# DATA2060 Final Report

Team: little cutesy cow and horse

https://github.com/team-alpha/data2060-project

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# 1 Overview of Adaboost

AdaBoost (Adaptive Boosting) is an ensemble learning technique designed to improve the performance of weak classifiers by combining them into a single, strong classifier. It typically addresses binary classification problems, but can be adapted for multi-class tasks. The core principle involves training a series of simple weak learners—often decision stumps—one after another. After each iteration, AdaBoost places more weight on the samples that were misclassified, pushing subsequent learners to pay closer attention to these challenging cases. Through this iterative process, the ensemble steadily refines its decision boundary.

One of the key advantages of AdaBoost is that it can significantly enhance classification accuracy by leveraging multiple weak learners. It is also relatively straightforward to implement, requiring primarily a simple weak base learner and fewer parameters to tune compared to more complex algorithms. Furthermore, because AdaBoost continually adapts the weighting scheme based on the data's difficulty, it often performs well out-of-the-box.

However, there are notable disadvantages. AdaBoost can become overly sensitive to noise in the dataset, as it repeatedly emphasizes misclassified instances that may be outliers rather than genuine hard examples. This can lead to overfitting. Additionally, if the chosen weak learners consistently perform worse than random guessing, the performance of the entire ensemble may degrade.

## 1.1 Representation

The representation of AdaBoost is:

$$\mathcal{E}(\mathcal{H}, T) = \left\{ x \mapsto h_s(x) = \operatorname{sign}\left(\sum_{t=1}^T w_t h_t(x)\right) : w \in R^T, \forall t, h_t \in \mathcal{H} \right\}$$

 $\mathcal{E}(\mathcal{H},T)$  represents the ensemble of classifiers in AdaBoost. Here,  $\mathcal{H}$  represents the set of weak learners, which is the decision trees, over  $\mathcal{T}$  rounds of boosting. Essentially, in each round of boosting, the input x is mapped to a perdition made by taking the sign of a weighted sum. The sign function will return +1 if the argument is positive, and thus giving a positive prediction. If the argument is negative, the final prediction will be negative. Inside the sign function, each weak classifier  $h_t$  in the hypothesis set  $\mathcal{H}$  provides a prediction  $h_t(x)$  and is given a weight  $w_t$ . Since there is  $\mathcal{T}$  rounds of iterations, we have  $\mathcal{T}$  numbers of weights.

## 1.2 Loss

In the context of AdaBoost, there are two important loss metrics. The overall 0-1 loss and the redefined loss on training samples.

#### 1.2.1 Overall 0-1 Loss

The overall 0-1 loss is the metric used to measure the difference between the model's final prediction and the target variable after training is completed.

$$L_S(h_S) = \frac{1}{m} \sum_{i=1}^{m} 1_{h_S(x_i) \neq y_i}$$

For the total number of m examples, it assigns 1 to misclassified points and 0 otherwise. By summing up the values and dividing by the total number of examples, the 0-1 loss tells us the proportion of incorrect predictions, providing a clear and direct metric for classification accuracy.

#### 1.2.2 Redefined Loss on Training Samples

During training, AdaBoost adjusts its focus based on the difficulty to classify each example.

$$\epsilon_t \stackrel{\text{def}}{=} L_{D^{(t)}}(h_t) \stackrel{\text{def}}{=} \sum_{i=1}^m D_i^{(t)} 1_{[h_t(x_i) \neq y_i]} \quad \text{where} \quad D^{(t)} \in \mathbb{R}^m$$

The loss, represented by  $\epsilon_t$ , calculates the weighted error rate of the weak learner  $h_t$  at the  $t_{th}$  round of the boosting process. For each training sample, the weak learner's prediction is compared against the true label. If misclassified, the indicator function will output 1. The total error,  $\epsilon_t$ , is then calculated as the sum of the products of these indicators and the corresponding weights  $D_i^{(t)}$  for all training samples. This means each example's contribution to the error rate is weighted by its current weight, with examples that are harder for the model to classify correctly given a higher importance.

# 1.3 Optimizer

AdaBoost uses a greedy optimization algorithm to determine the optimal weights  $w_t$  for each weak learner. The algorithm[4] iterates as follows:

#### Input:

- Training set  $S = (x_1, y_1), \dots, (x_m, y_m)$
- Weak learner WL
- $\bullet$  Number of rounds T

Initialize 
$$D^{(1)} = (\frac{1}{m}, \dots, \frac{1}{m}).$$

For 
$$t = 1, ..., T$$
:

- 1. Invoke weak learner  $h_t = WL(D^{(t)}, S)$
- 2. Compute  $\epsilon_t = \sum_{i=1}^{m} D_i^{(t)} 1_{[y_i \neq h_t(x_i)]}$
- 3. Let  $w_t = \frac{1}{2} \log \left( \frac{1 \epsilon_t}{\epsilon_t} \right)$
- 4. Update

$$D_i^{(t+1)} = \frac{D_i^{(t)} \exp(-w_t y_i h_t(x_i))}{\sum_{j=1}^m D_j^{(t)} \exp(-w_t y_j h_t(x_j))} \quad \text{for all } i = 1, \dots, m.$$

Output the hypothesis

$$h_S(x) = \operatorname{sign}\left(\sum_{t=1}^T w_t h_t(x)\right).$$

Here, D represents the weight on each example, not the weak learners. A lower error  $\epsilon_t$  results in a higher weight  $w_t$ , making  $h_t$  more influential in the final ensemble. Finally, Each weak learner's prediction  $h_t(x)$  is scaled by its weight  $w_t$ , and the sign function determines the final predicted class.

