

# MATH 7752 Homework 5

James Harbour

February 25, 2022

## Problem 1

Let  $F = \mathbb{Z}^3$  be the free  $\mathbb{Z}$ -module of rank 3. Let  $N$  be the submodule of  $F$  generated by  $v_1 = (1, 2, 3)$ ,  $(5, 4, 6)$ , and  $(7, 8, 9)$ .

(1) Find compatible bases for  $F$  and  $N$ , that is, bases satisfying the submodule theorem 1.

*Proof.*

$$\begin{bmatrix} 1 & 2 & 3 \\ 5 & 4 & 6 \\ 7 & 8 & 9 \end{bmatrix} \xrightarrow{\mathcal{E}_{21}(-5), \mathcal{E}_{31}(-7)} \begin{bmatrix} 1 & 2 & 3 \\ 0 & -6 & -9 \\ 0 & -6 & -12 \end{bmatrix} \xrightarrow{\mathcal{E}'_{21}(-2), \mathcal{E}'_{31}(-3)} \begin{bmatrix} 1 & 0 & 0 \\ 0 & -6 & -9 \\ 0 & -6 & -12 \end{bmatrix} \xrightarrow{\mathcal{E}_{32}(-1)} \begin{bmatrix} 1 & 0 & 0 \\ 0 & -6 & -9 \\ 0 & 0 & -3 \end{bmatrix} \xrightarrow{\mathcal{E}_{23}(-3)} \begin{bmatrix} 1 & 0 & 0 \\ 0 & -6 & 0 \\ 0 & 0 & -3 \end{bmatrix}$$

Then the desired matrix  $B$  has the form

$$B = E_{12}(-2)^{-1}E_{13}(-3)^{-1} = E_{12}(2)E_{13}(3) = \begin{bmatrix} 1 & 2 & 3 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

so our new basis  $\{y_1, y_2, y_3\}$  of  $F$  is given by

$$\begin{bmatrix} y_1 \\ y_2 \\ y_3 \end{bmatrix} = \begin{bmatrix} 1 & 2 & 3 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} e_1 \\ e_2 \\ e_3 \end{bmatrix}$$

and our new basis of  $N$  is  $\{y_1, -6y_2, -3y_3\}$ . □

(2) Describe the quotient  $F/N$  in the IF form.

*Proof.* The quotient is given by

$$F/N \cong (y_1\mathbb{Z} \oplus y_2\mathbb{Z} \oplus y_3\mathbb{Z}) / (y_1\mathbb{Z} \oplus -6y_2\mathbb{Z} \oplus -3y_3\mathbb{Z}) \cong \mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/6\mathbb{Z}$$

□

(3) Describe in IF form the abelian group given by the presentation

$$\langle a, b, c \mid a + 2b + 3c = 0, 5a + 4b + 6c = 0, 7a + 8b + 9c = 0 \rangle.$$

*Proof.* By definition,

$$\langle a, b, c \mid a + 2b + 3c = 0, 5a + 4b + 6c = 0, 7a + 8b + 9c = 0 \rangle = F/N$$

as above, and  $F/N$  has IF form  $\mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/6\mathbb{Z}$ . □

## Problem 2

Let  $R$  be a PID. For an  $R$ -module  $M$  define  $\text{rk}(M)$  to be the minimal size of a generating set of  $M$ .

(a) Let  $M$  be a finitely generated  $R$ -module and  $R/a_1R \oplus \cdots \oplus R/a_mR \oplus R^s$  be its invariant factor decomposition. That is,  $s \geq 0$  and the elements  $a_1, \dots, a_m$  are non-zero, non-units such that  $a_1 | a_2 | \cdots | a_m$ . Prove that  $\text{rk}(M) = m + s$ . **Warning:** It is not true in general that  $\text{rk}(P \oplus Q) = \text{rk}(P) \oplus \text{rk}(Q)$ .

