Energy Efficiency

Khalil Zarifsadr (Kyle Zarif) 3/5/2020

https://github.com/kylezarif/Energy_Efficiency

Overview

Energy efficient buildings are those that are designed to reduce energy consumption. In building design heating load (HL) and cooling load (CL) is required to determine the specifications of the heating and cooling equipment. This study presents a machine learning framework that investigates characteristics including surface area, wall area, roof area, overall height, orientation, relative compactness, glazing area, and glazing area distribution to determine the heating load and cooling load in buildings.

In this study three machine learning algorithms are trained using the inputs in one subset (ee set) to predict heating load and cooling load in the validation set. The dataset contains eight features, denoted by X1,...,X8 and two outcomes, denoted by y1 and y2. The aim is to use the eight features to predict each of the two responses. For developing the algorithm, the 'ee' set is split into separate training set and test set. Heating load and cooling load are predicted in the validation set as if they were unknown. Since we have two outcomes, all sets are split in 2 separate sets, one for calculating heating load by dropping cooling load and one for calculating cooling load by dropping heating load. The confusion matrix is used for summarizing the performance and accuracy of each algorithm and evaluate how close the predictions are to the true values in the validation set.

Let's install required libraries and start by creating ee set, and validation set

```
# Let's see the class and number of missing values
data.frame(cbind(data.frame(VarType=sapply(eefm,class)),data.frame(Total_Missing=sa
pply(eefm,function(x){sum(is.na(x))}))))
##
                             VarType Total Missing
## Relative Compactness
                             numeric
## Surface Area
                             numeric
                                                  0
## Wall Area
                                                  0
                             numeric
## Roof Area
                                                  0
                             numeric
## Overall_Height
                                                  0
                             numeric
## Orientation
                                                  0
                             integer
## Glazing Area
                                                  0
                             numeric
## Glazing_Area_Distribution integer
                                                  0
## Heating Load
                             numeric
                                                  0
## Cooling_Load
                             numeric
```

According to the original project done by Angeliki Xifara and Athanasios Tsanas Let's round outputs Heating Load and Cooling Load to the nearest integer

```
eefm <- eefm %>%
  mutate(Heating_Load = floor(Heating_Load), Cooling_Load = floor(Cooling_Load))
```

In this step the data from ee set is split into two sets. Training set with 80% of the eefm set and test set.

```
library(e1071)
# Validation set will be 20% of eefm data
set.seed(1, sample.kind="Rounding")
## Warning in set.seed(1, sample.kind = "Rounding"): non-uniform 'Rounding' sampler
## used
# if using R 3.5 or earlier, use `set.seed(1)` instead
n <- nrow(eefm) # Number of observations</pre>
test_index <- round(n*0.80) # 80% for ee set
                 # Set seed for reproducible results
set.seed(314)
tindex <- sample(n, test index)</pre>
                                   # Create a random index
ee <- eefm[tindex,]</pre>
                      # Create ee set
validation <- eefm[-tindex,] # Create validation set</pre>
# Validation sets for Heating Load and Cooling Load
validation_hl <- select (validation,-c(Cooling_Load))</pre>
validation cl <- select (validation,-c(Heating Load))</pre>
```

