

# Schur Weyl Duality and The Frobenius Formula

James Harbour

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## Abstract

In this paper we exposit one of the fundamental results linking representation theory and algebraic combinatorics called Schur-Weyl duality. It provides a dictionary between the representation theory of finite symmetric groups and the representation theory of the general linear group of a finite dimensional complex vector space. Through this dictionary, we obtain representation theoretic constructions of many aspects of symmetric function theory, including Schur functions, Kostka numbers, and internal/external products on the symmetric function ring.

## Contents

<b>1</b>	<b>Representation Theory Background</b>	<b>1</b>
1.1	Group Representations . . . . .	1
1.2	Character Theory and Orthogonality Relations . . . . .	1
1.3	Fundamental Examples . . . . .	1
<b>2</b>	<b>Representations of <math>S_n</math></b>	<b>1</b>
2.1	Partitions, Young Diagrams, and Tabloids . . . . .	1
2.2	Construction of Specht Modules . . . . .	2
2.3	Alternative Construction . . . . .	4
2.4	Results on Specht Modules . . . . .	5

## 1 Representation Theory Background

### 1.1 Group Representations

### 1.2 Character Theory and Orthogonality Relations

### 1.3 Fundamental Examples

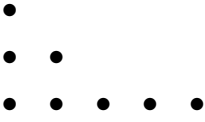
## 2 Representations of $S_n$

[FH91]

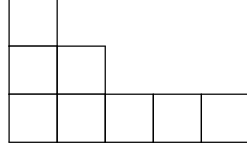
### 2.1 Partitions, Young Diagrams, and Tabloids

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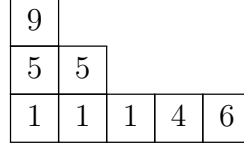
**Definition 2.1.1.** Given  $\lambda \vdash n$ , the *Ferrers diagram of shape  $\lambda$*  is the set  $\{(i, j) \in \mathbb{N}^2 : j \in \mathbb{N}, 1 \leq i \leq \lambda_j\}$  depicted as points in  $\mathbb{R}^2$ . The *Young diagram of shape  $\lambda$*  is depicted identically to the Ferrers diagram except the points are replaced with squares. The *size* of the diagram is the number of entries, namely  $n$ . We depict the case  $(5, 2, 1) \vdash 8$  below.



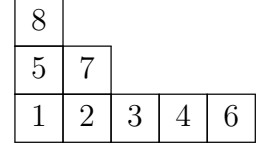
*Ferrers Diagram* for the partition  $(5,2,1)$



*Young Diagram* for the partition  $(5,2,1)$



*Semi-standard Young tableau* for the partition  $(5,2,1)$



*Standard Young tableau* for the partition  $(5,2,1)$

**Definition 2.1.2.** Given  $\lambda \vdash n$  and a Young diagram of shape  $\lambda$ , a *semi-standard Young tableau of shape  $\lambda$*  is a filling of the boxes of the Young diagram with positive integers such that

- the entries are weakly increasing along rows,
- the entries are strictly increasing up columns.

A semi-standard Young tableau of size  $n$  is said to be *standard* if the elements of  $\{1, \dots, n\}$  each appear exactly once in the tableau. We write  $SSYT(\lambda)$  and  $SYT(\lambda)$  for the sets of semi-standard and standard Young tableaux of shape  $\lambda$ . Given a semi-standard Young tableau  $\mathcal{T}$ , the *weight* of  $\mathcal{T}$  is a function  $\alpha = \alpha_{\mathcal{T}} : \mathbb{N} \rightarrow \mathbb{N}$  given by

$$\alpha(i) := \text{number of times } i \text{ appears in } \mathcal{T}.$$

Note that  $\alpha(i) = 0$  for sufficiently large  $i$ , so we may write  $x^\alpha = x_1^{\alpha(1)} x_2^{\alpha(2)} \dots$  and obtain a valid monomial. We write  $SSYT(\lambda, \alpha)$  for the set of semi-standard Young tableaux of shape  $\lambda$  and weight  $\alpha$ .

