# Schur Weyl Duality and The Frobenius Formula

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#### Abstract

In this paper we exposit one of the fundamental results linking representation theory and algebraic combinatorics called Schur-Weyl duality. It provides a dictionary between the representation theory of finite symmetric groups and the representation theory of the general linear group of a finite dimensional complex vector space. Through this dictionary, we obtain representation theoretic constructions of many aspects of symmetric function theory, including Schur functions, Kostka numbers, and internal/external products on the symmetric function ring.

### Contents

| 1 | $\mathbf{Rep}$ | presentation Theory Background               |
|---|----------------|--|
|   | 1.1            | Group Representations                        |
|   | 1.2            | Character Theory and Orthogonality Relations |
|   | 1.3            | Fundamental Examples                         |
| 2 | Rep            | presentations of $S_n$                       |
|   | 2.1            | Partitions, Young Diagrams, and Tabloids     |
|   |                | Construction of Specht Modules               |
|   |                | Alternative Construction                     |
|   | 2.4            | Results on Specht Modules                    |
| 3 | Ren            | presentations of $GL(V)$                     |
|   |                | Schur Functors                               |

### 1 Representation Theory Background

### 1.1 Group Representations

**Definition 1.1.1.** A representation of a group G is a pair  $(\pi, V)$  where V is a  $\mathbb{C}$ -vector space and  $\pi: G \to \mathrm{GL}(V)$  is a group homomorphism.

**Definition 1.1.2.** A morphism between two representations  $(\pi, V)$  and  $(\rho, W)$  of a group G is a linear map  $T: V \to W$  such that  $T\pi(g) = \rho(g)T$  for all  $g \in G$ .

### 1.2 Character Theory and Orthogonality Relations

### 1.3 Fundamental Examples

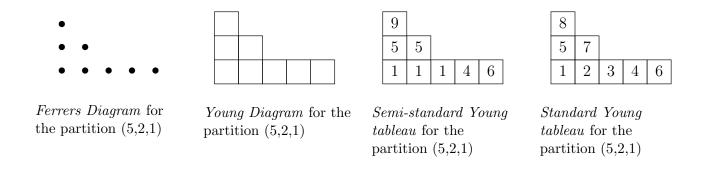
### 2 Representations of $S_n$

[FH91]

### 2.1 Partitions, Young Diagrams, and Tabloids

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**Definition 2.1.1.** Given  $\lambda \vdash n$ , the Ferrers diagram of shape  $\lambda$  is the set  $\{(i,j) \in \mathbb{N}^2 : j \in \mathbb{N}, 1 \leq i \leq \lambda_j\}$  depicted as points in  $\mathbb{R}^2$ . The Young diagram of shape  $\lambda$  is depicted identically to the Ferrers diagram except the points are replaced with squares. The size of the diagram is the number of entries, namely n. We depict the case  $(5,2,1) \vdash 8$  below.



**Definition 2.1.2.** Given  $\lambda \vdash n$  and a Young diagram of shape  $\lambda$ , a semi-standard Young tableau of shape  $\lambda$  is a filling of the boxes of the Young diagram with positive integers such that

- the entries are weakly increasing along rows,
- the entries are strictly increasing up columns.

A semi-standard Young tableau of size n is said to be standard if the elements of  $\{1, \ldots, n\}$  each appear exactly once in the tableau. We write  $SSYT(\lambda)$  and  $SYT(\lambda)$  for the sets of semi-standard and standard Young tableaux of shape  $\lambda$ . Given a semi-standard Young tableau  $\mathcal{T}$ , the weight of  $\mathcal{T}$  is a function  $\alpha = \alpha_{\mathcal{T}} : \mathbb{N} \to \mathbb{N}$  given by

$$\alpha(i) := \text{number of times } i \text{ appears in } \mathcal{T}.$$

Note that  $\alpha(i) = 0$  for sufficiently large i, so we may write  $x^{\alpha} = x_1^{\alpha(1)} x_2^{\alpha(2)} \cdots$  and obtain a valid monomial. We write  $SSYT(\lambda, \alpha)$  for the set of semi-standard Young tableaux of shape  $\lambda$  and weight  $\alpha$ .

