frac{\pi}{2}$  if when we project to a perpendicular plane (i.e. "look down S"), the projection of the adjacent sticks form an angle of  $\frac{\pi}{2}$ . We call S a torsion stick.

Let J be a minimal lattice conformation of [J]. Let  $J_n$  be the conformation of the n component link formed by duplicating J (n-1) times and translating each copy by the vector (1,1,-1) from the previous. We assume that we have scaled J up large enough so that no two copies intersect and so that all copies remain isotopic to one another in the resulting link complement.

**Definition 4.2.** Define  $\omega$ , a braid word, to be a *permutation word* if any two strings of w cross each other at most once and each crossing is in the same direction (all right over left or all left over right). The second condition is equivalent to the braid being either a positive braid or a negative braid.

We say a conformation of knot or link K differs from  $J_n$  by  $\omega$  if there exist n parallel sticks of  $J_n$  obtained from the translation process applied to a single stick of J which together yield a trivial braid, that when replaced by the permutation word  $\omega$  yields K.

**Proposition 4.1.** Satellite knots K which differ from  $J_n$  by a single permutation word  $\omega$  have cubic lattice stick index 6nb[J].

*Proof.* By [10],  $b[K] \ge nb[J]$ . Thus,  $s_{CL}[K] \ge 6nb[J]$ . Note that  $J_n$  has 6nb[J] sticks. We claim there is a transformation from  $J_n$  to K which preserves the number of sticks.

Since J is a knotted polygon in space and hence does not lie in a plane, there exists a torsion stick, say a. Label the two adjacent sticks b and c. Label the

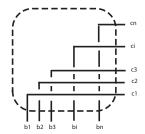


FIGURE 4. Projection to the plane perpendicular to a.

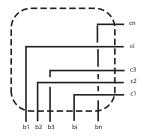


FIGURE 5. Permutation given  $\omega(b_1) = c_i$  and  $\omega(b_i) = c_1$ .

sticks in  $J_n$  corresponding to b and c as  $b_1, b_2, \ldots, b_n$  and  $c_1, c_2, \ldots, c_n$  respectively. Projecting down a, we see a picture as in Figure 4. The permutation word  $\omega$  requires each  $b_i$  to connect to some  $c_j$ . To do this, extend or shorten sticks  $b_i$  and  $c_j$  by j-i units and connect them with a vertical stick. (See Figure 5.) This construction of K with 6nb[J] sticks gives us  $s_{CL}[K] \leq 6nb[J]$ .

**Corollary 4.2.** Let  $S_n$  be the collection of knots which differ from  $J_n$  by at most one permutation word for each torsion stick of J. All knots in  $S_n$  have cubic lattice stick index 6nb[J].

*Proof.* Since the algorithm in the previous proposition only changes the positions of one set of n sticks (the set corresponding to the original torsion stick), each torsion stick can allow K to differ from  $J_n$  by another permutation word. So all knots  $K \in S$  can be constructed in 6nb[J] sticks. Therefore  $s_{CL}[K] = 6nb[J]$  for  $K \in S$ .

We observe that taking satellites and composing knots are two operations that can be done independently, allowing us to determine cubic lattice stick index for a variety of different knots and knot classes. (See Figure 6).

#### 5. Crossing Number Bounds

For a lattice stick conformation, let  $|P_x|$ ,  $|P_y|$ , and  $|P_z|$  denote the number of x, y and z sticks respectively. Following Huh and Oh in [7], we observe that we

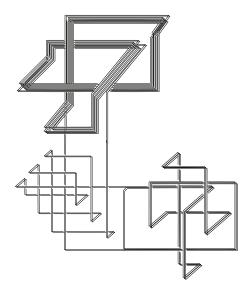


FIGURE 6. A 2-string satellite of (a 5-string satellite of  $3_1$  composed with the (4,5)-torus knot composed with a 2-string satellite of  $9_{46}$ ) with cubic lattice stick index 216.

can always modify the lengths of sticks of a lattice knot conformation K, without changing the number of sticks, and translate the knot so that the vertices of K lie in the set  $\{1, ..., |P_x|\} \times \{1, ..., |P_y|\} \times \{1, ..., |P_z|\}$ , and such that exactly two x-sticks have an endpoint at x = i for any  $i \in \{1, ..., |P_x|\}$ , and similarly for y-sticks and z-sticks. We call such a lattice knot conformation properly leveled. We can also extend this concept to links, the only distinction occurring when a trivial component lies entirely in a plane, say x = k. In this case we can arrange such that no x-sticks have endpoints at the level x = k, but we will need more than  $|P_x|$  levels. Suppose a lattice link conformation K has exactly  $C_x$  trivial components lying in planes perpendular to the x-axis. Then we will call K properly leveled if the endpoints of x-sticks lie in a subset of the levels x = 1, ...,  $x = |P_x| + C_x$ , where each level contains either two endpoints of x-sticks or a component of K, with similar conditions for y and z. As with lattice knots, we observe that every lattice link can be made properly leveled without altering the number of sticks in the conformation.