**Definition 2.1.3.** Given  $\lambda \vdash n$ , a  $\lambda$ -tableau is simply a filling of the boxes of the Young diagram of shape  $\lambda$  with the elements of  $\{1, \dots, n\}$  without repetition (and no other restrictions). Denote the set of  $\lambda$ -tableaux by  $YT(\lambda)$ . Note that  $S_n \curvearrowright YT(\lambda)$  by permuting labels.

**Definition 2.1.4.** Given  $\lambda \vdash n$ , define an equivalence relation  $\sim$  on  $YT(\lambda)$  by  $\mathcal{T} \sim \mathcal{T}'$  if and only if  $\mathcal{T}'$  can be obtained from  $\mathcal{T}$  by permuting the entries of each row. An equivalence class with respect to this relation is called a  $\lambda$ -*tabloid*. If  $\mathcal{T}$  is a  $\lambda$ -tableau, we write  $\{\mathcal{T}\}$  for the corresponding  $\lambda$ -tabloid. Finally, we write  $Tab(\lambda) := YT(\lambda) / \sim$  for the set of  $\lambda$ -tabloids. Note that the action of  $S_n$  on  $\lambda$ -tableaux descends to an action on  $\lambda$ -tabloids.

## 2.2 Construction of Specht Modules

Young diagrams will give projection operators  $P_\lambda : \mathbb{C}[S_n] \rightarrow \mathbb{C}[S_n]$  which commute with the action of  $S_n$ , whence the image  $P_\lambda(\mathbb{C}[S_n])$  gives a subrepresentation of the regular representation. These subrepresentations will end up being precisely the irreducible representations of  $S_n$ . Throughout this section,  $\lambda \vdash n$  will be fixed.

**Definition 2.2.1.** Given a  $\lambda$ -tableau  $\mathcal{T}$ , define the *row group*  $R_{\mathcal{T}}$  to be the subgroup of  $S_n$  which permutes only the labels in the rows of  $\mathcal{T}$  and the *column group*  $C_{\mathcal{T}}$  as the subgroup which permutes only the labels in the columns of  $\mathcal{T}$ .

Now we may define the *Young row and column symmetrizers* in  $\mathbb{C}[S_n]$  by

$$a_{\mathcal{T}} := \sum_{\sigma \in R_{\mathcal{T}}} \sigma, \quad b_{\mathcal{T}} := \sum_{\sigma \in C_{\mathcal{T}}} \text{sgn}(\sigma)\sigma. \quad (1)$$

Note that for  $\mathcal{T} \in YT(\lambda)$ , the corresponding tabloid is precisely the orbit of  $\mathcal{T}$  under its row group, i.e.

$$\{\mathcal{T}\} = R_{\mathcal{T}}\mathcal{T} = \{\sigma\mathcal{T} \in YT(\lambda) : \sigma \in R_{\mathcal{T}}\}.$$

Now let  $M^\lambda$  be the free  $\mathbb{C}$ -vector space over the set of  $\lambda$ -tabloids. Extending the action  $S_n \curvearrowright \text{Tab}(\lambda)$  linearly to all of  $M^\lambda$ , we obtain a  $\mathbb{C}[S_n]$ -module structure on  $M^\lambda$ . For  $\mathcal{T} \in YT(\lambda)$ , the element  $e_{\mathcal{T}} \in M^\lambda$  given by

$$e_{\mathcal{T}} := b_{\mathcal{T}} \cdot \{\mathcal{T}\} = \sum_{\sigma \in C_{\mathcal{T}}} \text{sgn}(\sigma)\{\sigma\mathcal{T}\}$$

is called the *polytabloid associated to  $\mathcal{T}$* . Let  $S^\lambda$  be the subspace of  $M^\lambda$  generated by all polytabloids, namely

$$S^\lambda := \text{Span}_{\mathbb{C}}\{e_{\mathcal{T}} : \mathcal{T} \in YT(\lambda)\}.$$

*Claim.*  $S^\lambda$  is a  $\mathbb{C}[S_n]$ -submodule of  $M^\lambda$ .