Dividing the ee data into training and test sets

```
set.seed(1, sample.kind="Rounding")
## Warning in set.seed(1, sample.kind = "Rounding"): non-uniform 'Rounding' sampler
## used
```

```
# test set will be 20% of ee data
# if using R 3.5 or earlier, use `set.seed(1)` instead
n <- nrow(ee) # Number of observations</pre>
test_index <- round(n*0.80) # 80% for training set
                 # Set seed for reproducible results
set.seed(314)
tindex <- sample(n, test_index) # Create a random index</pre>
train_set <- ee[tindex,] # Create train set</pre>
test_set <- ee[-tindex,] # Create test set</pre>
# train and test sets for Heating Load Model by removing Cooling Load
train_set_hl <- select (train_set,-c(Cooling_Load))</pre>
test_set_hl <- select (test_set,-c(Cooling_Load))</pre>
# train and test sets for Cooling Load Model by removing Heating Load
train_set_Cl <- select (train_set,-c(Heating_Load))</pre>
test_set_Cl <- select (test_set,-c(Heating_Load))</pre>
set.seed(1, sample.kind="Rounding")
## Warning in set.seed(1, sample.kind = "Rounding"): non-uniform 'Rounding' sampler
## used
# test set will be 20% of ee data
# if using R 3.5 or earlier, use `set.seed(1)` instead
n <- nrow(ee) # Number of observations</pre>
test index <- round(n*0.80) # 80% for training set
                 # Set seed for reproducible results
set.seed(314)
tindex <- sample(n, test index) # Create a random index
train_set <- ee[tindex,] # Create train set</pre>
test_set <- ee[-tindex,] # Create test set</pre>
# train and test sets for Heating Load Model by removing Cooling Load
train set hl <- select (train set,-c(Cooling Load))</pre>
test set hl <- select (test set,-c(Cooling Load))
# train and test sets for Cooling Load Model by removing Heating Load
train_set_Cl <- select (train_set,-c(Heating_Load))</pre>
test set Cl <- select (test set,-c(Heating Load))
```

Analysis

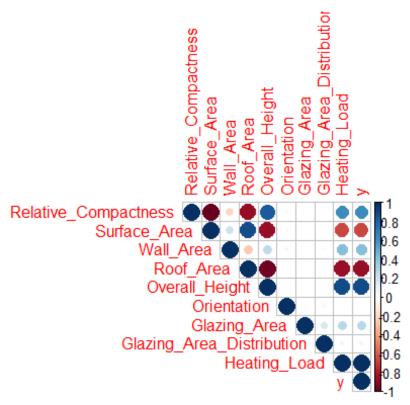
We split the training set into two partitions. The first partition for predicting heating load by droping cooling load, using eight features, and the other for predicting cooling by dropping only heating load. we will investigate the relationships and correlations between features and oucomes by applying several visualization methods.

Data Exploration and Visualization

Considering Heating Load

Let's see if there is any strong positive or negative correlation between variables by constructing a correlation plot

```
y.label <-as.numeric(train_set_hl$Heating_Load)
corrplot(cor(cbind(train_set_hl,y = train_set_hl$Heating_Load)),type="upper")</pre>
```



```
cor(train set hl, train set hl$Heating Load)
##
                                      [,1]
## Relative_Compactness
                               0.636736857
## Surface Area
                              -0.669435924
## Wall Area
                               0.429497945
## Roof Area
                              -0.864617325
## Overall Height
                               0.891350437
## Orientation
                               0.003045007
## Glazing_Area
                               0.278905956
## Glazing_Area_Distribution 0.064880689
## Heating_Load
                               1.000000000
```