Remark 5.1. Let K be a properly leveled cubic lattice conformation of a link (in particular it could be a knot), and let P be the projection of K down the z-axis. Note that P is not necessarily a valid link diagram (i.e. a planar projection of a link conformation in  $\mathbb{R}^3$  such that no more than two points project to the same point, where double points are transverse intersections). Suppose that several x-sticks  $s_1, \ldots, s_n$  all project to the same line y = k in P. Unless there is a trivial component at y = k, there are exactly two y-sticks,  $t_a$  and  $t_b$ , which are connected

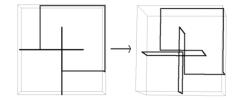


FIGURE 7. Perturbing a primary projection.

to the level y = k. Let us slightly y translate  $s_1, \ldots, s_n$  such that each lies on a different line, and such that the  $s_i$ 's are in the y order given by the z coordinates (see Figure 7). We can add very short line segments corresponding to the z-sticks and shorten or lengthen  $t_a$  and  $t_a$ , and continue this procedure for every set of line segments whose images under z-projection lie on the same line (using an analogous procedure to separate y-sticks) such that the result is a link diagram P'. Here P' is a z-projection of a slight perturbation of K (not necessarily remaining in the cubic lattice), made up of line segments corresponding to the sticks of K, where z-stick images are very short and are not involved in any crossings.

The advantage of P' is that as a link diagram we can use it to make statements about crossing number, linking number, and other invariants defined via link diagrams. We switch between P and P' as is convenient.

We now give bounds relating the cubic lattice stick index  $s_{CL}[K]$  of a knot [K] to its crossing index c[K]. We abbreviate  $s_{CL}[K]$  and c[K] by  $s_{CL}$  and c respectively.

Let P and P' be projections of K down the z-axis, as in Remark 5.1. Since every crossing in P' arises from an intersection between an x-stick image and a y-stick image, we have immediately

$$c \le (|P_x|)(|P_y|).$$

After a possible renaming we can assume  $|P_x| \leq |P_z|$  and  $|P_y| \leq |P_z|$ . Then  $|P_x| + |P_y| \leq \frac{2s_{CL}}{3}$ , so  $(|P_x|)(|P_y|)$  is certainly bounded from above by the maximization of  $(|P_x|)(|P_y|)$  constrained by

$$|P_x| + |P_y| = \frac{2s_{CL}}{3}.$$

This gives us

$$c \le \frac{s_{CL}^2}{9}.$$

We can improve this bound slightly by noting that whenever an x-stick and a y-stick are directly attached or attached via a z-stick in between, there is no crossing

in P' between these two sticks. This must occur at least twice in P', so we have

$$c \le \frac{s_{CL}^2}{9} - 2. \tag{5.1}$$

Inverting this inequality, we have

Theorem 5.1. 
$$s_{CL}[K] \ge 3\sqrt{c[K] + 2}$$
.

We now find an upper bound for  $s_{CL}$  as a function of c. Recall that an arc presentation of a knot is an open-book presentation in which there are finitely many half-plane pages so that each page meets the knot in a single simple arc. The  $arc\ index$  of a knot [K] is defined to be the least number of pages in any arc presentation and is denoted by  $\alpha[K]$ , or simply  $\alpha$ .

Lemma 5.2. 
$$s_{CL}[K] \leq 6\alpha[K] - 16$$
.