*Proof of Claim.* Fix  $\sigma \in S_n$ . We first show that  $C_{\sigma\mathcal{T}} = \sigma C_{\mathcal{T}} \sigma^{-1}$ . Indeed, if  $T_i$  is the set of entries for the  $i$ th column of  $\mathcal{T}$ , then  $\sigma(T_i)$  is the entries for the  $i$ th column of  $\sigma\mathcal{T}$ . Now it suffices to note that  $\tau \in S_n$  stabilizes  $T_i$  if and only if  $\sigma\tau\sigma^{-1}$  stabilizes  $\sigma(T_i)$ . Using this identity, we compute

$$\sigma b_{\mathcal{T}} = \sum_{\gamma \in C_{\mathcal{T}}} \text{sgn}(\gamma)\sigma\gamma \stackrel{\tau=\sigma\gamma\sigma^{-1}}{=} \sum_{\tau \in \sigma C_{\mathcal{T}} \sigma^{-1}} \text{sgn}(\sigma^{-1}\tau\sigma)\tau\sigma = \sum_{\tau \in C_{\sigma\mathcal{T}}} \text{sgn}(\tau)\tau\sigma = b_{\sigma\mathcal{T}}\sigma.$$

Now we apply  $\sigma$  to the generators of  $S^\lambda$  and find

$$\sigma \cdot e_{\mathcal{T}} = \sigma \cdot (b_{\mathcal{T}} \cdot \{\mathcal{T}\}) = (\sigma b_{\mathcal{T}}) \cdot \{\mathcal{T}\} = b_{\sigma\mathcal{T}}\{\sigma\mathcal{T}\} = e_{\sigma\mathcal{T}}.$$

As  $S_n$  stabilizes  $S^\lambda$ , the claim follows. □

**Definition 2.2.2.** The  $\mathbb{C}[S_n]$ -module  $S^\lambda$  as defined above is the *Specht module corresponding to  $\lambda$* .

**Example 2.2.1** (Sign Representation). Consider the partition  $\lambda = (1, 1, \dots, 1)$  of  $n$ . Since each row of  $\lambda$  has one element, the  $\lambda$ -tabloids are the same as  $\lambda$ -tableaux.

Let  $\mathcal{T}$  be a  $\lambda$ -tableau. As  $\mathcal{T}$  has only one column,  $C_{\mathcal{T}} = S_n$ , whence  $b_{\mathcal{T}} = \sum_{\gamma \in S_n} \text{sgn}(\gamma)\gamma$  and consequently

$$\sigma e_{\mathcal{T}} = \sum_{\gamma \in S_n} \text{sgn}(\gamma)\sigma\gamma\{\mathcal{T}\} = \sum_{\tau \in S_n} \text{sgn}(\sigma^{-1}\tau)\tau\{\mathcal{T}\} = \text{sgn}(\sigma)e_{\mathcal{T}} \quad \text{for all } \sigma \in S_n.$$

On the other hand, we know that  $\sigma e_{\mathcal{T}} = e_{\sigma\mathcal{T}}$ , so it follows that  $S^\lambda = \mathbb{C}e_{\mathcal{T}}$  is the one-dimensional  $\text{sgn}$  representation.

**Example 2.2.2** (Trivial Representation). Consider the partition  $\lambda = (n)$  of  $n$ . Since there is one row of  $\lambda$ , all  $\lambda$ -tableaux are equivalent so there is only one  $\lambda$ -tabloid. Fix a  $\lambda$ -tableau  $\mathcal{S}$ .

Each  $e_{\mathcal{T}} = \{\mathcal{T}\} = \{\mathcal{S}\}$ , so  $S^\lambda = \mathbb{C}e_{\mathcal{S}}$  is one-dimensional. The action of  $\sigma$  is given by  $\sigma e_{\mathcal{T}} = e_{\sigma\mathcal{T}} = e_{\mathcal{T}}$ , so  $S^\lambda$  is the trivial representation of  $S_n$ .