**Definition 2.1.3.** Given  $\lambda \vdash n$ , a  $\lambda$ -tableau is simply a filling of the boxes of the Young diagram of shape  $\lambda$  with the elements of  $\{1, \ldots, n\}$  without repetition (and no other restrictions). Denote the set of  $\lambda$ -tableaux by  $YT(\lambda)$ . Note that  $S_n \curvearrowright YT(\lambda)$  by permuting labels.

**Definition 2.1.4.** Given  $\lambda \vdash n$ , define an equivalence relation  $\sim$  on  $YT(\lambda)$  by  $\mathcal{T} \sim \mathcal{T}'$  if and only if  $\mathcal{T}'$  can be obtained from  $\mathcal{T}$  by permuting the entries of each row. An equivalence class with respect to this relation is called a  $\lambda$ -tabloid. If  $\mathcal{T}$  is a  $\lambda$ -tableau, we write  $\{\mathcal{T}\}$  for the corresponding  $\lambda$ -tabloid. Finally, we write  $Tab(\lambda) := YT(\lambda)/\sim$  for the set of  $\lambda$ -tabloids. Note that the action of  $S_n$  on  $\lambda$ -tableaux descends to an action on  $\lambda$ -tabloids.

#### 2.2 Construction of Specht Modules

Young diagrams will give projection operators  $P_{\lambda}: \mathbb{C}[S_n] \to \mathbb{C}[S_n]$  which commute with the action of  $S_n$ , whence the image  $P_{\lambda}(\mathbb{C}[S_n])$  gives a subrepresentation of the regular representation. These subrepresentations will end up being precisely the irreducible representations of  $S_n$ . Throughout this section,  $\lambda \vdash n$  will be fixed.

**Definition 2.2.1.** Given a  $\lambda$ -tableau  $\mathcal{T}$ , define the row group  $R_{\mathcal{T}}$  to be the subgroup of  $S_n$  which permutes only the labels in the rows of  $\mathcal{T}$  and the column group  $C_{\mathcal{T}}$  as the subgroup which permutes only the labels in the columns of  $\mathcal{T}$ .

Now we may define the Young row and column symmetrizers in  $\mathbb{C}[S_n]$  by

$$a_{\mathcal{T}} := \sum_{\sigma \in R_{\mathcal{T}}} \sigma, \qquad b_{\mathcal{T}} := \sum_{\sigma \in C_{\mathcal{T}}} \operatorname{sgn}(\sigma)\sigma.$$
 (1)

Note that for  $\mathcal{T} \in YT(\lambda)$ , the corresponding tabloid is precisely the orbit of  $\mathcal{T}$  under its row group, i.e.

$$\{\mathcal{T}\} = R_{\mathcal{T}}\mathcal{T} = \{\sigma\mathcal{T} \in YT(\lambda) : \sigma \in R_{\mathcal{T}}\}.$$

Now let  $M^{\lambda}$  be the free  $\mathbb{C}$ -vector space over the set of  $\lambda$ -tabloids. Extending the action  $S_n \curvearrowright Tab(\lambda)$  linearly to all of  $M^{\lambda}$ , we obtain a  $\mathbb{C}[S_n]$ -module structure on  $M^{\lambda}$ . For  $T \in YT(\lambda)$ , the element  $e_T \in M^{\lambda}$  given by

$$e_{\mathcal{T}} := b_{\mathcal{T}} \cdot \{\mathcal{T}\} = \sum_{\sigma \in C_{\mathcal{T}}} \operatorname{sgn}(\sigma) \{\sigma \mathcal{T}\}$$

is called the polytabloid associated to  $\mathcal{T}$ . Let  $S^{\lambda}$  be the subspace of  $M^{\lambda}$  generated by all polytabloids, namely

$$S^{\lambda} := \operatorname{Span}_{\mathbb{C}} \{ e_{\mathcal{T}} : \mathcal{T} \in YT(\lambda) \}.$$

Claim.  $S^{\lambda}$  is a  $\mathbb{C}[S_n]$ -submodule of  $M^{\lambda}$ .

