

KAIQING ZHANG

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EDUCATION

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| University of Illinois at Urbana-Champaign Ph.D. Candidate in Electrical and Computer Engineering | Aug. 2017 — Present |
| University of Illinois at Urbana-Champaign M.S. in Applied Mathematics | Jan. 2016 — Dec. 2017 |
| University of Illinois at Urbana-Champaign M.S. in Electrical and Computer Engineering | Aug. 2015 — Aug. 2017 |
| Tsinghua University B.S. in Automation (with honor) & Dual Degree in Economics | Sept. 2011 — Jul. 2015 |

RESEARCH INTERESTS

My research interests lie in the intersection of *control theory*, *game theory*, and *machine/reinforcement learning*, especially in *multi-agent* and *safety-critical* systems; with applications in intelligent and distributed cyber-physical systems, e.g., robotics, smart grid, and transportation systems. I resort to mathematical tools from the areas of Control Theory, Game Theory, Operations Research, and Probability Theory to develop *provably convergent* and *efficient* algorithms. Broadly speaking, the primary goal of my research is to lay theoretical foundations for the learning algorithms and systems that address (*data-driven*) *sequential-decision-making* problems in game theory and control theory, particularly in the presence of multiple decision-makers, towards *large-scale* and *reliable* autonomy.

RESEARCH EXPERIENCES

Reinforcement learning (RL) in robust control

Department of ECE & CSL, UIUC

May 2019 — Present

- Investigate the *landscape* of a class of robust control problems, including risk-sensitive control, \mathcal{H}_∞ -optimal control, and mixed $\mathcal{H}_2/\mathcal{H}_\infty$ control synthesis, from a *policy optimization* perspective
- Analyze the *global convergence* and *sample complexity* of policy optimization algorithms for these non-convex problems, with *robust-on-the-fly* guarantees
- The theory also places the popular scheme of *robust adversarial RL* (RARL) under a solid theoretical footing, through the lens of robust control theory

Non-cooperative multi-agent RL in dynamic/Markov games

Department of ECE & CSL, UIUC

Dec. 2018 — Present

- Develop provably *convergent* and *efficient* algorithms for several fundamental non-cooperative multi-agent RL (MARL) settings
- Establish *minimax-optimal* sample complexity guarantees for model-based MARL approach in zero-sum Markov games; establish *global convergence* and sample complexity of policy optimization methods in zero-sum linear quadratic games
- Investigate the settings of *inverse RL* with asymmetric information, robust MARL with *model-uncertainty*, and *decentralized* self-interested agents

Cooperative multi-agent RL with networked agents

Department of ECE & CSL, UIUC

Sept. 2017 — Present

- Develop *provably convergent* MARL algorithms for *decentralized* MARL with *networked* agents
- Investigate the extensions to *batch/offline RL* settings, large-population/*mean-field* regimes, and *partial-observable* settings

Distributed control and operation in cyber-physical systems

Department of ECE, UIUC

Sept. 2015 — Aug. 2017

- Developed distributed algorithms for voltage-VAR control in smart grid distribution networks, under *limited communication links* using *game-theoretic* approaches
- Developed dynamic pricing algorithms for the charging of electrical unmanned aerial vehicles in transportation networks that are coupled with power networks
- Developed improved parameter estimation framework for load modeling in distribution networks

On the performance of map-aware cooperative localization

LIDS, MIT & Tsinghua University

June 2014 — May 2015

- Characterized the fundamental limits of localization accuracy using the information-theoretic bounds, i.e., Ziv-Zakai and Weiss-Weinstein bounds, for map-aware cooperative localization

PUBLICATIONS

Book Chapter

- **Kaiqing Zhang**, Zhuoran Yang, and Tamer Başar, “Multi-Agent Reinforcement Learning: A Selective Overview of Theories and Algorithms”, *Studies in Systems, Decision and Control Handbook on Reinforcement Learning and Control*, Springer, 2020 (Invited Chapter).