$i$			
$j$		$\dots$	

General form of  $\mathcal{T}$  when  $t_i = \{\mathcal{T}\}$

**Example 2.2.3** (Augmentation Subrepresentation). Consider the partition  $\lambda = (n-1, 1)$  of  $n$ . Observe that there are  $n$  distinct  $\lambda$ -tabloids, each corresponding to the integer in singular box on the 2nd row. Denote the tabloid with  $i$  in the 2nd row by  $t_i$ , so  $\text{Tab}(\lambda) = \{t_1, \dots, t_n\}$ .

Let  $V = \mathbb{C}\{v_1, \dots, v_n\}$  be the standard representation of  $S_n$  (i.e.  $\sigma v_i = v_{\sigma(i)}$ ). Observe that the map  $L : V \rightarrow M^\lambda$  given by  $L(v_i) = t_i$  is an isomorphism of  $\mathbb{C}[S_n]$ -modules. The *augmentation subrepresentation*  $W$  of  $V$  is given by  $W := \{\sum_{i=1}^n \alpha_i v_i : \sum_i \alpha_i = 0\}$ . We claim that  $S^\lambda \cong W$  as  $\mathbb{C}[S_n]$ -modules. Fix  $i \in \{1, \dots, n\}$  and let  $\mathcal{T}$  be a  $\lambda$ -tableau such that  $t_i = \{\mathcal{T}\}$ . Let  $j$  be the integer below  $i$  on the tableau. Then the column group  $C_{\mathcal{T}}$  is then of order 2 generated by the transposition  $(i \ j)$ .

$$e_{\mathcal{T}} = \sum_{\gamma \in C_{\mathcal{T}}} \text{sgn}(\gamma) \gamma t_i = t_i - t_j.$$

Hence, one checks

$$S^\lambda = \text{Span}\{t_i - t_j : 1 \leq i, j \leq n, i \neq j\} = \text{Span}\{t_i - t_{i+1} : 1 \leq i \leq n-1\}.$$

Moreover,  $\{t_i - t_{i+1} : 1 \leq i \leq n-1\}$  gives a basis for  $S^\lambda$ . The restriction of  $L$  to  $W$  gives a vector space isomorphism  $L : W \rightarrow S^\lambda$  as  $\{v_i - v_{i+1}\}_{1 \leq i \leq n-1}$  gives a basis for  $W$ , so a basis gets mapped to a basis. Moreover, this map intertwines the  $S_n$ -action, so it produces  $\mathbb{C}[S_n]$ -module isomorphism.

## 2.3 Alternative Construction

Fix a  $\lambda$ -tableau  $\mathcal{S}$  throughout this section, say the canonical one (increasing across rows and then moving up rows). Recall the row and column symmetrizers  $a_\lambda := a_{\mathcal{S}}$ ,  $b_\lambda := b_{\mathcal{S}}$  and define the Young symmetrizer

$$c_\lambda := a_\lambda \cdot b_\lambda \in \mathbb{C}[S_n].$$

Set  $V_\lambda := \mathbb{C}[S_n]c_\lambda$ . Define a map  $T : \mathbb{C}[S_n]a_\lambda \rightarrow M^\lambda$  by  $T(\sigma a_\lambda) = \{\sigma \mathcal{S}\}$ .

*Claim.* The map  $T$  is an isomorphism of  $\mathbb{C}[S_n]$ -modules.

*Proof of Claim.* We first show this map is well defined. If  $\sigma a_\lambda = \tau a_\lambda$ , then  $\tau^{-1}\sigma$  fixes  $a_\lambda$ , whence  $\tau^{-1}\sigma \in R_{\mathcal{S}}$  and consequently  $\sigma\{\mathcal{S}\} = \tau\{\mathcal{S}\}$ .