*Proof.* Let K be an minimal arc presentation with  $\alpha$  pages and with the z-axis as the binding axis. Ordering the pages based on their angle  $\theta$  from the x-axis, isotope K such that the first three pages lie at  $\theta = \pi/2$ ,  $\theta = \pi$ , and  $\theta = 3\pi/2$ respectively, and such that the remaining pages lie in  $3\pi/2 < \theta < 5\pi/2$ . The arc in the second page cannot connect to either of the arcs in the first and third page, as if it did, we could lower the arc index. Let  $P_a$  and  $P_b$  be the pages of the arcs that this arc does connect to. Isotope the arcs of K lying in each of the first three pages without leaving the pages such that they each consist of three sticks, one parallel to the z-axis and two parallel to either the x-axis or y-axis (see Figure 8). Isotope page  $P_a$  to be the page given by  $\theta = 2\pi$ . The arc in this page can be realized by extending the connecting stick from the second page a distance  $\alpha$  units and adding two other sticks in that half-plane, one z-stick, and one x-stick taking us back to the z-axis. The arc in plane  $P_b$  can be realized by extending another stick from the second plane across the z-axis into the half-plane given by  $\theta = 2\pi$ , and then adding four more sticks, one y-stick to leave the half-plane corresponding to  $\theta = 2\pi$ , one z-stick, one y-stick to return to the half-plane  $\theta = 2\pi$  and one xstick to return to the z-axis. The y-sticks will be to the negative y or positive yside of the  $\theta = 2\pi$  plane depending on whether  $P_b$  comes before or after  $P_a$  in the sequence of half-planes. We can choose the length of the x-stick shorter than the x-stick in  $P_a$  to allow this arc to pass over or under the arc in  $P_a$  as needed. Now all remaining arcs will start in the half-plane  $\theta = 2\pi$ , leaving that plane below or above it, depending on whether their original plane came before or after  $P_a$  in the sequence of pages. Orient the entire knot. For the two arcs that follow the arcs in the first and third page, we simply take an x-stick followed by a y-stick, a z-stick, a y-stick and an x-stick. For all other planes, we require a short z-stick together with the other sticks mentioned above. Finally, to close up at the end, we need another z-stick. We can pick the small z-sticks lying on the z-axis appropriately such that the result is a valid lattice conformation which is ambient isotopic to

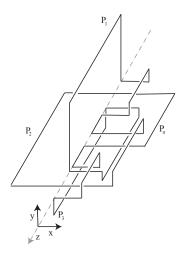


FIGURE 8. Constructing a cubic lattice conformation from an arc presentation.

the original K. Thus, if there are at least seven pages, we can construct a lattice stick version of K using  $(3)(3)+2+4+5+5+1+6(\alpha-7)=6\alpha-16$  sticks. In the case that there are fewer than seven pages, the only knots generated are the trefoil knot and the figure-eigh knot, both of which have lattice stick conformations that beat this bound.

From [1], we have  $\alpha \leq c+2$ , which immediately yields the following corollary:

Corollary 5.3.  $s_{CL}[K] \le 6c[K] - 4$ .

## 6. Links

In this section, we find the lattice stick number for certain links of more than one component.

Remark 6.1. Note that the bound  $s_{CL}[K] \ge 6b[K]$  holds only for nontrivial knots, which cannot lie entirely in a plane. As a consequence, this bound does not necessarily hold for arbitrary links, since one or more of the components may be contained in planes. Of course, if  $K_1, \ldots, K_n$  are the components of a link L, we do have  $s_{CL}[L] \ge s_{CL}[K_1] + \cdots + s_{CL}[K_n]$ . We can thus use all of the known lattice stick numbers to create various simple links of multiple components.

**Theorem 6.1.** The lattice stick number of certain links are as listed in Table 1.

*Proof.* The lower bound given in the above remark together with the lattice conformations presented in Figure 9 complete the proof.

Links	$s_{CL}$
$0_1^2$	8
$2_1^2$	8
$7_7^2$	16
$8_{15}^{2}$ $8_{16}^{2}$	18
$8_{16}^2$	18
$6^{3}_{2}$	12
$6_3^3$	12

Table 1. Lattice stick index of certain links.

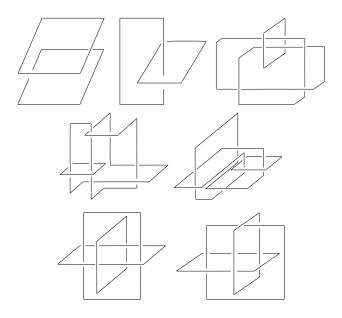


FIGURE 9. Minimal lattice conformations of links  $0_1^2$ ,  $2_1^2$ ,  $7_7^2$ ,  $8_{15}^2$ ,  $8_{16}^2$ ,  $6_2^3$ ,  $6_3^3$ .

**Lemma 6.2.** Let L be a two-component cubic lattice link conformation with linking number n = 2, 3 and suppose that one of the components lies entirely in a plane. Then the number of sticks in the conformation is at least 4n + 5.

*Proof.* Assume by contradiction that L is composed of at most 4n + 4 sticks, and let  $K_1$  and  $K_2$  denote the knot components of L. We can assume  $K_1$  lies entirely in the xy-plane. Consider the projection P of L onto the xy-plane, and let P' be a slight perturbation of P as in Remark 5.1.