Journal Papers and Preprints

- **Kaiqing Zhang**[†], Xiangyuan Zhang[†], Bin Hu, and Tamer Başar, “Derivative-free policy optimization for risk-sensitive and robust control design: Implicit regularization and sample complexity” († equal contribution), *Intl. Conf. on Machine Learning (ICML)*, 2021, under review.
- Weichao Mao, **Kaiqing Zhang**, Ruihao Zhu, David Simchi-Levi, and Tamer Başar, “Is model-free learning nearly optimal for non-stationary RL?”, *Intl. Conf. on Machine Learning (ICML)*, 2021, under review.
- **Kaiqing Zhang**, Sham M. Kakade, Tamer Başar, and Lin F. Yang, “Model-based multi-agent RL in zero-sum Markov games with near-optimal sample complexity”, *Journal of Machine Learning Research (JMLR)*, under review, preliminary version appeared in *NeurIPS 2020 (Spotlight)*.
- **Kaiqing Zhang**, Bin Hu, and Tamer Başar, “Policy optimization for \mathcal{H}_2 linear control with \mathcal{H}_∞ robustness guarantee: Implicit regularization and global convergence”, *SIAM Journal on Control and Optim. (SICON)*, under review, preliminary version appeared in *L4DC 2020 (Oral)*.
- **Kaiqing Zhang**, Zhuoran Yang, Han Liu, Tong Zhang, and Tamer Başar, “Finite-sample analysis for decentralized batch multi-agent reinforcement learning with networked agents”, *IEEE Trans. on Automatic Control (TAC)*, 2021.
- Tianyi Chen, **Kaiqing Zhang**, Georgios B. Giannakis, and Tamer Başar, “Communication-efficient distributed reinforcement learning”, *IEEE Trans. on Control of Network Systems (TCNS)*, under review.
- **Kaiqing Zhang**, Alec Koppel, Hao Zhu, and Tamer Başar, “Global convergence of policy gradient methods to (almost) locally optimal policies”, *SIAM Journal on Control and Optim. (SICON)*, 2020.
- Alec Koppel[†], **Kaiqing Zhang**[†], Hao Zhu, and Tamer Başar, “Projected stochastic primal-dual method for constrained online learning with kernels” († equal contribution), *IEEE Trans. on Signal Process. (TSP)*, vol. 67, no. 10, pp. 2528-2542, May, 2019.
- **Kaiqing Zhang**, Yang Liu, Ji Liu, Mingyan Liu, and Tamer Başar, “Distributed learning of average belief over networks using sequential observations,” *Automatica*, vol. 115, May 2020.

- **Kaiqing Zhang**, Liquan Lu, Chao Lei, Hao Zhu, and Yanfeng Ouyang, “Dynamic operations and pricing of electric unmanned aerial vehicle systems and power networks,” *Journal of Transportation Research Part C: Emerging Technologies*, vol. 92, pp. 472-485, July 2018.
- **Kaiqing Zhang**, Wei Shi, Hao Zhu, Emiliano Dall’Anese, and Tamer Başar, “Dynamic power distribution system management with a locally connected communication network,” *IEEE Journal of Selected Topics in Signal Process. (JSTSP)*, vol. 12, no. 4, pp. 673-687, May 2018.
- Hanchen Xu, **Kaiqing Zhang**, and Junbo Zhang, “Optimal joint bidding and pricing of profit-seeking load serving entity,” *IEEE Trans. on Power Systems (TPS)*, vol. 33, no. 5, pp. 5427-5436, March 2018.
- **Kaiqing Zhang**, Siming Guo, and Hao Zhu, “Dependency analysis and improved parameter estimation for complex dynamic load modeling,” *IEEE Trans. on Power Systems (TPS)*, vol. 32, no. 4, pp. 3287-3297, Nov. 2016.