*Proof.* As  $M$  has a generating set of size  $m + s$ , we have that  $n = \text{rk}(M) \leq m + s$ . Then there exists a surjective  $R$ -module homomorphism  $\varphi : R^n \rightarrow M$  such that  $\varphi(e_i) = x_i$ . Letting  $K := \ker(\varphi)$ , as  $\{e_1, \dots, e_n\}$  is a basis for  $R^n$ , there exist nonzero  $b_1, \dots, b_k \in R$  with  $k \leq n$  and  $b_1 | \cdots | b_k$  such that  $\{b_1 e_1, \dots, b_k e_k\}$  is a basis for  $K$ . Suppose that  $1 \leq l \leq k$  is such that  $b_1 \cdots b_l$  are units and  $b_{l+1}, \dots, b_k$  are non-units. Then

$$\begin{aligned} M &\cong \left( \bigoplus_{i=1}^n e_i R \right) / \left( \bigoplus_{i=1}^k b_i e_i R \right) \cong R/b_1 R \oplus \cdots \oplus R/b_k R \oplus R^{n-k} \\ &\cong R/b_{l+1} R \oplus \cdots \oplus R/b_k R \oplus R^{n-k} \end{aligned}$$

which is in invariant factor form, so  $n - k = s$  and  $k - l = m$ , so

$$n = k + s = l + m + s \geq m + s$$

and thus  $\text{rk}(M) = m + s$ . □

(b): Let  $F$  be a free  $R$ -module of rank  $n$  with basis  $e_1, \dots, e_n$ . Let  $N$  be the submodule of  $F$  generated by some elements  $v_1, \dots, v_n \in F$ . Let  $A \in \text{Mat}_n(R)$  be the matrix such that

$$\begin{pmatrix} v_1 \\ \vdots \\ v_n \end{pmatrix} = A \begin{pmatrix} e_1 \\ \vdots \\ e_n \end{pmatrix}.$$

Find a simple condition on the entries of  $A$  which holds if and only if  $\text{rk}(F/N) = n$ .

*Proof.* If any entry in  $A$  is a unit, then we could apply row and column operations alongside flips to have the element appear in smith normal form, whence  $\text{rk}(F/N) \leq n - 1 < n$ , so we must first have that all entries of the matrix are nonunits.

We claim that the simple condition on  $A$  is that every entry of  $A$  is divisible by some nonunit  $a \in R$ .

$\implies$ : Write  $A = CDB$  where  $D$  is the SNF of  $A$  and  $B, C \in \text{GL}_n(R)$ . Then  $D = C^{-1}AB^{-1}$ , so each nonzero element in the SNF of  $A$  is a linear combination of elements of  $A$ , and is thus divisible by  $a$ . Hence, each nonzero element of the SNF is a nonunit, so by part (a), the rank of  $F/N$  is  $m + (n - m) = n$ .

$\impliedby$ : Suppose that  $\text{rk}(F/N) = n$ . Then by the above comment, every entry of  $A$  is a nonunit. As before, write  $A = CDB$  where  $D$  is the SNF of  $A$ . Let  $a_1 \in R$  be the first nonzero entry of  $D$ . Then  $a_1$  divides every entry in  $D$ , and every entry of  $A$  is a linear combination of entries of  $D$  and are thus divisible by  $a_1$ . If  $a_1$  were a unit, then part (a) would imply that  $\text{rk}(F/N) \neq n$ , so it follows that  $a_1$  is a nonunit that divides every entry of  $A$ . □

## Problem 3

In this problem  $R$  will be a commutative domain. An  $R$ -module  $P$  is called *projective* if it is a direct summand of a free  $R$ -module. That is, if there exist a free  $R$ -module  $F$  and a submodule  $Q$  of  $F$  such that  $F = P \oplus Q$ .

(1) Let  $P, M, N$  be  $R$ -modules and suppose  $f : M \rightarrow N$  is a surjective  $R$ -module homomorphism. The map  $f$  induces a homomorphism of  $R$ -modules,

$$\begin{aligned} f_\star : \quad \text{Hom}_R(P, M) &\rightarrow \text{Hom}_R(P, N) \\ [\varphi : P \rightarrow M] &\mapsto [f \circ \varphi : P \rightarrow N]. \end{aligned}$$

Prove that if  $P$  is finitely generated and projective, then  $f_\star$  is surjective.

**Hint:** The universal property of free  $R$ -modules will be useful.

*Proof.* We first show that such  $f_\star$  is surjective when  $P = F$  is a free  $R$ -module. Suppose that  $\varphi \in \text{Hom}_R(F, N)$ . Let  $F$  be free over some subset  $X \subseteq F$ . By surjectivity of  $f$ , for all  $x \in X$ , there exists an  $m_x \in M$  such that  $f(m_x) = \varphi(x)$ . Then, by the universal property of free modules, there exists a unique  $\psi \in \text{Hom}_R(P, M)$  such that  $\psi(x) = m_x$  for all  $x \in X$ . It follows then that, for  $x \in X$ ,

$$f(\psi(x)) = f(m_x) = \varphi(x)$$

whence by linearity  $f_\star(\psi) = f \circ \psi = \varphi$ .