Proof of Claim. Fix  $\sigma \in S_n$ . We first show that  $C_{\sigma \mathcal{T}} = \sigma C_{\mathcal{T}} \sigma^{-1}$ . Indeed, if  $T_i$  is the set of entries for the *i*th column of  $\mathcal{T}$ , then  $\sigma(T_i)$  is the entries for the *i*th column of  $\sigma \mathcal{T}$ . Now it suffices to note that  $\tau \in S_n$  stabilizes  $T_i$  if and only if  $\sigma \tau \sigma^{-1}$  stabilizes  $\sigma(T_i)$ . Using this identity, we compute

$$\sigma b_{\mathcal{T}} = \sum_{\gamma \in C_{\mathcal{T}}} \operatorname{sgn}(\gamma) \sigma \gamma \stackrel{\tau = \sigma \gamma \sigma^{-1}}{=} \sum_{\tau \in \sigma C_{\mathcal{T}} \sigma^{-1}} \operatorname{sgn}(\sigma^{-1} \tau \sigma) \tau \sigma = \sum_{\tau \in C_{\sigma \mathcal{T}}} \operatorname{sgn}(\tau) \tau \sigma = b_{\sigma \mathcal{T}} \sigma.$$

Now we apply  $\sigma$  to the generators of  $S^{\lambda}$  and find

$$\sigma \cdot e_{\mathcal{T}} = \sigma \cdot (b_{\mathcal{T}} \cdot \{\mathcal{T}\}) = (\sigma b_{\mathcal{T}}) \cdot \{\mathcal{T}\} = b_{\sigma \mathcal{T}} \{\sigma \mathcal{T}\} = e_{\sigma \mathcal{T}}.$$

As  $S_n$  stabilizes  $S^{\lambda}$ , the claim follows.

**Definition 2.2.2.** The  $\mathbb{C}[S_n]$ -module  $S^{\lambda}$  as defined above is the Specht module corresponding to  $\lambda$ .

**Example 2.2.1** (Sign Representation). Consider the partition  $\lambda = (1, 1, ..., 1)$  of n. Since each row of  $\lambda$  has one element, the  $\lambda$ -tabloids are the same as  $\lambda$ -tableaux.

Let  $\mathcal{T}$  be a  $\lambda$ -tableau. As  $\mathcal{T}$  has only one column,  $C_{\mathcal{T}} = S_n$ , whence  $b_{\mathcal{T}} = \sum_{\gamma \in S_n} \operatorname{sgn}(\gamma) \gamma$  and consequently

$$\sigma e_{\mathcal{T}} = \sum_{\gamma \in S_n} \operatorname{sgn}(\gamma) \sigma \gamma \{\mathcal{T}\} = \sum_{\tau \in S_n} \operatorname{sgn}(\sigma^{-1}\tau) \tau \{\mathcal{T}\} = \operatorname{sgn}(\sigma) e_{\mathcal{T}} \quad \text{for all } \sigma \in S_n.$$

On the other hand, we know that  $\sigma e_{\mathcal{T}} = e_{\sigma \mathcal{T}}$ , so it follows that  $S^{\lambda} = \mathbb{C}e_{\mathcal{T}}$  is the one-dimensional sgn representation.

**Example 2.2.2** (Trivial Representation). Consider the partition  $\lambda = (n)$  of n. Since there is one row of  $\lambda$ , all  $\lambda$ -tableaux are equivalent so there is only one  $\lambda$ -tableau.  $\mathcal{S}$ .

Each  $e_{\mathcal{T}} = \{T\} = \{S\}$ , so  $S^{\lambda} = \mathbb{C}e_{\mathcal{S}}$  is one-dimensional. The action of  $\sigma$  is given by  $\sigma e_{\mathcal{T}} = e_{\sigma \mathcal{T}} = e_{\mathcal{T}}$ , so  $S^{\lambda}$  is the trivial representation of  $S_n$ .