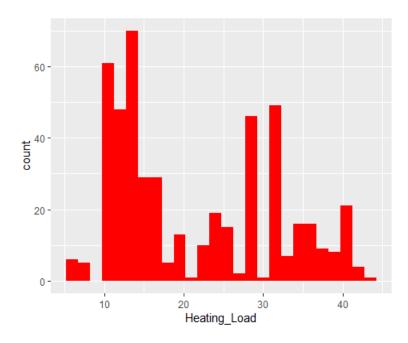
We can see that Overal_Height has the highest correlation with heating load followed by relative compactnes, wall area, and glazing area

```
# Let's apply a Heating load model to see P-values
model hl <- lm(Heating Load~.,data=train set hl)
summary(model_hl)
##
## Call:
## lm(formula = Heating_Load ~ ., data = train_set_hl)
## Residuals:
              10 Median
##
      Min
                            3Q
                                  Max
  -9.603 -1.282 0.004 1.320
                                8.073
##
##
## Coefficients: (1 not defined because of singularities)
##
                               Estimate Std. Error t value Pr(>|t|)
## (Intercept)
                              80.311300 24.383861
                                                     3.294 0.00106 **
## Relative Compactness
                             -62.963673
                                         13.181058
                                                    -4.777 2.37e-06 ***
                                          0.021979 -3.858 0.00013 ***
## Surface Area
                              -0.084795
                                                     6.816 2.81e-11 ***
## Wall Area
                               0.059994
                                          0.008803
## Roof_Area
                                                        NA
                                     NA
                                                NA
                                                                 NA
## Overall Height
                               4.217379
                                          0.441615
                                                     9.550 < 2e-16 ***
## Orientation
                               0.057225
                                          0.122286
                                                     0.468 0.64002
                                                    19.150 < 2e-16 ***
## Glazing_Area
                              19.611062
                                          1.024065
## Glazing Area Distribution
                               0.197343
                                          0.089107
                                                     2.215 0.02725 *
                   0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
##
## Residual standard error: 3.006 on 483 degrees of freedom
## Multiple R-squared: 0.9128, Adjusted R-squared:
## F-statistic: 722.5 on 7 and 483 DF, p-value: < 2.2e-16
```

P-values for orientation, and roof area are all greater than 0.05. This means that the relationship between the dependent and these independent variables is not significant at the 95% certainty level. We can drop these 2 variables for the model. High p-values for these independent variables do not mean that they should not be used in the model. It could be that some other variables are correlated with these variables and making these variables less useful for prediction. Let's drop these variables from heating load data set.

```
train_set_hl <- select (train_set_hl, -c(Roof_Area), -c(Orientation))

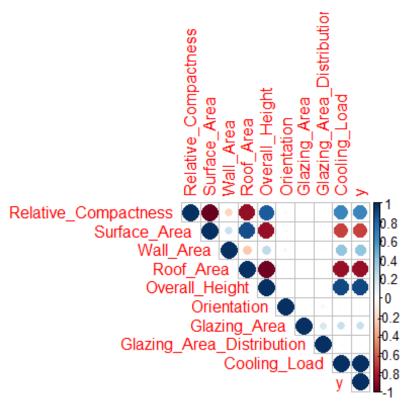
# Histogram of Heating Load
train_set_hl %>%
    ggplot(aes(Heating_Load))+
    geom_histogram(binwidth = 1.5, fill = 'red')
```



Considering Cooling Load

Let's see if there is any strong positive or negative correlation between variables by constructing a correlation plot

```
y.label <-as.numeric(train_set_Cl$Cooling_Load)
corrplot(cor(cbind(train_set_Cl,y = train_set_Cl$Cooling_Load)),type="upper")</pre>
```