## 2 Model

This section contains the code implementation of our ML algorithm Adaboost and the weak learner model Decision Tree.

## 2.1 Model Decision Tree (to use as weak learners in AdaBoostClassifier)

```
1 import numpy as np
2 import pandas as pd
4 def node_score_error(prob):
5
          Calculate the node score using the train error of the subdataset and return it
6
          For a dataset with two classes, C(p) = min\{p, 1-p\}
7
      return min(prob, 1.0 - prob)
9
10
11 def node_score_entropy(prob):
12
13
          Calculate the node score using the entropy of the subdataset and return it.
          For a dataset with 2 classes, C(p) = -p * log(p) - (1-p) * log(1-p)
14
          For the purposes of this calculation, assume 0*log0 = 0.
15
          HINT: remember to consider the range of values that p can take!
16
17
18
      # HINT: If p < 0 or p > 1 then entropy = 0
19
      if prob <= 0.0 or prob >= 1.0:
20
          return 0.0
21
22
      return -prob * np.log(prob) - (1.0 - prob) * np.log(1.0 - prob)
23
24
25
26 def node_score_gini(prob):
27
          Calculate the node score using the gini index of the subdataset and return it.
28
          For dataset with 2 classes, C(p) = 2 * p * (1-p)
29
30
31
      return 2.0 * prob * (1.0 - prob)
32
33
34 class Node:
35
      Helper to construct the tree structure.
36
37
      def __init__(self, left=None, right=None, depth=0, index_split_on=0, isleaf=False,
38
       label=1):
          self.left = left
39
          self.right = right
40
          self.depth = depth
41
          self.index_split_on = index_split_on
42
43
          self.isleaf = isleaf
          self.label = label
44
          self.info = {} # used for visualization
45
          self.threshold = None
46
47
      def _set_info(self, gain, num_samples):
48
49
50
          Helper function to add to info attribute.
51
          self.info['gain'] = gain
52
53
          self.info['num_samples'] = num_samples
54
55
56 class DecisionTree:
      def __init__(self, data, gain_function=node_score_gini, max_depth=40, weight=None,
58
       converted=None):
          # Initialize the decision tree with data and parameters.
59
          if converted is not None:
60
               for row in data:
61
                   if row[0] == -1:
62
                       row[0] = 0 # Convert -1 to 0
63
64
          self.majority_class = 1 if sum(row[0] for row in data) > len(data) / 2 else 0
65
          self.max_depth = max_depth
```

```
self.root = Node(label=self.majority_class)
67
68
           self.gain_function = gain_function
           if weight is None:
69
               self.sample_weight = np.ones(len(data)) / len(data)
70
71
                self.sample_weight = weight / np.sum(weight)
72
73
           indices = list(range(1, len(data[0])))
74
75
           self._split_recurs(self.root, data, indices, self.sample_weight)
76
       def predict(self, features, converted=None):
77
78
           Predict the label for given features.
79
80
81
           if features.ndim == 1: # 1d array
               prediction = self._predict_recurs(self.root, features)
82
83
               return -1 if converted and prediction == 0 else prediction
           else: # 2d array
84
85
               predictions = []
86
               for feature in features:
                    prediction = self._predict_recurs(self.root, feature)
87
                    if converted and prediction == 0:
88
                        prediction = -1
89
                    predictions.append(prediction)
90
               return np.array(predictions)
91
92
       def accuracy(self, data):
93
94
95
           Calculate accuracy on the given data.
96
           return 1 - self.loss(data)
97
98
       def loss(self, data):
99
100
           Calculate loss on the given data.
101
           test_Y = np.array([row[0] for row in data]) # Get the true labels
           predictions = self.predict(np.array(data)) # Get the predicted results
104
           return np.mean(predictions != test_Y)
106
107
       def _predict_recurs(self, node, row):
108
           Predict label by traversing the tree.
109
           if node.isleaf or node.index_split_on == 0:
111
112
               return node.label
           split_index = node.index_split_on
114
           if not row[split_index]:
               return self._predict_recurs(node.left, row)
116
117
               return self._predict_recurs(node.right, row)
118
119
       def _is_terminal(self, node, data, indices):
120
121
           Check if the node should stop splitting.
           y = [row[0] for row in data]
124
125
           sumy = sum(row[0] for row in data)
126
127
           if len(data) - sumy == sumy:
128
               majority_label = self.majority_class
129
           else:
130
               majority_label = 1 if sumy > len(data) / 2 else 0
132
           if len(set(y)) == 1:
133
134
               return True, y[0]
           if len(data) == 0:
135
                return True, self.majority_class
136
           if len(indices) == 0:
137
```

```
return True, majority_label
138
139
           if node.depth >= self.max_depth:
