# MATH 7410 Homework 3 (In-Progress)

James Harbour

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#### Problem 1

(a): Let X be a separable Banach space. Show that  $Ball(X^*) = \{\phi \in X^* : ||\phi|| \le 1\}$  is  $wk^*$ -metrizable.

*Proof.* Note that it suffices to show that a countable subset of the seminorms defining the LCS topology on  $X^*$  in fact define the topology on  $Ball(X^*)$ .

Choose a norm dense sequence  $(x_n)_{n=1}^{\infty}$  in X. We claim that the seminorms  $\rho_{x_n} = |ev_{x_n}(\cdot)| : X^* \to [0, +\infty)$  define the restriction of the  $wk^*$ -topology to  $Ball(X^*)$ .

Suppose that  $(\phi_{\alpha})_{\alpha \in I}$  is a net in  $Ball(X^*)$  and  $\phi \in Ball(X^*)$  is such that  $\phi_{\alpha}(x_n) \to \phi(x)$  for all  $n \in \mathbb{N}$ . Now let  $x \in X$  and fix  $\varepsilon > 0$ . Then by density there is some  $n \in \mathbb{N}$  such that  $||x - x_n|| < \varepsilon/3$ . Moreover, by assumption, there is some  $\alpha_0 \in I$  such that for all  $\alpha \geq \alpha_0$ ,  $|\phi_{\alpha}(x_n) - \phi(x_n)| < \varepsilon/3$ . Now, for all  $\alpha \geq \alpha_0$ ,

$$|\phi(x) - \phi_{\alpha}(x)| \le |\phi(x - x_n)| + |\phi(x_n) - \phi_{\alpha}(x)| \le ||x - x_n|| + |\phi_{\alpha}(x_n) - \phi(x_n)| + |\phi_{\alpha}(x_n) - \phi_{\alpha}(x)|$$

$$< \frac{\varepsilon}{3} + \frac{\varepsilon}{3} + ||\phi_{\alpha}|| ||x_n - x|| < \varepsilon$$

so in fact, for nets in  $Ball(X^*)$ , pointwise convergence on  $(x_n)_n^{\infty}$  implies pointwise convergence everywhere, and thus  $wk^*$ -convergence of the underlying nets.

(b): If X is a Banach space, show that there is a compact space K such that X is isometrically isomorphic to a closed subspace of C(K).

# Problem 2

Let  $Bil(X \times Y, Z)$  be the space of bounded, bilinear maps from  $X \times Y \to Z$ .

(a): Suppose that  $B_x, B^y$  are bounded for each  $x \in X, y \in Y$ . Prove that there is a constant M > 0 so that

$$\|B(x,y)\| \le M\|x\|\|y\|$$

(use the Principle of Uniform Boundedness).

*Proof.* For  $x \in X$ ,  $y \in Ball(Y)$ , note that  $||B_y(x)|| \le ||B_x||$ . Then by the principle of uniform boundedness,  $C := \sup_{y \in Ball(Y)} ||B_y|| < +\infty$ . Now observe that

$$\sup_{x \in Ball(X), y \in Ball(Y)} \|B(x, y)\| = \sup_{x \in Ball(X), y \in Ball(Y)} \|B_y(x)\| \le \sup_{y \in Ball(Y)} \|B_y\| = C,$$

whence the claim follows by scaling.

- (b): Show that the map  $\Phi: Bil(X \times Y, \mathbb{F}) \to B(X, Y^*)$  given by  $[\widetilde{\Phi}(B)(x)](y) = B(x, y)$  is a well-defined, isometric isomorphism.
- (c): By switching names, it follows that the map  $\widetilde{\Phi}: Bil(X \times Y, \mathbb{F}) \to B(Y, X^*)$  given by  $[\widetilde{\Phi}(B)(y)](x) = B(x,y)$  is a well-defined, isometric isomorphism. So the map  $\widetilde{\Phi} \circ \Phi^{-1}$  is an isometric isomorphism  $B(X,Y^*) \cong B(Y,X^*)$ . What is this isomorphism?

## Problem 3

Let X, Y be Banach spaces. And let  $(T_n)_{n=1}^{\infty}$  be a sequence in B(X,Y).

**Lemma 1.** If X is a Banach space,  $(x_n)_{n=1}^{\infty}$  a sequence in X such that  $\phi(x_n)$  is a bounded sequence for all  $\phi \in X^*$ , then  $(x_n)_{n=1}^{\infty}$  is bounded in norm.