Since P' exhibits linking number n, we can assume (after giving  $K_2$  an orientation) that  $K_2$  crosses as an understrand from the inside to the outside of  $K_1$  at least n times and crosses as an overstrand from the outside to the inside of  $K_1$  at least n times. Note that a single stick of  $K_2$  makes at most a contribution of 1/2 to

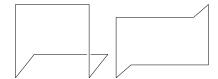


FIGURE 10. Six stick trivial components.

the linking number of P'. Then  $K_2$  requires at least 2n xy-sticks each contributing 1/2 to the linking number of P', and we need a z-stick between these consecutive xy-sticks in order to enable the crossings, amounting to an additional 2n sticks. We can then assume that  $K_1$  is a rectangle made from four sticks, and the sticks of  $K_2$  follow the repeating pattern: xy-stick passing over  $K_1$  from outside to inside, descending z-stick, xy-stick passing under  $K_1$  from inside to outside, ascending z-stick, xy-stick passing over  $K_1$  from outside to inside, etc.

At least four endpoints of xy-sticks lying inside  $K_1$  would have to lie at the same point in P, otherwise additional xy-sticks would be required to complete the knot. But since all endpoints lie on a z-line, the two z highest points must be connected with a z-stick, and similarly each next consecutive pair of points. Such a connection results in either connecting oppositely oriented strands together or splitting  $K_2$  into different components, neither of which is possible.

# **Theorem 6.3.** The lattice stick index of $4_1^2$ is 13.

*Proof.* Suppose by contradiction that we have a cubic lattice conformation L of  $4_1^2$  with 12 sticks or less. Let  $K_1$  and  $K_2$  denote the knot components of L. Consider the projection P of L onto the z axis, and let P' be a slight perturbation of P' as in Remark 5.1.

By Lemma 6.2, neither component may lie entirely in a plane. Therefore both  $K_1$  and  $K_2$  are constructed from 6 sticks. In particular,  $K_1$  and  $K_2$  are each constructed from 2 parallel sticks in each direction, and it is not hard to see that the two constructions shown in Figure 10 are the only possible 6-stick lattice conformations up to rotation and changing the lengths of sticks. We can assume that  $K_1$  is positioned such that it z-projects to a rectangle. Then in the xy-plane it makes sense to talk about the inside and outside of  $K_1$ , and as before we can assume  $K_2$  crosses as an understrand from the inside to the outside of  $K_1$  at least twice and crosses as an overstrand from the outside to the inside of  $K_1$  at least twice. A single stick of  $K_2$  can cross  $K_1$  in P' at most twice, and such stick may contribute 1 to the linking number. If we have such a stick, say  $x_{ij}$ , then there must be a parallel stick which either makes the opposite contribution to the linking number or does not contribute to the linking number (since there are two sticks in each direction and  $K_2$  is a closed loop). Either case is clearly impossible, since then the remaining four sticks of  $K_2$  lie in either the plane x=i or x=jand therefore cannot contribute to the linking number. We conclude that each

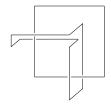


FIGURE 11. Minimal lattice conformation of link  $4_1^2$ .

of the four xy-sticks of  $K_2$  must contribute 1/2 to the linking number. All four inner endpoints must lie at the same xy point in P, which is impossible without connecting oppositely oriented strands of  $K_2$  or making  $K_2$  into a two component link.

Figure 11 completes the proof.

We have also established a slightly weaker bound for general linking number n.

**Proposition 6.4.** Let L be a two-component link conformation with linking number n and suppose that one of the components is entirely contained in a plane. Then the number of sticks in the conformation is at least 4n + 4.

Proof. Consider the projection P of L onto the z-axis, and let P' be a slight perturbation of P' as in Remark 5.1. As L has a linking number of n, so does P'. Let  $K_1$  and  $K_2$  denote the knot components of L and assume  $K_1$  lies in a plane. Giving  $K_2$  an orientation, we can therefore assume that  $K_2$  crosses as an understrand from the inside to the outside of  $K_1$  at least n times and crosses as an overstrand from the outside to the inside of  $K_1$  at least n times. Note that a single stick of  $K_2$  makes at most a contributions of 1/2 to the linking number of P'. Then  $K_2$  requires at least 2n xy-sticks each contributing 1/2 to the linking number of P', and a z-stick between consecutive xy-sticks in order to enable the crossings.

Remark 6.2. The two-braid links, excluding  $4_1^2$  and  $6_1^2$ , can be realized with 4n+4 sticks. (see Figure 12).

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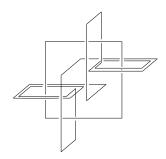


FIGURE 12. Two-braid link with linking number 6.

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