140
               return True, majority_label
141
142
           return False, majority_label
143
144
       def _split_recurs(self, node, data, indices, weights):
145
146
147
           Recursively split the node based on data.
148
149
           node.isleaf, node.label = self._is_terminal(node, data, indices)
150
151
           if not node.isleaf:
152
               max_gain = -1
                best_threshold = None
153
154
                for split_index in indices:
156
                    feature_values = sorted(set(row[split_index] for row in data))
                    for i in range(len(feature_values) - 1):
158
                        threshold = (feature_values[i] + feature_values[i + 1]) / 2
159
                        gain = self._calc_gain(data, split_index, self.gain_function,
160
       threshold, weights)
161
                        if gain > max_gain:
163
                            max_gain = gain
                            node.index_split_on = split_index
                            best_threshold = threshold
165
166
167
                    if len(feature_values) == 1:
                        gain = self._calc_gain(data, split_index, self.gain_function,
168
       feature_values[0], weights)
169
                        if gain > max_gain:
                            max_gain = gain
170
                            node.index_split_on = split_index
171
                            best_threshold = feature_values[0]
173
174
                node._set_info(max_gain, len(data))
                node.threshold = best_threshold
175
                node.left = Node(depth=node.depth + 1)
                node.right = Node(depth=node.depth + 1)
178
179
                indices.remove(node.index_split_on)
180
                leftData = [row for row in data if row[node.index_split_on] <= node.
       threshold]
               rightData = [row for row in data if row[node.index_split_on] > node.
       threshold]
183
                left_weights = weights[[row[node.index_split_on] <= node.threshold for row</pre>
184
        in data]]
                right_weights = weights[[row[node.index_split_on] > node.threshold for row
        in data]]
186
                self._split_recurs(node.left, leftData, indices, left_weights)
187
               self._split_recurs(node.right, rightData, indices, right_weights)
188
           else:
189
190
               node._set_info(0, len(data))
       def _calc_gain(self, data, split_index, gain_function, threshold=None, weights=
192
       None):
           Calculate gain for the proposed split.
194
195
           if threshold is None:
196
               threshold = 0.5
197
198
           if weights is None:
               weights = np.ones(len(data)) # Default weights
199
           y = [row[0] for row in data]
200
           xi = [1 if row[split_index] > threshold else 0 for row in data]
201
```

```
202
203
           if len(y) != 0 and len(xi) != 0:
                total_weight = np.sum(weights)
204
                probY = np.sum(weights * y) / total_weight
205
206
                probX = np.sum(weights * xi) / total_weight
207
                weights = weights.to_numpy() if isinstance(weights, pd.Series) else
208
       weights
                y = np.array(y) if not isinstance(y, np.ndarray) else y
209
210
                xi = np.array(xi) if not isinstance(xi, np.ndarray) else xi
211
212
               y1x1 = sum(weights[index] for index in range(len(y)) if y[index] == 1 and
       xi[index] == 1)
               y0x0 = sum(weights[index] for index in range(len(y)) if y[index] == 0 and
213
       xi[index] == 0)
214
215
                prob1 = y1x1 / total_weight
                prob2 = y0x0 / total_weight
216
217
                probxi_true = (probX * gain_function(prob1 / probX)) if probX > 0 else 0
218
                probxi_false = ((1.0 - probX) * gain_function(prob2 / (1.0 - probX))) if
219
       probX < 1.0 else 0</pre>
                gain = gain_function(probY) - probxi_true - probxi_false
           else:
222
               gain = 0
223
224
           return gain
225
226
       def print_tree(self):
227
228
           Helper function for tree_visualization.
229
           Only effective with very shallow trees.
230
231
           You do not need to modify this.
232
           print('---START PRINT TREE---')
233
           def print_subtree(node, indent=''):
234
                if node is None:
235
236
                    return str("None")
                if node.isleaf:
237
238
                    return str(node.label)
239
                else:
                    decision = 'split attribute = {:d}; gain = {:f}; number of samples =
240
       {:d}'.format(node.index_split_on, node.info['gain'], node.info['num_samples'])
                left = indent + '0 -> '+ print_subtree(node.left, indent + '\t\t')
241
                right = indent + '1 -> '+ print_subtree(node.right, indent + '\t\t')
242
                return (decision + '\n' + left + '\n' + right)
243
244
           print(print_subtree(self.root))
245
           print('---END PRINT TREE---')
246
```