Proof of Lemma. Let  $\hat{x} \in X^{**}$  denote the canonical image of  $x \in X$  inside  $X^{**}$ . For each  $\phi \in X^{*}$ ,  $\sup_{n \in \mathbb{N}} |\hat{x}_n(\phi)| = \sup_{n \in \mathbb{N}} |\phi(x_n)| < +\infty$ , so by the principle of uniform boundedness,  $\sup_{n \in \mathbb{N}} ||x_n|| = \sup_{n \in \mathbb{N}} ||\hat{x}_n|| < +\infty$ .

(a): If  $T_n$  converges in the WOT to  $T \in B(X,Y)$  show that  $\sup_n ||T_n|| < +\infty$ . (In particular, if  $T_n$  converges strongly, then it is norm).

*Proof.* Fix  $x \in X$ . Then for all  $\phi \in Y^*$ ,  $\phi(T_n x) \to \phi(T x)$  so  $\sup_{n \in \mathbb{N}} |\phi(T_n x)| < +\infty$ . Now by the above lemma,  $\sup_{n \in \mathbb{N}} ||T_n x|| < +\infty$ . Hence, by the principle of uniform boundedness,  $\sup_{n \in \mathbb{N}} ||T_n x|| < +\infty$  as desired.  $\square$ 

(b): If  $\sup_n ||T_n|| < +\infty$  and there is a norm dense  $D \subseteq X$  so that  $T_n x$  converges for every  $x \in D$ , show that  $T_n x$  converges for all  $x \in X$ , that  $Tx = \lim_{n \to \infty} T_n x$  is a bounded operator, and that  $||Tx - T_n x|| \xrightarrow{n \to \infty} 0$  for every  $x \in X$ .

### Problem 4

Let X, Y be Banach spaces. And let  $(T_n)_{n=1}^{\infty}$  be a sequence in B(X, Y). Suppose that  $\sup_n ||T_n|| < +\infty$  and that  $D \subseteq X$ ,  $G \subseteq Y^*$  are norm dense. Assume that  $\lim_n \phi(T_n x)$  exists for all  $\phi \in G, x \in D$ .

(a): Show that  $\lim_n \phi(T_n x)$  exists for all  $\phi \in Y^*$ ,  $x \in X$ .

*Proof.* Fix  $x \in X, \phi \in Y^*$ , and  $\varepsilon > 0$ . Choose  $y \in D, \psi \in G$  such that  $||x - y||, ||\phi - \psi|| < \varepsilon$ . Set  $C = \sup_{n \in \mathbb{N}} ||T_n||$  Then, for  $n, m \in \mathbb{N}$ , we compute that

$$\|\phi(T_{n}x) - \phi(T_{m}x)\| \leq \|\phi(T_{n}x) - \psi(T_{n}x)\| + \|\psi(T_{n}x) - \phi(T_{m}x)\|$$

$$\leq C\varepsilon \|x\| + \|\psi(T_{n}x) - \psi(T_{n}y)\| + \|\psi(T_{n}y) - \phi(T_{m}x)\|$$

$$\leq C\varepsilon (\|x\| + \|\psi\|) + \|\psi(T_{n}y) - \psi(T_{m}x)\| + \|\psi(T_{m}x) - \phi(T_{m}x)\|$$

$$\leq C\varepsilon (2\|x\| + \|\psi\|) + \|\psi(T_{n}y) - \psi(T_{m}y)\| + \|\psi(T_{m}y) - \psi(T_{m}x)\|$$

$$\leq C\varepsilon (2\|x\| + 2\|\psi\|) + \|\psi(T_{n}y) - \psi(T_{m}y)\| \xrightarrow{n,m\to\infty,\varepsilon\to0} 0.$$

Hence, by completeness of  $\mathbb{F}$ , the desired limit exists.

(b): Show that for every  $x \in X$ , there is a well-defined bounded operator  $S: X \to Y^{**}$  given by  $S(x)(\phi) = \lim_{n \to \infty} \phi(T_n x)$ .

*Proof.* This limit exists for every  $x \in X$  and  $\phi \in Y^*$  by part (a), and it is clearly linear in both x and  $\phi$ . Now suppose that  $\phi \in Y^{**}$ . Then

$$|\lim_{n\to\infty}\phi(T_nx)| = \lim_{n\to\infty}|\phi(T_nx)| \le \liminf_{n\to\infty}\|\phi\|\|T_nx\| \le \liminf_{n\to\infty}\|\phi\|\|T_n\|\|x\| \le \|\phi\|\|x\| \sup_{n\in\mathbb{N}}\|T_n\|,$$

so  $||S(x)|| \le ||x|| \sup_{n \in \mathbb{N}} ||T_n|| < +\infty$ , whence  $S(x) \in Y^{**}$ . Thus S is a well-defined operator from X to  $Y^{**}$ . Moreover, the above inequality implies that  $||S|| \le \sup_{n \in \mathbb{N}} ||T_n|| < +\infty$ , so  $S \in B(X, Y^{**})$ .