**Example 2.2.3** (Augmentation Subrepresentation). Consider the partition  $\lambda = (n-1,1)$  of n. Observe that there are n distinct  $\lambda$ -tabloids, each corresponding to the integer in singular box on the 2nd row. Denote the tabloid with i in the 2nd row by  $t_i$ , so  $Tab(\lambda) = \{t_1, \ldots, t_n\}$ .

Let  $V = \mathbb{C}\{v_1, \ldots, v_n\}$  be the standard representation of  $S_n$  (i.e.  $\sigma v_i = v_{\sigma(i)}$ ). Observe that the map  $L: V \to M^{\lambda}$  given by  $L(v_i) = t_i$  is an isomorphism of  $\mathbb{C}[S_n]$ -modules. The augmentation subrepresentation W of V is given by  $W := \{\sum_{i=1}^n \alpha_i v_i : \sum_i \alpha_i = 0\}$ . We claim that  $S^{\lambda} \cong W$  as  $\mathbb{C}[S_n]$ -modules. Fix  $i \in \{1, \ldots, n\}$  and let  $\mathcal{T}$  be a  $\lambda$ -tableau such that  $t_i = \{\mathcal{T}\}$ . Let j be the integer below i on the tableau. Then the column

$$\begin{bmatrix} i \\ j \end{bmatrix} \dots$$

General form of  $\mathcal{T}$  when  $t_i = \{\mathcal{T}\}$ 

group  $C_{\mathcal{T}}$  is then of order 2 generated by the transposition  $(i \ j)$ .

$$e_{\mathcal{T}} = \sum_{\gamma \in C_{\mathcal{T}}} \operatorname{sgn}(\gamma) \gamma t_i = t_i - t_j.$$

Hence, one checks

$$S^{\lambda} = \text{Span}\{t_i - t_j : 1 \le i, j \le n, i \ne j\} = \text{Span}\{t_i - t_{i+1} : 1 \le i \le n - 1\}.$$

Moreover,  $\{t_i - t_{i+1} : 1 \le i \le n-1\}$  gives a basis for  $S^{\lambda}$ . The restriction of L to W gives a vector space isomorphism  $L: W \to S^{\lambda}$  as  $\{v_i - v_{i+1}\}_{1 \le i \le n-1}$  gives a basis for W, so a basis gets mapped to a basis. Moreover, this map intertwines the  $S_n$ -action, so it produces  $\mathbb{C}[S_n]$ -module isomorphism.

#### 2.3 Alternative Construction

Fix a  $\lambda$ -tableau  $\mathcal{S}$  throughout this section, say the canonical one (increasing across rows and then moving up rows). Recall the row and column symmetrizers  $a_{\lambda} := a_{\mathcal{S}}$ ,  $b_{\lambda} := b_{\mathcal{S}}$  and define the Young symmetrizer

$$c_{\lambda} := a_{\lambda} \cdot b_{\lambda} \in \mathbb{C}[S_n].$$

Set  $V_{\lambda} := \mathbb{C}[S_n]c_{\lambda}$ . Define a map  $T : \mathbb{C}[S_n]a_{\lambda} \to M^{\lambda}$  by  $T(\sigma a_{\lambda}) = {\sigma S}$ .

Claim. The map T is an isomorphism of  $\mathbb{C}[S_n]$ -modules.

Proof of Claim. We first show this map is well defined. If  $\sigma a_{\lambda} = \tau a_{\lambda}$ , then  $\tau^{-1}\sigma$  fixes  $a_{\lambda}$ , whence  $\tau^{-1}\sigma \in R_{\mathcal{S}}$  and consequently  $\sigma\{\mathcal{S}\} = \tau\{\mathcal{S}\}$ .