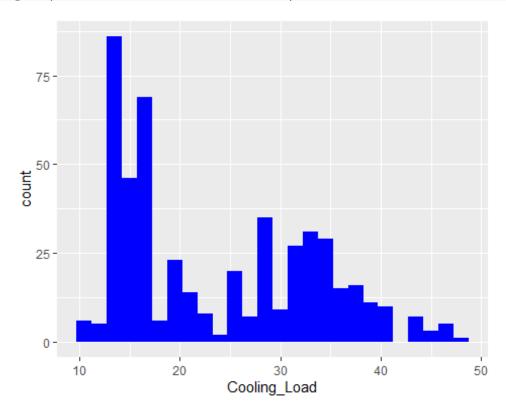


```
cor(train set Cl, train set Cl$Cooling Load)
##
                                    [,1]
## Relative_Compactness
                              0.65114680
## Surface Area
                             -0.68746588
## Wall Area
                              0.39956961
## Roof_Area
                             -0.86814433
## Overall Height
                              0.90083963
## Orientation
                              0.02223762
## Glazing Area
                              0.22366656
## Glazing Area Distribution 0.02759879
## Cooling Load
                              1.00000000
# We can see that overal height has the highest correlation with cooling load
followed by relative compactnes and wall area
# Let's apply a cooling load model to see P-values
model_cl <- lm(Cooling_Load~.,data=train_set_Cl)</pre>
summary(model_cl)
##
## Call:
## lm(formula = Cooling_Load ~ ., data = train_set_Cl)
##
## Residuals:
##
      Min
              1Q Median
                            3Q
                                  Max
  -8.584 -1.623 -0.354 1.339 11.203
##
##
## Coefficients: (1 not defined because of singularities)
##
                               Estimate Std. Error t value Pr(>|t|)
## (Intercept)
                             101.772993 25.449946
                                                      3.999 7.36e-05
                             -73.878750 13.757346 -5.370 1.22e-07 ***
## Relative Compactness
## Surface Area
                              -0.092664
                                           0.022940 -4.039 6.23e-05 ***
                               0.044749
                                           0.009187
                                                      4.871 1.51e-06 ***
## Wall Area
## Roof_Area
                                                        NA
                                     NA
                                                NA
                                                                  NA
                                                            < 2e-16 ***
## Overall Height
                               4.310914
                                          0.460923
                                                      9.353
## Orientation
                               0.179801
                                           0.127633
                                                      1.409
                                                               0.160
## Glazing_Area
                              15.082987
                                          1.068838
                                                     14.112
                                                             < 2e-16 ***
## Glazing_Area_Distribution
                               0.007407
                                          0.093003
                                                      0.080
                                                               0.937
## Signif. codes: 0 '***' 0.001 '**' 0.05 '.' 0.1 ' ' 1
##
## Residual standard error: 3.137 on 483 degrees of freedom
## Multiple R-squared: 0.8944, Adjusted R-squared:
## F-statistic: 584.3 on 7 and 483 DF, p-value: < 2.2e-16
```

P-values for glazing area distribution, orientation, and roof area are all greater than 0.05. This means that the relationship between the dependent and these independent variables is not significant at the 95% certainty level. Let's drop these variables from cooling load data sets.

```
train_set_Cl <- select (train_set_Cl, -c(Roof_Area), -c(Orientation), -
c(Glazing_Area_Distribution))

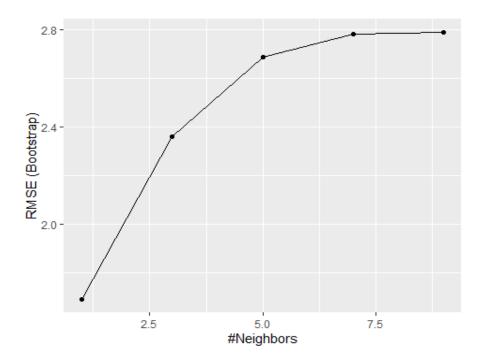
# Histogram of Cooling Load
train_set_Cl %>%
    ggplot(aes(Cooling_Load))+
    geom_histogram(binwidth = 1.5, fill = 'blue')
```



k-nearest neighbors (kNN)

Let's start by fitting a K-nearest neighbors method (kNN) on train sets.