Now we treat the general case. Let  $P$  be a finitely generated projective  $R$ -module. Then by definition there exists a free module  $F$  and a submodule  $Q \subseteq F$  such that  $F = P \oplus Q$ . Take  $\pi : F \rightarrow P$  to be the natural projection and  $\iota : P \rightarrow F$  the natural inclusion. Then, appealing to the previous case, there exists an  $R$ -module homomorphism  $\psi : F \rightarrow M$  such that  $f \circ \psi = \varphi \circ \pi$ . Define a new  $\tilde{\psi} \in \text{Hom}_R(P, M)$  by  $\tilde{\psi} := \psi \circ \iota$ . Now, for  $p \in P$ , we have that

$$(f \circ \tilde{\psi})(p) = (f \circ \psi)((p, 0)) = (\varphi \circ \pi)((p, 0)) = \varphi(p)$$

so  $\varphi = f \circ \tilde{\psi} = f_\star(\tilde{\psi})$ . □

(2) Show that if  $R$  is a PID and  $P$  is finitely generated, then  $P$  is projective if and only if  $P$  is free.

*Proof.*

The reverse direction follows from the fact that  $P = P \oplus 0$ , so it suffices to show the forward direction. Let  $P$  be a finitely generated projective module. Then there exists a surjective  $R$ -module homomorphism  $f : R^n \rightarrow P$  for some  $n \in \mathbb{N}$ . Consider the identity map  $1_P \in \text{Hom}_R(P, P)$ . By part (1), there exists a  $\psi \in \text{Hom}_R(P, R^n)$  such that  $f \circ \psi = f_\star(\psi) = 1_P$ . Then  $\psi(P)$  is a submodule of a finitely generated free module and is thus free (as  $R$  is a PID). Moreover, as  $\psi$  has a left inverse, it is injective whence  $P \cong \psi(P)$  is free. □

## Problem 4

Determine the number of possible RCF's of  $8 \times 8$  matrices  $A$  over  $\mathbb{Q}$  with  $\chi_A(x) = x^8 - x^4$ . Explain your argument in detail.

*Proof.* The IF decomposition for  $V_A$  is of the form

$$V_A \cong \frac{\mathbb{Q}[x]}{(\alpha_1(x))} \oplus \cdots \oplus \frac{\mathbb{Q}[x]}{(\alpha_m(x))}$$

where  $\alpha_1|\alpha_2|\cdots\alpha_m$  are all monic polynomials in  $\mathbb{Q}[x]$

We first factor the desired  $\chi_A$  into irreducibles over  $\mathbb{Q}$ , i.e.  $x^8 - x^4 = x^4(x^2 + 1)(x + 1)(x - 1)$ . We require that  $\alpha_m(x) = \mu_A(x)$ ,  $\alpha_1(x) \cdots \alpha_m(x) = \chi_A(x) = x^4(x^2 + 1)(x + 1)(x - 1)$ , and  $\sum_i \deg(\alpha_i) = 8$ .

First observe that, if any of the  $x$ ,  $(x^2 + 1)$ ,  $(x + 1)$ , or  $(x - 1)$  are missing from  $\mu_A(x)$ , then it would be impossible to have  $\alpha_1(x) \cdots \alpha_m(x) = \chi_A(x)$ . Thus,  $\mu_A(x) = x^k(x^2 + 1)(x + 1)(x - 1)$  for some  $k \in \{1, \dots, 4\}$ . Moreover, if any of the  $\alpha_i$  for  $i < m$  contain a factor of  $(x^2 + 1)$ ,  $(x + 1)$ , or  $(x - 1)$ , then we would again violate  $\alpha_1(x) \cdots \alpha_m(x) = \chi_A(x)$ . Thus, every  $\alpha_i$  must be a unit or a power of  $x$ .

k=1: In this case, we are forced to take  $m = 4$  and  $\alpha_i = x$  for  $1 \leq i < 4$  as this is the only way to partition 8 with one 4 and remaining ones.

k=2: In this case, we either have  $m = 2$  and  $\alpha_1 = x^2$ , or  $m = 3$  and  $\alpha_1, \alpha_2 = x$ . These are the only possible partitions of 8 given the restrictions.

k=3: In this case, we are forced to take  $m = 2$  and  $\alpha_1 = x$ , this is clearly the only allowed partition.

k=4: In this case, take  $m = 1$  and  $\alpha_1 = \mu_A(x) = \chi_A(x)$ .

□