Conference Papers

- Zengyi Qin, **Kaiqing Zhang**, Yuxiao Chen, Jingkai Chen, and Chuchu Fan, “Learning safe multi-agent control with decentralized neural barrier certificates,” *Intl. Conf. on Learning Representations (ICLR)*, 2021.
- **Kaiqing Zhang**, Sham M. Kakade, Tamer Başar, and Lin F. Yang, “Model-based multi-agent RL in zero-sum Markov games with near-optimal sample complexity,” *Neural Info. Process. Systems (NeurIPS)*, 2020 (**Spotlight, top 2.96%**, 280 out of 9454 submissions).
- **Kaiqing Zhang**, Bin Hu, and Tamer Başar, “On the stability and convergence of robust adversarial reinforcement learning: A case study on linear quadratic systems,” *Neural Info. Process. Systems (NeurIPS)*, 2020.
- **Kaiqing Zhang**[†], Tao Sun[†], Yunzhe Tao, Sahika Genc, Sunil Mallya, and Tamer Başar, “Robust multi-agent reinforcement learning with model uncertainty” ([†] equal contribution), *Neural Info. Process. Systems (NeurIPS)*, 2020.
- Dongsheng Ding, **Kaiqing Zhang**, Tamer Başar, and Mihailo R. Jovanovic, “Natural policy gradient primal-dual method for constrained Markov decision processes,” *Neural Info. Process. Systems (NeurIPS)*, 2020.
- Weichao Mao, **Kaiqing Zhang**, Qiaomin Xie, and Tamer Başar, “POLY-HOOT: Monte-Carlo planning in continuous space MDPs with non-asymptotic analysis,” *Neural Info. Process. Systems (NeurIPS)*, 2020.
- Yanli Liu, **Kaiqing Zhang**, Tamer Başar, and Wotao Yin, “An improved analysis of (variance-reduced) policy gradient and natural policy gradient methods,” *Neural Info. Process. Systems (NeurIPS)*, 2020.
- Weichao Mao, **Kaiqing Zhang**, Erik Miehl, and Tamer Başar, “Information state embedding in partially observable cooperative multi-agent reinforcement learning,” *IEEE Conf. on Decision and Control (CDC)*, 2020.
- Muhammad Aneeq uz Zaman, **Kaiqing Zhang**, Erik Miehl, and Tamer Başar, “Reinforcement learning in non-stationary discrete-time linear-quadratic mean-field games,” *IEEE Conf. on Decision and Control (CDC)*, 2020.
- **Kaiqing Zhang**, Bin Hu, and Tamer Başar, “Policy optimization for \mathcal{H}_2 linear control with \mathcal{H}_∞ robustness guarantee: Implicit regularization and global convergence,” *Learning for Dynamics & Control (L4DC) Conference (Oral, top 10%, 14 out of all submissions)*, 2020.
- **Kaiqing Zhang**, Zhuoran Yang, and Tamer Başar, “Policy optimization provably converges to Nash equilibria in zero-sum linear quadratic games,” *Neural Info. Process. Systems (NeurIPS)*, 2019.
- Xiangyuan Zhang, **Kaiqing Zhang**, Erik Miehl, and Tamer Başar, “Non-Cooperative Inverse Reinforcement Learning,” *Neural Info. Process. Systems (NeurIPS)*, 2019.
- **Kaiqing Zhang**, Erik Miehl, and Tamer Başar, “Online planning for decentralized stochastic control with partial history sharing,” *IEEE American Control Conf. (ACC)*, 2019.
- **Kaiqing Zhang**, Zhuoran Yang, and Tamer Başar, “Networked multi-agent reinforcement learning in continuous spaces,” *IEEE Conf. on Decision and Control (CDC)*, 2018.

- Zhuoran Yang, **Kaiqing Zhang**, Mingyi Hong, and Tamer Başar, “A finite sample analysis of the actor-critic algorithm”, *IEEE Conf. on Decision and Control (CDC)*, 2018.
- **Kaiqing Zhang**, Zhuoran Yang, Han Liu, Tong Zhang, and Tamer Başar, “Fully decentralized multi-agent reinforcement learning with networked agents”, *Intl. Conf. on Machine Learning (ICML)*, 2018.
- **Kaiqing Zhang**, Zhuoran Yang, and Zhaoran Wang, “Nonlinear structured signal estimation in high dimensions via iterative hard thresholding,” *Intl. Conf. on Artificial Intelligence and Statistics (AISTATS)*, 2018.
- **Kaiqing Zhang**, Yuan Shen, and Moe Z. Win, “On the performance of map-aware cooperative localization,” *IEEE Intl. Conf. on Commun. (ICC)*, 2016.