Listing 1: decision tree

## 2.2 Model AdaBoostClassifier

```
import numpy as np

class AdaBoostClassifier:
    """
    AdaBoost (Adaptive Boosting) Classifier
    An ensemble learning algorithm that combines multiple weak classifiers to build a strong classifier.
    """

def __init__(self, n_estimators=10, max_depth=1):
    """
    Initialize the AdaBoost classifier.

Parameters:
    - n_estimators: Number of weak classifiers to use.
```

```
1.5
16
           self.n_estimators = n_estimators
           self.max_depth = max_depth  # Store max_depth for DecisionTree
17
           self.w = [] # Store the weights of the classifiers
18
19
           self.models = [] # Store the weak classifiers
20
      def train(self, X, y):
21
22
           Fit the AdaBoost model to the training data.
23
24
           For T WLs,
           1. train WL (DecisionTree with max_depth=1)
25
26
           2. compute error of this \mbox{WL}
           3. compute the weight of this WL \ensuremath{\text{w\_t}} and store it in self.w
27
           4. compute and update the distribution D of the samples for WLs next
28
29
           Parameters:
30
           - X: Training data, shape (n_samples, n_features)
31
           - y: Target labels, shape (n_samples,)
32
33
          n_samples, n_features = X.shape
34
           # Initialize weights uniformly
35
          D = np.ones(n_samples) / n_samples
36
37
           for t in range(self.n_estimators):
38
39
               # sklearn
40
               # Create a weak classifier (decision stump)
41
               std_model = DecisionTreeClassifier(max_depth=2)
42
               # Fit the model to the training data
43
               std_model.fit(X, y, sample_weight=D) # Add this line to train the model
44
               y_pred_sklearn = std_model.predict(X)
45
46
               # avoid extremely small sample weight
47
48
               D = np.clip(D, a_min=np.finfo(D.dtype).eps, a_max=None)
49
               weak_model = DecisionTree(data=np.column_stack((y, X)), max_depth=self.
50
      max_depth, weight=D, converted=True)
               # weak_model = DecisionTreeClassifier(max_depth=self.max_depth)
51
               # weak_model.fit(X, y, sample_weight=D)
52
53
54
               y_pred = weak_model.predict(features=np.column_stack((y, X)), converted =
      True)
               # y_pred = weak_model.predict(X)
55
56
               # Calculate the weighted error
57
58
               error = np.mean(np.average(y_pred != y, weights=D, axis=0))
59
60
               # Calculate the weight for the weak classifier
               w_t = 0.5 * np.log((1.0 - error) / (error + 1e-10)) # Avoid division by
61
       zero
62
               # Update weights for the next iteration
63
               D *= np.exp(-w_t * y * y_pred) # Update weights based on prediction
64
               D /= np.sum(D * np.exp(-w_t * y * y_pred)) # Normalize weights
65
66
               self.models.append(weak_model)  # Store the model
67
               self.w.append(w_t) # Store the w_t
68
69
70
      def predict(self, X, converted = True):
71
           Predict the class labels for the input data.
72
73
           Parameters:
74
           - X: Input data, shape (n_samples, n_features)
75
76
          Returns:
77
78
           - Predicted class labels, shape (n_samples,)
79
           pred = np.zeros(X.shape[0]) # Initialize predictions
80
           X_with_zero = np.insert(X, 0, 0, axis=1) # Insert 0 at the beginning of each
81
      row
```

```
for w_i, model in zip(self.w, self.models):
82
83
               pred += w_i * model.predict(X_with_zero, converted) # Weighted sum of
       predictions
               # pred += w_i * model.predict(X)
84
           return np.sign(pred) # Return the sign of the predictions
86
       def accuracy(self, X, y):
87
88
           Calculate the accuracy of the model.
89
90
           Parameters:
91
92
           - X: Input data, shape (n_samples, n_features)
           - y: True labels, shape (n_samples,)
93
94
95
           Returns:
           - Accuracy as a float.
96
97
           predictions = self.predict(X) # Get predictions
98
99
           accuracy = np.mean(predictions == y) # Calculate accuracy
100
           return accuracy
```

Listing 2: adaboost classifier

## 3 Check model

This section is a collection of code and markdown cells that contain the unit tests and a demonstration that our implementation can reproduce the same results as the scikit-learn model.

#### 3.1 tests for Adaboost

```
if __name__ == "__main__":
        # Create a simple dataset
        X = np.array([
           [0, 0, 1, 0],
           [1, 1, 0, 1],
[1, 0, 1, 0],
5
6
           [0, 1, 0, 1],
           [0, 0, 0, 0],
           [1, 1, 1, 1],
9
           [0, 1, 1, 0],
[1, 0, 0, 1],
10
11
           [1, 1, 0, 0],
12
13
           [0, 0, 1, 1]
      ]) # 10 samples with 4 features
14
15
        y = np.array([-1, 1, 1, -1, -1, 1, 1, -1, 1, -1]) # Binary labels (-1 and 1)
16
        # Initialize the AdaBoost classifier
17
        model = AdaBoostClassifier(n_estimators=10, max_depth=1)
18
19
20
        # Train the model
        model.train(X, y)
21
22
23
        # Calculate accuracy
24
        accuracy = model.accuracy(X, y)
25
        # Print results
26
        print("Accuracy:", accuracy)
```