Considering Heating Load

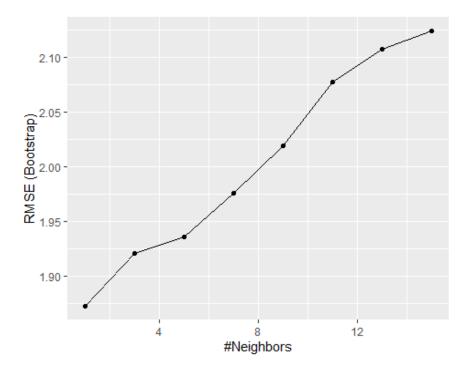


Let's change how we perform cross validation and apply 10-fold cross validation

```
control <- trainControl(method = "cv", number = 10, p = .9)</pre>
fit_knn <- train(Heating_Load ~ ., method = "knn",</pre>
                 data = train_set_hl,
                 tuneGrid = data.frame(k = seq(1,9,2)),
                 trControl = control)
fit_knn$bestTune #maximizes the accuracy
##
     k
## 1 1
# Predict KNN on the test set
knn hat <- predict(fit knn,test set hl)</pre>
# Let's check the accuracy of the model
confusionMatrix(table(factor(knn_hat,
levels=min(test set hl$Heating Load):max(test set hl$Heating Load)),
                       factor(test_set_hl$Heating_Load,
levels=min(test_set_hl$Heating_Load):max(test_set_hl$Heating_Load))))$overall["Accu
racy"]
## Accuracy
## 0.8089888
#The accuracy is 80%
```

Considering Cooling Load

```
data = train_set_Cl)
ggplot(fit_knn_cl)
```



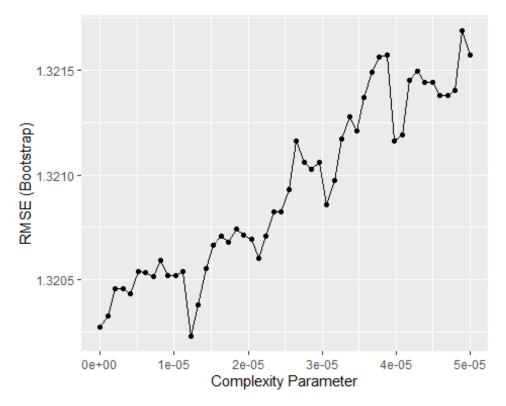
Let's change how we perform cross validation and apply 10-fold cross validation

```
control <- trainControl(method = "cv", number = 10, p = .9)</pre>
fit_knn_cl <- train(Cooling_Load ~ ., method = "knn",</pre>
                    data = train_set_Cl,
                    tuneGrid = data.frame(k = seq(1,9,2)),
                    trControl = control)
fit_knn$bestTune #maximizes the accuracy
##
     k
## 1 1
# Predict on the test set
knn_hat_cl <- predict(fit_knn_cl,test_set_Cl)</pre>
# Let's check the accuracy of the model
confusionMatrix(table(factor(knn_hat_cl,
levels=min(test set Cl$Cooling Load):max(test set Cl$Cooling Load)),
                       factor(test_set_Cl$Cooling_Load,
levels=min(test_set_Cl$Cooling_Load):max(test_set_Cl$Cooling_Load))))$overall["Accu
racy"]
## Accuracy
## 0.8461538
#The accuracy is 84%
```

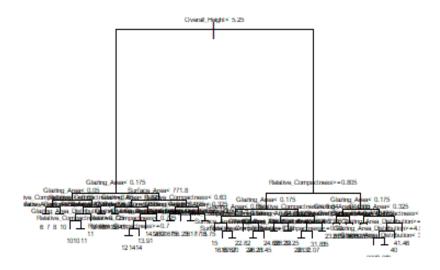
Regression trees

Here I will use regression tree which is a very strong method for continuous outcomes. On training datasets for more flexibility, I will find the best complexity parameter (cp). Note that cp = 0 is the most flexible value that will results in over training. cp = 0 means predictor is the original data.

Considering Heating Load

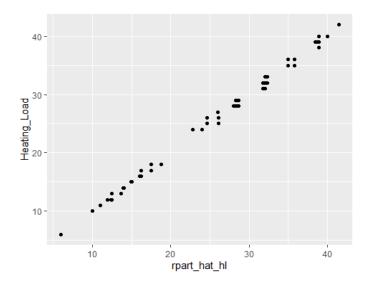


```
fit_rpart <- rpart(Heating_Load ~ ., data = train_set_hl, control =
rpart.control(cp = 0.000025, minsplit = 2))
plot(fit_rpart, margin = 0.01)
text(fit_rpart,cex = 0.4)</pre>
```