(c): If  $T_n x$  converges weakly to an element of Y for every  $x \in D$ , show that  $S(X) \subseteq Y$ , and that  $T_n \to S$  WOT.

*Proof.* By assumption, for all  $x \in D$  there exists some  $y_x \in Y$  such that  $T_n x \to y_x$  weakly.

#### Problem 5

Let G be a countable, discrete, group and  $\lambda: G \to B(l^2(G))$  be given by  $(\lambda(g)\xi)(h) = \xi(g^{-1}h)$ .

(a): Let  $(g_n)_{n=1}^{\infty}$  be a sequence in G so that for every finite  $F \subseteq G$  we have  $\{n : g_n \in F\}$  is finite. Show that  $\lim_{n\to\infty} \lambda(g_n) = 0$  in WOT. (Hint: consider first acting on pairs of vectors which are finitely supported and applying the preceding problem to reduce to this case).

*Proof.* Suppose first that  $\xi, \eta \in l^2(G)$  both have finite support, and let  $\text{supp}(\xi) = \{x_1, \dots, x_k\}$ ,  $\text{supp}(\eta) = \{y_1, \dots, y_l\}$ ,  $\alpha_i = \xi(x_i)$ ,  $\beta_j = \eta(y_j)$ . Then, using finite supportedness to justify interchanges of summations, we compute that

$$\langle \lambda(g_n)\xi, \eta \rangle = \sum_{x \in G} \xi(g_n^{-1}x)\xi(x) = \sum_{x \in G} \sum_{i,j=1}^{k,l} \alpha_i \bar{\beta}_j \delta_{x_i}(g_n^{-1}x)\delta_{y_j}(x) = \sum_{i,j=1}^{k,l} \sum_{x \in G} \alpha_i \bar{\beta}_j \delta_{x_i}(g_n^{-1}x)\delta_{y_j}(x) = \sum_{i,j=1}^{k,l} \alpha_i \bar{\beta}_j \delta_{g_n}(y_j x_i^{-1}).$$

If  $g_n \notin \bigcup_{j=1}^l \bigcup_{i=1}^k \{y_j x_i^{-1}\}$ , then the above expression is zero. As this set is finite, the assumption on the given sequence implies that  $\langle \lambda(g_n)\xi, \eta \rangle$  is eventually equal to zero past some fixed index, whence it converges to zero.

(b): Suppose G is infinite. If  $\mathcal{K} \subseteq l^2(G)$  is closed and  $\lambda(g)\mathcal{K} = \mathcal{K}$  for every  $g \in G$ , and  $\mathcal{K} \neq 0$ , show that  $\mathcal{K}$  is not finite-dimensional. (Hint: construct a sequence satisfies the hypothesis of the preceding problem. If  $\mathcal{K}$  is finite-dimensional, then  $\lambda$  applied to the sequence restricted to  $\mathcal{K}$  converges to 0 in WOT, and hence in any other LCS topology on  $B(\mathcal{K})$ . Consider using this for one of the other operator topologies to get a contradiction).

*Proof.* Suppose, for the sake of contradiction, that  $\mathcal{K}$  is finite dimensional. Let  $(g_n)_{n=1}^{\infty}$  be a sequence of pairwise distinct elements of G. This sequence satisfies the hypothesis of part (a), whence  $\lambda(g_n) \xrightarrow{\text{WOT}} 0$ . As  $\mathcal{K}$  is  $\lambda(G)$ -invariant, we have that  $\lambda(g_n)|_{\mathcal{K}} \in B(\mathcal{K})$  whence  $\lambda(g_n)|_{\mathcal{K}} \xrightarrow{\text{WOT}} 0$  in  $B(\mathcal{K})$ .

As K is finite dimensional, B(K) is also a finite dimensional LCS. Thus, every locally convex topology on B(K) is equal, whence  $\lambda(g_n)|_{\mathcal{K}} \xrightarrow{\text{SOT}} 0$ . Let  $\xi \in B(K)$  with  $\xi \neq 0$ . Then

$$\|\xi\| = \|\lambda(g_n)\xi\| = \|\lambda(g_n)|_{\mathcal{K}}\xi\| \xrightarrow{n \to \infty} 0$$

which implies that  $\xi = 0$ , contradicting the choice of  $\xi$ .