Listing 3: test1

```
Accuracy: 1.0
```

Listing 4: test1 output

```
import pytest import numpy as np
```

```
4 # Sets random seed for testing purposes
5 np.random.seed(0)
7 # Creates Test Models
8 test_model1 = AdaBoostClassifier(n_estimators=10)
9 test_model2 = AdaBoostClassifier(n_estimators=50)
test_model3 = AdaBoostClassifier(n_estimators=20)
12 # Dataset 1
13 x1 = np.array([
14
       [0, 0, 1, 0],
       [1, 1, 0, 1],
15
       [1, 0, 1, 0],
16
       [0, 1, 0, 1],
17
       [0, 0, 0, 0],
18
19
       [1, 1, 1, 1],
       [0, 1, 1, 0],
20
       [1, 0, 0, 1],
       [1, 1, 0, 0],
22
       [0, 0, 1, 1]
23
24 ]) # 10 samples with 4 features
26 y1 = np.array([-1, 1, 1, -1, -1, 1, 1, -1, 1, -1]) # Binary labels (-1 and 1)
27
28 # Dataset 2
29 x2 = np.array([
       [0, 1, 0, 1, 1, 0],
30
31
       [1, 0, 1, 0, 0, 1],
       [1, 1, 0, 1, 0, 0],
32
       [0, 0, 1, 1, 1, 1],
33
       [1, 0, 0, 0, 1, 0],
34
       [0, 1, 1, 0, 0, 1],
35
36
       [1, 1, 1, 0, 1, 1],
       [0, 0, 0, 1, 0, 0],
37
       [1, 0, 1, 1, 1, 0],
       [0, 1, 0, 0, 1, 1]
39
40 ]) # 10 samples with 6 features
42 y2 = np.array([-1, 1, 1, -1, 1, -1, 1, -1, 1, -1]) # Binary labels (-1 and 1)
43
44 # Dataset 3
45 x3 = np.array([
46
       [1, 1, 0, 0, 1, 1],
       [0, 0, 1, 1, 0, 0],
[1, 0, 1, 0, 1, 0],
47
48
       [0, 1, 0, 1, 1, 1],
49
50
       [1, 1, 1, 0, 0, 1],
       [0, 0, 0, 1, 0, 1],
51
52
       [1, 0, 0, 1, 1, 0],
       [0, 1, 1, 0, 1, 1],
53
       [1, 1, 1, 1, 0, 0],
54
       [0, 0, 1, 0, 1, 0]
55
56 ]) # 10 samples with 6 features
58 y3 = np.array([1, -1, 1, -1, 1, -1, 1, -1]) # Binary labels (-1 and 1)
60 # Test Model Train
def check_train_dtype(model, X, y):
       assert isinstance(model.models, list)
62
       assert len(model.models) > 0, "Model should have trained at least one weak learner
63
      assert len(model.w) == len(model.models), "Weights should match the number of
      models."
66 # Train the models
67 test_model1.train(x1, y1)
68 check_train_dtype(test_model1, x1, y1)
70 test_model2.train(x2, y2)
71 check_train_dtype(test_model2, x2, y2)
```

```
73 test_model3.train(x3, y3)
74 check_train_dtype(test_model3, x3, y3)
76 # Test Model Predictions
77 def check_test_dtype(pred, X_test):
       assert isinstance(pred, np.ndarray)
       assert pred.ndim == 1 and pred.shape == (X_test.shape[0],)
79
81 # Make predictions
82 pred1 = test_model1.predict(x1)
83 check_test_dtype(pred1, x1)
assert (pred1 == y1).all(), "Predictions should match the expected labels for model 1.
85
86 pred2 = test_model2.predict(x2)
87 check_test_dtype(pred2, x2)
88 assert (pred2 == y2).all(), "Predictions should match the expected labels for model 2.
89
90 pred3 = test_model3.predict(x3)
91 check_test_dtype(pred3, x3)
92 assert (pred3 == y3).all(), "Predictions should match the expected labels for model 3.
93
94 # Test Model Accuracy
95 def check_accuracy(model, X, y, expected_accuracy):
       accuracy = model.accuracy(X, y)
96
       assert accuracy == expected_accuracy, f"Expected accuracy: {expected_accuracy},
      but got: {accuracy}"
98
99 # Check accuracy
100 check_accuracy(test_model1, x1, y1, 1.0) # Expecting 100% accuracy for this simple
101 check_accuracy(test_model2, x2, y2, 1.0) # Expecting 100% accuracy for this dataset
102 check_accuracy(test_model3, x3, y3, 1.0) # Expecting 100% accuracy for this dataset
104 # Additional Tests for Edge Cases
105 def test_empty_train():
       with pytest.raises(ValueError):
106
107
           test_model1.train(np.array([]), np.array([]))
108
109 def test_empty_predict():
110
       with pytest.raises(ValueError):
          test_model1.predict(np.array([]))
111
112
def test_accuracy_empty():
114
       with pytest.raises(ValueError):
          test_model1.accuracy(np.array([]), np.array([]))
116
# Run additional edge case tests
118 test_empty_train()
119 test_empty_predict()
120 test_accuracy_empty()
# Print a message indicating the tests have completed
print("All tests completed successfully.")
```

Listing 5: test2 (unit tests)

 $_{\rm 1}$  All tests completed successfully.