OTHER RESEARCH EXPERIENCES

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|----------------------------------|---|------------------------|
| Visiting Graduate Student | Simons Institute, UC Berkeley (virtual) | Aug. 2020 — Dec. 2020 |
| Research Scientist Intern | Amazon AWS AI Labs, Seattle, WA | May 2019 — Aug. 2019 |
| Visiting Fellowship | Army Research Lab. (ARL), Adelphi, MD | Jun. 2018 — Aug. 2018 |
| Research Scientist Intern | Nation. Renew. Energy Lab. (NREL), CO | Jun. 2016 — Sept. 2016 |

TEACHING EXPERIENCES

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| Teaching Assistant | ECE 543 Statistical Learning Theory by Prof. R. Srikant | Spring 2020 |
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PATENTS

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| U.S. Patent No. 908486 | Robust Actor/Critic Multi-Agent RL for Mobile Robotics Applications |
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AWARDS & HONORS

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| · Simons-Berkeley Research Fellowship, Simons Institute & UC Berkeley | 2022 |
| · Linde + CAST Postdoctoral Scholar Fellowship, Caltech CMS & CSIS (declined) | 2021 |
| · Kuck Computational Science & Engineering Scholarship, UIUC | 2020 |
| · Hong, McCully, and Allen Fellowship (\$12000), UIUC | 2018 & 2019 & 2020 |
| · YEE Fellowship Award, College of Engineering, UIUC | 2020 |
| · NeurIPS Travel Award | 2019 |
| · CDC Student Travel Award | 2019 & 2020 |
| · Mavis Future Faculty Fellows (MF3), UIUC | 2019 |
| · ICML Travel Award | 2018 |
| · James M. Henderson Fellowship, UIUC | 2016 |
| · Beijing Outstanding Undergraduate Thesis | 2015 |
| · National Scholarship (top 3%), Tsinghua University | 2014 |
| · Meritorious Winner 2014 Mathematical Contest in Modeling | 2014 |
| · First Prize in 34th Challenge Cup of Tsinghua University | 2014 |
| · Third place in competition of Adult-Size Group in RoboCup | 2013 |
| · Comprehensive First-Class Scholarship of Tsinghua University (top 5%) | 2012 & 2013 |
| · First Prize of National Physics Olympiad, with Pre-Admission to Tsinghua University | 2011 |

PROFESSIONAL SERVICES & ACTIVITIES

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- Co-organizer of the online seminar series *Games, Decisions & Networks*

- Reviewer for *ICML*, *NeurIPS*, *AAAI*, *Mathematical Programming*, *IEEE Trans. Automatic Control (TAC)*, *Automatica*, *IEEE Journal of Selected Topics in Signal Processing (JSTSP)*, *IEEE Trans. Smart Grid (TSG)*, *IEEE Trans. Power Systems (TPS)*, *IEEE Control Systems Letters (L-CSS)*, *IEEE Communications Letters (CL)*, *System & Control Letters*, *IEEE American Control Conf. (ACC)*, *IEEE Control and Decision Conf. (CDC)*, *Learning for Dynamics & Control Conf. (L4DC)*, *IEEE Intl. Conf. on Communications (ICC)*
- Organizer of the invited sessions *Machine Learning in Complex Networks* at *IEEE Control and Decision Conf. (CDC)*, 2018, 2019
- President of *Tsinghua University Alumni Association (THU-AA)* in UIUC Sept. 2019 — Present
- Committee of the 8th *IEEE Power and Energy Conf. at Illinois (PECI)* April 2016 — Feb. 2017
- Vice-President of the *Student Union* of the Dept. of Automation Aug. 2013 — Aug. 2014