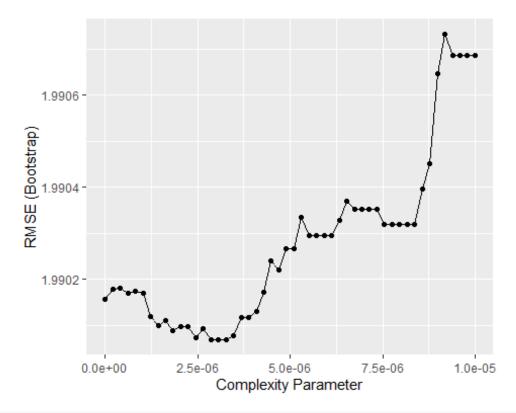


```
# Let's apply the model on the test set
rpart_hat_hl <- predict(fit_rpart, test_set_hl)

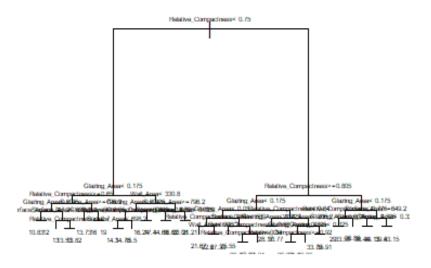
# Let's plot predicted values versus heating load on the test set
test_set_hl %>%
    ggplot(aes(rpart_hat_hl,Heating_Load))+
    geom_point()
```



Considering Cooling Load

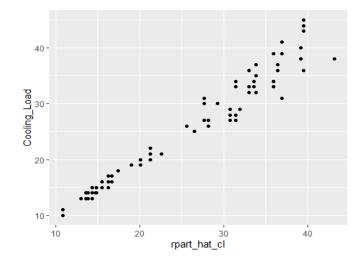


```
fit_rpart_cl <- rpart(Cooling_Load ~ ., data = train_set_Cl, control =
    rpart.control(cp = 0.0000075, minsplit = 8))
plot(fit_rpart_cl, margin = 0.01)
text(fit_rpart_cl, cex = 0.4)</pre>
```



```
# Let's apply the model on the test set
rpart_hat_cl <- predict(fit_rpart_cl, test_set_Cl)

# Let's plot predicted values versus heating load on the test set
test_set_Cl %>%
    ggplot(aes(rpart_hat_cl,Cooling_Load))+
    geom_point()
```

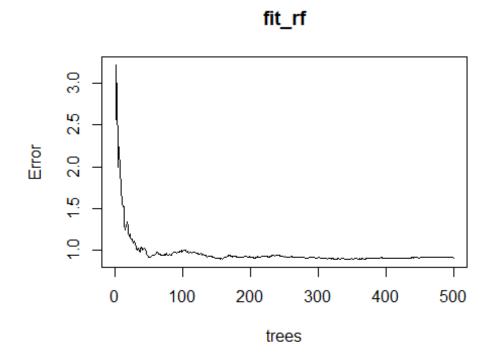


Random Forest

Let's check anothet method. The random forest aggregates predictions made by multiple decision trees. Each decision tree in the forest is trained on a subset of the dataset (bootstrapped dataset). The bootstrap makes random different individual trees. It may improve predictions of heating load and cooling load.

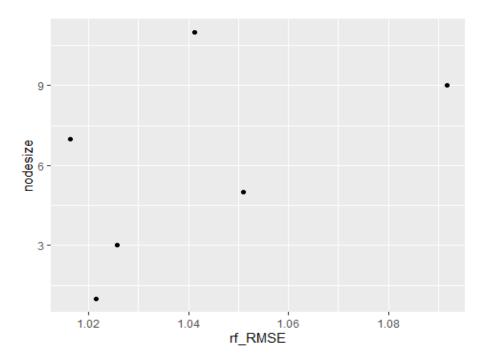
Considering Heating Load

```
fit_rf <- randomForest(Heating_Load~., data = train_set_hl)
plot(fit_rf)</pre>
```