Listing 6: test2 output

## 3.2 tests for Decision Tree (weak learner)

```
if __name__ == "__main__":
    # Create a simple dataset
```

```
X = np.array([[0, 0],
3
                 [1, 1],
[1, 0],
4
5
                 [0, 1],
6
                 [0, 0],
                 [1, 1]])
      # Corresponding labels
10
      y = np.array([0, 0, 1, 1, 0, 1]) # Labels should be -1 and 1 for AdaBoost
11
12
      # Initialize the AdaBoost classifier
14
      weak_model = DecisionTree(data=np.column_stack((y, X)), max_depth=4)
      y_pred = np.zeros_like(y) # Initialize y_pred
      for i, (y_i, x_i) in enumerate(zip(y, X)):
16
17
          combined_input = np.append(y_i, x_i)
          y_pred_i = weak_model.predict(combined_input) # Predictions from the model
18
          y_pred[i] = y_pred_i # Update y_pred with the prediction
19
      print("y_pred: ", y_pred)
```

Listing 7: test1

```
y_pred: [0 1 1 1 0 1]
```

Listing 8: test1 output

```
if __name__ == "__main__":
       # Create a simple dataset
2
3
      X = np.array([[0, 0],
                 [1, 1],
                 [1, 0],
                 [0, 1],
                 [0, 0],
7
                 [1, 1]])
9
10
      # Corresponding labels
11
      y = np.array([0, 0, 1, 1, 0, 1]) # Labels should be -1 and 1 for AdaBoost
12
      # Initialize the AdaBoost classifier
13
      weak_model = DecisionTree(data=np.column_stack((y, X)), max_depth=2)
14
      y_pred = np.zeros_like(y) # Initialize y_pred
15
16
      for i, (y_i, x_i) in enumerate(zip(y, X)):
          combined_input = np.append(y_i, x_i)
17
18
          y_pred_i = weak_model.predict(combined_input) # Predictions from the model
          y_pred[i] = y_pred_i # Update y_pred with the prediction
19
      print("y_pred: ", y_pred)
20
      # Make predictions
```

Listing 9: test2

```
y_pred: [0 1 1 1 0 1]
```

Listing 10: test2 output

```
import pytest
import random

np.random.seed(0)

random.seed(0)

# Tests for node_score_error
assert node_score_error(.3) == .3
assert node_score_error(.6) == .4

# Tests for node_score_entropy
assert node_score_entropy(.5) == pytest.approx(.69, .01)
assert node_score_entropy(0) == node_score_entropy(1) == 0
assert node_score_entropy(.7) == pytest.approx(.61,.01)

# Tests for node_score_gini
```

```
assert node_score_gini(1) == node_score_gini(0) == 0
18 assert node_score_gini(.4) == .48
20 # Creates Test Model and Dummy Data
21 x = np.array([[0,1,0,0],[1,0,1,1],[1,1,0,1],[0,0,1,0],[0,1,1,1],[0,0,0,0]])
22 test_model = DecisionTree(x, gain_function=node_score_entropy)
24 # Test for majority_class
25 assert test_model.majority_class == 0
27 # Tests for _is_terminal
28 node1 = Node(left=None, right=None, depth=0, index_split_on=3, isleaf=False, label=0)
29 x_filtered_node2 = np.array([row for row in x if row[3] == 1])
30 node2 = Node(left=None, right=None, depth=1, index_split_on=1, isleaf=False, label=1)
31 x_filtered_node3 = np.array([row for row in x_filtered_node2 if row[1] == 1])
32 node3 = Node(left=None, right=None, depth=2, index_split_on=2, isleaf=False, label=0)
x_filtered_node4 = np.array([row for row in x_filtered_node3 if row[2] == 1])
34 node4 = Node(left=None, right=None, depth=3, index_split_on=None, isleaf=True, label
      =0
35
36 assert test_model._is_terminal(node=node1, data=x, indices=[1, 2, 3]) == (False, 0)
37 assert test_model._is_terminal(node=node2, data=x_filtered_node2, indices=[1, 2]) == (
      False, 1)
assert test_model._is_terminal(node=node3, data=x_filtered_node3, indices=[2]) == (
      False, 0)
assert test_model._is_terminal(node=node4, data=x_filtered_node4, indices=[]) == (True
      , 0)
40
41 # Tests _calc_gain
42 # Testing gain for index 3
43 print("test model", test_model._calc_gain(x, 3, node_score_error))
44 print("----start----")
45 print()
46 assert test_model._calc_gain(x, 3, node_score_error) == pytest.approx(0.166, .01)
48 assert test_model._calc_gain(x, 3, node_score_entropy) == pytest.approx(0.318, .01)
49 assert test_model._calc_gain(x, 3, node_score_gini) == pytest.approx(0.222, .01)
51 # Testing gain for index 1
52 assert test_model._calc_gain(x_filtered_node2, 1, node_score_error) == pytest.approx
      (5.551115123125783e-17, abs=1e-18)
assert test_model._calc_gain(x_filtered_node2, 1, node_score_entropy) == pytest.approx
      (0.174, .01)
54 assert test_model._calc_gain(x_filtered_node2, 1, node_score_gini) == pytest.approx
      (0.111, .01)
56 # Testing gain for index 2
57 assert test_model._calc_gain(x_filtered_node3, 2, node_score_error) == pytest.approx
      (0.5, .01)
assert test_model._calc_gain(x_filtered_node3, 2, node_score_entropy) == pytest.approx
      (0.693, .01)
assert test_model._calc_gain(x_filtered_node3, 2, node_score_gini) == pytest.approx
      (0.5, .01)
60
61 # Check Tree is created Properly, Compare with text below
62 ,,,
63 Decision Trees should look similar to below (the second one is the pruned tree)
65 ---START PRINT TREE---
split attribute = 3; gain = 0.318257; number of samples = 6
67 0 -> False
68 1 -> split attribute = 1; gain = 0.174416; number of samples = 3
      0 -> True
69
      1 -> split attribute = 2; gain = 0.693147; number of samples = 2
70
          0 -> True
          1 -> False
72
73 ----END PRINT TREE---
74 ,,,
75 test_model.print_tree()
77 # check loss
```

```
print('training loss:', test_model.loss(x))
x_val = np.array([[1,1,1,1],[1,0,0,1]])
print('validation loss:', test_model.loss(x_val), '\n')
```