Since the action of  $S_n$  on  $\lambda$ -tableau is transitive, it follows that the map  $T$  is onto. On the other hand, suppose  $\sum_{\sigma} \alpha_{\sigma} \sigma a_\lambda \in \ker(T)$ . Then

$$0 = T\left(\sum_{\sigma} \alpha_{\sigma} \sigma a_\lambda\right) = \sum_{\sigma} \alpha_{\sigma} \{\sigma \mathcal{S}\}.$$

Since  $M^\lambda$  is a free  $\mathbb{C}$ -module, it follows that  $\sum_{\sigma} \alpha_{\sigma} \sigma = 0$ . Lastly, if  $\sigma, \gamma \in S_n$ , then

$$\sigma T(\gamma a_\lambda) = \sigma\{\gamma \mathcal{S}\} = \{\sigma \gamma \mathcal{S}\} = T(\sigma \gamma a_\lambda).$$

□

*Claim.* The map  $T$  restricted to the submodule  $\mathbb{C}[S_n]b_\lambda a_\lambda$  gives a  $\mathbb{C}[S_n]$ -module isomorphism  $\mathbb{C}[S_n]b_\lambda a_\lambda \cong S^\lambda$ .

*Proof of Claim.* For  $\sigma \in S_n$ , we compute

$$\begin{aligned} T(\sigma b_\lambda a_\lambda) &= \sum_{\tau \in C_S} \text{sgn}(\tau) T(\sigma \tau a_\lambda) = \sum_{\tau \in C_S} \text{sgn}(\tau) \{\sigma \tau \mathcal{S}\} \\ &= \sigma \sum_{\tau \in C_S} \text{sgn}(\tau) \{\tau \mathcal{S}\} = \sigma e_{\mathcal{S}} = e_{\sigma \mathcal{S}} \end{aligned}$$

Since  $S_n$  acts transitively on  $\lambda$ -tableaux, it follows that

$$T(\mathbb{C}[S_n] b_\lambda a_\lambda) = \text{Span}_{\mathbb{C}} \{e_{\sigma \mathcal{S}} : \sigma \in S_n\} = S^\lambda$$

By the proof of the previous claim,  $T$  is injective and intertwines the action of  $S_n$ , whence  $T|_{\mathbb{C}[S_n] b_\lambda a_\lambda}$  furnishes an isomorphism of  $\mathbb{C}[S_n]$ -modules as desired.  $\square$

**Proposition 2.3.1.** *Set  $A = \mathbb{C}[S_n]$ , so  $V_\lambda = A a_\lambda b_\lambda = A c_\lambda$ .*

1.  $V_\lambda \cong A b_\lambda a_\lambda$ .
2.  $V_\lambda$  is the image of the map from  $A a_\lambda$  to  $A b_\lambda$  given by right multiplication by  $b_\lambda$ .

## 2.4 Results on Specht Modules

Having obtained a few examples of Specht modules, we now show that  $\{S^\lambda : \lambda \vdash n\}$  forms a complete set of non-isomorphic, irreducible representations of  $S_n$ . This is established by the combining the following three theorems.

**Theorem 2.4.1.** *Given  $\lambda \vdash n$ , the Specht module  $S^\lambda$  is irreducible as a  $\mathbb{C}[S_n]$ -module (i.e. an irreducible representation of  $S_n$ ).*

**Theorem 2.4.2.** *If  $\lambda, \mu \vdash n$  and  $\lambda \neq \mu$ , then  $S^\lambda \not\cong S^\mu$  as  $\mathbb{C}[S_n]$ -modules.*

**Theorem 2.4.3.** *Every irreducible representation of  $S_n$  is isomorphic to  $S^\lambda$  for some  $\lambda \vdash n$ .*

## References

- [FH91] William Fulton and Joe Harris. *Representation theory*. Vol. 129. Graduate Texts in Mathematics. A first course, Readings in Mathematics. Springer-Verlag, New York, 1991, pp. xvi+551.