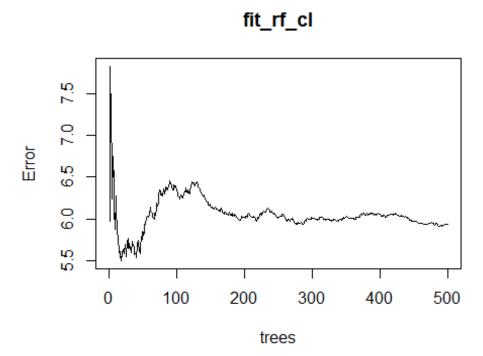


We can see in this case the accuracy improves as we add more trees untill about 90 trees

We can make the estimates smoother by changing the parameters that controls the minimum number of data points in the nodes of the tree. The smaller RMSE, the smoother the final estimates will be.



```
fit_rf_cl <- randomForest(Cooling_Load~., data = train_set_Cl)
plot(fit_rf_cl)</pre>
```



We can see in this case the accuracy improves as we add more trees untill about 70 trees

We can make the estimates smoother by changing the parameters that controls the minimum number of data points in the nodes of the tree. The smaller RMSE, the smoother the final estimates will be.

Results

The machine learning framework is ready for validation. In this section, I will fit all three proposed machine learning models on the validation sets separately. Note that the validation set is like an unknown dataset up to now.

Validation of Regression trees

For Heating load prediction let's validate the model on the validation set for regression trees (CART)

For Cooling load prediction let's validate the model on the validation set for regression trees (CART)

method	Accuracy
RT_HL	0.8909091
RT CL	1.0000000

Validation of KNN

For heating load prediction let's validate the model on the validation set for KNN

```
methodAccuracyRT_HL0.8909091RT_CL1.0000000KNN_HL0.7962963
```

For Cooling load prediction let's validate the model on the validation set for KNN

method	Accuracy
RT_HL	0.8909091
RT_CL	1.0000000
KNN_HL	0.7962963
KNN CL	0.8947368

Validation of Random Forest

For heating load prediction let's validate the model on the validation set with Random Forest

method	Accuracy
RT_HL	0.8909091
RT_CL	1.0000000
KNN_HL	0.7962963
KNN_CL	0.8947368
RF_HL	0.4220779

For cooling load prediction let's validate the model on the validation set with Random Forest

method	Accuracy
RT_HL	0.8909091
RT_CL	1.0000000
KNN_HL	0.7962963
KNN CL	0.8947368

RF_HL 0.4220779 RF CL 0.1948052

Conclusion

The available data set includes discrete continues data and the distribution of predictors and outcomes were all non-normal. The proposed machine learning models estimate heating load and cooling load with high accuracy using characteristics of buildings in case a similar dataset is available. The proposed methods were applied on the validation sets and shows high accuracy of 89% for heating load, and 100% for cooling load using regression trees followed by 79% accuracy for heating load, and 89% accuracy for cooling load using k-nearest neighbors (kNN). However, results from random forest method are not likely. The accuracy of the predicted heating load is 42% and the accuracy of the predicted cooling load is only 19% using random forest. For future studies it is recommended to train an Artificial Neural Network model. ANN has more flexibility on multi-class problems. If we approach the project as a multi-class classification problem, Multi-Class Support Vector Machine (SVM) is also another flexible method tend to perform well in a wide range of problems.

Citation:

A. Tsanas, A. Xifara: 'Accurate quantitative estimation of energy performance of residential buildings using statistical machine learning tools', Energy and Buildings, Vol. 49, pp. 560-567, 2012 The dataset was created by Angeliki Xifara (angxifara '@' gmail.com, Civil/Structural Engineer) and was processed by Athanasios Tsanas (tsanasthanasis '@' gmail.com, Oxford Centre for Industrial and Applied Mathematics, University of Oxford, UK).