Listing 11: test3 (unit tests)

```
test model 0.16666666666666663
2 ----start----

---START PRINT TREE---
split attribute = 3; gain = 0.318257; number of samples = 6
0 -> 0
1 -> split attribute = 1; gain = 0.174416; number of samples = 3
0 -> 1
1 -> split attribute = 2; gain = 0.693147; number of samples = 2
0 -> 1
1 1 -> o
---END PRINT TREE---
training loss: 0.0
validation loss: 0.5
```

Listing 12: test3 output

## 3.3 reproduce previous work using our model

For previous work, we choose scikit-learn's implementation of AdaBoost (AdaBoostClassifier) with a shallow decision tree (DecisionTreeClassifier) as the base estimator. We apply scikit-learn's AdaBoost model[2] to the *mushroom dataset*[1], which comprises 23 binary features describing mushroom characteristics, such as shape, color, and odor, with a binary target indicating edibility (-1 for edible, 1 for poisonous). The model achieves high accuracy, demonstrating the power of AdaBoost in correctly classifying tumors.

# 3.3.1 code & results of previous work

```
1 # Load the Mushroom Dataset from UCI repository
2 import pandas as pd
3 import numpy as np
4 import matplotlib.pyplot as plt
5 import seaborn as sns
6 from sklearn import datasets
7 from sklearn.model_selection import train_test_split
8 from sklearn.ensemble import AdaBoostClassifier as AdaBoostClassifier_sklearn
9 from sklearn.metrics import confusion_matrix, classification_report
10 from sklearn.preprocessing import LabelEncoder
11 from sklearn.tree import DecisionTreeClassifier
12
# URL for Mushroom dataset
url = "https://archive.ics.uci.edu/ml/machine-learning-databases/mushroom/agaricus-
       lepiota.data"
15
_{\rm 16} # Column names for the dataset as per UCI documentation
17 columns = [
       "class", "cap-shape", "cap-surface", "cap-color", "bruises", "odor",
18
       "gill-attachment", "gill-spacing", "gill-size", "gill-color",
19
      "stalk-shape", "stalk-root", "stalk-surface-above-ring",
"stalk-surface-below-ring", "stalk-color-above-ring",
"stalk-color-below-ring", "veil-type", "veil-color", "ring-number",
20
21
22
       "ring-type", "spore-print-color", "population", "habitat"
23
24 ]
25
26 # Load dataset
27 mushroom_data = pd.read_csv(url, header=None, names=columns)
29 # Preprocess the data
30 # Convert categorical variables into binary using one-hot encoding
31 # Convert 'class' column (edible=e, poisonous=p) into binary labels
```

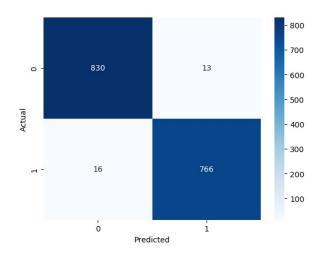


Figure 1: sklearn model result

```
32 mushroom_data["class"] = mushroom_data["class"].apply(lambda x: 1 if x == "p" else -1)
mushroom_data_encoded = pd.get_dummies(mushroom_data.drop(columns=["class"]))
34
35 # Combine the binary features with the target
36 mushroom_dataset = pd.concat([mushroom_data["class"], mushroom_data_encoded], axis=1)
38 X = mushroom_dataset.drop(columns=["class"])
39 y = mushroom_dataset["class"]
40
41 X_train, X_test, y_train, y_test = train_test_split(X, y, test_size=0.2, random_state
      =42)
42 base_estimator = DecisionTreeClassifier(max_depth=1)
43 adaboost = AdaBoostClassifier_sklearn(estimator=base_estimator, algorithm='SAMME',
      n_estimators=10, learning_rate=1, random_state=42)
44
45 # Train AdaBoost classifier
46 adaboost.fit(X_train, y_train)
48 # Making predictions
49 y_pred = adaboost.predict(X_test)
50
51 # Create confusion matrix
52 conf_matrix = confusion_matrix(y_test, y_pred)
53
{\tt 54} # Visualize the confusion matrix
55 sns.heatmap(conf_matrix, annot=True, fmt='d', cmap=plt.cm.Blues)
plt.xlabel('Predicted')
plt.ylabel('Actual')
58 plt.show()
60 # Generate classification report
class_report = classification_report(y_test, y_pred)
62 print(class_report)
63
64 # Calculate accuracy
65 accuracy = adaboost.score(X_test, y_test)
66 print("Model accuracy: ", accuracy)
```