Since the action of  $S_n$  on  $\lambda$ -tableau is transitive, it follows that the map T is onto. On the other hand, suppose  $\sum_{\sigma} \alpha_{\sigma} \sigma a_{\lambda} \in \ker(T)$ . Then

$$0 = T(\sum_{\sigma} \alpha_{\sigma} \sigma a_{\lambda}) = \sum_{\sigma} \alpha_{\sigma} \{\sigma S\}.$$

Since  $M^{\lambda}$  is a free  $\mathbb{C}$ -module, it follows that  $\sum_{\sigma} \alpha_{\sigma} \sigma = 0$ . Lastly, if  $\sigma, \gamma \in S_n$ , then

$$\sigma T(\gamma a_{\lambda}) = \sigma \{\gamma S\} = \{\sigma \gamma S\} = T(\sigma \gamma s_{\lambda}).$$

Claim. The map T restricted to the submodule  $\mathbb{C}[S_n]b_{\lambda}a_{\lambda}$  gives a  $\mathbb{C}[S_n]$ -module isomorphism  $\mathbb{C}[S_n]b_{\lambda}a_{\lambda}\cong S^{\lambda}$ .

Proof of Claim. For  $\sigma \in S_n$ , we compute

$$T(\sigma b_{\lambda} a_{\lambda}) = \sum_{\tau \in C_{\mathcal{S}}} \operatorname{sgn}(\tau) T(\sigma \tau a_{\lambda}) = \sum_{\tau \in C_{\mathcal{S}}} \operatorname{sgn}(\tau) \{ \sigma \tau \mathcal{S} \}$$
$$= \sigma \sum_{\tau \in C_{\mathcal{S}}} \operatorname{sgn}(\tau) \{ \tau \mathcal{S} \} = \sigma e_{\mathcal{S}} = e_{\sigma \mathcal{S}}$$

Since  $S_n$  acts transitively on  $\lambda$ -tableaux, it follows that

$$T(\mathbb{C}[S_n]b_{\lambda}a_{\lambda}) = \operatorname{Span}_{\mathbb{C}}\{e_{\sigma S} : \sigma \in S_n\} = S^{\lambda}$$

By the proof of the previous claim, T is injective and intertwines the action of  $S_n$ , whence  $T|_{\mathbb{C}[S_n]b_\lambda a_\lambda}$  furnishes an isomorphism of  $\mathbb{C}[S_n]$ -modules as desired.

**Proposition 2.3.1.** Set  $A = \mathbb{C}[S_n]$ , so  $V_{\lambda} = Aa_{\lambda}b_{\lambda} = Ac_{\lambda}$ .

- 1.  $V_{\lambda} \cong Ab_{\lambda}a_{\lambda}$ .
- 2.  $V_{\lambda}$  is the image of the map from  $Aa_{\lambda}$  to  $Ab_{\lambda}$  given by right multiplication by  $b_{\lambda}$ .

### 2.4 Results on Specht Modules

Having obtained a few examples of Specht modules, we now show that  $\{S^{\lambda} : \lambda \vdash n\}$  forms a complete set of non-isomorphic, irreducible representations of  $S_n$ . This is established by the combining the following three theorems.

**Theorem 2.4.1.** Given  $\lambda \vdash n$ , the Specht module  $S^{\lambda}$  is irreducible as a  $\mathbb{C}[S_n]$ -module (i.e. an irreducible representation of  $S_n$ ).

**Theorem 2.4.2.** If  $\lambda, \mu \vdash n$  and  $\lambda \neq \mu$ , then  $S^{\lambda} \ncong S^{\mu}$  as  $\mathbb{C}[S_n]$ -modules.

**Theorem 2.4.3.** Every irreducible representation of  $S_n$  is ismorphic to  $S^{\lambda}$  for some  $\lambda \vdash n$ .

## 3 Representations of GL(V)

#### 3.1 Schur Functors

Recall the

### References

[FH91] William Fulton and Joe Harris. Representation theory. Vol. 129. Graduate Texts in Mathematics. A first course, Readings in Mathematics. Springer-Verlag, New York, 1991, pp. xvi+551.