Listing 13: sklearn model for classification

1		precision	recall	f1-score	support
2					
3	-1	0.98	0.98	0.98	843
4	1	0.98	0.98	0.98	782
5					
6	accuracy			0.98	1625
7	macro avg	0.98	0.98	0.98	1625

```
8 weighted avg 0.98 0.98 1625
9
10 Model accuracy: 0.9821538461538462
```

Listing 14: sklearn model performance

#### 3.3.2 code & results using our own model

```
# URL for Mushroom dataset
2 url = "https://archive.ics.uci.edu/ml/machine-learning-databases/mushroom/agaricus-
       lepiota.data"
4 # Column names for the dataset as per UCI documentation
5 columns = [
       "class", "cap-shape", "cap-surface", "cap-color", "bruises", "odor",
       "gill-attachment", "gill-spacing", "gill-size", "gill-color",
      "stalk-shape", "stalk-root", "stalk-surface-above-ring",
"stalk-surface-below-ring", "stalk-color-above-ring",
"stalk-color-below-ring", "veil-type", "veil-color", "ring-number",
9
10
       "ring-type", "spore-print-color", "population", "habitat"
11
12
13
14 # Load dataset
nushroom_data = pd.read_csv(url, header=None, names=columns)
17 # Preprocess the data
18 # Convert categorical variables into binary using one-hot encoding
19 # Convert 'class' column (edible=e, poisonous=p) into binary labels
20 mushroom_data["class"] = mushroom_data["class"].apply(lambda x: 1 if x == "p" else -1)
21 mushroom_data_encoded = pd.get_dummies(mushroom_data.drop(columns=["class"]))
23 # Combine the binary features with the target
24 mushroom_dataset = pd.concat([mushroom_data["class"], mushroom_data_encoded], axis=1)
26 X = mushroom dataset.drop(columns=["class"])
y = mushroom_dataset["class"]
28
29 X_train, X_test, y_train, y_test = train_test_split(X, y, test_size=0.2, random_state
30 adaboost = AdaBoostClassifier(n_estimators=10, max_depth=1)
31 adaboost.train(X_train, y_train)
32
33 # Making predictions
34 y_pred = adaboost.predict(X_test)
36 # Create confusion matrix
conf_matrix = confusion_matrix(y_test, y_pred)
39 # Visualize the confusion matrix
40 sns.heatmap(conf_matrix, annot=True, fmt='d', cmap=plt.cm.Blues)
41 plt.xlabel('Predicted')
42 plt.ylabel('Actual')
43 plt.show()
44
45 # Generate classification report
46 class_report = classification_report(y_test, y_pred)
47 print(class_report)
48
49 # Calculate accuracy
50 accuracy = adaboost.accuracy(X_test, y_test)
51 print("Model accuracy: ", accuracy)
```

Listing 15: run our model for the same task

```
precision recall f1-score
1
                                                 support
2
                     0.98
                               0.98
                                         0.98
                                                     843
            -1
3
4
            1
                     0.98
                               0.98
                                          0.98
                                                     782
```

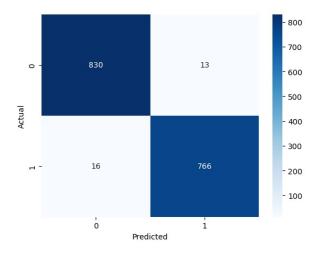


Figure 2: our model result

```
6 accuracy 0.98 1625
7 macro avg 0.98 0.98 1625
8 weighted avg 0.98 0.98 0.98 1625
9
10 Model accuracy: 0.9821538461538462
```

Listing 16: our model performance

#### 3.3.3 summary

We can see that our own implemented model reproduces the same results from the sklearn model.

# 4 Github repo

https://github.com/kzhangaz/data2060-final-project-boosting

# References

- [1] University of California, Irvine. (1987). Mushroom Data Set. [Online]. Available at: https://archive.ics.uci.edu/dataset/73/mushroom [Accessed 10 December 2024].
- [2] scikit-learn. (2024). Weighted Boosting Module. GitHub repository. Available at: https://github.com/scikit-learn/scikit-learn/blob/6e9039160/sklearn/ensemble/\_weight\_boosting.py#L339 [Accessed 10 December 2024].
- [3] scikit-learn. (2024). AdaBoost Classifier. [Online]. Available at: https://scikit-learn.org/stable/modules/generated/sklearn.ensemble.AdaBoostClassifier.html [Accessed 10 December 2024].
- [4] Brubaker, M., n.d. AdaBoost: Adaptive Boosting. [PDF]. Available at: https://www.cs.toronto.edu/~mbrubake/teaching/C11/Handouts/AdaBoost.pdf [Accessed 10 December 2024].